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## Clinical outcomes and characteristics of patients with *TP53*-mutated acute myeloid leukemia or myelodysplastic syndromes: A single center experience

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### Abstract

Mutations in the tumor suppressor gene *TP53* are detected in 5–10% of patients with acute myeloid leukemia (AML) and myelodysplastic syndromes. *TP53* mutations have been associated with complex karyotypes, therapy-related malignancies, lower response rates to cytotoxic chemotherapy, and an overall adverse prognosis. In this single-center retrospective study, we analyzed the clinicopathologic characteristics and outcomes of 83 patients with *TP53*-mutated myeloid malignancies treated at Yale Cancer Center between 9/2015 and 5/2019. Complex karyotypes (n=75; 90%) and therapy-related malignancies (n=32; 39%) were common. Median

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overall survival (OS) was 7.6 months. Intensive chemotherapy did not improve OS compared to lower-intensity treatment for AML patients. Patients who underwent allogeneic hematopoietic stem cell transplant (alloHSCT) had a significantly longer median OS, despite relatively limited follow-up. In conclusion, our data confirm the limited efficacy of intensive chemotherapy approaches for *TP53*-mutated patients with myeloid neoplasms and suggest that a minority of patients achieve long-term survival with alloHSCT.

## Keywords

acute myeloid leukemia; AML; TP53; myelodysplastic syndrome; MDS; stem cell transplant

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## Introduction:

Acute myeloid leukemia (AML) and myelodysplastic syndromes (MDS) are clonal bone marrow disorders characterized by the expansion of immature myeloid precursor cells leading to bone marrow failure. Over the last decade our understanding of the underlying genomic alterations of both AML and MDS has significantly improved and results of genetic testing have been incorporated into the diagnostic workup, treatment selection, and prognostication of patients.(1–4)

The TP53 protein is encoded by the *TP53* gene on the short arm of chromosome 17 and mutations in this gene are found in about 50% of human cancers.(5) In its wild-type form TP53 functions as a tumor suppressor protein that triggers cell cycle arrest and apoptosis in the setting of cellular stress such as DNA damage and oncogene activation.(5, 6) *TP53* mutations have been associated with adverse outcomes and higher rates of resistance to standard treatments in AML and MDS.(2, 7–10) While these mutations occur in around 10% of patients with *de novo* AML, they are often associated with complex karyotypes and therapy-related (t)-myeloid neoplasms.(7, 11–17) The precise mechanism for the enrichment of *TP53* mutations in patients who received prior cytotoxic therapies and the clonal evolution of *TP53*-mutated myeloid neoplasms are still incompletely understood. Prior studies have suggested that the inherent chemotherapy resistance of preexisting *TP53*-mutated clones leads to their expansion in the setting of cytotoxic treatments and contributes to their poor prognosis and treatment response.(12)

Previous studies showed poor outcomes with both intensive chemotherapy and lower-intensity therapies (e.g. hypomethylating agents [HMA]), with increased relapse risk and very low cure rates even after allogeneic hematopoietic stem cell transplant (alloHSCT), leaving the question of optimal treatment unanswered.(10, 18) Given the poor prognosis of this patient population, a better understanding of the clinical and molecular characteristics is needed to derive novel and more effective treatments. In this retrospective cohort study, we describe the clinical, cytogenetic, and molecular characteristics of AML and MDS patients with *TP53* mutations and analyze their response to various treatment modalities and overall outcomes.

## Methods:

### Patient and treatment characteristics:

We conducted a retrospective review of all adult patients with myeloid neoplasms and known pathogenic *TP53* mutations detected on next generation sequencing panel testing who were treated at Yale Cancer Center from 9/1/2015 (the date at which we started performing targeted next generation sequencing including the *TP53* gene routinely on patients with myeloid malignancies) to 5/31/2019. Last day of follow up was 7/4/2019. We collected data on age, sex, ethnicity, prior malignancies and their treatments, total white blood cell (WBC), hemoglobin, platelet count, disease risk as determined by the treating physician, initial and subsequent lines of therapies, and use of alloHSCT. Responses were recorded as documented by the treating physician in the electronic medical record using modified International Working Group (IWG) criteria 2003 for AML and 2006 for MDS. (19, 20) Lower-intensity treatment (LIT) was defined as azacitidine, decitabine, low-dose cytarabine alone or in combination with other agents. The study protocol was approved by the Institutional Review Board at Yale University.

### Molecular analysis:

Next generation sequencing (NGS) of either blood or bone marrow aspirate samples was performed to identify *TP53* variants. NGS was performed on the Ion Torrent S5 system (Thermo Fisher Scientific, Waltham, MA, USA) using a custom AmpliSeq 25 gene (9/2015 to 11/2017; the following genes were analyzed: *ASXL*, *CBL*, *CEBPA*, *CSF3R*, *DNMT3A*, *ETV6*, *EZH2*, *FLT3* [partial sequencing of gene regions with known mutations], *HRAS*, *IDH1* [partial sequencing of gene regions with known mutations], *IDH2* [partial sequencing of gene regions with known mutations], *JAK2*, *KIT*, *KRAS*, *MLL*, *MPL*, *NPM1* [partial sequencing of gene regions with known mutations], *NRAS*, *PHF6*, *RUNX1*, *SF3B1*, *SRSF2*, *TET2*, *TP53*, *WT1*) or 49 gene panel (11/2017 to present; included genes: *ABL1*, *ALK*, *ASXL1*, *ATRX*, *BCOR*, *BCORL1*, *BRAF* [partial sequencing of gene regions with known mutations], *BRCC3*, *CALR* [partial sequencing of gene regions with known mutations], *CBL*, *CEBPA*, *CSF3R*, *DNMT3A*, *EED*, *EP300*, *ETV6*, *EZH2*, *FLT3* [partial sequencing of gene regions with known mutations], *GATA1*, *GATA2*, *IDH1* [partial sequencing of gene regions with known mutations], *IDH2* [partial sequencing of gene regions with known mutations], *JAK2*, *KIT*, *KRAS*, *MPL*, *MYC*, *NF1*, *NPM1* [partial sequencing of gene regions with known mutations], *NRAS*, *PDGFRA*, *PDS5B*, *PHF6*, *PRPF8*, *PTPN11*, *RAD21*, *RUNX1*, *SETBP1*, *SF3B1*, *SMC1A*, *SMC3*, *SRSF2*, *STAG1*, *STAG2*, *TET2*, *TP53*, *U2AF1*, *WT1*, *ZRSR2*). Genomic DNA was extracted from the patient samples, quantified, and amplified using multiplex PCR. All coding exons and adjacent splicesites of the *TP53* gene were sequenced. Sequence analysis (including alignment, hg19 human reference mapping, and variant calling) was performed using Torrent Suite Software and annotation was performed with Ion Reporter Software (Thermo Fisher Scientific). *TP53* variants were only included in the study if they were classified as pathogenic or likely pathogenic using various database sources including Cosmic (<https://cancer.sanger.ac.uk/cosmic/>), dbSNP (<https://www.ncbi.nlm.nih.gov/snp/>), Clinvar (<https://www.ncbi.nlm.nih.gov/clinvar/>), and the *TP53* database (<http://p53.iarc.fr/>).

### Statistical analysis:

Student's t-test and Fisher's exact tests were performed to compare baseline characteristics between AML and MDS patients for continuous and categorical variables, respectively. The same tests were employed to compare the baseline characteristics of AML patients treated with intensive chemotherapy or low-intensity treatment as well as between alloHSCT recipients and non-alloHSCT patients. Fisher's exact test was used to compare the rates of complex karyotype in the *TP53*-mutated and *TP53*-wild type patient population. Overall survival (OS) was defined from the time of diagnosis. Patients were censored at the time of last follow up or the end of the study period (7/4/2019) whichever was earlier. OS was measured using Kaplan Meier methods. OS among AML patients treated with induction chemotherapy vs low-intensity treatment and for patients who underwent alloHSCT vs those who did not were compared using Cox proportional hazard models, which were stratified for variables with statistically significant differences between the groups to be compared. For all analyses, p-values <0.05 were considered to be statistically significant. All analyses were conducted using Stata 14 (Stata Inc., College Station, TX, USA).

### Results:

#### Patient characteristics

We identified 83 patients with *TP53*-mutated myeloid neoplasms (45 AML, 31 MDS, four chronic myelomonocytic leukemia, and three *JAK2 V617F* mutation-positive myeloproliferative neoplasms [*JAK2*-positive MPN]). Median age at diagnosis was 69 years (range [R], 27–88 years), 52% were female, and 88% were Caucasian. Prior malignancy was noted in 35 patients (42%) and 32 (18 AML, 14 MDS) patients were classified as therapy-related myeloid neoplasms. Median WBC on presentation was  $3 \times 10^9$  /L (R, 0.2 –  $74 \times 10^9$ /L) and 38 patients (45.8%) had circulating blasts in the peripheral blood with a median of 0% peripheral blasts (R, 0–43%). At diagnosis, complex (n=75; 90%) and monosomal karyotypes (n=54; 65%) were highly prevalent. Among MDS patients, high and very high disease risk by IPSS-R (low risk: 3 patients [9.7%], intermediate risk: 2 [6.5%], high risk: 5 [16.1%], very high risk: 21 [67.7%]) and intermediate-2 and high-risk by IPSS were common (low risk: 1 [3.2%], intermediate-1 risk: 7 [22.6%], intermediate-2 risk: 16 [51.6%], high risk: 7 [22.6%]). Further patient characteristics are shown in Table 1.

#### Molecular analysis:

We identified 101 unique, pathogenic or likely pathogenic mutations in the *TP53* gene with 17 patients harboring more than one pathogenic variant (16 patients with two variants, one patient with four variants). Missense variants were most commonly encountered (n=82 variants, 81%) followed by splice site (n=9, 9%), frameshift (n=6, 6%), and nonsense variants (n=4, 4%). Median variant allele frequency (VAF) at initial genetic testing was 32.4% (R: 3.6–94.6). We detected a wide variety of variants with *TP53* c.844C>T being the most frequently detected genetic abnormality (five patients, 7%). Ninety-four percent of the pathogenic variants detected affected the DNA binding site. Twenty-four patients (28.9%) had deletions of chromosome 17 detected on karyotype analysis.

Fifty-two patients had isolated somatic *TP53* variants (63%). Among the other 31 patients *DNMT3A* (n=8 patients), *JAK2* (n=6), and *TET2* (n=5) variants were the most common somatic mutations. Of note, targetable driver mutations such as *FLT3* (n=0), *IDH1* (n=3), and *IDH2* (n=1) were very rare. Notably, patients with complex karyotype were more likely to have isolated *TP53* variants compared to non-complex karyotype patients (complex karyotype + isolated *TP53* variants: 51 patients [68.0%] vs non-complex karyotype + isolated *TP53* variants: 2 patients [25.0%]; Fisher's exact test: p=0.024) [Table 2].

Repeated genetic testing was available in 29 out of 83 patients (34.9%). As indications and timing for repeated assessment varied from patient to patient, we were unable to assess systematically whether changes in *TP53* VAF or the emergence of new concurrent mutations was associated with disease relapse or progression. Ten patients cleared the initially detected *TP53* variants (seven AML, two MDS, one *JAK2*-positive MPN patient) during follow up testing with induction chemotherapy, decitabine, alloHSCT, or ruxolitinib being the most recent form of treatment in two, three, four, and one case, respectively. However, even in these ten patients with a molecular response, four patients relapsed, and three patients died. At a median duration of follow-up of 16.4 months median relapse-free and overall survival for patients who had cleared the initial *TP53* variant had not been reached. In all three patients with repeated genetic testing available at the time of relapse, either re-emergence of the initial *TP53* variant that had become undetectable with treatment or an increase in VAF were noted. Interestingly, two patients had a new *FLT3* and *KRAS* mutation at the time of relapse, respectively, while no new concurrent mutations were detected in the other patient. In one AML patient, donor-lymphocyte infusion was able to induce a second cytogenetic complete remission (CR).

### Treatment pattern and survival analyses

Median follow up of the entire cohort was 6.4 months (R: 0.2–55.3 months). Median OS in the combined study population was 7.6 months (95% CI: 5.7–10.0 months) with a 1-year and 2-year OS rate of 22.6% (95% CI: 14.2–32.2%) and 7.5% (95% CI: 3.1–14.6%), respectively. In the total patient population, 20 patients (24.1%) were treated with intensive chemotherapy, 35 patients (42.2%) received low-intensity treatment, while 19 patients (22.9%) received best supportive care or hydroxyurea only as their initial treatment. Of note, CPX-351 and venetoclax in combination with AZA (six patients) or low-dose cytarabine (one patient) were used in nine (10.8%) and seven (8.4%) patients, respectively. Fourteen patients (16.9%) were treated on clinical trials in the frontline setting.

In univariate analyses among AML patients, patients with de novo AML were more likely to be treated with induction chemotherapy, while patients with prior malignancy and secondary AML were more likely to receive low-intensity treatment. In Cox proportional hazard models stratifying for those covariates, induction chemotherapy did not improve OS compared to patients who received low-intensity treatment (hazard ratio: 0.63 [95% CI: 0.2–2.1]; median OS 8.8 months [95% CI: 1.9–15.5] vs 9.4 months [95% CI: 1.8–13.6] and 1-year OS rate 25.0% [95% CI: 9.1%–44.9%] vs 14.3% [95% CI: 2.3%–36.6%]; p=0.46). Rates of CR were 45.0% (nine out of 20 patients) and 14.3% (two out of 14 patients) for patients treated with induction chemotherapy and low-intensity therapy, respectively.

None of the MDS patients received induction chemotherapy as frontline treatment. Among the 19 MDS patients who received HMA-based therapies, the median OS was 12.1 months (95% CI: 5.8 months – not reached) with six patients achieving CR and one patient achieving CR with incomplete cell count recovery. Median OS for all 26 HR-MDS patients (defined as IPSS score  $\geq 1.5$  points or IPSS-R  $>4.5$  points) was 6 months (95% CI: 4.8–12.1 months) and 9.6 months (95% CI: 4.8 months – not reached) among HR-MDS patients treated with HMA. Response rates and outcome for AML and MDS patients by treatment regimen are shown in Table 3.

Median and 1-year OS among AML patients were 6.7 months (95% CI: 1.9–9.4) and 16% (95% CI: 7.0%–28.2%), respectively. Among MDS patients median OS and 1-year OS were 10 months (95% CI 5.7–12.9) and 31.1% (95% CI 15.6–48.0%), respectively. Figure 1 shows the survival curves for the overall population of AML and MDS patients as well as for AML patients stratified by initial treatment. Patients who were not actively treated (hydroxyurea or best supportive care only) had a dismal prognosis with a median OS of 0.8 months (95% CI: 0.3–2.2 months).

### Subgroup analysis of patients proceeding to alloHSCT

Notably, among the 11 patients who proceeded to alloHSCT, only three patients relapsed after a median of 8.4 months following alloHSCT. All patients were in CR at the time of transplant with five patients receiving myeloablative and six patients receiving a reduced-intensity conditioning (RIC) regimen, respectively. All patients except for two patients got transplanted after achieving CR with initial treatment with either induction chemotherapy (five patients) or HMA (four patients). The other two patients had residual disease after induction chemotherapy but achieved CR with subsequent HMA treatment. All except for one patient were transplanted about six months after starting initial treatment. Three out of six patients treated with RIC and zero out of five with myeloablative conditioning regimens relapsed. HSCT recipients were more likely to achieve CR with initial treatment (CR/CRi vs non-CR/CRi;  $p < 0.001$ ), to have received intensive chemotherapy (intensive chemotherapy vs other;  $p = 0.003$ ), and to be younger ( $<65$  years vs  $\geq 65$  years of age;  $p = 0.04$ ) compared to patients who did not proceed to HSCT. In a Cox proportional hazard model stratified by age ( $<65$  years vs  $\geq 65$  years of age), initial treatment (intensive chemotherapy vs other) and response category (CR/CRi vs other), there was a statistically significant difference in the median OS for patients who underwent alloHSCT compared to those who did not (hazard ratio: 0.08; median OS: not reached [95% CI: 6.6 months – not reached] vs 6 months [95% CI: 2.9 – 8.8 months];  $p = 0.002$ ). One patient with *FLT3*-mutated AML received midostaurin maintenance therapy following alloHSCT. No other patients received any maintenance treatment post-alloHSCT. Kaplan-Meier survival curves are shown in Figure 2. The median duration of follow up among HSCT patients was 12.9 months. Of note, two HSCT patients were alive and relapse-free at 50.3 months and 31.9 months after diagnosis, respectively.



## Discussion:

In this single-center, retrospective study we confirmed the dismal prognosis of patients with *TP53*-mutated myeloid neoplasms and its association with both complex karyotype and therapy-related disease. While this has been shown previously,(11, 14) little is known about concurrent mutations at both diagnosis and along the disease course. In our study we showed that the majority of patients had isolated *TP53* mutations and that other classic AML driver mutations such as *FLT3* or *NPM1* were rare at the time of diagnosis. The lower frequency of *NPM1* and *FLT3* mutations in therapy-related MDS and AML and higher rates of mutations in RAS-BRAF signaling pathways have been noted previously and suggest an alternative pathway of leukemogenesis.(12, 21–23) Interestingly, in two out of three patients with genetic testing performed at the time of disease relapse, new *FLT3* and *KRAS* mutations were detected. We can only speculate if these new mutations may have driven disease relapse independently of the *TP53* mutations, as both patients also had re-emergence of the initially present *TP53* clone that had disappeared with treatment. Further studies on the interaction of various mutations along the disease course are needed.

Previous studies have suggested that both the size of the clonal population and the specific type of *TP53* mutations affect outcomes and treatment responses.(24) Recent data from MDS patients have also shown that the prognostic significance of *TP53* mutations is different depending on whether it is found in the setting of a complex vs a non-complex karyotype and whether it is present as a monoallelic compared to a biallelic abnormality.(25) In our study the majority of *TP53* mutations were missense variants affecting the DNA-binding domain. Preclinical studies have shown that these missense mutations affecting the DNA binding site exert a dominant-negative effect that confers a selective advantage for hematopoietic cells under conditions of cellular stress.(26, 27) Given the small sample size, we were unable to assess whether particular *TP53* variants had an impact on treatment response and outcome in our cohort. Larger studies are needed to identify subgroups based on the location and biological effect of a particular mutation to allow for targeted therapies of these separate functional variants.(5, 18, 24) Recently, novel agents that target mutant *TP53* have been successfully tested in hematologic and solid malignancies.(28) APR-246 is a small molecule that induces apoptosis in *TP53*-mutated cancer cells as a single agent as well as in combination with azacitidine.(28, 29) In a cohort of 12 patients with refractory myeloid malignancies (3 AML-MRC and 9 MDS patients), 11 out of 11 evaluable patients treated with APR-246 and azacitidine achieved a response with nine CRs and a median OS and progression-free survival that have not been reached at seven months of follow up.(29) While highly promising, these results need to be confirmed in larger trials which are ongoing ([NCT03072043](#), [NCT03588078](#)).

There is controversial data on the impact of the size of the clonal population harboring *TP53* mutations on OS. It is unclear whether the mere presence of a *TP53* mutant clone is sufficient to confer an adverse prognosis, or if a certain VAF is required.(30, 31) In MDS patients, *TP53* mutations have also been found to be an adverse prognostic marker independent of IPSS and IPSS-R.(32, 33) Our study was limited by its small sample size and heterogeneity precluding assessment of a correlation between VAF and OS.

With a median OS of only 7.6 months for the entire study population, our study is well in line with prior reports.(2, 8, 9, 11) While *TP53* mutations have been linked to higher rates of resistance to cytotoxic chemotherapy (10) and only about 50% of patients achieved CR with induction chemotherapy in our study, patients who were not actively treated fared significantly worse. A prior study of decitabine extended to the 10-day schedule reported impressive response rates in AML patients with *TP53* mutations.(34) However, none of the responding patients cleared all leukemia-specific mutations, which led to eventual disease relapse likely due to the expansion of a decitabine-resistant subclone.(34) Furthermore, these results have yet to be replicated by other studies.(34–36) While analysis is limited by the fact that only eight patients (4 AML and 4 MDS) received decitabine in our study, one AML patient and three MDS patients achieved a CR. Furthermore, we could show that intensive chemotherapy did not lead to a survival benefit in AML patients compared to lower intensity treatment.

The role for alloHSCT in *TP53*-mutated myeloid neoplasms is controversial given the high rate of disease relapse and the significant procedure-related morbidity and mortality.(37–39) However, we did observe a significant survival benefit for patients proceeding to alloHSCT with two patients being alive and relapse-free at 50.3 months and 31.9 months after diagnosis, respectively. While the duration of follow up for most of the alloHSCT patients was short and extended follow up is necessary, our findings support the consideration of alloHSCT (ideally in first CR) for eligible patients given that disease relapse is common and alloHSCT is the only potentially curative therapeutic option.(18, 34, 37) All of our patients were transplanted in CR and the majority of those patients achieved CR with the initial treatment. Assessment of *TP53* mutational status may also have a role in the selection of conditioning regimens and additional studies to identify patient subsets who are most likely to benefit from alloHSCT are needed given the high rate of relapse and non-relapsed mortality.(37, 39–43) Prior studies have suggested that the presence of a complex karyotype in MDS and secondary AML patients with *TP53* mutations is associated with higher rates of relapse and poor survival (median OS 4.8 months; 2-year mortality >80%), while patients without complex karyotype had a better prognosis (73% 5-year OS [95% CI: 51–100%]).(41, 44) The impact of the *TP53* VAF at time of transplant, karyotype abnormalities (e.g. del(5q)) and the presence of co-mutations (e.g. *JAK2* or *RAS* pathway) could also be used as a prognostic marker although data are controversial.(39, 44, 45) While validation in larger datasets is necessary, our data suggest that alloHSCT can be a viable option in selected patients. Identifying biomarkers predicting outcomes after alloHSCT remains a very important research question.

Emerging data from the RELAZA-2 trial suggested a survival benefit for minimal residual disease (MRD)-guided preemptive treatment with azacitidine for up to 24 cycles after intensive chemotherapy or allo-HSCT.(46) Repeated genetic testing after alloHSCT can be a prognostic factor and failure to clear mutations prior to transplant has been demonstrated to negatively impact survival in MDS patients.(39, 43) While mutational analysis by next-generation sequencing is limited by its lower sensitivity compared to PCR- or flow cytometry-based techniques, it can potentially be used to assess MRD in the post-transplant setting.(47, 48) Despite the results of RELAZA-2, whether MRD-positivity should lead to



pre-emptive treatment with HMA (+/- venetoclax) or immune checkpoint inhibitors remains controversial and is actively studied in multiple trials.

Furthermore, data from the QUAZAR-AML-001 trial of maintenance therapy with CC-486, an oral formulation of azacitidine, showed a survival benefit of 9.9 months survival benefit compared to placebo (CC-486: 24.7 months [95% CI, 18.7–30.5] vs 14.8 months for placebo [95% CI, 11.7–17.6];  $p=0.0009$ ) in AML patients  $\geq 55$  years in CR following IC and not deemed to be transplant candidates.(49) Additional trials with longer follow up are needed to further assess the role of HMA maintenance therapy in both non-transplant and alloHSCT patients.

Our study has several limitations. The small sample size in this single center retrospective cohort study precluded assessment of the outcomes and treatment effects of specific *TP53* variants. Several analyses such as the correlation between VAF and outcomes and the IPSS-R risk group and OS should be re-evaluated in larger studies. Second, repeated molecular testing during the disease course and especially at the time of relapse or progression was available only in a small subset of patients. We were therefore unable to assess whether changes in the VAF or the appearance of new concurrent mutations drive disease relapse and progression. Third, factors such as patient comorbidities and preferences that may have influenced treatment decision-making were not available for analysis. Fourth, patients were included based on *TP53* mutations detected on our NGS gene panel and patients who only had *TP53* deletions (e.g. del17p) without *TP53* mutations would have been missed. In prior studies, isolated *TP53* deletions have been found in 1% of AML patients and associated with an especially poor prognosis.(9, 50) Finally, in the absence of paired samples (i.e. non-involved specimen [e.g. skin biopsy] and leukemic cells) we were unable to assess whether any of the detected *TP53* mutations were germline in nature or if all patients had acquired somatic mutations. Nevertheless, our study adds to the growing body of evidence that patients with *TP53*-mutated myeloid neoplasms are a heterogeneous population with an overall poor prognosis but the potential to benefit substantially from alloHSCT.

## Conclusions:

In this retrospective case series, we confirm the poor prognosis for patients with *TP53*-mutated myeloid neoplasms. Median OS was only 7.6 months, and intensive chemotherapy did not appear to improve OS compared to LIT for AML patients. Although limited by the small sample size and the relatively short duration of follow up, patients who underwent alloHSCT had significantly longer median OS and alloHSCT should be considered for eligible patients. Novel therapies are urgently needed to improve outcomes of this patient population.

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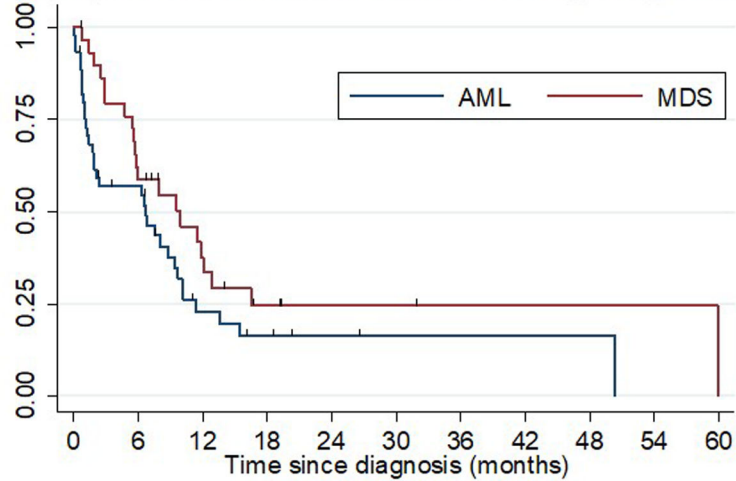
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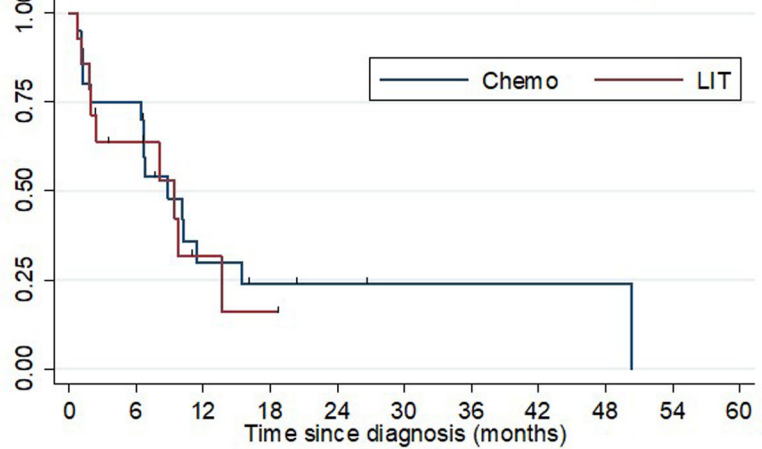
**A)** Kaplan-Meier survival estimates by diagnosis



Number at risk

AML	45	23	7	4	2	1	1	1	1	0	0
MDS	31	18	9	4	2	2	1	1	1	1	0

**B)** Kaplan-Meier survival estimates AML patients by treatment



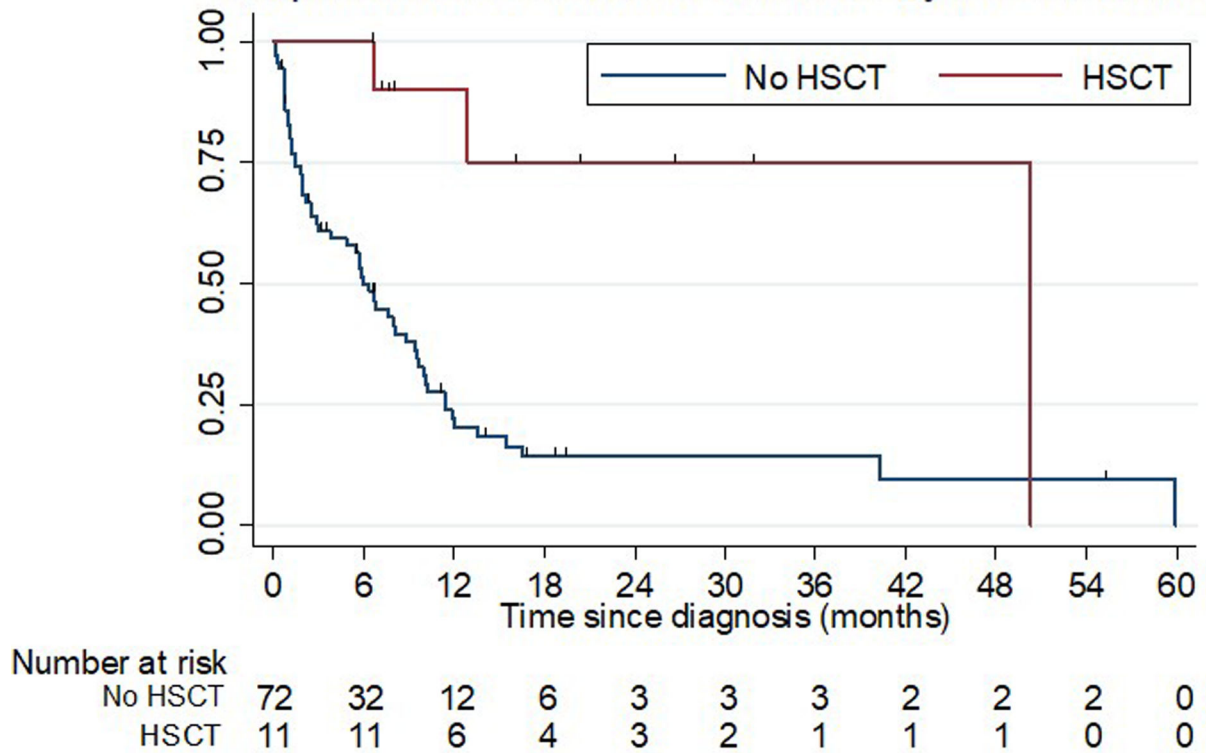
Number at risk

Chemo	20	15	5	3	2	1	1	1	1	0	0
LIT	14	7	2	1	0	0	0	0	0	0	0

**Figure 1: Kaplan-Meier survival estimates by diagnosis and treatment**

(A) illustrates Kaplan-Meier survival estimates for AML and MDS patients. Median and 1-year OS among AML patients were 6.7 months (95% CI: 1.9–9.4) and 16% (95% CI: 7.0%–28.2%), respectively. Among MDS patients median OS and 1-year OS were 10 months (95% CI 5.7–12.9) and 31.1% (95% CI 15.6–48.0%), respectively. (B) shows Kaplan-Meier survival curves for AML patients who received intensive chemotherapy and low-intensity therapy as frontline treatment. There was no statistically significant difference between the two treatment modalities (hazard ratio: 0.63 [95% CI: 0.2–2.1];  $p=0.46$ ; induction chemotherapy: median OS 8.8 months [95% CI: 1.9–15.5] and 1-year OS rate 25.0% [95% CI: 9.1%–44.9%]; low-intensity therapy: median OS: 9.4 months [95% CI: 1.8–13.6]; 1-year OS: 14.3% [95% CI: 2.3%–36.6%]).

### Kaplan-Meier survival estimates by HSCT status



**Figure 2: Kaplan-Meier survival estimates by transplant recipient status**

Figure 2 shows Kaplan-Meier survival curves for patients who proceeded to alloHSCT (n=11 patients) and those who did not (n=72 patients). In a stratified Cox proportional hazards model, patients who received alloHSCT had a significant survival benefit compared to non-transplant patients (median OS: not reached [95% CI: 6.6 months – not reached] vs 6 months [95% CI: 2.9 –8.8 months]; hazard ratio: 0.08; p = 0.002)



**Table 1:**

Patient characteristics, treatments and outcome

Characteristics	All patients (Median or N; [range or %])	AML (median or N; [range or %])	MDS (median or N; [range or %])	P-value
Median age (years)	69 [27–88]	68 [27–88]	71 [46–85]	0.48
Sex				
Female	43 [51.8%]	20 [44.4%]	17 [54.8%]	0.37
Male	40 [48.2%]	25 [55.6%]	14 [45.2%]	
Race				
White/Caucasian	73 [88.0%]	42 [93.3%]	25 [80.7%]	0.07
Asian	1 [1.2%]	1 [2.2%]	0 [0%]	
African American	3 [3.6%]	0 [0%]	3 [9.7%]	
Hispanic	6 [7.2%]	2 [4.4%]	3 [9.7%]	
Prior malignancy	35 [42.2%]	19 [42.2%]	15 [48.4%]	0.60
Disease classification				
AML	45 [54.2%]			
<i>De novo</i>	8 [9.6%]			
Therapy-related	18 [20.5%]			
AML-MRC	17 [21.7%]			
Prior MPN	3 [3.6%]			
MDS	31 [37.3%]			
MDS-EB1	5 [6.0%]			
MDS-EB2	6 [7.2%]			
t-MDS	14 [16.9%]			
other MDS subtypes	7 [8.4%]			
CMML	4 [4.8%]			
CMML-1	2 [2.4%]			
CMML-2	2 [2.4%]			
<i>JAK2</i> -positive MPN	3 [3.6%]			
Disease characteristics at presentation				
WBC ( $\times 10^9/L$ )	3 [0.2–73.9]	2.5 [0.2–73.9]	3.2 [1.6–26.3]	0.61
peripheral blast %	0 [0–43]	4 [0–43]	0 [0–18]	0.0009
Platelet	43.5 [1–642]	33 [4–317]	56 [1–368]	0.40
Hgb (g/dL)	8.4 [6.2–13.4]	8.0 [6.2–11.5]	8.6 [6.4–12.6]	0.006
bone marrow cellularity (%)	70 [10–95]	70 [20–95]	70 [10–90]	0.16
bone marrow blasts (%)	16 [1–90]	30 [2–90]	7 [1–17]	<0.0001
Initial treatment				
Induction chemotherapy	20 [22.3%]	20 [45.0%]	0 [0%]	
Low-intensity (HMA-based regimens, LDAC)	35 [42.2%]	14 [31.1%]	19 [61.3%]	
hydroxyurea	6 [7.2%]	4 [8.9%]	0 [0%]	
targeted therapy	3 [3.6%]	1 [2.2%]	2 [6.5%]	
BSC	13 [15.7%]	5 [11.1%]	6 [19.4%]	

Characteristics	All patients (Median or N; [range or %])	AML (median or N; [range or %])	MDS (median or N; [range or %])	P-value
Others (ruxolitinib, lenalidomide)	7 [8.4%]	1 [2.2%]	4 [12.9%]	<0.0001
Rate of CR/CRi with initial treatment				
CR with IC	9 [45.0%]	9 [45.0%]	N/A	
CR/CRi with LIT	9 [28.1%]	2 [14.3%]	6 [31.6%]	
Allogeneic hematopoietic stem cell transplant (alloHSCT)				
Received alloHSCT	11 [13.3%]	7 [15.6%]	4 [12.9%]	
Relapse after alloHSCT	3 [27.7%]	2 [28.6%]	1 [25.0%]	
Median OS among alloHSCT recipients	Not reached after median follow up of 12.9 months			
Outcome				
deceased	59 [71.1%]	34 [75.6%]	21 [67.7%]	
alive at last follow up	21 [25.3%]	10 [22.2%]	8 [25.8%]	
lost to follow up	3 [3.6%]	1 [2.2%]	2 [6.5%]	
1-year OS rate	30.5% [95% CI: 20.0–41.6%]			
Median OS (months)	7.6 months [95% CI: 5.7–10.0 months]	6.7 months [95% CI: 1.9–9.4 months]	10.0 months [95% CI: 5.7–12.9 months]	

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**Table 2:**

Cytogenetic characteristics at time of diagnosis

Characteristics	Median or N	Range or %
Karyotype		
complex	75	90.4%
monosomal	54	65.1%
17p deletion	24	28.9%
Characteristics of <i>TP53</i> variants		
patients with multiple <i>TP53</i> abnormalities	17	20.5%
4 <i>TP53</i> variants	1	1.2%
2 <i>TP53</i> variants	16	19.3%
missense variant	82 patients (56 unique variants)	
splice site variant	9 patients (7 unique variants)	
frameshift variant	6 patients (6 unique variants)	
nonsense variant	4 patients (3 unique variants)	
Concurrent variants		
none	53	62.7%
<i>TP53</i> + 1 additional variant	18	21.7%
<i>TP53</i> + 2 variants	9	10.8%
<i>TP53</i> + 3 variants	3	3.6%
Specific concurrent variants		
<i>DNMT3A</i>	8	9.6%
<i>JAK2</i>	6	7.2%
<i>TET2</i>	5	6.0%
<i>U2AF1</i>	4	4.8%
<i>NRAS</i>	4	4.8%
<i>EZH2</i>	3	3.6%
<i>IDH1</i>	3	3.6%
<i>PTPN11</i>	2	2.4%
<i>KIT</i>	2	2.4%
One patient each with mutations in <i>IDH2</i> , <i>NPM1</i> , <i>ZRSR2</i> , <i>CBL</i> , <i>SF3B1</i> , <i>PHF6</i> , <i>EP300</i> , <i>NFI</i> , <i>ETV6</i> , <i>SRSF2</i> , <i>CALR</i>	1	1.2%
Association of complex karyotype and presence or absence of concurrent variants		
complex karyotype without other variants	51	68.0%
complex karyotype with other variants	24	32.0%
non-complex karyotype without other variants	2	25.0%
non-complex karyotype with other variants	6	75.0%

**Table 3:**

Treatment regimens and outcomes

Disease	ORR (%)	CR/CRi for AML (%) CR/mCR for MDS (%)	Median OS (mos, 95% CI)
AML			
Induction chemotherapy (n=20 pts)	9 (45.0%)	9 (45.0%)	8.8 (1.9–15.5)
LIT (HMA-based regimens, LDAC) [n=14 pts]	2 (14.3%)	2 (14.3%)	9.4 (1.8–13.6)
MDS			
HMA-based regimens [n=19 pts]	7 (36.8%)	6 (31.6%)	12.1 (5.8-not reached)

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