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41

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43 **Abstract**

44 **Introduction**

45 As the response to the COVID-19 pandemic has become increasingly politicized in the United  
46 States (US), political party affiliation of state leaders may contribute to policies affecting the  
47 spread of the disease. We examined differences in COVID-19 infection and death rates stratified  
48 by governor party affiliation across the 50 US states and the District of Columbia (DC).

49

50 **Methods**

51 We conducted a longitudinal analysis examining daily COVID-19 incidence and death rates from  
52 March 1 through September 30, 2020, for each US state and DC. We fit a Bayesian negative  
53 binomial model to estimate adjusted daily risk ratios (RRs) and posterior intervals (PIs)  
54 comparing infection and death rates by gubernatorial (mayoral for DC) party affiliation. We  
55 adjusted for several state-level variables, including population density, age, race, poverty, and  
56 health.

57

58 **Results**

59 From March to early June 2020, Republican-led states had, on average, lower COVID-19  
60 incidence rates compared to Democratic-led states. However, on June 8, the association  
61 reversed, and Republican-led states had higher per capita COVID-19 incidence rates (RR=1.15,  
62 95% PI: 1.02, 1.25). This trend persisted until September 30 (RR=1.26, 95% PI: 0.96, 1.51).  
63 For death rates, Republican-led states had lower average rates early in the pandemic, but higher  
64 rates from July 13 (RR=1.22, 95% PI: 1.03,1.37) through September 30 (RR=1.74, 95% PI: 1.20,  
65 2.24).

66

67 **Conclusion**

68 Gubernatorial party affiliation may drive policy decisions that impact COVID-19 infections and  
69 deaths across the US. As attitudes toward the pandemic become increasingly polarized, policy  
70 decisions should be guided by public health considerations rather than political ideology.

71

72 **Introduction**

73 Coronavirus disease 2019 (COVID-19) has resulted in a global public health crisis. As of  
74 October 3, 2020, there have been over 7 million confirmed COVID-19 cases and over 2 million  
75 related deaths in the US.<sup>1</sup> In response to the pandemic, the governors of all 50 states declared  
76 states of emergency. Shortly thereafter, states began enacting policies to help stop the spread of  
77 the virus. However, these policies vary and are guided, in part, by decisions from state  
78 governors.

79  
80 Under the 10<sup>th</sup> Amendment to the US Constitution, which gives states all powers not specifically  
81 apportioned to the federal government, state governors have the authority to take action in public  
82 health emergencies. For example, earlier this year, nearly all state governors issued stay-at-home  
83 executive orders that advised or required residents to shelter in place.<sup>2</sup> Two recent studies found  
84 that Republican governors, however, were slower to adopt stay-at-home orders, if they did so at  
85 all.<sup>3,4</sup> Moreover, another study found that Democratic governors had longer durations of stay-at-  
86 home orders.<sup>5</sup> Further, researchers identified governor Democratic political party affiliation as  
87 the most important predictor of state mandates to wear face masks.<sup>6</sup>

88  
89 Although recent studies have examined individual state policies, such as mandates to socially  
90 distance, wear masks, and close schools and parks,<sup>3,4,6-8</sup> multiple policies may act in unison to  
91 impact the spread of COVID-19. Additionally, the pandemic response has become increasingly  
92 politicized.<sup>7,9,10</sup> As such, political affiliation of state leaders, and specifically governors, might  
93 best capture the omnibus impact of state policies. Therefore, the purpose of this study was to

94 examine differences in incidence and death rate trends over time, stratified by governors'  
95 political affiliation among the 50 states and DC.

96

## 97 **Methods**

98 We conducted a longitudinal analysis examining COVID-19 incidence and death rates from  
99 March 1 through September 30, 2020 for the 50 states and DC. Based on prior research,<sup>3,4,6,7</sup> we  
100 hypothesized that states with Republican governors would have lower incidence and death rates  
101 early in the pandemic as many Democratic governors preside over international hubs that served  
102 as points of entry for the virus in early 2020.<sup>11,12</sup> We also hypothesized that Republican-led  
103 states would have higher rates in later months, potentially reflecting policy differences that break  
104 along party lines. The Institutional Review Boards at the Medical University of South Carolina  
105 and Johns Hopkins Bloomberg School of Public Health deemed this research exempt.

106

107 We documented governor party affiliation for each US state; for DC, we used mayoral  
108 affiliation. We obtained daily COVID-19 incident case and death data from USAFacts,<sup>13</sup> a well-  
109 validated source of COVID-19 tracking information, for each county in the US.<sup>14,15</sup> We  
110 aggregated county data to obtain state-level data. We then adjusted for potential confounders  
111 chosen *a priori* from the US Census Bureau and the Robert Wood Johnson Foundation.<sup>16-18</sup>  
112 These included state population size to compute population density, the percentage of state  
113 residents aged 65 and older, the percentage of Black and Hispanic residents, the percentage  
114 below the federal poverty line, the percentage in poor or fair health, and the number of primary  
115 care physicians per 100,000 residents.

116

## 117 **Statistical analysis**

118 We fit Bayesian negative binomial models with daily incident cases and deaths for each state as  
119 the outcomes. The models included penalized cubic Bsplines for both the fixed and random  
120 (state-specific) temporal effects. We included state population as an offset on the log scale. We  
121 assigned ridge priors to the spline coefficients.<sup>19</sup> We standardized adjustment variables and  
122 assigned diffuse normal priors to their coefficients. We assigned a gamma prior to the dispersion  
123 parameter. For posterior computation, we developed an efficient Gibbs sampler<sup>20,21</sup> and ran the  
124 algorithm for 50,000 iterations with a burn-in 10,000 to ensure convergence. Sensitivity  
125 analyses demonstrated the model's robustness to prior specification.

126

127 We stratified states by governors' affiliation and graphed the posterior mean incidence and death  
128 rates daily for the reference covariate group, as well as the 95% posterior intervals (PIs). We  
129 reported adjusted risk ratios (RRs) and 95% PIs comparing states, with RRs > 1.00 indicating  
130 higher rates among Republican-led states. We conducted analyses using R software version 3.6  
131 (R Core Team, 2019).

132

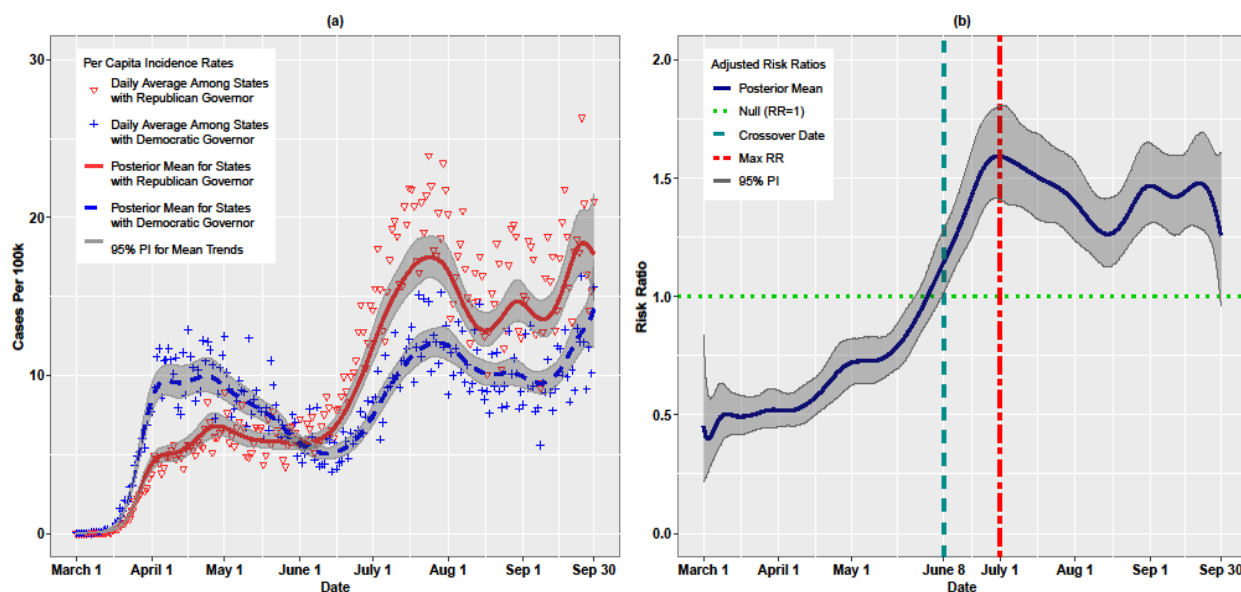
## 133 **Results**

134 The final sample comprised 10,914 observations (51 states x 214 study days) with 26  
135 Republican-led and 25 Democratic-led states. Figures 1(a) and 1(b) present incidence trends (in  
136 cases per 100,000) and adjusted RRs by gubernatorial affiliation. Republican-led states had  
137 lower rates from March to early June 2020. However, on June 8, the association reversed  
138 (RR=1.15, 95% PI: 1.02, 1.25), indicating that Republican-led states had on average 1.15 times  
139 more cases per 100,000 than Democratic-led states. The RRs increased steadily thereafter,

140 achieving a maximum of 1.59 (95% PI: 1.42, 1.73) on July 1. The trends leveled but remained  
141 positive through September 29 (RR=1.31, 95% PI: 1.06, 1.52). However, on September 30, risk  
142 ratio overlapped the null (RR=1.26, 95% PI: 0.96, 1.51).

143

144 **Figure 1.** (a) Per capita COVID-19 incidence rates by governor affiliation; (b) adjusted risk  
145 ratios (RRs) and 95% posterior intervals (PIs)



146

147

148 We observed a similar pattern for the death trends shown in Figures 2(a) and 2(b). Republican-  
149 led states had lower death rates (per million) early in the pandemic, but the trend reversed on  
150 July 13 (RR=1.22, 95% PI: 1.03,1.37). The estimated RRs increased sharply through July 25  
151 (RR=1.69, 95% PI: 1.46, 1.87) and hovered between 1.50 and 2.00 through September 30  
152 (RR=1.74, 95% PI: 1.20, 2.24).

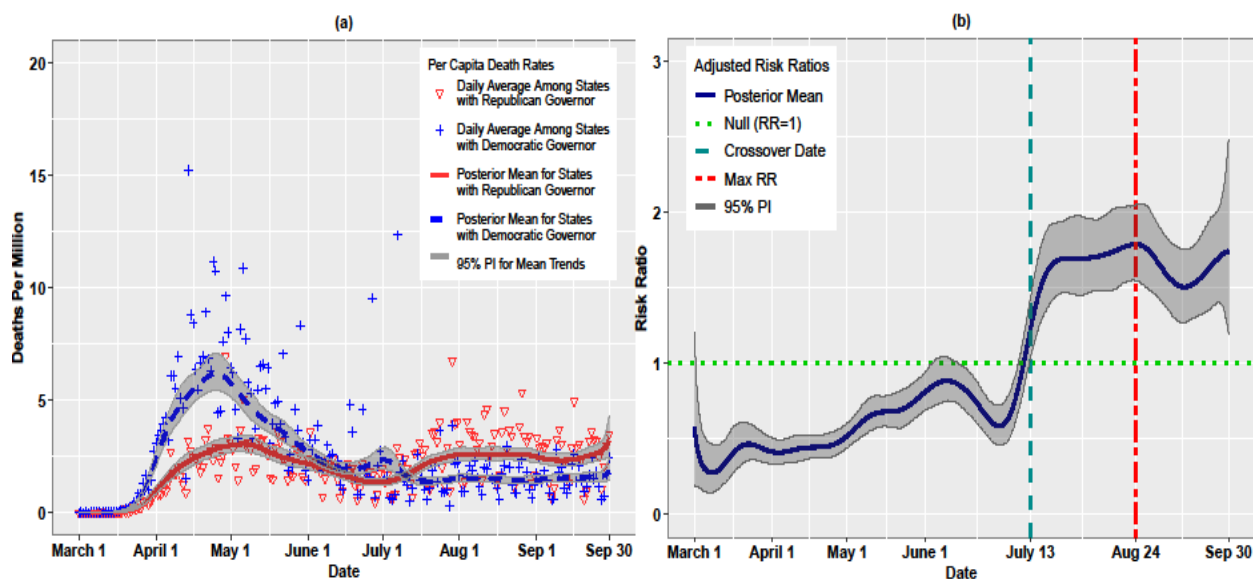
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156 **Figure 2.** (a) Per capita COVID-19 death rates by governor affiliation; (b) adjusted risk ratios  
157 (RRs) and posterior intervals (PIs)



158

159

## 160 Discussion

161 In this longitudinal analysis, we found that Republican-led states had fewer per capita COVID-  
162 19 cases and deaths early in the pandemic, but these trends reversed in early June (for cases) and  
163 in July (for deaths). These early trends could be explained by high COVID-19 rates among  
164 Democratic-led states that are home to initial ports of entry for the virus in early 2020.<sup>11,12</sup>  
165 However, the subsequent reversal in trends to Republican-led states may reflect policy  
166 differences that could have facilitated the spread of the virus.<sup>3,4,6-9</sup>

167

168 For instance, Adolph et al. found that Republican governors were slower to adopt both stay-at-  
169 home orders and mandates to wear face masks.<sup>3,6</sup> Other studies have shown that Democratic  
170 governors were more likely to issue stay-at-home orders with longer durations.<sup>4,5</sup> Moreover,  
171 decisions by Republican governors in spring 2020 to retract policies, such as the lifting of stay-

172 at-home orders on April 28 in Georgia,<sup>22</sup> may have contributed to increased cases and deaths.  
173 Thus, governors' political affiliation might function as an upstream progenitor of multifaceted  
174 policies that, in unison, impact the spread of the virus. Although there were notable exceptions  
175 among Republican governors in states such as Maryland, Ohio, and Massachusetts, Republican  
176 governors were by and large less likely than their Democratic counterparts to enact policies  
177 aligned with public health social distancing recommendations.<sup>3</sup>

178  
179 There are, however, limitations to this study. We conducted a population-level rather than  
180 individual-level analysis. Although we controlled for potential confounders (e.g., population  
181 density), the findings could reflect the virus's spread from urban to rural areas.<sup>11,12</sup> Additionally,  
182 as with any observational study, we cannot infer causality. Finally, governors are not the only  
183 authoritative actor in a state. Future research could explore associations between party affiliation  
184 of state or local legislatures, particularly when these differ from governors.

185  
186 Our findings suggest that governor political party affiliation may differentially impact COVID-  
187 19 incidence and death rates. As attitudes toward the pandemic become increasingly  
188 polarized,<sup>7,9,10</sup> policy decisions should be guided by public health considerations rather than  
189 political expedience,<sup>23</sup> as the latter may lead to increases in COVID-19 cases and deaths.

190

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