

## Original Article

# Evaluation of determinants for age disparities in the survival improvement of colon cancer: results from a cohort of more than 486,000 patients in the United States

Fa Chen<sup>1,2</sup>, Fei Wang<sup>1,3</sup>, Christina E Bailey<sup>4</sup>, Harvey J Murff<sup>5</sup>, Jordan D Berlin<sup>6</sup>, Xiao-Ou Shu<sup>1</sup>, Wei Zheng<sup>1</sup>

<sup>1</sup>Division of Epidemiology, Department of Medicine, Vanderbilt Epidemiology Center, Vanderbilt-Ingram Cancer Center, Vanderbilt University Medical Center, Nashville, Tennessee, USA; <sup>2</sup>Department of Epidemiology and Health Statistics, School of Public Health, Fujian Medical University, Fuzhou, Fujian, P. R. China; <sup>3</sup>Department of Breast Surgery, The Second Hospital, Cheeloo College of Medicine, Shandong University, Jinan, Shandong, P. R. China; <sup>4</sup>Division of Surgical Oncology and Endocrine Surgery, Department of Surgery, Vanderbilt University Medical Center, Nashville, Tennessee, USA; <sup>5</sup>Division of Geriatric Medicine, Department of Medicine, Vanderbilt University Medical Center, Nashville, Tennessee, USA; <sup>6</sup>Division of Hematology/Oncology, Department of Medicine, Vanderbilt-Ingram Cancer Center, Vanderbilt University Medical Center, Nashville, Tennessee, USA

Received July 27, 2020; Accepted September 7, 2020; Epub October 1, 2020; Published October 15, 2020

**Abstract:** Over the past two decades, elderly colon cancer patients experienced less improvement in survival than their younger counterparts, yet the contributing factors remain unknown. We aimed to evaluate factors that may contribute to the age disparity of survival improvement among patients with colon cancer. Using data from the National Cancer Database, we identified patients diagnosed with colon cancer between 2004 and 2012 with follow-up data up to 2017. The hazard ratios (HR) and 95% confidence intervals (CI) for 5-year OS associated with study variables were estimated using multivariable Cox regression. Among 486,284 patients included in this study, elderly patients (aged  $\geq 75$ ) had a lower adherence to National Comprehensive Cancer Network (NCCN) treatment guidelines (% of non-adherence: 45.3%) than younger patients (aged  $< 50$ , 19.3%;  $P < 0.001$ ). After adjusting for demographics, access to care and clinical characteristics, compared with patients diagnosed between 2004 and 2006, younger and older patients diagnosed between 2010 and 2012 experienced 16% (HR: 0.84, 95% CI: 0.81-0.88) and 6% (HR: 0.94, 95% CI: 0.93-0.95) reductions in mortality ( $P_{\text{for interaction}} = 1.42 \times 10^{-5}$ ), respectively. After an additional adjustment for guideline adherence status, no significant difference in the improvement of survival was noted ( $P_{\text{for interaction}} = 0.17$ ). The association patterns were similar regardless of tumor stage, race, and high comorbidity scores (all  $P_{\text{for interaction}} > 0.05$ ). Several patient-related factors were identified in association with noncompliance to NCCN guidelines, including comorbidity status. However, over 60% of noncompliance elderly patients had a Charlson comorbidity score of 0. The observed age disparity in survival improvement among colon cancer patients was primarily explained by a slower improvement in adherence to NCCN treatment guidelines in elderly than younger patients. Many older adults were not receiving recommended therapies despite minimal comorbidities. Our findings call for measures to increase adherence to treatment guidelines among elderly patients to improve survival.

**Keywords:** Colon cancer, guideline adherence, survival improvement, elderly populations

## Introduction

It is estimated that in 2020 there will be approximately 104,640 new cases of colon cancer and 44,556 deaths from colon cancer in the United States [1]. Colon cancer risk increases with age, with approximately 70% of patients diagnosed over 65 years of age and 42% over 75 years of age [2]. These proportions will likely

continue to increase because of the aging population in the U. S. [3]. The fast-growing elderly population also increases the demand and challenges for cancer care in this population. It has been well documented that elderly patients with colon cancer have worse prognoses than younger patients [4, 5]. Great progress in diagnostic and therapeutic techniques have led to a steady improvement in survival after colon can-

## Determinants for age disparities in colon cancer survival improvement

cer diagnosis [6, 7]. However, this improvement has not been equally seen for patients across all age groups. We reported previously that elderly patients with colon cancer experienced less improvement in survival than their younger counterparts over the past two decades [8]. However, the underlying reasons for this disparity are unclear, affecting effective measures to improve cancer care for all.

Elderly populations, compared with younger individuals, are generally considered to have a higher probability of potential comorbidities, a worse overall physical condition, and frailties [9, 10], which may affect the selection of therapeutic cancer regimens by patients and their health providers [11, 12]. Consequently, this could compromise the final receipt of standard treatment. It was reported that elderly patients with stage II (high risk) or stage III cancer, as well as those with high comorbidity scores, were less likely to be offered adjuvant chemotherapy [13], which is a recommended treatment by the National Comprehensive Cancer Network (NCCN). However, prior studies have reported that elderly patients are less likely to receive recommended cardiovascular care, even after adjusting for comorbidities. This suggests that non-adherence with clinical guidelines could be biased by age alone [14, 15]. A recent analysis using Texas Cancer Registry data indicated that noncompliance to guidelines was associated with a higher risk of mortality for elderly patients with stage II or III cancer [16]. In several other studies, it has been consistently seen that patients benefited from receiving guideline-concordant treatment [17-19]. Therefore, it is conceivable that non-adherence to guidelines may contribute to the slower survival improvement over the past 20 years among elderly patients with colon cancer than their younger counterparts in the U.S. We used data from the National Cancer Database (NCDB) to test this hypothesis and explore other factors that may contribute to the age disparity in the improvement of survival among colon cancer patients. We further evaluated potential factors associated with non-adherence of treatment guidelines among elderly patients.

### Materials and methods

The data for this study were derived from the NCDB, which is a nationwide oncology data-

base including data from more than 1,500 facilities accredited by the Commission on Cancer (CoC) across the United States [20]. The NCDB includes more than 70% of the newly diagnosed cancer cases in the U. S. To ensure a sufficient follow-up time, we limited the study to patients who were diagnosed between 2004 and 2012 with follow-up data up to 2017. Of the 620,485 colon cancer patients identified, 134,201 were excluded according to the following criteria: missing or invalid follow-up information, missing information on treatment or detailed stages, or stage 0 disease. The final cohort for analysis included 486,284 patients (Supplementary Figure 1). This study was conducted in concordance with the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline.

Study variables extracted from the NCDB included demographic characteristics (age at diagnosis, sex, race, residence, annual household income, education), access to care (insurance and facility type), clinical characteristics (Charlson comorbidity score, year of cancer diagnosis, stage, histologic type, histologic grade), and treatment information (surgery, chemotherapy, radiotherapy). Patients' age at diagnosis was divided into four groups (<50, 50-64, 65-74, and 75 years or older). Neighborhood household income was assessed by median household income levels and educational levels by the percentage of residents not receiving a high school education, based on the zip code of patients' residence. A stage group was assigned preferentially using the reported pathologic stage; clinical stage was used when pathologic stage was not reported. Patients with stage II cancer were further categorized as low and high risk according to the NCCN criteria. Patients were considered to be low risk if they had T3N0M0 with no high-risk features, while those who had T3N0M0 with high-risk features or T4N0M0 were defined as high risk. The high-risk features included poor histologic grade ( $\geq 3$ ), positive margin status, and inadequate lymph nodes retrieved (<12 nodes).

The stage-specific adherence status to NCCN treatment guidelines were identified following the algorithm described in previous studies [13, 17]. Patients were defined as "adherent" if they received treatment in accordance with the following stage-specific recommendations:

## Determinants for age disparities in colon cancer survival improvement

stage I, undergo surgical resection alone; stage II (low risk), in 2006 and before, undergo surgical resection alone; after 2006, undergo surgical resection with or without chemotherapy; stage II (high risk), undergo surgical resection and chemotherapy; stage III, undergo surgical resection and chemotherapy; stage IV, chemotherapy with or without surgical resection. In contrast, if they did not receive guideline-recommended treatment, they were classified as “non-adherent”. Patients were defined as “undergoing surgical treatment” if they underwent adequate surgical resection according to the most invasive surgical procedure at the tumor site. Those who did not have surgical procedures or only had tumor destruction with no pathological specimen produced were classified as inadequate surgical resection. Furthermore, the NCCN Panel believes that it is reasonable to accept the relative benefit of adjuvant therapy for patients with stage II (high risk), and recommends it as an optional treatment. Therefore, patients with stage II (high risk) were considered as adherent if they underwent surgical resection and chemotherapy in this study, which is supported by several previous studies [13, 17].

### Statistical analysis

The primary outcome was a 5-year overall survival (OS), defined as the time from diagnosis until death, or the date of last contact within five years, or censored at five years if the patient survived five years or longer. Baseline characteristics across age groups were compared using the  $\chi^2$  test. Five-year OS rates across age groups were estimated using the Kaplan-Meier method and compared using the Log-rank test.

Multivariable Cox proportional hazards regression models were used to estimate the hazard ratios (HRs) and 95% confidence intervals (CIs) of death among colon cancer patients associated with study variables, such as the year of diagnosis, demographic features, access to care, clinical characteristics, and adherence to NCCN treatment guidelines. The proportional hazard assumption was assessed by plotting scaled Schoenfeld residuals and log-log survival plots. In the analysis of the association of adherence to NCCN treatment guidelines with survival stratified by age groups, factors associ-

ated with both survival and adherence status were considered confounders and adjusted for in the analysis. Then, the HRs and 95% CIs for 5-year mortality associated with year of diagnosis were estimated across different age groups separately. The HRs and 95% CIs were derived with (i) adjustment for demographic features, access to care, and clinical characteristics; (ii) additional adjustment for comorbidity; (iii) further adjustment for adherence status. Interactions between age and year of diagnosis were individually tested in each model using likelihood ratio tests. Stratified analyses by stage, race/ethnicity and comorbidity were further conducted to test the potential modification effects of these factors. Multivariable logistic regression was also used to identify factors associated with non-adherence to NCCN treatment guidelines among those older than 75.

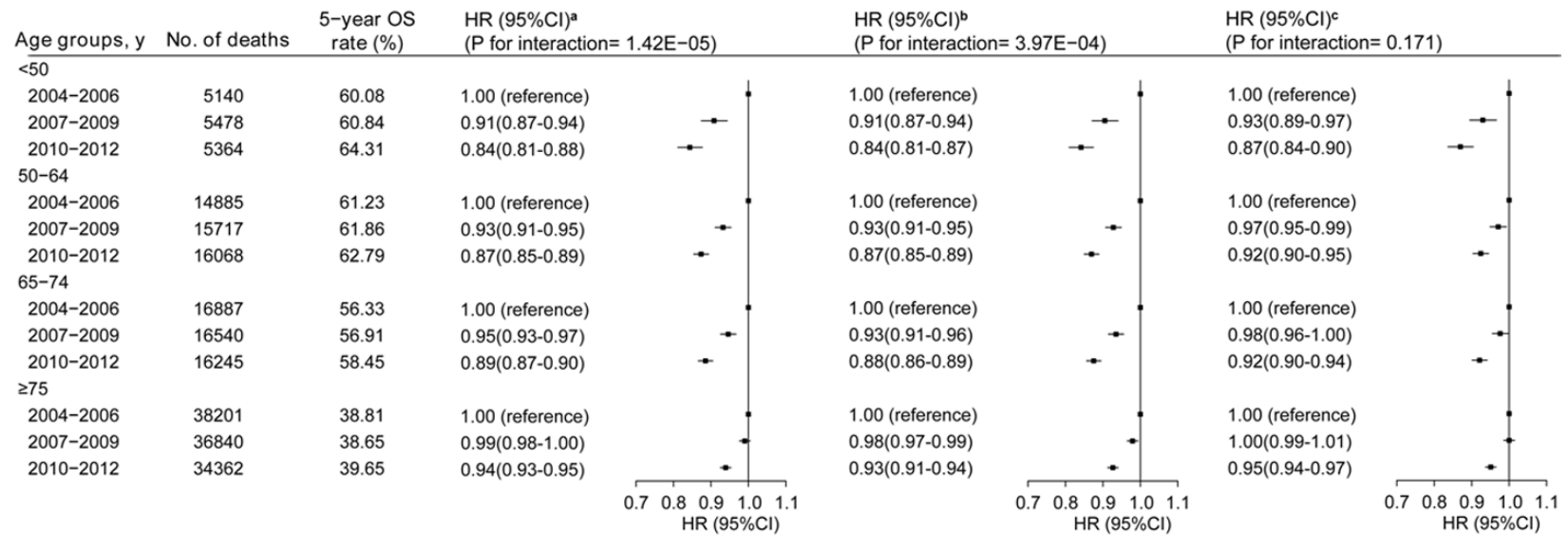
All statistical tests were two-tailed, and statistical significance was defined as  $P < 0.05$ . All analyses were performed using R, version 3.2.3 (R Foundation for Statistical Computing). Statistical analyses were conducted from November 25, 2019, to January 15, 2020.

### Results

Among the 486,284 patients included in the study, 234,258 (48.2%) were male. The mean (SD) age was 68.6 (13.6) years. The baseline characteristics of the patients are summarized in [Supplementary Table 1](#). Briefly, older patients ( $\geq 75$  years), compared with their younger counterparts ( $< 50$  years), tended to have a substantially higher Charlson comorbidity score ( $\geq 1$  score: 37.7% vs 12.0%) and were much less likely to receive chemotherapy (66.0% vs 19.2%), or to adhere to treatment guidelines (45.3% vs. 19.3%).

With a median follow-up time of 46.2 months (IQR: 15.1-76.1), 261,801 (53.8%) patients died during the study period. Although an increase in survival from 2004 to 2012 was observed across all age groups, the magnitude of the improvement was smaller in older patients ( $\geq 75$  years older) compared with their younger counterparts ( $p$  for interaction =  $1.42 \times 10^{-5}$ , **Figure 1**, left panel). For example, overall mortality among colon cancer patients was reduced 4.23% among patients  $< 50$  years, but only 0.84% among those  $\geq 75$  years for the

## Determinants for age disparities in colon cancer survival improvement



**Figure 1.** Five-year overall survival rates and multivariate adjusted hazard ratios (HRs) for the risk of death associated with year of diagnosis by age. <sup>a</sup>The HRs and 95% CIs were adjusted for age, sex, race, residence, education, income, facility type, insurance, histology, grade and TNM stage (left panel). <sup>b</sup>Additional adjusting for Charlson comorbidity score (middle panel). <sup>c</sup>Further additional adjusting for adherence status to NCCN treatment guidelines (right panel). *P* values for interactions between age and year of diagnosis are displayed.

## Determinants for age disparities in colon cancer survival improvement

**Table 1.** Five-year overall survival and hazard ratios of total mortality associated with selected demographic and clinical characteristics among patients with colon cancer: results from the National Cancer Database

Variables	No. of deaths	5-y overall survival (%)	HR (95% CI) <sup>d</sup>
<b>Age groups</b>			
<50	15,982	61.9	1.00
50-64	46,670	62.0	1.09 (1.07-1.11)
65-74	49,672	57.3	1.25 (1.23-1.28)
≥75	109,403	39.1	2.15 (2.10-2.20)
<b>Sex</b>			
Male	108,836	50.9	1.00
Female	112,891	52.8	0.88 (0.88-0.89)
<b>Race<sup>a</sup></b>			
White	186,216	52.0	1.00
Black	28,180	48.8	1.10 (1.09-1.12)
Other	5,651	60.5	0.84 (0.82-0.86)
<b>Year of diagnosis</b>			
2004-2006	75,113	50.7	1.00
2007-2009	74,575	51.5	0.99 (0.98-1.00)
2010-2012	72,039	53.4	0.93 (0.92-0.94)
<b>Residence<sup>a</sup></b>			
Metro	175,770	52.6	1.00
Urban	32,070	50.4	0.98 (0.96-0.99)
Rural	4,698	50.6	0.97 (0.94-1.00)
<b>Annual household income<sup>a</sup></b>			
<\$30,000	32,745	48.2	1.00
\$30,000-\$34,999	41,695	50.0	0.96 (0.95-0.98)
\$35,000-\$45,999	60,492	51.8	0.93 (0.92-0.95)
≥\$46,000	78,450	54.9	0.90 (0.88-0.92)
<b>Insurance<sup>a</sup></b>			
No insurance	6,862	50.9	1.00
Private insurance	54,041	63.6	0.75 (0.73-0.77)
Government insurance	157,245	45.9	0.95 (0.93-0.98)
<b>Educational attainment<sup>a,b</sup></b>			
≥29%	37,687	49.8	1.00
20%-28.9%	52,274	50.6	1.02 (1.00-1.03)
14%-19.9%	54,004	51.6	1.01 (0.99-1.02)
<14%	69,424	54.8	0.97 (0.95-0.98)
<b>Facility type<sup>a</sup></b>			
Community	29,849	49.9	1.00
Comprehensive community	107,454	51.6	0.96 (0.94-0.97)
Academic/research program	56,140	52.7	0.87 (0.85-0.88)
Integrated network	24,351	51.3	0.95 (0.93-0.96)
<b>Charlson comorbidity score</b>			
0	141,997	55.3	1.00
1	53,699	47.9	1.21 (1.20-1.22)
2	26,031	34.4	1.70 (1.68-1.73)
<b>Tumor stage</b>			

time period of 2010-12 compared to 2004-06.

Multivariate Cox regression analysis showed that, after TNM stage and age, adherence status to NCCN treatment guidelines is the most significant predictor for survival among colon cancer patients (HR: 1.93, 95% CI: 1.92-1.95 for non-adherence vs adherence), followed by Charlson comorbidity score (HR: 1.70, 95% CI: 1.68-1.73; score ≥2 vs. score=0) (**Table 1** and **Supplementary Figure 2**). The association between adherence status and mortality was observed across all age groups, and this association remained essentially unchanged after adjusting for the Charlson comorbidity score (**Table 2**). Similar, but weaker, association patterns were observed for comorbidity status in the age-specific analysis (**Supplementary Table 2**). Adjusting for the Charlson comorbidity score slightly attenuated the age disparity in the improvement of survival from the period of 2004-06 to 2010-12 (**Figure 1**, middle panel, P for interaction=3.97×10<sup>-4</sup>), while additional adjustment for adherence status of NCCN guidelines substantially narrowed the disparity (P for interaction=0.17) (**Figure 1**, right panel). The association patterns were similar regardless of tumor stage, race and high comorbidity scores (all P for interaction >0.05) (**Supplementary Figure 3**).

Although proportions of adherence to guidelines increased from 2004 to 2012 across all age groups, the trend was less evident among elderly patients, and the non-

## Determinants for age disparities in colon cancer survival improvement

I	27,981	74.1	1.00
II	44,025	62.0	1.21 (1.19-1.23)
III	58,436	55.1	1.75 (1.73-1.78)
IV	91,285	11.8	7.71 (7.60-7.82)
Tumor histology			
Adenocarcinomas	210,914	52.5	1.00
Others	10,813	33.2	1.21 (1.19-1.24)
Tumor grade <sup>a</sup>			
Well differentiated	16,078	64.6	1.00
Moderately differentiated	119,726	56.7	1.15 (1.13-1.17)
Poorly differentiated	50,797	40.5	1.49 (1.46-1.52)
Undifferentiated	6,023	39.4	1.54 (1.49-1.59)
Treatment adherence status <sup>c</sup>			
Adherence	124,095	60.0	1.00
Non-adherence	97,632	34.5	1.93 (1.92-1.95)

Abbreviations: OS, overall survival; HR, Hazard Ratio. <sup>a</sup>Unknown data was not shown. <sup>b</sup>Educational attainment refers to the percentage of adults who did not graduate from high school in the patient's area of residence. <sup>c</sup>Adherence status to NCCN treatment guidelines. <sup>d</sup>HRs were derived from models including all variables listed in the table.

adherence proportion remained much higher among elderly than for younger patients in 2012 (43.7% vs. 16.0%, **Figure 2**). Logistic regression analysis showed that factors associated with non-adherence to NCCN treatment guidelines among patients  $\geq 75$  years included Charlson comorbidity score, female, black, no insurance, and low educational attainment of patients' residence (**Table 3**). There were still over 60% of noncompliance elderly patients with a Charlson comorbidity score of 0.

### Discussion

In this large national registry-based study, we found a much higher proportion of non-adherence to NCCN treatment guidelines among elderly patients with colon cancer than among their younger counterparts. Although the proportions of non-adherence have reduced steadily over the years in all age groups, the reduction was much less evident in elderly patients, which has contributed to a slower improvement in survival among elderly than younger patients with colon cancer over the past two decades. We have shown further that several patient-related factors and comorbidity are risk factors of non-adherence of NCCN treatment guidelines. These findings are supported by results from several previous studies and calls for action to improve cancer treat-

ment in elderly patients to reduce age-related disparities in cancer survival.

NCCN treatment guidelines for colon cancer are updated regularly, taking into consideration the recent advances in oncology [21]. Similar to our study, several previous studies also have shown that adherence to NCCN guidelines is associated with better survival among patients with colon cancer [16-19]. Our findings for a higher non-adherence proportion to NCCN treatment guidelines among elderly patients are also supported by previous studies, which showed that the proportions of colon cancer patients receiving surgical

treatment and adjuvant chemotherapy decline with age [22, 23]. Using NCDB data, we confirmed our previous findings based on SEER data that, over the past two decades, elderly patients experienced less improvement in survival than did younger patients [8]. In addition to confirming these previous findings using a large national dataset, we provide strong evidence, for the first time, that the age disparity in the improvement of survival in colon cancer patients is largely due to poorer adherence of NCCN treatment guidelines among elderly patients compared with their younger counterparts.

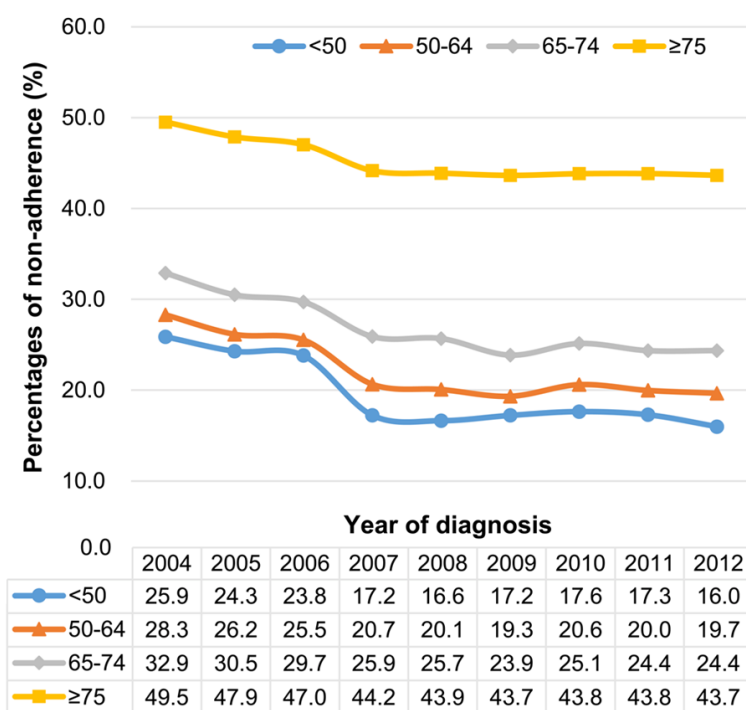
Previous clinical trials have shown that elderly patients with colon cancer receive similar benefits of adjuvant therapy as younger patients, and it is equally safe and effective [24-26]. However, treatment delivered in real-world clinical practice might be very much different from that in clinical trials. This difference may be more pronounced among elderly patients, for whom more aggressive therapies might not be used [27-29]. Comorbidity is an important factor in predicting guideline adherence in colon cancer [13, 16, 30] and several other malignancies [31, 32]. In our study, comorbidity was also found to have the greatest impact on adherence to NCCN treatment guidelines among elderly populations. A recent study noted that

## Determinants for age disparities in colon cancer survival improvement

**Table 2.** Associations between adherence status and the risk of death among patients with colon cancer stratified by age: results from the National Cancer Database<sup>a</sup>

Strata	Adherent		Non-adherent		HR (95% CI) <sup>b</sup>	HR (95% CI) <sup>c</sup>
	No. of patients	No. of deaths	No. of patients	No. of deaths		
Aged <50	36,410	12,593	8,689	3,389	1.71 (1.65-1.78)	1.71 (1.64-1.78)
Aged 50-64	101,775	32,853	28,781	13,817	2.02 (1.98-2.07)	2.01 (1.97-2.05)
Aged 65-74	89,593	30,860	32,924	18,812	2.28 (2.24-2.33)	2.24 (2.20-2.29)
Aged ≥75	102,891	47,789	85,221	61,614	2.27 (2.23-2.30)	2.23 (2.20-2.26)

Abbreviations: HR, Hazard Ratio. <sup>a</sup>Adherence to NCCN treatment guidelines as the reference. <sup>b</sup>Adjustment for age, sex, race, residence, education, income, facility type, insurance, histology, grade, TNM stage. <sup>c</sup>Additional adjustment for Charlson comorbidity score.



**Figure 2.** Proportions of non-adherence to NCCN treatment guidelines for patients with colon cancer from 2004-2012 by age: results from the National Cancer Database.

the receipt of guideline-recommended adjuvant treatment was associated with better survival, even among patients with a high Charlson comorbidity index [33], supporting the notion that guideline-recommended treatments should be considered seriously regardless of comorbidity status. However, still over 60% of elderly patients were non-adherent to NCCN treatment guidelines with a Charlson comorbidity index of zero. It is not certain why such a large proportion of healthy older adults might not undergo appropriate therapies.

Similar findings regarding the impact of age on the delivery of appropriate medical services have been described with stroke care [14], acute myocardial infarction treatment [15, 34], and management of lung cancer [35]. As in our study, these age-related differences in treatment were not accounted for by comorbidities and may represent a bias towards older adults. In our study, we found that several patient-related factors, i.e., patients who were female, black, with no insurance, or in low education residence, were related to non-adherence to the NCCN treatment guidelines among elderly patients. Thus, appropriate measures are needed to increase the adherence to treatment guidelines in the sub-populations defined by these characteristics. Moreover, considering elderly patients

were underrepresented in cancer clinical trials [36], future clinical trials specifically designed for elderly patients are warranted in order to develop easier-to-follow, and similarly effective, treatment regimens or strategies.

The strength of our study includes the large sample size and systematic analysis with an adjustment for multiple key covariates. Nonetheless, several limitations should also be acknowledged. First, data are not available in the NCDB regarding the number and types of

## Determinants for age disparities in colon cancer survival improvement

**Table 3.** Factors associated with non-adherence to NCCN treatment guidelines for colon cancer patients older than 75 years: results from the National Cancer Database

Variables	Adherent (n, %)	Non-adherent (n, %)	OR (95% CI) <sup>a</sup>
<b>Sex</b>			
Male	44,872 (43.6)	33,799 (39.7)	1.00
Female	58,019 (56.4)	51,422 (60.3)	1.12 (1.09-1.14)
<b>Race<sup>b</sup></b>			
White	92,594 (90.0)	75,526 (88.6)	1.00
Black	7,344 (7.1)	7,117 (8.4)	1.16 (1.11-1.21)
Other	2,281 (2.2)	1,933 (2.3)	1.01 (0.94-1.08)
<b>Residence<sup>b</sup></b>			
Metro	83,634 (81.3)	69,224 (81.2)	1.00
Urban	13,573 (13.2)	10,994 (12.9)	0.93 (0.90-0.96)
Rural	2,084 (2.0)	1,731 (2.0)	0.90 (0.84-0.97)
<b>Annual household income<sup>b</sup></b>			
<\$30,000	12,109 (11.8)	10,853 (12.7)	1.00
\$30,000-\$34,999	18,167 (17.7)	15,297 (18.0)	0.98 (0.94-1.02)
\$35,000-\$45,999	28,772 (28.0)	23,790 (27.9)	0.96 (0.92-1.00)
≥\$46,000	40,803 (39.7)	32,556 (38.2)	0.96 (0.92-1.00)
<b>Insurance<sup>b</sup></b>			
No insurance	310 (0.3)	369 (0.4)	1.00
Private insurance	9,428 (9.2)	7,462 (8.8)	0.72 (0.61-0.86)
Government insurance	92,028 (89.4)	76,199 (89.4)	0.75 (0.64-0.89)
<b>Educational attainment<sup>b,c</sup></b>			
≥29%	13,591 (13.2)	12,306 (14.4)	1.00
20%-28.9%	22,190 (21.6)	18,760 (22.0)	0.95 (0.92-0.99)
14%-19.9%	26,439 (25.7)	21,805 (25.6)	0.92 (0.89-0.96)
<14%	37,633 (36.6)	29,627 (34.8)	0.89 (0.85-0.93)
<b>Facility type</b>			
Community	14,064 (13.7)	12,522 (14.7)	1.00
Comprehensive community	53,067 (51.6)	44,496 (52.2)	0.91 (0.88-0.94)
Academic/research program	24,301 (23.6)	18,801 (22.1)	0.79 (0.76-0.81)
Integrated network	11,459 (11.1)	9,402 (11.0)	0.87 (0.84-0.91)
<b>Year of diagnosis</b>			
2004-2006	33,130 (32.2)	30,767 (36.1)	1.00
2007-2009	34,619 (33.6)	27,092 (31.8)	0.77 (0.75-0.79)
2010-2012	35,142 (34.2)	27,362 (32.1)	0.72 (0.70-0.74)
<b>Charlson comorbidity score</b>			
0	65,321 (63.5)	51,801 (60.8)	1.00
1	26,586 (25.8)	22,485 (26.4)	1.11 (1.08-1.14)
2	10,984 (10.7)	10,935 (12.8)	1.42 (1.38-1.47)

Abbreviations: OR, Odds Ratio. <sup>a</sup>ORs were derived from the model including all variables listed in the table with adjustment of histology, TNM stage, grade. <sup>b</sup>The percentages were calculated based on all the population. OR of unknown data was not shown. <sup>c</sup>Educational attainment refers to the percentage of adults who did not graduate from high school in the patient's area of residence.

comorbidities, specific chemotherapy regimens and lifestyle factors, and thus, we were unable to evaluate potential confounding or modification effects of these variables. Second, infor-

mation on causes of death is unavailable in the NCDB, preventing us from analyzing cancer specific mortality. We performed 5-year survival analyses by limiting the time to five years



## Determinants for age disparities in colon cancer survival improvement

after diagnosis and assumed that deaths during this short-time period close to diagnosis were more likely to be related to colon cancer.

In summary, our study confirms our previous findings of a slower improvement in survival among elderly than younger colon cancer patients in the U.S. over the past two decades, and provides strong evidence that non-adherence to NCCN treatment guidelines in elderly patients is the primary contributor to this age-related disparity in survival improvements. We have identified multiple risk factors for non-adherence to treatment guidelines. Many older adults were not receiving recommended therapies despite minimal comorbidities. Our study calls for measures to improve treatment guideline adherence to reduce mortality among elderly patients who account for the majority of colon cancer patients diagnosed in the U.S. and other countries.

### Acknowledgements

This research was supported in part by Anne Potter Wilson Chair Endowment to Vanderbilt University. All information was derived from the American College of Surgeons' National Cancer Database. We thank Marshal Younger, Division of Epidemiology, Vanderbilt University Medical Center, for his assistance in editing the manuscript. He received no additional compensation, outside of his usual salary, for his contribution.

### Disclosure of conflict of interest

None.

**Address correspondence to:** Dr. Wei Zheng, Vanderbilt Epidemiology Center, Vanderbilt-Ingram Cancer Center, Vanderbilt University Medical Center, 2525 West End Ave, Ste 800, Nashville, Tennessee, 37203-1738, USA. E-mail: wei.zheng@vanderbilt.edu

### References

- [1] International Agency for Research on Cancer. Cancer Tomorrow. [cited 2020 March 22]; Available from: <http://gco.iarc.fr/tomorrow/home>.
- [2] Siegel RL, Fedewa SA, Anderson WF, Miller KD, Ma JM, Rosenberg PS and Jemal A. Colorectal cancer incidence patterns in the United States, 1974-2013. *J Natl Cancer Inst* 2017; 109: djw322.

- [3] U.S. Census Bureau. The older population in the United States: 2018. [cited 2020 January 20]; Available from: <https://www.census.gov/data/tables/2018/demo/age-and-sex/2018-older-population.html>.
- [4] McKay A, Donaleshen J, Helewa RM, Park J, Wirtzfeld D, Hochman D, Singh H and Turner D. Does young age influence the prognosis of colorectal cancer: a population-based analysis. *World J Surg Oncol* 2014; 12: 370.
- [5] Hubbard J, Thomas DM, Yothers G, Green E, Blanke C, O'Connell MJ, Labianca R, Shi Q, Bleyer A, de Gramont A and Sargent D. Benefits and adverse events in younger versus older patients receiving adjuvant chemotherapy for colon cancer: findings from the adjuvant colon cancer endpoints data set. *J Clin Oncol* 2012; 30: 2334-2339.
- [6] Siegel RL, Miller KD and Jemal A. Cancer statistics, 2019. *CA Cancer J Clin* 2019; 69: 7-34.
- [7] Pal SK, Miller MJ, Agarwal N, Chang SM, Chavez-MacGregor M, Cohen E, Cole S, Dale W, Magid Diefenbach CS, Disis ML, Dreicer R, Graham DL, Henry NL, Jones J, Keedy V, Klepin HD, Markham MJ, Mittendorf EA, Rodriguez-Galindo C, Sabel MS, Schilsky RL, Sznoł M, Tap WD, Westin SN and Johnson BE. Clinical cancer advances 2019: annual report on progress against cancer from the american society of clinical oncology. *J Clin Oncol* 2019; 37: 834-849.
- [8] Zeng C, Wen W, Morgans AK, Pao W, Shu XO and Zheng W. Disparities by race, age, and sex in the improvement of survival for major cancers: results from the National Cancer Institute Surveillance, Epidemiology, and End Results (SEER) program in the United States, 1990 to 2010. *JAMA Oncol* 2015; 1: 88-96.
- [9] Caughey GE, Ramsay EN, Vitry AI, Gilbert AL, Luszcz MA, Ryan P and Roughead EE. Comorbid chronic diseases, discordant impact on mortality in older people: a 14-year longitudinal population study. *J Epidemiol Community Health* 2010; 64: 1036-1042.
- [10] Given B and Given CW. Older adults and cancer treatment. *Cancer* 2008; 113: 3505-3511.
- [11] Sarfati D, Koczwara B and Jackson C. The impact of comorbidity on cancer and its treatment. *CA Cancer J Clin* 2016; 66: 338-350.
- [12] Given B and Given CW. Cancer treatment in older adults: implications for psychosocial research. *J Am Geriatr Soc* 2009; 57: S283-S285.
- [13] Chagpar R, Xing Y, Chiang YJ, Feig BW, Chang GJ, You YN and Cormier JN. Adherence to stage-specific treatment guidelines for patients with colon cancer. *J Clin Oncol* 2012; 30: 972-979.
- [14] Luker JA, Wall K, Bernhardt J, Edwards I and Grimmer-Somers KA. Patients' age as a deter-

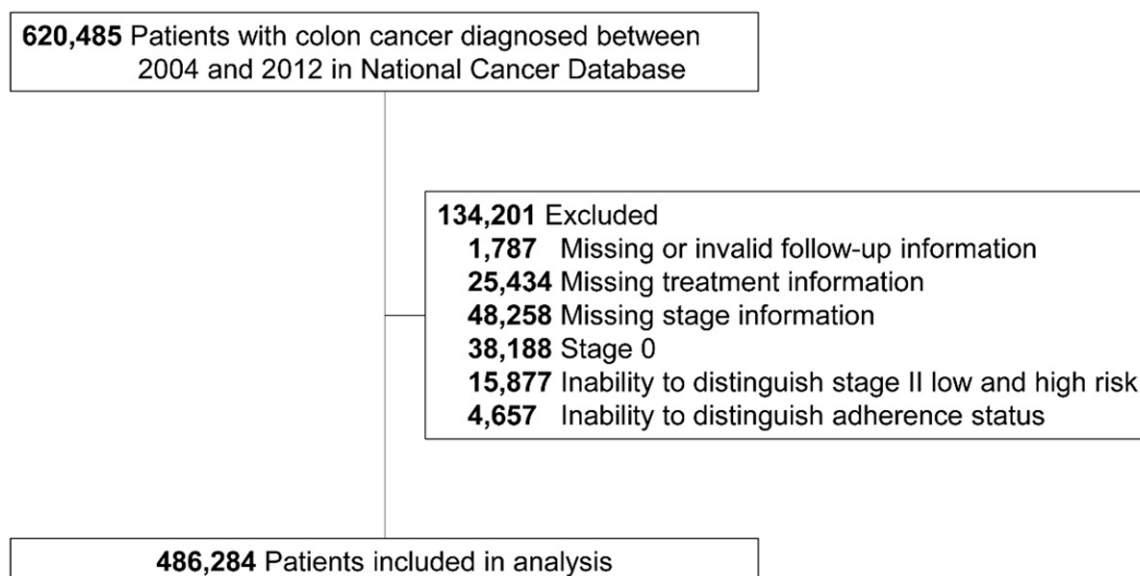
## Determinants for age disparities in colon cancer survival improvement

- minant of care received following acute stroke: a systematic review. *BMC Health Serv Res* 2011; 11: 161.
- [15] McLaughlin TJ, Soumerai SB, Willison DJ, Gurwitz JH, Borbas C, Guadagnoli E, McLaughlin B, Morris N, Cheng SC, Hauptman PJ, Antman E, Casey L, Asinger R and Gobel F. Adherence to national guidelines for drug treatment of suspected acute myocardial infarction: evidence for undertreatment in women and the elderly. *Arch Intern Med* 1996; 156: 799-805.
- [16] Zhao H, Zhang N, Ho V, Ding MM, He WG, Niu J, Yang M, Du XL, Zorzi D, Chavez-MacGregor M and Giordano SH. Adherence to treatment guidelines and survival for older patients with stage II or III colon cancer in Texas from 2001 through 2011. *Cancer* 2018; 124: 679-687.
- [17] Boland GM, Chang GJ, Haynes AB, Chiang YJ, Chagpar R, Xing Y, Hu CY, Feig BW, You YN and Cormier JN. Association between adherence to National Comprehensive Cancer Network treatment guidelines and improved survival in patients with colon cancer. *Cancer* 2013; 119: 1593-1601.
- [18] Hines RB, Barrett A, Twumasi-Ankrah P, Broccoli D, Engelman KK, Baranda J, Ablah EA, Jacobson L, Redmond M, Tu W and Collins TC. Predictors of guideline treatment nonadherence and the impact on survival in patients with colorectal cancer. *J Natl Compr Canc Netw* 2015; 13: 51-60.
- [19] Javid SH, Varghese TK, Morris AM, Porter MP, He H, Buchwald D and Flum DR; Collaborative to Improve Native Cancer Outcomes (CINCO). Guideline-concordant cancer care and survival among American Indian/Alaskan native patients. *Cancer* 2014; 120: 2183-2190.
- [20] American College of Surgeons. National cancer database. [cited 2020 March 2]; Available from: <https://www.facs.org/quality-programs/cancer/ncdb>.
- [21] National Comprehensive Cancer Center (NCCN). NCCN clinical practice guidelines in oncology: colon cancer. [cited 2019 November 21]; Available from: [https://www.nccn.org/professionals/physician\\_gls/pdf/colon](https://www.nccn.org/professionals/physician_gls/pdf/colon).
- [22] Majano SB, Di Girolamo C, Rachet B, Maringe C, Guren MG, Glimelius B, Iversen LH, Schnell EA, Lundqvist K, Christensen J, Morris M, Coleman MP and Walters S. Surgical treatment and survival from colorectal cancer in Denmark, England, Norway, and Sweden: a population-based study. *Lancet Oncol* 2019; 20: 74-87.
- [23] Manjeliuevskaia J, Brown D, McGlynn KA, Anderson W, Shriver CD and Zhu KM. Chemotherapy use and survival among young and middle-aged patients with colon cancer. *JAMA Surg* 2017; 152: 452-459.
- [24] Sargent DJ, Goldberg RM, Jacobson SD, Macdonald JS, Labianca R, Haller DG, Shepherd LE, Seitz JF and Francini G. A pooled analysis of adjuvant chemotherapy for resected colon cancer in elderly patients. *N Engl J Med* 2001; 345: 1091-1097.
- [25] Folprecht G, Seymour MT, Saltz L, Douillard JY, Hecker H, Stephens RJ, Maughan TS, Van Cutsem E, Rougier P, Mitry E, Schubert U and Kohne CH. Irinotecan/fluorouracil combination in first-line therapy of older and younger patients with metastatic colorectal cancer: combined analysis of 2,691 patients in randomized controlled trials. *J Clin Oncol* 2008; 26: 1443-1451.
- [26] Blanke CD, Bot BM, Thomas DM, Bleyer A, Kohne CH, Seymour MT, de Gramont A, Goldberg RM and Sargent DJ. Impact of young age on treatment efficacy and safety in advanced colorectal cancer: a pooled analysis of patients from nine first-line phase III chemotherapy trials. *J Clin Oncol* 2011; 29: 2781-2786.
- [27] Jonker JM, Hamaker ME, Soesan M, Tulner CR and Kuper IMJA. Colon cancer treatment and adherence to national guidelines: does age still matter? *J Geriatr Oncol* 2012; 3: 131-137.
- [28] Aparicio T, Navazesh A, Boutron I, Bouarioua N, Chosidow D, Mion M, Choudat L, Sobhani I, Mentre F and Soule JC. Half of elderly patients routinely treated for colorectal cancer receive a sub-standard treatment. *Crit Rev Oncol Hematol* 2009; 71: 249-257.
- [29] Potosky AL, Harlan LC, Kaplan RS, Johnson KA and Lynch CF. Age, sex, and racial differences in the use of standard adjuvant therapy for colorectal cancer. *J Clin Oncol* 2002; 20: 1192-1202.
- [30] Lemmens VE, van Halteren AH, Janssen-Heijnen ML, Vreugdenhil G, Repelaer van Driel OJ and Coebergh JW. Adjuvant treatment for elderly patients with stage III colon cancer in the southern Netherlands is affected by socioeconomic status, gender, and comorbidity. *Ann Oncol* 2005; 16: 767-772.
- [31] McCormick B, Ottesen RA, Hughes ME, Javid SH, Khan SA, Mortimer J, Niland JC, Weeks JC and Edge SB. Impact of guideline changes on use or omission of radiation in the elderly with early breast cancer: practice patterns at national comprehensive cancer network institutions. *J Am Coll Surg* 2014; 219: 796-802.
- [32] Cliby WA, Powell MA, Al-Hammadi N, Chen L, Miller JP, Roland PY, Mutch DG and Bristow RE. Ovarian cancer in the United States: contemporary patterns of care associated with im-

## Determinants for age disparities in colon cancer survival improvement

- proved survival. *Gynecol Oncol* 2015; 136: 11-17.
- [33] Wollschlager D, Meng X, Wockel A, Janni W, Kreienberg R, Blettner M and Schwentner L. Comorbidity-dependent adherence to guidelines and survival in breast cancer-is there a role for guideline adherence in comorbid breast cancer patients? A retrospective cohort study with 2137 patients. *Breast J* 2018; 24: 120-127.
- [34] Harries C, Forrest D, Harvey N, McClelland A and Bowling A. Which doctors are influenced by a patient's age? A multi-method study of angina treatment in general practice, cardiology and gerontology. *Qual Saf Health Care* 2007; 16: 23-27.
- [35] Peake MD, Thompson S, Lowe D and Pearson MG; Participating Centres. Ageism in the management of lung cancer. *Age Ageing* 2003; 32: 171-7.
- [36] Abbasi J. Older patients (Still) left out of cancer clinical trials. *JAMA* 2019; 322: 1751-1753.

## Determinants for age disparities in colon cancer survival improvement



Supplementary Figure 1. CONSORT diagram.

Supplementary Table 1. Characteristics of patients with colon cancer by ages<sup>a</sup>

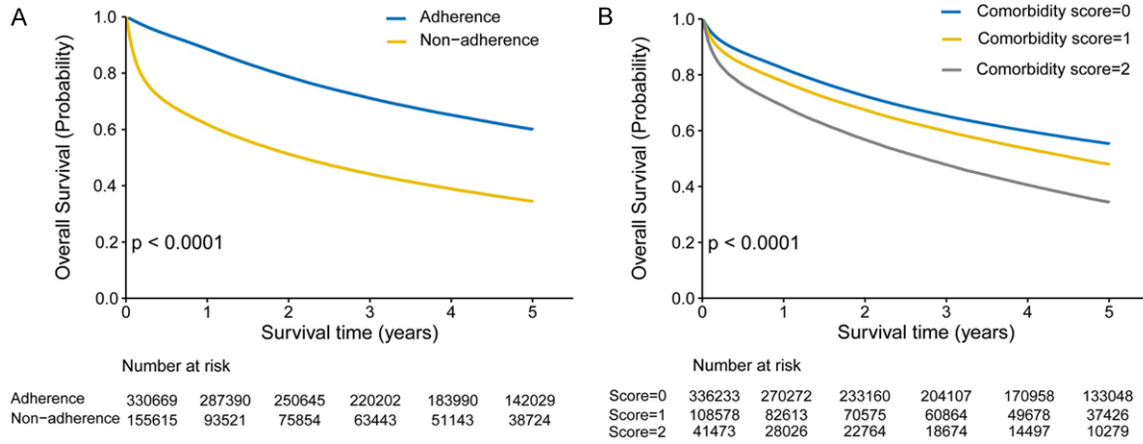
Variables	Age groups, y (n, %)			
	<50	50-64	65-74	≥75
<b>Sex</b>				
Male	22,594 (50.1)	69,342 (53.1)	63,651 (52.0)	78,671 (41.8)
Female	22,505 (49.9)	61,214 (46.9)	58,866 (48.0)	109,441 (58.2)
<b>Race</b>				
White	34,557 (76.6)	102,752 (78.7)	102,958 (84.0)	168,120 (89.4)
Black	7,796 (17.3)	21,415 (16.4)	14,615 (11.9)	14,461 (7.7)
Other	2,305 (5.1)	5,161 (4.0)	3,867 (3.2)	4,214 (2.2)
Unknown	441 (1.0)	1,228 (0.9)	1,077 (0.9)	1,317 (0.7)
<b>Year of diagnosis</b>				
2004-2006	13,411 (29.7)	39,663 (30.4)	39,742 (32.4)	63,897 (34.0)
2007-2009	14,681 (32.6)	42,725 (32.7)	39,568 (32.3)	61,711 (32.8)
2010-2012	17,007 (37.7)	48,168 (36.9)	43,207 (35.3)	62,504 (33.2)
<b>Residence</b>				
Metro	37,013 (82.1)	105,115 (80.5)	96,148 (78.5)	152,858 (81.3)
Urban	5,746 (12.7)	18,763 (14.4)	19,276 (15.7)	24,567 (13.0)
Rural	706 (1.6)	2,532 (1.9)	2,969 (2.4)	3,815 (2.0)
Unknown	1,634 (3.6)	4,146 (3.2)	4,124 (3.4)	6,872 (3.7)
<b>Annual household income</b>				
<\$30,000	6,359 (14.1)	19,864 (15.2)	17,887 (14.6)	22,962 (12.2)
\$30,000-\$34,999	7,821 (17.4)	23,725 (18.2)	23,492 (19.2)	33,464 (17.8)
\$35,000-\$45,999	11,590 (25.7)	34,355 (26.3)	33,638 (27.4)	52,562 (27.9)
≥\$46,000	17,742 (39.3)	48,226 (36.9)	43,493 (35.5)	73,359 (39.0)
Unknown	1,587 (3.5)	4,386 (3.4)	4,007 (3.3)	5,765 (3.1)
<b>Insurance</b>				
No insurance	4,028 (8.9)	9,673 (7.4)	909 (0.7)	679 (0.4)
Private insurance	32,211 (71.4)	90,471 (69.3)	18,097 (14.8)	16,890 (9.0)

## Determinants for age disparities in colon cancer survival improvement

Government insurance	7,799 (17.3)	27,653 (21.2)	101,714 (83.0)	168,227 (89.4)
Unknown	1,061 (2.4)	2,759 (2.1)	1,797 (1.5)	2,316 (1.2)
Educational attainment				
≥29%	8,215 (18.2)	24,298 (18.6)	21,139 (17.3)	25,897 (13.8)
20%-28.9%	10,425 (23.1)	30,977 (23.7)	29,683 (24.2)	40,950 (21.8)
14%-19.9%	10,057 (22.3)	30,235 (23.2)	29,631 (24.2)	48,244 (25.6)
<14%	14,813 (32.9)	40,652 (31.1)	38,058 (31.0)	67,260 (35.8)
Unknown	1,589 (3.5)	4,394 (3.4)	4,006 (3.3)	5,761 (3.0)
Facility type				
Community	3,617 (8.0)	16,004 (12.3)	16,684 (13.6)	26,586 (14.1)
Comprehensive community	14,651 (32.5)	59,569 (45.6)	60,974 (49.8)	97,563 (51.9)
Academic/research program	11,481 (25.5)	40,160 (30.8)	31,378 (25.6)	43,102 (22.9)
Integrated network	3,836 (8.5)	14,823 (11.3)	13,481 (11.0)	20,861 (11.1)
Unknown	11,514 (25.5)	0 (0.0)	0 (0.0)	0 (0.0)
Charlson comorbidity score				
0	39,669 (88.0)	99,067 (75.9)	80,375 (65.6)	117,122 (62.3)
1	4,509 (10.0)	24,522 (18.8)	30,476 (24.9)	49,071 (26.1)
2	921 (2.0)	6,967 (5.3)	11,666 (9.5)	21,919 (11.6)
Surgery				
Yes	40,852 (90.6)	118,391 (90.7)	112,572 (91.9)	169,195 (89.9)
No	4,247 (9.4)	12,165 (9.3)	9,945 (8.1)	18,917 (10.1)
Chemotherapy				
Yes	29,775 (66.0)	68,847 (52.7)	49,959 (40.8)	36,146 (19.2)
No	15,324 (34.0)	61,709 (47.3)	72,558 (59.2)	151,966 (80.8)
Stage				
I	7,251 (16.1)	30,788 (23.6)	32,447 (26.5)	46,309 (24.6)
II	8,799 (19.5)	27,231 (20.9)	30,365 (24.8)	58,552 (31.1)
III	14,810 (32.8)	38,404 (29.4)	34,516 (28.2)	49,765 (26.5)
IV	14,239 (31.6)	34,133 (26.1)	25,189 (20.5)	33,486 (17.8)
Histology				
Adenocarcinomas	42,764 (94.8)	126,107 (96.6)	119,118 (97.2)	181,462 (96.5)
Others	2,335 (5.2)	4,449 (3.4)	3,399 (2.8)	6,650 (3.5)
Grade				
Well differentiated	4,411 (9.8)	13,529 (10.4)	12,800 (10.4)	17,973 (9.5)
Moderately differentiated	26,148 (58.0)	79,784 (61.1)	75,448 (61.6)	112,568 (59.8)
Poorly differentiated	8,818 (19.6)	21,812 (16.7)	21,426 (17.5)	36,810 (19.6)
Undifferentiated	1,057 (2.3)	2,377 (1.8)	2,478 (2.0)	4,470 (2.4)
Unknown	4,665 (10.3)	13,054 (10.0)	10,365 (8.5)	16,291 (8.7)
Treatment adherence status <sup>b</sup>				
Adherence	36,410 (80.7)	101,775 (78.0)	89,593 (73.1)	102,891 (54.7)
Non-adherence	8,689 (19.3)	28,781 (22.0)	32,924 (26.9)	85,221 (45.3)

<sup>a</sup>The comparison of the distribution of characteristics across age groups were all of statistical significance (all P<0.001). <sup>b</sup>Adherence status to NCCN treatment guidelines.

## Determinants for age disparities in colon cancer survival improvement



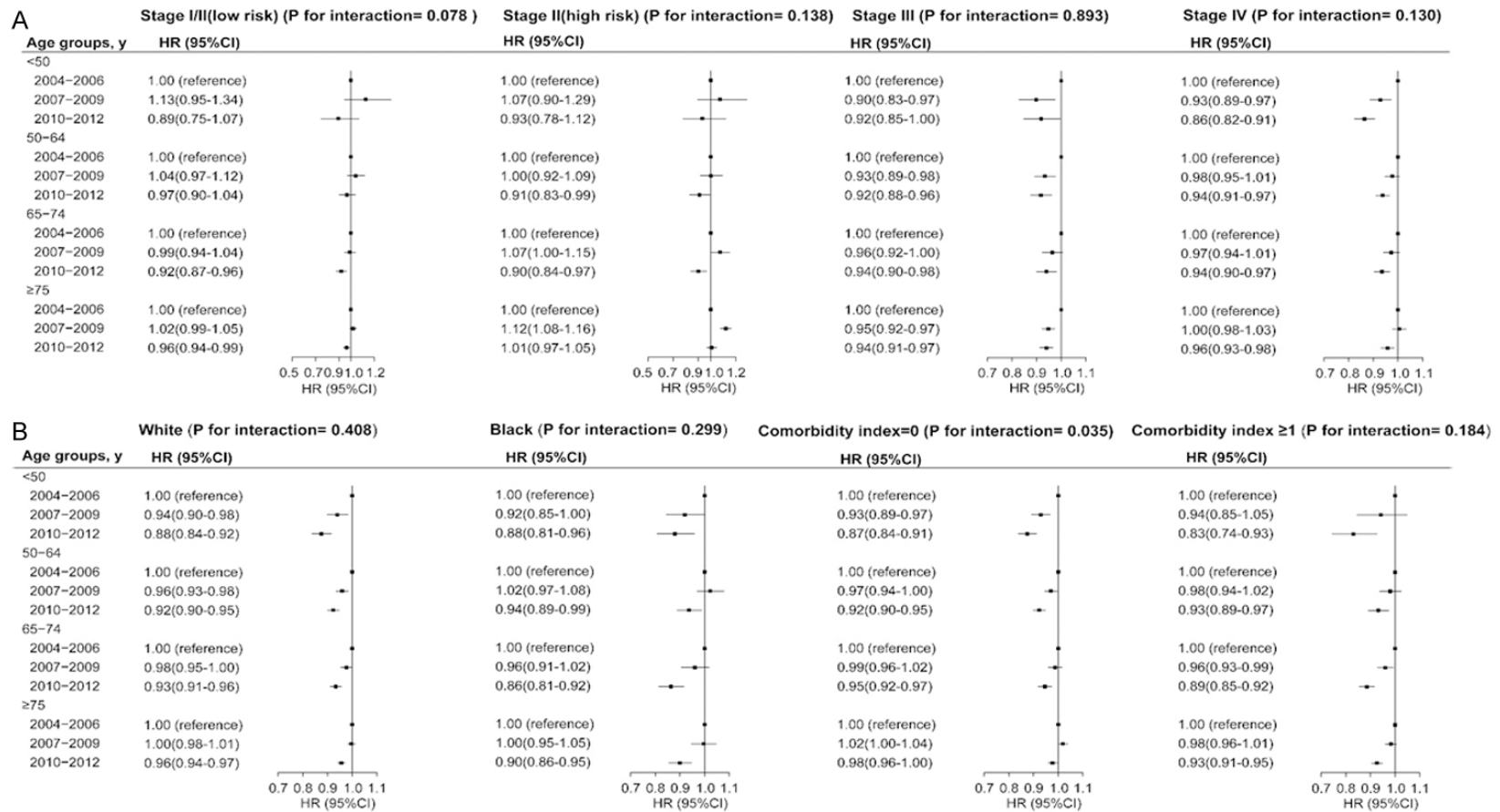
**Supplementary Figure 2.** Crude 5-year overall survival rates of colon cancer. (A) by adherence status to NCCN treatment guidelines, (B) by Charlson comorbidity score. P values were calculated by the log-rank test.

**Supplementary Table 2.** Association between Charlson comorbidity score and the risk of death among patients with colon cancer stratified by age

Strata	Charlson comorbidity score=1			Charlson comorbidity score=2		
	patients/death	HR (95% CI) <sup>a</sup>	HR (95% CI) <sup>b</sup>	patients/death	HR (95% CI) <sup>a</sup>	HR (95% CI) <sup>b</sup>
Aged <50	4,509/1,613	1.06 (1.01-1.12)	1.06 (1.01-1.12)	921/478	1.68 (1.53-1.84)	1.65 (1.50-1.81)
Aged 50-64	24,522/9,163	1.16 (1.13-1.19)	1.16 (1.13-1.19)	6,967/3,461	1.75 (1.69-1.81)	1.71 (1.65-1.77)
Aged 65-74	30,476/12,973	1.26 (1.23-1.28)	1.25 (1.22-1.27)	11,666/6,530	1.95 (1.90-2.01)	1.86 (1.81-1.91)
Aged ≥75	49,071/29,950	1.23 (1.21-1.25)	1.22 (1.20-1.23)	21,919/15,562	1.68 (1.65-1.71)	1.60 (1.57-1.63)

Abbreviations: HR, Hazard Ratio. <sup>a</sup>Charlson comorbidity score is equal to zero as the reference. Adjustment for age, sex, race, residence, education, income, facility type, insurance, histology, grade, TNM stage. <sup>b</sup>Additional adjustment for adherence to treatment guidelines.

## Determinants for age disparities in colon cancer survival improvement



**Supplementary Figure 3.** Multivariate adjusted hazard ratios (HRs) and 95% CIs for the risk of death associated with year of diagnosis across all age groups. A. Stratified by TNM stage. B. Stratified by race or Charlson comorbidity score. The HRs and 95% CIs were adjusted for age, sex, race, residence, education, income, facility type, insurance, histology, grade, TNM stage, Charlson comorbidity score, and adherence status to NCCN treatment guidelines. *P* values for interactions between age and year of diagnosis are displayed.