

## Review Article

# Selection of new immunotherapy targets for NK/T cell lymphoma

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**Abstract:** Extranodal NK/T cell lymphoma, nasal type, is a rare type of non-Hodgkin's lymphoma (NHL), and the aetiology is not fully understood. Although the clinical outcome of anthracycline-based chemotherapy was dismal because of multidrug resistance (MDR). Novel therapeutic strategies including L-asparaginase-containing regimens, radiotherapy, sequential chemotherapy and radiotherapy, and concurrent chemoradiotherapy (CCRT) have remarkably improved outcomes. However, the overall survival (OS) rate of advanced stage patients is not satisfactory compared with patients with non-advanced-stage disease. Immunotherapy is a promising treatment for ENKTCL. Indeed, it has been proven that targeted therapies such as anti-CD30 antibodies and naked anti-CD38 antibodies are effective. In addition to these therapies that target cell surface antigens, therapies targeting intracellular signalling pathways and the microenvironment are considerably beneficial. EBV-driven overexpression of latent membrane proteins [LMP1 and LMP2] activates the pro-proliferation NF- $\kappa$ B/MAPK signalling pathway and leads to high PD-L1 expression. Binding of PD-L1 to PD-1 expressing cytotoxic T cells causes apoptosis and inactivation of T lymphocytes, achieving immune escape. On the basis of this mechanism, a variety of small molecular inhibitors, such as anti-PD-1 antibodies, NF- $\kappa$ B inhibitors, EBV antigens, and LMP1 and LMP2 antigens, can be applied. Via another signalling pathway the JAK/STAT pathway, upregulation and activation and mutation of genes promotes proliferation and ENKTCL lymphomagenesis, and JAK inhibitors have thus been applied. This article reviews recent advances in ENKTCL immunotherapy as a promising treatment for this fatal disease.

**Keywords:** NK/T cell lymphoma, immunotherapy, targets

### Background

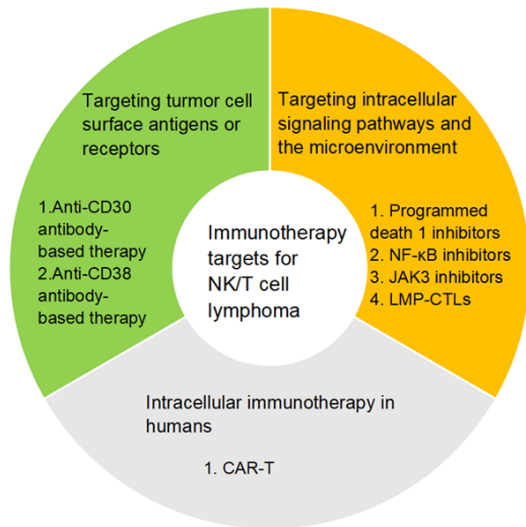
Extranodal natural killer/T cell lymphoma (ENKTCL) is an aggressive haematological malignancy that is frequently found in the upper aerodigestive tract but can involve non-nasal sites, such as the gastrointestinal tract, skin, soft tissue and testis. Its incidence in Asia and South America is approximately 10%, though it is as low as 1% in North America and Western Europe [1]. According to Chinese statistics, ENKTCL constitutes 6.4% of non-Hodgkin lymphoma, and more than 20% of mature T- and NK-cell lymphoma [2]. The cause of the regional difference in prevalence is related to environmental and genetic factors, as recent studies based on the SEER registry show that the rate of ENKTCL is much higher in Asian/Pacific Islanders and Hispanics than in other populations [3]. ENKTCL is predominant in young and

middle-aged people, with a higher incidence in males than in females. It has been speculated that EBV infection in childhood and pesticides may be risk factors [4].

Known mutations associated with ENKTCL, such as gene amplification, deletion and mutation including in cell cycle regulators *Rb*, *TP53*, *PRDM1*, *CDKN* and *FOXO3*, are shared with other subtypes of lymphoma, with no specificity [5]. Therefore, to classify and diagnose ENKTCL, it is necessary to detect expression of CD2, CD56, cytoplasmic CD3 epsilon and cytotoxic molecules such as granzyme B and TIA1 as well as EBV-encoded RNA (EBER) positivity [6].

ENKTCL is usually classified according to the origin of the lesion into upper aerodigestive tract (UAT) and the non-upper aerodigestive tract (non-UAT) type, and the prognosis and

## Immunotherapy targets for NK/T cell lymphoma



**Figure 1.** Summary of immunotherapy targets for NK/T cell lymphoma.

treatment response of the latter are significantly worse than those of the former [7]. Non-advanced-stage disease occurs in approximately 70-80% of nasal/paranasal ENKTCL patients, and approximately half of them present with isolated nasal disease. In contrast, advanced disease occurs in 60% of extranasal cases [5]. Chemotherapy, such as CHOP and adriamycin-based regimens, is largely ineffective due to the high expression levels of P-glycoprotein in NK lymphoma cells. However, lack of asparagine synthase renders ENKTCL sensitive to L-asparaginase [8], and L-asparaginase-containing chemotherapy regimens have led to a dramatic improvement in survival, particularly in relapsed/refractory ENKTCL [9]. Moreover, L-asparaginase-based regimens such as SMILE, AspaMetDex and P-Gemox are recommended by NCCN guidelines. In general, all of these therapies have markedly improved the prognosis of ENKTCL. For example, the 5-year and the 2-year overall survival (OS) of patients with advanced disease were much higher after 2010 than before [10]. Nonetheless, approximately 50% of patients with first-line treatment failure have poor clinical outcomes, with a median progression free survival (PFS) of less than 8 months [11]. In addition, some patients relapse after treatment with an L-asparaginase-based regimen [12]. Thus the best treatment scheme should be further explored. The inherent expression of targeted CD (cluster of differentiation) markers and the intrinsic signs of

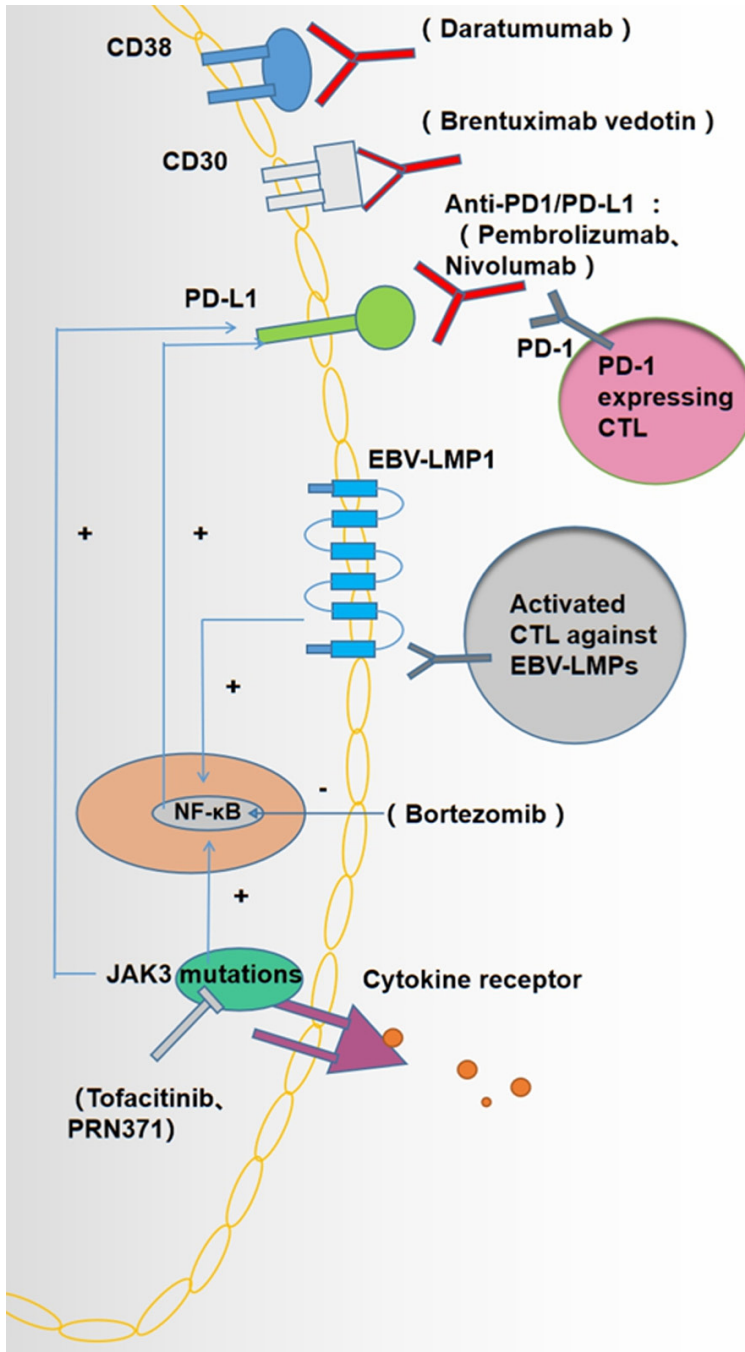
EBV-induced proliferation, such as overexpression of signalling pathway-related genes and mutation of single genes, have suggested new immunotherapy prospects for patients with L-asparaginase-refractory disease. In this review, we present the available literature and case reports and summarize the latest immunotherapy targets for ENKTCL (**Figure 1**).

### Targeting tumour cell surface antigens or receptors

#### *Anti-CD30 antibody-based therapy*

CD30 is a transmembrane protein belonging to the tumour necrosis factor receptor family (**Figure 2**) that is reported to be specifically expressed in normal activated (rather than static) B and T cells and NK cells, but not in normal cells. In terms of malignant lymphomas, CD30 is expressed in Hodgkin and Reed-Sternberg cells of Hodgkin's lymphoma and in almost all neoplastic cells of ALCL. Different studies have reported a CD30 expression rate in ENKTCL of approximately 50-70% [13]. Furthermore, CD30 is more frequently expressed in ENKTCL than in other mature T cells and NK cell lymphoma subtypes, which is most likely explained by the presence of EBV in ENKTCL patients [2].

Overall, expression of CD30 varies in ENKTCL is variable and there is no consensus on its clinical significance [14]. One study including 70 patients by Guan-Nan Wang and colleagues showed that compared with CD30-negative ENKTCL, patients with CD30-positive ENKTCL showed significantly worse OS ( $P = 0.023$ ) and PFS ( $P = 0.008$ ) [15]. In addition, strong CD30 expression was observed in atypical macronuclear cells in ENKTCL patients with primary skin, prostate and adrenal gland tumours, with poor outcomes, which may suggest that ENKTCL with large cells expresses CD30 and predicts a poor prognosis [16]. In another study, CD30-positive ENKTCL responded better to non-anthracycline therapy than CD30-negative ENKTCL among 72 cases, and the former patients with a COV (critical value) of 25% showed a lower recurrence rate [17]. However, no correlation between CD30 expression and survival outcomes was found in a study of 317 patients with ENKTCL and 91 patients with catalogued CD30 immunohistochemistry tissues



**Figure 2.** Summary of immunotherapy drugs or treatment strategies for NK/T cell lymphoma and their respective targets. Strategies targeting tumour cell surface antigens or receptors include brentuximab vedotin (CD30) and daratumumab (CD38). Strategies targeting intracellular signalling pathways and the microenvironment include anti-pd1 antibodies (such as pembrolizumab and nivolumab), targeted NF-κB drugs (bortezomib), JAK3 inhibitors (PRN371 and tofacitinib) and autologous T cells targeting LMP2 or LMP1 and LMP2 antigens. These strategies bring hope for this fatal disease.

(47.3%) [2]. These differences may be attributed to the different cutoff values of CD30 used

by different researchers, and studies involving different cohorts have produced conflicting results [18]. Nevertheless, anti-CD30 antibodies are used in therapeutic strategies in many clinical trials, which provide a theoretical and practical basis for the treatment of lymphoma.

A CD30-targeted antibody, brentuximab vedotin (BV) binds with monomethyl auristatin E (MMAE) to exert strong therapeutic effects in recurrent HL and multiple T-cell lymphoma through direct cytotoxicity, bystander effects, [19] and immune augmentation effects [20]. The results of a preliminary phase 2 study showed that SGN-30, a chimeric anti-CD30 monoclonal antibody, had modest efficacy in HL and ALCL [21]. However, responses to BV were observed in patients with all levels of CD30 expression in tumour samples, including 2 T cell lymphoma patients whose CD30 expression could not be detected by IHC in the central evaluation; this suggests that the drug may be dispersed into the tumour microenvironment and subsequently come into contact with tumour cells via the bystander effect [22]. Similar phenomena were also found for diffuse large B cell lymphoma [23]. In addition to the above mechanism of action, mouse models have shown increased activation of T cells, activation of dendritic cells and migration to draining lymph nodes after BV treatment [24]. In general, some results have been achieved with BV similar to the latest phase 3 trial showing that, for

patients with CD30-positive peripheral T cell lymphomas, first-line treatment with A CHP

(brentuximab vedotin, cyclophosphamide, doxorubicin, and prednisone) was better than that with CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone) as it was associated with significantly improved PFS and OS [25]. Regardless, tumour recurrence and drug resistance, major concerns, occur. Chen and colleagues reported that the percentage of CD30-positive cells in HL patients did not appear to be related to the degree of BV resistance, which may have been due to drug internalization changing the accumulation of MMAE in cells and increasing expression of MDR1 (a known drug exporter) [26].

To date, no clinical trials have been conducted specifically for recurrent/refractory ENKTCL, but CR after BV treatment has been reported for two patients. In one case, a 63-year-old male with CD30-positive non-UADT ENKTCL presented with multiple skin lesions. After four cycles of single-dose brentuximab vedotin treatment, all skin lesions were cleared to complete remission (CR), though therapy was discontinued because of secondary toxic dyspnoea, and the disease recurred three months later [27]. In the other case, a 17-year-old woman with ENKTCL with CD30 expression in approximately 30% of neoplastic cells was treated with a combination regimen of brentuximab vedotin and bendamustine, and complete radiological remission (CR) was achieved after two cycles of treatment; moreover, metabolic CR was obtained on PET/CT after three courses of treatment. Haploidentical haematopoietic stem cells from her father were used for transplantation once remission was achieved and after transplantation, plasma EBV-DNA could not be detected [28] (**Table 2**). Unfortunately, patients who receive brentuximab will eventually relapse, and the specific mechanism remains unclear. Currently, some clinical trials are using the combination of BV with L-asparaginase and non-L-asparaginase as the first-line regimen, including one study that has completed accrual (NCT01309789) and others that are still being planned (NCT0324750); their results are eagerly awaited. [14] Other ongoing studies are listed in **Table 2**. (NCT03192202 and NCT04074746) (**Table 3**).

### *Anti-CD38 antibody-based therapy*

CD38 is a type II glycosylated protein that acts as a receptor, and is widely expressed in the

haematopoietic system, mainly by NK cells, early precursor T cells, activated T cells and mature B cells, but the expression level in normal lymphocytes and myeloid cells is low [29]. CD38 interacts with the ligands CD31 and CD31/CD38, promotes the activation and proliferation of different lymphocyte groups and is expressed in almost all NKTLs. Wang L and colleagues proved that 95% of ENKTCL cases were CD38 positive, with half of them highly expressing CD38 [30]. Compared with ENKTCL patients with weak expression of CD38, ENKTCL patients with strong expression had significantly poorer outcomes, suggesting the potential role of CD38 as a therapeutic target [31].

To date, several monoclonal antibodies against human CD38 have been successfully developed, such as daratumumab, isatuximab (SAR650984) and MOR202. Daratumumab is a high-affinity therapeutic human mAb that recognizes the unique CD38 epitope. Its complement-dependent cytotoxicity (CDC) and antibody-dependent cytotoxicity are not affected by the presence of bone marrow stromal cells [31]. Daratumumab has been approved in multiple combinations for pretreated and relapsed/refractory multiple myeloma (MM), which has greatly improved the survival outcomes of these patients [14]. However, the complete mechanism of daratumumab is still unclear because even cases with high expression of CD38 may be primary refractory, and thus expression of CD38 in MM does not correlate significantly with response to daratumumab. [32] Nonetheless, reducing the endocytosis of complexed CD38/daratumumab can enhance the efficacy of the anti-tumour mAb [33]. For those who are resistant to daratumumab, all-trans retinoic acid may increase CD38 expression and decrease that of CD55 and CD59 on MM cells, which can restore the CDC mediated by daratumumab [34]. These trials have been registered as NCT00574288 (GEN501) and NCT01985126 (SIRIUS). In leukaemia, daratumumab kills CLL cells through antibody-dependent cell-mediated cytotoxicity and antibody-dependent cell phagocytosis, significantly prolonging the OS rate of CLL animal models [35]. Daratumumab has also been reported to be effective in the treatment of a 14-year-old child with CD38+Ph-positive recurrent B-ALL [36].



## Immunotherapy targets for NK/T cell lymphoma

**Table 1.** Summary of available therapies for NK/T cell lymphoma using targeting markers or viral antigens

Target	Percentage of ENKTCLs with positive expression	Prognostic relevance	Targeted agent	Research stage in ENKTCL
CD30	50-70% [11]	disputed	brentuximab vedotin	clinical
			CD30 CAR-T cells	clinical
CD38	95% [29]	negative	daratumumab	clinical
PD-1	NA	NA	pembrolizumab	clinical
			nivolumab	clinical
NF-κB	NA	NA	bortezomib	clinical
JAK3	NA	NA	tofacitinib	clinical
			PRN371	preclinical
EBV antigens	NA	NA	LMP-CTLs	clinical

The efficacy of daratumumab was reported among 2 patients with relapsed/refractory ENKTCL has been reported [37, 38]. In the first case, a 56-year-old female patient with stage IV NK/T cell lymphoma exhibited EBV DNA positivity. The patient relapsed after 6 weeks of radiotherapy, cisplatin therapy and consolidated chemotherapy. Subsequently, she received combined chemotherapy, intrathecal chemotherapy and allogeneic haematopoietic cell transplantation. Three weeks later, the disease recurred in the central nervous system, with systemic recurrence on day 90. However, after six weeks of daratumumab treatment, EBV became undetectable, and the patient reached the longest sustained remission period (21 weeks) since her diagnosis. Daratumumab may also help patients who have undergone extensive pre-treatment [37] (**Table 2**). Although much research has been conducted on the drug in MM, its unique mechanism in NKTCL remains to be explored. Currently, several Asian countries are conducting phase 2 trials to assess the safety and effectiveness of daratumumab in ENKTCL (NCT02927925). At the 2018 American Society of Hematology (ASH) meeting, preliminary results were reported that daratumumab had a good response rate in the treatment of relapsed and refractory ENKTCL patients (ORR: 35.7%), and the second stage of the study is in progress (**Table 3**).

### Targeting intracellular signalling pathways and the microenvironment

#### *Programmed death 1 inhibitors*

As a member of the CD28 costimulatory receptor superfamily, PD-1 is an immunosuppressive receptor expressed by activated T cells, B cells

and myeloid cells, and is structurally similar to cytotoxic lymphocyte antigen-4 (CTLA-4). Binding of ligands PD-L1 and PD-L2 by PD-1 leads to T-lymphocyte dysfunction and T-cell-mediated cytotoxicity escape. Programmed death ligand 1 (PD-L1) is a surface glycoprotein of immune regulatory cells (**Figure 2**) that is mainly expressed at low levels on antigen-presenting cells (APCs), including professional APCs and non-professional APCs. PD-L1 is upregulated in ENKTCL, ranging from 39 to 100%, which allows cells to avoid systemic surveillance [39]. Almost all EBV-related lymphomas are associated with high expression of PD-L1 [4]. However, studies have shown that NKTCL lymph node variants may have higher PD-L1 expression than extranodal variants, which is not related to EBV expression [40]. Based on clinical data, patients with elevated PD-L1 expression had lower serum LDH levels and IPI scores than patients with decreased PD-L1 levels [41]. Several studies have also shown that serum PD-L1 levels are associated with the prognosis of ENKTCL [42]. For instance, Nagato and colleagues reported that elevated levels of PD-L1 in tumour cells are associated with elevated levels of PD-L1 in serum and worsened OS [43], though the exact relationship remains to be elucidated. Overall, the combination of high PD-L1 expression and foreign antigen expression in cancer cells makes the use of checkpoint inhibitors very attractive [44, 45].

Anti-PD-1 antibodies such as pembrolizumab and nivolumab can destroy the interaction between PD-L1 and PD-1, thereby restoring the anti-tumour activity of activated T cells [46]. In 2014, the U.S. FDA approved pembrolizumab and nivolumab for the treatment of metastatic

## Immunotherapy targets for NK/T cell lymphoma

**Table 2.** Select reports of targeted therapy for extranodal NK/T cell lymphoma

	Target/therapy	Patients characteristics	Efficacy	Reference
CD30	Brentuximab vedotin	Refractory or relapsed HL or CD30(+) NHL patients	Modest efficacy.	[21]
		A male with ENKTCL and CD30 expression	CR was achieved after four cycles of treatment.	[27]
	Brentuximab vedotin and bendamustine	A woman with ENKTCL and CD30 expression	After two cycles of treatment, CR was achieved.	[28]
	Brentuximab vedotin, cyclophosphamide, doxorubicin, and prednisone (A+CHP)	Patients with CD30-positive peripheral T cell lymphomas	Significant improvement in progression-free survival and overall survival.	[28]
	BV with L-asparaginase and non-L-asparaginase	26 patients with CD30+ PTCL	All patients (n = 26) achieved an objective response (CR rate, 88%; estimated 1-year PFS rate, 71%).	[14]
CD38	Daratumumab	A child with CD38+ recurrent B-ALL	Effective.	[36]
		A patient with stage IV NKTCL	Patients reached a maximum sustained remission period of 21 weeks.	[37]
PD-1	Nivolumab	29 patients with recurrent or refractory lymphatic malignancies	A DBLCL patient and one with FL reached CR.	[48]
		3 patients with relapsed/refractory NKTCL	All patients achieved a clinical response.	[44]
	Pembrolizumab	7 patients with NKTCL	All patients achieved CRs.	[50]
		7 patients with NKTCL	2 patients reached CR and 2 reached PR.	[45]
NF-κB	B-GIFOX (bortezomib, gemcitabine, oxaliplatin and ifosfamide)	30 consecutive patients with relapsed/or refractory NHL	7 patients reached CR and 7reached PR.	[51]
		6 ENKTCL patients	The ORR was 42.8%.	-
	Bortezomib, fludarabine and autologous haematopoietic stem cell transplantation	A patient with relapsed/refractory NKTCL	The patient remained disease-free as of March 2017.	[59]
EBV antigens	Activated/stimulated T cells and LMP1/2- or LMP2-targeted strategies	52 patients with EBV-related lymphoma	11 patients with active disease reached CR, 2 patients achieved PR, and 5 ENKTCL patients reached CR.	[63]
		8 patients with localized disease and 2 advanced ENKTCL patients	All patients reached CR: OS and PFS were 100% and 90%, respectively.	[65]
CAR-T	CD19-CAR-T cells	119 patients with B cell malignancies	Response rates (93%) were higher in all patients than in CLL patients (62%) and lymphoma patients (36%).	[66]
	CD22-CAR-T cells	34 patients with B-ALL	24 patients achieved CR or CRI.	[70]
	CD28 co-stimulated anti-CD30 CAR-T cells	7 patients with relapsed/refractory HL and 2 patients with ALCL	2 patients with HL reached CR and 3 reached SD; 1 ALCL patients reached CR.	[67]
	4-1BB co-stimulated anti-CD30 CAR-T cells	17 HL patients and 1 cutaneous ALCL patients	7 patients achieved PR and 6 patients achieved SD.	[68]

EBV, Epstein-Barr virus; CR, complete response; PR, partial response; SD, stable disease; HL, Hodgkin's lymphoma; ALCL, anaplastic large cell lymphoma; ENKTCL, extranodal NK/T cell lymphoma; PTCL, peripheral T cell lymphoma; OS, overall survival; PFS, progression-free survival; B-ALL, B cell acute lymphoblastic leukaemia.

## Immunotherapy targets for NK/T cell lymphoma

**Table 3.** Clinical trials of targeted therapy for various lymphomas, particularly for NK/T cell lymphoma

	Intervention/treatment	Phase	Tumour type	ClinicalTrials.gov Identifier
CD30	AFM 13	Phase 1 Phase 2	Relapsed/Refractory cutaneous lymphomas	NCT 03192202
	Modified immune cells (AFM13-NK)	Phase 1	Recurrent or refractory CD30-positive HL or NHL	NCT 04074746
CD38	Daratumumab	Phase 2	Relapsed or refractory NKTCL	NCT 02927925
PD-1	Pegaspargase and anti-PD-1 monoclonal antibody	Phase 2	ENKTCL, nasal type	NCT 04096690
		Phase 4	NKTCL	NCT 04038411
	SHR1210	Phase 2	NKTCL	NCT 03701022
		Phase 2	ENKTCL, nasal type	NCT 03363555
	LEAP regimen	Phase 2	ENKTCL, nasal and nasal-type	NCT 04004572
	Pembrolizumab	Phase 2	T cell Lymphoma NK cell lymphoma	NCT 03021057
		Phase 2	NKTCL of the nasal cavity NKTCL of the nasopharynx	NCT 03728972
		Phase 1 and phase 2	ENKTCL and EBV-related DLBCL	NCT 03586024
	MK-3475 and copanlisib	Phase 1 and phase 2	NK and T cell non-Hodgkin's lymphoma	NCT 02535247
	Pembrolizumab and pralatrexate	Phase 1 and phase 2	T cell lymphomas	NCT 03598998
Nivolumab	Phase 2	PTCL	NCT 03075553	
	Phase 2	T cell and NK cell lymphomas, cutaneous squamous cell carcinoma, Merkel cell carcinoma, and other rare skin tumours	NCT 02978625	
JAK3	Tofacitinib and chidamide	Phase 2	ENKTCL	NCT 03598959
CAR-T	CD7 CAR-T cells infusion	Phase 1	T-lymphoblastic lymphoma and NKTCL	NCT 04004637
		Phase 1	Relapsed/refractory lymphocyte malignancies	NCT 04008394
	Anti-CD30 CAR-T cells	Phase 1	HL, ALCL, PTCL NOS, DLBCL NOS, PMBCL, grey zone lymphoma, enteropathy-associated T cell lymphoma or ENKTCL	NCT 03049449
		Phase 1 and phase 2	Relapsed and refractory CD30-positive lymphomas	NCT 02274584
	CD7-specific CAR gene-engineered T cells	Phase 1 and phase 2	T-ALL, TCL, NKTCL and AML	NCT 04033302
	CD19-TriCAR-T/SILK	Early phase 1	CD19+ children with leukaemia or non-Hodgkin's lymphoma	NCT 03910842

PD-1, programmed death 1; LMP, latent membrane protein; EBV, Epstein-Barr virus; CR, complete response; PR, partial response; SD, stable disease; CAR-T cell, chimeric antigen receptor T cell; HL, Hodgkin's lymphoma; ALCL, anaplastic large cell lymphoma; ENKTCL, extranodal NK/T cell lymphoma; PTCL NOS, peripheral T cell lymphoma not otherwise specified; DLBCL NOS, diffuse large B cell lymphoma not otherwise specified; PMBCL, primary mediastinal B cell lymphoma; T-ALL, T cell acute lymphoblastic leukaemia; TCL, T cell lymphoma; AML, acute myeloid leukaemia.

melanoma, and in 2015, nivolumab was approved for the treatment of squamous NSCLC. Over time, both drugs have been approved for use in many types of cancer, leading to unprecedented clinical advances. At present, preliminary results published by some institutions support the use of PD-1/PD-L1 signalling pathway inhibitors in a variety of tumours (e.g., digestive tract tumours, liver cancer, triple-negative breast cancer, advanced urothelial cancer, ovarian cancer, advanced Hodgkin's lymphoma) [47]. Indeed, many large-scale studies of PD-1/PD-L1 monoclonal antibodies are in clinical stages I and II. Among these monoclonal antibodies, nivolumab has been tested in many clinical trials with good results. In one study, 29 patients with recurrent or refractory lymphatic malignancies were evaluated, including one DLBCL patient (9%) and one FL patient (10%) who reached CR [48]; the remaining 27 patients showed some response or tumour shrinkage after treatment. In another independent study, low-dose nivolumab was used in 3 patients with relapsed and refractory ENKTCL, which achieved a clinical response [49]. Pembrolizumab is another completely humanized PD-1 monoclonal antibody, and Kwong and colleagues have confirmed the efficacy of pembrolizumab in patients with ENKTCL [50]. Among seven relapsed and refractory patients with advanced disease who had previously been treated with SMILE or SMILE plus platinum regimens, all displayed a rapid response after 7 cycles of pembrolizumab and 5 achieved CR. Pembrolizumab was effective in 4 of 7 NKTCL patients (2 with complete remission and 2 with partial remission, with a total response rate of 57%) in another study [45]. Seok-Jin Kim and colleagues found that seven EBV-positive NHL patients, including NK/T cell lymphoma patients (6/14, 44%) and one primary mediastinal B cell lymphoma patient (1/4, 25%), responded to pembrolizumab, with patients with EBV-negative subtypes, such as diffuse large B cell lymphoma and PD-L1 expression showing higher responses (4/6, 67%) than those with low PD-L1 expression (1/5, 20%) [51] (Table 2). This phenomenon also has some significance and according to some studies, combination with other immunotherapies such as anti-CD38 antibodies or BV, can enhance efficacy [4]. Overall, there has been a good response to PD-L1 inhibitors, though the short reaction time is the main limi-

tation. A number of clinical trials are currently underway to evaluate the efficacy of anti-PD1 therapy for ENKTCL (NCT04096690, NCT-04038411, NCT03701022, NCT03363555, NCT04004572, NCT03021057, NCT03728972, NCT03586024, NCT02535247, NCT03598998, NCT03075553, and NCT02978625) (Table 3).

### *NF- $\kappa$ B inhibitors*

NF- $\kappa$ B (nuclear factor kappa-light-chain-enhancer of activated B cells) is a transcription factor that mediates the development, proliferation and survival of T cells and B cells; changes in NF- $\kappa$ B activity will cause constitutive activation of lymphocyte proliferation and/or blockage of cell death, which can promote the occurrence and development of tumours [52]. Two GEP (gene expression profiling) studies have found significant enrichment of genes in the NF- $\kappa$ B pathway in cancer [53]. It has been proven that there is pathogenic cooperation between p53 deletion and NF- $\kappa$ B activity and that activation of NF- $\kappa$ B is related to poor survival in ABC DLBCL [54]. In one study, p52 (NF- $\kappa$ B subunit) nuclear staining was observed in 65.2% of ENKTCL cases as an alternative indicator to determine activation of NF- $\kappa$ B and correlate its status with survival. Moreover, the 2-year PFS and OS rates of 8 p52-positive patients were lower than those of 8 p52-negative patients [55]. Therefore, the NF- $\kappa$ B signalling pathway is an attractive therapeutic target in T- and B-cell malignancies.

Bortezomib, a dipeptidyl boric acid, is associated with tumorigenesis, cell cycle progression, apoptosis and multiple drug resistance, which can lead to stabilization of the inhibitory protein I $\kappa$ B $\alpha$  and decrease the activity of NF- $\kappa$ B [56]. Bortezomib has achieved remarkable results in the treatment of relapsed and refractory multiple myeloma [57]. And bortezomib can also play an anti-tumour role in leukaemia. In both laboratory and clinical studies, bortezomib has shown some progress in the treatment of chronic myeloid leukaemia, chronic lymphocytic leukaemia, acute myeloid leukaemia, acute lymphocytic leukaemia, adult T-lymphocytic leukaemia and plasma cell leukaemia. Bortezomib-based combinations targeting NF- $\kappa$ B have also been evaluated for ENKTCL, though data are available for only small groups of



patients. In a study involving six ENKTCL patients, the ORR due to B-GIFOX (bortezomib (B), gemcitabine (G), oxaliplatin (OX) and ifosfamide (IF)) regimens was 42.8% in newly diagnosed patients, but the median PFS was relatively short at approximately 4 months [58] (**Table 2**). B-GIFOX is a promising regimen for ENKTCL, and a prospective phase 2 study is currently being designed to verify its efficacy in treating ENKTCL. In addition, bortezomib inhibits the repair of DNA damage induced by fludarabine, and this synergistic effect enhances the anti-tumour effect accordingly, bortezomib-fludarabine chemotherapy may be an effective remedial strategy for recurrent ENKTCL. In one case, a 40-year-old patient who relapsed after hormone therapy combined with radiotherapy and chemotherapy was treated with two courses of remedial therapy containing bortezomib and fludarabine and received autologous haematopoietic stem cell transplantation; the patient remained disease-free as of the last follow-up (March 2017) [59] (**Table 2**). Nevertheless, larger trials are needed to provide a more comprehensive assessment of the efficacy and safety of bortezomib, and early clinical trials of ENKTCL are underway.

### *JAK3 inhibitors*

Janus kinase (JAK) family members include JAK1, JAK2, JAK3 and TYK2, which play roles in different signalling pathways mediated by cytokines and growth factor receptors (**Figure 2**). JAK3, a non-receptor tyrosine kinase involved in the JAK-STAT pathway, is mainly expressed in haematopoietic cells and helps to regulate the development of lymphocytes [60]. In one report, 5 of 71 ENKTCL patients (7.0%) harboured novel JAK3 mutations (JAK3 H583Y and JAK3 G589D), which inhibited the proliferation of Ba/F3 cells and were carcinogenic in NKTCL [60]. Pathway inhibition might be a therapeutic option for NKTCL patients with JAK3 mutations.

Tofacitinib can significantly inhibit JAK3 activity in vivo and in vitro, but its clinical application in cancer treatment is limited by pan-JAK inhibitory activity. PRN371 is a small molecule that was designed as an effective and selective JAK3 inhibitor, that specifically binds to cysteine 909 of JAK3 kinas. PRN371 has high selectivity and durability and stronger anti-tumour activity

as well as fewer side effects than other JAK3 inhibitors. M.-L. Nairismägi and colleagues pre-clinically evaluated PRN371 for the first time and found that it significantly inhibited tumour growth in an NKTCL xenotransplantation model carrying JAK3 activating mutations, which is consistent with in vitro results [61]. The JAK3 inhibitory antineoplastic activity seen in pre-clinical/in vitro models demonstrates the biological basis for targeting these pathways. Although the frequency of JAK3 mutations is controversial, PRN371 is effective in most ENKTCL cells, including most cases with STAT3 mutations, and can act on other downstream pathways of JAK3, such as the EZH2 pathway. Therefore, a subset of patients stratified based on appropriate molecular markers can use PRN371 as an alternative to current chemotherapies, a strategy that can be translated into clinical trials to clarify clinical impact and effectiveness [61]. In addition, the JAK1/2 inhibitor ruxolitinib has been approved for treatment of bone marrow fibrosis. Phase 2 clinical trials evaluating JAK inhibitors in ENKTCL are being conducted in patients with recurrent ENKTCL (NCT02974647 and NCT03598959) [62].

### *LMP-CTLs*

Tumour cells from approximately 40% of patients with Hodgkin's or non-Hodgkin's lymphoma express type II Epstein-Barr virus (EBV) latent membrane protein 1 (LMP1) and LMP2, inducing attack by LMP-cytotoxic T lymphocytes (CTLs). Therefore, expanding LMP-CTLs in ENKTCL to target EBV antigens can achieve anti-tumor effects [63]. In fact, this strategy has shown therapeutic efficacy in a variety of EBV-derived lymphomas and can result in a lasting complete response without significant toxicity but with high specificity [63]. Using this robust and cost-effective approach in the treatment of EBV-related lymphoproliferative diseases after transplantation also leads to continuous complete remission (CRs in 68-84% of patients) [64]. Bollard and colleagues treated 52 patients with EBV-related lymphoma, using LMP1/2-or LMP2-targeted and stimulated CTLs [63]. LMP2- or LMP1/2-specific CTLs were administered to 50 EBV-related HL or NHL patients, which proved to be safe. The 2-year EFS rate was 82% in 29 patients with high-risk or recurrent disease in the remission stage.

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Among the 21 patients with active disease, 11 received CTL treatment and entered into continuous CR, and the other 2 achieved PR. Five of the 11 ENKTCL patients received CTLs as consolidation therapy after initial radiotherapy or autologous stem cell transplantation and remained in CR for 2-6 years. A patient with a primary refractory disease who acquired CR after autologous stem cell transplantation and retained it for 2 years after CTL infusion may prove the effectiveness of this approach.

Although these results may be significant for high-risk relapsed/refractory patients, they do not demonstrate the role of CTLs as a maintenance therapy for local disease after first-line treatment. Cho and colleagues studied LMP1/2-directed CTL treatment in eight patients with localized disease and two advanced ENKTCL patients, and all patients reached CR in 4 years, with OS and PFS values of 100% and 90%, respectively. [65] Only one patient had an initial recurrence of IVE disease after 32 months, which suggests that CTLs can induce persistent complete remission without significant toxicity. However, as the 5-year survival rate of chemical radiation can be as high as 90%, it remains unclear whether patients with early disease truly benefit from maintaining CTL infusion (**Table 2**). In conclusion, T cell immunotherapy with immunity stimulated by Epstein-Barr virus (EBV) may play an important role in the targeted treatment of EBV-related cancer.

### Intracellular immunotherapy in humans

CAR-T (chimeric antigen receptor T) cells are a type of antigen-specific T cell with extracellular single-chain variant fragments that recognize antigens coupled to activated intracellular domains and then bind to them. Thus far CAR-T cell immunotherapy has achieved remarkable therapeutic effects in the treatment of haematological malignancies, for example, the treatment of B cell malignancies with allogeneic CD19-CAR-T cells [66] (**Table 2**). Targeting CD30 with CD30 CAR T cells provides an opportunity for the rapid production of tumour-specific T cells in various lymphoma patients, reducing side effects and enhancing anti-tumour activity, regardless of EBV status. In a phase 1 dose-escalation study, CD28 co-stimulated anti-CD30 CAR-T cells were used to treat 7 patients with relapsed/refractory HL and 2 patients with ALCL [67]. Seven patients experi-

enced disease progression during brentuximab treatment. However, two patients with HL who reached complete remission (CR) sustained it for more than 2 years, and 3 patients had transient stable disease. One ALCL patient achieved CR for more than 9 months, and no patient developed impaired virus-specific immunity. Additionally, Wang CM et al. treated 17 HL patients and 1 cutaneous ALCL patient with a 4-1BB co-stimulated anti-CD30 CAR-T cell construct, with seven achieving PR and six stable disease (**Table 1**). Hence, an increasing number of studies have applied CAR-T cell therapy for breast cancer, sarcoma, neuroblastoma and other solid tumours and explored its clinical value [68]. Compared with haematological malignancies, the application of CAR-T cells for solid tumours is limited by many factors, including inhibited T cell functions and T cell localization [69]. Therefore, the application of CAR-T cell immunotherapy in solid tumours remains at the safety-determining stage. Overall, CAR-T cell therapy is feasible for the treatment of NKTCL and even the relapsed or refractory NKTCL. Several clinical trials with anti-CD7 CAR-T cells and similar molecules are ongoing (NCT04004637, NCT04008394, NCT03049449, NCT02274584, NCT04033302, and NCT03910842) (**Table 3**).

### Conclusion

Overall, there has been great progress in our understanding and treatment of NK/T cell lymphoma in recent years. As immunotherapy has broader anticancer effects and fewer side effects than existing individual therapies and combination therapies, it may provide more effective treatment options. There are specific drugs for CD30, CD38, PD-1, NF- $\kappa$ B, JAK1/2/3 inhibitors, but EBV antigen and CAR-T cell treatment strategies need to be further researched. Nevertheless, few patients have improved outcomes, and there are almost no phase 3 clinical trials to guide treatment in this area. In brief, the effect of existing therapeutic methods is still unsatisfactory, especially for relapsed/refractory ENKTCL. A large number of new immunotherapy clinical trials need to be carried out.

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## Disclosure of conflict of interest

None.

## Abbreviations

EBV, Epstein-Barr virus; CR, Complete response; PR, Partial response; SD, Stable disease; HL, Hodgkin's lymphoma; ALCL, Anaplastic large cell lymphoma; ENKTCL, Extranodal NK/T cell lymphoma; PTCL, Peripheral T cell lymphoma; OS, Overall survival; PFS, Progression-free survival; B-ALL, B cell acute lymphoblastic leukaemia; PD1, Programmed death 1; LMP, Latent membrane protein; CAR-T cell, Chimeric antigen receptor T cell; PTCL NOS, Peripheral T cell lymphoma not otherwise specified; DLBCL NOS, Diffuse large B cell lymphoma not otherwise specified; PMBCL, Primary mediastinal B cell lymphoma; T-ALL, T cell acute lymphoblastic leukaemia; TCL, T cell lymphoma; AML, Acute myeloid leukaemia; NHL, Non-Hodgkin's lymphoma; MDR, Multidrug resistance; CCRT, Concurrent chemoradiotherapy; NF- $\kappa$ B, Nuclear factor kappa-light-chain-enhancer of activated B cells; EBER, EBV-encoded RNA; UAT, Upper aerodigestive tract; CD, Cluster of differentiation; COV, Critical value; BV, Brentuximab vedotin; CDC, Complement-dependent cytotoxicity; MM, Multiple myeloma; APCs, Antigen-presenting cells.

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