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## Stem Cell–Mediated Paracrine Signaling Alters Fibroplasia in Human Vocal Fold Fibroblasts in Vitro

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## Abstract

**Objectives:** Interactions between mesenchymal stem cells (MSCs) and native vocal fold fibroblasts (VFFs) have not been described in spite of promising preliminary data regarding the effects of MSCs on vocal fold repair in vivo. The current study employed a conditioned media (CM) model to investigate the paracrine effects of bone marrow-derived mesenchymal stem cells (BMSCs) on VFFs.

**Methods:** Human VFFs were treated with transforming growth factor- $\beta$ 1 (TGF- $\beta$ 1; 10 ng/mL), CM from human BMSCs following 48 hours of TGF- $\beta$ 1 stimulation, or CM+TGF- $\beta$ 1. Proliferation, immunocytochemistry for alpha smooth muscle actin ( $\alpha$ SMA), migration, and collagen gel contraction were quantified as well as transcription of components of the TGF- $\beta$  signaling pathway.

**Results:** Transforming growth factor- $\beta$ 1 accelerated proliferation and induced  $\alpha$ SMA in VFFs; these effects were suppressed with CM (P= .009, P< .001, respectively). The CM+TGF- $\beta$ 1 condition increased cell migration (P= .02) and decreased gel contraction; CM+TGF- $\beta$ 1 also inhibited TGF- $\beta$  signaling via significant upregulation of *NR4A1* as well as downregulation of *SMAD3* and *TGF*- $\beta$ 1 relative to TGF- $\beta$ 1 stimulation in the absence of CM (P= .002, P< .001, and P= .005, respectively).

**Conclusions:** Conditioned media affected many profibrotic cell activities in TGF- $\beta$ 1-stimulated VFFs, likely related to altered TGF- $\beta$  signaling. These data provide preliminary insight regarding the antifibrotic effects of MSCs and further support their progression to clinical utility.

## Keywords

vocal fold; fibrosis; bone marrow-derived mesenchymal stem cells; transforming growth factor- $\beta$ ; conditioned media

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Declaration of Conflicting Interests

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## Introduction

Following injury, an exuberant inflammatory environment disrupts extracellular matrix (ECM) metabolism, ultimately yielding scar formation and increased tissue stiffness. In the vocal folds, this process frequently leads to intractable loss of tissue viscoelasticity critical for vocal fold vibration. Treatment of vocal fold fibrosis remains challenging due to difficulty in restoring the native architecture; standardized and optimal strategies for the management of vocal fold fibrosis have not yet been established. In that regard, stem cell therapy has emerged as a promising therapeutic strategy for vocal fold fibrosis. Recent studies described successful approaches employing mesenchymal stem cells (MSCs), fibroblasts, induced pluripotent stem (iPS) cells, and embryonic stem (ES) cells.<sup>1</sup>

Mesenchymal stem cells have long been identified for their unique characteristics, including self-renewal and multidirectional differentiation into a variety of cell lineages (eg, bone, fat, and cartilage),<sup>2</sup> as well as ease of harvest and isolation (eg, bone marrow,<sup>3</sup> adipose tissue,<sup>4</sup> placenta,<sup>5</sup> peripheral blood,<sup>6</sup> etc). Several investigators have demonstrated that MSCs transplanted into injured vocal folds yield impressive histological and functional outcomes in rat,<sup>7,8</sup> rabbit,<sup>9,10</sup> and canine<sup>11,12</sup> models of vocal fold injury. In spite of these encouraging preliminary data, few studies have investigated the mechanism(s) associated with these outcomes. We hypothesize that this mechanism is likely complex; in addition to directly altering the wound environment, MSCs likely interact with native cells in the wound milieu to alter the wound healing phenotype. We sought to investigate these interactions with the overarching goal of providing further foundational insight into the relevant variables underlying the therapeutic efficacy of MSCs to improve wound healing in the vocal folds.

Wound healing is a complex, multistage process with the goal of recapitulation of the epithelium and lamina propria layers of the vocal folds following injury. Vocal fold fibroblasts (VFFs) play a central role in the wound healing process by migrating into the wound bed to synthesize new ECM. A subpopulation of fibroblasts differentiate to become  $\alpha$ -smooth muscle actin ( $\alpha$ SMA)-expressing myofibroblasts.<sup>13</sup> Myofibroblasts are the main effector cells in tissue fibrosis that synthesize ECM in the wound bed, particularly type I collagen. Transforming growth factor- $\beta$ 1 (TGF- $\beta$ 1) is a key factor involved in scar formation and has been shown to promote myofibroblast differentiation.<sup>14</sup> Transforming growth factor- $\beta$ 1 signaling is primarily mediated via the Smad family of signaling proteins, which transduce extracellular cues from cell-surface transmembrane receptors to the nucleus. Smad2 and Smad3 are receptor-activated or pathway-restricted proteins directly activated by TGF- $\beta$ 1 binding to the receptor, leading to heterodimerization with Smad4. This complex is then translocated into the nucleus to regulate transcription. Smad3 in particular is thought to be mainly related to fibrosis, and knockdown of *SMAD3* has been shown to suppress collagen gene expression and contractile features in human VFFs.<sup>15,16</sup>

Smad7 is a competitive inhibitor of Smad activation and therefore thought to be an endogenous antifibrotic agent. In addition, recent data suggest Nr4a1 is also likely a potent attenuator of TGF- $\beta$  signaling. Nr4a1 deficiency has been linked to skin and lung fibrosis, and Nr4a1 agonists ameliorated fibrosis in various fibrotic models.<sup>17</sup> Nr4a1 belongs to the nuclear receptor subfamily 4 group (NR4A), which act as transcription factors to regulate

expression of genes by targeting specific response elements.<sup>18</sup> Nr4a1 is a key transcriptional regulator and has been implicated in glucose and lipid homeostasis, adipogenesis, inflammation, and vascular remodeling.<sup>19</sup> The role of Nr4a1 in vocal fold homeostasis and fibrosis is unknown. Furthermore, the effects of MSCs on Smad3 and Nr4a1 expression in VFFs have not been described. These data are critical to our understanding of the development of fibrosis as well as the development of targeted, physiologically relevant therapeutics for this challenging population.

In that regard, we recently reported that MSCs altered ECM metabolism in VFFs employing a co-culture model.<sup>20</sup> Mesenchymal stem cells had favorable effects on VFFs across multiple fibrotic processes. However, it is unclear if direct cell-to-cell interactions, paracrine signaling, or a combination of the two mediated these outcomes. To address this experimental question, we employed a conditioned media (CM) model. It is well known that MSCs facilitate tissue repair and mitigate excessive inflammation via the paracrine actions of various tropic factors and cytokines. In this regard, it is essential to eliminate direct cell-to-cell interactions to quantify the regulatory effects of MSCs via paracrine signaling. Conditioned media models are ideal to investigate these issues. These data are fundamental to both increase our collective insight into the biochemical processes underlying vocal fold injury and repair but also improve the platform for enhanced pre-clinical and clinical investigation.

## **Materials and Methods**

## **Preparation of Conditioned Media**

Human bone marrow-derived mesenchymal stem cells (BMSCs; Thermo Fisher Scientific, Waltham, Massachusetts, USA) at passage 3 to 5 were employed for all experimentation. Bone marrow-derived mesenchymal stem cells at 70% to 80% confluency were treated with serum-free Dulbecco's Modified Eagle's medium (DMEM) supplemented with recombinant human TGF- $\beta$ 1 (10 ng/mL; Life Technologies, Grand Island, New York, USA) following 12-hour serum starvation (DMEM+0.1% Bovine Serum Albumin). After 48 hours, the media was collected, centrifuged at 1000 rpm for 5 minutes, and filtered through a .22 µm syringe filter. This CM was employed immediately for all experimentation.

#### **Cell Culture Model**

The immortalized human vocal fold fibroblast cell line created in our laboratory, referred to as HVOX,<sup>21</sup> was employed for all experimentation. This line has been shown to remain stable through multiple population doublings. HVOX were seeded and cultured in DMEM supplemented with 10% fetal bovine serum (FBS) and antibiotic/antimycotic for 24 hours under standard cell culture conditions. After 12 hours of serum starvation, the cells were washed with phosphate-buffered saline (PBS) and exposed to the following experimental conditions: serum-free media (control), TGF- $\beta$ 1 (10 ng/mL), CM, or CM+TGF- $\beta$ 1 (10 ng/mL).

#### **Cell Proliferation**

The CellTiter 96 Assay (Promega, Madison, Wisconsin, USA), an assay of metabolic activity and viability, was employed as described previously.<sup>21</sup> Briefly,  $5.0 \times 10^3$  cells were seeded in each well of a 96-well plate and cultured under each experimental condition for 72 hours. At the experimental endpoint, 20 µL of dye solution regent was added to each well and absorbance was measured in triplicate via Synergy H1 microplate reader (BioTek, Winooski, Vermont, USA) at 490 nm.

#### Immunocytochemistry

HVOX  $(1.0 \times 10^5)$  were seeded on chamber slides and grown for 72 hours under each experimental condition. Cells were then fixed in 4% paraformaldehyde for 10 minutes and then permeabilized and blocked with a PBS solution containing .1% Triton-X and 5% normal goat serum. The slides were incubated at 4°C overnight with primary mouse monoclonal antibodies against aSMA (1:200; Sigma-Aldrich, St. Louis, Missouri, USA) and then the corresponding Alexa-Fluor 555 goat anti-mouse IgG (1:500; Invitrogen, Carlsbad, California, USA) secondary antibody. For negative controls, primary antibodies were omitted. Digital images were captured with a Nikon Eclipse Ni-U fluorescence microscope (Nikon Inc, Tokyo, Japan), and ImageJ Software (National Institutes of Health; v1.41) was used to count aSMA-positive cells and nuclei. Analyses were performed on 10 randomly chosen, 3.0 mm<sup>2</sup> fields for each condition under 10× magnification.

#### **Cell Migration/Scratch Assay**

HVOX migration was assessed as previously described by our group.<sup>16,21</sup> Briefly, cells were grown in 6-well plates until 100% confluence. Following serum starvation, a gentle scratch was performed along the longitudinal axis of each well using a small pipette tip, followed by a PBS wash to remove detached cells. The plates were then incubated for 8 hours under each media condition. Digital images were captured at 0 and 8 hours using a Nikon Eclipse TS100 microscope (Nikon Inc) with an attached C-mount Morrell HDMI-02 camera (Morrell Instrument Company, Melville, New York, USA) at  $4 \times$  magnification. Transverse markings on the bottom of each well served as landmarks to ensure the location of imaging was consistent across time points. The denuded area was quantified in pixels using ImageJ Software. The following formula was employed to quantify migration: initial area – final area = area/8 h.

#### **Collagen Contraction**

Contraction was assayed as previously reported by our group.<sup>16,22</sup> HVOX were gently pipetted onto gels at a concentration of  $3.0 \times 10^4$  cells in 200 µL and incubated for 2 hours. The gels were then submerged in each media condition supplemented with 5% FBS. Gels were imaged at 0 and 24 hours using a digital 8-megapixel camera (Apple Inc, Cupertino, California, USA). Contraction was quantified by measuring the gel area in pixels using ImageJ Software using the following formula to evaluate percent contraction: initial area – final area = area/8 h.

## **Quantitative Real-time Polymerase Chain Reaction**

Quantitative real-time polymerase chain reaction (qRT-PCR) was performed to quantify changes in components of the TGF- $\beta$  signaling pathway. HVOX at ~80% confluence in 6-well plates were cultured for 3 hours under each experimental condition. Total RNA was extracted from the cells using the RNeasy Mini Kit (Qiagen, Valencia, California, USA). The quantity and quality of mRNA was evaluated via 260/280 ratio. RNA was reverse transcribed with a High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems, Foster City, California, USA). The qRT-PCR employed the TaqMan Gene Expression Kit (Life Technologies) and StepOne Plus (Applied Biosystems). The TaqMan primer probes employed were: *NR4A1* (Hs00374226\_m1), *SMAD2* (Hs00183425\_m1), *SMAD3* (Hs00969210\_m1), *SMAD7* (Hs00998193\_m1), *TGF*- $\beta$ *1* (Hs00998133\_m1), and *GAPDH* (Hs02758991\_g1). The Ct method was employed with GAPDH as the housekeeping gene for the determination of relative expression levels.

#### Statistical Analyses

Four samples were assayed from each group run in triplicate. For all analyses, the dependent variable of interest was subjected to a one-way analysis of variance. If the main effect was significant at P < .05, post hoc comparisons were performed via the Scheffé method. Statistical significance was defined as P < .05 using StatView 5.0 (SAS Institute, Berkeley, California, USA). All data are expressed as mean  $\pm$  standard error.

## Results

#### Conditioned Media Altered Cell Proliferation and Myofibroblast Differentiation

TGF- $\beta$ 1 and CM alone induced HVOX proliferation (Figure 1A). This effect was blunted by CM+TGF- $\beta$ 1; proliferation was significantly decreased compared to TGF- $\beta$ 1 (*P*= .009). The  $\alpha$ SMA-positivity increased in response to TGF- $\beta$ 1 (Figure 1B; a, c) and decreased in response to CM+TGF- $\beta$ 1 as well as CM alone (Figure 1C; b, d). Quantitatively, a significant difference in  $\alpha$ SMA positive cells was observed between CM+TGF- $\beta$ 1 and TGF- $\beta$ 1 (*P* < .001; Figure 1C).

#### Conditioned Media Increased Vocal Fold Fibroblast Migratory Rate

As shown in Figure 2A and 2B, TGF- $\beta$ 1, CM, and CM+TGF- $\beta$ 1 induced higher migratory rates relative to control. Conditioned media had an additive effect on TGF- $\beta$ 1-mediated migration, although the difference between CM+TGF- $\beta$ 1 and TGF- $\beta$ 1 did not achieve statistical significance (*P*= .55).

## **Condition Media Attenuated Collagen Gel Contraction**

TGF- $\beta$ 1 stimulated HVOX contraction (Figure 2C and 2D). Although CM and CM+TGF- $\beta$ 1 increased contraction compared to control, this response was less robust in response to CM +TGF- $\beta$ 1; this difference did not achieve significance (*P* = .23).

## Conditioned Media Regulated Transforming Growth Factor- $\beta$ Signaling Expression

Expression of *NR4A1* was upregulated in response to CM compared to control. Upregulation of *NR4A1* in response to CM+TGF- $\beta$ 1 was significant when compared to TGF- $\beta$ 1 in the absence of CM (*P*= .002; Figure 3A). Although TGF- $\beta$ 1 stimulation did not alter *SMAD2* expression, CM+TGF- $\beta$ 1 decreased *SMAD3* expression compared to TGF- $\beta$ 1 stimulation (*P*< .001; Figure 3B, 3C). *SMAD7* was also upregulated in response to both TGF- $\beta$ 1 and CM stimulation; however, no additive effect of CM was observed (Figure 3D). Endogenous *TGF*- $\beta$ 1 was upregulated in response to TGF- $\beta$ 1 stimulation and significantly down-regulated in response to CM+TGF- $\beta$ 1 compared to TGF- $\beta$ 1 alone (*P*= .005; Figure 3E).

## Discussion

Under normal wound healing conditions, a subpopulation of fibroblasts differentiate into myofibroblasts and actively synthesize and deposit neo-matrix, often leading to aberrant biomechanical tissue properties and subsequent dysphonia. Typically during the remodeling phase of healing, myofibroblast presence decreases via either apoptosis or dedifferentiation into fibroblasts. However, pathological and persistent myofibroblast accumulation in the wound bed is associated with excessive collagen deposition and tissue stiffness.<sup>14,23</sup> A favorable balance between fibroblasts and myofibroblasts is critical to tissue homeostasis, and furthermore, the prevention of excessive or prolonged myofibroblast differentiation is critical to both avoid and/or treat scar.

Vocal fold fibrosis remains of significant interest in both clinical practice and research laboratories. As stem cell-based therapies increase in popularity and appear to be nearing clinical practice, clear insight is required to fully understand the mechanism(s) underlying these therapeutic approaches to ensure they are ideally employed to optimize in vivo outcomes. In addition, basic investigation regarding the key biochemical switches underlying the development of fibrosis is warranted. In that regard, the current investigation focused on suppression of TGF-B1-mediated fibroplasia in vitro. Following vocal fold injury, TGF- $\beta$  transcription is upregulated as early as 8 hours<sup>24</sup> and peaks approximately 3 to 7 days following injury. Sustained TGF-B expression has been described well into the remodeling and chronic healing phases.<sup>25,26</sup> Our laboratory and others have hypothesized that TGF- $\beta$  is likely a master regulator of vocal fold fibrosis. As such, in the current study, vocal fold fibroblasts were stimulated with TGF- $\beta$ 1 to elicit profibrotic features. In addition, MSCs were also stimulated with TGF- $\beta$ 1 to create the CM model. Admittedly, CM models are a bit contrived and oversimplified as there are likely many soluble mediators of healing that could alter the wound healing phenotype. However, this model allows for us to eliminate direct cell-to-cell interactions.

Interestingly, CM combined with TGF- $\beta$ 1 did not increase cell proliferation in the context of suppressed myofibroblast differentiation. Mesenchymal stem cells have been previously shown to reduce myofibroblast persistence via apoptosis.<sup>27</sup> This apoptotic effect may be exerted on VFF under TGF- $\beta$ 1 stimulation, leading to contrary findings. TGF- $\beta$ 1-mediated VFF proliferation and increased myofibroblast differentiation has been reported previously. <sup>28</sup> However, MSC-mediated paracrine signaling stimulated cell proliferation and suppressed

myofibroblast differentiation in skin fibroblasts, keratinocytes, hepatic stellate cells, and glomerular fibroblasts.<sup>29–32</sup> These previous reports concur with data from the current study.

Fibroblast migration and contraction are critical cell activities related to wound healing and tissue homeostasis. However, aberrant or excessive migration and contraction are thought to be related to the development of fibrosis. Our data suggest that MSC conditioned media had similar effects compared to TGF- $\beta$ 1 stimulation alone. Li et al<sup>30</sup> reported similar findings in that CM derived from MSCs enhanced rat keratinocyte migration under disease-specific conditions. In contrast, CM combined with TGF- $\beta$ 1 significantly reduced VFF contraction compared to TGF- $\beta$ 1 stimulation alone. This reduced contractile phenotype was greater in the CM+TGF- $\beta$ 1 condition when compared to CM alone. These data may suggest that TGF- $\beta$ 1 is not only a master regulator of fibrosis but also key component of the negative feedback loop to initiate antifibrotic activities.

To further clarify the mechanisms underlying suppressed myofibroblast differentiation as described via immunocytochemistry and gel contraction assays, key components the TGF-B signaling machinery were investigated. Significant upregulation of NR4A1 as well as downregulation of SMAD3 and endogenous TGF-\$1 were observed in response to CM +TGF- $\beta$ 1 compared to TGF- $\beta$ 1 stimulation alone. Smad3 is emerging as a central target for regulating TGF-B1 signaling, and knockdown of SMAD3 has been shown to have antifibrotic effects in VFFs under TGF-\u00df1 stimulation.<sup>16</sup> Nr4a1 is a newly detected nuclear receptor and molecular target to alter TGF-ß signaling. Cytosporone B, a Nr4a1 transcriptional agonist, has been shown to be antifibrotic in animal models of fibrotic disease.<sup>17</sup> Our data indicate that MSC-mediated paracrine signaling modulated TGF-B1 signaling processes via both SMAD3 and NR4A1. Cumulatively, these data suggest that these transcriptional changes likely encourage decreased myofibroblast differentiation and contraction. Zhang et al<sup>33</sup> previously recently reported that CM derived from MSCs inhibited aSMA expression and reduced Smad2/3 and Smad4 expression and increased Smad7 expression in TGF- $\beta$ 1-stimulated hepatic stellate cells. Our data concur with these previous reports and further confirm the inhibitory effects of CM on myofibroblast differentiation and TGF- $\beta$  signaling.

Mesenchymal stem cells have diverse immunomodulatory effects via suppression of host T cell proliferation.<sup>34</sup> Admittedly, alternative mechanisms are feasible with regard to the antifibrotic effects of MSCs. For example, MSCs have been shown to stimulate angiogenesis via secretion of several pro-angiogenic factors, most notably, vascular endothelial growth factor or via pre-differentiation into angiogenic precursors.<sup>35</sup> It is likely that multiple mechanisms contribute to favorable wound healing outcomes, and advanced understanding of these processes is likely to enhance the development of novel, targeted therapeutics.

The current study, however, is not without limitation. As noted previously, the in vitro environment is highly contrived and artificially simplistic. From a methodological perspective, CM was extracted from TGF- $\beta$ 1-stimulated MSCs. Following serum starvation, MSC secretion of trophic factors decreased substantially, and the cells became largely senescent. Clearly, these conditions do not precisely correspond to the in vivo milieu, but provide some preliminary insight regarding relevant cell signaling underlying the inherent

tissue response to injury. Exogenous TGF- $\beta$ 1 was employed as a stimulator; MSCs are likely to be transplanted into the scarred vocal fold and exposed to TGF- $\beta$ 1 stimulation associated with the inherent tissue response to injury. This model, although physiologically relevant, is quite simple as many factors contribute wound healing. Regardless, the current study provides marked insight into potential mechanisms to exploit to enhance wound healing outcomes in the vocal folds. In that regard, investigation regarding more clinically-based issues including safety, timing of injection, and/or cell number is warranted in the preclinical setting prior to clinical application. Data from the current study contribute to the expanding platform for this progression.

## Conclusions

Mesenchymal stem cell transplantation has emerged as a promising strategy for the treatment of vocal fold fibrosis, and further insight into the mechanisms of the purported efficacy of MSCs is warranted. In addition to cell-to-cell interactions, MSCs likely exert antifibrotic effects on vocal fold fibroblasts via paracrine mechanisms. In the current study, MSC CM modulated chemotactic features in vocal fold fibroblasts, including proliferation and migration as well as decreased myofibroblast differentiation. In addition, CM reduced the contractile features in these cells via altered TGF- $\beta$  signaling. These data provide a foundation for future clinical/therapeutic use of MSCs for vocal fold fibrosis.

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#### Figure 1.

Effects of (TGF- $\beta$ 1) and conditioned media on HVOX proliferation. (A) Cell viability is presented as percent control. Data presented as mean ± SEM of assays run in triplicate (n = 4; \*P<.05). Effects of TGF- $\beta$ 1 and MSCs conditioned media on cell phenotypic change into expressing  $\alpha$ SMA; representative immunofluorescent images of HVOX cultured for 3 days under (B, a-control) serum-free media, (b) 10 ng/mL TGF- $\beta$ 1 (c) CM, and (d) CM with 10 ng/mL TGF- $\beta$ 1. Blue, DAPI; red  $\alpha$ SMA. Scale bar: 100 µm. (C) Ratio of  $\alpha$ SMA-positive cells was calculated from 10 randomly chosen fields of 4 samples in each media group. Data presented as mean ± SEM (\*P<.05).

Abbreviations:  $\alpha$ SMA, alpha smooth muscle actin; CM, conditioned media; Cnt, control; MSC, mesenchymal stem cells; TGF- $\beta$ 1, transforming growth factor- $\beta$ 1.

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#### Figure 2.

Effects of TGF- $\beta$ 1 and MSCs conditioned media on cell migration using scratch assay. (A) Representative bright-field images under each culture media condition directly after or 24 hours after scratching (original magnification 4×). (B) Conditioned media–facilitated cell migration, although there was no significant difference between TGF- $\beta$ 1 and CM+TGF- $\beta$ 1 (n = 4; \**P*<.05). Effects of TGF- $\beta$ 1 and MSCs CM on 3-dimensional collagen gel contraction. Representative gels under each culture media condition directly after or 24 hours after cell seeding (C). Data presented as mean ± SEM of assays run in triplicate (D; n = 4; \**P*<.05).

Abbreviations: CM, conditioned media; Cnt, control; MSC, mesenchymal stem cells; TGF- $\beta$ 1, transforming growth factor- $\beta$ 1.



## Figure 3.

Expression of TGF- $\beta$ 1 signaling components under each condition. All genes were standardized to GAPDH expression and expressed as fold expression relative to control. Data presented as mean ± SEM of assays run in triplicate (n = 4; \**P*<.05). Abbreviations: CM, conditioned media; Cnt, control; MSC, mesenchymal stem cells; TGF- $\beta$ 1, transforming growth factor- $\beta$ 1.