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Genetic dissection of spermatogenic arrest through exome analysis: clinical implications for the management of azoospermic men

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Abstract

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COMPETING OF INTEREST

The authors declare no competing interests

Purpose: Azoospermia affects 1% of men and it can be the consequence of spermatogenic maturation arrest (MA). Although the etiology of MA is likely to be of genetic origin, only 13 genes have been reported as recurrent potential causes of MA.

Methods: Exome sequencing in 147 selected MA patients (discovery cohort and two validation cohorts).

Results: We found strong evidence for 5 novel genes likely responsible for MA (*ADAD2*, *TERB1*, *SHOC1*, *MSH4*, and *RAD21L1*), for which mouse knockout (KO) models are concordant with the human phenotype. Four of them were validated in the two independent MA cohorts. In addition, 9 patients carried pathogenic variants in 7 previously reported genes - *TEX14*, *DMRT1*, *TEX11*, *SYCE1*, *MEIOB*, *MEI1* and *STAG3* - allowing to upgrade the clinical significance of these genes for diagnostic purposes. Our meiotic studies provide novel insight into the functional consequences of the variants, supporting their pathogenic role.

Conclusions: Our findings contribute substantially to the development of a pre-TESE prognostic gene panel. If properly validated, the genetic diagnosis of complete MA prior to surgical interventions is clinically relevant. Wider implications include the understanding of potential genetic links between NOA and cancer predisposition, and between NOA and premature ovarian failure.

Keywords

male infertility; azoospermia; genetics; spermatogenesis; meiosis

INTRODUCTION

Infertility is a multifactorial and heterogeneous condition affecting approximately 1 in 6 couples¹. The most severe form of male infertility is non-obstructive azoospermia (NOA) occurring in approximately 1% of all men². The etiology remains unknown in about 40% of NOA, and genetic factors are likely to play a major role. Although a growing number of fertility genes have been identified, the clinical impact of these findings is largely limited by the lack of validation in independent study populations^{1,3}. Hence, the identification of novel causes of NOA and the validation of previously reported genetic defects in independent cohorts are essential steps towards the development of diagnostic genetic tests.

Men affected by NOA might present with various testicular histologies, including Sertoli Cell Only (SCO), Maturation Arrest (MA) at different stages (such as SpermatoGonial and SpermatoCyte Arrest [SGA, SCA]) to hypospermatogenesis. Complete MA is characterized by the absence of haploid germ cells and even multiple and/or microsurgical biopsies for testicular sperm extraction ([m]TESE) to recover spermatozoa for subsequent *in vitro* fertilization will be unsuccessful. In contrast, in cases of incomplete MA, some tubules containing round or later stage spermatids may be found. Currently available clinical tools cannot distinguish between complete MA and other spermatogenic disturbances leading to azoospermia. Consequently, TESE is offered to all NOA patients as the only treatment option. The etiology of MA is poorly understood, and although genetic factors are predicted to play a relevant role, among the 13 MA genes reported in more than one patient³⁻⁶ only *TEX11* mutations⁷ and the complete *AZFb* deletion⁸ are currently considered clinically

relevant. Hence, the development of a pre-TESE diagnostic NOA gene panel would be of high clinical benefit to prevent unnecessary surgery in patients with pure MA.

In this study, we successfully applied exome sequencing in order to uncover genetic causes in highly selected NOA men with MA, and we demonstrate a high clinical yield through the identification of novel MA genes as well as the validation of previously reported genes, all associated with TESE-negative NOA.

MATERIAL AND METHODS

Subjects

Initial cohort: from a total of 1400 infertile men attending the Fundacio Puigvert, we selected 235 idiopathic NOA (iNOA) patients according to the following criteria: i) absence of all known acquired (e.g. chemotherapy, bilateral cryptorchidism, testis torsion) and genetic (karyotype aberrations, Y-chromosomal AZF deletions) causes of azoospermia; ii) availability of DNA; iii) stored testis biopsy. We further prioritized the cohort according to testis phenotype of SGA or SCA and family history (azoospermic brothers, infertile sisters, or consanguinity). The definition of the testis phenotype is based on multiple testis biopsies (one fragment is analyzed by the pathologist who described the histological picture, the remaining fragments by the embryologist who searched for spermatozoa). Seventeen patients underwent exome sequencing; their clinical characteristics are reported in Table S1. All included patients were TESE negative, meaning that spermatozoa were not found in any of the multiple testis fragments.

Follow-up cohorts: exome data was available for 73 and 57 (total =130) idiopathic MA subjects from the GEMINI consortium and the MERGE study, respectively. These samples were used only for targeted look-up of variants in the 5 novel NOA genes (See Supplementary Material and Methods).

Ethics Statement

All patients (and family members where applicable) provided written informed consent to be included in the analyses and specifically exome sequencing. The study protocol was approved by the local ethics committees (Fundacio Puigvert: 2014/04c, Münster: 2010-578-f-S, GEMINI: 201502059). All experiments were performed in accordance with relevant guidelines and regulations.

Molecular genetics and statistical analyses

In the initial study, exome sequencing was carried out as a service by Macrogen Inc. (Rep. of Korea) utilizing the Agilent SureSelect_V6 enrichment. Details on variant's validation, phasing of *STAG3* and *SHOC1* variants and statistical analyses are provided in Supplementary Material and Methods.

Expression and meiotic studies in testis biopsy

qPCR was performed for *ADAD2* expression analysis in testis biopsies from patient 11-151 and a collection of diverse histologies for comparison. Meiotic studies, based on fluorescent

immunohistochemical staining, were performed in testicular tissue from the *TERB1*, *SHOC1*, *RAD21L1*, *MSH4*, *MEIOB*, *SYCE1*, and *TEX11* variant carriers as described previously^{5,9} (See Supplementary Material and Methods).

RESULTS

In our discovery cohort of TESE negative MA patients, we identified a plausible genetic cause in: i) 4/5 patients with incomplete SGA; ii) 0/2 patients with incomplete SCA; iii) all 10 patients with complete SCA. Molecular and clinical data are summarized in Table 1. No other plausible genetic causes of NOA were identified among the filtered variants in these patients (Table S2).

Genetic defects in incomplete SGA: *ADAD2*, *TEX14* and *DMRT1*

Novel NOA gene: - Patient 11-151 carries a homozygous stop-gain variant NM_139174.3:c.1186C>T; p.(Gln396Ter) in *ADAD2*. This may result in production of a truncated protein, whereby the adenosine-deaminase domain necessary for RNA binding and processing is affected. The fertile brother is a heterozygous carrier. qRT-PCR analysis of testicular RNA showed germ-cell specific expression and a higher expression in SGA compared to SCA cases. *ADAD2* expression was reduced approximately 2.7-fold for patient 11-151 compared to other SGA patients with intact *ADAD2* (Figure S1).

Known NOA genes— Patients 11-063 and 11-382 carry *TEX14* loss of function (LoF) variants: 11-063 is compound-heterozygous for a ~38Kb deletion comprising exons 6 to 21 NM_001201457:c.(554+1_555-1)_(3378+1_3378-1)del p. (185del941aa) removing the protein kinase domain and a frameshift deletion NM_001201457:c.2303_2306del; p. (Gln768ArgfsTer31) in exon 14, generating a stop-gain at amino acid (aa) 799 (total protein length: 1497 aa). Patient 11-382 carries a homozygous stop-gain NM_001201457:c.3454C>T;p.(Arg1152Ter), which removes the last 345 aa.

- Patient 17-204 carries a heterozygous deletion of exons 1 and 2 NM_021951.3:c.1_540del;p.(1del180aa) of *DMRT1*, resulting in removal of the first 180 aa of the protein, including the entire DM/DNA-binding domain. DNA of the parents was not available to define the deletion's origin.

Genetic defects in complete SCA: *TERB1*, *SHOC1*, *MSH4*, *RAD21L1*, *SYCE1*, *TEX11*, *MEIOB*, *MEI1* and *STAG3*

Novel NOA genes: - Patient 10-200 carries two novel LoF variants in *TERB1*: a frameshift deletion NM_001136505:c.289_290del;p.(Leu97ValfsTer7), leading to a premature stop codon at aa 103, removing the entire SANT/Myb domain predicted to be involved in DNA-binding, and a stop-gain at aa 605 NM_001136505:c.1813C>T;p. (Arg605Ter) (total protein length: 727 aa). The patient's azoospermic brother carries the same genotype, and the heterozygosity for the frameshift deletion in the mother indicates compound heterozygosity in the two brothers (Figure 1).

- Patient 11-272 carries a novel homozygous frameshift deletion NM_173521.4:c.797delT;p. (Leu266GlnfsTer6) in *SHOC1* leading to a premature stop codon at aa 271 (total protein

length: 1144 aa). The patient's brother, who is also affected by complete SCA, carries the same genotype (Figure 2).

- Patient 11-127 carries a novel homozygous missense variant NM_002440.4:c.1913C>T;p.(Pro638Leu) in *MSH4*, affecting a highly conserved aa and predicted as pathogenic by all *in silico* tools (Figure 3). The patient has two infertile sisters, but DNA from family members was not available for analysis.

- Patient 07-359 carries a homozygous stop-gain NM_001136566.2:c.1543C>T; p.(Arg515Ter) in *RAD21L1*, resulting in the removal of the last 41 aa of the protein (total length: 546 aa) eliminating the major part of a domain conserved with the other RAD21/REC8-like proteins. The fertile brother is a heterozygous carrier (Figure 4).

Known NOA genes: - Patient 15-285 carries a homozygous deletion of the entire open reading frame of the *SYCE1* NM_001143764.3:c.1_1113del;p.(1del371aa) and *CYP2E1* genes.

- Patient 09-297 carries a hemizygous deletion of exons 4 to 9 in *TEX11* NM_001003811.2:c.84_651del; p.(28del189aa). The deletion removes part of the meiosis specific protein Spo22/ZIP4/TEX11 domain.

- Patients 08-079 and 09-167 carry *MEIOB* variants: 08-079 carries a novel homozygous deletion of the last 177 aa (4 exons) NM_001163560.3:c.897_1431del; p.(219del178aa). Patient 09-167 carries a homozygous frameshift deletion in exon 12 that is predicted to produce a premature stop-gain at aa 381 NM_001163560.3:c.1140_1143del;p.(Asp381Ter) (total protein length: 471 aa). Both variants are predicted to affect the interaction with SPATA22.

- Patient 18-406 is compound-heterozygous for two likely pathogenic missense variants in exon 8 and 9 of *MEII* NM_152513.4:c.1088C>T;p.(Thr363Met) and NM_152513.4:c.925C>T;p.(Leu309Phe). Both mutated residues are conserved among species from rooster to primates (Figure S2), and the one in exon 8 is also present in the *Arabidopsis thaliana* *MEII* ortholog¹⁰. The infertile sister carries both variants whereas the mother carries only one- p.(Thr363Met).

- Patient 13-567 carries two novel heterozygous LoF variants in *STAG3*: a splicing variant in the canonical position (+1) in intron 2, NC_000007.14:g.100180673del; and a frameshift deletion in exon 16, NM_012447.4:c.1645_1657del;p.(His549AlafsTer9). The frameshift deletion leads to a premature stop codon at aa 558 affecting the armadillo (ARM)-type domain. Long-range sequencing demonstrated that the variants are biallelic (compound-heterozygous).

Meiotic analyses in SCA patients:

Meiotic progression was assessed by immunofluorescent staining of specific markers to classify different types of arrest, and subsequent quantification of specific features (Table S3)^{5,9}. Failure to form the XY body (silenced chromatin of the X and Y chromosomes) is a

typical feature of many mouse meiotic mutants that display pachytene arrest, while meiotic metaphase arrest is marked by the presence of apoptotic metaphases.

For patients 10-200 (*TERBI*) and 15-285 (*SYCE1*), meiotic analyses revealed a pachytene arrest (no XY body formation; Figure 1, Figures S3 and S4), with features of unrepaired meiotic DNA double-strand breaks (DSBs) in spermatocytes.

Spermatocytes of patients 11-272 (*SHOC1*), 11-127 (*MSH4*), and 09-297 (*TEX11*) also lacked XY bodies suggesting pachytene arrest, but still some spermatocytes apparently developed further, and the dramatic increase in the number of apoptotic metaphases indicated complete metaphase arrest (Figures 2 and 3, Figures S5, S6 and S7)

Patient 07-359 (*RAD21L1*) and patients 08-079 and 09-167 (both *MEIOB*) displayed reduced XY body formation in combination with complete metaphase arrest, which was more similar to results obtained in a recently analyzed patient group⁹ (Figure 4, Figures S8, S9 and S10).

Replication study of the novel causes of MA

The 5 novel NOA genes were screened in 130 MA patients for whom exome data was available in the GEMINI and MERGE studies. For 4/5 genes, rare and predicted as pathogenic variants were identified as the most plausible cause of MA in 5 unrelated patients. We observed a significantly higher frequency of homozygous LoF mutations (in *ADAD2*; *RAD21L1* and *SHOC1*) in the 147 patients (17 discovery and 130 validation), compared to that in the entire gnomAD exome database (p values ranging from 2×10^{-3} to 1×10^{-6}) (Table S4). Moreover, we calculated the PopScore for each variant in the 5 new genes by using the Population Sampling Probability pipeline (PSAP) and they were very low for all variants (ranging from $3,2 \times 10^{-4}$ to 2.1×10^{-7}) (for details see Supplementary information; Table S5).

Patient GEMINI-1020 is affected by incomplete SGA and is compound-heterozygous for a ~212 kb deletion that includes *ADAD2* (Hg19: chr16:84012049-84224913del) and a frameshift insertion NM_001145400.2:c.82dupC;p.(Gln28ProfsTer136) in exon 1 introducing a premature stop codon in the same gene at aa 136 (total protein length: 583 aa).

Patient M468 affected by SCA carries a rare homozygous missense and predicted as pathogenic variant NM_001136505.2:c.236C>T;p.(Ala79Val) in *TERBI*. The mutated aa is highly conserved among species from worm to primates.

Two unrelated MA patients carried recessive LoF variants in *SHOC1*. Patient M2012 is homozygous for a frameshift deletion NM_173521.4: c.1085_1086del;p.(Glu362ValfsTer25) and patient M2046 is compound heterozygous for NM_173521.4:c.945_948del; p.(Glu315AspfsTer6), NM_173521.4:c.1351del;p.(Ser451LeufsTer23) and NM_173521.4:c.1347T>A;p.(Cys449Ter). All variants are predicted to introduce a premature stop gain which would remove 65-70% of the protein.

Patient M1916 affected by MA carries a rare homozygous missense variant NM_002440.4:c.2261C>T;p.(Ser754Leu) in *MSH4* predicted as pathogenic by all *in silico* tools. The mutated aa is highly conserved among species from mosquito to primates.

DISCUSSION

Given the multifactorial and polygenic nature of NOA and the variety of testis phenotypes, here we applied exome sequencing to a highly selected group of patients affected by idiopathic MA with spermatozoa neither histologically identified nor recovered through TESE by multiple testicular biopsies. We focused on this specific testis phenotype because the identification of genetic factors associated with negative TESE not only has diagnostic value but also prognostic value for TESE outcome. In our discovery cohort, we successfully identified *ADAD2* as a novel cause of SGA, and four novel genes for SCA: *TERB1*, *SHOC1*, *MSH4* and *RAD21L1*. There are several lines of evidence to support their relevance: i) the mouse KO phenotype of these genes recapitulate the human phenotype; ii) segregation of the genotype with the phenotype among brothers were observed for *TERB1*, *SHOC1*, and heterozygous brothers of *ADAD2* and *RAD21L1* variants were fertile; iii) variants predicted as pathogenic were subsequently identified for *ADAD2*, *TERB1*, *SHOC1*, and *MSH4* in independent validation cohorts of MA patients, and the observed testis phenotypes of the carriers matched the phenotypes of carriers in the discovery cohort; iv) our meiotic studies provided mechanistic explanation to the genotype/phenotype correlation; v) the relevance of the variants in the five genes is supported by the very low PopScore; vi) the frequency of homozygous LoF variants in our cohort versus gnomAD individuals was significantly higher. However, evidence from independent patients/pedigrees (and/or whole genome sequencing) would be necessary to definitively prove the causality of identified variants/genes for MA

Apart from the five novel candidate genes, we validated seven genes (*TEX14*, *DMRT1*, *SYCE1*, *TEX11*, *MEIOB*, *MEI1* and *STAG3*) that were previously reported in NOA individuals (Table S6). With the exception of *DMRT1*, recessive inheritance was observed for all genes. Interestingly, not all affected men were from consanguineous families and, thus, these genetic causes for NOA should also be considered in outbred populations. In the current literature the number of genes reported to be involved in non-syndromic NOA is 29, and among them only 13 MA candidate genes were reported in more than one study. A small minority of them reached to sufficient clinical evidence for diagnostic testing according to Oud et al. 2019³. Our study represents a major advancement, since for three genes *TEX11*, *TEX14*, and *STAG3* there is now definitive/strong clinical evidence while clinical evidence for two genes, *MEI1* and *MEIOB* is upgraded to moderate (Table S6). Prior to this study, there was sufficient clinical evidence for six genes (*DMRT1*, *HSF2*, *SYCP3*, *TEX11*, *TEX15* and *XRCC2*) to recommend diagnostic testing³. The current study increases this number to ten, and those genes which are associated with complete MA are candidates for a future pre-TESE prognostic gene panel.

We propose five novel genetic etiologies for human MA and all are strong candidates since the phenotype of respective KO mice is similar. Of these, only one was associated with incomplete spermatogonial arrest in two patients that carried *ADAD2* variants. *ADAD2*

belongs to the double-stranded RNA binding proteins (dsRBP); it binds with high affinity to highly structured RNA substrates, such as sncRNAs where it may edit the RNA through its deaminase activity and thereby control RNA structure and/or protein coding sequence¹¹. According to the Mouse Genome Informatics database (<http://www.informatics.jax.org/>) the KO mouse displays male and female infertility. Based on the testis phenotypes of the patients from the two different cohorts and the observed reduced *ADAD2* mRNA expression, we propose a critical role for *ADAD2* in spermatogonia where it is likely acting as a dsRNA-binding protein¹². Concerning the other 4 new genes, different types of meiotic arrest were observed. First, in the *TERB1* variant carriers, we observed a pachytene arrest, which recapitulates the mouse phenotype¹³ (Table S7). *TERB1* is a testis specific telomere-associated protein, which is essential in the regulation of chromosome movement to promote homologous pairing during meiotic prophase I¹³. Defects in the other three newly identified MA associated genes (*SHOC1*, *RAD21L1* and *MSH4*) show metaphase arrest which was somewhat less severe compared to the mutant mouse models (Table S5). For *SHOC1* we observed an almost complete lack of XY bodies (indicative of failure to complete chromosome pairing) but still progression to metaphase. *SHOC1* is a homolog of the budding yeast *Zip2* protein, that forms a tight complex with *Zip4*, homologous to mammalian *TEX11*¹⁴. They interact with components of the synaptonemal complex and play a role in DSB repair and crossover formation. *Shoc1* knockout in mice also affects XY body formation, but subsequently leads to a pachytene arrest¹⁵. This may indicate that the variants allow production of a partially functional (truncated) protein, but we may also hypothesize possible differences in the stringency of meiotic arrest inducing checkpoints between mouse and human (see below). The second novel mutated gene associated with metaphase arrest, *RAD21L1*, is a testis specific component of the cohesion complex involved in meiotic chromosome pairing and separation¹⁶. *Rad21* KO male mice are defective in full synapsis (physical protein linkage between chromosomes) of homologous chromosomes at meiotic prophase I, provoking an arrest at a zygotene-like stage¹⁶. In our *RAD21L1* patient carrying the variant, XY body formation, indicating completion of synapsis, was observed in > 70% of the tubules. Thus, the 41 C-terminal amino acids of this protein that are lacking in our patient may be essential for progression beyond meiotic metaphase, but possibly not for homologous chromosome synapsis. Finally, *MSH4* encodes a member of the DNA mismatch repair mutS family, which is highly expressed in testis. It is required for reciprocal recombination and proper chromosome pairing during meiosis I. For *MSH4*, the variant in the ATPase domain analyzed in this study, yields a less severe phenotype compared to that observed in the *Msh4* mouse knockout¹⁷, but is very reminiscent of the mouse *Msh5* ATPase mutant phenotype¹⁸. In this *Msh5* point mutant, XY body formation was severely affected, but a subset of cells progressed to meiotic metaphase, indicating that some function of this heterodimer does not require ATPase activity, since the full knockout displays a more severe phenotype¹⁹. Additionally, in this case, we observe a complete lack of XY body formation, but still an arrest at meiotic metaphase (further discussed below). In addition to these 5 novel genes associated with NOA, our detailed clinical description and meiotic studies also provide novel data for the genotype/phenotype correlation of seven previously reported genes. For two of them, *TEX14* and *DMRT1*, the previously described testis phenotypes are heterogeneous (See table S6). We identified two homozygous LoF variants in *TEX14* in two unrelated patients affected by incomplete

spermatogonial arrest. Previously reported *TEX14* variants were associated with testis histology ranging from SCO to complete SCA^{20–22}. With the discovery of the two new *TEX14* variant carriers, this gene can now be upgraded to strong clinical evidence. We conclude that *TEX14* defects are a relatively frequent cause of NOA due to SCO or early MA with TESE negative outcome. Another patient with early MA (incomplete SGA), carried a heterozygous deletion of exons 1 and 2 in *DMRT1*, for which deletions as well as rare nucleotide variants have been associated with different NOA phenotypes^{23,24}. The current study further increases the evidence for a pathogenic effect of *DMRT1* haploinsufficiency.

Regarding the novel variants in *TEX11*, *STAG3*, *MEIOB*, *MEI1* and *SYCE1*, our findings are consistent with earlier observations, and confirm the critical role of these genes in meiosis i.e. all our patient showed complete SCA (Table 1). Most importantly, thanks to our study, *TEX11* has reached the level of definitive clinical evidence. For most of these patients, we were able to obtain novel information about the exact nature of meiotic arrest. *MEIOB* is a ssDNA binding protein and a meiosis-specific paralog of the more well-known repair protein RPA1. *MEIOB* functions together with another meiosis-specific protein, *SPATA22*, in the processing of early meiotic recombination intermediates. Knockout of either *Meiob*²⁵ or *Spata22*²⁶ in mouse results in very severe defects in meiotic DSB repair, resulting in what is generally called pachytene arrest, whereby cells have a more zygotene-like appearance, because homologous chromosomes fail to pair and synapse. Based on the shared region of *MEIOB* in patients 09-167 and 08-079 that is still intact, it seems most likely that the 293 N-terminal amino acids allow the truncated *MEIOB* to aid in completion of DSB repair for at least a portion of the meiotic DSBs, resulting in complete chromosome pairing in at least part of the cells as evidenced by the (reduced) XY body formation observed. However, crossover formation is severely affected, resulting in an arrest at metaphase I instead of at pachytene. It is noteworthy that the *MEIOB* variants observed in our study and in previous studies cluster in exon 12, suggesting a hot-spot mutation region, at least in the Arabic/Pakistani population^{27,28}. For *SYCE1* we observed a pachytene arrest (XY body formation failure), consistent with phenotypes in mice. For our *TEX11* patient, the complete lack of XY bodies was associated with arrest occurring at metaphase instead of at pachytene. This unexpected phenotype is very similar to phenotypes we observed for the *SHOC1* and *MSH4* variant carriers. Pachytene arrest in mice is very robust²⁹, and observed in 7 out of 9 of the knockout mice for the meiotic genes found mutated in this manuscript (Table S7). It is triggered by a DNA damage response, and by incomplete meiotic sex chromosome inactivation (MSCI, failure to form the XY body)²⁹. Therefore, in the patients carrying variants in *MSH4*, *TEX11*, or *SHOC1*, the (near) complete absence of cells with XY bodies would be expected to trigger such a pachytene arrest, but we observed a later, metaphase arrest. However, previous analyses have indicated that MSCI is more variable in human than mouse, or perhaps not even achieved in all cells that complete meiosis^{30,31}. Together with our observations, this could indicate that a failure of XY body formation in man may not always lead to a pachytene arrest. This may also explain the overall more frequent occurrence of metaphase arrest compared to pachytene arrest in man compared to mouse, as a cause of spermatocyte arrest⁹.

An emerging issue in the field of human reproduction is that some genetic factors may affect both male and female fertility. In men, meiotic defects typically result in NOA, whereas in women they are associated with Premature Ovarian Insufficiency (POI). We report variants in *MSH4*, *SYCE1*, *MEIOB* and *MEI1* in men with complete SCA. Mutations in these genes were also previously reported to cause POI or hydatidiform mole in females^{28,32–34}. Interestingly, both of our patients with variants in *MSH4* and *SYCE1* had at least one infertile sister. Although it is not known whether our novel MA genes *ADAD2*, *RAD21L1* and *TERB1* are involved in POI, knockout of these genes in mice results in infertility in both sexes^{13,17,35}. Hence, we encourage further research on shared genetic factors causing both male and female infertility. The identification of such genetic defects implies that genetic counseling for NOA has relevance to both male and female family members, especially because in the latter, fertility preservation could be advised prior to ovarian failure.

Our study also has wider implications: 1) the discovery of novel genetic factors and their introduction to a diagnostic setting opens novel avenues for their potential relevance to the general health status of NOA men. It is clear that spermatogenesis and tumorigenesis may share common genetic factors, and according to a growing body of evidences, reproductive phenotype may serve as a biomarker of general health^{36,37}. NOA is a multifactorial condition and the identification of the underlying genetic defect through diagnostic genetic testing would allow the identification of patients at higher risk for general health problems. Recently, starting from exome analysis performed with the purpose of diagnosing the etiology of SCO, we identified three subjects with “occult”, late onset Fanconi anemia. These patients are now under surveillance with oncohematologists³⁸. In our current paper, 3 out of the 5 new MA genes (*TERB1*, *MSH4* and *RAD21L1*) are involved in the maintenance of genome integrity and two patients are variant carriers of another DNA repair gene, *MEIOB*. Although these genes are not considered among the 32 cancer predisposition genes³⁹ their defective function may increase risk for the acquisition of mutations in cancer genes leading to cancer predisposition⁴⁰; 2) the genetic dissection of meiotic arrest through genotype/phenotype correlation is also of relevance for the development of male contraceptives based on germ cell specific targeting.

In conclusion, for the first time in this field, we describe a highly successful genetic screening contributing substantially to the development of a pre-testis biopsy gene panel. If properly validated, the genetic diagnosis of complete MA prior to surgical interventions, aimed at the recovery of testicular spermatozoa for subsequent *in vitro* fertilization, will potentially prevent such procedures if the chances of success are very low or virtually zero. Hence, the identification and validation of gene defects associated with poor TESE prognosis is critical in informing surgical decisions in about 1% of men in the general population.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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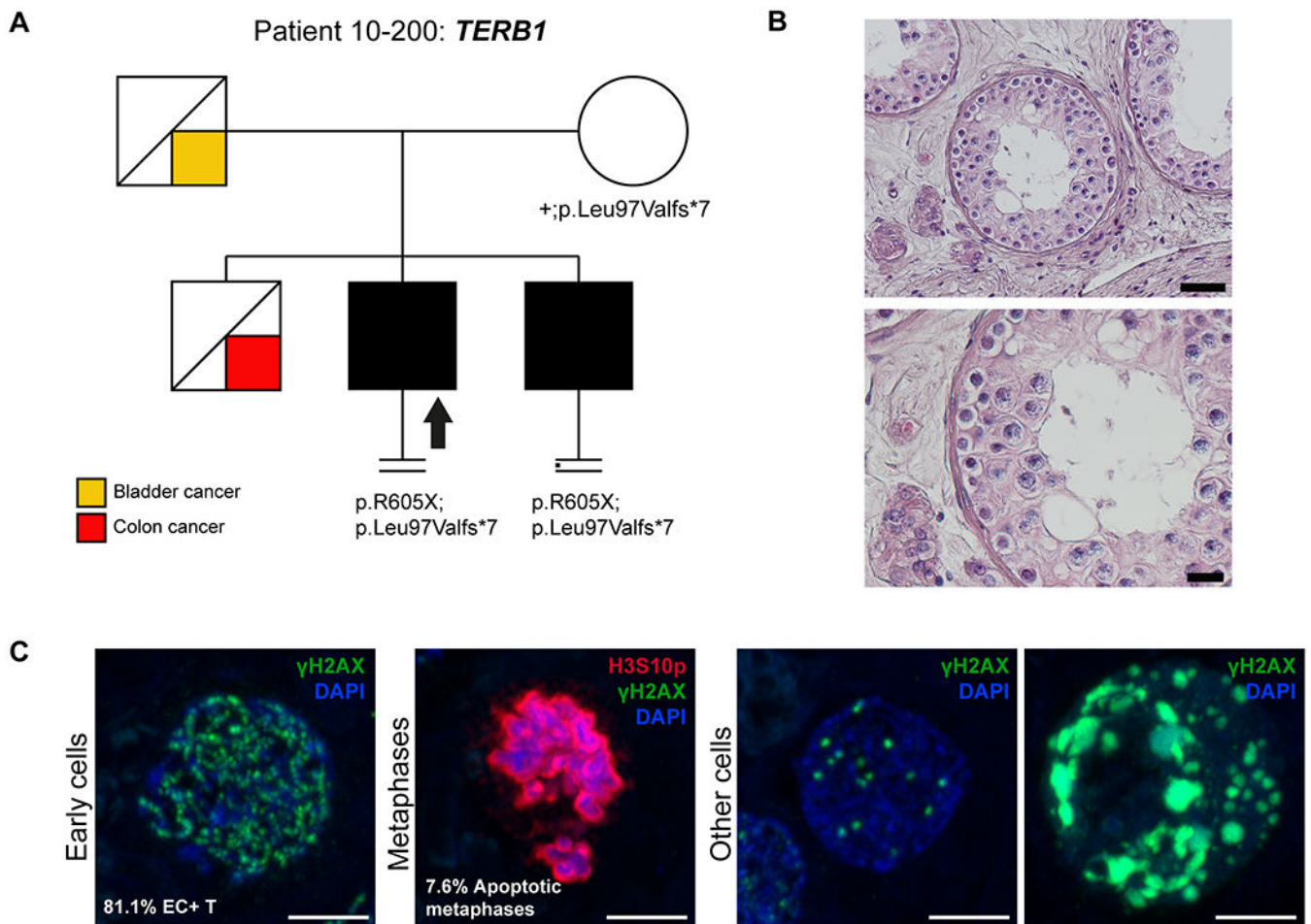


Figure 1. Investigation of patient 10-200 carrying the *TERB1* variant.

A) The pedigree structure shows the segregation of the *TERB1* variants (p.R605Ter and p.Leu97Valfs*7). **B)** H&E staining of histological sections from the testis biopsy of the patient carrying the *TERB1* variant. Scale bar on the upper image represents 50 μm and on the lower image 20 μm . **C)** Immunofluorescent staining of histological sections from the testis biopsy of the patient carrying the *TERB1* variant using γH2AX (Green, marker for DSBs and XY body), Histone 3 Serine 10 phosphorylation (H3S10p, red, marker of M-phase), and DAPI (blue). Scale bar represents 5 μm . In this patient only a few metaphases were observed (1.1 metaphases/ mm^2), which were below the normal threshold, based on samples containing meiotic metaphases (4.0-11.5 metaphases/ mm^2)⁹, thus representing the mitotic metaphases of spermatogonia. Early cells were observed in almost all the counted tubules. No XY bodies were detected, indicating that the cells fail to reach the pachytene stage. Most of the early cells displayed an aberrant pattern of γH2AX spots. Some cells displayed an aberrant pattern whereby γH2AX patches covered the entire nucleus.

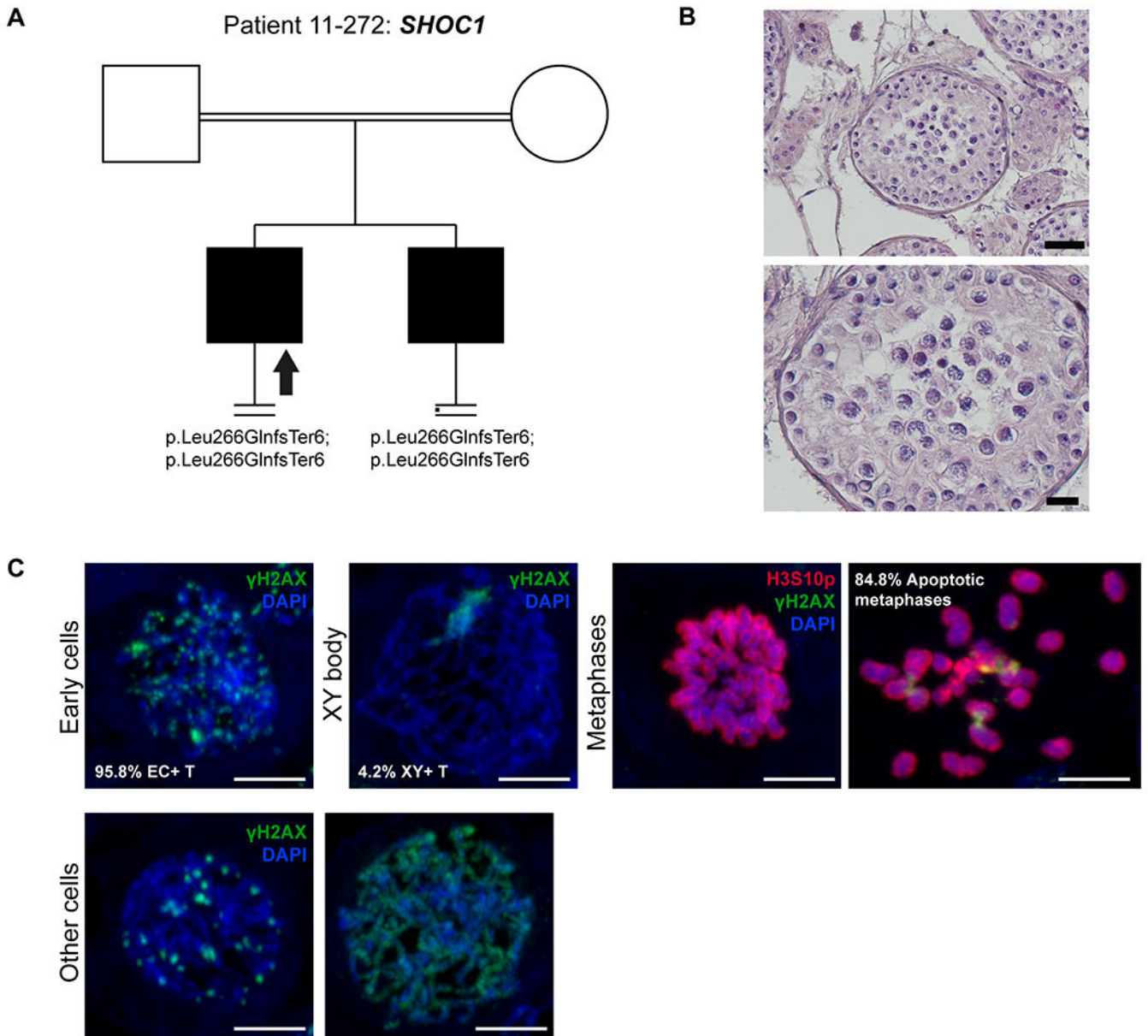


Figure 2. Investigation of patient 11-272 carrying the *SHOC1* variant.

A) The pedigree structure shows the segregation of the *SHOC1* variant

(p.Leu266GlnfsTer6). **B)** H&E staining of histological sections from the testis biopsy of the patient carrying the *SHOC1* variant. Scale bar on the upper image represents 50 μm and on the lower image 20 μm . **C)** Immunofluorescent staining of histological sections from the testis biopsy of the patient's brother carrying the *SHOC1* variant using γH2AX (Green), H3S10p (red), and DAPI (blue). Scale bar represents 5 μm . Early cells were observed in 95.8% of the round tubules that were counted. Extremely reduced XY body positive tubules were observed, indicating that the cells rarely reach the pachytene stage. A high density of metaphases was observed (29 metaphases/ mm^2) with a high percentage of apoptotic metaphases (84.8%). The organization of the apoptotic metaphases appeared to be more

chaotic, as the chromosomes within these metaphases were dispersed. The early cells displayed an aberrant pattern of γ H2AX spots. Some cells displayed an aberrant pattern whereby γ H2AX is localized on the entire axis of the DNA strands.

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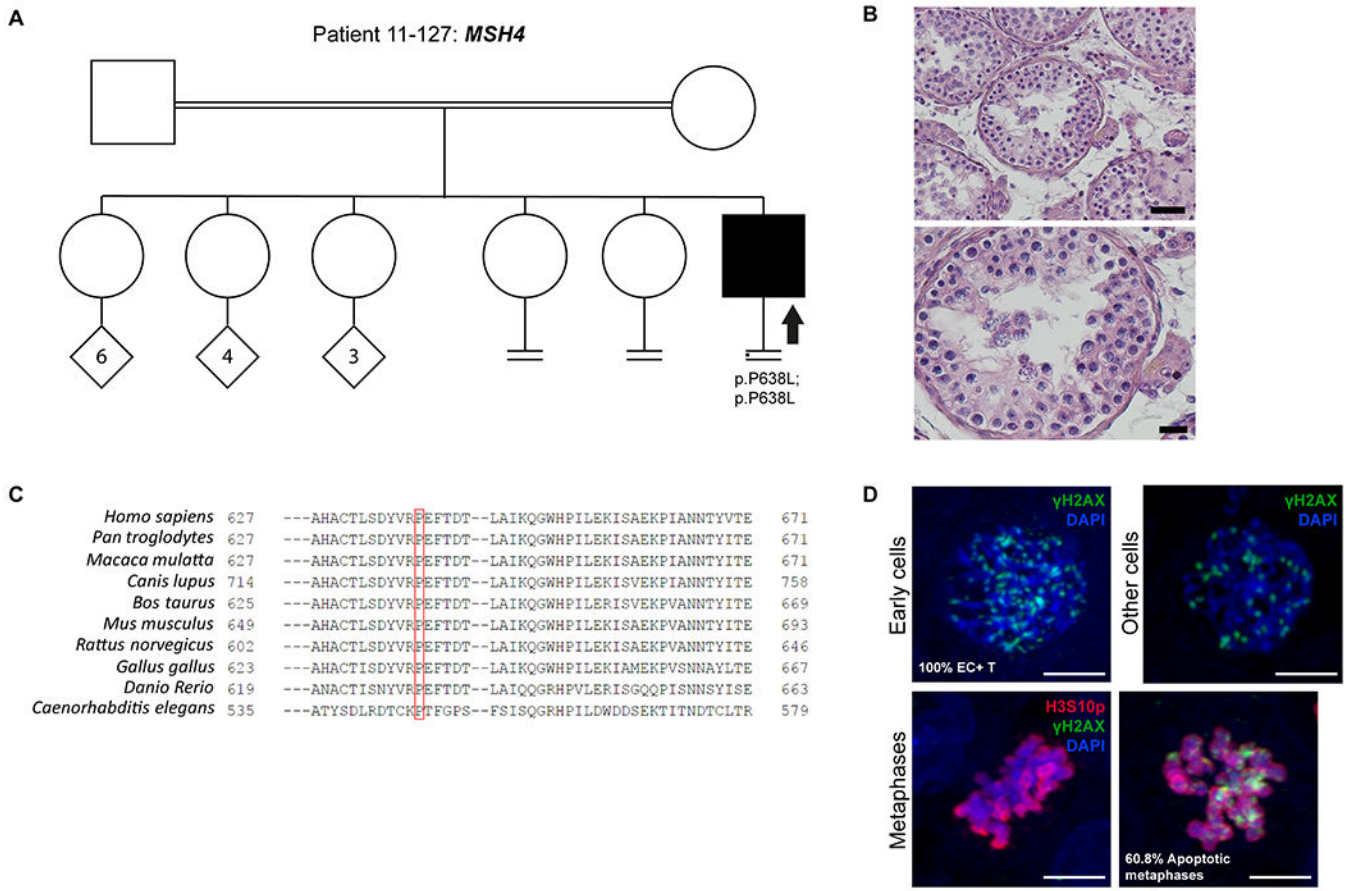


Figure 3. Investigation of patient 11-127 carrying the *MSH4* variant.

A) The pedigree structure shows the segregation of the *MSH4* variant (p.P638L). **B)** H&E staining of histological sections from the testis biopsy of the patient carrying the *MSH4* variant. Scale bar on the upper image represents 50 μm and on the lower image 20 μm . **C)** Sequence alignment of *MSH4* protein orthologs, performed by HomoloGene. Red box highlights the Proline that is changed to Leucine in the *MSH4* variant, which is highly conserved among species. **D)** Immunofluorescent staining of histological sections from the testis biopsy of the patient carrying the *MSH4* variant using γH2AX (Green), H3S10p (red), and DAPI (blue). Scale bar represents 5 μm . Early cells were observed in 100% of the round tubules that were counted. In a striking number of early cells we observed a spotty γH2AX pattern. No XY bodies were observed in the tubules that were counted for this patient. The metaphase density is higher (15.2 metaphases/ mm^2) than the previously described control group. This indicates that there are meiotic metaphases present in this patient despite the lack of XY bodies. In addition, 60.8 % of the metaphases were positive for γH2AX .

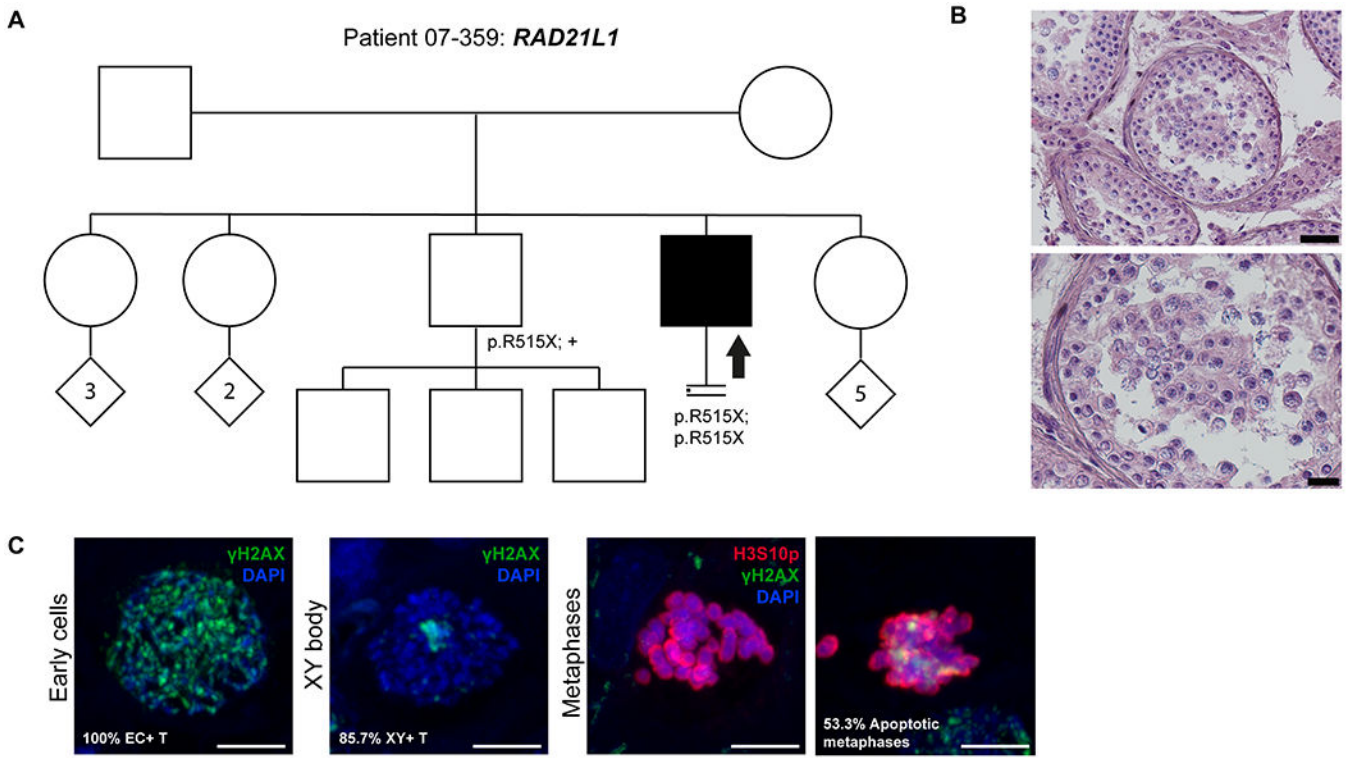


Figure 4. Investigation of patient 07-359 carrying the *RAD21L1* variant.

A) The pedigree structure shows the segregation of the *RAD21L1* variant (p.R515X). **B)** H&E staining of histological sections from the testis biopsy of the patient carrying the *RAD21L1* variant. Scale bar on the upper image represents 50 μm and on the lower image 20 μm . **C)** Immunofluorescent staining of histological sections from the testis biopsy of the patient carrying the *SHOC1* variant using γH2AX (Green), H3S10p (red), and DAPI (blue). Scale bar represents 5 μm . Early cells were observed in 100% of the round tubules that were counted. XY bodies were present in 85.5% of the tubules. The metaphase density is comparable to the control group (9.4 metaphases/ mm^2) however, a high percentage of these metaphases are apoptotic (53.3%).

Table 1:

Relevant variants in genes identified in patients affected by Maturation Arrest and their clinical characteristics.

Gene symbol	Patient code	Left/right testis volume (mL)	FSH (IU/L)	Testis histology	Variant description	Type of variant (status)	MAF (GnomAD)
<i>Novel causes of Maturation Arrest</i>							
ADAD2	11-151	15/15	11.0	Incomplete SGA sp-	NM_139174.3:c.1186C>T; p. (Gln396Ter)	Stopgain (hom)	n.r.
	11-151 fertile brother	18/18	n.a.	n.a.	NM_139174.3:c.1186C>T; p. (Gln396Ter)	Stopgain (het)	n.r.
	GEMINI-1020	15/15	5.2	Incomplete SGA sp-	NM_001145400.2:c.82dupC; p. (Gln28ProfsTer136); chr16:84012049-84224913del	Frameshift insertion (het); Gene deletion (het)	0.0001237
TERBI	10-200	20/20	6.1	Complete SCA sp-	NM_001136505.2:c.289_290del; p. (Leu97ValfsTer7) NM_001136505.2:c.1813C>T; p. (Arg605Ter)	Frameshift deletion (het); Stopgain (het)	n.r.; n.r.
	10-200 NOA brother	15/15	8.0	n.a.	NM_001136505.2:c.289_290del; p. (Leu97ValfsTer7) NM_001136505.2:c.1813C>T; p. (Arg605Ter)	Frameshift deletion (het); Stopgain (het)	n.r.; n.r.
	M468	19/16	6.4	SCA sp-	NM_001136505.2:c.236C>T; p. (Ala79Val)	Missense (hom)	0.0000128
SHOC1	11-272	15/13	8.2	Complete SCA sp-	NM_173521.4:c.797delT; p. (Leu266GlnfsTer6)	Frameshift deletion (hom)	n.r.
	11-272 NOA brother	15/12	11.0	Complete SCA sp-	NM_173521.4:c.797delT; p. (Leu266GlnfsTer6)	Frameshift deletion (hom)	n.r.
	M2012	19/23	5.9	n.a.	NM_173521.4: c.1085_1086del; p. (Glu362ValfsTer25)	Frameshift deletion (hom)	n.r.
	M2046	10/10	15-9	Complete SCA sp-	NM_173521.4:c.945_948del; p. (Glu315AspfsTer6) NM_173521.4:c.1351del; p. (Ser451LeufsTer23) NM_173521.4:c.1347T>A p. (Cys449Ter)	Frameshift deletion (het); Frameshift deletion (het); Stopgain (het)	0.00002837; n.r.; n.r
MSH4	11-127	15/15	7.6	Complete SCA sp-	NM_002440.4:c.1913C>T; p. (Pro638Leu)	Missense (hom)	n.r.
	M1916	9/11	8.1	histology n.a. sp-	NM_002440.4:c.2261C>T; p. (Ser754Leu)	Missense (hom)	0.00003602
RAD21LI	07-359	14/15	5.0	Complete SCA sp-	NM_001136566.2:c.1543C>T; p. (Arg515Ter)	Stopgain (hom)	0.0004394
	07-359 fertile brother	18/20	n.a.	n.a.	NM_001136566.2:c.1543C>T; p. (Arg515Ter)	Stopgain (het)	0.0004394
<i>Previously reported causes of NOA</i>							

Gene symbol	Patient code	Left/right testis volume (mL)	FSH (IU/L)	Testis histology	Variant description	Type of variant (status)	MAF (GnomAD)
<i>TEX11</i>	09-297	20/20	4.3	Complete SCA sp-	NM_001003811.2:c.84_651del; p. (28del189aa)	Partial gene deletion (hem)	n.r.
<i>TEX14</i>	11-063	14/13	6.4	Incomplete SGA sp-	NM_001201457.1:c.2303_2306del; p. (Gln768ArgfsTer31) NM_001201457:c. (554+1_555-1)_(3378+1_3378-1)del p. (185del1941aa)	Frameshift deletion (het); Partial gene deletion (het)	n.r.
	11-382	15/18	11.7	Incomplete SGA sp-	NM_001201457.1:c.3454C>T; p. (Arg1152Ter)	Stopgain (hom)	0.00002839
<i>STAG3</i>	13-567	18/18	7.1	Complete SCA sp-	NC_000007.14:g.100180673del; NM_012447.4:c.1645_1657del; p. (His549AlafsTer9)	Splicing (Het); Frameshift deletion (het)	n.r.; n.r.
<i>MEIOB</i>	08-079	22/22	3.7	Complete SCA sp-	NM_001163560.3:c.897_1431del; p. (219del178aa)	Partial gene deletion (Hom)	n.r.
	09-167	15/18	6.3	Complete SCA sp-	NM_001163560.3:c.1140_1143del; p. (Asp381Ter)	Frameshift deletion (hom)	0.00007159
<i>DMRT1</i>	17-204	12/12	25.6	Incomplete SGA sp-	NM_021951.3:c.1_540del; p. (1del180aa)	Partial gene deletion (het)	n.r.
<i>MEII</i>	18-406	20/20	5.6	Complete SCA sp-	NM_152513.4:c.1088C>T; p. (Thr363Met) NM_152513.4:c.925C>T; p. (Leu309Phe)	Missense (het); Missense (Het)	0.00004277; n.r.
<i>SYCE1</i>	15-285	15/18	4.1	Complete SCA sp-	NM_001143764.3: c.1_1113del; p. (1del371aa)	Complete gene deletion (hom)	n.r.

NOA: Non-Obstructive Azoospermia; SGA: SpermatoGonial Arrest; SCA: SpermatoCytic arrest; sp-: no sperm retrieved after testis biopsy; n.a.: not available; n.r.: not reported; hom: homozygous; het: heterozygous; hem: hemizygous. Assessment of *SYCE1* new clinical evidence/score is not applicable because the deletion removes more than one gene. FSH reference value: 1.5-8 IU/L