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## Self-efficacy and HPV Vaccine Attitudes Mediate the Relationship Between Social Norms and Intentions to Receive the HPV Vaccine Among College Students

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Compliance with Ethical Standards

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. Informed consent was obtained from all individual participants included in the study.

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## Abstract

Human papillomavirus (HPV) has been linked to genital warts and multiple cancers affecting both men and women. Despite college students' high risk for HPV, their vaccination rates remain suboptimal. The current observational study examined the relationship between social norms and human papillomavirus (HPV) vaccine intentions and potential mechanisms underlying this relationship among undergraduates. Participants ( $N=190$ ; 66.8% female) completed a survey assessing HPV vaccine social norms, attitudes, self-efficacy, and intentions. Three mediation analyses were conducted to examine whether self-efficacy and attitudes mediated the relationship between social norms (i.e., parents, friends, doctor) and intentions, controlling for demographic and health care covariates. Social norms were indirectly related to intentions through self-efficacy and attitudes in multiple models ( $ps<.05$ ). Specifically, perceiving greater support for HPV vaccination from one's friends, parents, and doctor was related to greater HPV vaccine self-efficacy, which, in turn, was related to increased vaccine intentions. In addition, perceiving greater parental and doctor support for HPV vaccination was related to more favorable attitudes towards the vaccine, which, in turn, were related to increased vaccine intentions. Findings suggest potential targets for future interventions to promote HPV vaccination among young adults.

## Keywords

human papillomavirus vaccination; social norms; self-efficacy; attitudes; Theory of Planned Behavior

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## Introduction

Most sexually active individuals in the United States will be infected with at least one human papillomavirus (HPV) strain during their lifetime [1]. The majority of HPV infections resolve without treatment; however, persistent infections from high-risk HPV types can develop into oropharyngeal, cervical, anal, vulvar, vaginal, and penile cancers [1-3]. The HPV vaccine is most effective prior to any sexual experience, and thus, the target age range for vaccination is in early adolescence [1-4]. The vaccine series can be administered to males and females starting at age 9 [2-4] and is approved for use through age 45 [2].

Young adults in the United States are at significantly higher risk for HPV infection compared to other age groups [5]. The Advisory Committee on Immunization Practices (ACIP) recommends all previously unvaccinated males and females receive "catch-up vaccination" through age 26 [4]. Although the vaccine is approved through age 45, the ACIP recommends that individuals ages 27-45 years old engage in shared decision-making about HPV vaccination with their provider [4]. Although college-aged individuals are at high risk for being exposed to HPV [5], only 40 - 69% of female college students and 8 - 43% of male college students report initiating the HPV vaccine series [6-8]

The Theory of Planned Behavior (TPB) provides a framework for studies of HPV vaccine intentions and uptake in college students and young adults [9, 10]. TPB is used to explain engagement in a specific behavior by examining beliefs, such as attitudes, self-efficacy,

intentions, and subjective social norms about the behavior [11]. Consistent with this theory, greater social norms (i.e., perceptions that important others support HPV vaccination) have been associated with greater vaccine intentions and uptake among college students and young adults [9, 10, 12-15]. However, many studies have focused on global social norms rather than examining relations between specific social norms (e.g., parents, peers, doctor) and young adults' HPV vaccine intentions and behaviors. Studies also have yet to identify mechanisms through which social norms may influence HPV vaccine intentions.

TPB suggests that perceived behavioral control, a construct comparable to self-efficacy or the belief in one's ability to accomplish a task, may be associated with both social norms and intentions to receive the HPV vaccine [10]. Consistent with this theory, self-efficacy is related to social norms for other health behaviors in college students, such as obtaining adequate sleep and condom use [16, 17]. Additionally, greater self-efficacy for HPV vaccination has been associated with increased vaccine acceptability and intentions in college students [18-20] and young adults [21]. Findings from qualitative research suggest that self-efficacy may be one mechanism through which social norms influence college students' intentions to be vaccinated [22, 23]. For example, among college women, support from their mother and healthcare provider to receive the HPV vaccine appeared to foster their belief that they could complete the necessary steps to be vaccinated in the future [22]. In another qualitative study, college women reported that family members' assistance in overcoming barriers to HPV vaccination (e.g., paying for the vaccine, scheduling the vaccine appointment) bolstered their confidence in their ability to be vaccinated [23]. Friends were only viewed as important in HPV vaccine decision-making when they related their personal experiences with vaccination, thereby increasing perceptions of vaccine safety and intentions to be vaccinated [22].

Attitudes about HPV vaccination is another TPB construct that may help explain the relationship between social norms and vaccine intentions [10]. These attitudes include positive or negative opinions of the HPV vaccine (e.g., getting the HPV vaccine would be foolish) as well as the belief that HPV vaccination leads to positive or negative outcomes (e.g., getting the HPV vaccine will prevent cancer) [11]. Theory suggests that young adults' perception that important others support their receipt of the HPV vaccine might increase their positive attitudes towards obtaining it and, in turn, their vaccine intentions. Believing that important others see value in obtaining the HPV vaccination may influence how one views the vaccine [22, 23], whereas negative attitudes from important others toward the vaccine may contribute to inaction [24]. For example, a qualitative study of female college students revealed how support for the HPV vaccine from important others might influence their attitudes about the vaccine through social motivation (e.g., trust in their provider, friends modeling vaccination behaviors) [22]. Another qualitative study of college women suggested that descriptive peer norms (i.e., having friends who have been vaccinated) may serve to reduce stigma about the HPV vaccine [23]. Conversely, negative attitudes toward the vaccine from important others may lead to the decision to not initiate the vaccine series [24]. Indeed, among unvaccinated young adults, attitudes about the HPV vaccine have been related to perceived social norms regarding vaccination, controlling for vaccine intentions [11]. Additionally, a number of studies with college students and young adults have found a

relationship between attitudes about the HPV vaccine and intentions to receive the vaccine [7, 9-11, 13, 25, 26].

The present study expands prior research on the relationship between social norms and HPV vaccine intentions by considering specific social norms (parent, friend, and doctor) separately and examining potential mechanisms that might underlie their relationships to vaccine intentions. This study focused on college students given their high risk for HPV, ability to make HPV vaccination decisions for themselves, and suboptimal vaccination rates [5]. In the current study, we focused on college students in Indiana, one of the lowest-ranking states for HPV vaccination rates among young adults [27]. Based on the TPB [10], we hypothesized that greater perceived parental, friend, and doctor norms for HPV vaccination would be related to increased intentions to receive the HPV vaccine. Further, we hypothesized that increased self-efficacy for HPV vaccination and positive attitudes about the HPV vaccine would mediate the relationships between each of the three social norms and vaccine intentions. Study findings have direct implications for intervention development to promote HPV vaccination in this population.

## Material and Methods

### Participants and Procedures

Undergraduates were recruited through a psychology participant pool at an urban, public university in Indiana to participate in a cross-sectional, observational study. Participants ( $N=434$ ) were between 18 and 35 years of age and fluent in English. Following verbal informed consent procedures, participants completed an anonymous online survey (mean completion time: 39.27 minutes ( $SD=9.4$  minutes)) administered in a campus computer lab in groups ranging from 1 to 15 people. Participants were seated with space between them to facilitate privacy when responding to the survey. Data were collected between January and December 2015. Due to anonymous data collection, the Indiana University-Purdue University Indianapolis IRB identified the study as exempt.

### Measures

**HPV vaccination status.**—Participants were given a written description of the HPV vaccine and then were asked one yes/no question to indicate if they had heard of the vaccine prior to study participation [28]. Those who had heard of the vaccine were asked whether they had ever received it [28]. Individuals who had not heard of the vaccine and those who reported that they had not received the vaccine were considered unvaccinated. Only unvaccinated individuals were included in the current analyses.

**HPV vaccination intentions.**—Intentions to receive the HPV vaccine were measured with five items [10]. Participants rated on a 7-point scale how likely they were to: (1) get more information about the HPV vaccine, (2) consider getting the vaccine, (3) try to get the vaccine, (4) actually get the vaccine, and (5) get the vaccine if a doctor offered it (1=very unlikely to 7=very likely). This subscale showed excellent reliability in the current sample ( $\alpha=0.95$ ).

**Subjective social norms.**—Subjective social norms for HPV vaccination were measured with three items [11]. Participants were asked to indicate their degree of agreement with three separate statements that their friends, parents, and doctor support their receipt of the vaccine on a 7-point scale (1=very strongly disagree to 7=very strongly agree).

**Self-efficacy.**—Self-efficacy was measured with three items [10]. On a 7-point scale (1=disagree strongly to 7=agree strongly), participants rated their confidence in their ability to get the HPV vaccine even if: (1) it is expensive, (2) getting the shot hurts a little, and (3) it means finding time to go to the doctor three times. The scale had excellent reliability in the current study ( $\alpha=0.80$ ).

**Attitudes toward the HPV vaccine.**—Attitudes toward the HPV vaccine were measured with five items [11]. Participants were asked to indicate how: (1) good or bad, (2) wise or foolish, (3) pleasant or unpleasant, (4) effective or ineffective, and (5) painless or painful they believed receiving the HPV vaccine would be on a 7-point scale. The scale had acceptable reliability in the current sample ( $\alpha=0.73$ ).

**Demographic, healthcare, and sexual experience variables.**—Participants reported demographic information, including gender, age, race/ethnicity, sexual orientation, and current relationship status. Participants also responded to the following healthcare variables: (1) their health insurance status [29]; (2) how many times they had seen a healthcare provider in the past 12 months (dichotomized as having seen a provider in the last year [yes/no] [29]); and (3) whether a provider had ever recommended that they obtain the HPV vaccine [29]. In addition, participants reported whether they had prior sexual experience and the number of sexual partners in the past three months (dichotomized as having been sexually active in the past three months [yes/no] [30]).

### Statistical Analyses

Statistical analyses were conducted using SPSS statistical software (SPSS Inc., version 24, Armonk, NY, 2016). Given our focus on vaccine intentions, participants who had received the vaccine were excluded from analyses (15 men and 207 women out of 434 total). Additionally, because the vaccine was not approved for individuals over 26 years of age at the time the data were collected [31], participants over the age of 26 ( $n=8$ ) were excluded. Further, 14 participants were excluded from analyses due to missing data on key variables (e.g., vaccine status, age). Thus, data from 190 participants were analyzed in the present study.

First, descriptive statistics were examined and Pearson correlations were calculated between study variables. Next, multiple mediation analyses were conducted to test the hypothesis that self-efficacy and attitudes mediate the relationships between social norms (parent, doctor, and friend) and intentions to receive the HPV vaccine. A multiple mediation model tests both the overall mediation effect for the mediators in the model (total indirect effect) and the independent effects of the mediators (specific indirect effects) [32, 33]. Each type of social norm was examined in a separate multiple mediation analysis. Participant gender, visiting a healthcare provider in the past 12 months, physician recommendation, and health insurance

status were control variables in all analyses, given their prior associations with HPV vaccine intentions [7, 34-36]. Mediation analyses were conducted using Preacher and Hayes' bootstrapping procedures (5,000 resamples) in SPSS (version 24; IBM Corp, Armonk, NY) macros. Due to repeating analyses, an adjusted confidence interval of 99% was used. All analyses were two-tailed.

## Results

### Participant Characteristics

Participant demographics are presented in Table 1. The majority of participants ( $N=190$ ) were female (66.8%), White (68.9%), heterosexual (92.1%), and had health insurance (89.5%). The average participant age was 19.4 years ( $SD=1.6$ ). Most (76.8%) had previously heard of the HPV vaccine, and 48.6% of those who had heard of the vaccine reported that their doctor or another healthcare professional had recommended that they receive it. Additionally, the majority (82.6%) had visited a healthcare provider in the past 12 months. Two-thirds of participants (66.8%) had had at least one sexual experience, and 55.2% had been sexually active in the prior three months.

### Bivariate Associations between Social Norms, Self-efficacy, and HPV Vaccine Intentions

Correlations between main study variables are presented in Table 2. Each of the social norms for HPV vaccination were positively correlated with self-efficacy ( $p < .001$ ) and vaccine intentions ( $p < .001$ ). In other words, stronger perceptions that their parents, friends, or doctor supported receipt of the HPV vaccine were associated with increased self-efficacy and intentions to receive the vaccine. Additionally, more favorable attitudes towards the HPV vaccine was correlated with perceiving stronger social norms for HPV vaccination from parents and doctors ( $p < .001$ ). Additional correlations between study variables including gender and healthcare experiences are displayed in Table 2.

### Mediation

The potential mediating roles of self-efficacy and attitudes about the HPV vaccine in the associations between social norms and intentions to receive the vaccine were examined using three bias-corrected bootstrapped multiple mediation analyses. All models included gender, health insurance status, physician recommendation, and visit with a healthcare provider in the past year as covariates.

**Friend norms.**—Results indicated that friend norms, self-efficacy, HPV vaccine attitudes, and covariates accounted for 46% of the variation in HPV vaccine intentions. Friend norms had a significant indirect effect on intentions through self-efficacy (indirect effect=0.10; 99% CI=0.03-0.21; see Figure 1a). Perceiving stronger friend norms for vaccination was associated with greater self-efficacy for vaccination which, in turn, was associated with greater intentions to receive the vaccine. However, positive attitudes towards the vaccine did not mediate the relationship between friend norms and vaccine intentions (indirect effect=0.05; 99% CI=-0.03-0.15; see Figure 1a).

**Parent norms.**—Results indicated that parent norms, self-efficacy, HPV vaccine attitudes, and covariates accounted for 42% of the variation in HPV vaccine intentions. Parent norms had a significant indirect effect on HPV vaccine intentions through self-efficacy (indirect effect=0.14; 99% CI=0.06-0.25) and HPV vaccine attitudes (indirect effect=0.10; 99% CI=0.01-0.20; see Figure 1b). Perceiving stronger parent norms for vaccination was associated with greater self-efficacy and more favorable attitudes towards the vaccine, which, in turn, were associated with greater intentions to receive the vaccine.

**Doctor norms.**—Results indicated that doctor norms, self-efficacy, HPV vaccine attitudes, and covariates accounted for 38% of the variation in HPV vaccine intentions. Doctor norms had a significant indirect effect on HPV vaccine intentions through self-efficacy (indirect effect =.11; 99% CI=0.02-0.24; see Figure 1c) and HPV vaccine attitudes (indirect effect=0.12; 99% CI=0.03-0.25; see Figure 1c). Perceiving stronger doctor norms was associated with greater self-efficacy and more favorable attitudes towards the vaccine, which, in turn, were associated with greater intentions to receive the vaccine.

## Discussion

The current study is the first to quantitatively examine mechanisms by which specific social norms (friend, parent, and doctor) may affect college students' intentions to receive the HPV vaccine. Our results suggest that perceiving greater support from one's friends, parents, and doctor for HPV vaccination is related to greater self-efficacy for vaccination which, in turn, is related to increased vaccine intentions. Additionally, findings suggest that perceiving greater support for HPV vaccination from parents and doctors – but not friends – is related to more favorable attitudes towards the vaccine, which, in turn, are related to increased vaccine intentions. Findings are grounded in the TPB and converge with prior research suggesting that social norms for other health behaviors (e.g., sleep, condom use) are related to college students' intentions and behaviors through self-efficacy [16, 17]. Results are also consistent with qualitative findings that perceived social support for HPV vaccination bolsters self-efficacy for vaccine completion in college women [22].

Additionally, our findings suggest that doctor and parental support for HPV vaccination may relate more strongly to HPV vaccine attitudes than friend support for vaccination. This pattern is consistent with qualitative research suggesting that friends are less influential in the HPV vaccine decision-making process among young adults [22, 24]. Young adults have reported varying degrees of trust in their friends' opinion of the HPV vaccine, especially if they have not been vaccinated themselves [22, 24].

Our findings inform future intervention research to increase HPV vaccination rates among college students. In particular, if replicated longitudinally, results suggest that increasing perceived support for the HPV vaccine from multiple sources (e.g., parents, peers, healthcare providers) may lead to students' increased intentions to receive the HPV vaccine. To date, limited intervention research designed to increase HPV vaccine intentions or uptake has been conducted with U.S. college students and/or young adults [18, 37-41]. Only one intervention trial examined the importance of the source of the information (e.g., peer, provider) in providing HPV vaccine education to college students [37]. Specifically, this

randomized control trial for college women featured narratives delivered by either a peer, an expert (i.e., a physician), or both and incorporated theory-driven cognitive factors such as perceived susceptibility to HPV and self-efficacy for vaccination [37]. The group that received messages from both a peer and a physician were twice as likely to obtain the vaccine than control groups that either received an informational video, a link to a campus website about HPV vaccination, or no message. Converging with the current findings, effects of the intervention were mediated by self-efficacy such that those who received the combined peer and expert message reported higher vaccine self-efficacy, which, in turn, predicted greater intentions to obtain the vaccine [37]. An important next step will be to compare the combined and separate effects of messages about the HPV vaccine from a parent, peer, or physician, especially for ethnocultural groups with strong norms for following parental guidance.

Prior studies have suggested that provider recommendation is the strongest predictor of HPV vaccine receipt among young adults [42, 43]. More than one-third (37%) of the present sample, all of whom were unvaccinated, reported receiving an HPV vaccine recommendation from a healthcare provider. Of note, however, only a moderate correlation ( $r = .47$ ) was found between perceived doctor norms for vaccination and physician recommendation. This finding may reflect variation in the quality or strength of provider recommendations, highlighting the need for provider education on effective methods for delivering recommendations. In addition, although multi-level intervention trials that facilitate a strong provider recommendation have improved HPV vaccination among adolescents [44, 45], a paucity of interventions have targeted providers and clinics providing care to college students. The only systems-level intervention for this population incorporated electronic health record alerts in the charts of unvaccinated male patients at a university student health center, which resulted in HPV vaccine initiation rates rising from 5% to 25% among male patients [39]. Research identifying effective elements of provider communication about the HPV vaccine with young adults is needed as are interventions that test the efficacy of multi-level and multi-component strategies to promote HPV vaccination in this population.

The current findings suggest that positive communication about the HPV vaccine from providers and important others may promote vaccine intentions by improving attitudes and increasing self-efficacy. To target these variables, future interventions may include strong recommendations and approval for the vaccine from multiple sources, specifically highlighting HPV vaccine benefits, safety, and efficacy as well as young adults' risk of HPV infection. Prior research has demonstrated that self-efficacy also mediates the relationships between both perceived barriers and perceived risk and HPV vaccination among female college students [20]. Thus, educational messages that directly address common HPV vaccine barriers (e.g., cost, fear of shots, multiple trips to clinic for completion doses, cultural or religious considerations) [24, 35, 46] may also improve self-efficacy.

Limitations of the current study should be noted. The study was cross-sectional, and thus mediating relationships warrant replication in longitudinal studies. In addition, the majority of the sample was White and female, and all attended an urban public university in Indiana. Further research is needed to determine the generalizability of study findings to college



students with different demographics and those in other regions of the United States. Another limitation is that we did not obtain medical record confirmation of participants' HPV vaccine status; however, prior research has supported the validity of self-reported HPV vaccination (i.e., over 90% sensitivity) [47]. We also did not measure subsequent vaccine uptake among those who were unvaccinated. However, prior studies have found that HPV vaccine intentions are significantly associated with subsequent HPV vaccine uptake among college students [48]. Finally, we did not assess whether participants had discussed the HPV vaccine with their parents or peers.

## Conclusions

Given college students' high risk for HPV infection [5] and suboptimal vaccination rates [6-8], effective interventions are needed to promote HPV vaccination in this population. Previous interventions to increase HPV vaccination have largely focused on young children and adolescents and their parents [44, 49]. If replicated longitudinally, our results support testing HPV vaccine interventions for college students that aim to improve self-efficacy for vaccination and attitudes about the HPV vaccine by facilitating positive communication about the vaccine with providers and important others.

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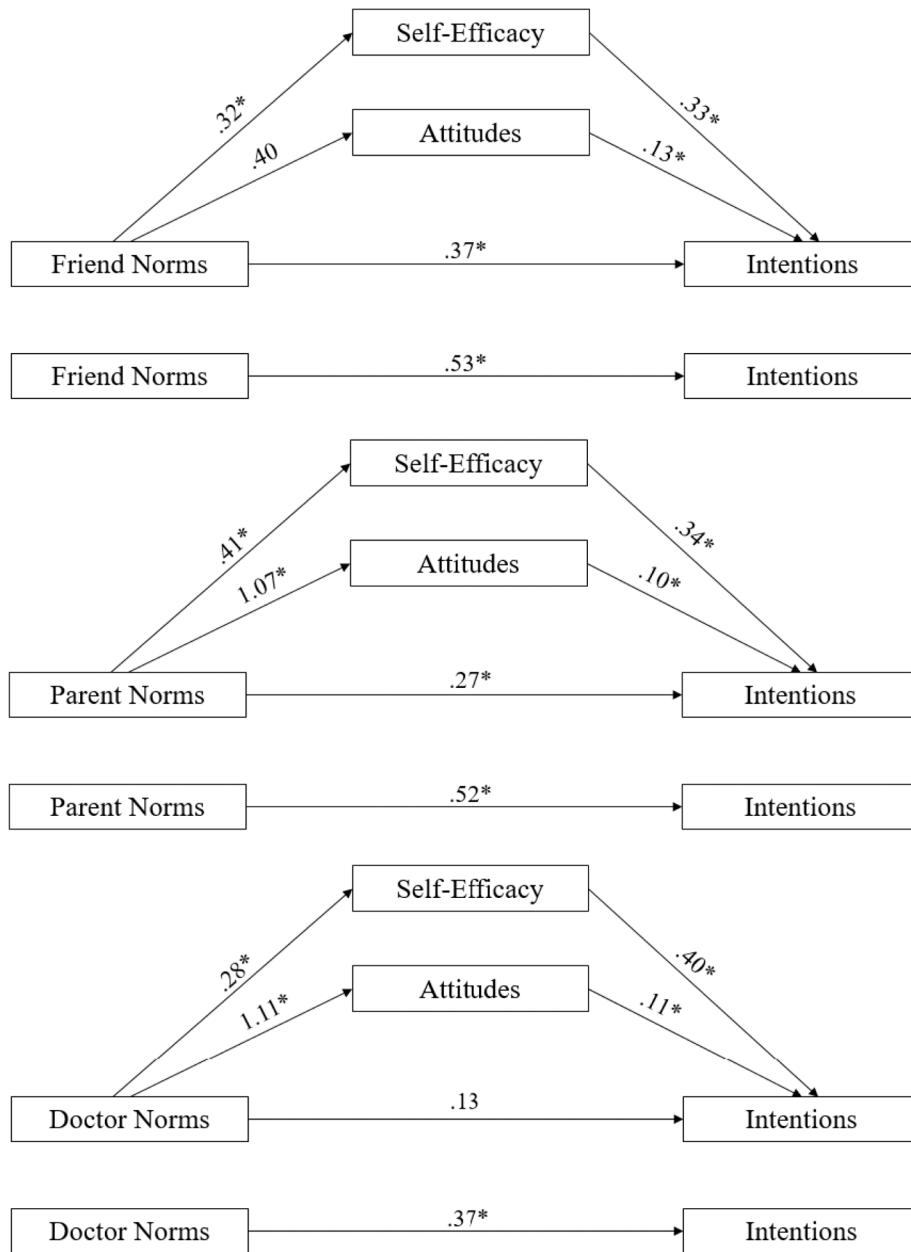
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**Figure 1.**

a. Model depicting the relationship between friend norms and intentions to receive the HPV vaccine through self-efficacy and attitudes. Values are unstandardized. Analyses controlled for gender, healthcare provider recommendation, health insurance, and visit to a healthcare provider in the past year.

\* $p < 0.001$ .

b. Model depicting the relationship between parent norms and intentions to receive the HPV vaccine through self-efficacy and attitudes. Values are unstandardized. Analyses controlled for gender, healthcare provider recommendation, health insurance, and visit to a healthcare provider in the past year.

\* $p < 0.001$ .

c. Model depicting the relationship between doctor norms and intentions to receive the HPV vaccine through self-efficacy and attitudes. Values are unstandardized. Analyses controlled for gender, healthcare provider recommendation, health insurance, and visit to a healthcare provider in the past year.

\* $p < 0.001$ .

**Table 1**Participant Demographics (*N*=190)

	Mean (SD), <i>n</i> (%)
Age (years)	19.4 (1.6)
Gender	127 (66.8%) female
Race/ethnicity	131 (68.9%) White, non-Hispanic 18 (9.5%) Asian 15 (7.9%) Black/African American 14 (7.3%) Other/More than one race 12 (6.3%) Hispanic/Latino/a
Health insurance status	170 (89.5%) insured
Visited healthcare provider in the past year	89 (46.8%) yes
Had previously heard of HPV vaccine	146 (76.8%) yes
Provider recommended HPV vaccine	71 (37.4%) yes
Sexual orientation	175 (92.1%) heterosexual 5 (2.6%) bisexual 4 (2.1%) gay 3 (1.6%) not sure 2 (1.1%) did not respond/missing 1 (0.5%) something else
Currently in a romantic relationship	97 (51.1%) yes
Sexually active in the past 3 months	105 (55.2%) yes
Have had at least one sexual experience	127 (66.8%) yes

HPV = human papillomavirus.

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**Table 2.** Correlations between TPB Variables, Healthcare Experiences, and Intentions to Receive the HPV Vaccine

Variable	1	2	3	4	5	6	7	8	9
1. Intentions	-								
2. Social norms - friends	<b>0.43</b>	-							
<i>p</i> -value	<0.001								
3. Social norms - parent	<b>0.49</b>	<b>0.65</b>	-						
<i>p</i> -value	<0.001	<0.001							
4. Social norms - doctor	<b>0.28</b>	<b>0.41</b>	<b>0.46</b>	-					
<i>p</i> -value	<0.001	<0.001	<0.001						
5. Self-efficacy	<b>0.53</b>	<b>0.26</b>	<b>0.42</b>	<b>0.28</b>	-				
<i>p</i> -value	<0.001	<0.001	<0.001	<0.001					
6. TPB - attitudes	<b>0.48</b>	0.13	<b>0.41</b>	<b>0.36</b>	<b>0.45</b>	-			
<i>p</i> -value	<0.001	0.08	<0.001	<0.001	<0.001				
7. Gender	0.09	0.05	-0.001	<b>0.16</b>	0.04	-0.06	-		
<i>p</i> -value	0.24	0.54	0.99	0.03	0.59	0.45			
8. Visited healthcare provider in past year	0.11	-0.04	0.11	0.14	<b>0.16</b>	0.03	<b>0.17</b>	-	
<i>p</i> -value	0.14	0.59	0.14	0.06	0.02	0.64	0.02		
9. Health insurance status	-0.06	-0.02	-0.04	-0.01	0.03	-0.02	-0.02	<b>0.19</b>	-
<i>p</i> -value	0.41	0.76	0.58	0.89	0.64	0.78	0.75	0.01	
10. Healthcare provider recommended HPV vaccine	0.01	-0.001	0.06	<b>0.47</b>	0.12	0.05	<b>0.31</b>	<b>0.17</b>	0.02
<i>p</i> -value	0.87	0.99	0.42	<0.001	0.10	0.51	<0.001	0.02	0.82

Note. TPB = Theory of Planned Behavior; HPV = human papillomavirus. Gender is coded as 0=male, 1=female. Provider visit, provider recommendation, and health insurance are coded as 0=no, 1=yes.