

Genetically Modified Plants: Nutritious, Sustainable, yet Underrated

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ABSTRACT

Combating malnutrition is one of the greatest global health challenges. Plant-based foods offer an assortment of nutrients that are essential for adequate nutrition and can promote good health. Unfortunately, the majority of widely consumed crops are deficient in some of these nutrients. Biofortification is the umbrella term for the process by which the nutritional quality of food crops is enhanced. Traditional agricultural breeding approaches for biofortification are time consuming but can enhance the nutritional value of some foods; however, advances in molecular biology are rapidly being exploited to biofortify various crops. Globally, genetically modified organisms are a controversial topic for consumers and governmental agencies, with a vast majority of people apprehensive about the technology. Golden Rice has been genetically modified to contain elevated β -carotene concentrations and is the bellwether for both the promise and angst of agricultural biotechnology. Although there are numerous other nutritional targets of genetically biofortified crops, here I briefly summarize the work to elevate iron and folate concentrations. In addition, the possibility of using modified foods to affect the gut microbiota is examined. For several decades, plant biotechnology has measured changes in nutrient concentrations; however, the bioavailability of nutrients from many biofortified crops has not been demonstrated. *J Nutr* 2020;150:2628–2634.

Keywords: bioavailability, biofortification, genetic engineering, golden rice, folate, iron, malnutrition, transgenics, microbiota

Introduction

“Feed us first and then command us to be virtuous.”

“*The Grand Inquisitor*, Fyodor Dostoevsky”

A foundation of nutrition is adequate consumption of nutritionally balanced foods. In a large part of the world this is not occurring, because malnutrition contributes to nearly half of all deaths in children under the age of 5 y (1). About 800 million people are currently suffering from hunger and some 2 billion suffer from some type of nutritional deficiency (2). Malnutrition is part of a cruel cycle of weakened immunity and recurrent infections that contribute to poor long-term health (3). Many of the world's hungry live precariously on plant-based foods and certainly a worthy life's purpose is seeking ways to improve the yield and nutritional content of crops while minimizing the

environmental impacts of current agricultural practices (4). Had the Grand Inquisitor been talking about the field of nutrition, I believe he would have said something like, “Feed people first; then focus on nutrient/gene interactions, epigenetics, and food preference.”

To alleviate malnutrition, international food aid programs have developed strategies including programs that provide supplements, or fortification of processed local foods (5, 6). However, the success of these efforts has been hampered by factors such as inconsistent funding and limited access to markets and hospitals by malnourished populations (7, 8).

The engineering of crops promises a long-term sustainable solution and avoids some of the infrastructure problems that hamper the use of supplements and processing techniques (9, 10). Using breeding or molecular approaches to develop crops with higher nutrient concentrations is termed biofortification (11). Biofortified crops like rice, sorghum, corn, and banana allow consumers throughout the world more bioavailable nutrients through their daily diets (12–14). However, no single genetically modified food will be able to replace a balanced diet (15).

Conventional breeding of crops has worked for thousands of years but is limited to closely related (sexually compatible) plants, and therefore depends on the natural variation of the nutrient of interest (16, 17). For example, variation in grain

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Abbreviations used: GMO, genetically modified organism; MDCF, microbiota-directed complementary food; miRNA, microRNA; SXRF, synchrotron X-ray fluorescence; VAD, vitamin A deficiency.

zinc and iron concentrations in wheat and its closely related wild species has been exploited for improvement of modern elite cultivars with higher concentrations of these minerals (18). However, cassava varieties have inherently low protein concentrations, thus breeding cannot be used to biofortify cassava with protein (19). Even with the use of biotechnology, breeding approaches can take time, with the minimum number of generations needed for clonal propagation of crops like potatoes, banana, and cassava estimated to be 7 generations (20). For self-fertilizing crops, such as rice and sorghum, 9 generations are needed, and for cross-pollinated crops, such as corn, it increases to 17 generations (≥ 5 y in optimal growth conditions) (9).

For the last 30 y, the tools of molecular genetics have driven and energized numerous scientists to improve crops (21). Most consumers have little knowledge regarding the transfer of genetic information from 1 organism to another (22, 23). Understandably, terms like “genetic modification” can shake consumer confidence. About 20 y ago, genetic manipulations enabled farmers to use alternative solutions to pesticides and to delay ripening (24). Consumers were wary of these technologies; however, this lack of initial acceptance simply redirected the use of biotechnology in agriculture to less visible commercial applications (25). For instance, some products, such as chocolate, mayonnaise, tomato sauce, and bread, often contain derivatives from genetically modified vegetables. The global market for genetically modified organisms (GMOs) was almost \$15 billion in 2012 (10). Today this technology is resurfacing in different forms at the supermarket. Consumers may have to settle in and become more comfortable eating an apple with an added antibrowning gene or a pineapple genetically modified to contain higher concentrations of the antioxidant lycopene.

Thus far I have provided a case for GMOs and alternatives. The remainder of this article will discuss the safety of GMOs, emerging strategies to quickly generate engineered crops, and detailed examples of biofortification efforts to alter β -carotene, iron, and folate concentrations. This work concludes by focusing on emerging areas of research and the need to continue to integrate nutritional approaches with plant biotechnology.

Agricultural Biotechnology May Be Safe—But Some Impacts Are Indirect

As consumers, we face a deluge of information when visiting the grocery store. Often many products are proudly labeled “No GMOs.” The labeling for GMOs is much harder to spot, with small print on foods stating “Partially produced with genetic engineering,” a result of a 2016 federal law that requires uniform labeling of food products (26). Why do consumers tend to support organic foods but are reluctant to purchase GMOs (27)?

Since genetically modified crops reached the market several decades ago, no adverse health effects have been documented from their consumption (26, 28). This is not due to lack of testing, because millions of dollars have been spent over the last several decades addressing this issue (29). However, some of the safety issues, and consumer skepticism, can be tied to the impacts of using GMOs. The persistent use of a herbicide, glyphosate, may be associated with increased cancer rates. Glyphosate is the active ingredient in many herbicides including Roundup. Roundup Ready is the trademark for a patented

line of seeds sold by Monsanto, a subsidiary of Bayer, that are resistant to the herbicide Roundup. In 2015, ~90% of corn, soybeans, and cotton produced in the United States were Roundup Ready (30). Thus, the continued use of glyphosate is directly related to the widespread use of GMO Roundup Ready crops. Whereas the majority of scientists recognize the safety of GMO crops (31), it is this glyphosate use associated with planting these crops that has become controversial (32). In contrast to many regulatory agencies (33), a committee of scientists working for the International Agency for Research on Cancer of the WHO evaluated studies and reported that glyphosate is probably carcinogenic (34). In 2019, a couple claimed that the company’s Roundup weed killer caused their cancer. A California jury agreed and awarded each of them \$1 billion in punitive damages and an additional \$55 million in collective compensatory damages (35). After thousands of lawsuits from cancer patients or their estates, Bayer is settling most of the current and possible future lawsuits for >\$10 billion (36).

The Roundup Ready crops are an example where the use of GMOs must be evaluated with a complete understanding as to the altered environment they impose.

As the foregoing example clearly demonstrates, GMOs can indirectly affect consumers. But are the plants themselves safe to eat? Scientists are trained to be skeptical and argumentative; however, they generally agree that GMOs are safe to consume—a view endorsed by the American Medical Association, the National Academy of Sciences, the American Association for the Advancement of Science, and the WHO (29). Despite this near scientific consensus, only slightly more than one-third of consumers share this belief (22, 23).

Opposition to agricultural biotechnology has generated sustained impacts (37). Biotechnology companies have abandoned GMO field trials citing challenges raised by consumers (37). It typically takes more than a decade for a new modified plant to go from an idea to the field. The regulatory review process alone can take >3 y. If companies are scared that their products will not sell, they do not initiate the process (38). The potential nutritional benefits to consumers, the topic addressed here, could be astronomical (11). Change starts by educating consumers and future consumers. How many introductory nutrition classes spend a day talking about the benefits of modified foods? Does the training of clinical registered dietitians include a brief tutorial on the proper patient–provider discourse regarding GMOs? In my informal survey of college undergraduates, ~50% of them are anti-GMO. I advocate nutrition and basic biology courses instill a small teaching module regarding the benefits and dangers of agricultural biotechnology.

The New Reality of Agricultural Biotechnology

As I discuss the different examples of nutritionally improving crops, it is important to keep in mind that altering the expression of genes in specific tissues can dramatically alter nutrient content. For example, a mineral-enhancing gene being expressed under a fruit-specific promoter will be beneficial in tomatoes, whereas a tuber-specific promoter would be desirable in potatoes. These variations require the generation of numerous different transgenic crops. In the past, this has been an arduous task and techniques to genetically modify

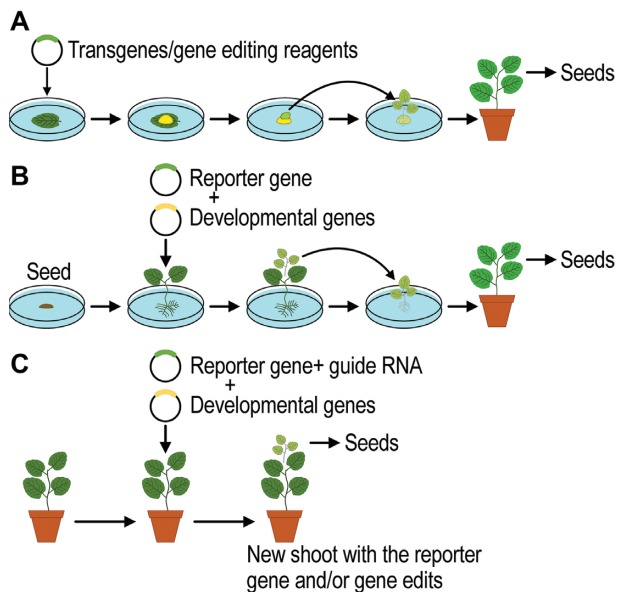


FIGURE 1 Methods to genetically engineer crops. (A) Conventional engineering requires Gram-negative bacterium *Agrobacterium tumefaciens*, selection for cells carrying the engineered traits (normally antibiotics), and regenerating fertile plants from cells on medium containing combinations of hormones to help make roots and shoots. Under the best of conditions this could take 4.5 mo. (B) A new method also utilizes *Agrobacterium* but expresses developmental genes and the transgene, where the transgenic plant grows in cell culture without using the hormone regime. This method requires ~3 mo. (C) The most promising method uses *Agrobacterium* expressing developmental genes and the transgene from soil-grown plants. This method takes ~2 mo and does not require any tissue culture (16).

crops required 3–5 mo of tissue culture. During this time, scientists punctiliously modulate plant hormone concentrations in the media to coax the development of roots and shoots (Figure 1) (39). This bottleneck in plant engineering often introduced unintended changes in the genome and epigenome of the regenerated plants. Recently, an alternative strategy has been developed where multipotent plant cells can be developed into seeds without externally supplied hormones (40). This time-saving technique has not yet been applied to a wide variety of crops; however, the potential for rapidly modulating plant genomes offers the promise to rapidly implement novel genetic engineering strategies into agriculturally important crops.

β -Carotene Biofortification: Golden Rice as a Case Study

Biofortification of crops with β -carotene is intended to address vitamin A deficiency (VAD), a worldwide disease and the leading cause of preventable blindness in children; VAD has been correlated with increased risk of disease and death from severe infections (41–43). In pregnant mothers, VAD causes night blindness and renders the risk of maternal mortality higher. VAD is most prevalent in developing countries (10). Every year tens of thousands of children become blind owing to VAD, and over half of them die within 1 y of losing their sight (10). These are conservative estimates, because some sources put the incidence of VAD in the millions (44, 45).

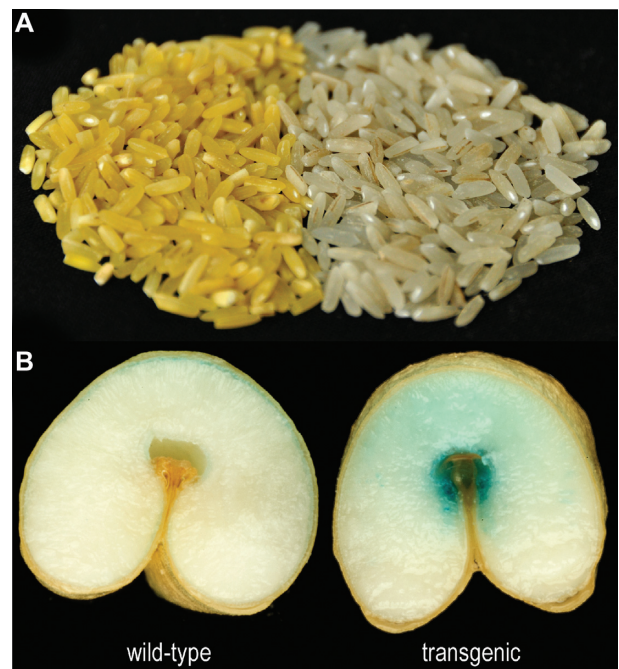


FIGURE 2 Biofortified crops. (A) Golden Rice has a yellow to orange color compared with normal rice. The more intense the color, the more β -carotene. Image courtesy of the Golden Rice Humanitarian Board and www.goldenrice.org. (B) Wheat grains treated with a histological stain that turns blue in the presence of iron. In wild-type, blue color is observed in the outer layers of grain, which do not form the flour. However, in transgenic grain, iron accumulation is observed in the central region, making it more bioavailable in wheat flour. Image courtesy of J Connorton.

β -Carotene is the most suitable and important precursor for vitamin A (46, 47). The edible portions of normal rice have trace amounts of β -carotene. When rice grains are engineered to express genes encoding phytoene synthase and carotene desaturase, components of the carotenoid biosynthetic pathway, the husks appear normal. Once this cover is removed, and the grains polished, they are a golden yellow—a direct product of β -carotene (Figure 2). The basic science required to engineer the carotenoid pathway was developed in the 1990s (46). The landmark achievement of 1999 demonstrated that it was possible to reconstitute the leaf-specific carotenoid pathway into rice grains (48). After a few years, it was established that the provitamin A–production trait was transferable to any rice variety, including types grown in southeast Asia, where there is widespread VAD. However, the first Golden Rice field trial in the world was harvested in September 2004 in the United States (46). This location and 5-y delay were exasperating because the target countries for Golden Rice—i.e., those who eat rice and have a high vitamin A deficit—did not have the necessary biosafety regulations in place. A condition attached to the Golden Rice licensees is that field work requires a national regulatory framework (46). This is both understandable and frustrating. Understandable because scientists and governments have to be safe, but frustrating in the face of human malnutrition, that could be alleviated with the help of this biofortified crop. This is a common theme because developing countries struggle with the pressures that make implementing this technology difficult.

Five years later, Golden Rice consumed by adult volunteers demonstrated that the engineered rice is an effective source of

vitamin A (49). The trial with a limited number of participants concluded that β -carotene derived from Golden Rice was effectively converted to vitamin A in humans. Golden Rice could probably supply 50% of the RDA of vitamin A from a very modest amount—perhaps a cup of rice, if consumed daily (50, 51). This amount is within the consumption habits of most children and their mothers (42). However, this study was done in adults, and the technology was designed to help children (48).

Other work has not been published regarding the ability of Golden Rice to supply vitamin A to children in impoverished countries. These types of studies will have to overcome numerous regulatory hurdles including obtaining parents' informed consent and conducting the proper prescreening before enrollment (45, 52). When humans are involved as research subjects, researchers must be scrupulous in their documentation and this will be an arduous task when conducting studies in countries without a strong research infrastructure.

In mid-2018 the US FDA completed its food safety evaluation for Golden Rice (42, 45, 51). Shortly before this, similar agencies in Australia, New Zealand, and Canada approved this biofortified rice. Although these countries were never the intended targets for this technology, these agencies provide a paradigm for decision-making in all countries aspiring to benefit from this rice. In late 2019, the Philippine Department of Agriculture Bureau of Plant Industry announced that Golden Rice was as safe as conventional rice (42). In the Philippines, VAD among children has increased from 15.2% in 2008 to 20.4% in 2013, despite a national supplement program (45, 51). This regulatory approval is an important step and recent work by scientists has provided further justification that Golden Rice is safe (43). Meanwhile, Philippine farmers still cannot grow Golden Rice. Regulators have to certify that the crop will not cause problems in farmers' fields. These applications are being filed in 2020.

GMO critics are wary that for-profit corporations will have undue influence over the Golden Rice seed supply (45). However, inventors of this technology previously owned patents for Golden Rice but donated these to the Golden Rice Humanitarian Board. This rice is designed to be used only by nonprofit programs and will never cost farmers more than conventional rice.

Biotechnology boosters often present Golden Rice as the best example of the potential for agricultural biotechnology (42). Although this may be true, it is also important to think about the timeline of the various events surrounding Golden Rice. The Rockefeller Foundation first funded this project in the early 1990s (46). Today, the regulatory agencies are still working on the necessary approvals as we await the widespread use of this technology among vulnerable populations.

Iron Biofortification

Iron deficiency is the most prevalent and widespread nutrient deficiency. Without enough iron, there may be too few healthy RBCs to carry sufficient oxygen to satisfy the body's needs, resulting in anemia. This problem is magnified during pregnancy when a woman requires more iron to meet her needs. Whereas beans and millet have been successfully bred for enhanced iron content, there is too little genetic variation in iron concentrations in the endosperm of cereal grains (especially rice, wheat, and corn), therefore molecular genetic approaches are required to increase iron (53). Plant genes involved in plant iron

uptake, transport, and storage have been manipulated in crops to raise iron concentrations without yield penalties (54).

Increasing iron uptake through enhanced expression of different plant transporters causes a >5-fold increase in iron concentrations in tubers (9). Iron is transported around plants in a chelated form, and increasing the amounts of these chelating agents can double iron content (55). Iron can be stored in the form of ferritin or in the plant vacuole. Plant ferritin genes have been overexpressed in cassava, rice, wheat, and maize (53, 56, 57). In rice this led to a 3-fold increase in iron concentrations in unpolished or polished grains, but the same gene was less effective in maize. A 3- to 4-fold increase in iron was demonstrated when a vacuolar localized transporter was highly expressed in cassava (53). Multigene approaches, where plant scientists manipulate several different genes or genetic pathways in the same plant (termed gene stacking), can simultaneously increase iron uptake, distribution, and storage (Figure 2) (57, 58).

As discussed in more detail at the end of this review, increased amounts of a mineral do not directly translate into improved bioavailability. The chemical form (speciation) of iron affects its bioavailability and the speciation of iron in plant foods can be altered by cooking and during digestion (59, 60). Overall, the amount of phytate in the plant, an antinutrient, is a strong indicator for mineral bioavailability. The more phytate the less bioavailable the mineral (61). However, removing the phytate can be detrimental to crop yield (62).

Folate Biofortification

Folate is a generic term for tetrahydrofolate and its derivatives. Animals, unable to synthesize folates, rely primarily on dietary folates (63). The recommended daily intake of folate increases during pregnancy (64). Leafy vegetables are a rich source of folates (63, 65). However, many staple crops, such as rice, wheat, potato, and cassava, contain very low concentrations of folate. To further complicate sufficient dietary intake, folates are labile compounds, prone to (photo-)oxidative cleavage, thus many diets throughout the world are folate deficient (65).

Numerous detrimental effects arise upon folate deficiency (66). During embryogenesis, folate deficiency can cause disorders such as anencephaly and spina bifida. Together, folate deficiency-induced neural tube defects are estimated to account for >150,000 birth defects each year, predominantly in the developing world.

Boosting folate biosynthesis via metabolic engineering was the first proposed strategy to biofortify plants (65, 67). In plants, folate biosynthesis is characterized by its components occurring in 2 different subcellular compartments (65). For optimal folate biosynthesis, gene stacking is required where both the pathways are enhanced. This approach in tomato fruit appears to provide the complete adult daily requirement in <1 standard meal (68). In rice endosperm the same approach increased concentrations >100-fold and cooking experiments suggest that 100 g of this modified rice may supply the dietary allowance of folate (65). Folate stability, although often neglected, is problematic, because folate concentrations drop >50% during storage for 4 mo of engineered rice grains (69). Using a series of genetic engineering approaches focused on modulating the stability of folate in combination with enhanced biosynthesis—this new gene combination could be termed “super stacking”—results in folate concentrations that are \leq 150-fold those found in normal rice (69). These super-stacked genes are next to each other on

a single piece of DNA, and this genetic material, as I discussed earlier, can now be easily transferred to various crops.

The folate biofortification successes in both tomato (a dicot) and rice (a monocot) suggest that variations of gene stacking can be applied to various crops. Furthermore, it is straightforward to now augment this approach with other combinations of genes, using multiple different genes—a process that could be termed “deluxe super stacking.” Modified plants can contain multiple genes for enhancement of both iron and folate concentrations. Studying the impact of these alterations on metabolic fluxes within plants and their effects on bioavailability will require further investigation.

Plants, the Gut Microbiota, and the Potential for GMOs

The WHO recommends that all malnourished children be treated with therapeutic foods (70); however, their health-promoting components have not been identified. Microbiota-directed complementary foods (MDCFs) are a promising new strategy to use plant-based dietary components to expand the abundance of growth-discriminatory bacteria and improve growth in malnourished children (71). However, how MDCFs work remains enigmatic (72). Plant foods contain multitudes of microRNAs (miRNAs), a subset of small RNAs that are 19–24 nucleotides in length (73). In both plants and animals, an miRNA can affect gene expression by inhibiting the translation or stability of an mRNA (74). Extracellular vesicles (lipid-based nanoparticles) encapsulate miRNAs and facilitate cell-to-cell communication (75). Gut epithelial cells excrete miRNAs in vesicles and these miRNAs appear to regulate specific gut-associated bacterial gene transcripts (76). Preliminary evidence suggests that plants use extracellular vesicles to respond to and defend themselves against their own microbial pathogens, which is consistent with a potential impact on human hosts through our microbiomes (77). Edible plant nanoparticles have been previously characterized (78) and set the stage to examine if these vesicles are bioavailable to gut bacteria and whether diet-derived vesicles could be an element of MDCFs and regulate gut bacterial growth or gene expression (79). Certainly, an emerging direction in plant biotechnology is the manipulation of edible plant nanoparticles and engineering of their RNA cargos.

Measuring Nutritional Parameters in Biofortified Plants

Novel plants need to be analyzed using established and novel nutritional approaches (80). Several years ago, a high-impact review article written by a cadre of eminent plant scientists highlighted technology to improve mineral concentrations in crops and made almost no mention of the need for nutritional approaches to assess changes in bioavailability (81). Unfortunately, this oversight in nutritional assessment is the norm rather than the exception. Plant scientists tout changes in concentrations of nutrients without acknowledging the fact that increased concentrations do not always equate to increased bioavailability. Traditional nutritional tools will always be the gold standard for assessing biofortified foods (82). For example, using isotope tracers, the metabolic fate of minerals in a specific meal or a food can be distinguished from minerals from other

sources and followed in the consumer (83). Efficacy studies like this are relatively expensive and not simple to perform. The dearth of these nutritional studies is probably due to lack of investment. There are certainly nutritionists who if given the opportunity and the funding would perform such studies. For now, several tools outside of the field of nutrition, although not perfect, are available to provide approximations regarding bioaccessibility. The ionome measures the mineral nutrient (dietary minerals) and trace elements found in any biological material (84, 85). Optical emission spectroscopy MS or inductively coupled plasma MS can both be utilized for ionome measurements of plant and consumer tissues. These reasonably high-throughput measurements can be used to monitor how the ionome of consumer tissues responds to modified foods.

Techniques are also available to look at the spatial distribution of minerals in biological systems. Synchrotron X-ray fluorescence (SXRF) imaging is a powerful analytical technique that collects information about the abundance and distribution of multiple elements simultaneously, in the form of a 2- or 3-dimensional image (86). Techniques such as X-ray absorption and diffraction, that accompany the SXRF experimental setup, provide information on chemical binding form and crystal structure (87). Synchrotron elemental imaging visualizes elements in situ within biological materials. Technological advances in synchrotron microscopy and sample preparation allow images of minerals in tissues to be collected from individual cells in a near-native state.

Biosensors are tools composed of a biologically active material used in close conjunction with a tool that will convert a biochemical output into a quantifiable signal (88). In the future, biosensors may be used to measure nutrient content after feeding biofortified foods to animals.

Conclusions

Genetically modified plants have the potential to boost yield, improve land use efficiency, and provide adequate nutrition for some of the world's most impoverished citizens. This review has examined need, safety, and ongoing work and explored some of the pitfalls. The most vexing issue is the lack of implementation of this technology among vulnerable populations.

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