

Modulating the Optical Properties of BODIPY Dyes by Noncovalent Dimerization within a Flexible Coordination Cage

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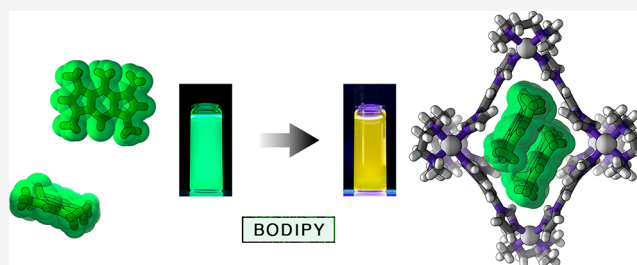
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ABSTRACT: Aggregation of organic molecules can drastically affect their physicochemical properties. For instance, the optical properties of BODIPY dyes are inherently related to the degree of aggregation and the mutual orientation of BODIPY units within these aggregates. Whereas the noncovalent aggregation of various BODIPY dyes has been studied in diverse media, the ill-defined nature of these aggregates has made it difficult to elucidate the structure–property relationships. Here, we studied the encapsulation of three structurally simple BODIPY derivatives within the hydrophobic cavity of a water-soluble, flexible Pd^{II}₆L₄ coordination cage.

The cavity size allowed for the selective encapsulation of two dye molecules, irrespective of the substitution pattern on the BODIPY core. Working with a model, a pentamethyl-substituted derivative, we found that the mutual orientation of two BODIPY units in the cage's cavity was remarkably similar to that in the crystalline state of the free dye, allowing us to isolate and characterize the smallest possible noncovalent H-type BODIPY aggregate, namely, an H-dimer. Interestingly, a CF₃-substituted BODIPY, known for forming J-type aggregates, was also encapsulated as an H-dimer. Taking advantage of the dynamic nature of encapsulation, we developed a system in which reversible switching between H- and J-aggregates can be induced for multiple cycles simply by addition and subsequent destruction of the cage. We expect that the ability to rapidly and reversibly manipulate the optical properties of supramolecular inclusion complexes in aqueous media will open up avenues for developing detection systems that operate within biological environments.



INTRODUCTION

Owing to their high chemical stability and photostability, sharp and intense absorption bands, and high fluorescence quantum yields, boron–dipyrromethene (BODIPY) derivatives are among the most widely studied fluorescent dyes.^{1–3} Furthermore, BODIPY chromophores can be easily and diversely functionalized; this has been used to modulate their optical properties,^{4–7} append them with solubilizing^{8–10} and analyte-binding^{11–15} groups, and attach them to larger entities, such as biomacromolecules.^{16–19} An additional advantage of BODIPY dyes is that their optical properties are strongly dependent on and can be modulated by the degree of dye aggregation as well as the mutual orientation of the dye molecules within the aggregates.²⁰ The optical properties of such aggregates are typically rationalized by Kasha's molecular exciton theory, which was described²¹ 4 years before the first synthesis²² of BODIPY. According to this model, dipole–dipole interactions between two chromophore units split the energy of the excited state within the dimer into two energy levels. The HOMO/LUMO energy gap of the resulting dimer depends on the slip angle, θ , and the center-to-center distance between the coplanarly aligned transition dipoles of the two chromophore units. Despite certain limitations,²³ the molecular exciton theory is a well-established model that explains how high slip angles of $\theta > 54.7^\circ$ result in a blue shift of the

main absorption band (due to the $S_1 \leftarrow S_0$ transition) in these so-called H-type aggregates (due to higher excitation energies; “H” after “hypsochromic”), whereas arrangements with $\theta < 54.7^\circ$ give rise to decreased excitation energies (J-type aggregates; “J” after E. E. Jelley²⁴).

One way to place two BODIPY units in close proximity is to connect them covalently through a short linker. Akkaya et al. installed two BODIPY groups on a xanthene scaffold,²⁵ as expected, the cofacial arrangement of the two groups (i.e., $\theta \approx 90^\circ$) resulted in a hypsochromic shift of the main absorption band from 492 to 478 nm. By systematically decreasing θ using various scaffolds, from xanthene to dibenzothiophene, Alamiry, Benniston, and co-workers tuned the spectral properties of their bis-BODIPYs and reported an efficient excimer emission for the latter scaffold.²⁶ More recently, Bröring et al. coined the term “DYEMers” to describe the covalent oligomers of BODIPY units connected in different ways, including sulfur

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bridges^{27,28} and C=C bonds formed by the olefin cross-metathesis reaction.²⁹

An alternative approach for inducing dye–dye communication is based on noncovalent aggregation of BODIPYs in nonsolvents and various other media.³⁰ In an early study, Pagano et al. observed the formation of fluorescent J-type aggregates upon embedding a BODIPY derivatized with a long alkyl chain within lipid membranes.³¹ More recently, Fernández and co-workers designed a bolaamphiphilic BODIPY derivative that self-assembles into fibrillar H-aggregates,³² whereas Chen et al. observed the coexistence of H- and J-aggregates within vesicular aggregates formed by an amphiphilic BODIPY,³³ among numerous other examples of controlled self-assembly in water.^{34–37} Other media, in which BODIPY aggregation has been investigated, include liquid crystals,^{38–40} micelles and vesicles,^{16,41} latex beads,⁴² and sodium silicate sol–gel glass.⁴³ However, the above systems all suffer from a lack of control over the number of BODIPY units within the aggregates.

Formation of well-defined aggregates of BODIPY comprising a defined number of chromophore units arranged in a particular way might be possible using the host–guest approach. Over the past two decades, an increasing number of molecular and supramolecular capsules, cages, and boxes have been developed.^{44–46} Among them, metal–organic (coordination) cages are particularly interesting.^{47–52} These cages often carry multiple charges and have good water solubility; at the same time, their interiors are usually strongly nonpolar, facilitating the encapsulation of various organic “guest” molecules by means of the hydrophobic effect.⁵³ When these interiors are sufficiently large, the simultaneous binding of two or more guests is possible.⁵⁴ Notable examples include a Pd^{II}-based cylindrical cage capable of encapsulating three molecules of pyrene-4,5-dione,⁵⁵ a cubic Fe^{II}-based cage stabilizing coronene trimers,⁵⁶ and a Pt^{II}-based cage capable of coencapsulating a BODIPY derivative with planar aromatic guests, such as phenanthrene and anthracene.^{53a} Recently, Yoshizawa and co-workers reported that two structurally related polyaromatic Pt^{II}L₄ cages could simultaneously bind two molecules of an asymmetrically substituted BODIPY derivative.⁵⁷ However, a general methodology for encapsulating various BODIPY derivatives as dimers has remained unknown.

Here, we worked with a polycationic [Pd₆L₄]¹²⁺ cage, where L = 1,3,5-triimidazolylbenzene (TIM) (**1** in Figure 1a).⁵⁸ Owing to its high water solubility, hydrophobic interior, and high flexibility,⁵⁹ cage **1** can encapsulate—and effectively solubilize in water—a wide range of structurally diverse guests.^{59–62} We show that cage **1** can undergo a structural distortion to accommodate two molecules of various BODIPY dyes. The mutual arrangement of the dye molecules within these BODIPY dimers is remarkably similar to that found in the crystal structures of respective BODIPYs, which feature an antiparallel arrangement of the neighboring molecules (H-aggregation). The unique stabilization of BODIPY dimers allowed us to study the spectral properties of the smallest possible noncovalent BODIPY H-aggregates, i.e., H-dimers. We also worked with a BODIPY derivative that has a tendency to form J-aggregates featuring parallel packing of the chromophore units with relatively small slip angles. We found that cage **1** drastically affects the aggregation behavior of this dye, forcing it to form the otherwise unstable H-dimers. Taking advantage of this finding, we developed a system

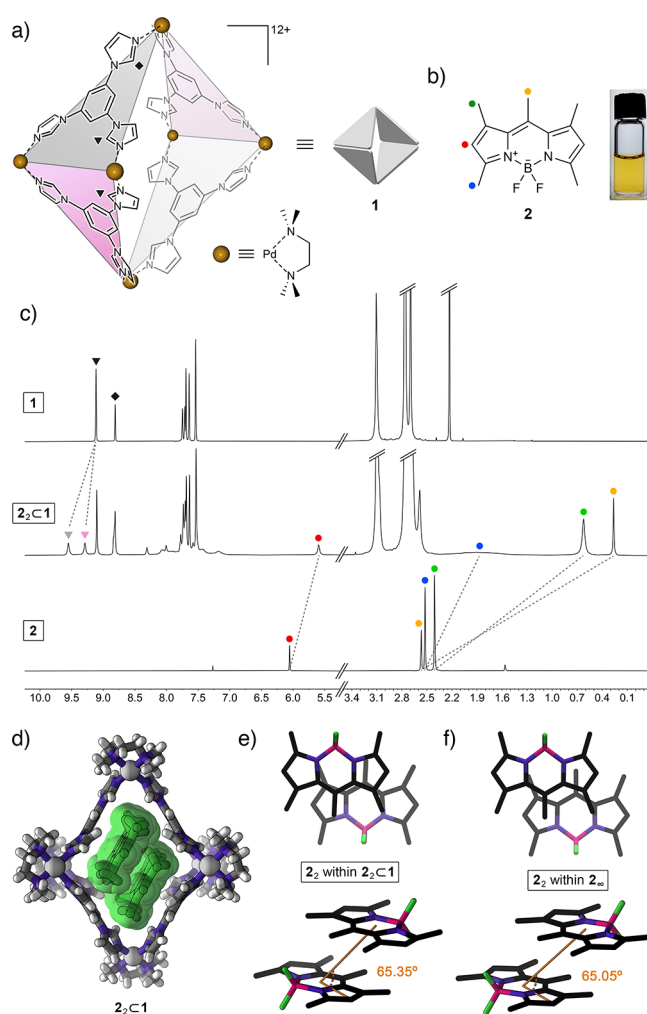


Figure 1. (a) Structural formula of coordination cage **1** based on six Pd²⁺ nodes and four triimidazole (TIM) ligands. Two kinds of acidic imidazole protons (equivalent in free TIM; nonequivalent within the cage) are denoted by triangles (equatorial acidic imidazole protons) and a diamond (axial acidic imidazole protons). Guest binding within the cage can further differentiate between equatorial protons within TIM ligands oriented parallel to the bound guest (pink panels) and perpendicular to it (gray panels). (b) Structural formula of pentamethyl-substituted BODIPY **2** (left), and photograph of **2** dissolved in an aqueous solution of cage **1**. (c) Partial ¹H NMR spectra of the empty cage **1** (top), **2**₂C**1** (middle) (500 MHz, D₂O), and free **2** (bottom; 400 MHz, CDCl₃). (d) Crystal structure of **2**₂C**1**. (e) Top view (top) and side view (bottom) of the packing motif of **2** within the crystal of the **2**₂C**1** inclusion complex (cage **1** is not shown for clarity). (f) Top view (top) and side view (bottom) of the packing motif within the crystal of **2**.⁶⁶

allowing us to toggle the mutual orientation of the dye molecules and thus the optical properties reversibly for at least several cycles.

RESULTS AND DISCUSSION

We previously reported that cage **1** forms inclusion complexes with various azobenzene derivatives.⁶⁰ Within these complexes, two azobenzene guest molecules occupy the nonpolar cavity of the host cage. On the basis of some structural similarity between azobenzene and common BODIPY dyes, we hypothesized that cage **1** would similarly form 1:2 complexes with various BODIPYs. We began our study with the widely

investigated pentamethyl-substituted BODIPY **2** (Figure 1b).^{63–66} We were pleased to find that upon stirring with solid **2** (otherwise insoluble in water), the transparent aqueous solution of **1** turned intense yellow, indicative of the complexation of **2**. The ¹H NMR spectrum obtained upon stirring a solution of **1** in D₂O over an excess of solid **2** at room temperature for 24 h is shown in Figure 1c (middle). The spectrum shows the presence of a complex comprising two molecules of guest inside the cage (i.e., **2**₂C**1**; see below for the stoichiometry determination) and the residual free cage. To differentiate between the peaks due to empty and filled **1**, we removed undissolved **2** and titrated the mixture of **2**₂C**1** and **1** with an excess of free **1** (see Figure S16).

The DOSY spectrum showed that all of the species in the solution had the same diffusion coefficient, confirming that **2** was quantitatively associated with cage **1** (Figure S19). We comprehensively characterized **2**₂C**1** with a suite of 2D NMR techniques, including COSY, NOESY, HSQC, HMBC, and HOESY (Figures S20–S28).

Integrating the signals in the ¹H NMR spectrum (Figure 1c (middle) and Figure S15) showed that empty and filled **1** coexisted in an ~1:1 ratio (we verified that stirring for a longer time, heating, and applying sonication did not increase the encapsulation yield of **2** and the other BODIPYs (see below)). The fact that only ~50% of the cages become filled may seem surprising given the presence of an excess of the guest. However, we observed a similar effect previously and explained it^{59,60} by the very low aqueous solubility of free guest, [**2**], which, even for a high association constant ($K_{\text{assoc}} = [\mathbf{2}_2\mathbf{C}\mathbf{1}]/[\mathbf{1}]\cdot[\mathbf{2}]^2$), will leave a substantial amount of the cage unfilled (i.e., [**1**] ≫ 0). The low solubility of free **2** in water makes the determination of K_{assoc} difficult. However, experiments in which we subjected cage **1** to competitive binding of **2** and azobenzene (a guest whose association constant with **1** was previously determined⁶⁰) allowed us to conclude that $K_{\text{assoc}} > 10^9 \text{ M}^{-2}$ (Supporting Information, Section 11).

Further evidence for the 1:1 mixture of **2**₂C**1** and **1** (as opposed to a hypothetical **2**C**1** complex) was obtained by evaporating water from an aqueous solution of **1** saturated with **2**. In this experiment, we observed the formation of a mixture of colorless crystals of the unfilled cage and red crystals, verified by a single-crystal X-ray diffraction structure solution as **2**₂C**1** (see below).

The ¹H NMR spectrum of **2**₂C**1** shows that all four signals due to **2**'s protons are upfield shifted, which can be attributed to the presence of **2** inside the hydrophobic, aromatic cavity of cage **1**; indeed, the largest shift of ~2.3 ppm was observed for **2**'s central methyl group (yellow circle in Figure 1). Detailed analysis of 1D and 2D NMR spectra reveals some interesting insights into the structure and dynamics of the **2**₂C**1** complex. First, the signal due to protons adjacent to the BF₂ moiety (blue in Figure 1) shows very significant broadening, which can be explained by through-space ¹H–¹⁹F coupling (C–H...F distance = 2.50 Å in the crystal structure⁶⁶ of free **2**). This coupling is not observed in a chloroformic solution of free **2** (Figure 1c, bottom) due to efficient solvation and fast rotation of the methyl group. We hypothesize, however, that encapsulating **2** inside the cavity of **1** restricts the rotation, reinforcing the intramolecular hydrogen bonding. Second, we found that upon binding two molecules of guest **2** within the cage, many signals originating from **1**'s TIm walls split into sets of two signals—a phenomenon that was not observed previously for complexes incorporating one guest molecule^{59,62}

or two guests lacking extended aromatic systems.^{60,61} For **2**₂C**1**, this splitting is best seen for **1**'s equatorial acidic imidazole protons (denoted by triangles in Figure 1); it originates from interactions with the aromatic system of guest **2**, resulting in the desymmetrization of two adjacent TIm panels (pink and gray in Figure 1a). Specifically, two molecules of BODIPY **2** within cage **1** are oriented parallel to each other and parallel to the “pink” panels and roughly perpendicular to the “gray” panels, which explains why the “pink” protons of **1** appear upfield compared with its “gray” protons (this differentiation is better illustrated for complex **3**₂C**1**; see below). Third, the ¹H–¹⁹F HOESY spectrum of **2**₂C**1** (Figure S28) shows a pronounced through-space correlation between **2**'s fluorine and hydrogen on the opposite side of the molecule (the *meso* position; yellow in Figure 1b). This result suggests that the two molecules of **2** are arranged inside **1** in an antiparallel fashion. Furthermore, ¹H–¹⁹F HOESY allowed us to observe strong correlations between the BF₂ groups of the guests and **1**'s axial acidic imidazole protons (diamond in Figure 1a), offering insights into the orientation of the antiparallel **2** dimer within **1**. Taken together, these results provide a detailed picture of the structure of **2**₂C**1**. Notably, this picture is in full agreement with the structure elucidated by X-ray crystallography (see below).

Single crystals of **2**₂C**1** were obtained by slow water evaporation from an aqueous solution of **1** saturated with **2**. As mentioned above, this experiment afforded a mixture of colorless crystals of unfilled **1** (these crystals were discarded) and red crystals of **2**₂C**1**, which were collected for X-ray diffraction. The crystal structure of **2**₂C**1** is shown in Figure 1d. Compared with the structure of empty **1**, the cage containing two molecules of **2** is significantly extended in the vertical direction with a relatively large (18.44 Å) distance between the two axial Pd²⁺ centers (compare with 16.86 Å for the undeformed, empty **1**⁵⁸). Although this deformation is unfavorable,⁶⁷ it is compensated by attractive interactions with the encapsulated guest molecules. Overall, the structure of **2**₂C**1** is similar to that of the previously reported 2:1 complexes of cage **1**.^{60,61}

Within the cavity of cage **1**, two molecules of BODIPY **2** are arranged coplanar to each other and to two TIm panels of the cage, giving rise to an extended TIm...**2**...**2**...TIm π – π stack (Figure 1d). As expected, the two guests are arranged in an antiparallel fashion with (i) their BF₂ moieties pointing toward the axial palladium metal centers and (ii) the methyl groups at the *meso* positions and their CH₃ neighbors (yellow and green in Figure 1b, respectively) residing within the equatorial area of the cage. We found that the mutual orientation of the two guests within the cage (Figure 1e) is very similar to that of two neighboring molecules within the crystal of pure **2** (Figure 1f). Specifically, the plane-to-plane distances amount to 3.52 and 3.58 Å for free and encapsulated **2**, whereas the slip angles, θ , are 65.05° and 65.35° for free and encapsulated **2**, respectively (see Figure 1e and 1f and Supporting Information, Section 8). On the basis of this remarkable similarity, we postulate that cage **1** has the ability to isolate and stabilize the smallest repeating unit of crystalline **2**. Importantly, **1**'s ability to stabilize the noncovalent dimer of **2** provides us with the opportunity to investigate the optical properties of the minimal H-type aggregate of **2**, i.e., the H-dimer.

Figure 2a (top) shows the absorption and emission spectra of an aqueous solution of the **2**₂C**1** inclusion complex. Compared with a solution of free **2** in acetonitrile (Figure 2a

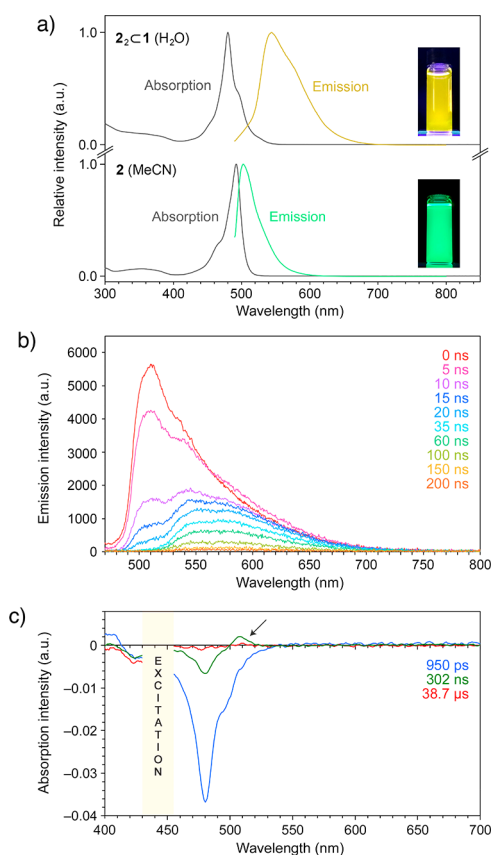


Figure 2. (a) Steady-state absorption and fluorescence ($\lambda_{\text{exc}} = 460$ nm) spectra of inclusion complex 2_2C1 in water (top) and free BODIPY **2** in acetonitrile (bottom). (b) Time-resolved fluorescence spectra of inclusion complex 2_2C1 in water. (c) Time-resolved absorption spectra of 2_2C1 in water. Arrow denotes transient absorption due to the triplet excited state.

(bottom); $\lambda_{\text{max}} = 492$ nm), the main absorption band is less intense, broadened, and blue-shifted ($\lambda_{\text{max}} = 480$ nm), signifying an increased HOMO/LUMO gap for the caged dimer. On the other hand, the emission of **2** within **1** is largely quenched (with the fluorescence quantum yield decreasing from $\Phi_F = 1.00$ for free **2** in chloroform⁴ to $\Phi_F = 0.13$ for 2_2C1 in water), and it is broadened and red-shifted, appearing yellow (Figure 2a (top); $\lambda_{\text{max}} = 544$ nm), in sharp contrast to the characteristic bright green emission of free **2** in an organic solution (Figure 2a (bottom); $\lambda_{\text{max}} = 502$ nm).

To obtain further insight into the optical properties of 2_2C1 , we performed transient fluorescence and absorption spectroscopy studies. The series of time-resolved emission spectra in Figure 2b show a major peak at ~ 510 nm whose decay can be fitted to a single exponential with a time constant $8.70 (\pm 0.43)$ ns. A similar behavior was found for a MeCN solution of free **2**, which features a single peak at 508 nm, decaying with a similar time constant of $6.71 (\pm 0.15)$ ns (Supporting Information, Figure S62a), in agreement with previous reports on free **2** and **2** encapsulated as a monomer.^{53a} The inclusion complex 2_2C1 exhibited a more complex emission profile with two additional broad bands at ~ 540 and ~ 570 nm whose decay could not be fitted with single exponentials (instead, they were fitted with double exponentials with time constants of $11.4 (\pm 1.6)$ and $89.5 (\pm 43.9)$ ns for the ~ 540 nm band and $4.58 (\pm 0.63)$ and $56.4 (\pm 1.9)$ ns for the ~ 570 nm band).

Furthermore, we studied the optical properties of free and encapsulated **2** by transient absorption spectroscopy. Compound **2** in MeCN exhibited a pronounced bleach at 492 nm (Supporting Information, Figure S65a). The recovery of the bleach was fitted with a single exponential with a time constant of $\tau_{1/2} = 5.99 (\pm 0.16)$ ns. For 2_2C1 in water, the main band due to bleach and stimulated emission was shifted to ~ 480 nm, and it decayed significantly slower with $\tau_{1/2} = 57.5 (\pm 2.0)$ ns (Figure 2c and Supporting Information, Figure S65b). In addition, we observed a transient absorption peak at ~ 510 nm which could be attributed to the triplet state. The decay of this absorption was fitted with a single exponential with a time constant of $\tau_{1/2} = 14.5 (\pm 5.1)$ μ s. Overall, the optical properties of 2_2C1 are in agreement with Kasha's model of exciton coupling in molecular dimers²¹ and with the antiparallel packing of **2** into H-dimers as elucidated by X-ray crystallography. At the same time, we note that whereas the optical properties of 2_2C1 are governed largely by $2 \cdots 2$ interactions, they can also be affected by the host cage.

The binding of **2** within the cavity of **1** in water is driven largely by hydrophobic interactions; therefore, the significant shift in the optical properties upon encapsulation of **2** could be reversed upon treating aqueous solutions of 2_2C1 with water-miscible organic solvents (Figures S52 and S53). We found, for example, that by titrating a solution of 2_2C1 with MeCN, both the absorption and the emission peaks increased in intensity until the values identical to those of free **2** in organic solvent were restored, which required addition of less than one volume of MeCN. Importantly, the quantitative release of **2** from the cage and the large difference in the optical properties of free and encapsulated **2** allowed us to confirm the percentage of cages filled with the guests. In this experiment, we first saturated a known amount of **1** dissolved in water with **2** and discarded excess solid **2**. Then we released **2** with MeCN and compared the absorbance of the resulting solution with that of MeCN containing a known amount of **2** (the molar absorption coefficient of **2** in MeCN, $\epsilon \approx 80\,000$ L mol⁻¹ cm⁻¹). We concluded that a solution of the cage contained 1.0 equiv of encapsulated **2**, i.e., a 1:1 mixture of **1** and 2_2C1 , in agreement with the encapsulation efficiency determined by ¹H NMR spectroscopy.

To verify that the observed H-dimerization is not limited to compound **2**, we worked with another BODIPY, **3** in Figure 3a. Similar to **2**, compound **3** is insoluble in water but can readily be solubilized in an aqueous solution of cage **1**. Owing to the presence of two additional methyl groups and a CHO group at the *meso* position (the mesomeric effect), the main absorption bands of **3** and 3_2C1 (in MeCN and water, respectively) are strongly red-shifted with respect to **2** and 2_2C1 (by 42 and 40 nm, respectively, giving rise to pink-colored solutions; see Figure 3b); in addition, the fluorescence of **3** is largely quenched. Similar to **2**, the encapsulation of **3** resulted in a 12 nm blue shift of the main absorption band, suggesting the presence of H-dimers inside the cavities of **1**. Interestingly, ¹H NMR spectroscopy (Figure 3c) showed the presence of a single species, 3_2C1 , demonstrating that **3**, unlike BODIPY **2**, did not leave any cages unfilled. This result can be explained by a higher aqueous solubility of **3** and/or tighter binding within the cage (Figure S46). Importantly, the lack of residual signals of empty **1** (Figure 3c, top) allowed us to characterize the inclusion complex more comprehensively (Figures S29–S41), including the unambiguous assignment of all of the signals in the ¹H NMR spectrum (Figure S29). We

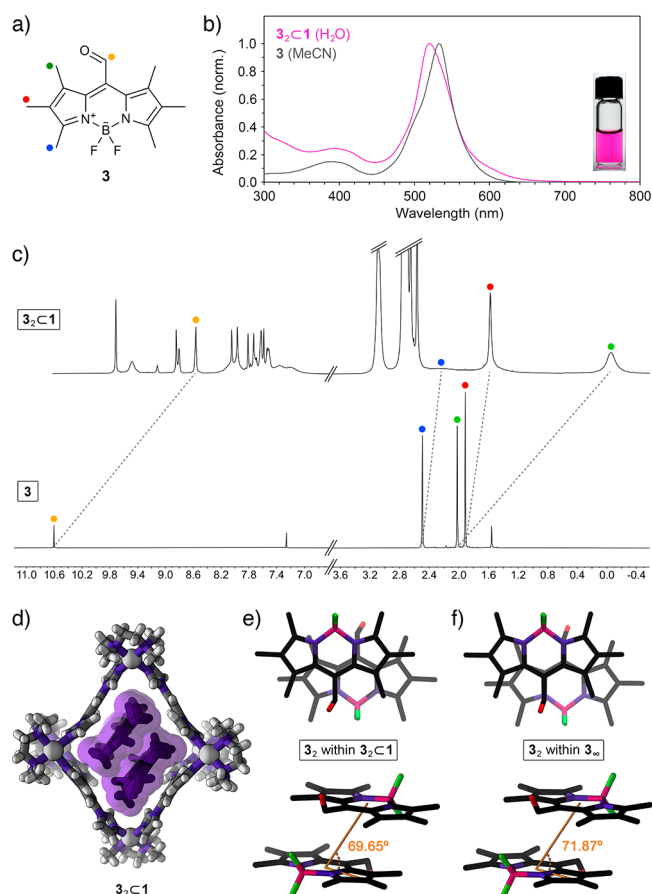


Figure 3. (a) Structural formula of CHO-substituted BODIPY **3**. (b) UV-vis absorption spectra of **3** in MeCN (gray; $\lambda_{\max} = 533$ nm) and 3_2C1 in water (pink; $\lambda_{\max} = 520$ nm). (Inset) Photograph of **3** dissolved in an aqueous solution of cage **1**. (c) Partial 1H NMR spectra of 3_2C1 (top; 500 MHz, D_2O) and free **3** (bottom; 400 MHz, $CDCl_3$). (d) Crystal structure of 3_2C1 (note that 3_2C1 crystallized as a 1:1 mixture of two conformers differing slightly in their structure; for the other conformer, see Figure S47). (e) Top view (top) and side view (bottom) of the packing motif of **3** within the crystal of the 3_2C1 inclusion complex (cage **1** is omitted for clarity; slip angle θ is denoted in orange; for the other conformer, $\theta = 73.45^\circ$). (f) Top view (top) and side view (bottom) of the packing motif within the crystal of **3**.

found that the shifts of proton resonances accompanying the encapsulation of **3** were very similar to those of **2** (compare Figure 3c with Figure 1c) with significant broadening of the signal due to the CH_3 adjacent to the BF_2 moiety (blue in Figure 3a and 3c). Furthermore, we observed strong NOE correlations between the peripheral CH_3 protons (red in Figure 3a) and the equatorial imidazole protons within the “pink” TIm panels ($\delta = 7.51$ and 7.17 ppm), which, in the absence of similar correlations with the imidazole protons of the “gray” TIm panels ($\delta = 7.62$ and 7.97 ppm), confirms that the guests are bound parallel to the “pink” walls.

Inclusion complex 3_2C1 crystallized as a 1:1 mixture of two conformers that differ slightly in the structure of the cage and the mutual orientation of the guests (see Supporting Information, Section 8). Most importantly, the slip angles, θ , amounted to 69.65° and 73.45° for the two conformers, within $\sim 2^\circ$ of θ within the crystalline **3** (71.87°) and significantly different from θ for the encapsulated and crystalline **2** (65.35° and 65.05° , respectively). This result indicates that the

structures of inclusion complexes 2_2C1 and 3_2C1 are governed primarily by BODIPY–BODIPY interactions (rather than BODIPY–cage interactions), allowing us to contend that cage **1** has the ability to isolate and stabilize BODIPY dimers in their near-native form (i.e., as they appear within extended H-aggregates).

The above results show that in the presence of BODIPYs **2** and **3**, cage **1** undergoes structural deformation to accommodate in its cavity the minimal aggregates of these two chromophores. Next, we turned our attention to trifluoromethyl-substituted BODIPY derivative **4** (Figure 4a). Kim et

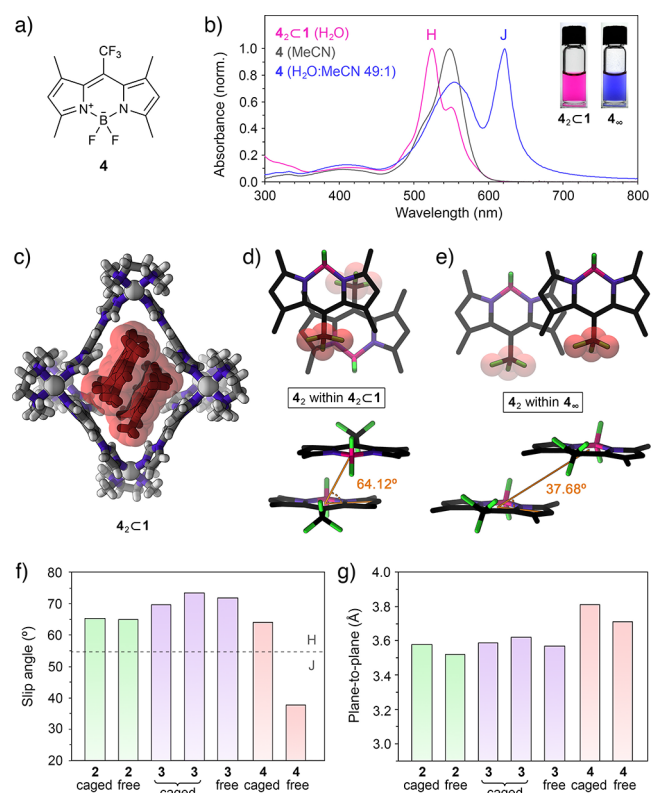


Figure 4. (a) Structural formula of CF_3 -substituted BODIPY **4**. (b) UV-vis absorption spectra of free **4** in MeCN (gray), aggregated **4** in a 49:1 water/MeCN mixture (blue), and 4_2C1 in water (pink). (Inset) Photographs of aqueous solution of 4_2C1 (left) and aqueous suspension of **4** (right). (c) Crystal structure of 4_2C1 . (d) Top view (top) and side view (bottom) of the packing motif of **4** within the crystal of the 4_2C1 inclusion complex (cage **1** is omitted for clarity). (e) Top view (top) and side view (bottom) of the packing motif within the crystal of **4**.⁴ Van der Waals radii were included around the CF_3 groups to emphasize the antiparallel (d) and parallel (e) arrangement of **4**. (f) Summary of the slip angles for free and encapsulated BODIPYs **2**–**4**. Dashed line denotes the transition between the H- and the J-aggregates at slip angle = 54.7° . (g) Summary of the plane-to-plane distances for free and encapsulated BODIPYs **2**–**4**.

al. reported that **4**, despite its structural similarity to **2**, has a tendency to form J-type (rather than H-type) aggregates, featuring a parallel orientation of the chromophores, with a large center-to-center distance and a small slip angle ($\theta = 37.86^\circ$).^{4,68} Typical UV-vis absorption spectra of non-aggregated **4** in MeCN and **4** aggregated in a water/MeCN mixture are shown in Figure 4b as gray and blue traces, respectively. Aggregated **4** forms a blue suspension with an

intense absorption band centered above 600 nm, a sharp emission band at ~ 626 nm (Figure S68), and a very small Stokes shift characteristic for J-aggregates. Interestingly, the aggregation of **4** increases Φ_F by a factor of ~ 40 , transforming the virtually nonemissive free **4** into the fluorescent J-aggregates.⁴

Encapsulation of the putative J-type dimer of **4** (see Figure 4e) would entail a prohibitively large structural deformation of **1**. However, we found that an aqueous solution of **1** could readily dissolve BODIPY **4**. The optical properties of the resulting solution ($\lambda_{\max} = 524$ nm; see the spectrum and photograph in Figure 4b) are remarkably similar to those of 3_2C1 . Indeed, X-ray crystallography revealed that **4** was encapsulated as an H-type dimer (Figure 4c), indicating that the encapsulation of **4** as a J-dimer is too demanding for **1**; instead, the cage forces **4** to form an otherwise unstable H-dimer, similar to **2** and **3**.

Inclusion complex 4_2C1 formed with an $\sim 62\%$ yield, as determined by release experiments (Figure S53d–f) (i.e., 62% of the cages became filled in the presence of an excess of solid **4**). Unfortunately, this complex featured relatively broad signals in the 1H NMR spectra (Figure S42) and could not be comprehensively characterized by 2D NMR techniques. However, the crystal structure of 4_2C1 (Figure 4c) was remarkably similar to that of 2_2C1 and 3_2C1 (Figures 1d and 3d, respectively) except for the larger $4\cdots 4$ distance (3.81 Å; compare with 3.58 Å for $2\cdots 2$ and 3.59 Å/3.62 Å for $3\cdots 3$) due to the relatively bulky CF_3 groups.

Having found that the presence of cage **1** greatly affects the supramolecular organization of **4** in aqueous environments (i.e., J-aggregates vs H-dimers), we hypothesized that the repeated addition and removal of **1** could be used to reversibly modulate the optical properties of this BODIPY. To this end, we first titrated a solution of J-aggregates of **4** (obtained by diluting a methanolic solution of free **4** with 49 volumes of water) with cage **1** and found (see Supporting Information, Figure S67) that addition of 0.5 equiv of the cage with respect to **4** resulted in a spectrum identical to that of the 4_2C1 complex; no further changes were observed upon continued addition of **1** (except for sustained increase in absorption at <350 nm due to the accumulation of free cage). The disassembly of J-aggregates proceeded rapidly: upon adding 1.0 equiv of **1**, formation of 4_2C1 was complete within 3 min (Figure 5a). The process could also be tracked by fluorescence spectroscopy (Figure S71).

Next, we anticipated that the J-aggregates could be formed by releasing **4** encapsulated within **1**. Such release could be accomplished by adding either a competitive guest with a high affinity to the cage cavity or a ligand with a high affinity to Pd^{2+} , which would displace the TIm ligands from the Pd^{2+} centers, thus disintegrating the coordination cage.⁶⁹ Here, we studied the controlled decomposition of the cage using CN^- , which forms a strong complex with palladium(II), $[Pd(CN)_4]^{2-}$. Figure S69, Supporting Information, shows the evolution of the 1H NMR spectra of **1** upon gradual addition of KCN. Interestingly, addition of only 1.0 equiv of CN^- (with respect to Pd^{2+}) results in a spectrum in which the two characteristic peaks at 8.84 and 9.12 ppm (originating from the **1**'s acidic imidazole protons) can no longer be seen, indicating that CN^- is highly potent in disassembling cage **1**. Addition of 4.0 equiv of CN^- affords a spectrum equivalent to that of pure TMEDA in D_2O , indicating that the reaction:

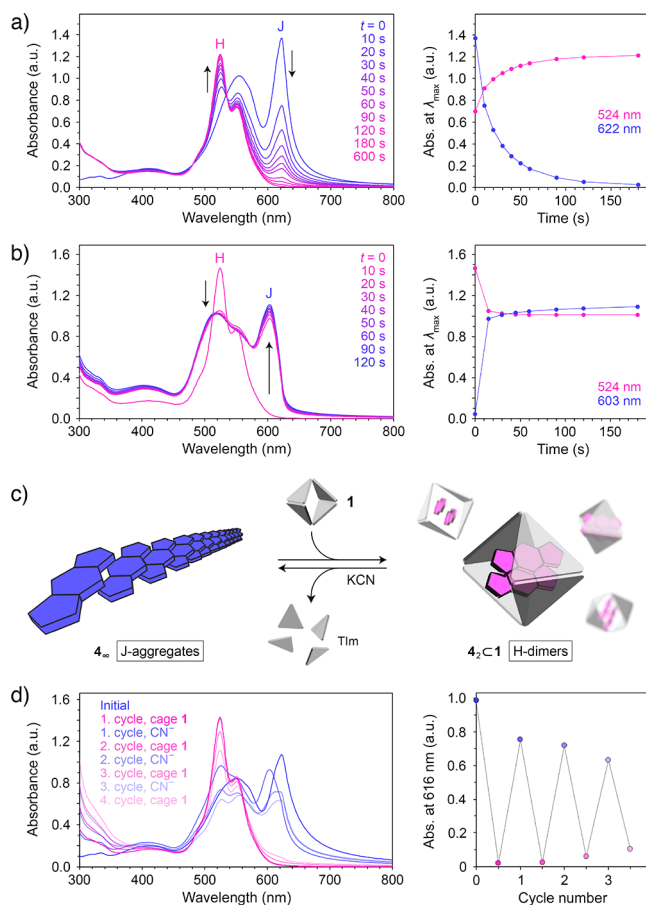
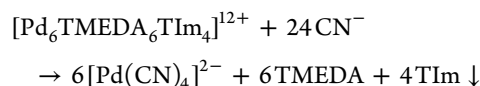


Figure 5. (a) Changes in the UV–vis absorption spectra of an aqueous suspension of J-aggregates of **4** induced by addition of 1.0 equiv of cage **1** (left), and changes in absorbance at the wavelength of the maximum absorption of J-aggregates (here, 622 nm) and H-dimers (524 nm) (right). (b) Changes in the UV–vis absorption spectra of an aqueous solution of 4_2C1 induced by addition of 1.0 equiv of KCN (per Pd^{2+}) (left), and changes in absorbance at the wavelength of the maximum absorption of J-aggregates (here, 603 nm) and H-dimer (524 nm) (right). (c) Schematic representation of the reversible transformation between the J-aggregates and the H-dimers of **4**. (d) Reversible changes in the UV–vis absorption spectra accompanying an alternating addition of cage **1** (0.7 equiv with respect to **4**) and KCN (5.14 equiv per cage = 0.86 equiv with respect to Pd^{2+}) (left), and changes in absorbance due to J-aggregates over three cycles (note that the wavelength of the maximum absorption of the J-aggregates of **4** fluctuates in the 603–625 nm range depending on the degree of aggregation; thus, we followed the absorbance at a fixed wavelength within this range; 616 nm).



has taken place quantitatively (note that TIm is insoluble in water and precipitates from the solution). Similarly, substoichiometric amounts of KCN were sufficient for disintegrating the inclusion complex 4_2C1 : we titrated 4_2C1 with KCN and found that formation of the J-aggregates of **4** was complete after adding <0.8 equiv of CN^- (with respect to Pd^{2+} ; i.e., only 15 mol % of the amount required to form the $[Pd(CN)_4]^{2-}$ complex; see Supporting Information, Figure S70). Moreover, the process was remarkably fast: formation of J-aggregates was largely complete within 1 min (Figure 5b; see also Supporting Information, Figure S71). In a control experiment, we verified

that KCN added in excess to a solution of **4** had no effect on its optical properties.

Having optimized the conditions for the J-to-H and H-to-J transformations independently, we proceeded to study the reversible cycling between the J-aggregates and the H-dimers of **4** (Figure 5c). In the experiment shown in Figure 5d, we alternately added 0.7 equiv of **1** (with respect to **4**) and disassembled it using 0.6 equiv of KCN (the number of equivalents with respect to Pd²⁺ centers). Three cycles of reversible switching between J- and H-dimers⁷⁰ are shown in Figure 5d (right). Although further cycling is, in principle, possible, the accumulating waste byproducts ([Pd(CN)₄]²⁻, TMEDA, and TIm) gradually interfere with formation of well-defined H-dimers and extended J-aggregates.

CONCLUSIONS

In summary, we studied the noncovalent encapsulation of three different BODIPY dyes within the cavity of a flexible metal–organic cage in aqueous media. For BODIPY **2** and **3**, which tend to aggregate in an antiparallel fashion (H-aggregation), the cage underwent a structural distortion in order to encapsulate two molecules of each dye in an orientation (“H-dimer”) mimicking the mutual arrangement of neighboring chromophore units within the crystals of the free dyes. As the third dye, we thus selected a CF₃-substituted BODIPY **4**, which is known to aggregate into stacks featuring a parallel orientation of the neighboring molecules (J-aggregation). Owing to the large center-to-center distances within these J-aggregates, encapsulation of the putative J-dimers of **4** would entail a prohibitively large deformation of the cage. Instead, the cage was found to encapsulate and stabilize the H-dimer, which is otherwise unstable for this BODIPY. All three encapsulated BODIPYs exhibited optical properties that differ significantly from those of free dyes in organic solvents; most notably, we observed pronounced hypsochromic shifts of the S₁←S₀ transition. This change of optical properties was rationalized by Kasha’s model of exciton coupling, which predicts an increased HOMO/LUMO gap for dyes stacked in a coplanar and antiparallel fashion. In all cases, addition of an organic solvent triggered the release of the encapsulated dyes, restoring the behavior of the system to that of free dyes in organic solvents. When the H-dimers of **4** were released in water by disintegrating the cages using KCN, they quickly rearranged into J-aggregates and then equally rapidly regenerated H-dimers after a new batch of empty cage was added. Given that the conversion of **4**₂ H-dimers into **4**_∞ J-aggregates could be accomplished by a substoichiometric amount of cyanide and that it is accompanied by a pronounced color change, possible use of **4**₂C1 for detecting cyanide in aqueous solutions is suggested. Another promising direction is based on replacing one of the two encapsulated BODIPY molecules with another (structurally similar) guest X, resulting in 1:1:1 (BODIPY·X)C1 heterodimeric complexes. Here, we envision that the large windows of the cage will facilitate the rapid exchange dynamics of the guests between the cages. Overall, we expect that the ability to rapidly and reversibly manipulate the optical properties of supramolecular inclusion complexes in aqueous media will open up avenues for developing detection systems that are compatible with and can operate within biological environments.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.0c08589>.

Materials and methods; synthesis of cage **1**; synthesis and characterization of BODIPY dyes **2**, **3**, and **4**; preparation, NMR characterization, and X-ray crystallography of inclusion complexes **2**₂C1, **3**₂C1, and **4**₂C1; steady-state and time-resolved optical properties of **2**–**4** and their inclusion complexes; study of competitive binding of **2** vs azobenzene guests; study of controlled decomposition of cage **1** using KCN; study of reversible switching between H- and J-aggregates of BODIPY **4**; X-ray data collection and structure refinement; supplementary references (PDF)

X-ray crystal structure of **3** (CIF)

X-ray crystal structure of **2**₂C1 (CIF)

X-ray crystal structure of **3**₂C1 (CIF)

X-ray crystal structure of **4**₂C1 (CIF)

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Notes

The authors declare no competing financial interest.

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