

# GSTP1 expression predicts poor pathological complete response to neoadjuvant chemotherapy in ER-negative breast cancer

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The purpose of the present study was to investigate the association of glutathione S-transferase P1 (GSTP1) expression with resistance to neoadjuvant paclitaxel followed by 5-fluorouracil/epirubicin/cyclophosphamide (P-FEC) in human breast cancers. The relationship of GSTP1 expression and GSTP1 promoter hypermethylation with intrinsic subtypes was also investigated. In this study, primary breast cancer patients ( $n = 123$ , stage II–III) treated with neoadjuvant P-FEC were analyzed. Tumor samples were obtained by vacuum-assisted core biopsy before P-FEC. GSTP1 expression was determined using immunohistochemistry, GSTP1 promoter methylation index (MI) using bisulfite methylation assay and intrinsic subtypes using DNA microarray. The pathological complete response (pCR) rate was significantly higher in GSTP1-negative tumors (80.0%) than GSTP1-positive tumors (30.6%) ( $P = 0.009$ ) among estrogen receptor (ER)-negative tumors but not among ER-positive tumors ( $P = 0.267$ ). Multivariate analysis showed that GSTP1 was the only predictive factor for pCR ( $P = 0.013$ ) among ER-negative tumors. Luminal A, luminal B and HER2-enriched tumors showed a significantly lower GSTP1 positivity than basal-like tumors ( $P = 0.002$ ,  $P < 0.001$  and  $P = 0.009$ , respectively), while luminal A, luminal B and HER2-enriched tumors showed a higher GSTP1 MI than basal-like tumors ( $P = 0.076$ ,  $P < 0.001$  and  $P < 0.001$ , respectively). In conclusion, these results suggest the possibility that GSTP1 expression can predict pathological response to P-FEC in ER-negative tumors but not in ER-positive tumors. Additionally, GSTP1 promoter hypermethylation might be implicated more importantly in the pathogenesis of luminal A, luminal B and HER2-enriched tumors than basal-like tumors. (*Cancer Sci* 2012; 103: 913–920)

Neoadjuvant chemotherapy (NAC) for primary breast cancer patients is known to enhance the operability of patients with advanced tumors previously considered inoperable, as well as making breast-conserving surgery more feasible for patients for whom such surgery was previously not feasible due to large tumor size. In addition, it is well established that patients who show a pathological complete response (pCR) to NAC can have a better prognosis than those who do not,<sup>(1–3)</sup> so the response to NAC can provide valuable information regarding patient prognosis. These advantages of NAC have led to its widespread use including recently for a growing number of breast cancer patients. However, pCR rates for NAC of only 20–30% of patients are still rather low.<sup>(4)</sup> Because adverse effects of various degrees of severity are seen in virtually all patients, it seems to be very important to develop predictive factors for the response to NAC to avoid the unnecessary use of NAC for patients who are unlikely to derive benefits from such therapy.

Among predictive factors, estrogen receptor (ER), progesterone receptor (PR), HER2, histological grade (HG) and Ki-67 have been most extensively studied and significant associations of ER negativity, PR negativity, HER2 amplification, high histological grade or high Ki-67 labeling index (LI) with high pCR rates have been reported.<sup>(5,6)</sup> In addition, intrinsic subtypes of breast tumors classified originally by molecular profiling and later with a simpler method (immunohistochemistry using various markers) have recently been shown to be associated with pCR.<sup>(7,8)</sup> Moreover, identification of a few multi-gene classifiers for prediction of pCR based on DNA microarray analysis has been reported.<sup>(9,10)</sup> However, the accuracy of these predictive factors and multi-gene classifiers is still not satisfactory so that more accurate and clinically useful predictive factors need to be developed.

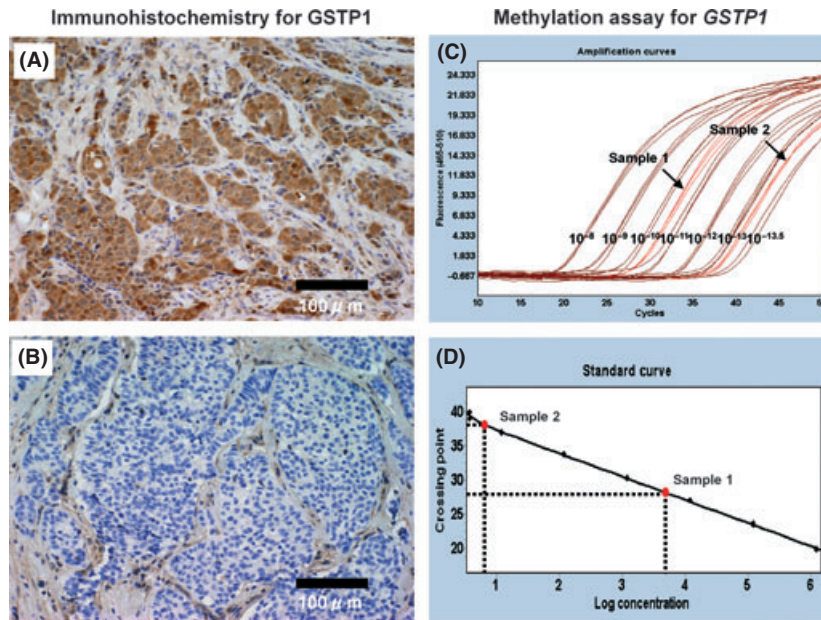
Glutathione S-transferase P1 (GSTP1), which belongs to phase two metabolic enzymes, is instrumental in the detoxification of toxic substances and anticancer drugs by conjugating them with glutathione.<sup>(11)</sup> Moreover, GSTP1 reportedly inhibits the chemotherapy-induced apoptosis by its direct interaction with the C-terminal of JNK.<sup>(12,13)</sup> GSTP1 expression in tumor cells can thus be expected to be associated with resistance to chemotherapy. In fact, several *in vitro* studies using various human cancer cell lines have indicated that GSTP1 expression is associated with resistance to chemotherapy.<sup>(14,15)</sup> As for human breast cancers, GSTP1 expression was found to be associated with resistance to 5-fluorouracil (5-FU), doxorubicin and mitomycin C,<sup>(16)</sup> as well as to paclitaxel and docetaxel.<sup>(17)</sup> However, conflicting results have also been reported,<sup>(18,19)</sup> so that the relationship between GSTP1 expression and response to chemotherapy remains to be ascertained. Furthermore, even though the standard neoadjuvant chemotherapeutic regimen currently consists of a sequential taxane and anthracycline-based regimen,<sup>(5)</sup> the predictive value of GSTP1 expression for this standard regimen is yet to be studied.

In the present study, we investigated the association of GSTP1 expression with response to neoadjuvant sequential paclitaxel and anthracycline-based chemotherapy. In addition, we also investigated the association of GSTP1 promoter hypermethylation with GSTP1 protein expression according to intrinsic subtypes since the former is reportedly associated with GSTP1 protein expression<sup>(20)</sup> and intrinsic subtypes.<sup>(21)</sup>

## Materials and methods

**Patients and tumor samples.** Primary breast cancer patients ( $n = 123$ , T1–4b N0–1 M0) who were consecutively recruited

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**Fig. 1.** Immunohistochemical staining and methylation assay for glutathione S-transferase P1 (*GSTP1*). Representative immunohistochemical results for *GSTP1* are shown in (A) positive staining and (B) negative staining. Representative results of a real-time PCR assay for *GSTP1* promoter hypermethylation are shown in (C) amplification curves for two samples and seven standards (diluted at  $10^{-8}$ ,  $10^{-9}$ ,  $10^{-10}$ ,  $10^{-11}$ ,  $10^{-12}$ ,  $10^{-13}$  and  $10^{-13.5}$ ) and in (D) the standard curve for calculation of the copy number.

**Table 1. Association of glutathione S-transferase P1 (*GSTP1*) expression with clinicopathological characteristics of breast tumors**

GSTP1 expression	Total	GSTP1 immunohistochemistry		P value
		Positive	Negative	
<b>Menopausal status</b>				
Pre	57	27	30	0.965
Post	66	31	35	
<b>Tumor size</b>				
T1	7	5	2	0.242
T2	93	42	51	
T3	18	7	11	
T4	5	4	1	
<b>Nodal status</b>				
Positive	88	44	44	0.316
Negative	35	14	21	
<b>Histological grade</b>				
1	19	9	10	0.022
2	81	32	49	
3	22	16	6	
Unknown	1	1	0	
<b>ER</b>				
Positive	77	22	55	<0.001
Negative	46	36	10	
<b>PR</b>				
Positive	47	14	33	0.002
Negative	76	44	32	
<b>HER2</b>				
Positive	35	19	16	0.318
Negative	88	39	49	
<b>Ki-67</b>				
Positive	70	35	35	0.386
Negative	50	21	29	
Unknown	3	2	1	

ER, estrogen receptor; PR, progesterone receptor.

for the present study had been treated with NAC consisting of paclitaxel ( $80 \text{ mg/m}^2$ ) weekly for 12 cycles followed by 5-FU ( $500 \text{ mg/m}^2$ ), epirubicin ( $75 \text{ mg/m}^2$ ) and cyclophosphamide ( $500 \text{ mg/m}^2$ ) every 3 weeks for four cycles (paclitaxel followed by 5-fluorouracil/epirubicin/cyclophosphamide [P-FEC]) at Osaka University Hospital between 2004 and 2010. The NAC was indicated for stage IIA–IIIB breast cancer patients. Prior to NAC, every patient underwent vacuum-assisted core biopsy of tumors (Mammotome 8G; Ethicon Endosurgery, Johnson & Johnson, Cincinnati, OH, USA) under ultrasonographic guidance. The tumor samples obtained were then subjected to histological examination and DNA and RNA extraction. Tumor samples for histological examination were fixed in 10% buffered formaldehyde and those for extraction of DNA and RNA were snap frozen in liquid nitrogen and kept at  $-80^\circ\text{C}$  until use. Inclusion of tumor cells in the biopsy samples for extraction of DNA and RNA was estimated using histological confirmation of tumor cells in the adjacent biopsy samples. The present study was approved by the Ethics Review Committee at Osaka University Hospital (Osaka, Japan) and informed consent was obtained from each patient before the core biopsy of tumors.

**Evaluation of response to chemotherapy.** Clinical response to P-FEC was evaluated using magnetic resonance imaging (MRI), which was performed three times: before NAC, after paclitaxel and after P-FEC. Tumor size was determined as tumor length  $\times$  width ( $\text{cm}^2$ ). The reduction rate was calculated with the following formula: Reduction rate after chemotherapy (paclitaxel or P-FEC) (%) =  $100 \times (\text{tumor size before chemotherapy} - \text{tumor size after chemotherapy}) / \text{tumor size before chemotherapy}$ . After NAC, all patients underwent breast-conserving surgery or mastectomy. Pathological response to NAC was evaluated using histological examination of the surgical specimens, which were sliced at 5 mm intervals. Pathological complete response was defined as no evidence of residual invasive cancer in both breast and axilla.

**Immunohistological assay.** Glutathione S-transferase P1 expression in the biopsy samples was examined using

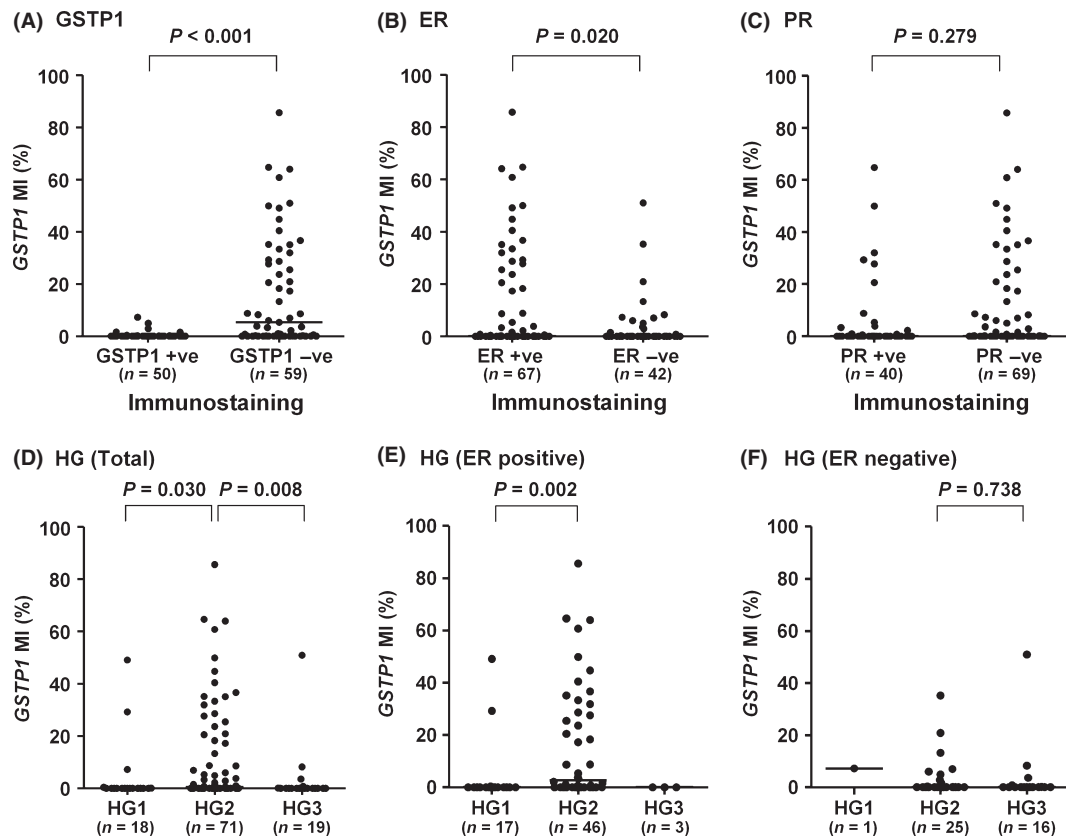
immunohistochemistry with rabbit anti-GST-Pi polyclonal antibody (Medical & Biological Laboratories Co., Ltd, Nagoya, Japan) at a dilution of 1:1000 according to the method previously described for ER, PR and Ki-67 with a slight modification, in that antigen retrieval was accomplished by incubating at 98°C in citrate buffer (pH 6.0) for 40 min.<sup>(22,23)</sup> Percentage of Ki-67-positive tumor cells (Ki-67 labeling index [Ki-67 LI]) was determined using WinROOF (Mitani, Tokyo, Japan) for counting the nuclear-stained tumor cells,<sup>(24)</sup> and percentage of GSTP1-positive tumor cells (GSTP1 labeling index [GSTP1 LI]) was determined by manually counting the cytoplasmic-stained tumor cells. GSTP1 was classified as positive when 10% or more of tumor cells were stained, because this cut-off value was often used in previous reports<sup>(17,25)</sup> and considered to be suitable for representing the biology of GSTP1-positive tumors. Cut-off values for ER, PR and Ki-67 were 10%, 10% and 20%, respectively. HER2 amplification was determined by means of fluorescence *in situ* hybridization (FISH) as previously described.<sup>(26)</sup> For FISH scoring, a tumor was considered to be HER2 amplified when the FISH ratio was  $\geq 2.0$ . The histological grade (HG) was determined according to the Scarff–Bloom–Richardson grading system.<sup>(27)</sup>

**GSTP1 promoter methylation.** DNA extraction<sup>(26)</sup> and DNA bisulfite conversion<sup>(28)</sup> were done according to the manufacturer's protocol as previously described. The methylation status of the *GSTP1* DNA promoter region was evaluated by using a real-time methylation-specific polymerase chain reaction (MSP) according to the previously described method.<sup>(28)</sup> The primers and probe sets used for methylated *GSTP1* and for unmethylated *GSTP1* are shown in Supporting Information Table S1 and conditions for MSP are shown in Table S2.

The standard curve was constructed for each run to calculate by using standard oligonucleotides as templates, the copy number of methylated and unmethylated DNA. The sequence of standard oligonucleotides for methylated and unmethylated assays of the *GSTP1* promoter region is shown in Table S3. Epitect Control DNA Set (Qiagen, Valencia, CA, USA) was used as both positive and negative controls for methylated alleles. Each sample was assayed in triplicate. When at least one triplicate assay was below the detection limit, the sample was defined as negative. The methylation index (MI) was calculated as follows:  $MI (\%) = 100 \times \text{methylated } GSTP1 \text{ copy numbers} / (\text{methylated} + \text{unmethylated } GSTP1 \text{ copy numbers})$ .

**Intrinsic subtypes determined by DNA microarray.** RNA was extracted by means of Trizol (Invitrogen, Carlsbad, CA, USA) from tumor biopsy samples. Gene expression analysis using a DNA microarray (Human Genome U133 Plus 2.0 Array; Affymetrics, Santa Clara, CA, USA) was done according to the method previously described<sup>(9)</sup> and classification of intrinsic subtypes (luminal A, luminal B, HER2-enriched, basal-like and normal breast-like) was done according to a PAM50 method reported by Parker *et al.*<sup>(29)</sup> The microarray data is available online at the Gene Expression Omnibus website<sup>(30)</sup> with accession number GSE32646.

**Statistical analysis.** The SPSS software package version 11.0.1 (SPSS Inc., Chicago, IL, USA) was used for statistical analyses. Association between the various parameters was assessed using Chi-squared test or Fisher's exact test. Univariate and multivariate analysis (logistic regression model) were done to assess the association of the various parameters with pCR. Differences in *GSTP1* MI and tumor reduction rates were



**Fig. 2.** Glutathione S-transferase P1 (*GSTP1*) methylation index according to *GSTP1*, estrogen receptor (ER), progesterone receptor (PR) and histological grade. Comparison of *GSTP1* methylation index (MI) between (A) *GSTP1*-positive and *GSTP1*-negative tumors, (B) ER-positive and ER-negative tumors, (C) PR-positive and PR-negative tumors, (D) histological grade 2 (HG2) and HG3 tumors in total tumors, (E) HG1 and HG2 tumors in ER-positive tumors and (F) HG2 and HG3 tumors in ER-negative tumors. –ve, negative; +ve, positive; bars, median.

evaluated using Mann–Whitney’s *U*-test for two groups or the Kruskal–Wallis test for more than two groups. Paired *t*-test and McNemar’s test were used for comparison of MI and positivity of GSTP1 in matched-pair tumor samples before and after NAC, respectively. All statistical analyses were two-sided and *P* values < 0.050 were considered to be statistically significant.

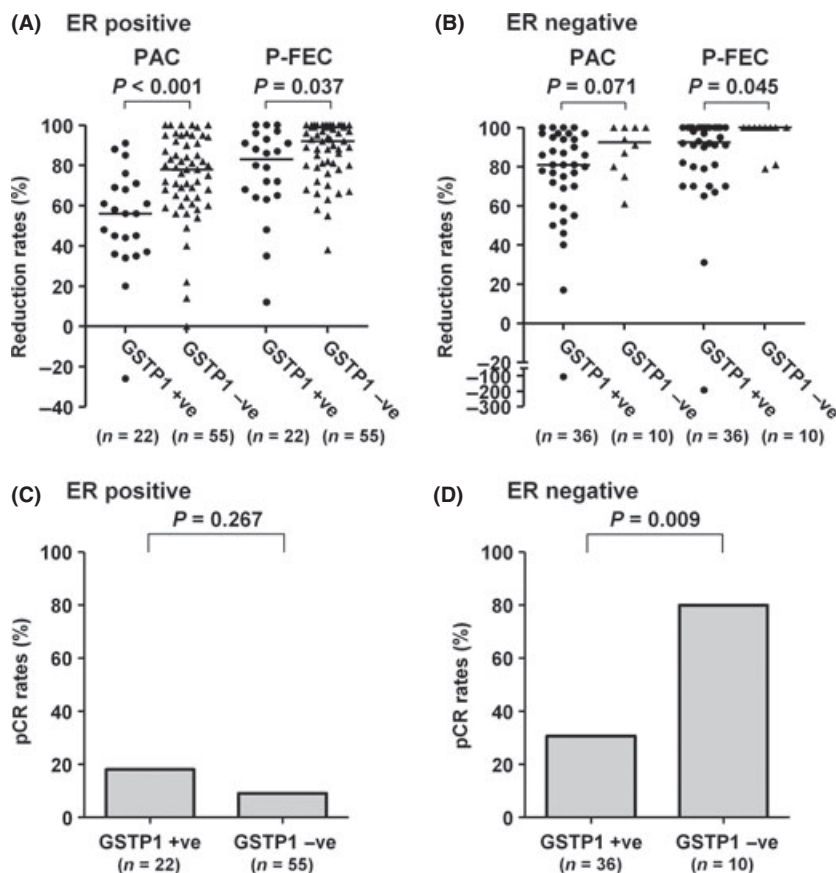
## Results

**Relationship of GSTP1 expression or GSTP1 promoter hypermethylation with clinicopathological parameters.** GSTP1 protein expression was examined immunohistochemically in 123 breast tumors. Representative results of immunohistochemical staining are shown in Figure 1A,B. First, we investigated the association of GSTP1 expression among total breast tumors with clinicopathological characteristics and found that GSTP1-positive tumors were significantly more likely to be ER negative ( $P < 0.001$ ) and PR negative ( $P = 0.002$ , Table 1). The ER-negative tumors showed a significantly higher GSTP1 LI than ER-positive tumors ( $P < 0.001$ , Fig. S1). In addition, GSTP1 positivity significantly varied according to histological grade (HG) ( $P = 0.022$ ), that is, HG2 tumors showed a lower positivity (32/81, 39.5%) than HG1 tumors (9/19, 47.4%) and HG3 tumors (16/22, 72.7%) (Table 1).

Next, the relationships of *GSTP1* MI with various parameters were examined in 109 of the 123 breast tumors. Representative results of a real-time PCR assay for *GSTP1* promoter

hypermethylation are shown in Figure 1C,D. GSTP1-positive tumors ( $n = 50$ ) showed a significantly lower *GSTP1* MI than GSTP1-negative tumors ( $n = 59$ ) ( $P < 0.001$ , Fig. 2A), while ER-positive tumors ( $n = 67$ ) showed a significantly higher *GSTP1* MI than ER-negative tumors ( $n = 42$ ) ( $P = 0.020$ , Fig. 2B). There was no significant difference in *GSTP1* MI between PR-positive ( $n = 40$ ) and PR-negative ( $n = 69$ ) tumors ( $P = 0.279$ , Fig. 2C). HG2 tumors ( $n = 71$ ) showed a significantly higher *GSTP1* MI than HG1 tumors ( $n = 18$ ) ( $P = 0.030$ ) and HG3 tumors ( $n = 19$ ) ( $P = 0.008$ , Fig. 2D). The subset analysis according to ER status showed that in ER-positive tumors *GSTP1* MI was significantly higher in HG2 tumors ( $n = 46$ ) than HG1 tumors ( $n = 17$ ) ( $P = 0.002$ , Fig. 2E), and that in ER-negative tumors there was no significant difference in *GSTP1* MI between HG2 ( $n = 25$ ) and HG3 tumors ( $n = 16$ ) ( $P = 0.738$ , Fig. 2F). In ER-positive tumors, HG2 tumors (10/53, 18.9%) showed a tendency ( $P = 0.057$ ) toward lower GSTP1 positivity than HG1 tumors (8/18, 44.4%) (Table S4). Relationships between *GSTP1* MI and other clinicopathological characteristics are shown in Figures S2–S4.

**GSTP1 expression and response to paclitaxel or P-FEC.** Clinical response to paclitaxel or P-FEC was evaluated in terms of reduction rates in tumor size as determined using MRI. Because GSTP1 expression is significantly associated with ER status, which is a major determinant for response to chemotherapy, ER-positive ( $n = 77$ ) and ER-negative ( $n = 46$ ) tumors were subjected to further analysis, separately. As for the response to



**Fig. 3.** Clinical and pathological response to paclitaxel or P-FEC. Comparison of clinical response to paclitaxel or P-FEC between glutathione S-transferase P1 (GSTP1)-positive and GSTP1-negative tumors in (A) estrogen receptor (ER)-positive tumors and (B) ER-negative tumors. Pathological response to paclitaxel or P-FEC was also compared between GSTP1-positive and GSTP1-negative tumors in (C) ER-positive tumors and (D) ER-negative tumors. PAC, paclitaxel; P-FEC, paclitaxel followed by combined 5-fluorouracil/epirubicin/cyclophosphamide; pCR, pathological complete response; -ve, negative; +ve, positive; bars, median.

paclitaxel, GSTP1 positivity was significantly associated with a poor response in ER-positive tumors ( $P < 0.001$ , Fig. 3A) and showed a tendency towards a poor response in ER-negative tumors ( $P = 0.071$ , Fig. 3B). As for the response to P-FEC, GSTP1 positivity was significantly associated with a poor response in both ER-positive tumors ( $P = 0.037$ , Fig. 3A) and ER-negative tumors ( $P = 0.045$ , Fig. 3B).

Pathological response to P-FEC was evaluated using histological examination of the surgical specimens after P-FEC. The ER-negative tumors showed a significant difference in pCR rate between GSTP1-positive (11/36, 30.6%) and GSTP1-negative (8/10, 80.0%) tumors ( $P = 0.009$ , Fig. 3D), but ER-positive tumors did not ( $P = 0.267$ , Fig. 3C). Association of the various parameters with pCR according to ER status is shown in Table 2. No other parameters were found to be significant except for GSTP1 expression in ER-negative tumors ( $P = 0.009$ ). Besides, we performed multivariate analysis of the various parameters to show the independency of GSTP1 from the other parameters in the prediction of pCR and found that GSTP1 was the only predictive factor for pCR ( $P = 0.013$ ) in ER-negative tumors (Table S5).

**GSTP1 expression and GSTP1 MI according to intrinsic subtype.** Of the 123 tumors, 115 could be analyzed using DNA microarray and classified into the five intrinsic subtypes, that is, luminal A ( $n = 32$ ), luminal B ( $n = 22$ ), HER2-enriched ( $n = 23$ ), basal-like ( $n = 21$ ) and normal breast-like ( $n = 17$ ). Since the normal breast-like subtype was thought to be mainly an artifact of having a high percentage of normal 'contamination' in the tumor specimen,<sup>(29)</sup> this subtype was eliminated

from further analysis. With respect to GSTP1 MI (Fig. 4A), luminal A, luminal B and HER2-enriched tumors showed a higher GSTP1 MI than basal-like tumors ( $P = 0.076$ ,  $P < 0.001$  and  $P < 0.001$ , respectively). Moreover, luminal B and HER2-enriched tumors showed a significantly higher GSTP1 MI than luminal A tumors ( $P = 0.006$  and  $P = 0.031$ , respectively). Figure 4B shows GSTP1 positivity according to the intrinsic subtype. Luminal A (13/32, 40.6%), luminal B (3/22, 13.6%) and HER2-enriched (10/23, 43.5%) tumors showed a significantly lower GSTP1 positivity than the basal-like tumors (18/21, 85.7%) ( $P = 0.002$ ,  $P < 0.001$  and  $P = 0.009$ , respectively). In addition, luminal B tumors showed a significantly lower GSTP1 positivity than luminal A ( $P = 0.039$ ) and HER2-enriched tumors ( $P = 0.027$ ).

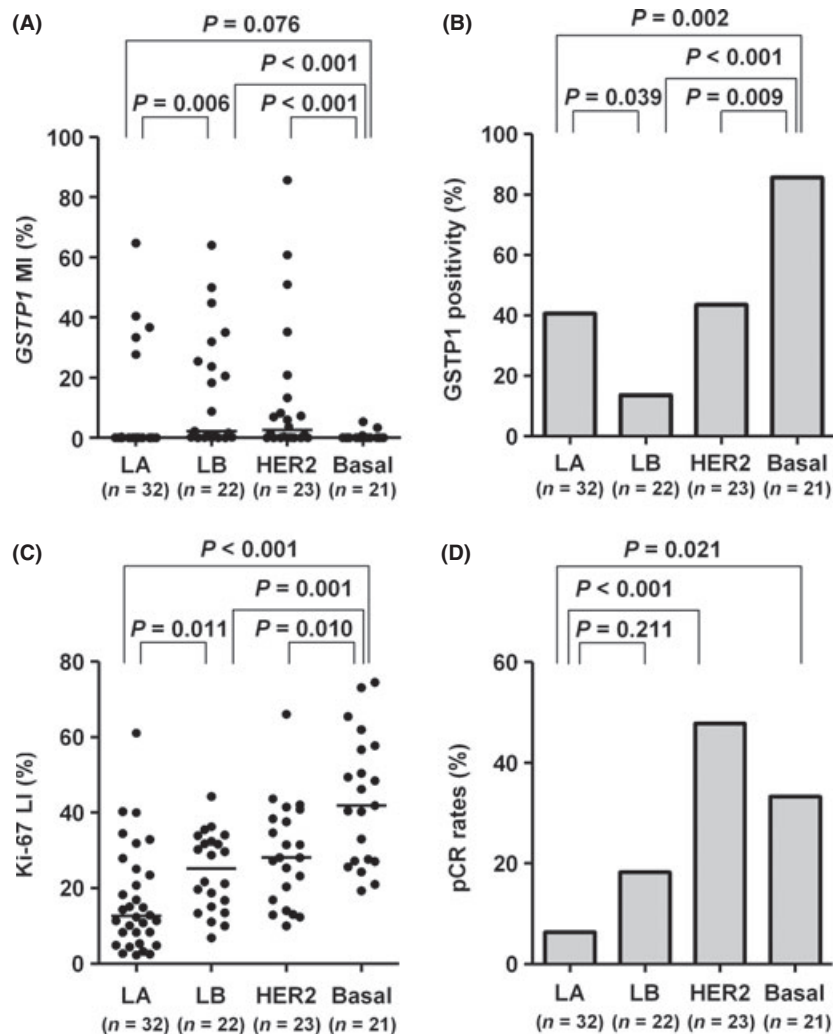
Figure 4C shows the distribution of Ki-67 LI according to intrinsic subtypes. Luminal B tumors showed a significantly higher Ki-67 LI than luminal A tumors ( $P = 0.011$ ), while it was the highest in basal-like tumors, and significantly higher than luminal A ( $P < 0.001$ ), luminal B ( $P = 0.001$ ) or HER2-enriched tumors ( $P = 0.010$ ). Figure 4D shows the pCR rates according to intrinsic subtypes. Luminal A tumors showed the lowest pCR rate (2/32, 6.3%) and it was lower than that of luminal B (4/22, 18.2%) ( $P = 0.211$ ), HER2-enriched (11/23, 47.8%) ( $P < 0.001$ ) and basal-like tumors (7/21, 33.3%) ( $P = 0.021$ ). The relationship of intrinsic subtypes with ER, PR, HER2 or Ki-67 status is shown in Table S6.

**GSTP1 MI and GSTP1 expression in residual tumors after NAC.** GSTP1 MI and GSTP1 expression in the residual tumors ( $n = 38$ ) after NAC were compared with those before NAC.

**Table 2. Univariate analysis of the association of clinicopathological parameters and glutathione S-transferase P1 (GSTP1) expression with pathological complete response (pCR)**

	ER-positive tumors ( $n = 77$ )			ER-negative tumors ( $n = 46$ )		
	pCR	Non-pCR	<i>P</i> value†	pCR	Non-pCR	<i>P</i> value†
Menopausal status						
Pre	3	37	0.299	5	12	0.210
Post	6	31	(2.39, 0.55–10.31)	14	15	(2.24, 0.63–8.00)
Tumor size‡						
T1	1	4	0.191	1	1	0.682
T2	8	48	(5.97, 0.33–108.70)	16	21	(1.93, 0.33–11.24)
T3	0	13		2	3	
T4	0	3		0	2	
Nodal status						
Positive	6	43	1.000	18	21	0.213
Negative	3	25	(1.16, 0.27–5.06)	1	6	(5.14, 0.57–46.82)
Histological grade§						
1	2	16	0.477	0	1	0.989
2	6	47	(1.97, 0.20–19.86)	12	16	(0.99, 0.29–3.35)
3	1	4		7	10	
Unknown	0	1		0	0	
PR						
Positive	5	42	0.730	–	–	–
Negative	4	26	(1.29, 0.32–5.26)			
HER2						
Positive	3	13	0.383	9	10	0.483
Negative	6	55	(2.12, 0.47–9.60)	10	17	(1.53, 0.46–5.04)
Ki-67						
Positive	5	28	0.495	17	20	0.435
Negative	4	38	(1.70, 0.42–6.90)	2	6	(2.55, 0.45–14.33)
Unknown	0	2		0	1	
GSTP1 expression						
Positive	4	18	0.267	11	25	0.009
Negative	5	50	(0.45, 0.11–1.86)	8	2	(9.09, 1.65–50.00)

ER, estrogen receptor; PR, progesterone receptor. †Odds ratio, 95% confidence interval. ‡T1 + T2 versus T3 + T4. §HG1 + HG2 versus HG3.



**Fig. 4.** Glutathione S-transferase P1 (*GSTP1*) methylation index (MI), *GSTP1* positivity, Ki-67 labeling index (LI) and pCR rates according to intrinsic subtypes. (A) *GSTP1* MI, (B) *GSTP1* positivity, (C) Ki-67 LI and (D) pCR rates are shown by intrinsic subtypes as determined using DNA microarray. bars, median; Basal, basal-like; HER2, HER2-enriched; LA, luminal A; LB, luminal B; pCR, pathological complete response.

There was no significant change in *GSTP1* MI ( $P = 0.497$ ) or *GSTP1* expression ( $P = 0.125$ ) between them (Fig. S5).

## Discussion

The main purpose of the present study was to investigate whether *GSTP1* expression was associated with resistance to P-FEC in human breast cancers since *GSTP1* is thought to be implicated in chemoresistance through the detoxification of chemotherapeutic agents and inhibition of chemotherapy-induced apoptosis. First, we investigated the relationship of *GSTP1* expression with various clinicopathological parameters and found that *GSTP1* expression was significantly associated with ER negativity and PR negativity, findings that are consistent with those previously reported.<sup>(18,31)</sup> In addition, *GSTP1*-positive tumors tended to be low histological grade (HG1) in ER-positive tumors but not in ER-negative tumors. Because *GSTP1* promoter hypermethylation has been shown to play an important role in silencing *GSTP1*, we investigated the relationship between this hypermethylation and *GSTP1* expression. We were able to show that *GSTP1*-positive tumors were significantly less likely than *GSTP1*-negative tumors to possess *GSTP1* promoter hypermethylation, while ER-negative and PR-negative tumors were less likely than their opposites to

possess *GSTP1* promoter hypermethylation, confirming its important role in silencing *GSTP1*. Interestingly, *GSTP1* MI was significantly higher in HG2 tumors than HG1 tumors in ER-positive tumors, while there was no association between *GSTP1* MI and HG in ER-negative tumors. These results seem to suggest that *GSTP1* hypermethylation plays an important role in the pathogenesis of ER-positive tumors with relatively high histological grade (HG2). This is consistent with the hypothesis that loss of *GSTP1* expression caused by promoter hypermethylation results in the diminished detoxification of DNA-damaging estrogen metabolites such as  $E_2$ -2,3-Q and  $E_2$ -3,4-Q<sup>(32,33)</sup> and in the development of breast tumors with relatively high histological grade.

Next, we investigated the relationship between *GSTP1* expression and clinical response (reduction rate) to paclitaxel or P-FEC. We were able to show that *GSTP1* expression was associated with a poor response to paclitaxel as well as to P-FEC in both ER-positive and ER-negative tumors, indicating that *GSTP1* plays a significant role in the suppression of anti-tumor activity of P-FEC irrespective of ER status. In contrast, univariate and multivariate analysis of the pathological response to P-FEC showed that only *GSTP1* expression was significantly associated with a lower pCR rate in ER-negative tumors but not in ER-positive tumors. It has been well

established that the pCR rate of ER-positive tumors in response to NAC is lower than that of ER-negative tumors,<sup>(5)</sup> and the pCR rate was in fact significantly lower in ER-positive tumors (11.7%) than ER-negative tumors (41.3%) in the present study also ( $P < 0.001$ ). Thus, a low pCR rate might mask the impact of GSTP1 on the resistance to P-FEC in ER-positive tumors. It is also possible that ER-positive tumors possess other important resistant mechanisms than GSTP1, which together determine the overall pathological response.

We also investigated the relationship between GSTP1 expression or *GSTP1* promoter hypermethylation and intrinsic subtypes. We found that luminal A, luminal B and HER2-enriched tumors were more likely to harbor *GSTP1* promoter hypermethylation than basal-like tumors, which suggests that *GSTP1* promoter hypermethylation might be implicated more importantly in the pathogenesis of luminal A, luminal B and HER2-enriched tumors than basal-like tumors. It is suggested that luminal A, luminal B and HER2-enriched tumors originate from luminal progenitor cells.<sup>(34,35)</sup> Thus, it is speculated that loss of GSTP1 expression results in DNA damage caused by estrogen metabolites,<sup>(32,33)</sup> and that luminal progenitor cells, which are thought to be ER positive, are more frequently affected since ER-positive cells are considered to have a higher concentration of estrogens.

Another interesting finding of the present study being consistent with a previous report<sup>(21)</sup> was that *GSTP1* promoter hypermethylation was rarely observed in basal-like tumors, while most of the basal-like tumors expressed GSTP1. Recently, Honeth *et al.*<sup>(36)</sup> reported that basal-like tumors had a breast cancer stem cell-like phenotype. These results seem to suggest that breast cancer stem cells feature high GSTP1 expression. Indeed, Croker and Allan<sup>(37)</sup> very recently reported that GSTP1 was strongly expressed in breast cancer stem cells (ALDH1+/CD44+). Because basal-like tumors show a higher Ki-67 LI than HER2-enriched tumors and a high Ki-67 LI is

well known to be associated with a good response to chemotherapy,<sup>(6)</sup> basal-like tumors could be expected to show a higher pCR rate than HER2-enriched tumors, but in fact we found that the reverse was true. It seems that this lower pCR rate of basal-like tumors can be partially explained by their higher GSTP1 expression.

In conclusion, we found that GSTP1 expression was associated with a lower clinical response to P-FEC irrespective of ER status and with a lower pCR rate of ER-negative but not ER-positive tumors. GSTP1 seems to have the potential to be used for clinical identification of, especially, ER-negative breast tumors, which are unlikely to derive benefits from chemotherapy (P-FEC). In addition, our results might suggest that *GSTP1* promoter hypermethylation is implicated more importantly in the pathogenesis of luminal A, luminal B and HER2-enriched tumors than basal-like tumors. Our observations presented here are preliminary and need to be confirmed by a future study covering a larger number of patients. Thus, the clinical significance of GSTP1 in the prediction of response to chemotherapy still remains to be established in future.

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## Supporting Information

Additional Supporting Information may be found in the online version of this article:

**Fig. S1.** GSTP1 LI according to ER status.

**Fig. S2.** Distribution of *GSTP1* MI according to clinicopathological characteristics of total patients.

**Fig. S3.** Distribution of *GSTP1* MI according to clinicopathological characteristics of patients with ER-positive tumors.

**Fig. S4.** Distribution of *GSTP1* MI according to clinicopathological characteristics of patients with ER-negative tumors.

**Fig. S5.** Comparison of *GSTP1* MI and GSTP1 expression in tumors before and after NAC.

**Table S1.** Sequences of primers and probe sets for methylated *GSTP1* and for unmethylated *GSTP1*.

**Table S2.** Conditions for methylation-specific PCR.

**Table S3.** Sequences of standard oligonucleotides for methylated and unmethylated assays of *GSTP1* promoter region.

**Table S4.** Relationship between GSTP1 expression and histological grade according to ER status.

**Table S5.** Multivariate analysis of various parameters in the prediction of pCR in ER-negative tumors.

**Table S6.** Relationship between intrinsic subtypes and ER, PR, HER2 or Ki-67.

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