


# The Association Between Prescribed Opioid Receipt and Community-Acquired Pneumonia in Adults: a Systematic Review and Meta-analysis



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**BACKGROUND:** In the current opioid epidemic, opioid addiction and overdose deaths are a public health crisis. Researchers have uncovered other concerning findings related to opioid use, such as the association between prescribed opioids and respiratory infection, including pneumonias. Potential mechanisms include the immunosuppressive effects of certain opioids, respiratory depression, and cough suppression. We conducted a systematic review assessing whether prescribed opioid receipt is a risk factor for community-acquired pneumonia (CAP).

**METHODS:** A systematic literature search of published studies was conducted using Ovid MEDLINE, Cochrane Central Register of Controlled Trials (CENTRAL), Embase, Web of Science, AMED, and CINAHL from database inception through March 11, 2020. We included any clinical trial, cohort, or case-control study that reported an association between prescribed opioid receipt and CAP in adults. Two reviewers independently performed data extraction and quality assessment using the Newcastle-Ottawa Quality Assessment Scale. The risk of CAP from prescribed opioid receipt was studied by pooling studies using random effects meta-analysis.

**RESULTS:** We identified 3229 studies after removing duplicates. After detailed selection, 33 articles were reviewed in full and eight studies (representing 567,472 patients) met inclusion criteria. The pooled effect for the four case-control studies and three cohort studies showed a significant increase in the risk of CAP requiring hospitalization among those with prescribed opioid receipt compared with those without opioid prescribed receipt (OR 1.57 [95% CI (1.34, 1.84)]; HR 1.18 [95% CI (1.00, 1.40)]).

**CONCLUSION:** The findings suggest prescribed opioid receipt is a risk factor for CAP. The included studies examined post-operative patients and patients with chronic medical conditions. Further research is needed to

examine the impact of opioids on the incidence of CAP in an otherwise healthy population.

**KEY WORDS:** opioids; pneumonia.

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## INTRODUCTION

Community-acquired pneumonia (CAP) is common, with greater than 1.5 million adults hospitalized annually, and is among the most common infectious causes of death in the USA.<sup>1–4</sup> Known risk factors for CAP are older age, current smoking, comorbid respiratory disease, cardiovascular disease, stroke, dementia, and alcohol use.<sup>5</sup> Attention has turned to identify additional risk factors for CAP and develop preventive strategies to combat the burden of this disease.<sup>6</sup>

While opioid addiction and overdose are a major concern during the current opioid epidemic, emerging data also suggest that opioids may increase risk of infectious complications<sup>7, 8</sup> including pneumonia. There are plausible reasons to believe opioid use may increase the risk of pneumonia, as opioids have immunosuppressive effects, disrupt gut homeostasis, suppress cough and breathing, prevent the secretion of bronchial mucus, cause sedation that can lead to aspiration, and inhibit neutrophil response to *Streptococcus pneumoniae*.<sup>8–14</sup>

There have been emerging epidemiologic data raising concern that prescribed opioids may have clinically significant effects on risk of pneumonia. To summarize the published results examining the association of prescribed opioid receipt and risk for CAP, we conducted a systematic review.

## METHODS

The Meta-analysis of Observational Studies in Epidemiology (MOOSE)<sup>15</sup> and the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA)<sup>16</sup> statements for

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reporting systematic reviews were used for our study. The study protocol was registered at Research Registry at [www.researchregistry.com](http://www.researchregistry.com) (Protocol # reviewregistry885).

## Data Sources and Search Strategy

With the assistance of a medical research librarian (AB), we conducted a literature search of published studies using Ovid MEDLINE, Cochrane Central Register of Controlled Trials (CENTRAL), Embase, Web of Science, AMED, and CINAHL from database inception through March 11, 2020 (Appendix Table 1). Search terms included “opioid,” “pneumonia,” “lung inflammation,” “opiate alkaloid,” and combinations of these terms.

## Study Selection

The Patient-Intervention-Comparator-Outcome-Study Design (PICO) criteria were used to determine eligibility of the articles based on the type of study design, type of population, and type of exposure and outcome. Prescribed opioid receipt was the exposure and CAP was the outcome. We included all comparative study designs (cohort, case-control, and cross sectional) that assessed the association between prescribed opioid receipt and the risk of CAP in adult populations. We included studies that reported risk using either odds ratio or hazard ratio. We only included studies in English. We excluded studies that only examined children and did not present original data, such as narrative reviews, and abstracts that only included minimal study information about the methods and results. Two co-authors (CS, LB) independently screened all title and abstracts for inclusion. Abstracts included by either reviewer underwent full-text review. The same authors then reviewed selected full-text manuscripts for ultimate inclusion. Disagreements were reviewed by a third author (MS).

## Data Extraction and Quality Assessment

Two co-authors (CS, LB) extracted data from published reports into evidence tables; additional co-authors over-read evidence tables (CG, MS). For included studies, data were extracted on study populations, interventions, comparators, outcomes, quality, and applicability. For analysis, opioids were categorized as immunosuppressive and non-immunosuppressive. Immunosuppressive opioids included codeine, morphine, fentanyl, diamorphine, dihydrocodeine, sufentanil, and methadone. Non-immunosuppressive opioids included hydrocodone, buprenorphine, hydromorphone, oxycodone, oxycodone/naloxone, oxymorphone, and tramadol. Two co-authors (CS, LB) independently rated risk of bias using the Newcastle-Ottawa Quality Assessment Scale (NOS) for case-control and cohort studies<sup>17</sup> and assigned a numerical score out of a possible 9 points. Disagreements were adjudicated by obtaining a third author’s (MS) opinion.

## Data Synthesis and Statistical Analysis

When at least three studies were available with comparable study designs and outcomes, we performed random effects meta-analyses and estimated pooled ORs with 95% confidence intervals (CI) as described by DerSimonian and Laird.<sup>18</sup> When studies reported multiple models, we used the most adjusted model. We evaluated heterogeneity visually and with the  $I^2$  statistic.  $I^2$  values of 25%, 50%, and 75% were considered low, medium, and high heterogeneity.<sup>19</sup> Subgroup analysis comparing immunosuppressive opioids to non-immunosuppressive opioids was done using meta-regression. The Knapp-Hartung variance estimator and associated  $t$  test was used to calculate  $p$  values for the comparison.<sup>20</sup> Statistical analysis was performed using Stata/MP, version 15.1 (StataCorp, College Station, Texas).

## RESULTS

### Study Selection

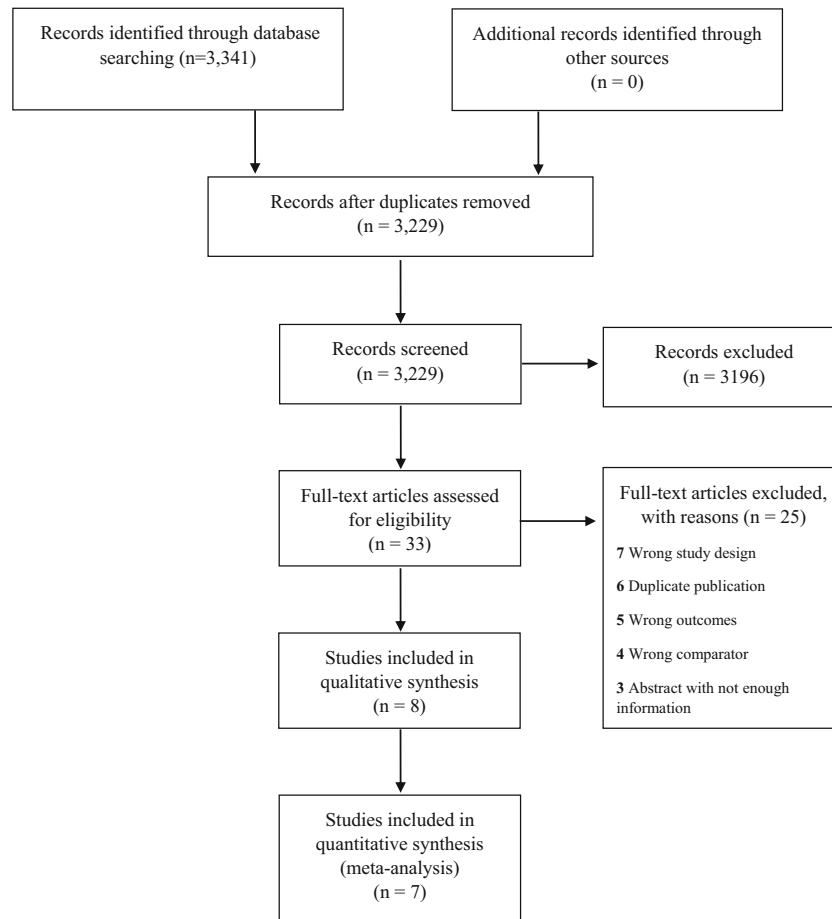
The electronic literature search identified 3341 studies, of which 112 were duplicates. Of the remaining 3229 studies, 33 were reviewed in full and eight met study inclusion criteria (Fig. 1).<sup>10, 21–27</sup> We included one study that examined children because the proportion of the pediatric population was very small (3.6%) (Table 1).<sup>24</sup> Common reasons for exclusion after full-text review included review articles without original data, the wrong exposure such as the diagnosis of opioid use disorder, the wrong outcome such as hospital-acquired (i.e., nosocomial) pneumonia, abstracts with scant information, duplicate publications using the same data, and the outcome not including a diagnosis of pneumonia (Fig. 1).

### Quality Appraisal

Study quality was based on three main elements: selection, comparability, and outcome. Overall, the studies were of high quality (Table 2). Of the case-control studies, two scored all nine points and three scored eight points (downgraded one point under the category of selection for using hospital controls). The three cohort studies scored eight out of nine points (downgraded one point under the category of selection for not being representative of the general population). The main reason that studies had a decrease in points was for how the study population was selected.

### Characteristics of Included Studies

Among the eight included studies, five studies used a case-control design<sup>10, 21, 22, 24, 27</sup> and three used a cohort design<sup>23, 25, 26</sup> (Table 1). Geographically, the studies were varied with five of the studies from the United States (USA),<sup>10, 21, 24–26</sup> one study from Finland,<sup>22</sup> and two studies from Canada.<sup>23, 27</sup> Six studies examined the association of prescribed opioids and



**Figure 1 Evidence search and selection.**

pneumonia in a specific population of patients including human immunodeficiency virus (HIV),<sup>10</sup> chronic obstructive pulmonary disease (COPD),<sup>23</sup> Alzheimer's disease,<sup>22</sup> those undergoing craniotomy<sup>26</sup> or total knee replacement,<sup>25</sup> and older adults over 65 years old.<sup>21</sup>

With regard to type of opioid exposure, all studies reported pharmacy fill/refill data to assess prescribed opioid receipt. The reference group for these studies comprised of patients who had not received prescribed opioids or had in the past. Dose, duration, and long-acting versus short-acting opioid receipt were examined by five studies (Table 3). Wiese reported high dose ( $\geq 90$  mg morphine equivalent daily dose (MEDD)) and long-acting opioids to have higher risk for pneumonia.<sup>24</sup> Dublin found highest risk for CAP if prescribed medium dose opioids (20–49 MME), in the first 14 days of opioid receipt and on long-acting opioids.<sup>21</sup> Edelman reported highest risk for CAP among patients with current and high dose ( $> 50$  mg MEDD) and immunosuppressive opioid receipt.<sup>10</sup> Vozoris found the highest risk for CAP or COPD hospitalization for those patients prescribed long-acting opioid formulations.<sup>23</sup> Hamina found the highest risk for CAP in the first 2 months of opioid receipt and among higher doses ( $\geq 50$  MME) of prescribed opioids.<sup>22, 25</sup>

Only a few studies examined the effect of buprenorphine (a partial opioid agonist) and methadone (a synthetic opioid agonist) on risk of CAP. Three studies excluded buprenorphine<sup>10, 23, 24</sup> and two excluded methadone.<sup>10, 23</sup> One study separately reported the risk of pneumonia with one of these medications and reported that compared with no buprenorphine receipt, buprenorphine receipt was associated with increased risk of CAP in the unadjusted model, but not in the adjusted model (aHR 1.20, 95% CI 0.83, 1.76).<sup>23</sup>

Seven studies examined a hospital admission for CAP, while one study included hospitalizations for combined COPD or pneumonia.<sup>23</sup> Seven studies used ICD codes (ICD9 or ICD 10) to define pneumonia while Dublin validated codes with chest radiograph reports and hospital records.<sup>21</sup> Wiese documents laboratory confirmed invasive *Streptococcus pneumoniae*, of which 74% were pneumonia.<sup>24</sup>

## Opioid Receipt and CAP

A total population of 567,472 patients were included in our review. Five studies found an increased risk of CAP for patients with prescribed opioid receipt compared with those without any receipt in the specified time period with a range of adjusted odds ratios or hazard ratios from 1.34 to 1.83<sup>10, 21, 22, 24, 27</sup> (Table 3). Two cohort studies did not find an increased

Table 1 Characteristics of Included Studies

Study and year	Study design	Patient population and database	Study participants	Follow-up period	Prescribed opioid receipt definition	Pneumonia definition
Dublin et al., 2011	Nested case-control (matched by age, sex, diagnosis date, and calendar year)	Patients aged 65–94 from national integrated health care system, USA	1039 CAP cases vs. 2022 matched controls	NR	Current use defined as opioid prescription fill between 5 and 60 days prior to index	ICD-9 codes (480-487.0 or 507.0) validated with chest radiograph reports and medical record review, excluded HAP
Vozoris et al., 2016	Retrospective cohort of patients with COPD aged > 65 years	Patients > 65 years with COPD. Used administrative database, Ontario, Canada	89,224 COPD pts with new opioid use vs. 41,930 COPD controls with non-use	Within 30 days of incident opioid use	New opioid use defined by pharmacy fill, excluded buprenorphine and methadone	ICD-10 codes (J09–J18, J20–J22 or J40)
Velly et al., 2017	Nested case-control study with up to 2 controls matched with incident cases of CAP on sex, age, general practice, cohort entry, date of CAP diagnosis	Patients ≥ 18 who were new opioid users between April 1, 1998 and March 31, 2014 in Montreal Canada	18,445 CAP cases vs. 35,088 matched controls	NR	Recent opioid use defined as a prescription 30 to 10 days before CAP diagnosis	CAP requiring hospitalization
Wiese et al., 2018	Nested case-control (matched by age, index date, and county)	Patients enrolled in Tennessee Medicaid and aged ≥ 5 years (96.4% were ≥ 18 years)	1233 invasive pneumococcal disease cases and 24,399 matched controls; broad age range	Cases identified in cohort 1995–2014	Current use defined as opioid prescription fill overlapping index date, excluded buprenorphine	Isolation of <i>Streptococcus pneumoniae</i> from a normally sterile site
Edelman et al., 2019	Nested case-control (matched by age, sex, race/ethnicity, length of observation, and HIV status)	Patients from the Veterans Aging Cohort Study (VACS), composed of HIV-positive veterans matched with non-HIV group	4246 of CAP cases requiring hospitalization vs. 21,146 controls; mean age 55	Median follow-up was 3 years	Current use defined as opioid prescription fill between 5 and 60 days prior to index, excluded buprenorphine and methadone	ICD-9 codes (480–487.0 or 507.0)
Hamina et al., 2019	Nested case-control (matched by age, sex, and time since Alzheimer's diagnosis)	Patients from the Medication use and Alzheimer's Disease (MEDALZ) cohort, Finland	5623 Alzheimer's disease participants with new opioid use vs. 5623 non-use; mean age 83	180-day follow-up after incident opioid prescription	New opioid use defined by pharmacy fill	ICD-10 codes (J10.0–J16, J18 or J69.0)
Kim et al., 2019	Retrospective cohort of patients undergoing total knee replacement (TKR)	Patients were Medicare enrollees who underwent TKR between 2010 and 2014 in the USA	316,593 undergoing TKR and pre-operative opioid use as follows: 22,895 (7.2%) continuous opioid users, 161,511 (51.0%) intermittent opioid users, and 132,817 opioid naïve (41.7%)	Within 30 days post-TKR	Based on opioid dispensing in 360 days prior to TKR, continuous opioid users defined as ≥ 1 prescription in each of 12 months; intermittent opioid users defined as any prescription but not continuous and opioid naïve	ICD-9 codes for pneumonia 30 days post-TKR (specific pneumonia codes not defined)
Shah et al., 2019	Retrospective cohort of patients undergoing craniotomy	Patients undergoing craniotomy identified from January 1, 2013 to October 1, 2018 in the USA	Among 861 craniotomy cases, preoperative opioid milligram morphine equivalent was examined with postoperative pneumonia	Within 90 days of hospital discharge	Preoperative MME determined from electronic medical record using the Oregon Health Authority online calculator	Postoperative pneumonia recorded within 90 days of hospital discharge

USA United States of America, CAP community-acquired pneumonia, ICD International Classification of Diseases, COPD chronic obstructive pulmonary disease, HIV human immunodeficiency virus



Table 2 Study Quality Assessment Using the Newcastle-Ottawa Quality Assessment Scale

Study and year	Selection	Comparability	Outcome	NOS score	Limitations; items missing from NOS
Case-control studies					
Dublin et al., 2011	****	**	***	9/9	Older adult population $\geq 65$
Velly et al., 2017	***	**	***	8/9	CAP requiring hospitalization; selection: hospital controls
Wiese et al., 2018	***	**	***	8/9	Outcome was invasive pneumococcal disease, 74% with pneumonia; selection: hospital controls
Edelman et al., 2019	***	**	***	8/9	59% of patients were HIV positive; selection: hospital controls
Hamina, et al. 2019	****	**	***	9/9	Patients with Alzheimer's disease
Cohort studies					
Vozoris et al., 2016	***	**	***	8/9	All patients had COPD and outcome was hospitalization with pneumonia or COPD; selection: representativeness
Kim et al., 2019	***	**	***	8/9	All patients underwent total knee replacement; selection: representativeness
Shah et al., 2019	***	**	***	8/9	All patients underwent craniotomy; selection: representativeness

Asterisk indicated item achieves one point on the NOS

NOS Newcastle Ottawa Scale, HIV human immunodeficiency virus, COPD chronic obstructive pulmonary disease

risk of CAP associated with opioid receipt (aHR = 1.08 (95% CI, 0.97, 1.21)<sup>23</sup> and aHR = 1.14 (95% CI, 0.70, 1.84).<sup>25</sup> The four studies that used case-control methods and reported adjusted odds ratios were pooled using random effects meta-analysis. The pooled odds ratio was 1.57 (95% CI 1.34, 1.84) (Fig. 2). The three studies reporting adjusted hazard ratios were pooled using random effects meta-analysis and the pooled hazard ratio was 1.18 (95% CI, 1.00, 1.40).  $I^2$  was 84.6% for studies reporting odds ratios and 57.7% for those reporting hazard ratios.

### Immunosuppressive Status and CAP

Five studies separately reported the effect of immunosuppressive opioids and non-immunosuppressive opioids compared with no opioid receipt on the risk for CAP. Four studies reported higher risk for CAP with immunosuppressive opioids.<sup>10, 21, 24, 27</sup> The other study reported a higher risk for CAP among the group prescribed non-immunosuppressive opioids.<sup>22</sup> None of the individual studies directly compared the risk of CAP between immunosuppressive and non-immunosuppressive opioids. We pooled the risk of immunosuppressive opioids and non-immunosuppressive opioids for the four studies that reported adjusted odds ratios and used case-control designs. All four studies reported higher rates of CAP with immunosuppressive opioids compared with no opioid receipt. Compared with no receipt, the pooled risk for CAP among patients prescribed immunosuppressive opioids was 1.70 (95% CI, 1.42, 2.05) compared with 1.45 (95% CI, 1.18, 1.79) for non-immunosuppressive opioids and the difference was not statistically significant (Fig. 3). We also report the results of the one study that measured adjusted hazard ratios in Figure 3.<sup>22</sup>

## DISCUSSION

Opioids are prescribed for pain management for patients with both acute and chronic pain, but the adverse consequences

associated with these medications are still being quantified. Across several high-quality observational studies, prescribed opioid receipt was associated with a 57% increase in odds of CAP. Prior research has suggested that some opioids (e.g., codeine, morphine, methadone, and fentanyl) have immunosuppressive properties and their use may increase the risk for infections.<sup>8, 9, 28</sup> The risk of infection in one study was higher for patients who were newly prescribed immunosuppressive opioids.<sup>28</sup> Partial agonists like buprenorphine may have a more favorable immune profile.<sup>29</sup> Our data do not suggest that immunosuppression is the main mechanism by which opioids increase CAP risk. The mechanism of opioids increasing CAP risk may be more likely due to sedation or other effects.

Several clinical questions regarding the risk of prescribed opioid receipt and CAP remain unanswered. For example, does opioid dosage matter? The studies in this review used different cut-points for high-dose opioids ( $\geq 30$  mg,  $\geq 50$  mg, and  $\geq 90$  mg MEDD) and we were unable to pool results to examine this question. Future research should use a standardized definition of high-dose opioids. Another clinical question is whether short-acting versus long-acting formulation impacts the risk of prescribed opioid receipt and CAP. Two case-control and one cohort study examined this question and the findings are inconclusive. Because long-acting formulations of opioids are more likely to be prescribed for chronic use, recency of use would also need to be examined. This question warrants further research.

Our study has important limitations. We only included published studies. Furthermore, studies controlled for different covariates. Although we extracted the adjusted ORs when possible, few studies adjusted for all possible confounders. For example, only two studies included tobacco use as a covariate in the fully adjusted models.<sup>10, 24</sup> Hence, bias or confounding could account for some or all of the observed association. Finally, many of the participants in these studies had medical co-morbidities (e.g., those with HIV, Alzheimer's disease, older adults with COPD and those post-surgery). Further research is needed to examine

Table 3 Study Outcomes of Risk of Pneumonia Including Subgroups

Study and year	Immuno-suppressive opioids* vs. non-use, aOR (95% CI)	MEDD dose, aOR (95% CI)	Recency of use, aOR (95% CI)	Long and short-acting, vs. non-use, aOR (95% CI)	Overall risk, current use vs. non-use, aOR (95% CI)
Dublin et al., 2011	IS = 1.88 (1.26–2.79) NIS = 1.23 (0.89–1.69)	<20 mg = 1.05 (0.71–1.56) 20–49 mg = 2.30 (1.10–4.83) ≥50 mg = 1.37 (0.64–2.92)	5–14 d = 3.24 (1.64–6.39) 15–30 d = 1.28 (0.72–2.29) 31–90 d = 1.24 (0.78–1.99) >90 d = 1.27 (0.91–1.77)	Short = 1.27 (0.98–1.64) Long = 3.43 (1.44–8.21)	aOR = 1.38 (1.08, 1.76)
Vozoris et al., 2016	NR	<30 mg similar to ≥30 mg dosing	NR	Short = 1.50 (1.26–1.79) Long = 1.86 (1.23–2.81) NR	aHR <sup>†</sup> = 1.08 (0.97–1.21) aOR = 1.83 (1.68–1.99)
Velly et al., 2017	IS = 1.90 (1.72–2.11) Tramadol = 2.04 (1.65–2.53) Oxycodone = 5.55 (2.17–14.2) Morphine = 4.84 (3.12–7.51) Fentanyl = 2.52 (1.55–4.11) Codeine = 1.65 (1.48–1.85) Hydrocodone = 1.34 (1.11–1.62)	NR	NR	NR	
Wiese et al., 2018	IS = 1.74 (1.20–2.53) NIS = 1.55 (1.27–1.88)	<50 mg, 1.54 (1.26–1.88) 50–90 mg, 1.71 (1.22–2.39) ≥90 mg, 1.75 (1.33–2.29)	New use = 2.44(1.49–4.00) Recent past use = 1.03(0.87–1.21)	Short = 1.58 (1.32–1.90) Long = 1.87 (1.24–2.82)	aOR = 1.62 (1.36–1.92)
Edelman et al., 2019	IS = 1.42 (1.21–1.67) NIS = 1.24 (1.09–1.40)	IS: <20 mg = 1.35 (1.07–1.70) 20–50 mg = 2.07 (1.59–2.71) >50 mg = 3.18 (2.44–4.14) NIS: <20 mg = 1.23 (1.03–1.48) 20–50 mg = 1.35 (1.13–1.62) >50 mg = 2.07 (1.50–2.86) <50 mg = 1.36 (1.13–1.62) ≥50 mg 2.86 (1.72–4.72)	NR	NR	aOR = 1.42 (1.31–1.54)
Hamina et al., 2019	IS = 1.68 (1.20–2.36) NIS = 2.91 (1.94–4.34)		<60 d = 2.58 (1.87–3.55) 61–180 d = 1.42 (1.00–2.02) 181–365 = 0.91 (0.62–1.33) >365 = 0.90 (0.65–1.25)	NR	aHR = 1.34 (1.14–1.57)
Kim et al., 2019	NR	NR	NR	NR	aHR = 1.14 (0.70–1.84) for continuous use aHR = 0.81 (0.60–1.09) for intermittent use NR
Shah et al., 2019	NR	NR	NR	NR	NR

Non-immunosuppressive opioids include hydrocodone, buprenorphine, hydromorphone, oxycodone, oxycodone/haloxone, tramadol  
IS immunosuppressive, NIS non-immunosuppressive, MEDD morphine equivalent daily dose, d days, NR not reported, aHR adjusted hazard ratio  
\*Immunosuppressive opioids include codeine, morphine, fentanyl, diamorphine, dihydrocodeine, and sufentanil  
<sup>†</sup>Vozoris reports risk of hospitalization for pneumonia or COPD exacerbation

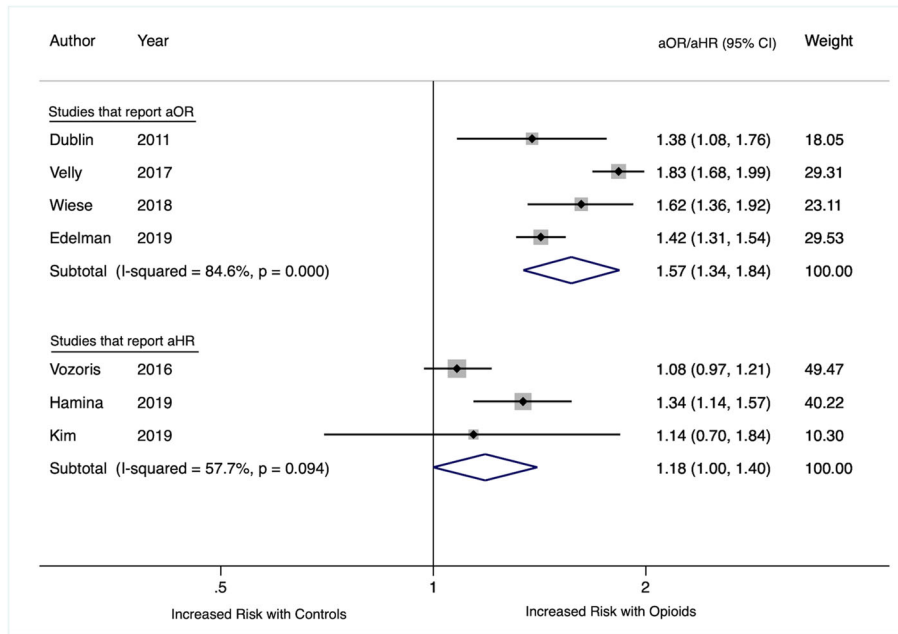


Figure 2 Forest plot of included studies by opioid receipt.

the impact of opioids on the incidence of CAP in an otherwise healthy population.

Continued research in the realm of opioid use and pneumonia can benefit from standardization in definitions of opioid use and pneumonia. We also need large-scale longitudinal studies evaluating the association between prescribed opioid receipt and pneumonia and examine if there are other subgroups at higher risk for

CAP based on their co-morbidities or the type of opioids prescribed. As more patients are being converted to buprenorphine for long-term management, monitoring their risk for CAP is important. In addition, investigation of whether prescribed opioids impact risk of other infectious complications as well as other immunomodulatory effects (e.g., increasing risk of recurrence among patients with cancer) are needed.<sup>30</sup> Given the

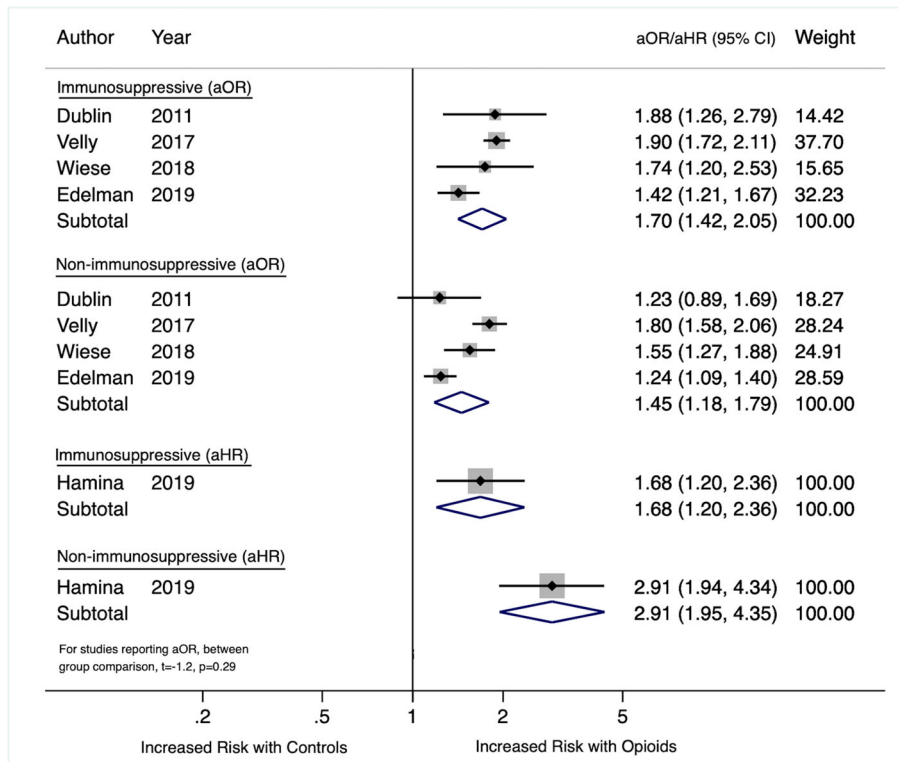


Figure 3 Forest plot of included studies by immunosuppressive status.

mounting evidence for the hazards associated with prescription opioid receipt, including pneumonia, we need to continue to invest in the development of safer alternatives for treating pain.

## CONCLUSIONS

The findings suggest prescribed opioid receipt is a risk factor for CAP. The included studies examined post-operative patients and patients with chronic medical conditions. Further research is needed to examine the impact of opioids on the incidence of CAP in an otherwise healthy population. Clinicians should consider the additional risk of pneumonia when weighing the risk-benefit of prescribing opioids.

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### Compliance with Ethical Standards:

**Conflict of Interest:** The authors declare that they do not have a conflict of interest.

**Disclaimer:** The views expressed in this manuscript are those of the authors and do not necessarily reflect the position or policy of the Department of Veterans Affairs or the United States Government.

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