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## Ketogenic Diet, Neuroprotection, and Antiepileptogenesis

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### Abstract

High fat, low carbohydrate ketogenic diets (KD) have been in use for the treatment of epilepsy for almost a hundred years. Remarkably, seizures that are resistant to conventional anti-seizure drugs can in many cases be controlled by the KD therapy, and it has been shown that many patients with epilepsy become seizure free even after discontinuation of the diet. These findings suggest that KD combine anti-seizure effects with disease modifying effects. In addition to the treatment of epilepsy, KDs are now widely used for the treatment of a wide range of conditions including weight reduction, diabetes, and cancer. The reason for the success of metabolic therapies is based on the synergism of at least a dozen different mechanisms through which KDs provide beneficial activities. Among the newest findings are epigenetic mechanisms (DNA methylation and histone acetylation) through which KD exerts long-lasting disease modifying effects. Here we review mechanisms through which KD can affect neuroprotection in the brain, and how a combination of those mechanisms with epigenetic alterations can attenuate and possibly reverse the development of epilepsy.

### Graphical abstract

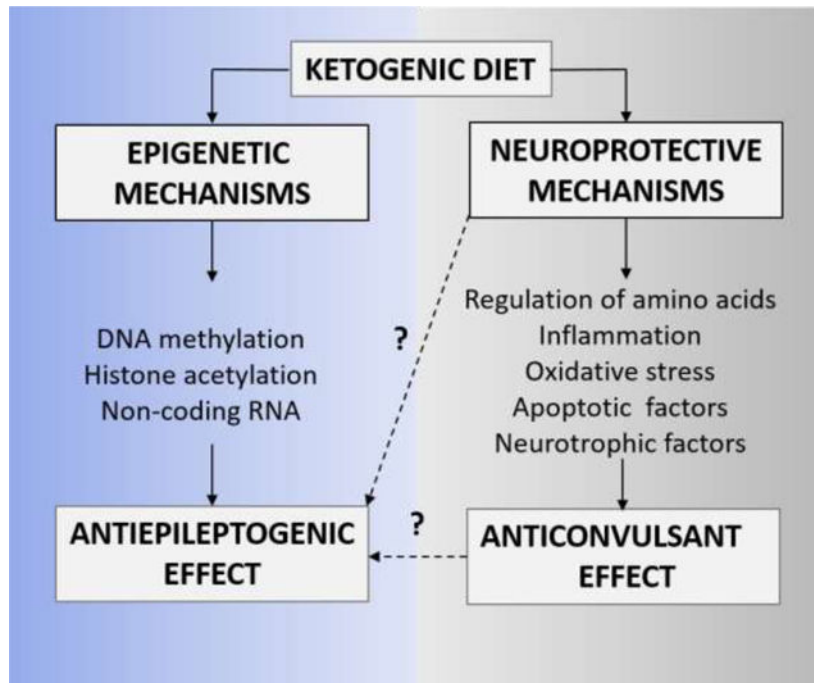
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## Keywords

ketogenic diet; epilepsy; epigenetics; neuroprotection; antiepileptogenesis

## 1. Introduction

Therapeutically, ketogenic diets (KD) are best known for their efficacy in stopping seizures. In addition to stopping seizures, multiple lines of evidence suggest that a KD can be antiepileptogenic. In this review, we highlight the mechanisms mediated by the KD which confer neuroprotection and antiepileptogenesis. The antiepileptogenic effects of the KD were first reported anecdotally in the 1920's (Wilder, 1921), and follow-up studies describe a consistent subset (10–20%) of patients that remain seizure-free even after discontinuation of the diet (Bergqvist et al., 2005; Caraballo et al., 2006; Coppola et al., 2010; DiMario and Holland, 2002; Hassan et al., 1999; Hemingway et al., 2001; Kang et al., 2007; Kossoff et al., 2010; Nordli Jr. et al., 2001; Panico et al., 2000; Sharma et al., 2009; Suo et al., 2013; Vining et al., 1998). The clinical investigation of antiepileptogenic mechanisms of KD ideally requires the implementation of KD therapy immediately after the initial diagnosis of epilepsy. Since KD therapy is often used as a last resort and only in highly refractory patients, obtaining a clear mechanistic understanding of the antiepileptogenic potential of the KD in a clinical setting is challenging. Given the promising and consistent subset of seizure-free cases following KD therapy, we rely on animal studies to unravel the antiepileptogenic mechanisms of the diet. In line with the clinical observations, experimental studies confirm the antiepileptogenic properties of the diet in various animal models: (1) kindling models (Hu et al., 2011; Jiang et al., 2012), (2) chemically-induced post status

epilepticus (SE) models (Muller-Schwarze et al., 1999; Su et al., 2000), and (3) spontaneous seizure models - EL mice (Todorova et al., 2000).

Detailed reviews of the proposed anti-seizure and disease-modifying mechanisms of the KD (D'Andrea Meira et al., 2019, Youngson et al., 2017, Boison, 2017; Masino and Rho, 2012) illustrate that a combination of several mechanisms likely contribute to potent seizure suppression including alterations in gut microbiome (Newell et al., 2016), regulation of kynurenine metabolism (Heischmann et al., 2018, arnowska et al., 2019) and rescued mitochondrial respiration and restored glucose metabolism (Kumar et al., 2016, Kim et al., 2015) and are not described in this review. The anti-seizure effects of KD is attributed to two key broader groups of mechanisms which are described by Elamin and Masino in this special issue (Elamin and Masino, 2020), and include (i) increase in free fatty acids, polyunsaturated fatty acids, chronic ketosis and the production of ketones such as beta-hydroxybutyrate (BHB), and (ii) reduced glucose mediated increases in adenosine and  $K_{ATP}$  channel activity (Lutas and Yellen, 2013; Masino et al., 2011a; Masino and Rho, 2012; Rho, 2015). While we have reasonable evidence of the anti-seizure effects of the KD therapy (Masino et al., 2011, Masino and Rho, 2012) but whether these mechanisms are implicated in epileptogenesis remain elusive. The antiepileptogenic effects of the KD is most commonly attributed to (i) adenosine metabolism and epigenetic mechanisms such as DNA methylation, histone acetylation and non-coding RNAs (ncRNA) and (ii) neuroprotective mechanisms such as regulation of neurotransmitters (GABA and glutamate) (Yudkoff et al., 2006, Yudkoff et al., 2008), inhibition of neuronal apoptosis (Gimenez-Cassina et al., 2012), increase in growth factors (Vizuete et al., 2013, Marosi et al., 2016) and regulation of inflammatory mediators (Dupuis et al., 2015). The distinction between mechanisms ascribed to the antiepileptogenic and antiseizure effects is not straightforward and an overlap is conceivable. Hence, in this review we specifically focus on KD-induced epigenetic and neuroprotective mechanisms which mediate long-lasting therapeutic outcomes even after discontinuation of the diet (Figure 1).

## 1. Epigenetic Mechanisms of Epileptogenesis

Epileptogenesis, most commonly triggered by injuries to the brain, is a battery of plastic changes that lead to the development of spontaneous recurrent seizure activity in a previously healthy brain (Klein et al., 2018; Pitkanen et al., 2009; Pitkanen and Lukasiuk, 2009; Wu et al., 2013). Although the underlying mechanisms remain elusive, neuroinflammation, neurodegeneration, and epigenetic changes, are well-accepted contributors to the progression of epileptogenesis (Klein et al., 2018; Pitkanen et al., 2009; Wu et al., 2013). Epigenetic modifications are highly plastic changes to the genome without alterations in the DNA sequence or genetic code. These epigenetic changes are extremely powerful since they can preserve short-lived cellular signals and/or changes in neuronal activity as long-lasting influence on gene expression (Henshall and Kobow, 2015; Qureshi and Mehler, 2014). An increasing number of studies report that epigenetic processes such as DNA methylation, histone acetylation, and ncRNA expression are significantly altered in the epigenome of an epileptic brain (Boison and Rho, 2020; Debski et al., 2016; Graff et al., 2011; Jaenisch and Bird, 2003; Kiefer, 2007; Sweatt, 2013). Since epigenetic modifications play a crucial role in the regulation of gene expression, these mechanisms can affect the

expression of several genes simultaneously and can represent risk factors for epilepsy. Further, unlike genetic mutations, epigenetic changes are potentially reversible and may constitute a novel target for therapeutic intervention. In this section, we highlight the emerging antiepileptogenic potential of epigenetic modulators, specifically those regulated by the KD (Figure 2).

## 2.1 DNA methylation regulated by adenosine

DNA methylation is the most prominently investigated epigenetic mechanism. The methylation of DNA is catalyzed by DNA methyltransferase (DNMT) and typically results in transcriptional repression of genes. Changes in global DNA methylation has been observed in epileptic hippocampus in both clinical and experimental settings. In human TLE samples from resected hippocampus, gene targets with both increased and decreased methylation were identified. Of note, 146 protein-coding genes exhibit altered DNA methylation in temporal lobe epilepsy hippocampus when compared to control of which approximately 80% of these gene promoters display hypermethylation, a common and prominent biomarker of sclerotic hippocampal tissue (Miller-Delaney et al., 2015). In rodent models of TLE, similar patterns of hypermethylation in the epileptic hippocampus have been demonstrated in kainic acid induced status epilepticus (KASE) models (Ryley Parrish et al., 2013; Williams-Karnesky et al., 2013a) and in pilocarpine induced status epilepticus models (Kobow et al., 2013; Lusardi et al., 2015). In line with this, adenosine was identified to be a key regulator of DNA methylation (Williams-Karnesky et al., 2013a). Adenosine has a mass effect on biochemical enzyme reactions and is an obligatory end-product of the S-adenosylmethionine (SAM) dependent transmethylation pathway, necessary for the transfer of methyl groups onto DNA (Boison et al., 2002; Williams-Karnesky et al., 2013). As predicted by this biochemical pathway, exogenous application of either adenosine or its complementary end product homocysteine inhibited the reaction and reduced DNA methylation, whereas addition of the methyl group donor SAM increased DNA methylation in the naive rodent brain (Williams-Karnesky et al., 2013a). In support of this, pharmacological augmentation of adenosine using 5-iodotubercidin (5-ITU), a pharmacological inhibitor of adenosine kinase (ADK) reduced hippocampal DNA methylation by 50%.

In an epileptic brain, the expression of the adenosine metabolizing enzyme ADK is upregulated particularly in astrocytes and causes adenosine deficiency in epileptogenic sclerotic tissue in a variety of rodent models of epilepsy (Gouder et al., 2004; Pignataro et al., 2008) as well as in human specimens resected from patients with temporal lobe epilepsy and hippocampal sclerosis (Li et al., 2008; Aronica et al., 2011). Hence, it is believed that lowered adenosine levels in the epileptic brain shift the equilibrium of the S-adenosylhomocysteine (SAH) hydrolase reaction away from the formation of SAH (Mandaviya et al., 2014), an inhibitor of DNA methyltransferase activity (James et al., 2002), thereby increasing the flux of DNA methylation reactions in the epileptic brain. Seizures resulting from the proconvulsant L-methionine-dl-sulfoximine, which increases the methylation flux by increasing the SAM/SAH ratio, can be blocked by adenosine and homocysteine (Gill and Schatz, 1985; Schatz et al., 1983; Sellinger et al., 1984). In the rat systemic KASE model, the direct ventricular administration of adenosine for 10 days

significantly reduced epilepsy disease progression, including the progressive increase of spontaneous convulsive seizures and additional mossy fiber sprouting, and restored global DNA methylation to control levels, lasting well after the conclusion of the adenosine delivery (Williams-Karnesky et al., 2013). These findings show that global DNA methylation levels are under the direct control of adenosine, and that disruption of adenosine homeostasis (due to ADK upregulation at the epileptogenic focus) affected DNA methylation levels and altered gene expression in the epileptic brain. The KD augments adenosine signaling and can affect epileptogenesis through adenosine receptor-independent mechanisms via the interference with the transmethylation pathway (Lusardi et al., 2015, Williams-Karnesky et al., 2013), in addition to the adenosine receptor-dependent mechanisms (Masino et al., 2011). In line with this, a study showed that KD, but not a conventional antiepileptic drug (valproic acid), suppressed kindling induced epileptogenesis, an effect that persisted even after a return to a standard lab diet, while the conventional antiepileptogenic drug, valproic acid attenuated only the seizures without blocking the epileptogenic process. These data demonstrate persistent effects of the KD that are not merely due to seizure suppression (Lusardi et al., 2015). When fed to rats following status epilepticus, the KD not only reduced spontaneous seizure development but also reduced DNA methylation levels both during diet administration and after a return to standard diet (Kobow et al., 2013; Lusardi et al., 2015). Though a direct link between the KD and DNA methylation levels must still be demonstrated, taken collectively, these studies indicate that the lasting effects of the KD may be conferred via adenosine regulation of the DNA methylome, supporting a key mechanism implicated in epilepsy and epileptogenesis.

## 2.2 Histone acetylation

Histones are important proteins that maintain the chromatin structure in eukaryotic cells and regulate gene expression. Histone modifications such as acetylation and deacetylation are essential parts of gene regulation and are mediated by the enzymes histone acetyltransferase and histone deacetylase (HDAC), respectively (Simeone et al., 2017a). Altered histone acetylation has been noted in epilepsy patients as well as in animal models of epilepsy and is thought to be associated with epileptogenesis (Boison and Rho, 2020; Hartman and Rho, 2014; Hauser et al., 2018). Epileptic seizures triggered the deacetylation of histone H4 at the GluR2 locus (Huang et al., 2002; Tsankova et al., 2004), which is associated with increased neuronal excitability and the initiation of epileptogenesis (Tanaka et al., 2000). Experimental findings support the idea that KD, as well as ketone bodies formed from fatty acid oxidation, such as BHB, acetoacetate (ACA), and acetone may have antiepileptogenic potential by inhibiting HDACs (Boison and Rho, 2020; Hartman and Rho, 2014; Hauser et al., 2018; Simeone et al., 2017b; Tanaka et al., 2000). Thus, inhibition of HDAC activity by chronic administration of butyrate retarded the development of limbic epileptogenesis and prevented epileptogenic mossy fiber axonal sprouting in a mouse hippocampal kindling model of TLE (Reddy et al., 2018). Another study used Tuberous Sclerosis Complex genetically modified mice (TSC2<sup>+/-</sup> mice), a mouse model with characteristic developmental deficits including cognitive defects, autism and epilepsy. This study showed that altered mTORC1 signaling led to aberrant hippocampal synaptic plasticity, which was prevented by the inhibition of HDAC using trichostatin A (Basu et al., 2019). These studies confirm that KD reverses

seizure-induced histone deacetylation primarily via the BHB-HDAC axis contributing to antiepileptogenesis.

### 2.3. Non-coding RNAs

The non-coding RNAs including short microRNA (miRNA) and long non-coding RNA (lncRNA), are capable of acting as epigenetic modulators, whereby they affect the protein levels of the target mRNAs without modifying the gene sequences. For instance, certain miRNAs such as miR-9, miR-124a, and miR-132 were identified to target NRSF/RE1-silencing transcription factor (REST), proteins with direct roles in epigenetics (Wu and Xie, 2006). Interestingly, each of these miRNAs were also altered in epilepsy (Jimenez-Mateos et al., 2011; Peng et al., 2013; Pichardo-Casas et al., 2012). A recent study showed global changes in miRNA expression in pediatric epilepsy patients after KD therapy (Olaso-González et al., 2018). However, many of these miRNAs were involved in antioxidant pathways, suggesting that KD-induced changes in miRNA expression might be involved in the prevention of oxidative stress and therefore be neuroprotective (Cannataro et al., 2019; Olaso-González et al., 2018). The array of miRNA changes induced by KD therapy, particularly those involved in epigenetic modifications, need to be further examined.

Currently, there is no direct evidence linking epigenetic mechanisms controlled by lncRNA in the therapeutic efficacy of KD, however research in other areas suggests that important roles will emerge and warrants further investigation. For instance, lncRNA Malat1 regulates dendritic spine density (Bernard et al., 2010) and loss of the lncRNA BC1 reduced convulsive thresholds (Wang et al., 2017; Zhong et al., 2009). Moreover, lncRNAs have been implicated in the regeneration of GABAergic neurons (Qureshi and Mehler, 2013). Also, a de novo mutation in an lncRNA (BX118339) was also recently implicated in a patient with West syndrome suggestive of key roles for these lncRNAs in epilepsy progression and prevention (Vandeweyer et al., 2012).

## 2. Neuroprotection

Neurodegeneration and the selective loss of certain neuron populations, in particular GABAergic interneurons, is a pathological hallmark of acquired epilepsies, and thought to be a driving factor for the development and progression of epilepsy. Several mechanisms of the KD have neuroprotective properties. KD induced neuroprotection may be one of the mechanisms underlying the antiepileptogenic properties of the diet. In addition to the epigenetic influence, changes in adenosine metabolism regulated by the KD are also neuroprotective. The neuroprotective effects of adenosine are mediated by the activation of pre- and postsynaptic adenosine A<sub>1</sub> receptors (Gouder et al., 2003, Sun et al., 2005). The adenosine receptor-mediated anticonvulsant mechanisms have been extensively reviewed previously and are therefore excluded from this review (Cunha et al., 2005, Masino et al., 2014, Boison et al., 2010, Boison et al., 2012). In this section we discuss the regulation of amino acids, cytokines, neurotrophic and apoptotic factors by KD in the context of antiepileptogenesis.



### 3.1 Regulation of amino acids

One of the major mechanisms for the neuroprotective effect of KD is based on the regulation of amino acid levels in the brain. Of particular interest is glutamate metabolism, the main excitatory transmitter in the central nervous system. The switch from glucose to ketone bodies as a fuel alters brain amino acid metabolism, by reducing transamination of glutamate to aspartate and favoring decarboxylation of glutamate to form GABA (Daikhin and Yudkoff, 1998; Erecinska et al., 1996; Yudkoff et al., 1997; Yudkoff et al., 2001). In addition, ketosis in astrocytes favors the reduction of extracellular glutamate. This is achieved by efficient removal of excitatory glutamate from the synaptic cleft and conversion to glutamine by the enhanced astrocyte-based glutamine synthetase pathway (Yudkoff et al., 2005). In a rat model of pentylenetetrazole (PTZ)-induced seizures, enhanced disposal of brain glutamate prevented seizures and conferred neuroprotection following a relatively brief (24 h) period of calorie restriction (Yudkoff et al., 2006). Further, during ketosis, less glutamate is metabolized and more glutamine becomes available for the purpose of GABA synthesis (Yudkoff et al., 2008).

### 3.2 Inflammation and oxidative stress

Inflammation plays a major role in the pathophysiology of epilepsy, particularly epileptogenesis (Arena et al., 2019; Martinc et al., 2012; Terrone et al., 2020). The KD is inherently anti-inflammatory in nature and some of the main mechanisms are described below.

KD therapy exerts anti-inflammatory activity independent of polyunsaturated fatty acids (Dupuis et al., 2015) and is mediated by inhibiting nuclear factor kappa-B (NF- $\kappa$ B) activation and NLRP3 (nucleotide-binding domain, leucine-rich-containing family, pyrin domain-containing-3) inflammasome activation (Pinto et al., 2018). Another possible mechanism is via the activation of transcription factor peroxisome proliferator activated receptors (PPAR) (Boison, 2017). PPAR $\alpha$  is activated by X-box binding protein 1 (XBP1), which is activated by hepatic serine/threonine-protein kinase/endoribonuclease inositol-requiring enzyme 1 (IRE1). It is noteworthy that IRE1 $\alpha$  regulates fasting-induced metabolic adaptive programs through the XBP1-PPAR $\alpha$  signaling axis (Shao et al., 2014). Decanoic acid, a fatty acid that is elevated in the plasma following medium chain triglyceride (MCT) ketogenic diet (Haidukewych, 1982), is known to exert anti-seizure activity in several *ex vivo* models of epilepsy, likely through the direct inhibition of AMPA receptors (Chang et al. 2016). In vitro experiments using SH-SY5Y neuronal cultures suggests that decanoic acid can increase the number of mitochondria by activation of PPAR $\gamma$  (Hughes et al. 2014) and might therefore mediate the anti-inflammatory and anti-oxidant properties of the KD. In line with this, GW9662, a PPAR $\gamma$  antagonist abrogated KD-induced seizure protection in Kv1.1 knockout mice, a spontaneously epileptic mouse strain responsive to KD therapy, whereas, a PPAR $\gamma$  agonist conferred seizure protection (Simeone et al., 2017a). These findings suggest that PPAR $\gamma$  may contribute to the anti-seizure effects of KD therapy.

Recent studies show that dampening of inflammation is anti-epileptogenic. For instance, the use of the non-steroidal anti-inflammatory drug etoricoxib, a selective COX-2 inhibitor, reduced the development of absence seizures in WAG/Rij rats, a recognized animal model of

absence epilepsy and epileptogenesis (Citraro et al. 2015). Similarly, a drug cocktail targeting multiple inflammatory signaling pathways including IL-1 $\alpha$  and COX-2 reduced the development of spontaneous recurrent seizures and limited the extent of mossy fiber sprouting in a lithium-pilocarpine model status epilepticus in rats (Kwon et al. 2013). However, whether anti-inflammatory property of the KD confers antiepileptogenesis needs further investigation.

### 3.3 Oxidative stress

KD is known to decrease oxidative stress and improve mitochondrial respiratory complex activity (Greco et al. 2016; Sullivan et al. 2004). In particular, the KD exerts neuroprotective effects by diminishing ROS production through activation of mitochondrial uncoupling protein (Sullivan et al. 2004). The anti-oxidant properties of the KD are mainly mediated by BHB, the most studied ketone body. BHB reduces the production of reactive oxygen species by improving mitochondrial respiration. More specifically, it stimulates the cellular endogenous antioxidant system by activation of nuclear factor erythroid-derived 2-related factor 2 (Nrf2). The activation of Nrf2 modulates the ratio between the oxidized and reduced forms of nicotinamide adenine dinucleotide (NAD<sup>+</sup>/NADH) and increases the efficiency of electron transport chain through the expression of uncoupling proteins (Pinto et al., 2018). The ability of the KD to influence the Nrf2 pathway is of particular interest owing to its neuroprotective role in epilepsy (Mazzuferi et al. 2013; Pauletti et al. 2019; Shekh-Ahmad et al. 2019). The chronic Nrf2 overexpression attained by gene therapy after epilepsy onset reduces spontaneous recurrent seizures evoked by pilocarpine injection in mice (Mazzuferi et al. 2013). The activation of Nrf2 by the KD also mediates glutathione biosynthesis, enhances mitochondrial antioxidant status, and protects mitochondrial DNA from oxidant-induced damage (Milder et al., 2010; Jarrett et al. 2008). Whether activation of the Nrf2 pathway, and thus glutathione biosynthesis, contributes to the anticonvulsant or epileptogenic effects of the KD remains to be determined. The KD also mediates cytochrome P450 4A-dependent  $\omega$ - and  $\omega$ -1-hydroxylation of reactive lipid species, a novel mechanism that might contribute to the anti-inflammatory properties of KD therapy (Jin et al. 2014).

### 3.3 Inhibition of apoptotic factors

The KD prevents neuronal apoptosis in animal models of traumatic brain injury (TBI) (Hu et al., 2009a; Hu et al., 2009b). A study noted a significant reduction in cytochrome c release and cellular apoptosis following TBI (Hu et al., 2009a). The same group showed that KD administration also reduces TBI-induced brain edema and cellular apoptosis which correlated with the levels of Bax, a well-known mediator of apoptosis (Hu et al., 2009b). Another mediator of apoptosis of particular interest is BAD because of its alternative role in glucose metabolism. The genetic modification of BAD designed to reduce glucose metabolism produces an increase in the activity of neuronal K<sub>ATP</sub> channels and resistance to seizures *in vivo* (Gimenez-Cassina et al., 2012). However, these effects involve phosphoregulation of BAD which are independent of its apoptotic function (Gimenez-Cassina et al., 2012). These studies suggest that in addition to reducing inflammation, KD might prevent neuronal apoptosis and neurodegeneration.



### 3.4 Neurotrophic factors

**3.4.1 Brain derived neurotrophic factor (BDNF)**—Brain derived neurotrophic factor (BDNF) is associated with AMP kinase and is thought to be implicated in epileptogenesis (Heinrich et al., 2011; Lahtinen et al., 2004). Interestingly, KD causes a circadian shift in the expression of BDNF in brain and liver (Genzer et al., 2016). Another study confirmed that the KD reduced BDNF levels in striatum, but not hippocampus of healthy rats (Vizuete et al., 2013). Further, BHB induces the expression of BDNF in the cerebral cortex as a possible neuroprotective mechanism against excitotoxicity and oxidative stress (Marosi et al., 2016). Although the significance of KD-mediated alteration in BDNF expression is not clear, it is conceivable that it may play a key role in the neuroprotective effect of the KD in subjects with epilepsy.

**3.4.2 Insulin-like growth factor (IGF1)**—Another neuroprotective mechanism mediated by the KD is thought to be mediated by insulin-like growth factor (IGF) and its associated signaling mechanisms. Since IGF1 is a key regulator of glucose transport and utilization in the developing murine brain (Cheng et al., 2000), it is possible that KD may enhance IGF1 activity, thereby improving energy utilization and confer protection from seizures. Juvenile rats fed with calorie restricted KD for 7 days demonstrate an increase in IGF1 receptor (IGF1R) and glutamate transporter (GLUT1) gene expression (Cheng et al., 2003). While GLUT1 deficiency resulted in a seizure disorder that is highly responsive to KD (Nordli Jr. et al., 2001), its overexpression protected against seizure-induced neuron loss (Gupta et al., 2001). Taken together, IGF1, IGF1R and GLUT1 might be implicated in the beneficial mechanisms conferred by KD.

**3.4.3 Fibroblast Growth Factor (FGF21)**—In addition to carbohydrate restriction, the KD also restricts protein levels. Protein restriction is known to enhance the production of the endocrine signal fibroblast growth factor 21 (FGF21) which in turn reduces blood glucose levels (Laeger et al., 2014) and may supplement to the neuroprotective effects of KD.

### 3. Consequences of epigenetics and neuroprotection for epilepsy prevention

The KD is a highly effective alternative treatment option for patients with intractable epilepsy. Although the anti-seizure effects of the diet are understood to a certain extent, our understanding of the neuroprotective and antiepileptogenic potential of the KD is still in its infancy. The studies presented in this review article suggests that the KD could play a neuroprotective role by modulating neurotransmitter levels, reducing inflammation, decreasing oxidative stress, maintaining energy metabolism, in addition to the regulation of epigenetic mechanisms. These mechanisms together might confer the diet's antiepileptogenic effect, namely, seizure free conditions seen in patients even after cessation of the diet (Kossoff and Rho, 2009; Masino and Rho, 2012). An interesting observation in these studies is the variable nature of the number of responders to the KD. Hence, understanding the underlying mechanisms, particularly an alteration in the epigenetic markers or "epigenetic signature" (Hwang et al., 2013) might help differentiate the reason for complete success (seizure-free), partial success (reduction in seizure frequency) and no success in patients undergoing KD therapy. It is important that future studies take into

consideration these important mechanisms and carefully design their investigations in order to shed light on the antiepileptogenic effects of the KD.

#### 4. Conclusion

With up to 35% of persons with epilepsy considered to be refractory to treatment, and no therapies available that prevent epilepsy or its progression, the novel epigenetic functions of the KD therapy discussed here might be of significant therapeutic value. In addition to relieving the seizure burden in patients with epilepsy, it is also capable of modifying the development of epilepsy with its sequelae of drug resistance and the development of epilepsy-associated comorbidities. These aspects make the KD a powerful adjunct therapy to existing pharmacologic and surgical approaches to seizure relief. Renewed interest in the KD has led to refinements in diet formulation and administration (Kossoff et al., 2009; Wibisono et al., 2015) including an improved understanding of the potential positive and negative interactions with conventional antiepileptic drugs (Morrison et al., 2009; van der Louw et al., 2015), improving compliance and seizure suppression rates. However, the diet requires close monitoring by physicians and dietitians, and seemingly minor deviations from the ketogenic regimen can negate its beneficial effects. While a “ketogenic diet in a pill” may be unlikely, ongoing studies to understand the biochemical mechanisms of the KD are an essential step in the continued refinement of anti-seizure and antiepileptogenic therapies. The neuroprotective and epigenetic mechanisms of the KD are varied, and diet efficacy may rely on their combined influences. Among the metabolites regulated by the KD, adenosine has both a direct relevance to seizure suppression by A1R activation and an indirect influence on epilepsy and epileptogenesis via regulation of DNA methylation. A clearer understanding of how KD therapy affects adenosine metabolism and its epigenetic sequelae may help us understand adenosine dysregulation in epilepsy, and may guide the development of therapies designed to directly restore adenosine homeostasis, with the goal of developing a novel class of antiepileptogenic drugs.

#### Abbreviations

<b>5-ITU</b>	5-iodotubercidin
<b>A1R</b>	Adenosine receptor
<b>ACA</b>	Acetoacetate
<b>ADK</b>	Adenosine kinase
<b>BDNF</b>	Brain derived neurotrophic factor
<b>BHB</b>	beta-hydroxybutyrate
<b>DNMT</b>	DNA methyltransferase
<b>FGF</b>	Fibroblast growth factor
<b>GLUT1</b>	Glutamate transporter 1
<b>HDAC</b>	Histone deacetylase

<b>IGF</b>	Insulin-like growth factor
<b>IGF1R</b>	Insulin-like growth factor 1 receptor
<b>IRE1</b>	Inositol-requiring enzyme 1
<b>KASE</b>	Kainic acid induced status epilepticus
<b>KD</b>	Ketogenic diet
<b>lncRNA</b>	Long non-coding RNA
<b>miRNA</b>	microRNA
<b>ncRNA</b>	Noncoding RNA
<b>NF-<math>\kappa</math>B</b>	Nuclear factor –kappa B
<b>NLRP3</b>	Nucleotide-binding domain, leucine-rich-containing family, pyrin domain-containing-3
<b>Nrf2</b>	Nuclear factor erythroid-derived 2-related factor 2
<b>PPAR</b>	Peroxisome proliferator activated receptors
<b>PTZ</b>	Pentylentetrazole
<b>REST</b>	RE1-silencing transcription factor
<b>SAH</b>	S-adenosylhomocysteine
<b>SAM</b>	S-adenosylmethionine
<b>TBI</b>	Traumatic brain injury
<b>TLE</b>	Temporal lobe epilepsy
<b>XBPI</b>	X-box binding protein 1

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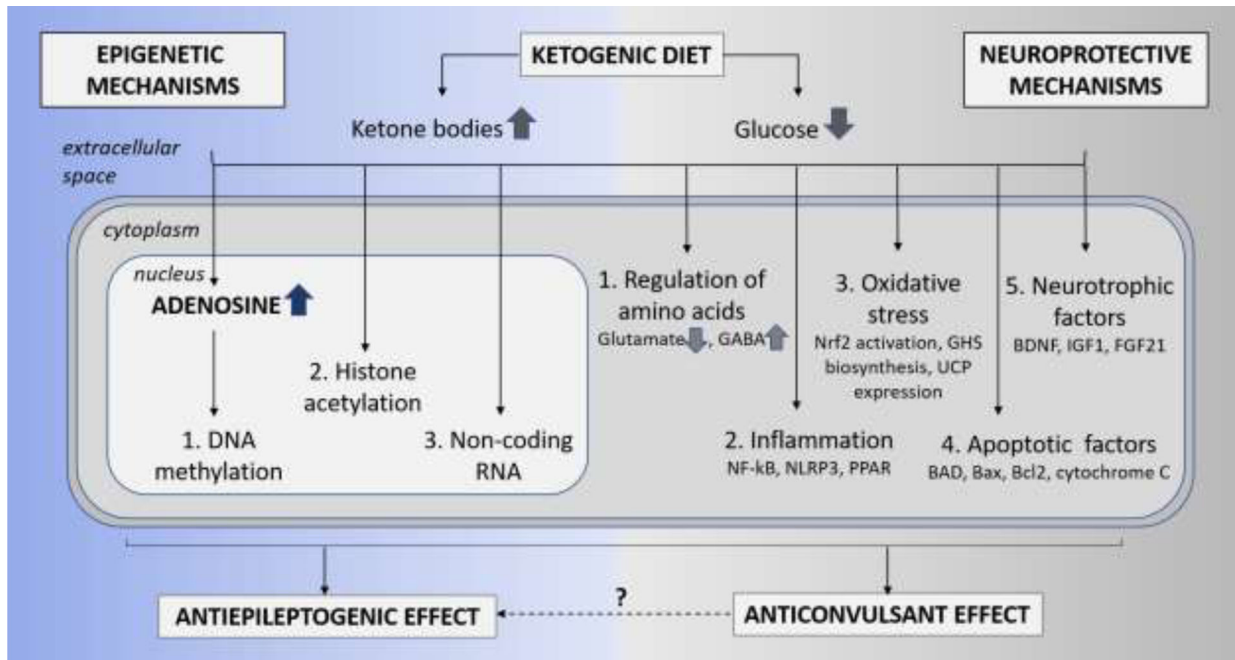
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### Highlights

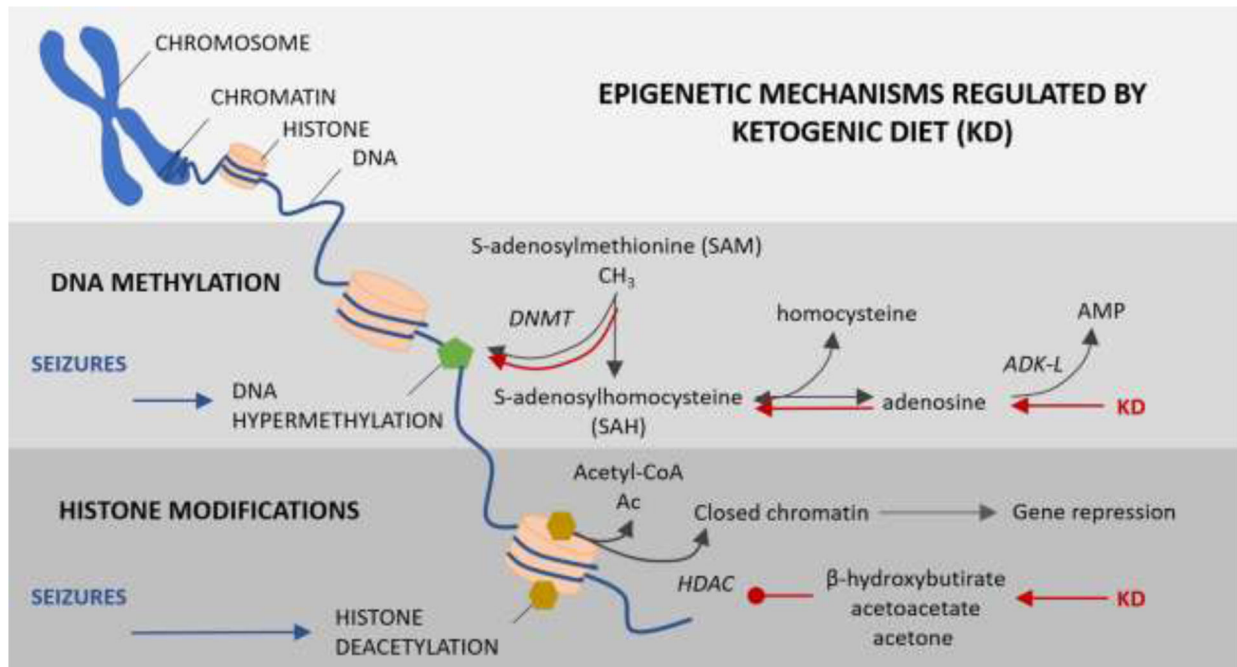
- Ketogenic diet (KD) is beneficial in patients with medically refractory epilepsy.
- Antiseizure versus antiepileptogenic mechanisms of KD need to be distinguished.
- Antiepileptogenic effects are attributed to neuroprotection and epigenetic mechanisms.
- KD alters DNA methylation and histone acetylation, and thereby promotes antiepileptogenesis.



**Figure 1: Epigenetic and Neuroprotective mechanisms of KD therapy.**

The schematic is a simplistic representation of the two broader mechanisms thought to play a critical role in the neuroprotective and antiepileptogenesis functions of the KD therapy. The epigenetic mechanisms include restoration of (1) DNA methylation, (2) histone acetylation, and (3) non-coding RNA. Whereas, the neuroprotective mechanisms include (1) regulation of amino acids resulting in reduced glutamate and increased GABA neurotransmitters, (2) reduction in inflammation and oxidative stress via activation of mediators such as NF-κB, NLRP3 and PPAR, (3) reduction in oxidative stress via activation of Nrf2 pathway, glutathione (GHS) biosynthesis and expression of uncoupling proteins (UCPs), (4) inhibition of apoptotic factors such as BAD, Bax, and cytochrome C, and (5) release of neurotrophic factors such as BDNF and FGF21.





**Figure 2: Epigenetic mechanisms regulated by ketogenic diet.**

The diagram represents the two major epigenetic alterations noted in patients and animal models of temporal lobe epilepsy and the mechanisms regulated by KD. DNA methylation: Global DNA hypermethylation induced by seizures is restored by KD via adenosine augmentation, shift in the SAH and SAM homeostasis and aiding DNA methylation by DNA methyltransferases (DNMT). Histone acetylation: Seizure-induced histone deacetylation is catalyzed by histone deacetylase (HDACs) resulting in closed chromatin structure and transcriptional gene repression. KD-induced increase in ketone bodies such as  $\beta$ -hydroxybutyrate, acetoacetate and acetone reverses histone deacetylation by inhibiting HDACs.