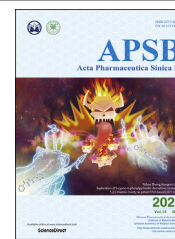




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ORIGINAL ARTICLE

# Self-assembled natural phytochemicals for synergistically antibacterial application from the enlightenment of traditional Chinese medicine combination



Xuehao Tian<sup>a,†</sup>, Penglong Wang<sup>a,\*</sup>, Tong Li<sup>a</sup>, Xuemei Huang<sup>a</sup>,  
Wenbo Guo<sup>a</sup>, Yuqin Yang<sup>a</sup>, Mengmeng Yan<sup>a</sup>, Hao Zhang<sup>a</sup>,  
Desheng Cai<sup>a</sup>, Xiaohui Jia<sup>a</sup>, Feifei Li<sup>a</sup>, Bing Xu<sup>a</sup>, Tao Ma<sup>a</sup>, Cong Yan<sup>b</sup>,  
Haimin Lei<sup>a,\*</sup>

<sup>a</sup>School of Chinese Pharmacy, Beijing University of Chinese Medicine, Beijing 102488, China

<sup>b</sup>School of Life Science, Beijing University of Chinese Medicine, Beijing 102488, China

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**Abstract** The application of nanotechnology for antimicrobial delivery has capacity to improve antibacterial efficacy. Currently, the usage of various inorganic and organic carriers, such as metal ions, nano-silicon and surfactants, might increase the potential toxicity of nanoparticles and make their clinical transformation more difficult. Herein, a nano-delivery system was constructed by direct self-assembly of antibacterial phytochemicals (berberine and rhein) originated from traditional Chinese medicine *Coptis chinensis* Franch. and *Rheum palmatum* L., respectively. Combining X-ray single crystal diffraction, nuclear magnetic resonance and other spectra characterizations, the stacked structure of nanoparticles was profoundly demonstrated. Briefly, rhein acted as the layered backbone and berberine embedded in it. *In vitro* bacteriostasis experiment showed the minimum bactericidal concentration of nanoparticles was 0.1  $\mu\text{mol/mL}$ , which was lower than that of berberine and rhein. The results of confocal laser scanning microscope, biofilm quantitative assay and scanning electron microscopy indicated that nanoparticles had strong inhibitory effects on *Staphylococcus aureus* biofilm. More importantly, transmission electron microscopy and mass spectra indicated the further bacteriostatic mechanism of nanoparticles. Meanwhile,

\*Corresponding authors. Tel./fax: +86 10 53912129.

E-mail addresses: [wp1581@126.com](mailto:wp1581@126.com) (Penglong Wang), [hm\\_lei@126.com](mailto:hm_lei@126.com) (Haimin Lei).

<sup>†</sup>These authors made equal contributions to this work.

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the nanoparticles had well biocompatibility and safety. Current study will open up new prospect that the design of self-assemblies between active phytochemicals can be originated from traditional Chinese medicine combination.

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## 1. Introduction

Bacterial infection is a major factor that restricts the quality of human life, which leads to various diseases and even death<sup>1</sup>. According to the World Health Organization, bacterial infection was likely to bright about an increasing proportion of diarrhea-associated death, and was responsible for killing approximately half a million children every year. In recent years, in the field of nanotechnology, more and more nano dosage forms on antibacterial drugs have been explored. Nano-drug carriers have many advantages, such as small size, large specific surface area, controlled release of matrix, targeted drug delivery, etc. Metal ion nanoparticles<sup>2</sup>, antibacterial hydrogel<sup>3–5</sup>, and cationic polymers<sup>6–8</sup>, have been widely explored for their applications particularly in the field of bacteriostasis. Among above nanocarriers, one of their common characteristics is the antibiotics prepared into/onto nano dosage forms to achieve drug delivery by pharmacy means.

Although many nano-drug delivery systems were reported<sup>9–11</sup>, only a few had been transformed into clinical applications, like the amphotericin B<sup>12</sup>. Inevitably, some inorganic adjuvants could not be eliminated by human metabolism, such as silica, metal ions or skeletons, and magnetic nanoparticles<sup>13,14</sup>. At the same time, nanoemulsion or nanosphere prepared by polymer materials might accumulate in the viscera and produce toxicity<sup>15,16</sup>, such as anaphylases, teratogenic or carcinogenic reactions<sup>17,18</sup>. These characteristics were described as poor biocompatibility. Therefore, exploring antibiotics with simple synthesis, carrier-free and excellent biocompatibility would be of great significance in the clinical antibacterial treatment.

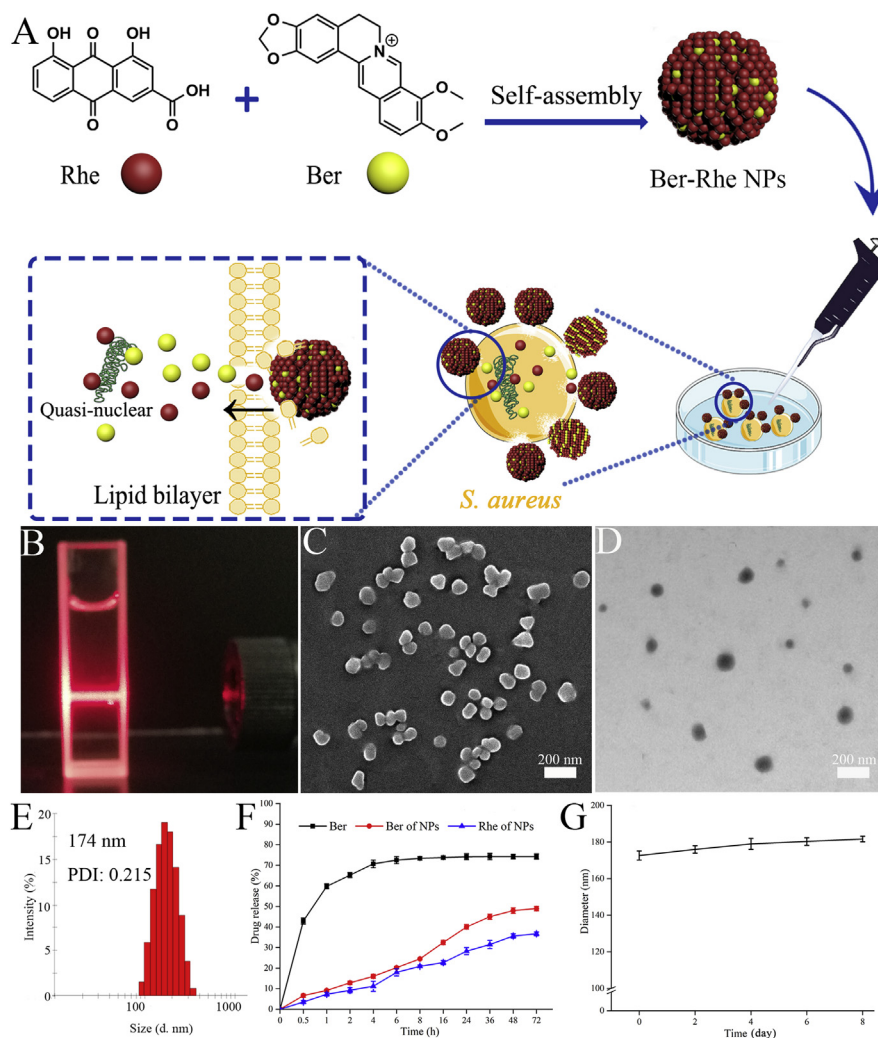
In recent years, more and more researchers have been focusing on the active ingredients in traditional herbal medicine<sup>19,20</sup>. Medicinal plants recorded by ancient Greek, Roman medical books and traditional Chinese medicine (TCM) have broad prospects for research at this stage. In particular, the combination spirit of TCM has been widely accepted in clinic. Many studies have shown that plant-derived natural small molecules had prominent performance in bacterial inhibition, such as berberine<sup>21</sup> (Ber), rhein<sup>22</sup> (Rhe), matrine<sup>23</sup>, curcumin<sup>24</sup>, gallic acid<sup>25</sup>, glycyrrhizic acid<sup>26</sup>, etc. Our previous research indicated that Ber could be self-assembled into nanoparticles and nanofibers with baicalin and wogonoside respectively, which showed different antibacterial effects<sup>27</sup>. Notably, berberine hydrochloride tablet prepared by Ber was famous in clinic to treat bacterial diarrhea<sup>28,29</sup>. Besides, because of the unique anthracene skeleton, Rhe was prone to form a stacked structure in solution due to hydrogen bonding,  $\pi$ - $\pi$  interaction and hydrophobic effect according to the recent publications<sup>30,31</sup>. Inspired by the combination of TCM, we successfully gained self-assembled nanoparticles (Ber-Rhe NPs) of Rhe and Ber, which were originated from the classic herb-drug combination of *Rheum palmatum* L. and *Coptis chinensis* Franch<sup>28,32</sup>. A

series of characterization methods, especially nuclear magnetic resonance (NMR) and X-ray diffraction, demonstrated the self-assembly mechanism of the Ber-Rhe NPs. Briefly, Rhe and Ber formed the basic units by  $\pi$ - $\pi$  interaction and electrostatic interaction. Subsequently, the hydrogen bonds between Rhe molecules derived the basic units to further construct a layered skeleton material in the aqueous phase. In a word, nanoparticles with Rhe as the basic framework and Ber embedded in the layer gap were formed. Meanwhile, the antimicrobial activity of Ber-Rhe NPs against *Staphylococcus aureus* was improved. Furtherly, a series of assays were carried to evaluate the NPs' antibacterial mechanism. Confocal laser scanning microscope (CLSM), 2,3-bis(2-methoxy-4-nitro-5-sulfophenyl)-5-[(phenylamino)carbonyl]-2H-tetrazolium hydroxide (XTT) test and scanning electron microscopy (SEM) demonstrated that Ber-Rhe NPs had strong inhibitory effects on *S. aureus* biofilm. SEM, transmission electron microscopy (TEM), drug release test and aggregation quantity of Ber around *S. aureus* further indicated the deep bacteriostatic mechanism of nanoparticles. Schematic representation of the self-assembly and antibacterial mechanism of the Ber-Rhe NPs were shown in Fig. 1A. Driven by weak bonds, self-assembly of the effective constitutions would exhibit greater advantages than nanocarriers, including the enhancement of drug-loading efficiency, ability to sidestep the metabolic difficulties and toxicities associated with nanocarriers<sup>33–35</sup>. At the same time, natural small molecules did not require modification, harsh reaction conditions or complicated synthesis process, made it more economical and conducive to the conversion into clinical drugs. Furthermore, it was reported that self-assembly of small natural phytochemicals was still a severe challenge<sup>31</sup>. Because this relied heavily on molecular configurations, intermolecular forces and so on, the development largely depended on contingency. Current study will provide a promising mode to design and prepare self-assembling nanostructures without nano adjuvants from the enlightenment of TCM combination.

## 2. Materials and methods

### 2.1. Preparation of Ber-Rhe NPs

Ber-Rhe NPs were prepared by a one-step self-assembly process. Briefly, 10 mmol/L Rhe dimethyl sulfoxide (DMSO) solution and 1 mmol/L Ber methanol solution were mixed in a round-bottomed flask (molar ratio, 1:1). Then, 5 g/L sodium hydroxide solution was added in above miscible liquids under vigorous stirring for 10 min. Subsequently, the mixture of Ber and Rhe was gradually added to PBS at 60 °C with stirring. After a bath sonication for 1 h, the solution in dialysis bag (MWCO = 2.5 kDa) was dialyzed against ultrapure water for 12 h. Finally, the self-assembled Ber-Rhe NPs were obtained.



**Figure 1** (A) Schematic representation of the self-assembly and antibacterial mechanism of the Ber-Rhe NPs. (B) Tyndall effect of nanoparticles. (C) SEM image of nanoparticles. (D) TEM image of nanoparticles. (E) The hydrodynamic diameters and distributions of nanoparticles. (F) The release of Ber and Rhe from Ber-Rhe NPs at PBS (pH = 7.4). (G) Stability of nanoparticles measured by DLS.

## 2.2. Crystallography

Recrystallization was used in this section<sup>36</sup>. 20 mg Ber-Rhe NPs was fully dissolved in 5 mL methanol solution. The beaker was not sealed, placed it in the crystal incubator and set the temperature to 25 °C. After stewing for 3 days, the sheet crystal was placed on the copper target and analyzed by single crystal X-ray diffractometer (Bruker, Karlsruhe, Germany). The diffraction data were collected and analyzed using a series of softwares, including Smart, Shelxtl, Platon, Diamond, etc. Cambridge Crystallographic Data Centre (CCDC, Cambridge, UK) deposition numbers 1842747 contain crystallographic data for this article. These data could be acquired free of charge by <http://www.ccdc.cam.ac.uk/conts/retrieving.html> or from the CCDC, 12 Union Road, Cambridge CB21EZ, UK.

## 2.3. Drug released test

For studying the release behavior of Ber-Rhe NPs, PBS solution of Ber-Rhe NPs (1 μmol/mL, 2 mL) in dialysis bag (MW = 3500) was immersed into PBS (20 mL) at 37 °C. At desired time points

(0.5, 1, 2, 4, 6, 8, 16, 24, 36, 48 and 72 h), dialysis solution (0.5 mL) was collected and analyzed with high performance liquid chromatography (HPLC). The chromatographic parameters were similar to those of the bacterial uptake test (Supporting Information Section 2) except that the gradient solution was modified as follows: 0–10 min, 29% B; 11–17 min, 65% B; 18–20 min, 65%–80% B. Ber and Rhe solution, which were equal to Ber-Rhe NPs, were the control group, each experiment was repeated three times.

## 2.4. Determination of minimum inhibitory concentration (MIC) and minimal bactericidal concentration (MBC)

The culture method of *S. aureus* was recorded in Supporting Information Section 3. The MIC and MBC of Ber-Rhe NPs were evaluated by microporous dilution method<sup>37</sup>. Briefly, 100 μL samples (Ber, Rhe and Ber-Rhe NPs) were injected to 96-well microtiter plates using broth micro dilution methods, then 100 μL bacterial suspension ( $2 \times 10^6$  CFU/mL) was added to each well with an ultimate volume of 200 μL. And the gradient concentrations of Ber, Rhe and Ber-Rhe NPs were 0.2, 0.1, 0.05,

0.025 and 0.0125  $\mu\text{mol/mL}$ , respectively. After fully mixing, the 96-well microtiter plates were placed in an incubator at 37 °C for 16 h. The bacterial reproduction was obtained through measuring the optical density at 600 nm ( $\text{OD}_{600}$ ) via microplate reader. After that, the medium of the above 96-well plate was applied to the nutrient agar mediums with the inoculation ring. After being cultured for 18 h in a 37 °C incubator, the nutrient agar mediums were observed. This experiment was conducted in triplicate. As proof of concept, MIC is the minimum concentration for samples with transparent wells. And, MBC is the minimum concentration that maintained less than 5 colonies on the nutrient agar mediums.

### 2.5. Determination of biofilm clearance effect, CLSM and SEM observation

*S. aureus* biofilms were prepared as described in [Supporting Information Section 4](#). The quantification of anti-biofilm ability of Ber, Rhe and Ber-Rhe NPs was carried out in 96-well plates by XTT method. After incubation with samples at 37 °C for 24 h, biofilms were swilled by PBS for 3 times. Menadione was dissolved in acetone to obtain an ultimate concentration of 1.72 mg/mL. XTT was dissolved in PBS and the final concentration was 0.5 mg/mL. Before the determination of *S. aureus* biofilm formation, XTT solution was mixed with menadione at a ratio of 1000:1 and 200  $\mu\text{L}$  of it was added to the micropores. After incubation with XTT-menadione solution at 37 °C in the dark for 2 h, the absorbance of the biofilms at 490 nm was recorded with microplate reader. With normal saline as the control group, each sample was repeated 3 times in micropores and the mean value was recorded. Before the CLSM observation, biofilms on the bottom of the petri dishes were stained with live/dead BacLight bacterial viability kit (Thermo Fisher Scientific, Waltham, MA, USA) for 0.5 h in the dark. Setting the excitation wavelength to 488 and 561 nm, CLSM was used to image *S. aureus* biofilms. We used Imaris software (Bitplane Imaris 7.4.2) to process the images and obtained the 3D renderings, which made the bacteriostatic effect of different samples more obvious. The SEM analysis of biofilms was based on previous studies<sup>38</sup>. *S. aureus* in logarithmic growth phase was inoculated into a 12-well plate with silicon wafers on the bottom for 24 h. Then, the medium was removed completely; fresh medium containing different samples was added to the 12-well plate. After incubation for 24 h at 37 °C, the biofilms were washed by PBS for 3 times. Then, we fixed the biofilms using 2.5% glutaraldehyde for 4 h. Graded ethanol solutions were used to dehydrate the cells. After dehydrated with acetone, biofilms were observed by SEM.

### 2.6. Slice preparation for SEM and TEM observation

When preparing SEM photographs of *S. aureus*, *S. aureus* cells treated by Ber, Rhe, Ber-Rhe NPs and PBS were collected via a centrifuge (Heraeus Pico 17, Thermo Fisher Scientific) at 3000 rpm for 10 min. In particular, undiluted and immobilized bacteria were photographed with nanoparticles attached to them. In another handling method, *S. aureus* treated by different samples were swilled by PBS for 3 times and then fixed with 2.5% glutaraldehyde for 4 h. Afterwards, we fixed them using 0.1% osmic acid for 1 h, swilled them through PBS for 3 times, dehydrated the *S. aureus* cells using graded ethanol solutions with 30%, 50%, 70%, 80%, 90% and 100% (v/v, in water), ultimately dehydrated the *S. aureus* cells through acetone twice for 10 min. To this step, some cell samples were imaged by SEM. The

remaining cell samples were embedded in aseptic 2% AGAR for 48 h. Ultimately, ultrathin sections (60–70 nm) were stained through 2% uranyl acetate and 2% lead citrate solution, and then observed by TEM<sup>39</sup>.

### 2.7. Hemolysis assay of Ber-Rhe NPs

Hemolysis test of Ber-Rhe NPs was carried out using mice erythrocytes<sup>40</sup>. Erythrocytes were obtained and washed with normal saline for three times and prepared into 20% RBC solution. Ber-Rhe NPs were diluted with normal saline into different concentrations (2, 4, 8, and 16 MIC). Then, we mixed Ber-Rhe NPs dispersion (1 mL) with 20% RBC solution (100  $\mu\text{L}$ ) to obtain the administration of blood cell suspension. The ultrapure water was positive control and normal saline was the negative control. After incubated for 2 h at 37 °C, the RBC suspension was recorded at 540 nm with a microplate reader. The hemolysis ratio of Ber-Rhe NPs was calculated by Eq. (1):

$$\text{Hemolysis rate (\%)} = (A_n - A_0) / (A_1 - A_0) \times 100 \quad (1)$$

where  $A_n$  was the absorption at different concentration of the Ber-Rhe NPs,  $A_0$  was the absorption of negative control and  $A_1$  was the absorption of positive control.

### 2.8. Cell viability

The cytotoxicity of Ber-Rhe NPs against MDCK cells was evaluated by MTT assay. MDCK cells suspension was added into 96-well plates at about  $5 \times 10^3$  cells per well and then incubated at 37 °C for 24 h. Afterwards the medium was removed and added fresh culture medium containing serial concentration of Ber-Rhe NPs, Ber and Rhe. After incubated at 37 °C for 24 and 48 h, 96-well plates were added 10  $\mu\text{L}$  5% MTT solution and further incubated for 4 h. Then the medium containing samples and unreacted dye was removed and the formazan crystals were dissolved by 150  $\mu\text{L}$  DMSO. A microplate reader was used to record the absorbance at a wavelength of 490 nm.

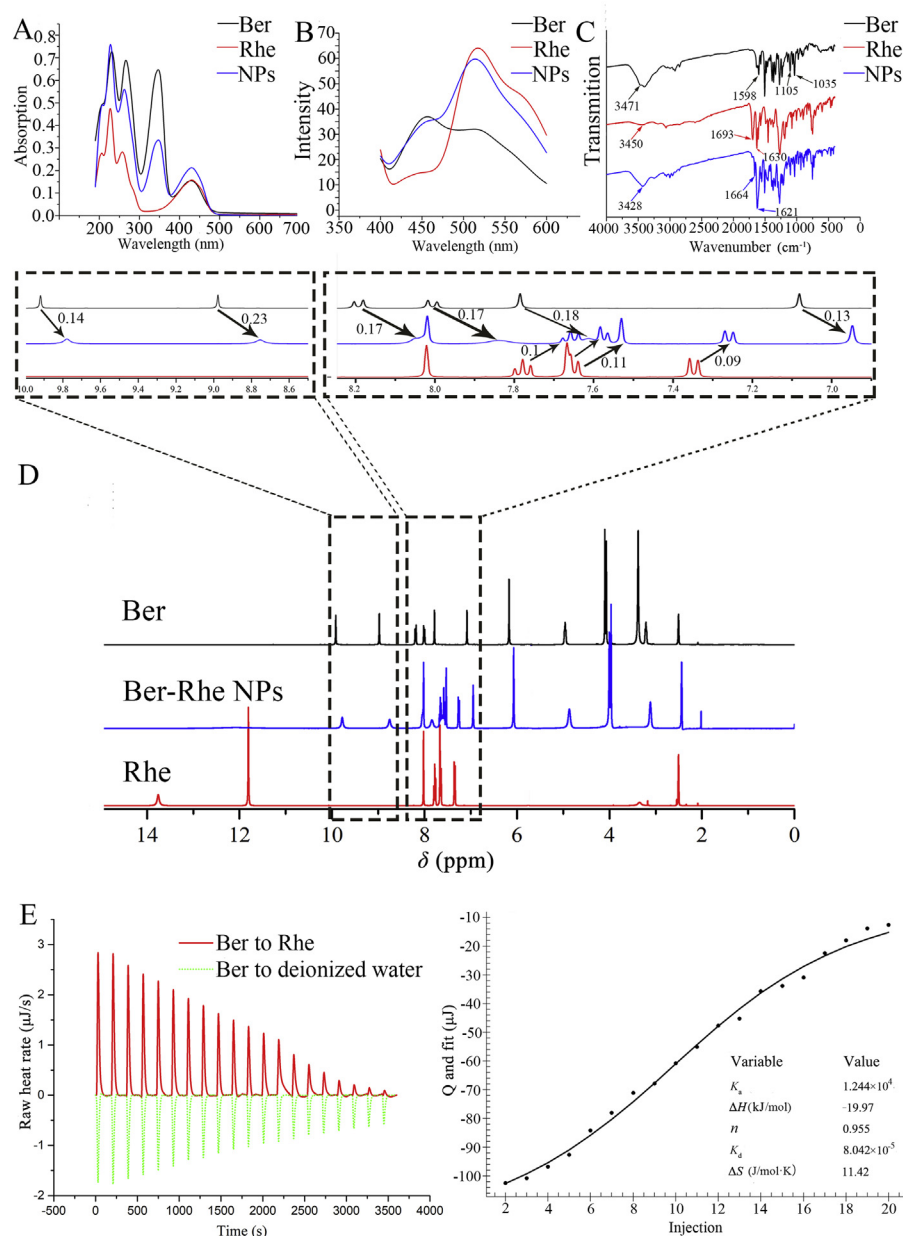
### 2.9. Assessment of toxicological response in zebrafish

One-day-old zebrafish were used to evaluate the potential toxicity of Ber, Rhe and Ber-Rhe NPs in this assay. Malformation or mortality of zebrafish reflected the toxicity of the samples. Healthy zebrafish were placed in a 12-well plate (20 zebrafish per well), incubated with different concentrations of Ber, Rhe and Ber-Rhe NPs in Holtfreter's solution at 27 °C. The morphology and survival status of zebrafish were observed at different time intervals (24, 48 and 72 h), and ultimately reflected the safety of different samples. The experimental procedures with zebrafish used in this study were reviewed and approved by the Animal Care Committee of Beijing University of Chinese Medicine, Beijing, China.

### 2.10. Statistical analysis

The obtained data were analyzed and exhibited as mean  $\pm$  standard deviations (SD) of  $n \geq 3$ . Statistical analyses of various parameters were carried out by *t*-test. Differences were





**Figure 2** (A) UV–Vis spectra of Ber, Rhe and Ber-Rhe NPs. (B) Fluorescence spectra of Ber, Rhe and Ber-Rhe NPs. (C) FT-IR spectra of Ber, Rhe and Ber-Rhe NPs. (D)  $^1\text{H}$  NMR spectrum of Ber, Rhe and Ber-Rhe NPs. (E) Calorimetric titration of Ber/Rhe and heating curve of Rhe solution titrated by Ber solution.

determined statistically significant for  $^*P < 0.05$ ,  $^{**}P < 0.01$  and  $^{***}P < 0.001$ , respectively.

### 3. Results and discussion

#### 3.1. Synthesis and morphological characterization of Ber-Rhe NPs

Natural phytochemicals with certain pharmacological effects were extracted from medicinal herbs. They were often recognized as lead compounds to enhance their medical value by performing a series of structural modifications. Coincidentally, we found Ber and Rhe could self-assemble into nanoparticles without any involvement of carriers and excipients. This discovery just solved the above possible shortcomings. Meanwhile, Rhe was also

demonstrated as antibacterial ingredient, which might result in synergistic effect with Ber. Hence, a carrier-free, biocompatible, biodegradable, low-cost and harmfulness nano self-assembly was constructed in this section (Fig. 1A).

Tyndall effect of nanoparticles was significant (Fig. 1B). The morphology and size of Ber-Rhe NPs were characterized by dynamic light scattering (DLS), SEM and TEM, respectively. The DLS image in Fig. 1E showed that the average size of nanoparticles was approximately 174 nm, which was consistent with that characterized by SEM and TEM (Fig. 1C and D). Meanwhile, the morphology of Ber-Rhe NPs changed obviously, compared with the parent phytochemicals (Supporting Information Fig. S1). At the same time, we found that the mixture of the individuals also had weak Tyndall phenomenon. The results of DLS and SEM jointly substantiated that the simple mixture contained particles of

different morphologies and sizes (Supporting Information Fig. S2). It was nanotechnology that helped us prepare Rhe and Ber into a uniform nano-platform. In terms of NPs' release and stability characteristics, after 72 h of dialysis in PBS (37 °C), about 50% of the Ber and 36% of the Rhe in nanoparticles were released, indicating that the stacking structure could sustainably release in physiological environment (Fig. 1F). What's more, the stability of Ber-Rhe NPs was measured by DLS. As shown in Fig. 1G, the nanoparticles exhibited excellent stability for 10 days (at 25 °C in dark). This result was associated with the further antibacterial mechanism analysis.

### 3.2. Self-assembly process and characteristics of Ber-Rhe NPs

The composition analysis of Ber-Rhe NPs was based on a series of spectroscopy characterizations. As shown in Fig. 2A, the UV-Vis spectrum of Ber in deionized water exhibited 4 significant absorption peaks. The characteristic absorption peaks at 350 and 428 nm were produced by a conjugated system of isoquinoline rings. The UV-Vis spectrum of Rhe in deionized water showed 4 absorption peaks, the same absorption peaks with Ber were at 204, 230, 265 and 428 nm, respectively. The UV-Vis spectra of nanoparticles had the same of both Ber and Rhe, indicating the successful construction of binary self-assembly. Similarly, the combined characteristics of fluorescence emission spectrum of the nanoparticles were same as the UV-Vis analysis results, when excited at 350 nm (Fig. 2B). The fourier transform infrared spectra (FT-IR) of nanoparticles provided more information for the interaction between Ber and Rhe. As shown in Fig. 2C, Ber exhibited vibration bands 1598, 1105 and 1035  $\text{cm}^{-1}$ , which were ascribed to a C-N stretching band and alicyclic ether, respectively. Rhe showed typical C=O groups in 1693 and 1630  $\text{cm}^{-1}$  as well as associated O-H stretching band. After forming Ber-Rhe NPs, C=O groups of Rhe exhibited blue shift from 1693 and 1630 to 1664 and 1621  $\text{cm}^{-1}$ , indicating the formation of hydrogen bonding or  $\pi$ - $\pi$  stacking between Ber and Rhe. In a word, the above spectral analyses had proved that the nanoparticles were binary aggregates composed of Ber and Rhe.

To further confirm the weak interaction types and positions between Ber and Rhe,  $^1\text{H}$  NMR of nanoparticles was measured in dimethylsulfoxide- $d_6$ , and then compared with the monomer Ber and Rhe under the identical conditions. Fig. 2D showed representative  $^1\text{H}$  NMR spectra, the nanoparticles had the H signals of Ber and Rhe, illustrating the successful synthesis of the conjugated Ber-Rhe NPs. The attribution of H signal was recorded in Supporting Information Section 5. It was obvious that the chemical shifts of the H signals in Ber moved up-field systematically from 9.92, 8.98, 8.19, 8.01, 7.79 and 7.08 ppm to 9.78, 8.75, 8.02, 7.84, 7.61 and 6.95 ppm after Ber and Rhe formed nanoparticles (Supporting Information Table S1). After the formation of Ber-Rhe NPs, the chemical shifts of four C-H peaks in the Rhe's anthraquinone ring also changed apparently from 7.78, 7.66, 7.64 and 7.35 ppm to 7.66, 7.57, 7.53 and 7.26 ppm, respectively (Table S1). The H signals on isoquinoline ring which were involved in conjugation of Ber had obvious shift from down-field to up-field. In particular, the H-11 and H-12 signals in Ber changed from the original double peaks to single peak after complexation. Similarly, the H signals on anthracene ring in Rhe also showed obvious shift from down-field to up-field. The fact was that the anthracene ring in Rhe and the isoquinoline ring in Ber became a structure of  $\pi$ - $\pi$  stacking, which enhanced the delocalization of electrons and made the electronic fraction more

extensive. At the same time, it proved that Ber and Rhe complexed with an equimolar in hydrofacies.

Isothermal titration calorimetry (ITC) analysis curves of the solutions of Ber and Rhe were shown in Fig. 2E. Two experiments were carried out in this part, including the titration of Ber solution (2.5 mmol/L) into deionized water and Ber solution (2.5 mmol/L) into Rhe solution (0.5 mmol/L). The energy changes data of the two interactions was shown in Supporting Information Table S2. The binding heat of deionized water titrated by Ber was set as benchmark, and the experimental group was the titration process of Ber into Rhe. The heating curve of the titration process was fitted with Nano Analyze software (NanoAnalyze v3.10.0). The titration of Ber solution into deionized water was an endothermic dilution process; however, the titration of Ber into Rhe released a lot of heat. By fitting titration curve, we obtained the binding thermodynamic parameters ( $\Delta S$ ,  $\Delta H$ ,  $\Delta G$ ,  $n$ ,  $K_a$  and  $K_d$ ) of Ber and Rhe, summarized in Supporting Information Table S3.  $K_a = 1.244 \times 10^4$ ,  $\Delta G = -23.37$  kJ/mol, indicating the spontaneity of the reaction between Ber and Rhe. The fitted stoichiometric ratio ( $n$ ) was 0.955, indicating the binding ratio of Ber and Rhe was 1:1, which conformed to the result of  $^1\text{H}$  NMR of nanoparticles. This was direct evidence that Ber and Rhe were self-assembled.  $\Delta H = -19.97$  kJ/mol and  $-T\Delta S = 3.40$  kJ/mol, which demonstrated that enthalpy was most of the binding energy, because the entropy change ( $\Delta S$ ) of binding contributed adversely. At the same time, this also demonstrated that the aggregation process was a chemical reaction, such as the formation of electrostatic interaction,  $\pi$ - $\pi$  stacking or hydrogen bonding<sup>27</sup>, rather than a physical combination. These conclusions were consistent with the results of the UV-Vis, fluorescence, FT-IR spectrums and the  $^1\text{H}$  NMR spectrum.

### 3.3. Single crystal analysis disclosed the noncovalent bonding and self-assembly mechanisms

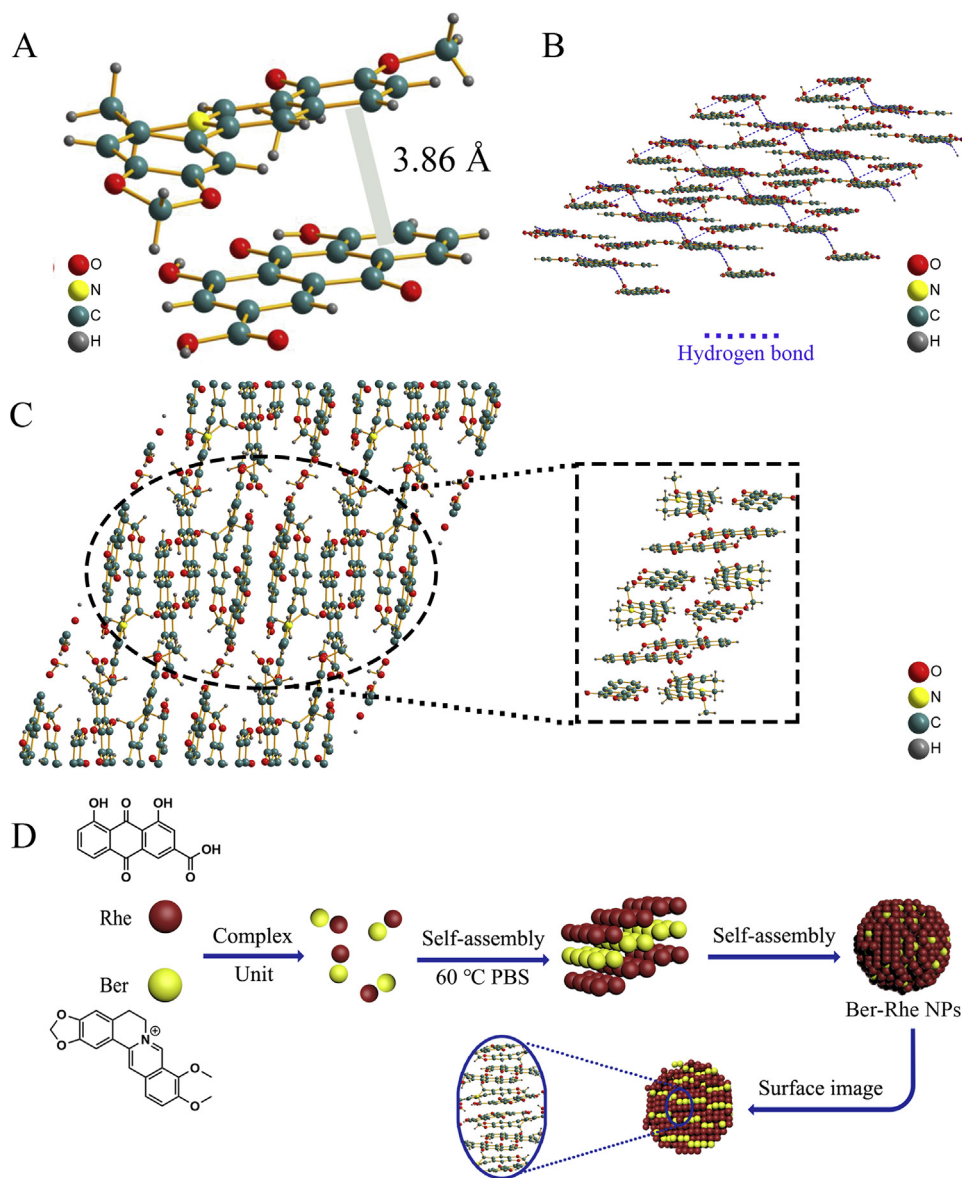
To prove the above analyses and disclose the detailed formation mechanism of nanoparticle, X-ray single crystal diffraction was operated to explore the  $\pi$ - $\pi$  stacking and hydrogen bonds. Coincidentally, we also observed the Ber-Rhe complex units in the crystal. What's more, the interaction force was also clearly displayed. As shown in Fig. 3A, the crystal structure contained basic units of Rhe and Ber induced by  $\pi$ - $\pi$  interaction (the ring/ring distance  $\sim 3.86$  Å). The electrostatic interaction between the unit cells also made the whole assembly structure more reasonable. In stereoscopic view, Rhe formed a layered stacking structure by hydrogen bonding (Fig. 3B). Subsequently, Ber was embedded in the layered structure formed by Rhe (Fig. 3C). Finally, nanoparticles with Rhe as the basic framework and Ber embedded in the layer gap were obtained. In this way, Rhe played the role of skeleton structure, in which Ber was embedded to make the system more stable (Fig. 3D). Other crystal diagrams including skeleton diagram and stacking diagram at different angles were shown in Supporting Information Fig. S4, which also proved that the above explanations were reasonable. Above analyses confirmed that the nanoparticles were formed by self-assembly of the Ber-Rhe complex units, which were consistent with the analyses results of  $^1\text{H}$  NMR and ITC data. At the same time, the hydrogen bonds between Rhe also confirmed the correctness of FT-IR analysis. In a word, Rhe molecules were layered by hydrogen bonding, and Ber were pulled into the layers by  $\pi$ - $\pi$  stacking and electrostatic interaction; then Ber-Rhe NPs were formed eventually.

### 3.4. Antibacterial activity of Ber-Rhe NPs

The antibacterial activity of Ber-Rhe NPs was explored by growth inhibition against *S. aureus*. After incubated for 16 h, the *S. aureus* suspension was recorded at 600 nm ( $OD_{600}$ ) by microplate reader. The MIC of NPs toward *S. aureus* was 0.05  $\mu\text{mol/mL}$  by turbidimetry. 0.1  $\mu\text{mol/mL}$  was the MBC of nano-assembly toward *S. aureus*, which was lower than that of Ber (MBC, 0.2  $\mu\text{mol/mL}$ ) and Rhe (MBC,  $>0.2$   $\mu\text{mol/mL}$ ). As shown in Fig. 4A, the inhibition rate of 0.1  $\mu\text{mol/mL}$  (MBC) Ber-Rhe NPs against *S. aureus* reached 96%, while Ber and Rhe at the same concentration were 85.2% and 73.8%. To confirm the synergistic effects between Ber and Rhe, plate scribing method was applied in this section. The bacteriostatic effect of the three samples at 0.1  $\mu\text{mol/mL}$  was shown in Fig. 4C. The antibacterial effect of Ber was obviously enhanced by self-assembling into nanoparticles with Rhe.

Moreover, biofilm plays a significant role in the growth of *S. aureus*. It acts as a barrier against foreign interference, protecting

bacteria from extermination. In quantitative analysis (Fig. 4B), the concentration of three samples was 0.1  $\mu\text{mol/mL}$  (2 MIC of NPs). At this concentration, Rhe had no obvious scavenging effect on biofilm. The *S. aureus* biofilm treated with Ber had fallen by 21.1%; whereas, Ber-Rhe NPs cleaned *S. aureus* biofilm up to 50.8% when compared to control group. The biofilm clearance of Ber-Rhe NPs was much higher than Ber and Rhe ( $P < 0.001$ ). Before the observation with CLSM, biofilms were stained with 100  $\mu\text{L}$  live/dead stain for 0.5 h in the dark. The green-fluorescent stain labeled live bacterial cells (Fig. 5A). In contrast, the red-fluorescent labeled dead bacterial cells (Fig. 5B). Fluorescence stacking diagram of dead bacteria and live bacteria were shown in Fig. 5C. *S. aureus* produced numerous microbial colonies covering the whole surface of the horizon. In the culture with 0.1  $\mu\text{mol/mL}$  Ber, the number of dead colonies was substantially increased. The inhibitory effect of Rhe on biofilm was weaker than that of Ber, which was consistent with the above inhibition results. A further increased in dead colonies occurred in the culture with 0.1  $\mu\text{mol/mL}$  Ber-Rhe NPs, and



**Figure 3** (A) Basic unit of Ber-Rhe NPs crystal under the action of  $\pi$ - $\pi$  stacking; (B) the layered framework structure formed by Rhe under hydrogen bonding; (C) the accumulation structure of Ber-Rhe NPs crystals; (D) self-assembly process diagram of Ber and Rhe.

the number of live colonies was substantially decreased under the identical imaging settings. Obviously, Ber-Rhe NPs demonstrated a stronger inhibitory effect on *S. aureus* biofilms than Ber. Consistently, the same biofilm scavenging ability of the samples was observed in SEM images (Fig. 5D and E).

Finally, in order to exhibit the bacteriostatic difference between Ber-Rhe NPs and individuals intuitively, SEM and TEM were operated to observe the morphological change of *S. aureus* cells which were treated by Ber, Rhe and Ber-Rhe NPs at the concentration of 0.1  $\mu\text{mol/mL}$  for 12 h. The bacteria treated by PBS were imaged as control. In SEM images (Fig. 6A), the control group had clear edges and surface integrity with regular ellipsoid. When incubated with Rhe, the morphology of bacteria remained basically unchanged. However, the membrane of bacteria treated with Ber was pitted; morphological changes were also observed. Obviously, the membrane morphology of bacterial exhibited shrunk and fusion with massive cytoplasmic leakage after incubation with Ber-Rhe NPs. As shown in TEM images (Fig. 6B), untreated bacteria exhibited a smooth surface; the nucleoid of the cell center was intact. In contrast, the bacterial membrane became fracture after incubated with Ber-Rhe NPs. Moreover, the morphology of the bacteria was severely altered with numerous cytoplasmic releasing.

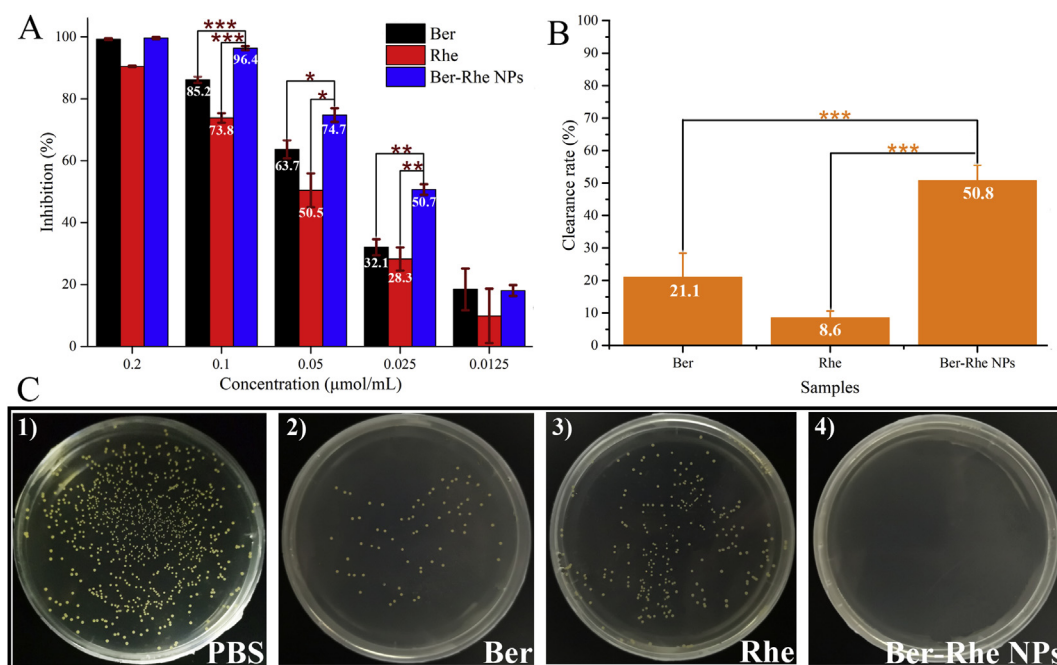
It is reported that the cytoderm of gram-positive bacteria is the main acting site of emodin<sup>41–43</sup>. Analysis showed that anthraquinone ring had strong affinity to membrane. This was similar to the antibacterial mechanism of vancomycin (Supporting Information Fig. S3C), which inhibited cytoderm formation by forming hydrogen bond complexes due to the amide and carboxyl groups at the peptidoglycan terminal on the cytomembrane of the bacteria<sup>44</sup>. Coincidentally, Rhe also has multiple alternating phenolic hydroxyl, carbonyls and carboxyl groups (Fig. S3B), which can

form hydrogen bonds with amide and carboxyl groups on the peptidoglycan, providing a possible explanation for the adhesion of nanoparticles on the bacterial surface. Rhe from self-assembled nanoparticles could be regarded as a functional antibacterial skeleton material as well as a bacteriostatic active ingredient. As shown in Fig. 6C, plenty of nanoparticles were adhered to the surface of the bacteria, local high concentration of Ber and Rhe would damage the membrane ion channel protein and lyse the bacteria<sup>2</sup>. Simultaneously, HPLC–MS/MS disclosed that *S. aureus* was accessed or adhered by Ber (Supporting Information Fig. S5). As clearly shown in Fig. 6B<sup>4</sup>, floccules out of bacteria were also observed. Considering the above images of TEM and drug release test, we inferred that Ber-Rhe NPs disintegrated on the *S. aureus* membrane, and then Ber was released into the cell. After releasing and entering the cell, Ber and Rhe could further affect the physiological function and structural integrity of the bacteria and lead to its death<sup>2,45</sup>.

In summary, Ber-Rhe NPs inhibited the formation of *S. aureus* biofilm and made it lose barrier function. Due to the part of Rhe which distributed on the nanoparticles, hydrogen bonding between in Rhe molecules increased the affinity of the nanoparticles to the surface of bacteria. After Ber-Rhe NPs adhered to the membrane, Ber and Rhe were released to lyse the membrane. Ber and Rhe affected the physiological function of bacteria. The synergistic antibacterial effect of Ber and Rhe made the nanoparticles more effective.

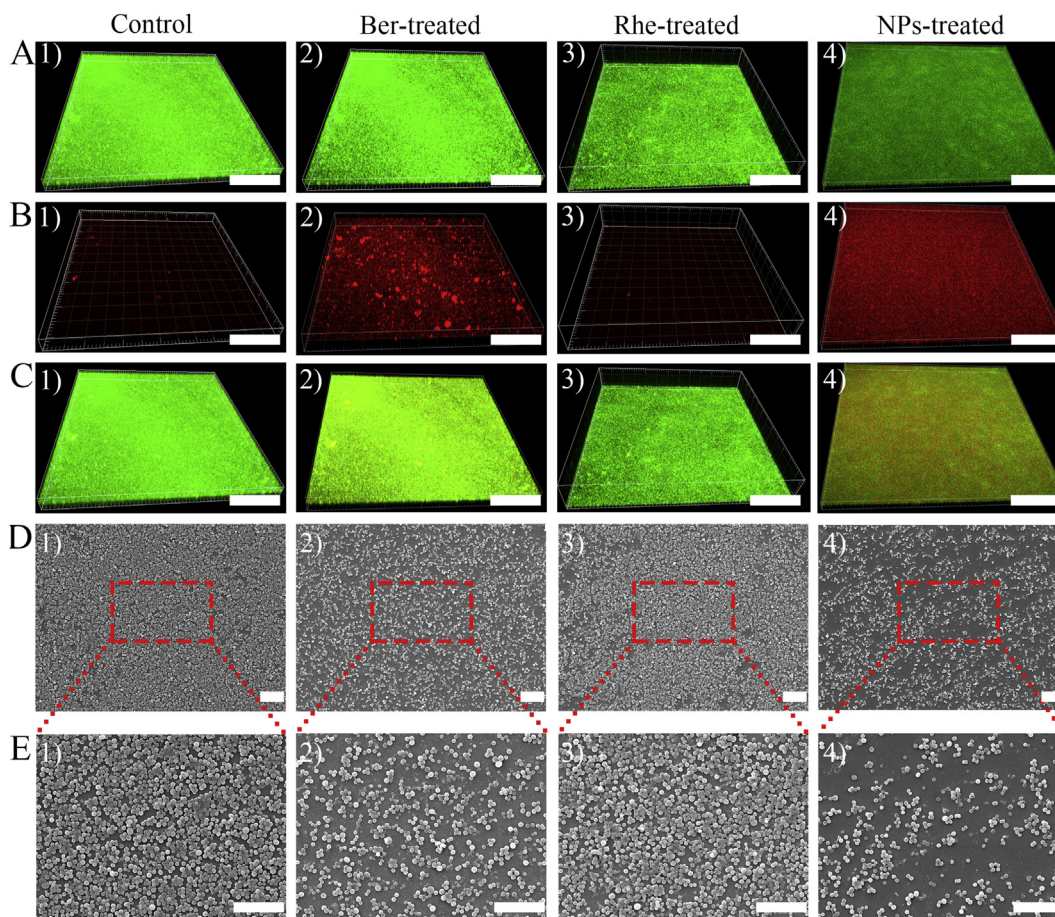
### 3.5. Biocompatibility analysis

The biocompatibility of Ber-Rhe NPs was evaluated by hemolysis test, cytotoxicity test and zebrafish. The hemolysis test was performed as follows, 2% red blood cells solution was incubated with



**Figure 4** (A) The inhibitory rates of different concentrations of Ber, Rhe and Ber-Rhe NPs against *S. aureus*. (B) Effect of Ber-Rhe NPs on *S. aureus* biofilm formation. (C) Colony forming units (CFU) for *S. aureus* treated with PBS, Ber, Rhe and Ber-Rhe NPs at 0.1  $\mu\text{mol/mL}$  ( $10^6$  times diluted).





**Figure 5** CLSM and SEM analysis of *S. aureus* biofilms; (A) living bacteria in biofilms treated by PBS, Ber, Rhe and Ber-Rhe NPs; (B) dead bacteria in biofilms treated by PBS, Ber, Rhe and Ber-Rhe NPs; (C) fluorescence stacking diagram of dead bacteria and living bacteria (scale bar = 200  $\mu\text{m}$ ); (D) SEM images of biofilms incubated with PBS, Ber, Rhe and Ber-Rhe NPs (scale bar = 10  $\mu\text{m}$ ); (E) SEM images of partial biofilms (scale bar = 10  $\mu\text{m}$ ).

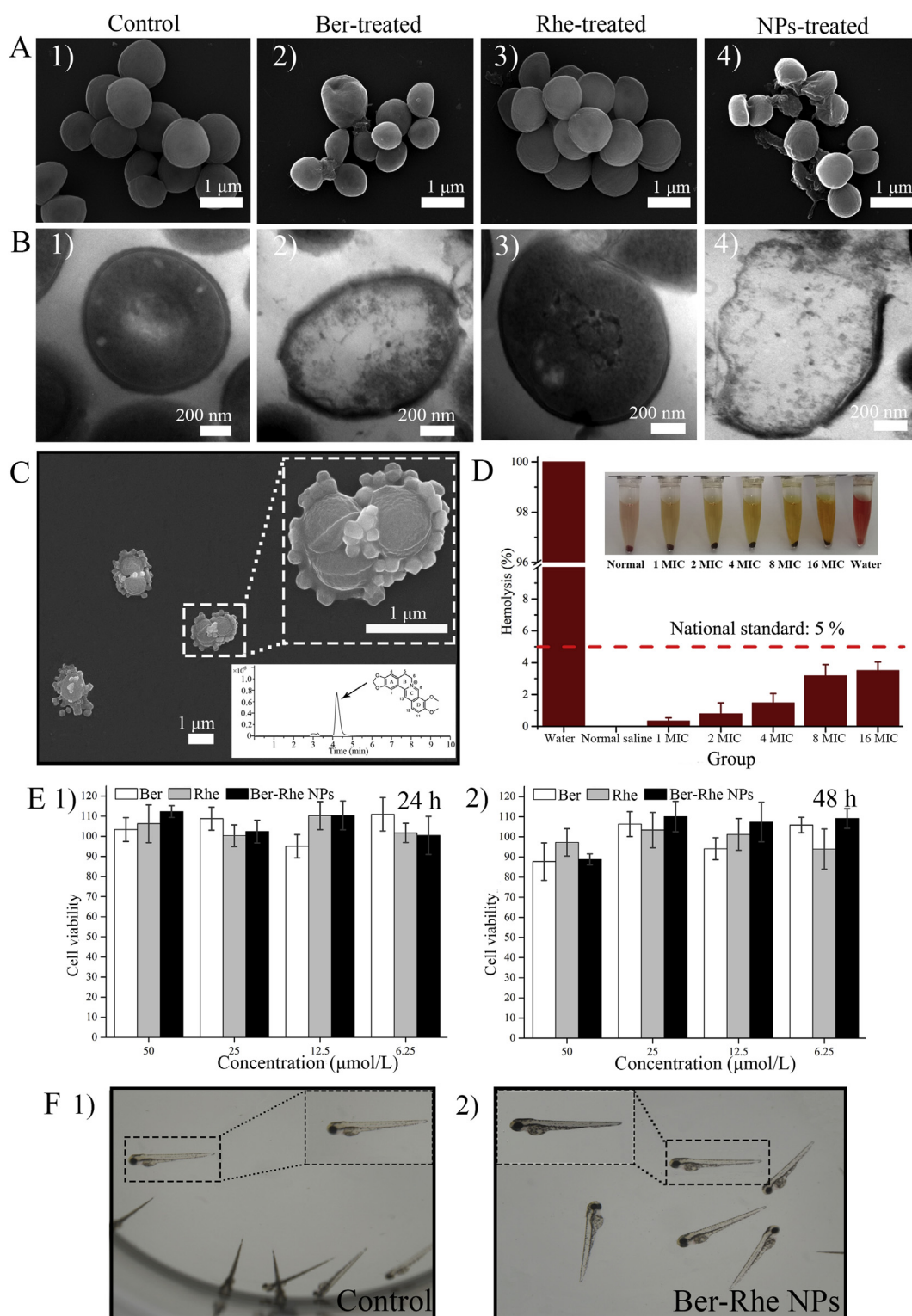
Ber-Rhe NPs (0.05, 0.1, 0.2, 0.4, and 0.8  $\mu\text{mol/mL}$ ) for 4 h. As shown in Fig. 6D, Ber-Rhe NPs exhibited low hemolytic activities (<5%), even at the highest concentration of 0.8  $\mu\text{mol/mL}$  (16 MIC). Cytotoxicity of Ber-Rhe NPs was investigated using MDCK cells. As shown in Fig. 6E, cell viability of Ber-Rhe NPs was over 80% even at concentration of 50  $\mu\text{mol/L}$ . Simultaneously, to further evaluate *in vivo* biocompatibility, zebrafish was used to examine the biosafety of the Ber, Rhe and Ber-Rhe NPs at the concentrations ranging from 6.25 to 100  $\mu\text{mol/L}$ . Zebrafish as a feasible *in vivo* biosafety model have attracted much attention in recent years<sup>46</sup>. The deformity and death of zebrafish were considered as important indicators to evaluate toxicity. As shown in Fig. 6F, after incubation with Ber-Rhe NPs for 72 h, zebrafish were all kept survival, which indicated the low toxicity of the Ber-Rhe NPs. These results demonstrated that Ber-Rhe NPs was highly biocompatible and had greater potential for applications in clinic.

#### 4. Conclusions

In conclusion, we have successfully constructed a natural phytochemicals-based self-assemble nano-platform against *S.*

*aureus*. All experiments, especially NMR and X-ray single crystal diffraction analyses demonstrated that Rhe acted as layered backbone under hydrogen bonding and Ber embedded in it by  $\pi$ - $\pi$  interaction and electrostatic interaction. Thereafter, nanoparticles formed a stable three-dimensional configuration of layered deposits in aqueous phase.

Due to the synergistic bacteriostasis of Ber and Rhe which were commonly used as antibacterial agents in clinic, the antimicrobial activity of Ber-Rhe NPs was enhanced. Under the leadership of Rhe, the novel nanoparticles could adhere to the surface of the bacteria and increase the individuals' concentration around *S. aureus*. Cell integrity was severely compromised, which led to its death. Notably, instead of violent reaction conditions, the self-assembly system was directly owing to the noncovalent bonding between Ber and Rhe, indicating that this was a green strategy of simple process and efficient performance. The easy obtained, multifunctional and biocompatible self-assemble system was supposed to provide new opportunities for the construction of new agents from enlightenment of TCM. Moreover, this study maybe come up with a new angle that self-assembly between natural phytochemicals could be originated from TCM.



**Figure 6** (A) SEM images of *S. aureus* treated by (1) PBS, (2) Ber, (3) Rhe and (4) Ber-Rhe NPs; (B) TEM images of *S. aureus* incubated with (1) PBS, (2) Ber, (3) Rhe and (4) Ber-Rhe NPs; (C) nanoparticles attached to the *S. aureus*; (D) hemolytic activities of Ber-Rhe NPs vary from 1 to 16 MIC. (E) Cell viability after incubated with Ber, Rhe and Ber-Rhe NPs for (1) 24 and (2) 48 h. (F) Image of zebrafish exposed to Holtfreter's solution and 100  $\mu$ mol/L Ber-Rhe NPs for 72 h.

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## Author contributions

Penglong Wang and Haimin Lei designed the research, obtained the funding and superintend the whole study; Penglong Wang, Bing Xu, Tao Ma, Feifei Li and Cong Yan directed the conduct of the experiments; Xuehao Tian, Tong Li and Xuemei Huang performed the all experiments; Xuehao Tian, Yuqin Yang, Wenbo Guo, Mengmeng Yan, Desheng Cai, Hao Zhang and Xiaohui Jia analyzed all data from experiments; Xuehao Tian and Penglong Wang wrote and revised the manuscript. All authors read and approved the final manuscript.

## Conflicts of interest

The authors have no conflicts of interest to declare.

## Appendix A. Supporting information

Supporting data to this article can be found online at <https://doi.org/10.1016/j.apsb.2019.12.014>.

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