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## Synthetic vulnerabilities of mesenchymal subpopulations in pancreatic cancer

Giannicola Genovese<sup>1</sup>, Alessandro Carugo<sup>1,2,3,\*</sup>, James Tepper<sup>1,\*</sup>, Frederick Scott Robinson<sup>1,2,\*</sup>, Liren Li<sup>1,4,\*</sup>, Maria Svelto<sup>1,5</sup>, Luigi Nezi<sup>1</sup>, Denise Corti<sup>1</sup>, Rosalba Minelli<sup>1</sup>, Piergiorgio Pettazoni<sup>1</sup>, Tony Gutschner<sup>1</sup>, Chia-Chin Wu<sup>1</sup>, Sahil Seth<sup>1</sup>, Kadir Caner Akdemir<sup>1</sup>, Elisabetta Leo<sup>2</sup>, Samirkumar Amin<sup>1,6</sup>, Marco Dal Molin<sup>7</sup>, Haoqiang Ying<sup>8</sup>, Lawrence N. Kwong<sup>9</sup>, Simona Colla<sup>10</sup>, Koichi Takahashi<sup>1,10</sup>, Papia Ghosh<sup>11</sup>, Virginia Giuliani<sup>2</sup>, Florian Muller<sup>12</sup>, Prasenjit Dey<sup>13</sup>, Shan Jiang<sup>13</sup>, Jill Garvey<sup>2</sup>, Chang-Gong Liu<sup>14</sup>, Jianhua Zhang<sup>2</sup>, Timothy P. Heffernan<sup>2,†</sup>, Carlo Toniatti<sup>15</sup>, Jason B. Fleming<sup>16</sup>, Michael G. Goggins<sup>7</sup>, Laura D. Wood<sup>7</sup>, Alessandro Sgambato<sup>5</sup>, Abbas Agaimy<sup>17</sup>, Anirban Maitra<sup>18,19</sup>, Charles W. M. Roberts<sup>20</sup>, Huamin Wang<sup>18</sup>, Andrea Viale<sup>1</sup>, Ronald A. DePinho<sup>13</sup>, Giulio F. Draetta<sup>1,2</sup>, Lynda Chin<sup>1,2,†</sup>

<sup>1</sup>Department of Genomic Medicine, The University of Texas MD Anderson Cancer Center, Houston, Texas, 77030, USA.

<sup>2</sup>Institute for Applied Cancer Science, The University of Texas MD Anderson Cancer Center, Houston, Texas, 77030, USA.

<sup>3</sup>European Institute of Oncology, Milano 20141, Italy.

<sup>4</sup>Sun Yat-Sen University Cancer Center, State Key Laboratory of Oncology in South China, Guangzhou, 510060, China.

<sup>5</sup>Istituto di Patologia Generale, Universita' Cattolica del Sacro Cuore, Roma 00168, Italy.

<sup>6</sup>Graduate program in Structural and Computational Biology and Molecular Biophysics, Baylor College of Medicine, Houston, Texas 77030, USA.

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Correspondence and requests for materials should be addressed to G.G. ([ggenovese@mdanderson.org](mailto:ggenovese@mdanderson.org)), L.C. ([lchin@mdanderson.org](mailto:lchin@mdanderson.org)) or G.F.D. ([gdraetta@mdanderson.org](mailto:gdraetta@mdanderson.org)).

<sup>†</sup>Present addresses: C4 Therapeutics, Cambridge, Massachusetts 02142, USA (T.P.H.); Institute for Health Transformation, The University of Texas System, Austin, Texas 78701, USA (L.C.)

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\*These authors contributed equally to this work.

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<sup>7</sup>Department of Pathology, The Sol Goldman Pancreatic Cancer Research Center, Johns Hopkins University, Baltimore, Maryland 21287, USA.

<sup>8</sup>Department of Molecular and Cellular Oncology, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>9</sup>Department of Translational Molecular Pathology, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>10</sup>Department of Leukemia, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>11</sup>Office of Technology Commercialization, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>12</sup>Department of Cancer Systems Imaging, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>13</sup>Department of Cancer Biology, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>14</sup>Department of Experimental Therapeutics, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>15</sup>ORBIT Platform, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>16</sup>Department of Surgical Oncology, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>17</sup>Department of Pathology, Friedrich Alexander University Erlangen-Nuremberg, University Hospital, Erlangen 91054, Germany.

<sup>18</sup>Department of Pathology, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>19</sup>Sheikh Ahmed Bin Zayed Al Nahyan Center for Pancreatic Cancer Research, The University of Texas MD Anderson Cancer Center, Houston, Texas 77030, USA.

<sup>20</sup>Comprehensive Cancer Center and Department of Oncology, St. Jude Children's Research Hospital, Memphis, Tennessee 77027, USA.

## Abstract

Malignant neoplasms evolve in response to changes in oncogenic signalling<sup>1</sup>. Cancer cell plasticity in response to evolutionary pressures is fundamental to tumour progression and the development of therapeutic resistance<sup>2,3</sup>. Here we elucidate the molecular and cellular mechanisms of cancer cell plasticity in a conditional oncogenic *Kras* mouse model of pancreatic ductal adenocarcinoma (PDAC), a malignancy that displays considerable phenotypic diversity and morphological heterogeneity. In this model, stochastic extinction of oncogenic *Kras* signalling and emergence of *Kras*-independent escaper populations (cells that acquire oncogenic properties) are associated with de-differentiation and aggressive biological behaviour. Transcriptomic and functional analyses of *Kras*-independent escapers reveal the presence of *Smarcb1*-*Myc*-network-

driven mesenchymal reprogramming and independence from MAPK signalling. A somatic mosaic model of PDAC, which allows time-restricted perturbation of cell fate, shows that depletion of *Smarchb1* activates the *Myc* network, driving an anabolic switch that increases protein metabolism and adaptive activation of endoplasmic-reticulum-stress-induced survival pathways. Elevated protein turnover renders mesenchymal sub-populations highly susceptible to pharmacological and genetic perturbation of the cellular proteostatic machinery and the IRE1- $\alpha$ -MKK4 arm of the endoplasmic-reticulum-stress-response pathway. Specifically, combination regimens that impair the unfolded protein responses block the emergence of aggressive mesenchymal subpopulations in mouse and patient-derived PDAC models. These molecular and biological insights inform a potential therapeutic strategy for targeting aggressive mesenchymal features of PDAC.

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Normal and neoplastic pancreatic epithelia display remarkable plasticity, enabling them to adapt to oncogenic and environmental stresses. The prominent cellular plasticity of PDAC has fueled speculation that these properties may contribute to its aggressive clinical behaviour<sup>4-6</sup>.

To understand how malignant pancreatic cells adapt to an oncogenic insults, we established a stochastic model of PDAC progression by isolating *Kras*<sup>G12D</sup>-expressing pancreatic epithelial cells from 3–6-week-old *Ptf1a*<sup>Cre/+</sup>-*Kras*<sup>G12DLSL/+</sup> mice for *ex vivo* cultures<sup>7,8</sup>(Extended Data Fig. 1a and Methods). Under these conditions, we observed cellular senescence upon passaging followed by the emergence of escaper clones in 10–20% of cultures (Extended Data Fig. 1b). Spontaneous escapers generated tumours with high penetrance upon orthotopic transplantation, displaying a dichotomous morphology of either well-differentiated epithelial lesions (EPI) or anaplastic, mesenchymal-like tumours (MS-L) based on microscopic and immunohistochemical characterization (Extended Data Fig. 1c). MS-L cultures displayed higher spherogenic potential *in vitro*, higher tumour-initiating cell (TIC) frequency in *in vivo* limiting-dilution experiments and a global increase in tumorigenic and metastatic potential, whereas EPI cultures exhibited less aggressive behaviour both *in vitro* and *in vivo* (Fig. 1a, b and Extended Data Fig. 1d–f).

Transcriptomic profiling of EPI and MS-L escapers (4 lines each) revealed 5,164 differentially expressed genes (corrected false discovery rate < 0.05) (Extended Data Fig. 1g). Gene set enrichment analysis (GSEA) and proteomic analysis revealed that MS-L clones exhibited downregulation of *Kras* signature genes, dysregulation of transcriptomic targets of the SWI/SNF chromatin remodeller *Smarchb1* and activation of programs involved in cell-cycle progression<sup>9-12</sup> (Extended Data Fig. 1h, i).

We validated these findings by *in vivo* lineage-tracking methods, allowing the isolation and characterization of emerging malignant sub-populations with respect to their differentiation state by combining a conditional fluorescence lineage-tracing reporter (*R26<sup>mTmG</sup>*) and the *Cdh1<sup>Cfp</sup>* reporter (expressing a fusion product of E-cadherin and CFP) into the spontaneous *KPC* / (*Kras*<sup>G12DLSL/+</sup>-*Tp53*<sup>LoxP/LoxP</sup>-*Pdx1*-*Cre*) model of PDAC (Extended Data Fig. 2a, b and Methods). The system yielded two distinct malignant sub-populations: a GFP<sup>+</sup>CFP<sup>low</sup>SMARCB1<sup>low</sup> sub-population characterized by low engagement of the MAPK signalling, expression of mesenchymal markers and an aggressive phenotype, and a GFP<sup>+</sup>CFP<sup>high</sup>SMARCB1<sup>high</sup> epithelial sub-population exhibiting high levels of phospho-ERK1/2

and a more indolent behaviour (Fig. 1c–f and Extended Data Fig. 2c–e). The clinical relevance of our findings was assessed in a cohort of surgically resected PDAC; we identified a subset of patients characterized by dismal prognosis and poorly differentiated tumours that showed low MAPK activity, low SMARCB1 expression and an increased proliferative index (Fig. 1g–k).

We further investigated the role of *Kras* and *Smarcb1* in cell plasticity through functional genetic studies. Using RNA interference (RNAi) experiments, we found that ablation of either *Kras* or *Smarcb1* resulted in the mesenchymal reprogramming of epithelial clones and aggressive biological behaviour (Extended Data Fig. 3a–g). Additionally, the conditional ablation of the *Smarcb1* gene in the *KC* (*Kras*<sup>G12DLSL/+</sup>-*Pdx1-Cre*) and *KPC* / backgrounds, to generate *KSC* / and *KPSC* / models, respectively, resulted in markedly accelerated tumorigenesis, increased metastatic spread and mesenchymal reprogramming (Extended Data Fig. 3h–p).

We next investigated whether time-restricted *Smarcb1* extinction could promote the mesenchymal reprogramming of established tumours *in vivo* through a lentiviral-based somatic-mosaic system (*pLSM5*), allowing the tissue-specific mosaic generation of PDAC in adult mice (*R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / -*pLSM5-shSmarcb1*)<sup>13</sup> (Extended Data Fig. 4a–d and Methods). In these settings, acute *Smarcb1* ablation resulted in the rapid expansion of a mesenchymal sub-population exhibiting increased TIC frequency, enhanced growth and metastatic dissemination (Extended Data Fig. 4e–l).

Similarly, the time-restricted restoration of *Smarcb1* in *Smarcb1*-depleted tumours (*R26*<sup>CreERT2/+</sup>-*KP*<sup>Frt/Flt</sup>-*pLSM2-shSmarcb1*) resulted in mesenchymal-to-epithelial reversion, depletion of nestin/vimentin-positive populations, and indolent tumour growth (Fig. 2a–d, Extended Data Fig. 4m–q and Methods). These profound phenotypic changes were accompanied by increased survival and impaired metastatic dissemination of orthotopically transplanted syngeneic C57BL/6 recipient mice (Extended Data Fig. 4r, s). Together, these data suggest that *Smarcb1* serves as a gatekeeper of epithelial identity because its loss provokes mesenchymal features and aggressive malignant behaviour. Furthermore, maintenance of the mesenchymal phenotype requires sustained repression of *Smarcb1*.

Transcriptomic analysis of *Smarcb1*-ablated tumours and *Smarcb1*-deficient escapers revealed enrichment for quasi-mesenchymal signature genes (QM-PDA)<sup>14,15</sup> (Extended Data Fig. 5a), upregulation of *Myc* transcriptomic target and enrichment for genes involved in protein anabolism, biomass accumulation, and adaptive response to stress (Extended Data Fig. 5b, c). In line with expression data, *Smarcb1*-deficient cells displayed increased protein synthesis rates, as assessed by fluorescence-activated cell sorting (FACS) analysis of O-propargyl-puromycin (OPP) incorporation in short-term cultures that were established from tumours derived from escaper clones and conditional somatic models<sup>16</sup> (Fig. 3a and Extended Data Fig. 5d). Furthermore, as a consequence of the perturbation of proteostatic balance, *Smarcb1*-deficient and *Smarcb1*-depleted cancer cells showed prominent accumulation of cytoplasmic protein aggregates, signs of endoplasmic reticulum (ER) stress (as assessed by transmission electron microscopy (TEM)) and engagement of the JNK and

p38 stress kinases through the IRE1- $\alpha$ -MKK4 arm of the ER-stress-response pathway, leading to the activation of the *Atf2* transcriptional network (Fig. 3b and Extended Data Fig. 5e, f). Overall, this experimental evidence strongly suggests that global perturbation of the cellular proteostatic machinery is an adaptive response to increased metabolic requirements<sup>17–21</sup>. In line with these data, examination of surgically resected PDAC specimens revealed a strong association between decreased SMARCB1 levels, activation of the stress response pathway (as assessed by phospho-ATF2 immunochemical staining) and poor post-operative outcome ( $P < 0.0001$ ; Extended Data Fig. 5g–i).

Notably, whereas *in vivo* restoration of *Smarcb1* suppressed stress-response signalling in mesenchymal tumours, resulting in the normalization of the ultramicroscopic findings (Fig. 3b), exogenous expression of *Myc* in functional rescue experiments forced malignant cells into a mesenchymal, anabolic state and restored molecular and ultrastructural signs of proteotoxic stress (Extended Data Fig. 6a–i). These findings are consistent with the view that *Myc* is required for the maintenance of the mesenchymal state in *Smarcb1*-deficient cell populations and is responsible for the anabolic switch, the perturbation of protein metabolism, and the engagement of the IRE1- $\alpha$ /MKK4 pathway.

On the basis of these experimental observations, we proposed that *Smarcb1*-deficient mesenchymal cells might be highly sensitive to pharmacological or genetic perturbation of adaptive stress-response signalling. To test this *in vivo*, we used somatic mosaic technology to generate *Smarcb1*-deficient tumours carrying latent short hairpin RNAs (shRNAs) specific for the stress response effector genes in *R26<sup>Cag-FlpoERT2/+</sup>-KPS* / mice (Extended Data Fig. 7a). When injected mice developed palpable tumours, time-restricted ablation of *Ern1* (encoding IRE1- $\alpha$ ) resulted in durable tumour regression, prolonged survival *in vivo*, and marked reduction of clonogenic growth *in vitro* when compared to vehicle-treated and control tumours (Extended Data Fig. 7b–d). Similarly, the constitutive knockdown of *Ern1* in MS-L cells and in *Myc*-reprogrammed EPI escapers potently suppressed tumorigenicity in orthotopic transplants *in vivo* and impaired clonogenic growth in 3D but showed no effects on EPI-derived transplants and cultures (Extended Data Fig. 7e–g). These findings were supported by a decrease in the levels of phospho-ATF2 and apoptotic response (Extended Data Fig. 7h, i). Furthermore, systematic depletion of *Mkk4*, *Atf2* and *Jun* both *in vitro* and *in vivo* confirmed that the engagement of the IRE1- $\alpha$ -MKK4 pathway is required for adaptation to the metabolic requirements and survival of *Smarcb1*-deficient cells (Fig. 3c–f and Extended Data Fig. 8a–m). Similarly, acute deletion of *Mkk4* in *R26<sup>Cag-FlpoERT2/+</sup>-KP* / *-pLSM5-shMkk4* (containing *shMkk4*, an shRNA targeting *Mkk4*) resulted in a less strong (but still significant) response, suggesting that in a heterogeneous background, abrogation of stress response can selectively impair the survival and propagation of a substantial fraction of malignant cells (Extended Data Fig. 9a–d).

In line with our genetic studies, we established a notable correlation between *Smarcb1* expression status and sensitivity to pharmacological manipulation of cellular proteostasis with HSP90 inhibitors and proteasome inhibitors *in vitro* (Extended Data Fig. 10a, b). Furthermore, treatment of *Smarcb1*-deficient tumour-bearing mice with the HSP90 inhibitor AUY922 resulted in the induction of apoptosis and delayed tumour growth, but showed limited efficacy in *Smarcb1*-proficient models (Extended Data Fig. 10c, d). Notably,

therapeutic efficacy could be improved by the simultaneous impairment of cellular proteostasis and the ER-stress response, combining the HSP90 inhibitor AUY922 with p38/JNK inhibitor doublets (BIRB796 and SP600125), which suggests that *Smarcb1*-deficient tumours are particularly vulnerable to the coordinated perturbation of the protein-folding machinery and stress response (Extended Data Fig. 10e, f). Furthermore, perturbation of proteostasis was effective in genetically engineered mouse model (GEMM)-derived-allograft and patient-derived-xenograft (PDX) models, which recapitulate the complexity and phenotypic heterogeneity of human PDAC<sup>22–25</sup>. In both models, the addition of AUY922 to a gemcitabine-based regimen induced a robust apoptotic response and prolonged survival compared to single-agent treatments (Fig. 4a–h and Extended Data Fig. 10g).

By using complementary functional approaches, we demonstrate that, in pancreatic cancer, neoplastic cells reside within a spectrum of phenotypic states and that the functional heterogeneity of different sub-populations of tumour cells stems from a remarkable plasticity of malignant clones. Our work highlights a novel mechanism of Kras-driven tumorigenesis, involving malignant sub-populations that fail to activate signalling downstream of Kras (for example, through MAPK)<sup>26</sup>. Eventually this results in the de-repression of growth and metabolic programs normally kept in check by the SWI/SNF chromatin-remodelling factor SMARCB1 and driven by Myc. Our study sheds light on the crucial tumour-suppressive role of SMARCB1 as a differentiation checkpoint and a gatekeeper of epithelial–mesenchymal transition. Transcriptomic and functional analyses revealed that such sub-populations display an increased anabolic rate and rely on the adaptive activation of the unfolded-protein and ER-stress responses for survival. This establishes a rationale for the pharmacological perturbation of proteostasis in addition to chemotherapy in the treatment of patients with de-differentiated tumours and poor prognosis who may benefit most from trials involving these combinations<sup>27–29</sup>.

## METHODS

### Mouse strains

*Kras*<sup>G12DLSL/+</sup>, *Kras*<sup>G12DFSF/+</sup>, *R26*<sup>CreERT2</sup> and *R26*<sup>Cag-LSL-Luc</sup> mice were generated by T. Jacks and obtained through the Jackson Laboratory<sup>30–33</sup>. *Tp53*<sup>Frt/Frt</sup> mice were generated by D. Kirsch and obtained through the Jackson Laboratory<sup>34</sup>. The *R26*<sup>mTmG</sup> strain was generated by L. Luo and obtained through the Jackson Laboratory<sup>35</sup>. The *Smarcb1*<sup>Loxp/Loxp</sup> strain was provided by C. Roberts<sup>36</sup>. The Pdx1-Cre strain was obtained from A. M. Lowy through the Jackson Laboratory<sup>37</sup>. The *Ptf1a*<sup>Cre/+</sup> and *Tp53*<sup>Loxp/Loxp</sup> strains were provided by R.A.D.<sup>38,39</sup>. The *R26*<sup>Cag-FlpoERT2</sup> was generated by A. Joyner and obtained from the Jackson Laboratory<sup>40</sup>. The *Cdh1*<sup>Cfp</sup> strain was generated by H. Clevers and obtained through the Jackson Laboratory<sup>41</sup>. The *Kras*<sup>G12DFSF/+</sup>; *Tp53*<sup>Frt/Frt</sup>; *R26*<sup>CreERT2</sup> were kept in a C57BL/6 background, the other strains were kept in a mixed C57BL/6 and 129Sv/Jae background. All animal studies and procedures were approved by the UTMDACC Institutional Animal Care and Use Committee. Animals were killed when sick or when they developed tumours larger than 15 mm in their greater diameter or ulcerated lesions.



## GEMM nomenclature

*KC*: *Kras*<sup>G12DLSL/+</sup>-*Pdx1-Cre*, *KPC* / : *Kras*<sup>G12DLSL/+</sup>-*Tp53*<sup>LoxP/LoxP</sup>-*Pdx1-Cre*, *KSC* / : *Kras*<sup>G12DLSL/+</sup>-*Smarcb1*<sup>LoxP/LoxP</sup>-*Pdx1-Cre*, *KPSC* / : *Kras*<sup>G12DLSL/+</sup>-*Tp53*<sup>LoxP/LoxP</sup>-*Smarcb1*<sup>LoxP/LoxP</sup>-*Pdx1-Cre*, *CS* / : *Smarcb1*<sup>LoxP/LoxP</sup>-*Pdx1-Cre*, *KPC* / -*R26*<sup>mTmG</sup>-*Cdh1*<sup>Cfp</sup>; *Kras*<sup>G12DLSL/+</sup>-*Tp53*<sup>LoxP/LoxP</sup>-*Pdx1-Cre*-*R26*<sup>mTmG/+</sup>-*Cdh1*<sup>Cfp/+</sup>; *R26*<sup>CreERT2/+</sup>-*KP*<sup>Frt/Frt</sup>; *R26*<sup>CreERT2/+</sup>-*Kras*<sup>G12DFS/+</sup>-*Tp53*<sup>Frt/Frt</sup>; *R26*<sup>Cag-FlpoERT2/+</sup>-*KP* / : *R26*<sup>Cag-FlpoERT2/+</sup>-*Kras*<sup>G12DLSL/+</sup>-*Tp53*<sup>LoxP/LoxP</sup>; *R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / : *R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*Kras*<sup>G12DLSL/+</sup>-*Tp53*<sup>LoxP/LoxP</sup>; *R26*<sup>Cag-FlpoERT2/+</sup>-*KPS* / : *R26*<sup>Cag-FlpoERT2/+</sup>-*Kras*<sup>G12DLSL/+</sup>-*Tp53*<sup>LoxP/LoxP</sup>-*Smarcb1*<sup>LoxP/LoxP</sup>. Correct genotype was determined by PCR analysis and gel electrophoresis at birth and at death. Males and females were equally represented in experimental cohorts. *R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / : *Kras*<sup>G12DLSL</sup> and *R26*<sup>Cag-LSL-Luc</sup> are in *cis*. No sex bias was introduced during the generation of experimental cohorts.

## Somatic lentiviral vectors and other plasmids

To generate *pLSM5*, a synthetic cassette (Geneart, Life Technologies) containing the U6 promoter and the Cre recombinase sequence under the human keratin 19 promoter (-1,114, +141) flanked by 2 TATA-*Frt* sites (XbaI-U6-TATA-*Frt*-EcoRI-hKrt19-NheI-Cre-TATA-*Frt*-HpaI) was cloned into the XbaI/HpaI site of the pSICO vector. A DNA fragment was liberated by XbaI/KpnI digestion and cloned into the XbaI/KpnI sites of the pLB vector<sup>42</sup>. The introduction of the TATA box into the *Frt* sites was designed as previously described<sup>43</sup>. To generate *pLSM2*, the human Keratin 19 promoter was cloned into the NotI/NheI sites of the pSICO vector. The Flpo cassette was cloned into the NheI/EcoRI sites of the pSICOR-hKrt19 (*pLSM1*). A DNA fragment was liberated by KpnI/XbaI digestion and inserted into the KpnI/XbaI sites of the pLB vector to obtain the *pLSM2* vector. The shRNA oligos were cloned into the HpaI/XhoI site as previously described<sup>43</sup>. All the constructs were verified by restriction digestion and sequencing. The pSICO, pSICOR, and pSICO-Flpo were made by T. Jacks<sup>31,43</sup>. The pLB vector was created by S. Kissler. The pMSCV-*LoxP*-dsRed-*LoxP*-eGFP-Puro-WPRE vector was used for virus titration in HEK293 cells and provided by H. Clevers<sup>44</sup>. All plasmids were obtained through Addgene. The pMSCV-Neo vector was purchased from Clontech. shRNA sequences: *Smarcb1*-1 (5'-GGAAGAGGTGAATGATAAA-3'), *Smarcb1*-855 (5'-AGATAGGAACACAAGGCGAAT-3'), *Smarcb1*-857 (GCCATCCGAAATACCGGAGAT), *Atf2* (5'-GAAGTTTCTAGAACGAAATAG-3'), *c-Jun* (5'-CAGTAACCCTAAGATCCTAAA-3'), *Kras* (5'-GGAAACAAGTAGTAATTGA-3'), *Erm1* (5'-GCTGAACTACTTGAGGAATTA-3'), *Mkk4* (5'-CCCATACATTGTTTCAGTTCTA-3'), negative control (5'-GCAAGCTGACCCTGAAGTTCAT-3'). To amplify integrated vector from genomic DNA the following oligonucleotides were used: forward, 5'-CCCGGTTAATTTGCATATAATATTTC-3'; reverse, 5'-CATGATACAAAGGCATTAAGCAG-3'. For constitutive knock-down experiments, the pLKO.1 system was used. Cells were briefly selected in puromycin before experiments. The murine *Myc* open reading frame was purchased from Genecopoeia and subcloned into the EcoRI/BglIII sites of the pMSCV-Neo vector. The pLenti-PKG Gfp-Puro was obtained from Addgene<sup>45</sup>.

## Vectors and experimental design

In the *pLSM2*-shRNA system/mouse strain, we crossed a latent allele of oncogenic *Kras*<sup>G12DFSF/+</sup> that can be activated by Flpo-mediated recombination with a conditional *Tp53*<sup>Frt/Frt</sup> allele that, similarly, can be ablated in a time-restricted, tissue-specific manner by expressing a codon-optimized Flpo recombinase (provided by lentiviral delivery and under a tissue specific promoter)<sup>31,34,46</sup>. In addition, we introduced a tamoxifen-inducible Cre recombinase (CreERT2) that is expressed in virtually all tissues<sup>30</sup>.

The *pLSM2*-shRNA system/vector was designed as follows. The lentiviral vector expresses the codon-optimized Flpo recombinase under the human *KRT19* promoter and a constitutive shRNA under the U6 promoter. The entire cassette is flanked by LoxP sites and can be removed by Cre-mediated recombination in a time-restricted manner. The orthotopic injection of the virus results in the activation of oncogenic *Kras* and inactivation of *Tp53* along with the RNAi-mediated depletion of *Smarcb1* in the pancreatic epithelial compartment. The treatment with caerulein (performed according to the staggered protocol described previously<sup>6</sup>), starts 1 week after the viral injection and results in robust activation of a ductal differentiation program in the acinar compartment (acinar ductal metaplasia) and in a proliferative response<sup>6</sup>. Tamoxifen treatment results in Cre-mediated recombination at the LoxP sites in the genome of the integrated provirus, deletion of the shRNA cassette and restoration of expression of the gene target.

In the *pLSM5*-shRNA system/mouse strain, we crossed mouse strains carrying a latent oncogenic *Kras*<sup>G12DLSL/+</sup> allele (activated by Cre-mediated recombination) with a conditional *Tp53*<sup>LoxP/LoxP</sup> allele (along with a conditional *Smarcb1*<sup>LoxP/LoxP</sup> allele in some experiments) that, similarly, can be ablated in a time-restricted, tissue-specific manner by expressing a Cre recombinase (provided by lentiviral delivery)<sup>32,36,38</sup>. In addition, we introduced a tamoxifen-inducible Flpo recombinase (FlpoERT2) which is expressed in virtually all tissues under a strong promoter (CAG)<sup>40</sup>.

The *pLSM5*-shRNA lentiviral vector expresses a codon-optimized Cre recombinase under the human *KRT19* promoter and a latent shRNA that can be activated by Flpo-mediated recombination and the deletion of a Frt-Stop-Frt cassette. A TATA-box cassette was introduced into the Frt sites to increase shRNA expression upon Flpo-mediated recombination. The system allows the generation of primary tumours and the depletion of a gene of interest at a desired time.

## Virus preparation, lentiviral somatic mosaic GEMM and surgical procedures

Infectious viral particles were produced using psPAX2 and pMD2G helper plasmids. For transfection, 293T cells were cultured in DMEM containing 10% FBS (Gibco), 100 IU ml<sup>-1</sup> penicillin (Gibco), 100 µg ml<sup>-1</sup> streptomycin (Gibco) and 4 mM caffeine (Sigma-Aldrich) and transfected using the polyethylenimine method. Virus-containing supernatant was collected 48–72 h after transfection, spun at 3,000 r.p.m. for 10 min and filtered through 0.45-µm low-protein-binding filters (Corning)<sup>47</sup>. High-titre preparations were obtained by multiple rounds of ultracentrifugation at 23,000 r.p.m. for 2 h each. Viral titre was quantified in HEK293T cells stably transduced with a Cre-inducible Gfp reporter<sup>44</sup>. For orthotopic



injections, a previously described protocol was partially modified<sup>13</sup>. In brief, virus was resuspended in a solution of OPTI-MEM and polybrene ( $8 \mu\text{g ml}^{-1}$ ). Mice were anaesthetized using a ketamine/xylazine solution ( $150 \text{ mg kg}^{-1}$  and  $10 \text{ mg kg}^{-1}$ , respectively). Shaved skin was disinfected with betadine and ethanol and 1-cm incisions were performed through the skin/subcutaneous and muscular/peritoneal layers. The spleen and tail of the pancreas were identified and exposed and multiple injections were performed in the pancreatic tail and body ( $2\text{--}5 \times 10^8$  IU per mouse). The muscular/peritoneal planes were closed using continuous absorbable sutures. The skin/subcutaneous planes were closed using interrupted absorbable sutures. Analgesia was achieved with buprenorphine ( $0.1 \text{ mg kg}^{-1}$  BID). At 7 days after surgery, mice were treated with caerulein as previously described<sup>6</sup>. Mice were monitored for tumour formation twice per week. For tamoxifen treatment, after tumours were detected, mice were treated with tamoxifen (Sigma) by intraperitoneal injection. A total of  $100 \mu\text{l}$  of tamoxifen solution ( $15 \text{ mg ml}^{-1}$  in corn oil) was injected every other day, giving five injections in total. Treatment cycles were repeated every 2 weeks if appropriate. In orthotopic secondary transplantation studies, tamoxifen treatment was started 5 days after surgery. For orthotopic transplantations experiments,  $2 \times 10^5$  cells were resuspended in a 2:1 solution of OPTI-MEM (Gibco) and Matrigel (BD Biosciences, 356231) and transplanted into the tail of the pancreas of 6–9-week-old mice in a single injection ( $25 \mu\text{l}$ ). For subcutaneous transplantation studies, tumour cells were resuspended in OPTI-MEM (Gibco) and Matrigel (BD Biosciences, 356231) (2:1 dilution) and injected subcutaneously into the flank of 6–9-week-old NCr Nude female mice (Taconic). Liver-seeding experiments were performed as described previously<sup>48</sup>. Liver weight was measured fresh at necropsy. For transplantation in a limiting dilution,  $1 \times 10^3$ ,  $2 \times 10^2$  or  $2 \times 10$  tumour cells were resuspended in a 2:1 solution of OPTI-MEM (Gibco) and Matrigel (BD Biosciences, 356231) and injected into the flank of 6–9-week-old NCr Nude female mice (Taconic). Mice were observed for 24–34 weeks. The TIC frequency was calculated using L-Calc Limiting Dilutions Software (Stem Cell Technologies) and expressed as proportion of TIC  $\pm$  s.e.m.

### Antibodies and chemical reagents

The following primary antibodies were used for immunofluorescence, immunohistochemistry and immunoblotting: Phospho-p44/42 MAPK (ERK1/2, Thr202/Tyr204) (D13.14.4E, Cell Signaling Technologies #4370); phospho-MEK1/2 (Ser221, 166F8) (Cell Signaling Technologies #2338), SMARCB1 (Sigma Aldrich # HPA018248); SMARCB1 (BD Transduction Laboratories #612111); Vinculin (E1E9V, Cell Signaling Technologies #13901); vimentin (D21H3, Cell Signaling Technologies #5741); CDH1 (4A2, Cell Signaling Technologies #14472); nestin (rat-401 Millipore #Mab 353); Ki67 (Thermo Scientific #RM9106); Sox9 (Millipore #AB-5535); Pdx1 (Millipore # 06–1385); cleaved caspase 3 (A175, Cell Signaling Technologies #9661); phospho-p38 (D3F9) (Cell Signaling Technologies #4511); p38 $\alpha$  (Cell Signaling Technologies #9218); JNK (Cell Signaling Technologies #9252); phospho-JNK (Thr183/Tyr185, 81E11, Cell Signaling Technologies #4668); ATF2 (20F1 Cell Signaling Technologies #9226), phospho-ATF2 (Thr69/71, Cell Signaling Technologies #9225); c-Jun (60A8, Cell Signaling Technologies #9165); phospho-c-Jun (Ser73, D47G9, Cell Signaling Technologies #3270); ubiquitin (Cell Signaling Technologies #3933); IRE1 $\alpha$  (14C10, Cell Signaling Technologies #3294); PERK (D11A8,

Cell Signaling Technologies #5683); XBP-1 s (D2C1F, Cell signaling Technologies #12782); ATF6 (70B1413, Abcam #11909); ATF6 (NovusBio # NBP1-77251); SEK1/MKK4 (Cell Signaling Technologies #9152); phospho-SEK1/MKK4 (Ser257, C36C11, Cell Signaling Technologies #4514); c-Myc (D3N8F, Cell Signaling Technologies #13987).

The following chemical reagents were used: gemcitabine (LC Laboratories), bortezomib (LC Laboratories), carfilzomib (LC Laboratories), NVP-AUY-922 (LC Laboratories), ganetespib (Selleck Chemicals) SP600125 (LC Labs), BIRB796 (LC Labs), tunicamycin (Selleck Chemicals). Senescence-associated  $\beta$ -galactosidase staining was performed with a senescence  $\beta$ -Galactosidase Staining Kit (Cell Signaling Technologies) according to the manufacturer's instructions.

### Flow cytometry, cell sorting and analysis of protein synthesis *ex vivo*

Tumour-derived cells and primary lines were cultured *in vitro* for <5 passages prior to experimentation. Aldefluor-based cell sorting (Stem Cell Technologies) was performed according to the manufacturer's instructions. Cells showing a fluorescence signal above the average of the diethylaminobenzaldehyde-treated negative controls were considered positive. Protein synthetic rate was assessed using the Click-iT Plus OPP Alexa Fluor 594 Protein Synthesis Assay Kit (Life Technologies) according to the manufacturer's instructions. The rate of incorporation of OPP was assessed by FACS analysis. Cells cultured in the presence of 20  $\mu$ M Cycloheximide (Sigma Aldrich) were used as negative technical controls. After staining, samples were acquired using a BD FACS Canto II flow cytometer. Cell sorting experiments were performed using BD Influx cell sorter. For details see ref. 49. Data were analysed by FlowJo (Tree Star).

### Patient-derived samples

Patient-derived samples were obtained from patients who had given informed consent under Institutional Review Board (IRB)-approved protocols LAB07-0854 chaired by J.B.F. (UTMDACC) and IRB00044588 chaired by L. D. Wood (JHMI). The establishment of human PDX lines was described in detail previously<sup>50,51</sup>. Passage-1 PDXs were dissociated using collagenase and dispase (collagenase IV-dispase 4 mg ml<sup>-1</sup>; Invitrogen) at 37 °C for 1 h and single-cell suspensions were then transduced with a lentiviral GFP reporter (pLenti-PKG GFP-Puro) and transplanted into NOD SCID immunocompromised mice. Experimental cohorts were generated by serial transplantations *in vivo*.

### Tumour cell isolation and culture

Cells were isolated from primary pancreatic tumours as previously described. Cells derived from primary mouse tumours were kept in culture as spheres in semi-solid media for <5 passages. After explant, tumours were digested at 37 °C for 1 h (collagenase IV-dispase 4 mg ml<sup>-1</sup>; Invitrogen). Single-cell suspensions were plated in DMEM (Lonza) supplemented with 2 mM glutamine (Invitrogen), 10% FBS (Lonza), 40 ng ml<sup>-1</sup> hEGF (PeproTech), 20 ng ml<sup>-1</sup> hFGF (PeproTech), 5  $\mu$ g ml<sup>-1</sup> h-insulin (Roche), 0.5  $\mu$ M hydrocortisone (Sigma), 100  $\mu$ M  $\beta$ -mercaptoethanol (Sigma), 4  $\mu$ g ml<sup>-1</sup> heparin (Sigma), penicillin (Gibco) 100 IU ml<sup>-1</sup> and streptomycin (Gibco) 100  $\mu$ g ml<sup>-1</sup>. Methocult M3134 (StemCell Technologies) was added to the culture medium to a final concentration of 0.8% (v/v) to keep tumour cells

growing as clonal spheres and not aggregates. Spheres were collected and digested with 0.25% trypsin (Gibco) to single cells and re-plated. For 2D tumour cultures, cells were kept in DMEM containing 10% FBS (Gibco), 100 IU ml<sup>-1</sup>, penicillin (Gibco) and 100 µg ml<sup>-1</sup> streptomycin (Gibco). For *in vivo* transplantation studies, low-passage (<5) tumour cells were used. For GDAs, single-cell suspensions were generated using collagenase and dispase (collagenase IV–dispase 4 mg ml<sup>-1</sup>, Invitrogen) and transplanted immediately into recipient mice. Experimental cohorts were generated by serial transplantations *in vivo*.

### Isolation and expansion of primary pancreatic epithelial cells

The following was performed as previously described<sup>53</sup> with modifications. Pancreata were harvested and digested at 37 °C for 45 min (collagenase IV, 4 mg ml<sup>-1</sup>) and passed through a 100-µm nylon cell strainer to separate the acinar fraction from larger ducts. The ductal fraction underwent additional digestion with 0.25% trypsin (Gibco) for 5 min at 37 °C and mechanical disruption. The two fractions were combined and plated on collagen IV-coated plates (Corning) in modified PDEC medium: DMEM/F12 (Gibco), 15 mM HEPES (Invitrogen), 5 mg ml<sup>-1</sup> D-glucose (Sigma Aldrich), 1.22 mg ml<sup>-1</sup> nicotinamide (Sigma Aldrich), 5 nM 3,3,5-tri-iodo-L-thyronine (Sigma Aldrich), 1 µM dexamethasone (Sigma Aldrich), 100 ng ml<sup>-1</sup> cholera toxin (Sigma Aldrich), 5 ml l<sup>-1</sup> insulin-transferrin-selenium (BD), penicillin (Gibco), 100 µg ml<sup>-1</sup> streptomycin (Gibco), 0.1 mg ml<sup>-1</sup> soybean trypsin inhibitor (Sigma Aldrich), 40 ng ml<sup>-1</sup> EGF (Sigma Aldrich), 25 µg ml<sup>-1</sup> bovine pituitary extract (Invitrogen), 100 µM β-mercaptoethanol (Sigma) and 10% FBS (Gibco). Cells were passaged at low confluency until exhaustion or escaper clones were established.

### *In vitro* treatments

For drug treatments, spheres were collected, washed, digested with trypsin and repeatedly counted (Countless, Invitrogen). Equal numbers of live cells were incubated with bortezomib (5 nM), carfilzomib (5 nM), NVP-AUY-922 (50 nM), ganetespib (50 nM), 4-hydroxy-tamoxifen (250 nM) and tunicamycin (200 nM). Spheroids were manually counted under a Nikon Eclipse Ti microscope using a click-counter. Experiments were repeated at least three times and error bars represent the s.d. of technical replicates from a representative experiment.

### *In vivo* studies and treatment schedules

For orthotopic end point survival studies, 6–9-week-old female mice were transplanted orthotopically with  $2 \times 10^5$  cells resuspended in a 2:1 solution of OPTI-MEM (Gibco) and Matrigel (BD Biosciences, 356231). GEMM-derived-allografts and PDXs were briefly dissociated and passaged *in vivo* in NCr Nude and NOD SCID female mice, respectively, to limit the phenotypic changes associated with 2D cultures. Tumour volumes were measured according to the formula  $l \times w^2/2$ , where  $w$  represents tumour width. Clinical response was determined as the ratio of tumour volume at the end of the treatment to the volume at the beginning of the treatment. Gemcitabine was administered intraperitoneally at 100 mg kg<sup>-1</sup> every 4 days for 16 days; NVP-AUY-922 was administered intraperitoneally at 75 mg kg<sup>-1</sup> every other day for 16 days; BIRB796 was administered by oral gavage every second day at 40 mg kg<sup>-1</sup> for 16 days; SP600125 was administered intraperitoneally at 40 mg kg<sup>-1</sup> every day for 16 days. Gemcitabine was dissolved in phosphate buffer saline, AUY922 was

dissolved 10% DMSO/25% water/65% PEG 400, SP600125 was resuspended in PBS and DMSO and BIRB796 was prepared as previously reported<sup>54</sup>.

### **MRI and IVIS imaging**

Animals were imaged on a 4.7T Bruker Biospec (Bruker BioSpin) equipped with 6-cm inner-diameter gradients and a 35-mm inner-diameter volume coil. Multi-slice T2-weighted images were acquired in coronal and axial geometries using a rapid acquisition with relaxation enhancement (RARE) sequence with TR/TE of 2,000/38 ms, matrix size 256 × 192, 0.75-mm slice thickness, 0.25-mm slice gap, 4 × 3-cm FOV, 101-kHz bandwidth, 3 NEX. Axial scan sequences were gated to reduce respiratory motion. Detection of luciferase activity was performed in an IVIS-100 imaging system. Five minutes before the procedure, mice were injected intraperitoneally with D-luciferin, bioluminescence substrate (Perkin Elmer) according to the manufacturer's instructions. Living Image 4.3 software (Perkin Elmer) was used for analysis of the images after acquisition.

### **Immunohistochemistry and immunofluorescence**

Tumour samples were fixed in 4% formaldehyde for 24 h at room temperature, moved into 70% ethanol for 12 h, and then embedded in paraffin (Leica ASP300S). After cutting (Leica RM2235) and baking at 60 °C for 20 min for de-paraffinization, slides were treated with Citra-Plus Solution (BioGenex) for antigen unmasking according to the manufacturer's instructions. For immunohistochemical staining, endogenous peroxidases were inactivated by 3% hydrogen peroxide at room temperature for 15 min. Non-specific signals were blocked using 5% BSA and 5% goat serum for 1 h. Tumour samples were stained with primary antibodies for 12 h at 4 °C and the Mouse on Mouse Kit (Vector Laboratory) was used when appropriate according to the manufacturer's instructions. For immunostaining, ImmPress (Vector Laboratory) were used as secondary antibodies and Nova RED (Vector Laboratory) was used for detection. Images were captured with a Nikon DS-Fi1 digital camera using a wide-field Nikon Eclipse Ci microscope. For immunofluorescence, secondary antibodies conjugated to Alexa488, Alexa647 and Alexa555 (Molecular Probes) were used. Images were captured with a Hamamatsu C11440 digital camera, using a wide-field Nikon EclipseNi microscope and a Nikon high-speed multi-photon confocal microscope A1 R MP. Total staining score was weighed to the intensity and prevalence (percentage of positive tumour cells and intensity score of 0 to 3) in random fields at 20× magnification. Quantitative analysis was performed using Image J and Immunoratio programs according to the providers' instructions at 20× original magnification.

### **Transmission electron microscopy**

TEM was performed at the UTMDACC High Resolution Electron Microscopy Facility. Samples were fixed with a solution containing 3% glutaraldehyde plus 2% paraformaldehyde in 0.1 M cacodylate buffer, pH 7.3, for 1 h. After fixation, the samples were washed and treated with 0.1% Millipore-filtered cacodylate-buffered tannic acid, post-fixed with 1% buffered osmium tetroxide for 30 min, and stained en bloc with 1% Millipore-filtered uranyl acetate. The samples were dehydrated in increasing concentrations of ethanol, infiltrated and embedded in LX-112 medium. The samples were polymerized at 60 °C for 2 days. Ultra-thin sections were cut using a Leica Ultracut microtome, stained with uranyl

acetate and lead citrate in a Leica EM Stainer and examined using a JEM 1010 transmission electron microscope (JEOL) at an accelerating voltage of 80 kV. Digital images were obtained using an AMT Imaging System (Advanced Microscopy Techniques Corp).

### Western blotting

Protein lysates were resolved on 5–15% gradient polyacrylamide SDS gels (Bio-Rad) and transferred onto PVDF membranes (Bio-Rad) according to the manufacturer's instructions. Membranes were incubated with the indicated primary antibodies, washed in TBST buffer and probed with HRP-conjugated secondary antibodies. The detection of bands was carried out upon chemi-luminescence reaction followed by film exposure (Denville Scientific).

### Statistical analysis

*In vitro* and *in vivo* data are presented as the mean  $\pm$  s.d. Results from limiting dilutions analysis (LDA) were expressed as the proportion of TIC  $\pm$  s.e.m. Differences in stem cell frequencies between groups were determined using a chi-squared test (2-tailed)<sup>55,56</sup>. Comparisons between biological replicates were performed using a two-tailed Student's *t*-test. Results from survival and incidence experiments were analysed with a log-rank (Mantel–Cox) test and expressed as Kaplan–Meier survival curves. Results from contingency tables were analysed using the two-tailed Fisher's exact test (GraphPad software). Group size was determined on the basis of the results of preliminary experiments. No statistical methods were used to determine sample size. Group allocation and analysis of outcome were not performed in a blinded manner. Samples that did not meet proper experimental conditions were excluded from the analysis.

### DNA and RNA isolation, expression profiling and data analysis

DNA and RNA were isolated using DNeasy Blood and Tissue Kit (Qiagen) and RNeasy Mini Kit (Qiagen) according to the manufacturer's instructions. Gene expression profiling was performed at the UTMDACC Microarray Core Facility on a Gene Chip Mouse Genome 430 2.0 Array (Affymetrix). The robust multi-array average method was used with default options (with background correction, quantile normalization, and log transformation) to normalize raw data from batches using R/Bioconductor's *affy* package (12925520) and analysed with GSEA c3.tft.v4.0 (TFT) and c6.all.v4.0. (Oncogenic Signatures); HOMER (20513432) was also used to identify significantly enriched biological pathways or processes for the differentially expressed genes<sup>57,58</sup>.

### Enrichment for subgroup of PDA signature genes

Subgroup information (Classical, QM-PDA, Exocrine-like) for each gene was provided to a heuristic optimization method (stochastic gradient descent) to minimize objective function. The objective function output was used to calculate decision boundaries with a support vector machine approach to optimize the partitioning of subtypes. The obtained microarray signal values for each probe were used for proper classification. The decision surface for multi-class datasets was plotted with Python package *matplotlib*. To control for random occurrence, we permuted the classification subtypes provided to the stochastic gradient descent function and randomized trainings yield ambiguous classifications, suggesting that

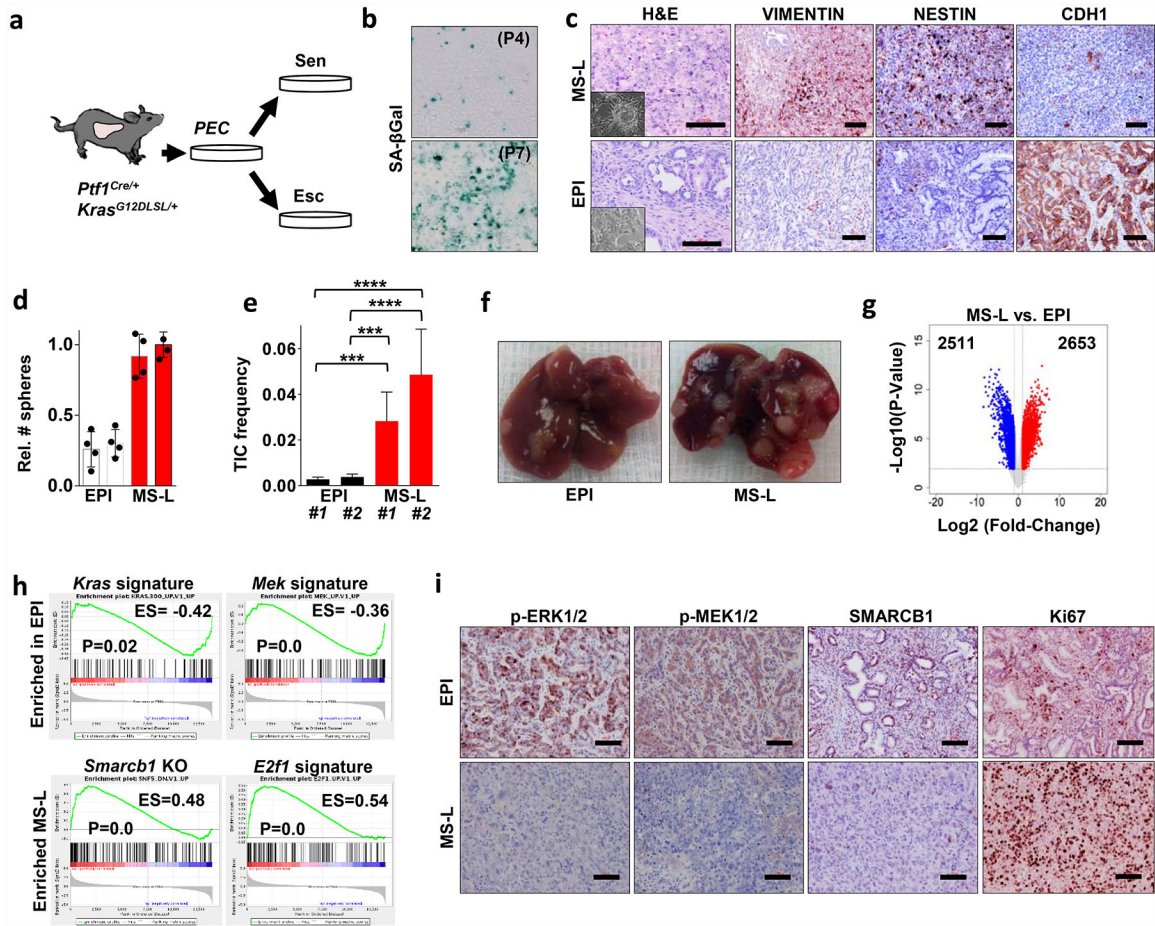


gene expression signatures in our model is overlapping with the previous pancreas cancer subgroups.

**Data Availability**

Clinical and pathological data for patient samples are provided in Supplementary Table 1. Microarray data supporting the findings of this study have been deposited in the Gene Expression Omnibus (GEO; <https://www.ncbi.nlm.nih.gov/geo/>) under accession number [GSE83754](https://www.ncbi.nlm.nih.gov/geo/acc/show/GSE83754). All other data are available from the corresponding author (G.G.) upon reasonable request.

**Extended Data**

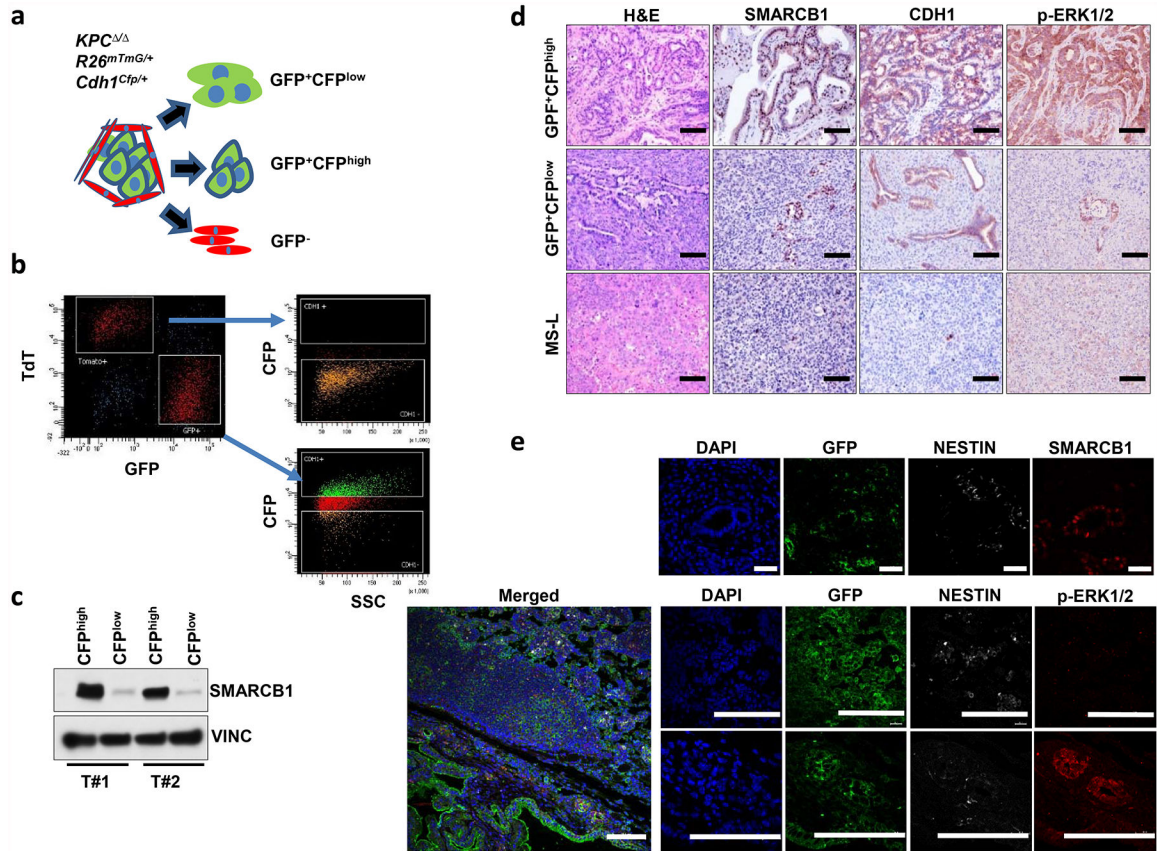


**Extended Data Figure 1 |. Molecular characterization of escaper clones.**

**a**, Schematic representation of the experimental workflow. Pancreatic epithelial cells (PEC) were isolated from *Kras*<sup>G12D</sup>-mutant pancreata and cultured *ex vivo* under the selective pressure of oncogenic stress. Serial passaging resulted in two different outputs: senescence or the establishment of escaper clones. **b**, Representative panels of senescence-associated β-galactosidase staining in pancreatic epithelial cells at passages P4 and P7. **c**, Far left, representative sections of escaper-derived tumours, haematoxylin and eosin stained, displaying mesenchymal-like or epithelial morphology. Insets show the morphology of the

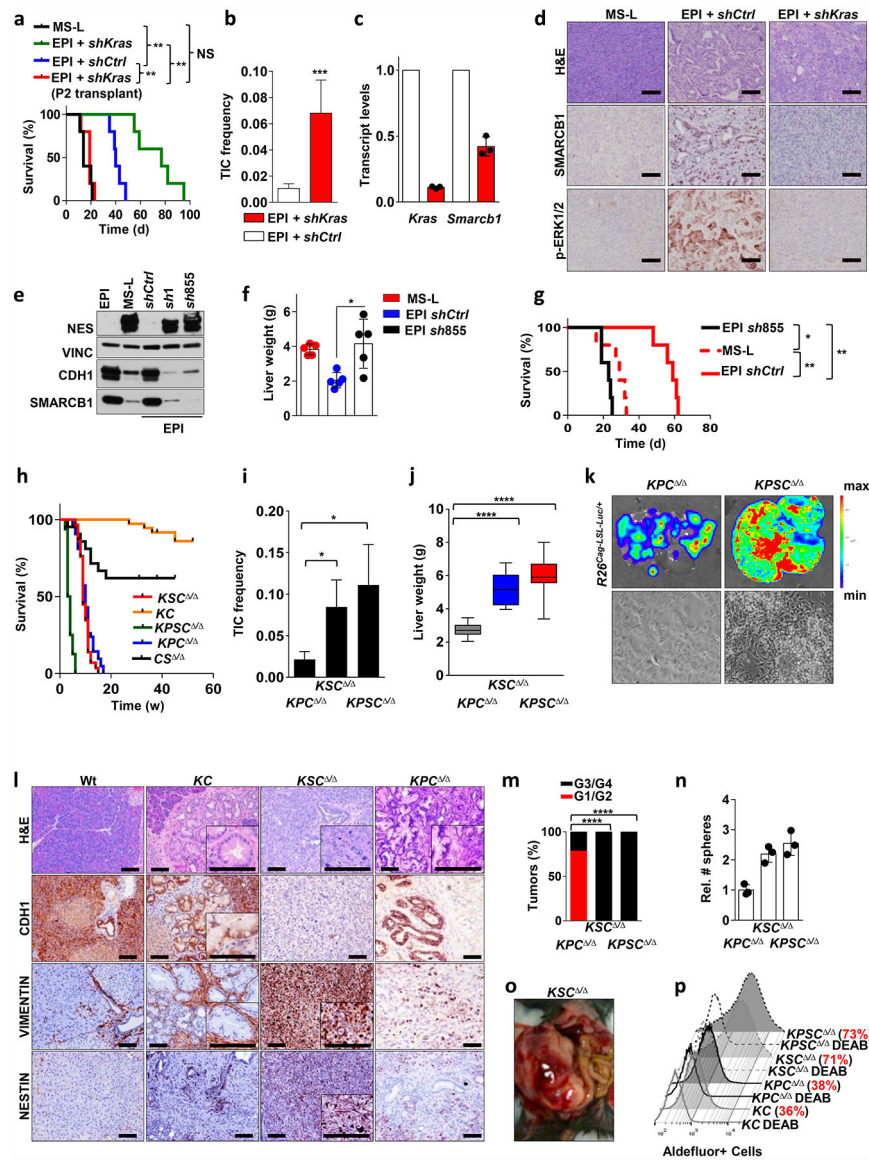


original clone in 2D culture. Mid-left to far right, immunohistochemical staining for the EMT markers vimentin, nestin and CDH1, respectively, in tumours derived from EPI and MS-L transplants. **d**, Assessment of clonogenic growth in 3D *in vitro* ( $n = 4$  per group). Data are mean  $\pm$  s.d. of technical replicates (one representative experiment of three). MS-L cells show an enhanced ability to form spheres in methylcellulose-based semi-solid culture medium. **e**, TIC frequency of EPI and MS-L cells as assessed by limiting dilution experiments in immunocompromised (NCr Nude) mice. Two individual clones per group were tested (EPI#1:  $n = 19$ , EPI#2:  $n = 23$ , MS-L#1:  $n = 20$ , MS-L#2:  $n = 20$ ). TIC was calculated using the L-Calc software. Data are the mean proportion of TICs  $\pm$  s.e.m. \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$  by two-tailed chi-squared test. **f**, Representative pictures of livers from mice orthotopically injected with EPI or MS-L cells. **g**, Volcano plot showing the number of differentially expressed genes between EPI and MS-L escapers. **h**, GSEA enrichment analysis plots for gene sets upregulated in EPI (top) and MS-L (bottom) escaper cells. EPI escapers display enrichment for *Kras*- and *Mek*-driven transcriptomic gene signatures, MS-L escapers are characterized by the perturbation of transcriptomic targets of the SWI/SNF chromatin remodelling factor *Smrbc1* and dysregulation of genetic programs involved in progression through the cell cycle. **i**, Immunohistochemical profile of EPI and MS-L escapers and validation of the transcriptomic analysis. EPI escapers exhibit robust MAPK signalling, as assessed with antibodies specific for phospho-p44/42 MAPK (ERK1/2) (Thr202/Tyr204) and phospho-MEK1/2 (Ser221); by contrast, MS-L escapers display a lack of MAPK signalling activation, downregulation of SMARCB1 levels and an increase in the proliferative index assessed by Ki67 staining. Scale bars: 100  $\mu\text{m}$  (**c**, **i**).



**Extended Data Figure 2 | Isolation and functional characterization of epithelial and mesenchymal clones from primary tumours in a conditional reporter GEMM of PDAC.**

**a**, Schematic model of the GEMM. *Kras<sup>G12DLSL/+</sup>-Tp53<sup>LoxP/LoxP</sup>-Pdx1-Cre* (*KPC*<sup>Δ/Δ</sup>) mice were crossed with a strain expressing a lineage-tracing fluorescent reporter (*R26<sup>mTmG/+</sup>*) and a strain expressing a *Cdh1<sup>Cfp/+</sup>* reporter, in which CFP (cyan fluorescent protein) is expressed as a fusion protein with endogenous E-cadherin to generate the *KPC*<sup>Δ/Δ</sup>-*R26<sup>mTmG/+</sup>*-*Cdh1<sup>Cfp/+</sup>* dual-reporter model of PDAC. This system allows the isolation of GFP-positive malignant cells and the separation of CFP<sup>high</sup> (epithelial) from CFP<sup>low</sup> (mesenchymal) sub-populations. **b**, FACS experiment showing the distribution of CFP<sup>high</sup> and CFP<sup>low</sup> sub-populations in both the GFP<sup>+</sup> (tumour cells) compartment and the TdTomato<sup>+</sup> (stromal) compartment. The reporter shows an absence of CFP<sup>+</sup> cells in the stromal (TdTomato<sup>+</sup>) compartment and a spectrum of sub-populations in the tumour (GFP<sup>+</sup>) compartment. **c**, Western blot analysis of the expression levels of SMARCB1 in the GFP<sup>+</sup>CFP<sup>high</sup> and GFP<sup>+</sup>CFP<sup>low</sup> sub-populations. Vinculin was used as loading control. **d**, Representative sections showing the levels of SMARCB1, CDH1 and phospho-ERK1/2 in orthotopic transplants of malignant sub-populations, isolated as described above. MS-L-derived transplants were used as controls. **e**, *In vivo* characterization of the PDAC sub-populations in the *KPC*<sup>Δ/Δ</sup>-*R26<sup>mTmG/+</sup>* model of PDAC. Immunofluorescence staining for GFP, SMARCB1, phospho-ERK1/2 and nestin in PDAC originated in the *KPC*<sup>Δ/Δ</sup>-*R26<sup>mTmG/+</sup>* background strain. Low levels of SMARCB1 and phospho-ERK1/2 and high levels of nestin are a hallmark of the sub-population of invasive GFP<sup>+</sup> cells. Scale bars: 100 μm (**d**; **e**, bottom nine panels), 20 μm (**e**, top four panels). For gel source data, see Supplementary Figures.



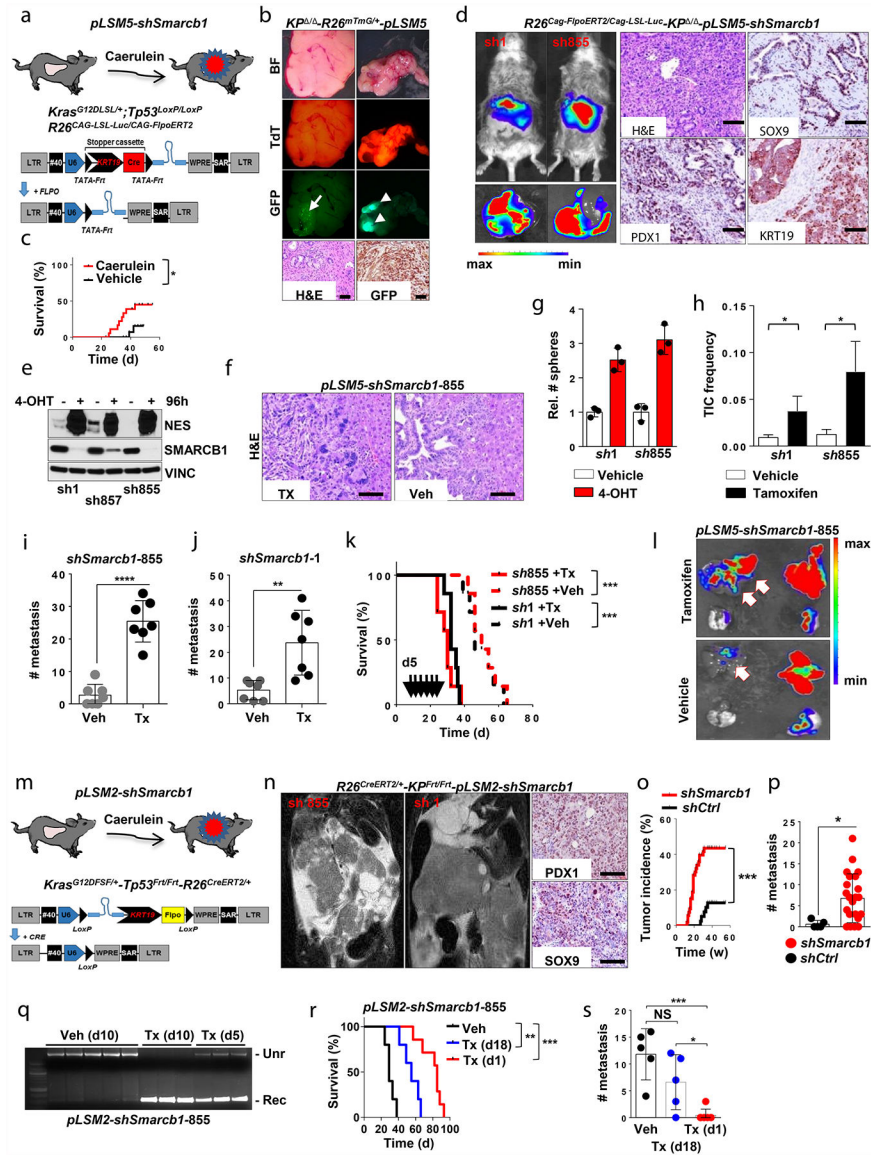
**Extended Data Figure 3 | Functional characterization of a *Kras/Smad4* axis in the maintenance of epithelial identity and in mesenchymal reprogramming.**

**a**, RNAi-mediated knockdown of *Kras* in EPI-derived orthotopic transplants achieved with a lentiviral-based technology results in aggressive tumours that faithfully recapitulate the biological behaviour of MS-L transplants. Kaplan–Meier survival analysis of NCr Nude mice transplanted with MS-L escapers and EPI escapers transduced with either *shCtrl* or *shKras* constructs ( $n = 5$  per group). Tumours emerging in the *Kras*-depleted group display longer latencies; however, once established, they faithfully recapitulate the behaviour of MS-L tumours in secondary transplants. **b**, TIC frequencies for *shCtrl* and *shKras* reprogrammed EPI escapers assessed by limiting dilution transplantation studies in immunocompromised (NCr Nude) mice (EPI and *shCtrl*:  $n = 27$ ; EPI and *shKras*:  $n = 25$ ) and calculated using the L-Calc software. Data are the mean proportion of TICs  $\pm$  s.e.m. **c**, Transcript levels for *Kras* and *Smad4* in EPI cells transduced with *shCtrl* or *shKras* constructs assessed by qPCR 96 h after transduction. RNAi-mediated depletion of *Kras* results in an acute drop in the levels

of *Smarcb1* ( $n = 3$ ). Data are mean  $\pm$  s.d. of technical replicates (one representative experiment out of three). **d**, Immunohistochemical quantification of the levels of phospho-ERK1/2 and SMARCB1 in tumours generated by EPI cells transduced with *shCtrl* or *shKras* constructs. Depletion of *Kras* results in tumours characterized by lack of activation of the MAPK signalling and a profound drop in the levels of nuclear SMARCB1. **e**, Western blot analysis of the expression of SMARCB1, E-cadherin (CDH1) and nestin in EPI, MS-L clones and EPI clones re-programmed with lentiviral-based shRNAs against *Smarcb1* (*sh1* and *sh855*). Vinculin was used as loading control. **f**, Liver-seeding assay for the quantification of metastatic potential. Liver weight of NCr Nude mice that received an intrasplenic injection of EPI cells infected with a lentiviral vector harbouring a control shRNA or a shRNA against *Smarcb1* (*sh855*). MS-L cells were used as positive controls ( $n = 5$  per group). Data are mean  $\pm$  s.d. of biological replicates. RNAi-mediated ablation of *Smarcb1* results in higher metastatic burden. **g**, Kaplan–Meier analysis of survival of NCr Nude mice orthotopically injected with EPI cells that were infected with a lentiviral vector harbouring a control shRNA or a shRNA against *Smarcb1* (*sh855*). MS-L cells were used as positive control ( $n = 5$  per group). **h**, Ablation of *Smarcb1* in the pancreatic epithelia potentially cooperates with mutant *Kras*<sup>G12D</sup> in driving aggressive tumours with full penetrance and a median latency of 5–7 weeks. Kaplan–Meier survival analysis. *Pdx1-Cre-Kras*<sup>G12DLSL/+</sup>-*Smarcb1*<sup>LoxP/LoxP</sup> (*KSC*<sup>/</sup>),  $n = 29$ ; *Pdx1-Cre-Kras*<sup>G12DLSL/+</sup>-*Tp53*<sup>LoxP/+</sup>/*LoxP* (*KPC*<sup>/</sup>),  $n = 42$ ; *Pdx1-Cre-Smarcb1*<sup>LoxP/LoxP</sup> (*CS*<sup>/</sup>),  $n = 21$ ; *Pdx1-Cre-Kras*<sup>G12DLSL/+</sup> (*KC*),  $n = 36$ ; *Pdx1-Cre-Kras*<sup>G12DLSL/+</sup>-*Tp53*<sup>LoxP/LoxP</sup>-*Smarcb1*<sup>LoxP/LoxP</sup> (*KPSC*<sup>/</sup>),  $n = 16$ . *KSC*<sup>/</sup> versus *KC*,  $P < 0.0001$ ; *KPSC*<sup>/</sup> versus *KSC*<sup>/</sup>,  $P < 0.0001$ ; *KPSC*<sup>/</sup> versus *KPC*<sup>/</sup>,  $P < 0.0001$  by Mantel–Cox log-rank test. **i**, TIC frequency in *Smarcb1*-ablated tumours (*KSC*<sup>/</sup>, *KPSC*<sup>/</sup>) compared to the *Smarcb1*-proficient background (*KPC*<sup>/</sup>), as assessed by limiting-dilution transplantation experiments in NCr Nude mice (*KPC*<sup>/</sup>,  $n = 20$ ; *KSC*<sup>/</sup>,  $n = 20$ ; *KPSC*<sup>/</sup>,  $n = 18$ ) and calculated using the L-Calc software. Data shown as the mean proportion of TICs  $\pm$  s.e.m. **j**, Liver weight of NCr Nude mice receiving intrasplenic transplants of low-passage tumour cells isolated from *KPC*<sup>/</sup>-*R26*<sup>Cag-LSL-Luc/+</sup>, *KSC*<sup>/</sup>-*R26*<sup>Cag-LSL-Luc/+</sup> and *KPSC*<sup>/</sup>-*R26*<sup>Cag-LSL-Luc/+</sup> tumours ( $n = 10$  per group). Data are mean  $\pm$  s.d. of biological replicates. **k**, Top, representative luciferase images for liver-seeding assays described in **j**. The intensity of the signal is proportional to the metastatic burden. Colour scale bar is a reference for the intensity of the luminescence signal. Bottom panels show representative images of low-passages tumour cells in 2D. Original image magnification,  $\times 20$ . Cells lacking *Smarcb1* are loosely cohesive and characterized by a prominent mesenchymal morphology and a propensity for growth in suspension. **l**, Immunohistochemical profile of *Smarcb1*-deficient tumours (*KSC*<sup>/</sup>) compared to *Smarcb1*-proficient lesions (*KC*, *KPC*<sup>/</sup>) and wild-type (Wt) pancreata. Samples were stained for the EMT markers vimentin, nestin and CDH1. Normal wild-type pancreata, pre-neoplastic lesions from the *KC* background and PDAC from the *KPC*<sup>/</sup> background were used as controls. At 8–10 weeks old, mice were killed and pancreata/pancreatic lesions were collected. Hallmarks of *Smarcb1*-ablated tumours are the complete loss of CDH1 and the robust expression of the mesenchymal markers vimentin and nestin when compared to neoplastic and pre-malignant lesions originating in the *Smarcb1*-proficient backgrounds (*KC* and *KPC*<sup>/</sup>). **m**, Histopathological grade distribution in conditional GEMMs of PDAC (*KPC*<sup>/</sup>,  $n = 28$ ; *KSC*<sup>/</sup>,  $n = 29$ ; *KPSC*<sup>/</sup>,  $n = 15$ ). **n**, Spherogenic potential of low-



passage spheroids obtained from tumours arising in the *KPC*<sup>+/+</sup>, *KSC*<sup>+/+</sup> and *KPSC*<sup>+/+</sup> genetic background (*n* = 3 per group). Data are mean ± s.d. of technical replicates (one representative experiment out of three). **o**, Representative gross image of a *KSC*<sup>+/+</sup> mouse at necropsy. **p**, FACS analysis for the putative stem-cell marker aldefluor in freshly isolated tumour cells from the *KC*, *KPC*<sup>+/+</sup>, *KSC*<sup>+/+</sup> and *KPSC*<sup>+/+</sup> backgrounds. Diethylaminobenzaldehyde-treated cells were used as negative control. *Smarcb1*-deficient cells display a robust increase in the relative number of aldefluor positive cells. For gel source data see Supplementary figures. NS, not significant; \**P* < 0.05, \*\* *P* < 0.01, \*\*\* *P* < 0.001, \*\*\*\* *P* < 0.0001, by Mantel–Cox log-rank test (**a**, **g**), two-tailed chi-squared test (**b**, **i**), unpaired two-tailed *t*-test (**f**, **j**) or two-tailed Fisher’s exact test (**m**). Scale bars: 100 μm (**d**, **l**).



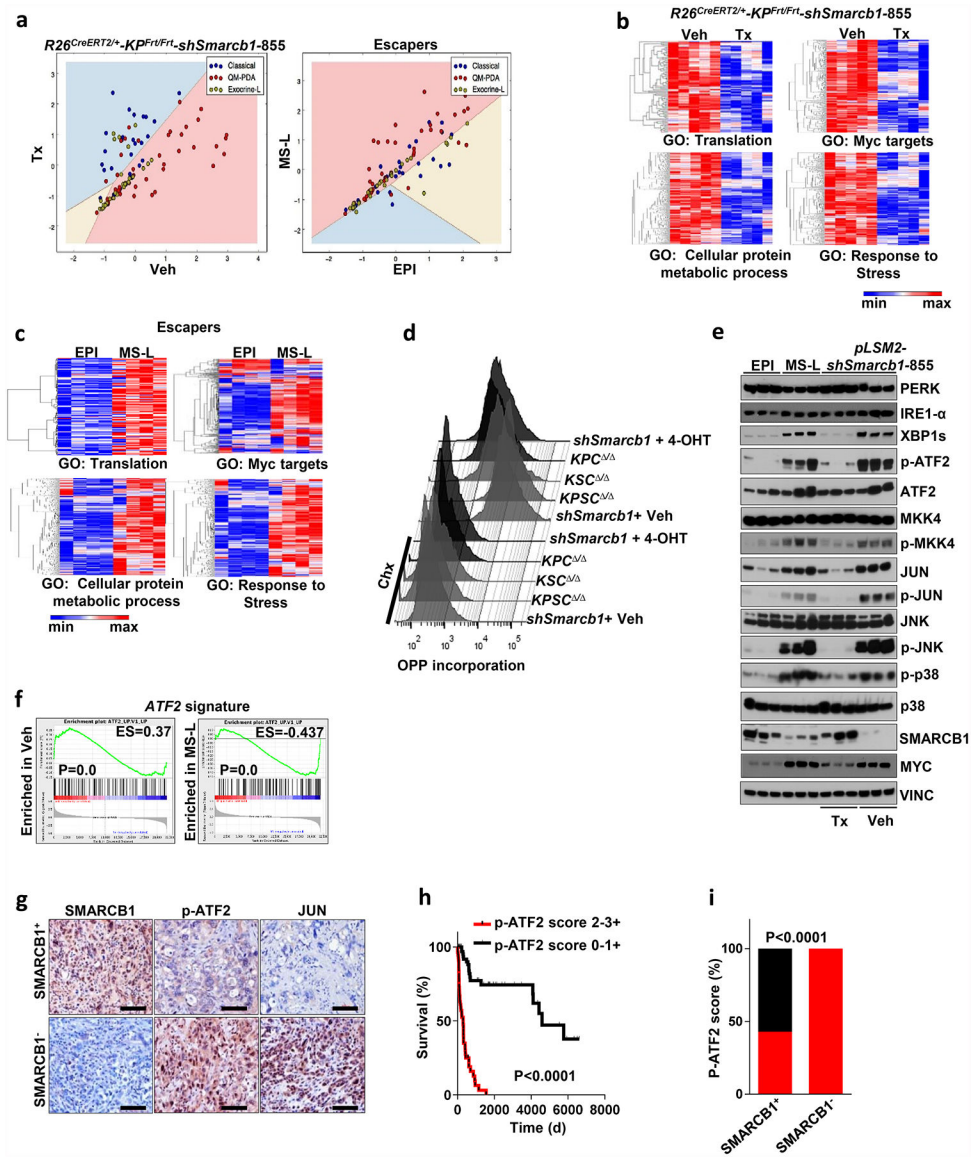
Extended Data Figure 4 | *Smarcb1* restrains the expansion of mesenchymal clones in PDAC.

**a**, Schematic showing the lentiviral construct for Flpo-mediated tissue-specific, time-restricted gene inactivation *in vivo* (*pLSM5*). High-titre-purified lentiviral particles ( $2-5 \times 10^8$  IU) were introduced surgically into the tail of the pancreas. At 7 days after surgery, mice were treated with caerulein to induce inflammation, proliferation and the expression of genes under the Krt19 promoter in the acinar compartment. The tissue specificity is provided by the human Krt19 promoter driving the expression of the Cre recombinase resulting in the activation of the latent mutant *Kras*<sup>G12DLSL/+</sup>, the inactivation of the conditional *Tp53*<sup>LoxP</sup> allele and the activation of the *R26*<sup>CAG-LSL-Luc</sup> reporter. The time-restricted activation of the shRNA is mediated by the ubiquitous *R26*<sup>Cag-FlpoERT2</sup> inducible, codon-optimized Flpo recombinase upon tamoxifen treatment and removal of the Krt19-Cre stopper cassette, which is flanked by *TATA-Frt* sites. **b**, Representative panels showing the gross appearance of pancreata of *KP* / -*R26*<sup>mTmG/+</sup> mice orthotopically injected with  $10^8$  viral particles, treated with caerulein 7 days after surgery and killed at the 3 weeks (left) or 3 months (right). Arrow indicates mosaic activation of the GFP reporter at 3 weeks. Arrowhead indicates GFP<sup>+</sup> tumour nodules at 3 months. TdT, TdTomato. **c**, Kaplan–Meier analysis of tumour incidence in *KP* / -*R26*<sup>mTmG/+</sup> mice orthotopically injected with  $10^8$  particles of the *pLSM5-K19-Cre* vector and assigned to caerulein and vehicle treatment. Caerulein treatment resulted in increased tumour incidence and decreased latency when compared to vehicle control ( $n = 18$  per group). **d**, Representative luciferase images (left) and pathological characterization (right) of tumours generated with lentiviral-mosaic-somatic technology (*R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / -*pLSM5-shSmarb1-1* or *R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / -*pLSM5-shSmarb1-855*). Orthotopic tumours harbouring latent Flpo/*Frt*-dependent, tamoxifen-inducible shRNAs against *Smarb1* were generated into a *Kras*-mutant, *Tp53*-deficient background (*KP* / ). Mice were monitored weekly for tumour growth by bioluminescence imaging and lesions were characterized by immunophenotypic analysis for pancreatic lineage-differentiation markers before functional studies. **e**, Expression levels of SMARCB1 and nestin in short-term cultures established from tumours generated in *R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / -*pLSM5-shSmarb1* mice assessed by western blot. Protein lysates were collected 96 h after 4-OHT (4-hydroxytamoxifen) treatment. Vinculin was used as loading control. **f**, Representative images of liver metastasis stained for haematoxylin and eosin from tamoxifen- and vehicle-treated *R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / -*pLSM5-shSmarb1-855* mice. Tamoxifen-driven acute ablation of *Smarb1* *in vivo* results in poorly differentiated, invasive lesions. **g**, Spherogenic potential of low-passage spheroids obtained from *R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / -*pLSM5-shSmarb1-1* and *R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / -*pLSM5-shSmarb1-855* tumours upon treatment with 4-hydroxytamoxifen or vehicle control ( $n = 3$  per group). Data are mean  $\pm$  s.d. of technical replicates (one representative experiment out of three). The acute ablation of *Smarb1* results in a robust increase of the clonogenic potential in the *KP* / background. **h**, TIC frequency of *KP* / -*R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*pLSM5-shSmarb1-1* and *R26*<sup>Cag-FlpoERT2/Cag-LSL-Luc</sup>-*KP* / -*pLSM5-shSmarb1-855* tumours upon treatment with vehicle or tamoxifen assessed by limiting-dilution experiments in immunocompromised (NCR Nude) mice (sh1 and vehicle,  $n = 25$ ; sh1 and tamoxifen,  $n = 20$ ; sh855 and vehicle,  $n = 19$ ; sh855 and tamoxifen,  $n = 19$ ) and calculated using the L-Calc software. Data are mean proportion of TIC  $\pm$  s.e.m. **i**, **j**, Metastatic burden assessed by counting the number of superficial liver, peritoneal and lung metastases in NCR Nude mice



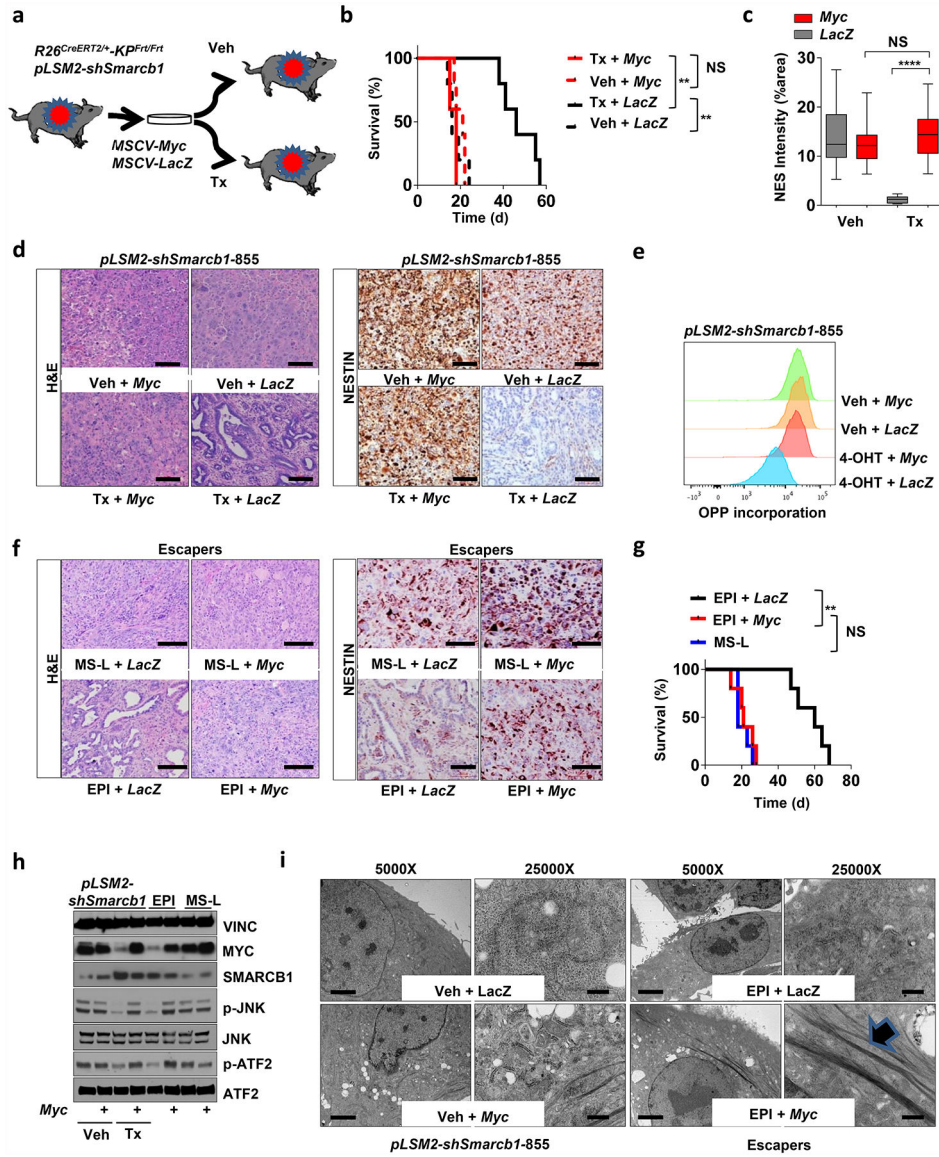
transplanted orthotopically with  $R26^{Cag-FlpoERT2/Cag-LSL-Luc-KP} / -pLSM5-shSmarcb1-1$  or  $R26^{Cag-FlpoERT2/Cag-LSL-Luc-KP} / -pLSM5-shSmarcb1-855$  tumours assigned to vehicle or tamoxifen treatment. Acute ablation of *Smarcb1* resulted in a higher metastatic burden ( $n = 7$  per group). Data are mean  $\pm$  s.d. of biological replicates. **k**, Kaplan–Meier survival analysis of NCr Nude mice orthotopically transplanted with  $R26^{Cag-FlpoERT2/Cag-LSL-Luc-KP} / -pLSM5-shSmarcb1-1$  or  $R26^{Cag-FlpoERT2/Cag-LSL-Luc-KP} / -pLSM5-shSmarcb1-855$  tumours ( $n = 7$  per group). Tamoxifen treatment was started 5 days after surgery. Acute ablation of *Smarcb1* resulted in more aggressive tumours and a significantly shorter overall survival. **l**, Representative *ex-vivo* bioluminescence images in NCr Nude mice orthotopically transplanted with  $R26^{Cag-FlpoERT2/Cag-LSL-Luc-KP} / -pLSM5-shSmarcb1-855$  tumours and assigned to vehicle or tamoxifen treatment. Arrows indicate metastatic liver disease. **m**, Schematic showing the lentiviral construct for Cre-mediated, tissue-specific, time-restricted restoration of a gene of interest *in vivo* (*pLSM2*). High-titre-purified lentiviral particles ( $2-5 \times 10^8$  IU) are introduced surgically into the pancreas of  $Kras^{G12DFS/+}Tp53^{Frt/Frt}$   $R26^{CreERT2/+}$  mice to generate the  $R26^{CreERT2/+}KP^{Frt/Frt}$   $pLSM2-shSmarcb1$  model. 7 days after surgery, mice were treated with caerulein. The tissue specificity is provided by the human Krt19 promoter, which drives the expression of the Flpo recombinase, resulting in the activation of the latent mutant  $Kras^{G12DFS/+}$  and the inactivation of the conditional  $Tp53^{Frt}$  allele along with the constitutive expression of an shRNA under the U6 promoter. The time-restricted restoration of the gene of interest is mediated by the  $R26^{CreERT2}$  ubiquitous CreERT2 strain upon tamoxifen treatment and removal of the cassette containing the shRNA flanked by *LoxP* sites. **n**, Left, T2-weighted MRI scans of tumour-bearing mice 19 weeks after orthotopic injection with the *pLSM2* lentiviral system carrying two shRNAs specific for murine *Smarcb1*. The tumours extensively invade the abdominal cavity. Right, immuno-phenotype of a tumour induced with *pLSM2-shSmarcb1*. Poorly cohesive, undifferentiated tumour cells express the pancreatic-specific markers *Pdx1* and *Sox9*, suggestive of a pancreatic epithelial cell of origin. **o**, Kaplan–Meier analysis of tumour incidence in  $R26^{CreERT2/+}KP^{Frt/Frt}$  mice challenged with orthotopic injections of the *pLSM2-shSmarcb1* and *pLSM2-shCtrl* constructs. Knockdown of *Smarcb1* in the mosaic model system results in higher penetrance and shorter latency ( $R26^{CreERT2/+}KP^{Frt/Frt}$  *pLSM2-shSmarcb1*,  $n = 53$ ;  $R26^{CreERT2/+}KP^{Frt/Frt}$  *pLSM2-shCtrl*,  $n = 39$ ). **p**, Quantification of the metastatic burden in  $R26^{CreERT2/+}KP^{Frt/Frt}$  *pLSM2-shSmarcb1* mice versus  $R26^{CreERT2/+}KP^{Frt/Frt}$  *pLSM2-shCtrl* mice, assessed by counting the combined number of liver, lung and peritoneal metastases ( $R26^{CreERT2/+}KP^{Frt/Frt}$  *pLSM2-shSmarcb1*,  $n = 23$ ;  $R26^{CreERT2/+}KP^{Frt/Frt}$  *pLSM2-shCtrl*,  $n = 5$ ). Data are mean  $\pm$  s.d. of biological replicates. **q**, PCR from recombined and un-recombined genomic DNA isolated from vehicle- and tamoxifen-treated tumour-bearing mice. Genomic DNA was extracted 5 and 10 days after treatment *in vivo*. **r**, Kaplan–Meier survival analysis of  $R26^{CreERT2/+}KP^{Frt/Frt}$  *pLSM2-shSmarcb1* tumours transplanted orthotopically in syngeneic C57BL/6 recipient mice ( $n = 5$  per vehicle and d18 groups,  $n = 7$  per d1 group). Early tamoxifen treatment and *Smarcb1* restoration resulted in a significant and durable improvement in survival. **s**, Metastatic burden was estimated by counting the number of liver, peritoneal and lung metastasis in the experimental cohorts described in **r** ( $n = 5$  per vehicle and Txd18 groups,  $n = 7$  per Txd1 group). The early restoration of *Smarcb1* greatly reduced the number of metastatic foci, suggesting that its deficiency is a requirement in tumour dissemination. Data

are mean  $\pm$  s.d. of biological replicates. NS, not significant; \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ , by Mantel–Cox log-rank test (c, k, o, r), two-tailed chi-squared test (h) or unpaired two-tailed  $t$ -test (i, j, p, s). Scale bars: 100  $\mu\text{m}$  (b, d, f, n). For gel source data, see Supplementary Figures.



**Extended Data Figure 5 | Transcriptomic and proteomic profiles of mesenchymal tumours.**  
**a**, The robust-multi-array-average-normalized probe signal levels from microarray data for each gene previously identified as pancreatic cancer classifiers<sup>14</sup>. *Smarcb1*-ablated tumours and mesenchymal clones display enrichment for the QM-PDA gene signature. **b**, Acute restoration of *Smarcb1* in pancreatic tumours generated in *R26<sup>CreERT2/+</sup>-KPFrt/Frt-pLSM2-shSmarcb1-855* mice results in the dysregulation of *Myc* transcriptomic targets and genes involved in global protein metabolism and global response to stress. Heat maps show the enrichment for specific gene ontology pathways in vehicle-treated tumours when compared

with tamoxifen-treated, *Smarcb1*-restored tumours. Lesions were collected 10 days after treatment. **c**, *Myc* transcriptomic targets and genes involved in global protein metabolism and response to stress are enriched in MS-L escaper clones. **d**, Quantification of protein biosynthesis by OPP-incorporation analysis using FACS. Representative plots from *Smarcb1*-ablated and restored cultures established from GEMM-derived tumours. Cycloheximide (Chx)-treated cultures were used as negative controls. **e**, Western blot analysis for SMARCB1, Myc and ER-stress-response pathway proteins from independent tumours upon tamoxifen-mediated *Smarcb1* restoration compared to vehicle controls and tumours generated from EPI and MS-L escapers. Vinculin was used as loading control. Tumours generated with the somatic technology were harvested 10 days after tamoxifen or vehicle treatment. Robust activation of the IRE1- $\alpha$ /MKK4 and IRE1- $\alpha$ /XBP-1 pathways is readily apparent. **f**, GSEA scores for the *Atf2* signature in *Smarcb1*-ablated/deficient models. Left, vehicle versus tamoxifen-treated *R26<sup>CreERT2/+</sup>-KP<sup>Frt/Frt</sup>-pLSM2-shSmarcb1-855* tumour-bearing mice; right, *Atf2* gene-signature enrichment in MS-L escapers as compared to EPI. **g**, Immunohistochemical analysis of protein expression for SMARCB1, phospho-ATF2, and JUN in surgically resected human PDAC samples with high and low levels of SMARCB1. Images are of representative sections; scale bars: 100  $\mu$ m. **h**, Kaplan–Meier analysis of survival in surgically resected PDAC patients with available follow-up data segregated according to the expression levels of phospho-ATF2 (phospho-ATF2 score, 2–3+,  $n = 55$ ; phospho-ATF2 score, 0–1,  $n = 79$ ). Activation of the stress-response factor ATF2 results in reduced post-operative survival.  $P < 0.0001$  by Mantel–Cox log-rank test. **i**, Bar plots for the relative abundance of phospho-ATF2 immunostaining in SMARCB1-deficient and SMARCB1-proficient human pancreatic cancer. An inverse correlation in the expression levels of SMARCB1 and phospho-ATF2 in human PDAC is apparent (SMARCB1<sup>+</sup>  $n = 138$ , SMARCB1<sup>-</sup>  $n = 16$ ).  $P < 0.0001$  by two-tailed Fisher’s exact test. For gel source data, see Supplementary Figures.

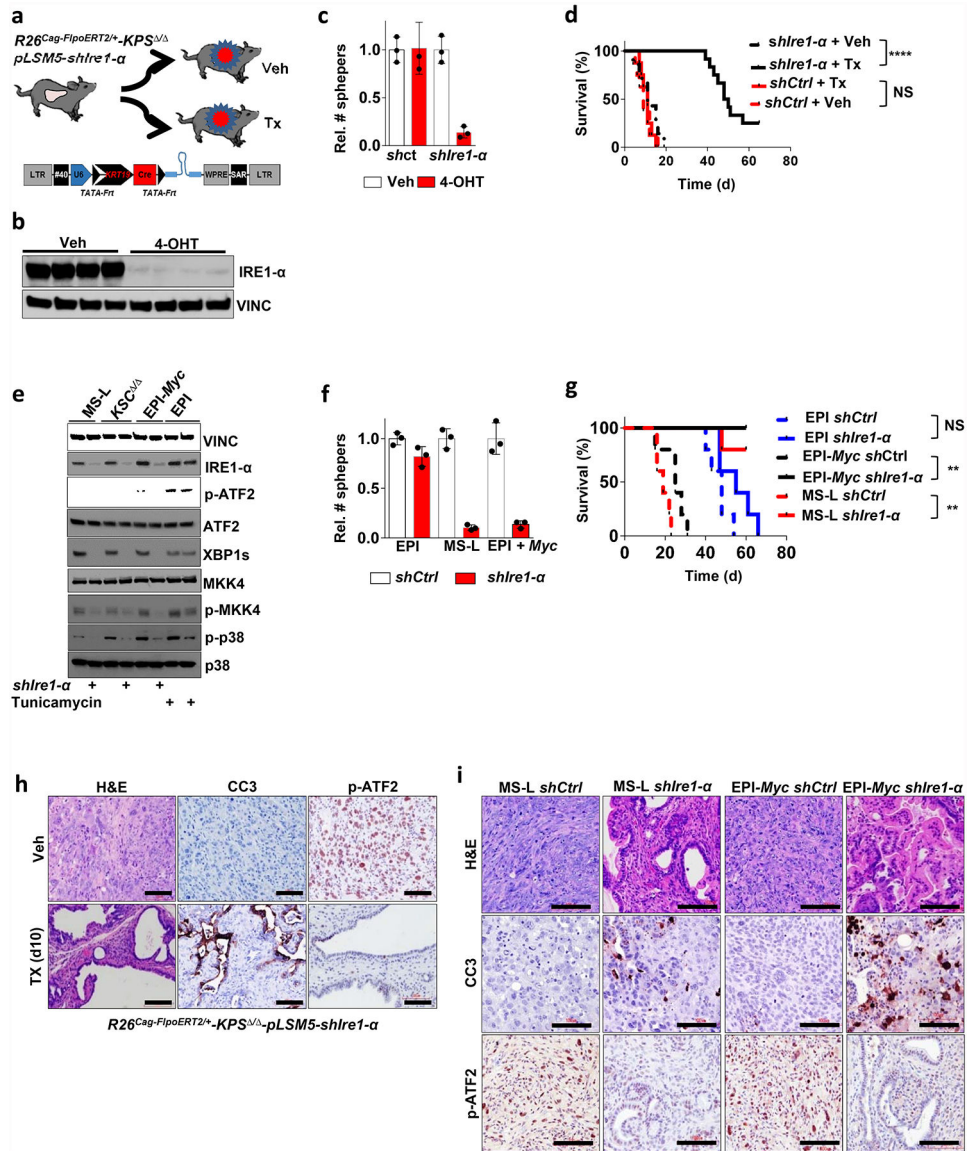


**Extended Data Figure 6 | The proto oncogene *Myc* is a master regulator in the *Smarcb1* transcriptomic network.**

**a.** Schematic model of *Myc*-rescue experiments. Short-term cultures from  $R26^{CreERT2/+}$ - $KP^{Frt/Frt}$ - $pLSM2$ - $shSmarcb1$ -855 tumours were transduced with a *Myc*-expressing lentiviral vector and briefly selected. A *LacZ* expression vector was used as negative control. Cells were injected orthotopically into syngeneic mice assigned to either tamoxifen or vehicle treatment. **b.** Kaplan–Meier analysis of survival in mice transplanted with  $R26^{CreERT2/+}$ - $KP^{Frt/Frt}$ - $pLSM2$ - $shSmarcb1$ -855 tumours rescued with *Myc* or *LacZ* and assigned to vehicle or tamoxifen treatment ( $n = 5$  per group). **c.** Quantification of nestin<sup>+</sup> areas in *LacZ*-control and *Myc*-reprogrammed  $R26^{CreERT2/+}$ - $KP^{Frt/Frt}$ - $pLSM2$ - $shSmarcb1$ -855 tumours ( $n = 12$  per group). Enforced expression of *Myc* resulted in a marked increase in the number of nestin<sup>+</sup> cells per section and in the maintenance of a mesenchymal state. Data are mean  $\pm$  s.d. of biological replicates. **d.** Representative haematoxylin and eosin (left) and nestin staining (right) of  $R26^{CreERT2/+}$ - $KP^{Frt/Frt}$ - $pLSM2$ - $shSmarcb1$ -855 tumours rescued with

*Myc* or *LacZ*. *Myc* overexpression keeps the cells in a mesenchymal state upon tamoxifen treatment. **e**, *Myc* overexpression rescues the steady-state levels of protein biosynthesis in *Smarcb1*-restored cells assessed by OPP incorporation and FACS analysis from freshly isolated tumour cells. **f**, Representative haematoxylin and eosin (left) and nestin staining (right) from EPI cells transduced with *Myc* or *LacZ*. *Myc* overexpression fully reprograms EPI clones, generating anaplastic, mesenchymal tumours. **g**, Kaplan–Meier analysis of survival in mice transplanted with EPI cells transduced with *Myc* or *LacZ*. MS-L cells were used as positive controls ( $n = 5$  per group). *Myc* transduced EPI transplants faithfully recapitulate the aggressive behaviour of MS-L tumours. **h**, Functional rescues studies using a *Myc*- or *LacZ*-expressing vector. Western blot analysis showing that the sustained overexpression of *Myc* in *Smarcb1* restored cells and in EPI clones engages the Jnk/Atf2 stress response pathway. Vinculin was used as loading control. **i**, Representative TEM sections from *Smarcb1*-proficient and *Smarcb1*-deficient tumours generated with the somatic conditional model and the stochastic model and rescued with *Myc* and *LacZ*, respectively. The sustained overexpression of *Myc* fully rescues the ultra-structural findings observed in the *Smarcb1*-deficient settings. Arrow indicates cytoplasmic fibres. NS, not significant; \*\* $P < 0.01$ , \*\*\*\* $P < 0.001$ , by Mantel–Cox log-rank test (**b**, **g**) or unpaired two-tailed  $t$ -test (**c**). Scale bars: 100  $\mu\text{m}$  (**d**, **f**); 4  $\mu\text{m}$  (**i**,  $\times 5,000$ ); 500 nm (**i**,  $\times 25,000$ ). For gel source data, see Supplementary Figures.



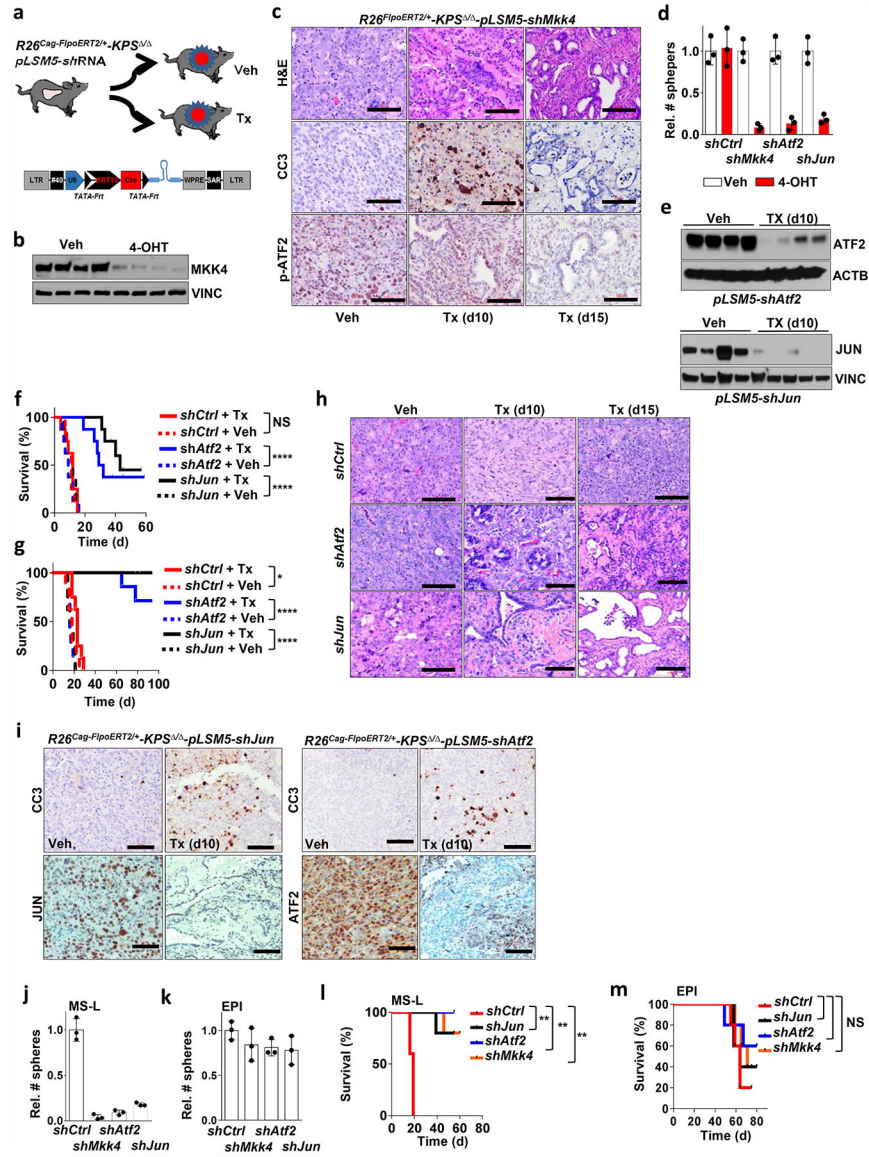


**Extended Data Figure 7 |. Genetic extinction of the ER-stress response pathway is lethal in *Smarcb1*-deficient PDAC.**

**a.** Orthotopic primary tumours harbouring latent Flpo/Frt-dependent, tamoxifen-inducible shRNAs against *Ern1* (shown as *Ire1-α*) were generated into the *KPS*<sup>Δ/Δ</sup> background (*R26<sup>Cag-FlpoERT2/+</sup>-KPS<sup>Δ/Δ</sup>-pLSM5-shIre1α* or *R26<sup>Cag-FlpoERT2/+</sup>-KPS<sup>Δ/Δ</sup>-pLSM5-shCtrl*). Mice with palpable masses were assigned to tamoxifen or vehicle treatment. **b.** Western blot analysis of short-term cultures established from the model described above. 4-Hydroxytamoxifen treatment results in the robust knockdown of *Ern1*. Lysates were harvested 96 h after 4-hydroxytamoxifen treatment. Vinculin was used as loading control. **c.** Spherogenic assay after acute RNAi-mediated depletion of *Ern1* achieved by 4-hydroxytamoxifen treatment (*n* = 3 per group). Impairment of clonal growth is observed in 4-hydroxytamoxifen-treated, *Ern1*-depleted spheroids as compared to vehicle-treated cells and *shCtrl*. Data are mean ± s.d. of technical replicates (one representative experiment out of three). **d.** Kaplan–Meier survival analysis of *R26<sup>Cag-FlpoERT2/+</sup>-KPS<sup>Δ/Δ</sup>-pLSM5-shIre1α* and



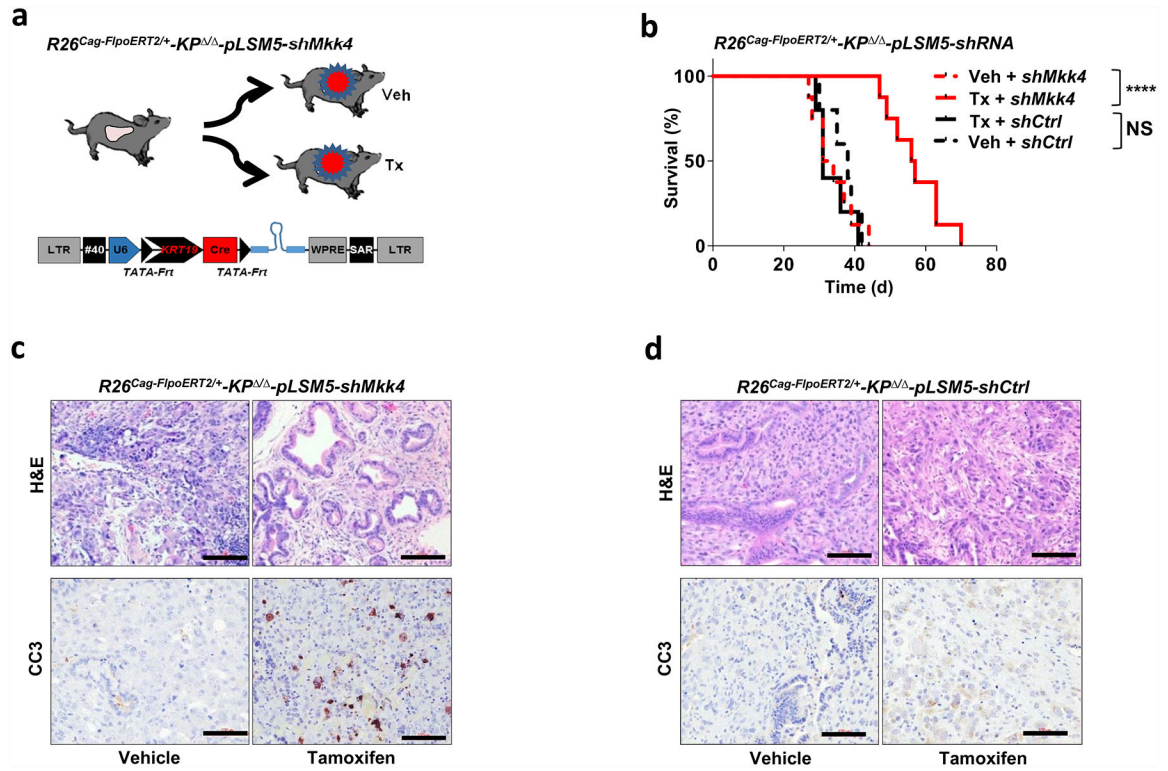
*R26<sup>Cag-FlpoERT2/+</sup>-KPS / -pLSM5-shCtrl* GEMMs after tamoxifen treatment. Continuous line, tamoxifen-treated mice; interrupted line, vehicle-treated mice (*shErn1* and vehicle,  $n = 7$ ; *shErn1* and tamoxifen,  $n = 12$ ; *shCtrl* and vehicle,  $n = 8$ ; *shCtrl* and tamoxifen,  $n = 8$ ). *Ern1* extinction results in a robust improvement in overall survival. **e**, Western blot analysis showing that the RNAi-mediated ablation of *Ern1* in a *Smarcb1*-deficient background and in *Myc*-reprogrammed EPI cells results in a marked decrease in the activity of Mkk4 kinase and its downstream effectors. Vinculin was used as loading control. *Ern1* has an essential role in the engagement of the stress response pathway. Tunicamycin-treated EPI cells were used as positive control. **f**, Spherogenic assay for EPI, MS-L escapers and EPI-*Myc* escapers upon RNAi-mediated genetic depletion of *Ern1* ( $n = 3$  per group). Data are mean  $\pm$  s.d. of technical replicates (one representative experiment out of three). **g**, Kaplan–Meier analysis of survival of NCr Nude mice orthotopically injected with EPI, MS-L and *Myc*-reprogrammed EPI cells expressing a lentiviral-based *shErn1*. Knockdown of *Ern1* is lethal in MS-L- and *Myc*-EPI-derived tumours but shows no effect in EPI tumours transduced with *LacZ* ( $n = 5$  per group). **h**, Histological analysis of primary pancreatic tumours from the *R26<sup>Cag-FlpoERT2/+</sup>-KPS / -pLSM5-shIre1a* GEMM treated with tamoxifen or vehicle. Depletion of *Ern1* in *Smarcb1*-deficient tumours results in a profound apoptotic response (assessed by staining for cleaved caspase-3 (CC3)) and in residual epithelial remnants. Engagement of the JNK/p38 pathway *in vivo* was assessed by phospho-Atf2 staining. **i**, Histological analysis of pancreatic tumours resulting from orthotopic transplants of MS-L or EPI-*Myc* cells transduced with *shIre1a* or *shCtrl* vectors. Depletion of *Ern1* in MS-L and *Myc*-EPI tumours results in a profound apoptotic response (assessed by staining for CC3) and in residual epithelial remnants. NS, not significant; \*\* $P < 0.01$ , \*\*\*\* $P < 0.0001$ , by Mantel–Cox log-rank test (**d**, **g**). Scale bars: 100  $\mu\text{m}$  (**h**, **i**). For gel source data, see Supplementary figures.



**Extended Data Figure 8 | Genetic extinction of the JNK/p38 stress response pathway is lethal in a *Smarcb1*-deficient context.**

**a**, Orthotopic tumours harbouring latent Flpo/Frt-dependent, tamoxifen-inducible shRNAs against *Mkk4*, *Atf2* and *Jun* were generated in the *KPS*<sup>-/-</sup> mouse background (*R26<sup>Cag-FlpoERT2/+</sup>-KPS<sup>-/-</sup>-pLSM5-shMkk4*, *R26<sup>Cag-FlpoERT2/+</sup>-KPS<sup>-/-</sup>-pLSM5-shAtf2*, *R26<sup>Cag-FlpoERT2/+</sup>-KPS<sup>-/-</sup>-pLSM5-shJun*, and *R26<sup>Cag-FlpoERT2/+</sup>-KPS<sup>-/-</sup>-pLSM5-shCtrl*). Tumour-bearing mice were assigned to tamoxifen or vehicle treatment. **b**, Western blot analysis for MKK4 levels in *ex vivo* cultures generated from *R26<sup>Cag-FlpoERT2/+</sup>-KPS<sup>-/-</sup>-pLSM5-shMkk4* tumours. Protein lysates were isolated 96 h after vehicle or 4-hydroxytamoxifen treatment. Vinculin was used as loading control. **c**, Histological analysis of primary tumours from the *R26<sup>Cag-FlpoERT2/+</sup>-KPS<sup>-/-</sup>-pLSM5-shMkk4* backgrounds treated with vehicle or tamoxifen and collected after 10 or 15 days of treatment. Engagement of the Jnk/p38/Atf2 pathway and apoptosis were assessed by phospho-Atf2 and cleaved caspase 3 (CC3) staining, respectively. **d**, Spherogenic assays for short-term spheroid

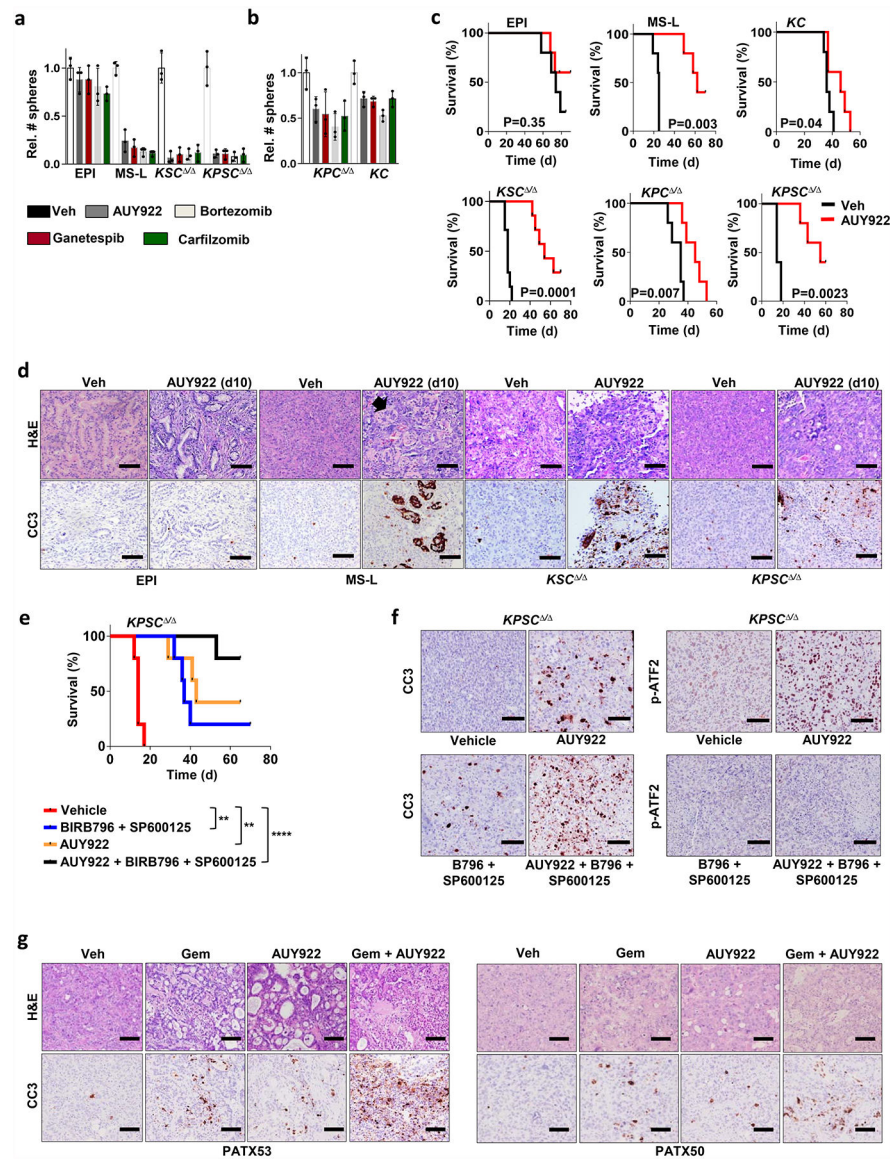
cultures generated from the  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shMkk4$ ,  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shAtf2$ ,  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shJun$ , and  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shCtrl$  tumours ( $n = 3$  per group). Treatment with 4-hydroxytamoxifen results in the impairment of 3D growth. Data are mean  $\pm$  s.d. of technical replicates (one representative experiment out of three). **e**, Knockdown efficiency of Atf2 and Jun in tumour lysates collected after 10 days from tamoxifen treatment, as assessed by western blot. Vinculin and actin B were used as loading controls for Atf2 and Jun, respectively. **f, g**, Kaplan–Meier survival analysis of primary tumours (**f**) and orthotopic transplants (**g**) from  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shAtf2$ ,  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shJun$ , and  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shCtrl$  GEMMs. Continuous line, tamoxifen-treated mice; interrupted line, vehicle-treated mice ( $n = 8$  per group). **h**, Histological analysis of primary tumours from the  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shAtf2$ ,  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shJun$ , and  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shCtrl$  tumour-bearing mice treated with vehicle or tamoxifen and collected at the beginning of the treatment, or after 10 or 15 days of treatment. **i**, Immunohistochemical analysis for Atf2, Jun and CC3 in sections obtained from  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shAtf2$  and  $R26^{Cag-FlpoERT2/+}-KPS / -pLSM5-shJun$  tumour-bearing mice assigned to vehicle or tamoxifen treatment. Apoptosis was assessed by CC3 staining. Tumours were collected 10 days after the beginning of the treatment. **j, k**, Spherogenic assays for MS-L and EPI spheroids transduced with lentiviral shRNA specific for *Mkk4*, *Jun* or *Atf2* ( $n = 3$  per group). Knock-down of *Mkk4*, *Jun* or *Atf2* impairs the growth potential *in vitro* of MS-L cells with minimal effects on EPI cells. Data are mean  $\pm$  s.d. of technical replicates (one representative experiment out of three for both groups). **l, m**, Kaplan–Meier survival analysis of NCr Nude mice orthotopically transplanted with EPI and MS-L cells transduced with lentiviral shRNA specific for murine *Mkk4*, *Atf2* and *Jun*. *shCtrl* vector transduced cells were used as negative control ( $n = 5$  per group). NS, not significant; \*  $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\*\*  $P < 0.0001$ , by Mantel–Cox log-rank test (**f, g, l, m**). Scale bars: 100  $\mu$ m (**c, h, i**). For gel source data, see Supplementary Figures.



**Extended Data Figure 9 | Genetic ablation of *Mkk4* kinase delays tumour growth in the *KP*<sup>Δ/Δ</sup> background.**

**a**, Orthotopic tumours harbouring latent Flpo/Frt-dependent, tamoxifen-inducible shRNAs against *Mkk4* were generated in a *Kras*-mutant, *Tp53*-deficient, *Smad3*-intact background (*KP*<sup>Δ/Δ</sup>). Tumour-bearing mice were assigned to tamoxifen or vehicle treatment. **b**, Kaplan–Meier survival analysis of *R26<sup>Cag-FlpoERT2/+</sup>-KP<sup>Δ/Δ</sup>-pLSM5-shMkk4* ( $n = 8$  per group), and *R26<sup>Cag-FlpoERT2/+</sup>-KP<sup>Δ/Δ</sup>-pLSM5-shCtrl* ( $n = 5$  per group) GEMMs. Continuous line, tamoxifen-treated mice; interrupted line, vehicle-treated mice. NS, not significant, \*\*\*\* $P < 0.0001$  by Mantel–Cox log-rank test. **c**, **d**, Histological analysis of primary tumours from the *R26<sup>Cag-FlpoERT2/+</sup>-KP<sup>Δ/Δ</sup>-pLSM5-shMkk4* or control backgrounds treated with vehicle or tamoxifen and collected after 10 days of treatment. Apoptotic response was assessed by immunostaining for CC3. Scale bars: 100  $\mu\text{m}$ .





**Extended Data Figure 10 | Pharmacological manipulation of proteostasis is lethal in a *Smarcb1*-deficient genetic context.**

**a, b,** *In vitro* 3D growth assay for *Smarcb1*-proficient and *Smarcb1*-deficient murine PDAC lines ( $n = 3$  per group). Pharmacological treatment with proteasome and HSP90 inhibitors results in the marked impairment of clonogenic growth in *Smarcb1*-deficient background with limited efficacy in *Smarcb1*-proficient models. Data are mean  $\pm$  s.d. of technical replicates (one representative experiment out of three). **c,** Kaplan–Meier survival analysis of NCr Nude mice orthotopically transplanted with *Smarcb1*-deficient and *Smarcb1*-proficient cells and treated with the HSP90 inhibitor AUY922 or vehicle control (EPI, MS-L, KC, KPC $\Delta/\Delta$ , KPSC $\Delta/\Delta$ ;  $n = 5$  per group; KSC $\Delta/\Delta$ :  $n = 7$  per group). *P* values were calculated using the Mantel–Cox log-rank test. **d,** Representative panels of *Smarcb1*-proficient (EPI) and *Smarcb1*-deficient (MS-L, KSC $\Delta/\Delta$ , KPSC $\Delta/\Delta$ ) tumour-bearing mice treated with AUY922 or vehicle control; tumours were collected after 10 days of treatment. Top, haematoxylin and eosin staining. Bottom, CC3 staining, showing that impairment of the

unfolded-protein response results in the induction of apoptotic cell death. Arrows indicate protein aggregates. **e**, Kaplan–Meier survival analysis of tumour-bearing mice transplanted orthotopically with *Smadcb1*-deficient *KPSC*<sup>−/−</sup> cells and assigned to one of four treatment arms: vehicle ( $n = 5$ ); AUY922 ( $n = 5$ ); BIRB796 and SP600125 ( $n = 5$ ); or AUY922, BIRB796 and SP600125 ( $n = 10$ ).  $**P < 0.01$ ,  $****P < 0.0001$  by Mantel–Cox log-rank test. **f**, The apoptotic response associated with the treatments was assessed by CC3 staining. Stress-response engagement was assessed by phospho-Atf2 staining. **g**, Representative sections from orthotopic PDX transplants treated with gemcitabine, AUY922 or a combination of gemcitabine and AUY922. Apoptotic response was assessed by CC3 staining. Scale bars: 100  $\mu\text{m}$  (**d**, **f**, **g**).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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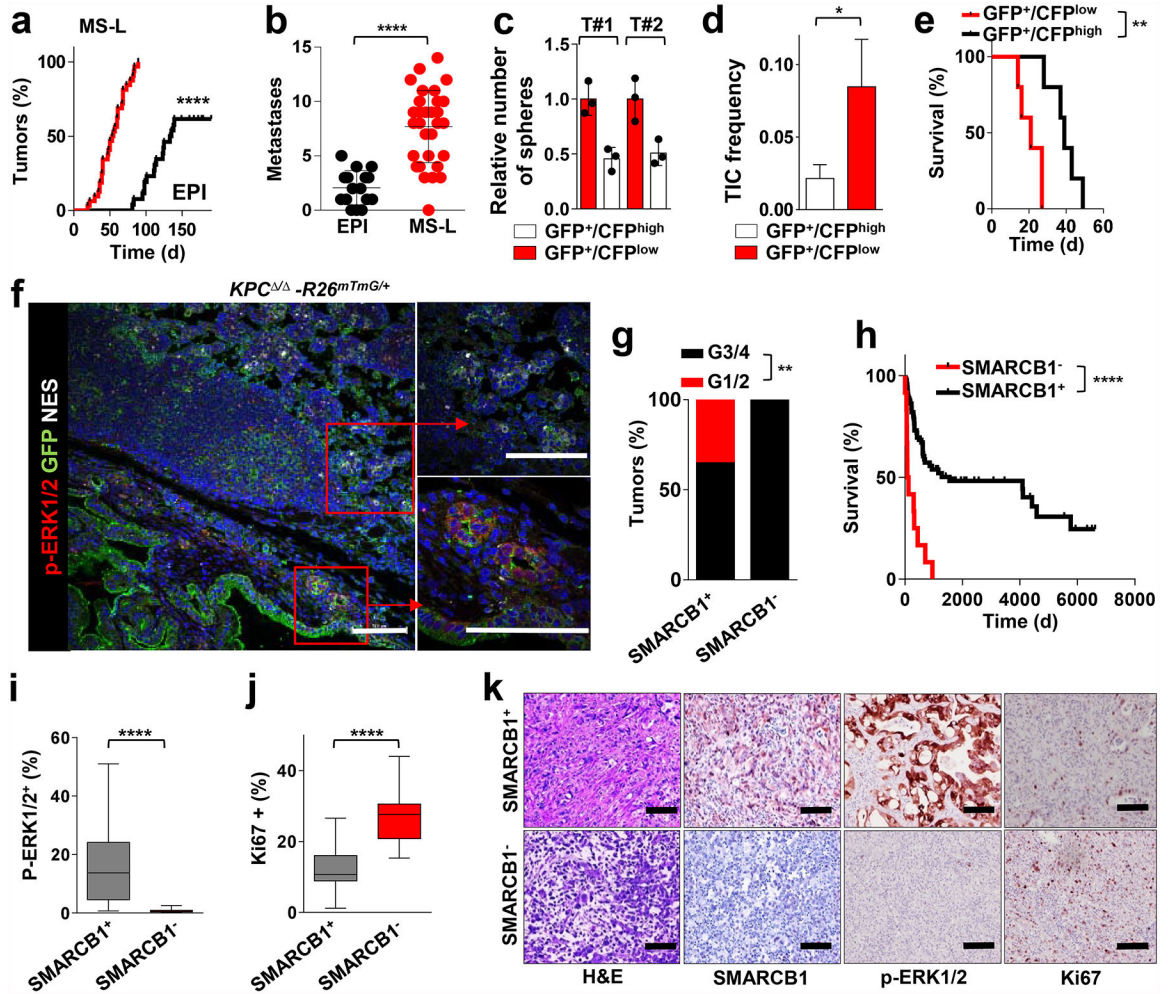
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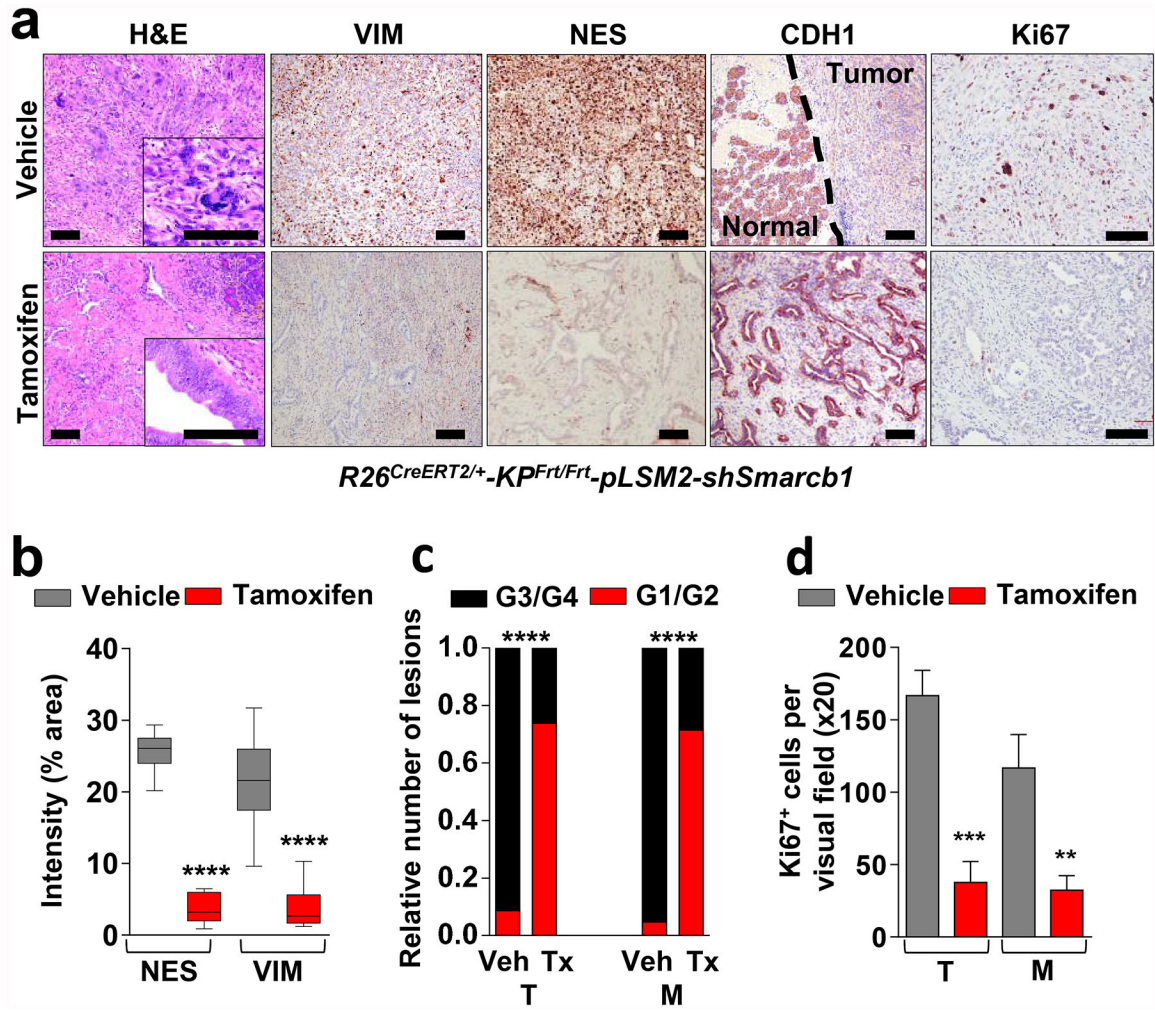


**Figure 1 | Identification and functional characterization of mesenchymal sub-populations in pancreatic cancer.**

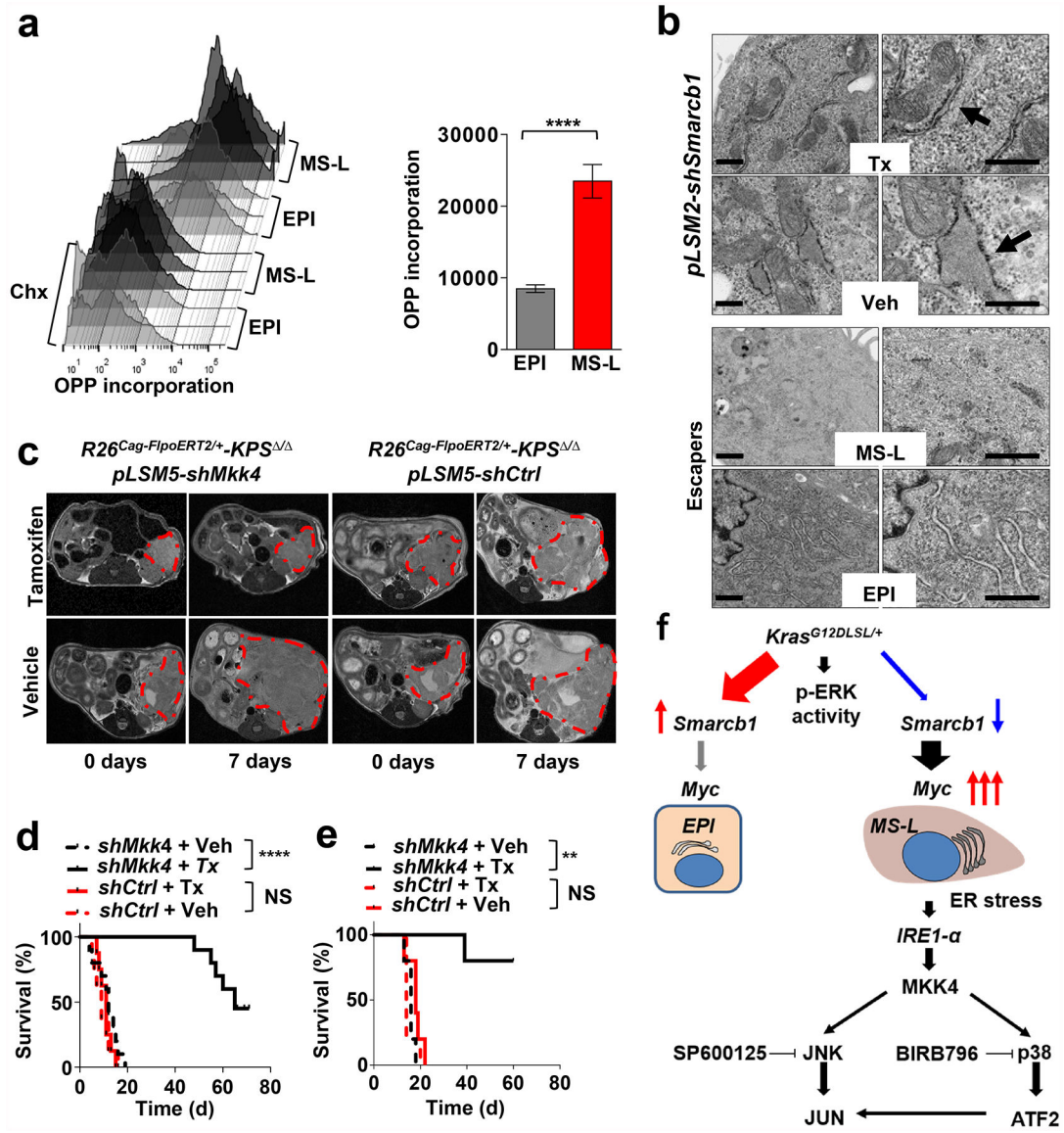
**a**, Kaplan–Meier analysis of tumour incidence in NCr Nude mice orthotopically transplanted with MS-L ( $n = 32$ ) or EPI ( $n = 26$ ) escapers. **b**, Metastatic burden (macroscopic liver, peritoneal and lung metastasis) from the experiment above (MS-L  $n = 32$ , EPI  $n = 16$ ). **c**, Spherogenic potential in 3D of GFP<sup>+</sup>/CFP<sup>high</sup> and GFP<sup>+</sup>/CFP<sup>low</sup> cell populations isolated from two independent tumours arising in the  $KPC / -R26^{mTmG/+} -Cdh1^{Cfp/+}$  model ( $n = 3$ ) (see Methods for details). **d**, TIC frequency of GFP<sup>+</sup>/CFP<sup>high</sup> and GFP<sup>+</sup>/CFP<sup>low</sup> cells assessed by limiting-dilution experiments in NCr Nude mice ( $n = 20$  per group) and calculated using L-Calc software. **e**, Kaplan–Meier survival analysis of NCr Nude mice orthotopically transplanted with the subpopulations described in **d** ( $n = 5$  per group). **f**, *In vivo* characterization of tumour heterogeneity in the  $KPC / -R26^{mTmG/+}$  model of PDAC. Immunofluorescence staining for GFP, phospho-ERK1/2 and nestin identify distinct sub-population of invasive GFP<sup>+</sup> cells. **g**, Histopathological grade distribution in a cohort of surgically resected human PDAC (SMARCB1<sup>+</sup>,  $n = 138$ ; SMARCB1<sup>-</sup>,  $n = 16$ ). **h**, Kaplan–Meier analysis of overall survival in surgically resected PDAC with available follow up data. Patients were clustered on presence ( $n = 122$ ) or absence ( $n = 12$ ) of SMARCB1 immunostaining. **i**, Quantification of phospho-ERK1/2 immunohistochemical staining in

SMARCB1<sup>+</sup> ( $n = 51$ ) versus SMARCB1<sup>-</sup> ( $n = 15$ ) samples. **j**, Quantification of Ki67 immunohistochemical staining in SMARCB1<sup>+</sup> ( $n = 45$ ) versus SMARCB1<sup>-</sup> ( $n = 15$ ) samples. **k**, Representative panels showing the morphology (haematoxylin and eosin stain (H&E)) and the expression of SMARCB1, Phospho-ERK1/2 and Ki67 in surgically resected human PDAC samples. Data are mean  $\pm$  s.d. of biological replicates (**a**, **b**, **e**, **i**, **j**) or of technical replicates (one representative experiment out of three; **c**). **d**, Error bars show the proportion of TIC  $\pm$  s.e.m. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\*\* $P < 0.0001$  by unpaired two-tailed  $t$ -test (**b**, **i**, **j**) log-rank (Mantel–Cox) test (**a**, **e**, **h**), two-tailed chi-squared test (**d**) or two-tailed Fisher’s exact test (**g**). Scale bars: 100  $\mu\text{m}$  (**f**, **k**).





**Figure 2 | *Smarcb1* restrains the expansion of aggressive mesenchymal clones in PDAC.**  
**a**, Morphological and immuno-phenotypic characterization of *Smarcb1*-restored tumours (tamoxifen) compared to vehicle-treated controls (see Methods). Haematoxylin and eosin, vimentin (VIM), nestin (NES), E-cadherin (CDH1) and Ki67 stains of lesions collected 10 days after tamoxifen or vehicle treatment. Scale bars: 100  $\mu$ m. **b**, Quantification of the vimentin- and nestin-positive areas in *Smarcb1* depleted and restored primary tumours ( $n = 12$  per group). **c**, Histopathological grade distribution in *Smarcb1*-depleted and restored primary tumours (T) and metastatic lesions (M) ( $n = 23$  primary tumours,  $n = 21$  metastatic lesions). Tx, tamoxifen; Veh, vehicle. **d**, Quantification of the proliferative index measured by Ki67 staining ( $n = 3$  per group). Data are mean  $\pm$  s.d. of biological replicates. \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$  by unpaired two-tailed  $t$ -test (**b**, **d**) or two-tailed Fisher's exact test (**c**).



**Figure 3 | Genetic perturbation of ER-stress response pathway is lethal in a *Smarcb1*-deficient context.**

**a.** Quantification of protein biosynthesis by OPP incorporation analysis (measured by FACS). Representative graphics (left) and bar plots (right) from short-term cultures established from escapers-derived tumours ( $n = 4$  per group). Cycloheximide (Chx)-treated cultures were used as negative controls. **b.** Transmission electron microscopy (TEM) sections from *Smarcb1*-proficient and -deficient tumours generated from somatic GEMMs and from stochastic escapers. Arrows indicate ER. Enlarged ER and cytoplasmic protein aggregates were observed in *Smarcb1*-deficient cells. Scale bars: 500 nm. **c.** Representative MRI axial sections of  $R26^{Cag-FlpoERT2/+} - KPS / -pLSM5-shMkk4$  and  $R26^{Cag-FlpoERT2/+} - KPS / -pLSM5-shCtrl$  (containing a non-targeted control shRNA) tumour-bearing mice upon vehicle or tamoxifen treatment (see Methods). **d.** Kaplan–Meier survival analysis for the experimental groups described above assigned to tamoxifen (continuous lines) or vehicle (interrupted lines) treatment. *shMkk4*,  $n = 10$  per group; *shCtrl*,  $n = 8$  per group. **e.**

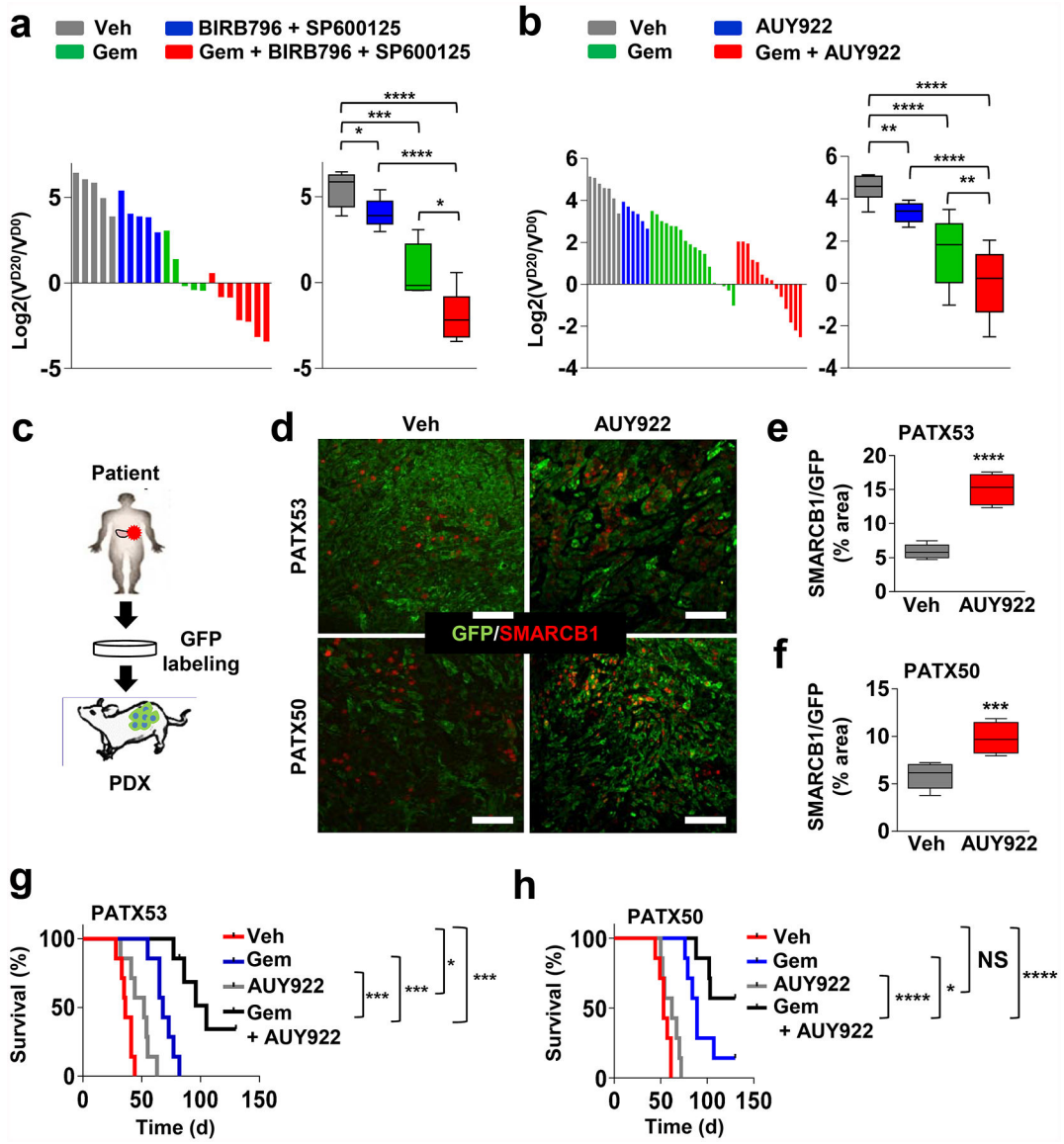
Secondary orthotopic transplants ( $n = 5$  per group). **f**, Schematic model showing the engagement of stress pathways defining malignant mesenchymal sub-populations in PDAC. Data are mean  $\pm$  s.d. of biological replicates. \*\* $P < 0.01$ , \*\*\*\* $P < 0.0001$ , by unpaired two-tailed  $t$ -test (**a**) or Mantel–Cox log-rank test (**d**, **e**). NS, not significant.

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**Figure 4 | Pharmacological perturbation of proteostasis induces tumour regression in cooperation with gemcitabine in pre-clinical models of pancreatic cancer.**  
**a, b**, Waterfall plots (left) and box plots (right) of *KPC* / GEMM-derived-allografts (GDAs) transplanted subcutaneously in NCr Nude mice assigned to a vehicle ( $n = 5$ ), BIRB796 and SP600125 ( $n = 5$ ), gemcitabine ( $n = 5$ ), gemcitabine, BIRB796 and SP600125 ( $n = 7$ ) (**a**); and vehicle ( $n = 7$ ), AUY922 ( $n = 6$ ), gemcitabine ( $n = 18$ ), gemcitabine and AUY922 ( $n = 14$ ) (**b**).  $V^{D20}$  and  $V^{D0}$ , tumour volumes at day 20 and 0 of treatment, respectively. **c**, Schematic of experimental design for the establishment of PDX models of PDAC. There were two independent lines used, PATX53 and PATX50. **d**, Representative sections from tumour-bearing mice treated with AUY922 or vehicle. Scale bars: 100  $\mu$ m. **e, f**, Bar graph showing the relative enrichment of SMARCB1<sup>high</sup>GFP<sup>+</sup> subpopulations upon treatment with AUY922 in orthotopic transplants of PDXs PATX53 (**e**) and PATX50 (**f**);  $n = 6$  per group. **g, h**, Kaplan–Meier survival analysis of NOD SCID mice orthotopically transplanted with low-passage, PDAC PDX lines. Treatment with combined gemcitabine and

AUY922 results in a significantly higher response rate and prolonged survival than treatment with monotherapies (PATX53,  $n = 7$  per group; PATX50 vehicle, AUY992 and gemcitabine,  $n = 7$  per group; PATX50, gemcitabine and AUY922,  $n = 14$ ). Error bars denote mean  $\pm$  s.d. of biological replicates (**a, b, e, f**). NS, not significant; \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ , by unpaired two-tailed  $t$ -test (**a, b, e, f**) or Mantel–Cox log-rank test (**g, h**).