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Evolution of clonal dynamics and differential response to targeted therapy in a case of systemic mastocytosis with associated myelodysplastic syndrome

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Appendix A. Supplementary data

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Graphical Abstract



Dear Editor,

Systemic mastocytosis (SM) is a heterogeneous malignancy with recurrent *KIT* mutations (frequently KIT^{D816V}) [1]. SM with an associated hematological neoplasm (SM-AHN), such as SM-myelodysplastic syndrome (SM-MDS), often harbors somatic mutations. In SM-AHN, *TET2 and ASXL1* can precede KIT^{D816V} [2,3]. Little else is known about the temporal sequence of mutations and clonal dynamics in SM-AHN. RAS and STAT5-driven CD44 expression correlates with SM aggressiveness [4]. Otherwise, the molecular pathways governing malignant behavior in the SM and AHN components have not been well-defined. Understanding these pathways could identify therapeutic targets in this disease. We analyzed an SM-MDS patient sample harboring KIT^{D816V} , $TET2^{T1047L}$, $TET2^{Y1724L}$, $ASXL1^{E222D}$,

and *CBL^{C404S}* mutations: we define the clonal architecture of this patient's disease, the signaling activation status of this BM, and the differential effects of cytokines and signaling inhibitors on bone marrow (BM) subpopulations.

A 62-year-old female with progressive fatigue and maculopapular cutaneous mastocytosis (MPCM) concerning for systemic mastocytosis, presented for evaluation. Complete blood count (CBC) revealed bicytopenia: white blood cell (WBC) of 2.4×10^9 /L, absolute neutrophil count (ANC) of 0.8×10^9 /L, normal hemoglobin, and platelet count of 112×10^9 /L. Serum tryptase was 162 µg/L (10.9 µg/L). A bone marrow (BM) biopsy (BMBx) showed trilineage hematopoiesis with increased cellularity (90 %) without increased blasts. The BM harbored abnormal clonal mast cells: several large aggregates of atypical CD117⁺trpytase⁺ mast cells by immunohistochemistry; CD2⁺CD25⁺ by flow cytometry, compatible with systemic mastocytosis [5]. Additionally, morphological examination revealed subtle dysgranulopoiesis characterized by rare neutrophils with slightly decreased cytoplasmic granules and irregular nuclei, concerning, but not sufficient, for a diagnosis of myelodysplasia. Cytogenetics were normal (Fig. 1A). Seven months later, fatigue, thrombocytopenia, and elevated tryptase progressed (platelets: 91×10^9 /L; tryptase: 201 µg/L). BMBx was morphologically unchanged.

She started midostaurin 100 mg twice/day and within 1 month, symptoms and laboratory parameters improved. WBC and ANC normalized to 3.6×10^9 /L and 1.7×10^9 /L, respectively, platelets increased to 114×10^9 /L, and tryptase decreased to $75.9 \,\mu$ g/L. Despite excellent control of symptoms and tryptase levels, her thrombocytopenia persisted (47–121 $\times 10^9$ /L) and required midostaurin adjustments. One year after midostaurin initiation, WBC, ANC, and Hgb remained in the normal range, platelets remained low (100×10^9 /L) and tryptase was 122 μ g/L. BMBx showed atypical granulocyte precursors in addition to all previous findings (Fig. 1B–E). Concurrently, the peripheral blood demonstrated atypical neutrophils (decreased granulation and abnormal lobation): concerning but insufficient for diagnosing MDS. BMBx five months later revealed minimal changes in hypercellularity (75%), blast percentage (4%), and mast cell aggregates (20% of marrow cellularity) but increased hypogranular neutrophils and dyserythropoiesis (nuclear irregularity/budding and basophilic stippling) were diagnostic of MDS (SM-MDS).

SM-AHN BM aspirates were sorted and analyzed by next generation sequencing, intracellular signaling protein assessments by CyTOF, and proliferation and colony forming assays (see Supplementary Information).

We investigated the clonal dynamics and disease progression of this SM-AHN. Retrospective mutational profiling with next generation sequencing (NGS) was performed on the unsorted diagnostic BM cells and revealed a KIT^{D816V} mutation, as frequently reported in SM (Fig. 1F) [1]. This sample also harbored $TET2^{T1047fs}$, $TET2^{Y1724fs}$ and $ASXL1^{E222D}$, as frequently reported in MDS [6]. Notably, both the *TET2* mutations and the ASXL1 mutation were present at near 50 % variant allele frequency (VAF) within the DNA sequencing data, suggesting that nearly all of the BM cells likely co-express these mutations in a heterozygous state. In contrast, KIT^{D816V} VAF was 21 %, indicating that a subclone of the predominant $TET2^{T1047fs/Y1724fs}ASXL1^{E222D/wild type}$ clone harbors KIT^{D816V}

(TET2^{T1047fs/Y1724fs}ASXL1^{E222D/wild type}KITD^{816V}). Since KIT mutations are known drivers of SM [1], these data suggest that SM developed from a subclone of a predominant clone (defined by TET2/ASXL1 mutations) comprising nearly all of the patient's hematopoietic compartment. Next, we deciphered the contribution of each clone to hematopoiesis and disease evolution. BM mononuclear cells (BMNCs) from a subsequent BM aspirate (obtained 12 months after initiation of midostaurin) were sorted to isolate hematopoietic stem and progenitor cells (HSPCs, CD34+), myeloid cells (based upon CD45, SSC), and T cells (CD3+, Supplementary Figure) and submitted for NGS. As in the diagnostic specimen, TET2^{T1047L}, TET2^{Y1724L}, and ASXL1^{E222D} were identified with a near 50 % VAF in all fractions. This finding indicates that this clone contributes to all or nearly all of this patient's hematopoiesis and that these mutations likely co-occur in an early hematopoietic stem cell (HSC). Additionally, both the HSPC and myeloid fractions, but not the T cells, harbored a CBL^{C404S} at low VAF, indicating that this newly detected subclone likely arose in the intervening time and contributes only to myelopoiesis. KIT^{D816V} was detected at VAF 1-2 % within the HSPCs and myeloid fractions, but was not detected in the T cells, indicating that this clone likely contributes to myelopoiesis. The significant reduction in KIT's VAF after midostaurin treatment suggests that midostaurin selectively depletes this *KIT*^{D816V} subclone but does not impact the prevailing *TET2*^{T1047L/Y1724L}*ASXL*1^{E222D} clone. Together, these findings demonstrate that the *KIT*^{D816V} clone likely shares a common origin with the MDS.

To assess the molecular mechanisms that might contribute to the malignant phenotype in this patient, we profiled signaling pathways and markers of proliferation and apoptosis in the patient's BM and compared these to a normal donor BM using mass cytometry. The CD13+ maturing myeloid population was more prominent in SM-AHN BM than normal BM revealing expanded myeloid precursors (Fig. 1G), as seen in MDS. These BMNCs expressed increased cleaved caspase-3 (a marker of apoptosis) and phospho-histone 3 and cyclin A (markers of cell cycle progression, Fig. 1G); this pattern is consistent with increased proliferation and apoptosis previously documented in MDS [7]. Relative to normal BM, the patient's BM displayed diffusely increased phosphorylated-STAT1, 4, and 5 and β -catenin in all compartments assayed, including HSPCs and myeloid progenitors (Fig. 1H); this finding is consistent with hyperactive signal transduction pathways as described in leukemogenesis [8]. Moreover, within HSPCs, a subset of cells expressed significantly higher levels of phospho-NF κ B, a proleukemic mediator (Fig. 11) [9]. Notably, NF κ B and β -catenin have been implicated in increased self-renewal capacity of leukemia stem cells [10]. Hyperactivated STAT signaling has been well described in myeloid neoplasms, especially MPNs and SM.

Next, we assessed the responsiveness of hematopoietic lineages to physiologically- and clinically-relevant stimuli and drugs in BMNCs after 1 year of midostaurin treatment. This specimen harbored few mast cells (< 1%). We assessed the effect of *in vitro* treatment with thrombopoietin (TPO), stem cell factor (SCF), ruxolitinib (JAK inhibitor), or midostaurin (multi-kinase inhibitor) on the relative abundance of subpopulations. Both TPO and SCF increased CD34⁺ progenitor frequency, and both ruxolitinib and midostaurin reduced progenitor frequencies relative to vehicle (Fig. 2A–B). In contrast, monocyte frequencies were not affected by TPO or SCF. However, similar to the progenitors, both ruxolitinib and

midostaurin reduced monocyte frequencies (Fig. 2A, C). Inhibitor treatment did not diminish the myeloid compartment as a whole. Within the myeloid compartment, however, midostaurin depleted the CD117⁻CD13⁻ (immature myeloid precursors) subpopulation. In contrast, ruxolitinib depleted the more mature myeloid CD117⁻CD13⁺ subpopulation (Fig. 2D). CD13-selectivity was not observed in other subpopulations (CD34⁺, monocytes, or T cells). This finding suggests that midostaurin and ruxolitinib have distinct cell type-specific effects on myeloid cells and may be additive in controlling myeloid neoplasms.

Next, we assessed the proliferative responses of BM subpopulations to these cytokines and inhibitors *in vitro*. We used CellTrace, a fluorescent label diluted with each cell division to measure the proliferative history of cellular compartments. TPO or SCF treatment of HSPCs reduced CellTrace labeling (consistent with increased proliferation) relative to vehicle-treatment. In contrast, both midostaurin and ruxolitinib reduced proliferation of HSPCs (Fig. 2E). Like HSPCs, TPO or SCF treatment of monocytes increased proliferation while midostaurin or ruxolitinib reduced proliferation in this compartment (Fig. 2F). Vehicle-treated monocytes were highly proliferative, consistent with high monocyte counts in patients with *ASXL1* [11] and *TET2* [12] mutations. Notably, midostaurin normalizes monocyte counts in patients with SM-AHN [13]. The myeloid fraction was largely not impacted by these treatments, but a small subpopulation of myeloid cells, maturing myeloid precursors (CD117⁻CD13⁺), were highly proliferative in response to SCF (Fig. 2G, right-most panel). T cells were largely unaffected by treatment (Fig. 2H). These data indicate that this SM-AHN cell fractions have subpopulation-specific responses to treatments and suggest that ruxolitinib and midostaurin may have complimentary suppressive effects in this disease.

Colony forming assays (a surrogate for self-renewal capacity) of this patient's BMNCs showed that ruxolitinib, but not midostaurin, inhibited colony formation by 50 % (Fig. 2I, p = 0.001); this finding suggests that ruxolitinib may target self-renewal more effectively than midostaurin, consistent with our mutational profiling data that showed that midostaurin reduced the frequency of the *KIT* mutant subclone but not the prevailing *TET2*^{T1047L/Y1724L}*ASXL*1^{E222D} clone (Fig. 1F).

To our knowledge, this is the first report of mutational analysis correlated with intracellular signaling and proliferation of SM-AHN. We demonstrate that *KIT*^{D816V} SM likely shares a common clone of origin with the MDS. As has been shown in AHNs without an SM component, we found hyperactivation of intracellular signaling molecules and increased markers of cell cycle and apoptosis in this patient [14,15]. Our data also reveal cell type-specific effects of physiologically and clinically relevant cytokines and drugs and suggests that the therapeutic effects of ruxolitinib and midostaurin may be lineage-specific and may be utilized complementarily in SM-AHN treatment.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Fig. 1.

SM shares a common clone of origin with MDS in a patient with SM-MDS. **A.** Timeline describing the clinical course, bone marrow, and peripheral blood sampling. WBC: white blood cell count, ANC: absolute neutrophil count, Plt: platelets, NGS: next generation sequencing, MNC: mononuclear cells. **B**–**E**. Peripheral blood smear (**B**) and bone marrow biopsy (**C**–**E**) were obtained one year after midostaurin treatment was initiated and stained with Wright Giemsa (**B**–**C**), hematoxylin and eosin (H&E, **D**) or tryptase (**E**). **B.** Circulating dysplastic neutrophils with pale cytoplasm (100X magnification). **C**. Touch imprint. Blasts with increased M:E ratio are indicated with red arrows (50× magnification). **D**. Bone marrow core section with cellularity of approximately 95 %. Spindle-shaped mast cell aggregates are indicated by blue arrows (4× magnification). **E**. Spindle-shaped mast cell aggregates are confirmed by a tryptase IHC stain (4X magnification). **F**. Next generation sequencing results of bulk and sorted BM populations at diagnosis and 1 year post

midostaurin. G–I. Mass cytometry (CyTOF) analysis reveals a unique signaling and proliferation pattern in SM-AHN bone marrow. Bone marrow mononuclear cells from SM-MDS (top panels) or a normal donor (bottom panels) were stained with metal-conjugated antibodies to cell surface and intracellular proteins and processed for mass cytometry (CyTOF). G–H. viSNE analysis represents each profiled cell. Each plot is colored as a heatmap according to levels of the epitope indicated. I. Histogram representing levels of pNF κ B within the HSPC (CD34⁺) compartment.



Fig. 2.

Bone marrow subpopulations display lineage-specific responsiveness to physiologically relevant stimuli and clinically relevant drugs. Bone marrow mononuclear cells were harvested one year after initiation of midostaurin treatment. **A–H.** Cells were plated in liquid culture and treated with cytokines, inhibitors, or vehicle. Each condition was plated in two technical replicates. The proportion of each population was assessed by flow cytometry after seven days of culture. **A.** Percentage of total or percentage of cells that are progenitors (CD34+, **B**) or monocytes (**C**). **D.** CD13 expression within the myeloid compartment. **E–H.** Cells were stained with CellTrace and plated in liquid culture for seven days in two technical replicates. D0 indicates CellTrace levels at the time of plating. **I.** Primary colony forming assay. Patient bone marrow mononuclear cells were plated in a semi-solid methylcellulose-based media (12,500 per well, six wells per condition). The colony number was scored after 7 days in culture. The colonies were of uniform size and appearance in all treatment groups.

Treatment doses for all experiments: thrombopoietin (TPO) 10 ng/mL, stem cell factor (SCF) 10 ng/mL, ruxolitinib 400 nM, midostaurin 400 nM. Vehicle: DMSO. Error bars represent standard error of the mean.