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## Acute exacerbation of chronic obstructive pulmonary disease and subsequent risk of emergency department visits and hospitalizations for atrial fibrillation

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### Abstract

**Background:** Although emerging evidence has suggested the relationship of chronic obstructive pulmonary disease (COPD) with atrial fibrillation (AF), little is known about whether acute exacerbation of COPD (AECOPD) increases the risk of repeated AF-related healthcare utilization.

**Methods and Results:** This is a self-controlled case series study using the population-based ED and inpatient databases of five U.S. states from 2007 through 2012. Among patients with existing AF, we identified patients with an AECOPD hospitalization and at least one ED visit or hospitalization for AF during the observation period. We constructed conditional Poisson regression models to compare the rate of AF-related ED visits or hospitalizations during sequential 90-day periods after the AECOPD hospitalization, with pre-AECOPD days 1–90 as the reference. Of 944 patients eligible for the study, the median age was 77 years and 41% were male. Compared to the reference period, the rate of AF-related ED visits or hospitalizations significantly increased in the post-AECOPD days 1–90 (7.3 vs 14.1 per 100 person-months; rate ratio [RR] 1.93; 95%CI 1.63–2.29;  $P < 0.001$ ). Then, the rate decreased to the reference-level in the post-AECOPD days 91–180 (7.5 per 100 person-months; RR 1.03; 95%CI 0.85–1.25;  $P = 0.77$ ) and remained at the reference-level during post-AECOPD days 181–270 (RR 0.84; 95%CI 0.68–1.03;  $P = 0.09$ ) and days 271–360 (RR 0.90; 95%CI 0.73–1.10;  $P = 0.29$ ). These temporal associations persisted with stratification by age, sex, and season.

**Conclusions:** Among patients with existing AF, AECOPD was associated with a higher risk of AF-related ED visit or hospitalization in the first 90-day post-AECOPD period.

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## Keywords

Atrial fibrillation; acute exacerbation of COPD; epidemiology; healthcare utilization

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## INTRODUCTION

Atrial fibrillation (AF) is a major public health problem that affects 6 million Americans<sup>1</sup> and accounts for 540,000 emergency department (ED) visits and 400,000 hospitalizations annually.<sup>1</sup> Among patients with AF, one-third of patients are hospitalized each year.<sup>2</sup> Additionally, with aging in the population, the number of AF-related hospitalization has increased by 23% over the last decade.<sup>3</sup> Because of its clinical and public health importance,<sup>3</sup> understanding risk factors for AF-related morbidities is instrumental to developing preventive strategies.

In parallel to AF, chronic obstructive pulmonary disease (COPD) is another major public health problem, with 600,000 hospitalizations for acute exacerbation of COPD (AECOPD) in the U.S. each year.<sup>1</sup> Recent studies have shown the linkage between COPD and AF. For example, cohort studies have reported that COPD is a risk factor for new-onset AF.<sup>4-7</sup> In addition, another cohort study revealed that, in patients with paroxysmal AF, concurrent COPD is a risk factor for AF progression, from paroxysmal AF to persistent AF.<sup>8</sup> These emerging evidence suggest the relationship of COPD (as a chronic morbidity) with both the development and progression of AF. Despite the clinical and physiological importance of AECOPD, little is known about whether, in patients with existing AF, AECOPD is associated with a higher risk of AF-related morbidities.

To address the knowledge gap, we investigated the temporal association between AECOPD and AF-related ED visits or hospitalizations. Specifically, we hypothesized, among adults with AF, that an AECOPD hospitalization increases the rate of AF-related ED visits or hospitalizations within one-year period after the hospitalization, compared to that in the pre-AECOPD hospitalization period.

## METHODS

### Study Design and Setting

We performed a self-controlled case series study using the Healthcare Cost and Utilization Project (HCUP) State Emergency Department Databases (SEDD) and State Inpatient Databases (SID). Briefly, we created a cohort of patients with AF, and then compared each subject's rate of AF-related ED visits or hospitalizations during a 450-day period (i.e., 90-day period before and 360-day period after AECOPD). Based on the data use agreement, the datasets and study materials will not be made publically available. The self-controlled case series design allows each subject to serve as his/her own control. Therefore, it minimizes confounding by time-invariant factors and removes inter-person variations. The data were extracted from the HCUP SEDD and SID of geographically-diverse five U.S. states (California, Florida, Nebraska, New York, and Utah), from January 2007 through December 2012. The HCUP is the largest collection of longitudinal hospital care data in the US, with

all-payer, encounter-level information.<sup>9</sup> The SEDD encompass all ED visits, including treat-and-release encounters and transfers; the SID capture all hospitalizations, regardless of source or disposition, from acute care, non-federal, general, and other specialty hospitals within the participating states. Taken together, the SEDD and SID capture all ED visits regardless of disposition and all hospitalizations regardless of admission source. Additional details of the HCUP SEDD and SID can be found elsewhere.<sup>9</sup> The five states were selected for their geographical diversity, data quality, and chiefly because that their data contain unique subject identifiers that enable us to follow specific subject longitudinally. The institutional review board of Massachusetts General Hospital approved this study.

### Study Population

First, we identified all adults who had at least one ED visit or hospitalization for AF (defined by the *International Classification of Disease-Ninth Revision-Clinical Modification [ICD-9-CM]* code of 427.31)<sup>3</sup> in any diagnostic field in 2007. From these adults, to create the analytic cohort, we further identified patients (aged ≥ 40 years) with an exposure – at least one unplanned hospitalization for AECOPD (*ICD-9-CM* codes, 491.21, 491.22, 491.8, 491.9, 492.8, 493.20, 493.21, 493.22, and 496) or those with a primary diagnosis of respiratory failure (*ICD-9-CM* codes, 518.81, 518.82, 518.84, and 799.1) and a secondary diagnosis of COPD<sup>10</sup> – as well as an outcome – at least one ED visit or hospitalization for AF – during 2008 to 2011. To minimize the potential misclassification of COPD, we excluded patients aged <40 years because they are less likely to have COPD.<sup>10, 11</sup> We excluded patients who live outside of the study states, those who died during the index hospitalization, and those who left the hospital against medical advice. Selection of study samples is shown in Figure 1.

### Measurements

The databases recorded patient characteristics, including demographics (age, sex, and race/ethnicity), primary insurance type (payer), quartiles for estimated household income, patient residence, *ICD-9-CM* diagnostic and procedure codes, and patient comorbidities (29 Elixhauser comorbidity measures). We determined the baseline characteristics at the time of hospitalization for AECOPD.

### Primary Exposure

The primary exposure was hospitalization for AECOPD as defined above.<sup>10–12</sup> For the analysis, we used the data of the first AECOPD hospitalization for each individual. To isolate the effects of a single AECOPD hospitalization on the outcomes, we excluded patients who had an additional AECOPD hospitalization during the follow-up period.

### Outcome Measure

The outcome measure of interest was the composite of ED visit or hospitalization with a primary diagnosis of AF<sup>3, 13–15</sup> during the 90-day period preceding the AECOPD hospitalization and the 360-day period following the hospitalization.

## Statistical Analysis

We used a self-controlled case series method to perform *within*-person comparisons among those who experienced both the exposure (i.e., hospitalization for AECOPD) and outcome (ED visit or hospitalization for AF) during a 450-day period. No separate control group was necessary as this method allows each patient to function as his or her own control.<sup>16</sup> We computed the rate of AF-related ED visits or hospitalizations for 450 consecutive days (90 days before and 360 days after the AECOPD hospitalization; Supplemental Figure 1). We described the rate of AF-related ED visits or hospitalizations – by computing the number of outcome events / 100 person-months – at each 90-day interval over the 450-day period (90 days before and 360 days after the AECOPD hospitalization). To compare each patient's rate of the outcomes with pre-AECOPD days 1 to 90 as the reference period, we fit conditional Poisson regression models calculating the rate ratios (RRs) and the 95% confidence intervals (95% CIs) for post-AECOPD days 1 to 90, days 91 to 180, days 181 to 270, and days 271 to 360. For the graphic presentation, we also calculated the RRs in 30-day intervals with pre-AECOPD days 61–90 as the reference period.

We performed a series of sensitivity analyses to examine the robustness of our inference. First, we repeated the analysis with stratification by age category (age 40–64 and ≥ 65 years) sex, and presence of AF in any diagnostic fields at the index hospitalization. Second, to account for the seasonal variation of AF,<sup>17</sup> we repeated the analysis with stratification by season of the index hospitalization for AECOPD: spring (March-May), summer (June-August), fall (September-November), and winter (December-February).<sup>18</sup> Third, to address the potential misclassification of AF, we repeated the analysis including the patients with a primary diagnosis of heart failure (*ICD-9-CM* diagnosis code of 402.01, 402.11, 402.91, 404.01, 404.03, 404.11, 404.13, 404.91, 404.93, and 428.0)<sup>19, 20</sup> and secondary diagnosis of AF. Fourth, to account for the potential effects of separate mild-to-moderate AECOPD episodes (in addition to the AECOPD hospitalization) on the outcomes during the reference period, we repeated the analysis limiting to the patients who did not have an AECOPD-related ED visit (treat-and-release) during the reference period. Fifth, we performed the analysis including the patients with multiple hospitalizations for AECOPD during the follow-up period. Sixth, to account for the loss-to-follow-up, we repeated the analysis limiting to those who had any healthcare utilization after the post-AECOPD day of 360 (i.e., those who are known to be alive for at least 360 days after the AECOPD hospitalization). Lastly, we fit conditional logistic regressions modeling the outcome as a binomial variable (instead of a count variable) in 90-day intervals. All analyses used STATA 14.0 (STATA Corp, College Station, TX). All P values were two-tailed, with P<0.05 considered statistically significant.

## RESULTS

### Patient characteristics

We identified all adults with existing AF who were hospitalized for AECOPD (the exposure) during 2008–2011 in the five U.S. states (n=25,227). Of these, a total of 1,547 patients had an ED visit or hospitalization for AF (the outcome) during a 450-day period. From these samples, we excluded 603 patients who had an additional AECOPD hospitalization, leaving

944 patients eligible for the analysis (Figure 1). Table 1 summarizes the patient characteristics. The median age was 77 years (IQR 67–83 years), 59% were female, and 79% were non-Hispanic white; 51% had congestive heart failure, 35% had diabetes, and 71% had hypertension.

### Associations between AECOPD hospitalization and rate of AF-related acute care use

Figure 2 depicts the rate of ED visits or hospitalizations for AF during the pre- and post-AECOPD periods by 30-day intervals. In the reference period (i.e., pre-AECOPD days 1–90), the rate of AF-related ED visits or hospitalizations was 7.3 per 100 person-months (Table 2). In the first 90-day period immediately after the AECOPD hospitalization (i.e., post-AECOPD days 1–90), the rate increased significantly (14.1 per 100 person-months) with a corresponding RR of 1.93 (95% CI 1.63–2.29;  $P<0.001$ ). Then, in the subsequent 90-day period (i.e., post-AECOPD days 91–180), the rate decreased to the reference-level (7.5 per 100 person-months) with a corresponding RR of 1.03 (95% CI 0.85–1.25;  $P=0.77$ ). Similarly, the rate remained at the reference-level in the post-AECOPD periods of 181–270 days (6.1 per 100 person-months; RR 0.84 [95% CI 0.68–1.03];  $P=0.09$ ) and 271–360 days (6.6 per 100 person-months; RR 0.90 [95% CI 0.73–1.10];  $P=0.29$ ).

### Sensitivity analyses

The observed temporal patterns persisted with stratification by age (Supplemental Table 1), sex (Supplemental Table 2), presence of AF at the index hospitalization (Supplemental Table 3), and season of the AECOPD hospitalization (Supplemental Table 4). Likewise, in the sensitivity analysis including the patients with a primary diagnosis of heart failure and secondary diagnosis of AF, the observed temporal patterns persisted (Supplemental Table 5). Likewise, in the analysis limiting to the patients who did not have an ED visit for AECOPD during the reference period, the result did not change materially (Supplemental Table 6). Similarly, in the analysis including the patients with multiple hospitalizations for AECOPD during the follow-up period, the results were consistent with the main findings (Supplemental Table 7). Furthermore, in the subgroup analysis limiting to the patients who were known to be alive at least 360 days after the AECOPD hospitalization, the results were also consistent with the main findings (Supplemental Table 8). Lastly, the significant association also persisted with modeling the outcome as a binomial response – e.g., the odds ratio of the outcome event was 2.55 (95% CI, 2.07–3.14;  $P<0.001$ ; Supplemental Table 9) in the post-AECOPD days 1 to 90.

## DISCUSSION

In this self-controlled case series study using a population-based dataset of adults with existing AF, we found that, after hospitalization for AECOPD (the exposure), there was an approximately 2-fold increase in the rate of ED visits or hospitalizations for AF (the outcome) in the first 90-day post-AECOPD period. The elevated rate decreased to the baseline thereafter. These observed temporal relationships of AECOPD with AF-related ED visits or hospitalizations persisted across the different patient subgroups and statistical assumptions. To the best of our knowledge, this is the first study that has investigated the temporal association between AECOPD and AF-related acute morbidities.

To date, the literature has shown the relations of COPD as a chronic morbidity (not AECOPD) with AF. For example, having COPD is a risk factor for incident AF.<sup>4-7</sup> In a cohort study of the US general population, individuals with a lowest quartile of forced expiratory volume in one second (FEV<sub>1</sub>) had a 1.5-fold increased risk of incident AF compared to those with a highest quartile of FEV<sub>1</sub>. In a study of 173 patients hospitalized for AECOPD and hypercapnia, those with concurrent AF had a lower FEV<sub>1</sub>, higher PaCO<sub>2</sub>, and higher pulmonary artery systolic pressure, compared to those without AF.<sup>7</sup> In addition, studies have reported that comorbid COPD is associated with clinical AF progression,<sup>8</sup> failure of AF treatment,<sup>21-23</sup> and overall mortality.<sup>24, 25</sup> Indeed, a cohort study of 1,219 patients with paroxysmal AF demonstrated that concurrent COPD was a risk factor for progression to persistent AF during the 1-year follow-up period.<sup>8</sup> Another cohort study also showed that COPD was associated with a higher recurrence of AF after their first catheter ablation.<sup>22</sup> Furthermore, an analysis of patients with AF in the ARISTOTLE study showed that patients with COPD had a 1.6-fold higher risk of all-cause mortality compared to those without COPD.<sup>24</sup> These evidence collectively suggest the associations of *chronic* morbid COPD and AF morbidity. Our findings corroborate these earlier studies, and extend them by demonstrating the temporal association between acute exacerbations of COPD – important events in the disease course of COPD – and an increased risk of AF-related ED visits and hospitalizations.

The mechanisms that underlie our observation are likely multifactorial. A potential explanation includes AECOPD-related elevated systemic inflammatory status.<sup>26-29</sup> Prior research have indicated that inflammation plays an important role in presence,<sup>30</sup> incidence,<sup>31</sup> and prognosis<sup>32</sup> of AF. Alternatively, hypoxemia may be another pathological mechanism for worse AF control. Recent studies have shown that hypoxia-inducible factor-1 alpha promotes atrial structural remodeling leads to AF.<sup>33, 34</sup> Another possible mechanism is ventricular dysfunction<sup>35</sup> with increased atrial afterload<sup>36</sup> in patients with AECOPD. Lastly, medications for AECOPD management (e.g.,  $\beta$ -agonists), through modifying the atrioventricular nodal conduction,<sup>37, 38</sup> potentially affect AF control; these medications may be held in this study population with COPD. These data should facilitate further investigations into the development of preventive strategies on AF-related morbidities in patients with COPD.

### Potential limitations

Our study has several potential limitations. First, because we used administrative datasets, there may be misclassifications such as misdiagnosis of COPD and AF. However, the HCUP data are rigorously tested<sup>15, 39</sup> and these have been widely used to investigate their morbidities.<sup>10, 15, 40</sup> In addition, the literature has also shown that the *ICD-9-CM* diagnostic codes for COPD have a specificity of 99% and positive predictive value of 90%.<sup>41</sup> Similarly, patients with latent AF might have been misclassified as patients without AF. Yet, studies showed that the codes for AF have a positive predictive value of 90%.<sup>42, 43</sup> Second, because the datasets did not contain detailed clinical information (e.g., medications), the current analyses were unable to address the longitudinal changes in the risk factors for AF during the study periods (e.g., changes in AF medications, severity of concurrent cardiac diseases) and the specific type of AF – i.e., persistent, permanent and paroxysmal AF. However, the

self-controlled case series method enabled us to address time-invariant confounders (e.g., genetics) – regardless of measured or unmeasured. Third, patients might have been lost-to-follow-up after the hospitalization for AECOPD. Yet, the sensitivity analysis limiting to those who are known to be alive at least 360 days after the hospitalization showed the consistent results. Fourth, as our study focused on AF patients hospitalized for AECOPD, generalizing our inferences beyond this population (e.g., patients without existing AF, those with mild-to-moderate AECOPD) requires caution. However, the study population accounts for a large societal burden,<sup>1, 3</sup> and hence is the one for which the development of preventive strategies are urgently needed. Finally, the studied data are not a random sample of all individuals with COPD and AF in the U.S. However, the five study states are geographically diverse and represent approximately 27% of the US population.

## CONCLUSIONS

In this self-controlled case series study using population-based data of adults with AF, we found that, after the hospitalization for AECOPD, there was an approximately 2-fold increase in the rate of ED visits or hospitalizations for AF during the first 90-day post-AECOPD period. The rate then declined to the baseline levels. The observed temporal relationship of AECOPD with AF-related acute morbidities persisted across the different subgroups and statistical assumptions. For clinicians, our findings underscore the importance of preventing AF-related events in AF patients who experience AECOPD. For researchers, the data should advance further investigations into the mechanisms underlying the AECOPD-AF linkage to develop targeted therapeutic interventions in this population with large healthcare utilization.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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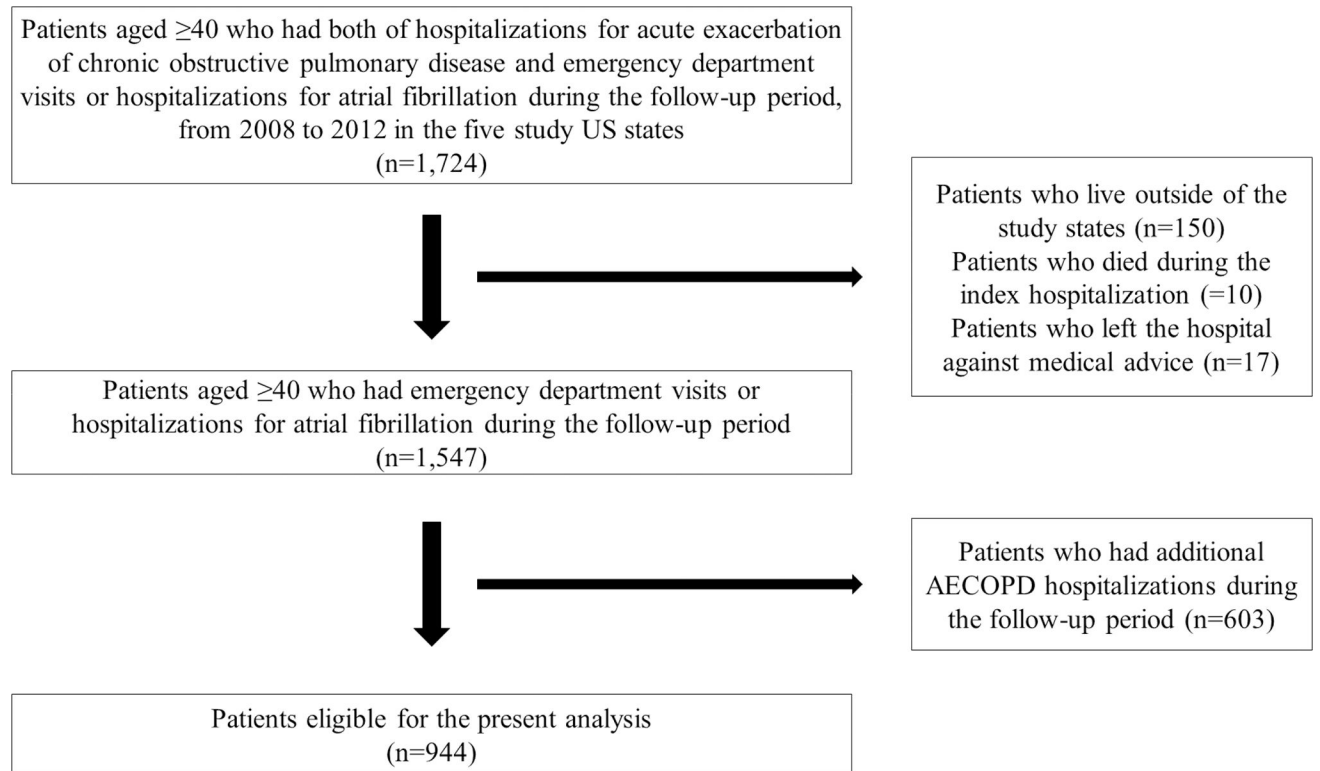
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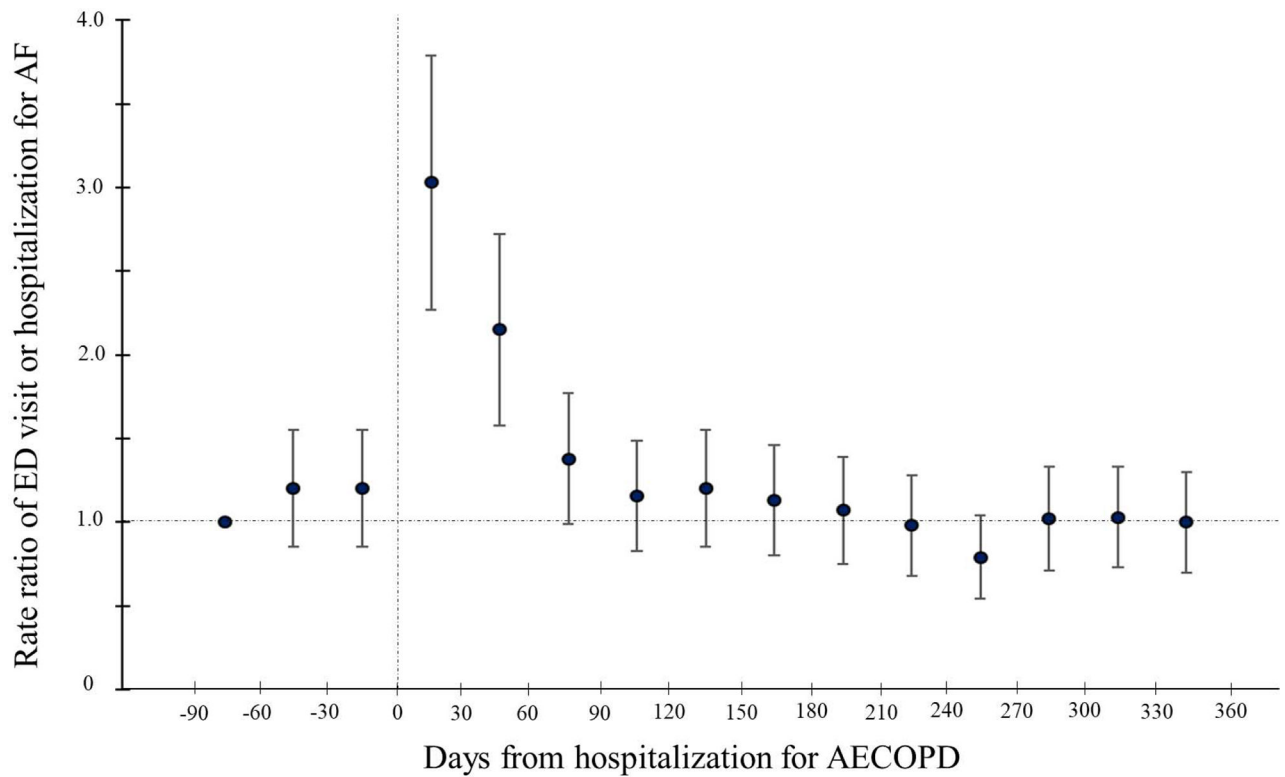


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**Figure 1.**  
Selection of study samples  
Visualized presentation of the selection flow of the study samples.



**Figure 2.**

Rate ratios of atrial fibrillation-related emergency department visits or hospitalizations before and after hospitalization for acute exacerbation of chronic obstructive pulmonary disease in 30-day intervals

Shown is the rate ratio (RR) of the composite outcome (ED visit or hospitalization for atrial fibrillation [AF]) and the 95% CI during 450-day period in 30-day intervals. The reference period was the pre-hospitalization for acute exacerbation of chronic obstructive pulmonary disease (AECOPD) days 61–90, The rate was highest during the 30-day period immediately after the AECOPD hospitalization (RR 3.03; 95% CI 2.27–4.05;  $P < 0.001$ ) followed by the subsequent 30-day period (RR 2.15; 95% CI 1.58–2.91;  $P < 0.001$ ). In the subsequent periods, the RR did not differ significantly from that in the reference period.

**Table 1.** Characteristics of patients with atrial fibrillation hospitalized for acute exacerbation of chronic obstructive pulmonary disease

Characteristics	n=944
Age (year), median (IQR)	77 (67–83)
Female sex	560 (59.3)
Race/ethnicity	
Non-Hispanic white	714 (78.5)
Non-Hispanic black	84 (9.2)
Hispanic	77 (8.5)
Others	35 (3.8)
Primary health insurance	
Medicare	739 (78.3)
Medicaid	67 (7.1)
Private	106 (11.2)
Others	32 (3.4)
Quartiles for median household income	
1 (lowest)	269 (29.1)
2	263 (28.5)
3	222 (24.0)
4 (highest)	170 (18.4)
Patient residence	
Metropolitan	845 (89.5)
Non-metropolitan	99 (10.5)
Selected comorbidities*	
Congestive heart failure	479 (50.7)
Depression	105 (11.1)
Diabetes	332 (35.2)
Hypertension	666 (70.6)
Obesity	140 (14.8)
Peripheral vascular disorders	73 (7.7)
Renal failure	173 (18.3)

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Characteristics	n=944
Hospital state	
California	294 (31.1)
Florida	363 (38.5)
Nebraska	21 (2.2)
New York	260 (27.5)
Utah	6 (0.6)

Data are shown as n (%) unless otherwise specified

Abbreviation: IQR, inter-quartile range

\* Comorbidities were selected from 29 Elixhauser comorbidity measures

Rate ratio of emergency department visit or hospitalization for atrial fibrillation in patients hospitalized for acute exacerbation of chronic obstructive pulmonary disease (n=944)

**Table 2.**

Days from the index hospitalization	Number of outcome events	Rate (per 100 person-months)	Rate ratio* (95% CI)	P value
Pre-AECOPD period				
-1 to -90 days	207	7.3	1 (reference)	-
Post-AECOPD period				
1 to 90 days	400	14.1	1.93 (1.63–2.29)	<0.001
91 to 180 days	213	7.5	1.03 (0.85–1.25)	0.77
181 to 270 days	173	6.1	0.84 (0.68–1.03)	0.09
271 to 360 days	186	6.6	0.90 (0.73–1.10)	0.29

Abbreviations: AECOPD, acute exacerbation of chronic obstructive pulmonary disease; CI, confidence interval

\*Rate ratios were calculated by using conditional Poisson regression models