

Clinicopathological Staging of Dynamics of Neurodegeneration and Neuronal Loss in Alzheimer Disease

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Abstract

Clinical and neuropathological staging of Alzheimer disease (AD) neurodegeneration and neuronal loss dynamics is the baseline for identification of treatment targets and timing. The aim of this study of 14 brain regions in 25 subjects diagnosed with AD and 13 age-matched control subjects was to establish the pattern of neurodegeneration, and the severity and rate of neuronal loss in mild cognitive impairment/mild AD (Functional Assessment Staging [FAST] test 3–4), moderate to moderately severe AD (FAST 5–6), and severe AD (FAST 7). The study revealed (1) the most severe neuronal loss in FAST 3–4; (2) the highest rate of neuronal loss in FAST 5–6, to the “critical” point limiting further increase in neuronal loss; (3) progression of neurofibrillary degeneration, but decline of neuronal loss to a floor level in FAST 7; and (4) structure-specific rate of neuronal loss caused by neurofibrillary degeneration and a large pool of neuronal loss caused by other mechanisms. This study defines a range and speed of progression of AD pathology and functional decline that might potentially be prevented by the arrest of neuronal loss, both related and unrelated to neurofibrillary degeneration, during the 9-year duration of mild cognitive impairment/mild AD.

Key Words: Alzheimer disease, Clinicopathological staging, GDS/FAST, Neurofibrillary degeneration, Neuronal loss.

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INTRODUCTION

Alzheimer disease (AD) affects approximately 36 million people. Available treatments have had limited or no effect on the course of the disease, and the projected number of people with AD dementia may grow to approximately 115 million in 2050 (1).

Brain β -amyloidosis with amyloid plaques, amyloid angiopathy with extracellular deposition of fibrillar $A\beta$, and neurofibrillary degeneration resulting in accumulation of fibrillar hyperphosphorylated tau in intraneuronal neurofibrillary tangles (NFTs) are essential pathological processes of AD (2) and targets for prevention and treatments (3).

The primary goal of neuropathological studies in AD has been to identify the type, topography, and severity of changes in the memory system responsible for memory decline and dementia. Most of these studies concentrated on the entorhinal cortex (EC), especially the second layer of the stellate neurons, which is affected early by neurofibrillary degeneration and loses neurons faster than other brain structures, and the hippocampal formation, with sector 1 of the cornu Ammonis (CA1) the most consistently affected. These studies revealed that loss of neurons in the EC results in disruption of the perforant pathway, leading to functional impairment of entorhinal-hippocampal connectivity and structural isolation of the hippocampus in the late stages of AD (4, 5). The study of correlations between Braak and Braak (6, 7) staging of topographic expansion of neurofibrillary degeneration and neuronal loss revealed that neuronal loss in CA sectors is Braak stage-dependent and that cumulative neuronal loss in CA1 increases from 33% in Braak stage IV to 51% in stage V (8). Consistency of the pattern of pathology in the memory system has been documented by several morphometric studies of different populations examined with different methods but revealing similar neuronal loss in the second layer of the EC (ECII) (9–13).

This study was designed to expand the survey of neuropathological changes in the memory system, including the EC, 4 sectors of the CA, and the subiculum by characterizing AD pathology in functionally different networks and structures, including the amygdala, thalamus, magnocellular basal complex, substantia nigra, caudate nucleus, cerebellar dentate nucleus, and Purkinje cells.

Clinicopathological staging of neurodegeneration and neuronal loss is critical for identification of targets and selection of the optimal time for successful prevention and treatment of AD pathology. The aim of this study of 14 brain regions and their cytoarchitectural subdivisions in the brains of 25 subjects diagnosed with clinical decline ranging from mild cognitive impairment (MCI) to severe dementia and 13 age-matched control subjects without records of cognitive decline was to establish correlations between duration of clinical stages of AD defined by Functional Assessment Staging (FAST) (14, 15) and morphometric estimates of neuropathological changes. Establishment of the duration of each of the 16 stages and substages of FAST provides a framework for estimating the dynamics of neuropathological changes corresponding to the clinical staging of 19 years, 9 months' duration of clinically observable AD. Neuropathological staging is focused on the number of neurons, percentage of neurons lost, rate of neuronal loss per million neurons in each structure per day, and volume loss. These evaluations are paralleled with estimates of the number and percentage of neurons with NFTs, amyloid load (%), and volume (mm^3) of amyloid deposits.

The next aim of this study was to determine brain region- and stage of AD-specific rates of neuronal loss related and unrelated to neurofibrillary degeneration. Several morphometric studies revealed that neuronal loss exceeds neurofibrillary degeneration (11, 16, 17). This finding suggests that AD neuronal loss might be a cumulative product of neuronal death caused by neurofibrillary degeneration and other mechanisms. The high ratio of neuronal loss caused by other mechanisms estimated in this study suggests that identification of these mechanisms and prevention/reduction of this pool of neuronal loss may have a significant preventive and/or therapeutic effect in AD.

This study of staging of brain degeneration and neuronal death reveals that brain structure- and stage of AD-specific dynamics of neuronal loss are the most consistent correlates of the duration of AD and predictors of structural and functional decline. Staging shows that the largest percentage of neurons is lost in MCI/mild AD (FAST 3 and 4) and suggests that treatments applied during combined MCI (7 years duration) and mild AD (2 years duration) have the potential to disrupt the course of AD early and to preserve high function and quality of life. This stage is followed by acceleration of degeneration and neuronal loss, reaching a ceiling level in moderate and moderately severe AD (FAST stages 5 and 6a–e). The acceleration associated clinically with the loss of range of function during the 4-year duration of FAST 5–6 is a transition to severe AD. The result of reaching the maximum level of neuronal loss in moderate/moderately severe AD is the decline of neuronal loss to a floor level in severe AD (FAST stages 7a–f), the decline of amyloid load due to the reduction of the number of neurons and a pool of neuronal origin A β , and the reduction of the number of NFTs due to the progression of neuronal loss. Established FAST-based clinicopathological staging predicts the dynamics of neuropathological and clinical progression of AD and may be helpful in designing targets and timing of treatment.

MATERIALS AND METHODS

Materials

The neuropathology in the brains of 25 subjects diagnosed with clinical decline ranging from MCI to severe AD dementia and with neurodegeneration matching criteria for an AD diagnosis was compared to changes in 13 control subjects without records of cognitive decline or dementia (Table 1). The age at death ranged from 74 to 97 years in the AD group and from 69 to 102 years in the control group. The difference between the mean age in the AD (82.3 ± 1.3 years) and control (81.7 ± 2.4 years) groups was not significant. Females constituted 52% of the AD and 38% of the control groups.

In AD cohort 21 subjects were white and 1 was black. In 2 cases data were not available. One subject was of Hispanic origin, 20 subjects were non-Hispanic, and in 3 cases data about ethnicity were missing. In AD cohort education of 2 individuals was limited to 8 and 9 years, whereas other subjects' education was in range from 12 to 18 years (mean 15 years). In 11 cases per 22 with available records (50%), AD was diagnosed among close family members. Among 10 cases with available results of apolipoprotein E genotyping, 1 was diagnosed with APOE 2/4, 4 with 3/3, another 4 with 3/4, and 1 with 4/4 APOE genotype. APOE genotyping was performed by the National Centralized Repository for Aging and Dementia Related Disorders, Indiana University School of Medicine, Indianapolis, IN.

Selection of the examined cohort was based on clinical inclusion criteria to ensure similar number of cases representing each of 7 FAST stages of AD, including 4 subjects with FAST3, 3 with FAST4, 4 with FAST5, 6 representing FAST 6c and 6e, and 8 subjects representing FAST 7 substages a, b, c, d, e, and f. Cases were selected to preserve a similar ratio of females and males in FAST subgroups.

To define neuronal and volume loss, neurofibrillary degeneration and β -amyloidosis matching FAST-based clinical staging of functional decline in AD cohort, the exclusion criteria were applied to reduce risk of distortion of "pure" AD clinicopathological correlations with non-AD pathology. Subjects with double clinical diagnosis of Alzheimer's and Parkinson's disease, and brain vasculopathy including stroke and hemorrhage were excluded. The result of postmortem neuropathological examination was exclusion of 6 cases with vasculopathies, including cases diagnosed with signs of hippocampal sclerosis and microinfarcts, and reduction of the number of accepted cases to 25.

Pneumonia/bronchopneumonia was a common cause of death in AD (56%) but was much less common in the control cohort (15%). Infarct, heart failure, or coronary artery disease was a cause of death in 24% of AD and 38% of control subjects. The prevalence of cancer was comparable in the AD and control groups (20% and 23%, respectively). Pulmonary edema and intraabdominal hemorrhage were the causes of death in 2 other control subjects. One AD subject was diagnosed with pulmonary artery embolism, and in 1 case the cause of death was an overdose of Nembutal.

The average postmortem interval (period between death and autopsy) was comparable in the control (16.5 hours) and

TABLE 1. Clinical and Postmortem Characteristics of Control and AD Subjects Including FAST Clinical Staging of Functional Deterioration and Braak Staging of Neurofibrillary Degeneration and β -Amyloidosis

Number	Group	Case #	Sex	Age of Onset	Age (Years)	Cause of Death	PMI	Brain Weight	FAST	Duration (Days)	NFT Tau1	Amyloid 4G8
1	C	459-96	F	—	69	Pulmonary edema	48	1320	—	—	II	A
2	C	129-98	M	—	72	Myocardial infarct	3	1200	—	—	IV	C
3	C	456-96	M	—	73	Heart failure	48	1200	—	—	III	A
4	C	199-96	M	—	77	Abdominal hemorrhage	19	1270	—	—	II	A
5	C	402-00	F	—	78	Lymphoma	4	980	—	—	III	A
6	C	115-00	M	—	80	Lymphoma	7	1120	—	—	III	C
7	C	113-00	M	—	82	Bronchopneumonia	7	1140	—	—	IV	C
8	C	361-99	M	—	83	Heart failure	7	1200	—	—	II	A
9	C	114-00	F	—	85	Coronary artery disease	6	1060	—	—	II	A
10	C	191-02	M	—	85	Heart failure	27	1080	—	—	III	0
11	C	362-99	F	—	87	Brest cancer	23	1120	—	—	II	B
12	C	293-00	M	—	90	Pneumonia	6	1120	—	—	III	A
13	C	449-02	F	—	102	Not established	10	984	—	—	III	A
Mean \pm SE					81.8 \pm 2.4		16.5 \pm 4.4	1138 \pm 27.8				
1	AD	452-02	M	70.5	74	Lymphoma, pneumonia	93	1340	3	1278	III	C
2	AD	189-02	F	93.5	97	Congestive heart failure	54	1120	3	1278	IV	C
3	AD	382-01	M	86.5	90	Myocardial infarct	10	1260	3	1278	III	B
4	AD	M8-04	F	91.5	95	Overdose of Nembutal	7	1038	3	1278	IV	C
5	AD	360-99	F	73.0	81	Congestive heart failure	24	1060	4	2920	III	C
6	AD	375-98	M	78.0	86	Pneumonia	46	1300	4	2920	III	A
7	AD	M15-03	M	85.0	93	Heart failure	8	1316	4	2920	V	C
8	AD	29-99	F	65.3	75	Heart failure	28	1040	5	3559	VI	C
9	AD	451-02	F	73.3	83	Adenocarcinoma, pneumonia	20	1080	5	3559	III	A
10	AD	M6-04	M	66.3	76	Pneumonia	9	1302	5	3559	IV	C
11	AD	M7-04	M	70.3	80	Esophageal carcinoma	25	1200	5	3559	V	C
12	AD	41-97	M	61.5	73	Pneumonia	12	1360	6C	4213	V	C
13	AD	513-02	F	70.5	82	Pneumonia	31	980	6C	4213	V	C
14	AD	178-99	F	64.5	77	Pancreatic carcinoma	17	940	6E	4563	VI	C
15	AD	376-98	F	19.5	82	Bronchopneumonia	31	950	6E	4563	V	C
16	AD	39-97	F	69.5	82	Lung carcinoma	30	1080	6E	4563	VI	C
17	AD	211-98	F	71.5	84	Heart failure	23	980	6E	4563	VI	C
18	AD	250-99	M	64.6	78	Pneumonia	12	1100	7A	4898	VI	C
19	AD	514-02	F	62.4	77	Pulmonary art. embolism	24	1028	7B	5354	VI	C
20	AD	188-02	F	70.5	86	Pneumonia	9	1000	7C	5810	VI	C
21	AD	252-99	M	67.1	84	Pneumonia	13	1140	7D	6176	VI	C
22	AD	190-02	F	66.1	83	Pneumonia	23	960	7D	6176	VI	C
23	AD	251-99	M	62.9	81	Aspiration pneumonia	11	700	7E	6632	VI	C
24	AD	83-02	M	64.6	84	Bronchopneumonia	16	1010	7F	7088	VI	C
25	AD	1-02	M	55.6	75	Pneumonia	20	840	7F	7088	VI	C
Mean \pm SE					82.3 \pm 1.3		23.8 \pm 3.7	1085 \pm 32.7				

AD, Alzheimer disease; NFT, neurofibrillary tangle; PMI, postmortem interval in hours (h); estimated age of AD onset (based on FAST staging).

AD (23.8 hours) cohorts. Details of methods for tissue retrieval, processing, and staining were published in a previous report characterizing neuronal reserve in a control group 25–102 years of age (18). Briefly, brains were removed by using standard protocols, weighed, and divided with a midsagittal cut of the corpus callosum, brainstem, and cerebellum. The brain hemisphere designated for freezing was used for tissue sampling for neuropathological diagnosis. The hemisphere assigned for morphometric study of the global pattern of AD pathology was fixed in 10% buffered formalin for at least 6 weeks and cut coronally into 1-cm-thick slabs by using a

macrotome that provides uniform slab thickness and a uniform plane of coronal cuts. Results of a morphometric study of 13 control cases, age-matched to the 25 AD subjects, were used as a reference in this study.

The mean brain weight in the control group was 1138 \pm 27.8 g, and brain weight correlated with age ($r = -0.69$; $p < 0.01$). Mean brain weight in the AD subject group was insignificantly less (1085 g), and the decline did not correlate significantly with age ($r = 0.05$); however, the correlation with the duration of AD was significant ($r = -0.63$; $p < 0.001$). The study was performed by using coded brain tis-

sue samples and demographics. The brains of AD subjects and neuropathological diagnoses were obtained from the Alzheimer's Disease Research Center at New York University Medical Centers. Anonymized results of the application of FAST staging were provided by the Clinical Core (Barry Reisberg), NYU Alzheimer's Disease Center, New York University Langone Medical Center. In each case, diagnostic tissue samples were used for neuropathological evaluation, and the second hemisphere was preserved for morphometric studies. The protocols of this study were approved by the institutional review board of the New York State Institute for Basic Research in Developmental Disabilities.

Research Design to Establish Neuropathological Staging in MCI/Mild AD, Moderate and Moderately Severe AD, and Severe AD

Functional decline in the AD cohort was estimated with the FAST procedure (14) (Table 1). FAST staging was applied to estimate both the clinical course of functional deterioration and to determine duration of each stage and substage of AD. In contrast to the FAST, the Mini-Mental State Examination (19), the Clinical Dementia Rating (CDR) (20), and other staging methods utilized in AD assessment reveal a floor effect with missing standards for assessment of functional deterioration during severe AD, whereas FAST stage 7 identifies the chronological order of functional loss in 6 substages (a–f) in the last 7 years of AD (21). Application of FAST estimates for the duration of 6 clinical substages of AD was a critical factor in attempting to detect dynamics of degeneration, neuronal loss, and functional deterioration in severe AD.

This study was designed to monitor the progression of changes in 25 cases of AD in comparison with 13 age-matched control cases and to define dynamics of pathological changes in 3 major periods of AD, including the 7 years of MCI and the 2 years of mild AD (FAST stages 3–4, corresponding to 45% of AD duration); 3 years 11 months of moderate and moderately severe AD (FAST 5–6; 20% of AD duration); and 7 years of severe AD (FAST 7a–f; 35% of AD duration). This merger of FAST stages increased subgroup sizes to 7 in MCI/mild AD, 10 in moderate and moderately severe AD, and 8 in severe AD, permitting more robust statistical analyses. [Supplementary Data Table S1](#) includes the duration of FAST stage and the cumulative duration applied for estimation of the dynamics of neuronal loss, including the number of neurons lost in structure or cytoarchitectural subdivision per day and the number of neurons lost per million neurons per day. This index allows one to compare the rate of neuronal loss in structures with a broad range of differences in the number of neurons.

Tissue Processing, Embedding, Sectioning, Staining, and Immunostaining

One-centimeter-thick coronal slabs of each brain hemisphere were dehydrated in ascending concentrations of ethyl alcohol, infiltrated with polyethylene glycol 400 (PEG; Sigma, St. Louis, MO) for 6 days, and with PEG 1000 for the next 6 days, embedded in fresh PEG 1000, cut serially into 50- μ m-thick sections, and stored with section identifiers in 70% ethyl

alcohol (18). Free-floating serial sections were stained with cresyl violet and mounted with Acrytol (Sigma). The library of serial cresyl violet-stained hemispheric sections with a known distance between sections was used for delineation of regions of interest, measurement of structure/cytoarchitectural subdivision area, and estimation of structure and cytoarchitectonic subdivision (nucleus, layer or sector) volume and number of neurons. To estimate the number of neurons with NFTs, serial sections were treated with alkaline phosphatase (Sigma, type VII-L, 400 μ g/mL in PBS, pH7.4; 0.01% H_2O_2) and immunostained with mouse monoclonal antibody Tau-1 diluted 1:100 000 (22). Tau-1 immunostaining enables identification of neurons in all stages of progression of neurofibrillary degeneration, including pretangles and early, mature, and end-stage tangles (23). Degraded extracellular residues of NFTs (ghost tangles) were not included in NFT counts. To evaluate amyloid load, serial sections were pretreated with 88% formic acid and immunolabeled with mAb 4G8, which recognizes an epitope between amino acids 17–24 of the A β peptide (24). To estimate relationships between neuronal loss and amyloid deposits, all amyloid deposits immunodetected with mAb 4G8 were estimated as percentage of structure volume (amyloid load), including exclusively diffuse deposits in the cerebellar molecular layer and mainly fibrillar plaques in the EC, hippocampal formation and subcortical structures. Amyloid load was not estimated in islands of stellate neurons in the second layer of the EC due to poor distinction of borders of neuronal islands and amyloid in immunostained sections with weak counterstaining with hematoxylin.

To estimate potential contribution of different proteinopathies to neurodegeneration, neuronal loss and functional decline, the prevalence and severity of TDP-43 neurodegeneration and Lewy bodies were determined in the amygdala in AD subjects. The numerical density and percentage of neurons with TDP-43-positive cytoplasmic inclusions were estimated in the amygdala in 50- μ m-thick sections immunostained with rabbit polyclonal antibody TDP-43 (1:500; Catalog nr 10782-2-AP; 1:500; ProteinTech, Rosemont, IL). The prevalence (percentage of AD cases positive for Lewy bodies) and severity (numerical density and percentage of neurons with Lewy bodies) were determined. Free-floating 50- μ m-thick sections were immunostained with mouse monoclonal antibody 4B12 detecting α -synuclein (1:500; MA1-90346, Thermo Fisher Scientific, Rockford, IL). Numerical density (n/mm^3) and percentage of neurons with TDP-43-positive cytoplasmic inclusions and neurons with Lewy bodies (cresyl violet-stained neurons—100%) were estimated using an $\times 40$ objective lens. On average 183 sampling sites per case were examined to detect TDP-43 pathology and 146 sampling sites to detect Lewy bodies. The same test area (150 \times 150 μ m) and sampling grid (600 \times 600 μ m) were applied for estimation of both degenerative processes.

Structures Examined, Morphometric Parameters, Delineation of Brain Structures, and Their Cytoarchitectural Subdivisions

Unbiased stereology was applied to estimate the volume, number of neurons, number, and percentage of neurons

with NFTs, and amyloid load in 14 brain regions and their cytoarchitectural subdivisions. Delineation of anatomical boundaries and region of interest cytoarchitectural subdivisions was described in detail in our previous report (18). This study included all layers of the EC and the islands of the stellate neurons in ECII, delineated using Amaral and Insausti criteria (25); the pyramidal layer in 4 sectors of the Ammon's horn (CA1–4); and the subiculum proper, delineated according to Lorente de No (26), Rosene and Van Hoesen (27), and Duvernoy (28). Four nuclei—basal, accessory basal, lateral, and central—were examined in the amygdala. They were delineated according to Schumann and Amaral criteria (29). In the thalamus, all subdivisions were examined. In the caudate nucleus, estimates of the number of neurons were limited to small neurons. Fearnley and Lees criteria (30, 31) were applied to delineate the substantia nigra pars reticulata and compacta and all subdivisions of the dorsal and ventral tier of nuclei. Four nuclei (CH1–4) were examined in the magnocellular basal complex (32). Morphometry of the cerebellum included volume of the molecular and granular layer to estimate the total number of Purkinje cells in the borderline between these 2 layers. A cerebellar study included the dentate nucleus. All estimates are limited to 1 brain hemisphere examined.

Stereological and Statistical Analysis

To maintain compatibility with the results of our study of aging and neuronal reserve (18), similar standards of stereological analysis were applied in both studies (Supplementary Data Table S2). The volume of brain structures and the number of neurons with and without NFTs were estimated with unbiased stereological methods (33) by using a workstation consisting of an Axiophot II light microscope (Carl Zeiss, Gottingen, Germany) with Plan-NEOFLUAR objectives 1.25 \times , 2.5 \times , 20 \times , and 40 \times ; a specimen stage with a 3-axis, computer-controlled stepping system (Ludl Electronics, Hawthorne, NY); a CCD color camera CH9000 (MicroBrightfield Bioscience, Williston, VT); and stereology software (Stereo Investigator, MicroBrightfield Bioscience).

The mean number of equidistant sections examined per case varied from 8 for EC and amygdala to 18 for dentate nucleus (on average 11 sections per structure). To maintain low coefficient of error, the mean number of counting spaces was 364 and mean number of counted neurons 206 per case/per structure.

Comparisons of neuronal counts, structural volumes, etc., between groups were analyzed in *t*-tests adjusted for unequal variances when required. Pearson correlations are unadjusted. Significance levels are not adjusted for multiple comparisons. Analyses were performed by using version 16 of Stata (34).

RESULTS

Link Between Short Survival of Older AD Subjects, Longer Survival of Younger Individuals, and the Stage of AD at Death

Distribution of estimated onset of MCI in 25 nonselected consecutive AD cases examined postmortem shows

that the age at onset varied in range from 55.6 to 93.5 years of life. Age-associated enhanced mortality during this 37.9-year interval results in shortening of survival time from 15.5 years in the youngest cases to only 6.3 years in the oldest subjects (Fig. 1). The reversed ratio between prevalence of younger subjects who died in late stage of AD (FAST stages 6e and 7a–f) and prevalence of older subjects who died in early stages of AD (FAST stages 3 or 4) appears to be the cause of a lack of correlation between age and severity of pathology in the examined AD cohort.

Multiregional Staging of Cumulative Volume Loss in AD

Brain atrophy is an effect of the region-specific rate of neuronal and volume loss during AD. In MCI/mild AD (FAST stages 3–4), volume changes determined in comparison to the control group were insignificant in most examined structures, exceptions being the 22.3% loss of volume in the CA3 sector ($p < 0.05$) and 22.2% ($p < 0.02$) loss in the thalamus (Table 2; Fig. 2A). In subjects diagnosed with moderate and moderately severe AD (FAST 5–6), a significant volume loss was found in 7 of 15 examined regions, including all layers of the EC (20.0%), islands of stellate neurons in ECII (55.2%), CA1 (29.5%), CA2 (14.0%), CA3 (21.1%), subiculum (33.1%), and thalamus (25.1%). In severe AD (FAST 7a–f), cumulative volume loss increased significantly in the entire EC to 26.8%, ECII to 84.0%, CA1 to 50.5%, subiculum to 54.2%, amygdala to 25.5%, thalamus to 34.9%, and magnocellular basal complex to 29.4%. The progression of atrophy in 13 brain regions is reflected in the 22.2% average cumulative volume loss in MCI/mild AD, 28.3% loss in moderate/moderately severe AD, and 43.6% volume loss in severe AD (Fig. 2B).

Neuronal Loss

To expand the understanding of brain atrophy in AD, the dynamic nature of neuronal loss was analyzed, including changes during MCI/mild AD, moderate/moderately severe AD, and severe AD (FAST 3–4, FAST 5–6, and FAST 7) in the number of neurons in 14 brain structures/cytoarchitectural subdivisions (Fig. 3), estimates of the percentage of neurons lost in each of these 3 AD stage groups (Fig. 4), and estimates of the rate of neuronal loss (number of neurons lost per million neurons per day) in each stage (Fig. 5, Tables 3–5).

Region-specific decline in the number of neurons in the 3 stages of AD was estimated as the difference in comparison to age-matched control subjects from 69 to 102 years of age. The pattern of staging and duration of AD-related neuronal loss is similar in small structures (less than 1.6 million neurons) and large structures (up to 40 million neurons) with an accentuated, more prominent decline in MCI/mild AD.

Stage-Specific Percentage of Neuronal Loss

Structure- and stage-specific neuronal loss in FAST stages 3–4 was estimated as the difference between the number of neurons in the control group and FAST stages 3–4; in FAST stages 5–6, as the difference between FAST stages 3–4

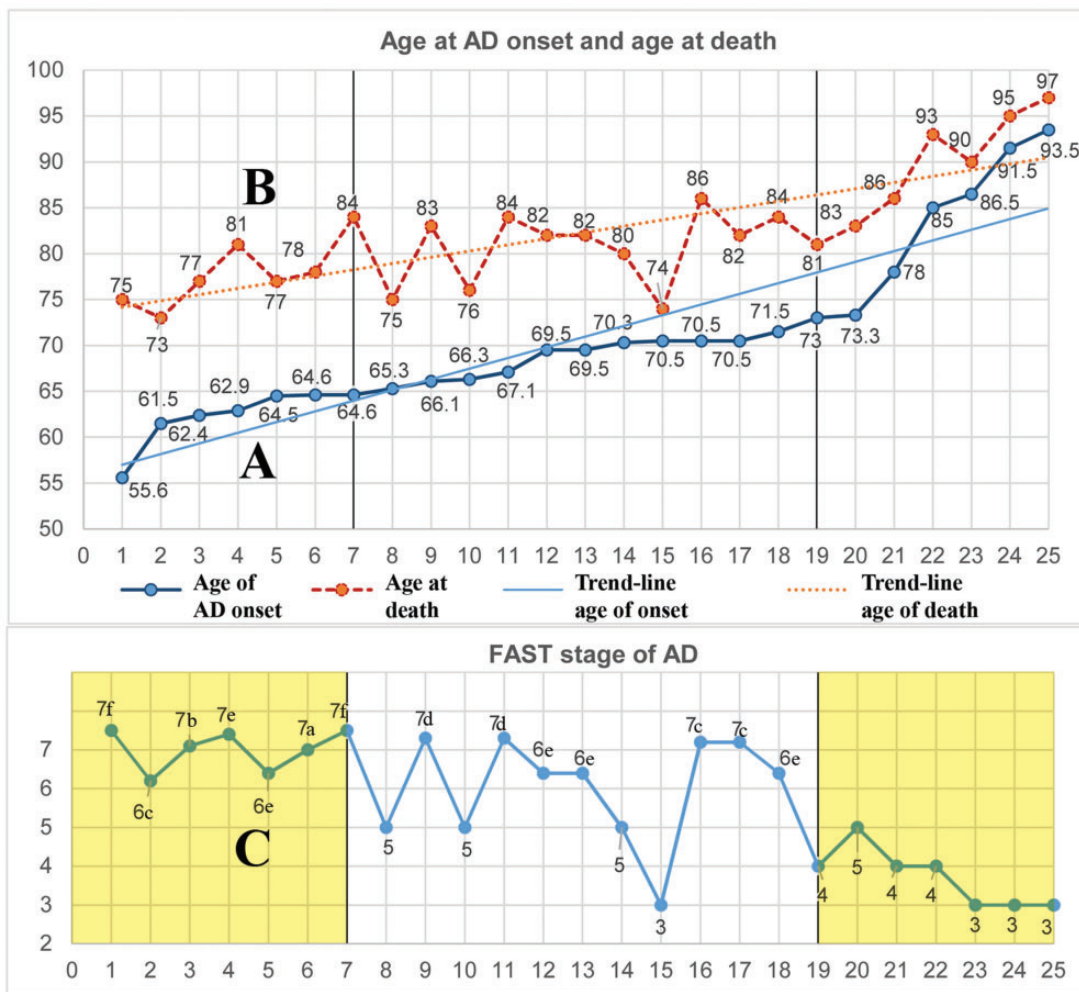


FIGURE 1. Enhanced age-associated mortality in the eighth and ninth decades results in short survival, associated with the prevalence of early stages of Alzheimer disease (AD) in the oldest subgroup. The graphs illustrate distribution of age at onset of mild cognitive impairment (MCI) in a random sample of 25 AD subjects (A), their age at death (B), and Functional Assessment Staging (FAST) stage at death (C). Subject data are presented in the order of increasing age at onset of AD and are supported with linear trendlines. The time of survival of the 7 youngest cases was on average 15.5 years, and their diagnosis at death was in FAST stage 6e to 7f, while the 7 oldest subjects, who developed MCI late, survived on average only 6.3 years and at the time of death were diagnosed at FAST stages 3 or 4. This reversed pattern may contribute to the lack of correlations between pathology and age in a postmortem study of this cohort of sporadic AD.

and FAST stages 5–6; and in FAST stage 7, as the difference between FAST stages 5–6 and FAST stage 7 (Tables 3–5).

Neuronal loss in FAST stages 3–4 is characterized by a rather high and uniform (22.9%–31.0%) loss of neurons in the EC and hippocampal formation subdivisions, but much more severe neuronal loss in the amygdala (47.4%) and thalamus (45.7%) (Fig. 4A). The pattern of neuronal loss changes in FAST stages 5–6 and FAST stage 7, with a shift toward an extremely broad range of regional differences, a generally lower percentage of neuronal loss with an especially low percentage of neuronal loss in FAST stage 7 in the amygdala (6.5%), thalamus and CA2 (8.2% each), and undetectable neuronal loss in CA3 (Fig. 4B, C).

Estimation of cumulative neuronal loss during the 19 years of AD duration (Fig. 4D) reveals that neuronal

loss varies from 32.1% in CA3 to 83.8% in the islands of the stellate neurons in the EC. This 2.6-fold difference reflects structure-specific patterns of neuronal loss resulting in disorganization of neuronal interactions within each structure, between connected structures, and within neuronal circuits. An example of the disruption in the neuronal network within 1 anatomical subdivision is a 78% loss of neurons in CA1 but only a 43.8% loss in CA2 and 32.1% loss in CA3.

An estimation of the average neuronal loss in each of the 3 stages of AD reveals that the percentage of neuronal loss in MCI/moderate AD (FAST stages 3–4) (31% on average) is approximately twice that in moderate/moderately severe AD (FAST 5–6; 15.5%) and severe AD (FAST stage 7; 13.8%) (Fig. 4E).

TABLE 2. Estimated Volume (mm³; ±SE) of 14 Structures and Cytoarchitectural Subdivision in Control Subjects 69–102 Years of Age and Subjects Diagnosed With AD FAST Stages 3–4, 5–6, and 7

Structure/Subdivision	Control	AD FAST 3–4 (n = 7)			AD FAST 5–6 (n = 10)			AD FAST 7a–f (n = 8)		
	69–102 Years	Vol (mm ³)	Vol Loss %	p <	Vol (mm ³)	Vol Loss %	p <	Vol (mm ³)	Vol Loss %	p <
Entorhinal c. (all layers)	531 ± 24	504 ± 50	5.1	ns	425 ± 33	20.0	0.02	389 ± 31	26.8	0.002
Entorhinal c. (Islands)	29 ± 1	24 ± 3	17.3	ns	13 ± 2	55.2	0.001	5 ± 1	84.2	0.0001
CA1	389 ± 21	387 ± 38	0.4	ns	274 ± 21	29.5	0.002	192 ± 25	50.5	0.0001
CA2	39 ± 2	33 ± 3	15.4	ns	34 ± 2	14.0	0.05	34 ± 2	12.9	ns
CA3	45 ± 3	35 ± 4	22.3	0.05	35 ± 2	21.1	0.02	39 ± 3	13.3	ns
CA4	100 ± 6	94 ± 8	5.4	ns	97 ± 8	2.2	ns	102 ± 6	0	ns
Subiculum	180 ± 12	157 ± 8	12.9	ns	121 ± 7	33.1	0.005	83 ± 7	54.2	0.001
Amygdala	420 ± 20	421 ± 45	0	ns	368 ± 21	12.6	ns	313 ± 20	25.5	0.0018
Thalamus	2640 ± 130	2053 ± 151	22.2	0.02	1978 ± 86	25.1	0.005	1718 ± 126	34.9	0.0001
Substantia nigra	82 ± 6	96 ± 6	0	ns	79 ± 5	3.7	ns	80 ± 3	2.5	ns
Magnocellular basal complex	120 ± 5	125 ± 6	0	ns	102 ± 7	14.6	ns	85 ± 10	29.4	0.003
Caudate nucleus	1929 ± 71	2140 ± 128	0	ns	1891 ± 97	2.0	ns	1748 ± 153	8.4	ns
Cerebellum: mol+gran cell layer	23 747 ± 1438	23 457 ± 3086	1.3	ns	24 121 ± 2651	0	ns	24 712 ± 973	0	ns
Dentate nucleus	271 ± 15	246 ± 33	9.2	ns	226 ± 17	16.7	ns	221 ± 24	18.5	ns

The cumulative volume loss was calculated as the difference between volume in 69–102 years of age control group and volume in each of 3 stages of AD. AD, Alzheimer disease; CA1, sector 1 of the cornu Ammonis; FAST, Functional Assessment Staging; ns, no statistically significant volume (vol) change.

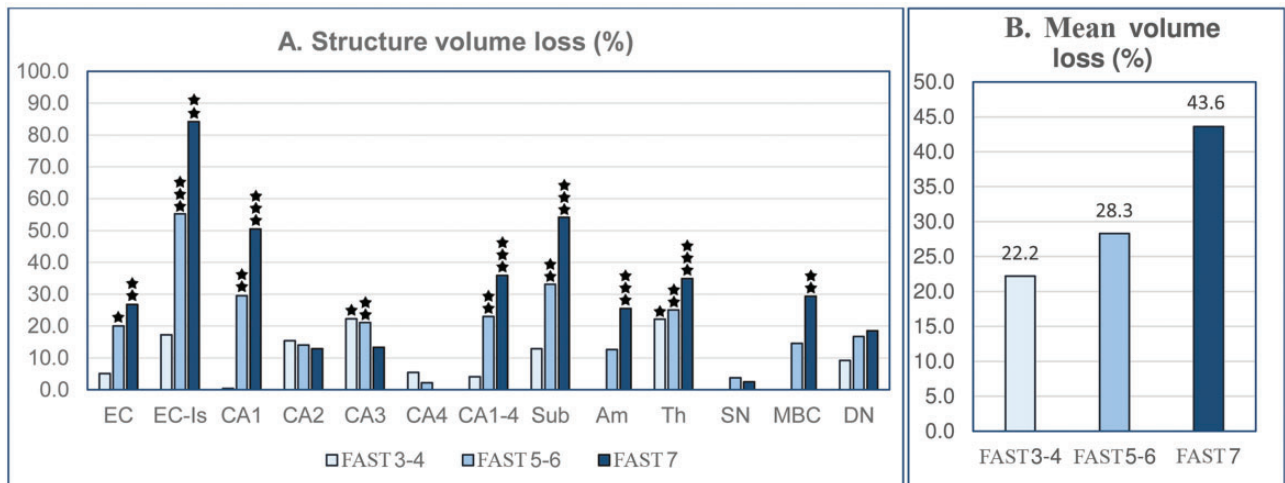


FIGURE 2. Staging of volume loss in 13 structures in the brain of Alzheimer disease subjects (graph A). Volume loss (%) estimated in Functional Assessment Staging Test (FAST) stage 7 in comparison to 69- to 102-year-old controls shows regional differences in magnitude of atrophy, ranging from a low percentage in CA4 and substantia nigra to approximately 50.5% in the CA1 and 54.2% in the subiculum, and more than 84.2% in the entorhinal cortex islands (Table 4). The estimated average volume loss in structures with significant volume loss (graph B) shows a rather uniform progress of atrophy, with volume reduction by 22.2% in FAST stages 3–4, 28.3% in FAST stages 5–6, and 43.6% in FAST stage 7.

Stage-Specific Speed of Neuronal Loss in AD

The rate of neuronal loss calculated per million neurons is an index of neuronal loss independent of the number of neurons in an individual brain structure and stage duration (Tables 3–5). Time-dependent estimates of neuronal loss per day detect dynamics of neuronal loss in different structures

and stages of AD. The estimation of the rate of neuronal loss was limited to 6 structures with significant neuronal loss in each stage of AD. In FAST stages 3–4, the loss ranges from 115 to 128 neurons/million/day in the EC, including islands of stellate neurons, CA1, and subiculum, but the rate of neuronal loss is almost doubled in the amygdala (239 neurons/million/

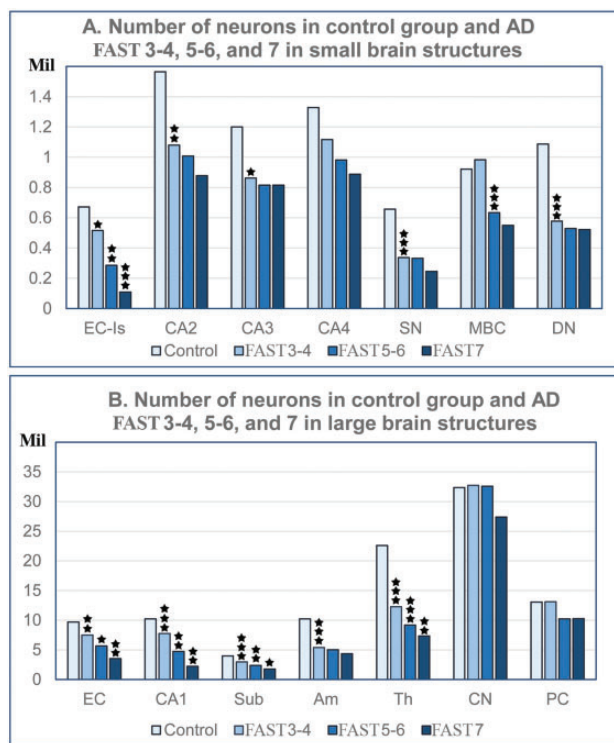


FIGURE 3. Regional differences in the number of neurons (millions) in 69- to 102-year-old nondemented control subjects and Alzheimer disease subjects diagnosed with FAST stages 3–4, 5–6, and 7. Graphs show the decline in the number of neurons in small structures with less than 1.6 million neurons (graph A) and large structures with 1.6 to approximately 32 million neurons (graph B). The pattern of neuronal loss is similar regardless of the region and the size of the neuronal population, with 2 exceptions—loss of Purkinje cells in FAST stages 5–6, and loss of small neurons in the caudate nucleus limited to FAST stage 7. EC, all layers of the entorhinal cortex; EC-ls, islands of the stellate neurons in the second layer of the EC; Cornu Ammonis, CA1, 2, 3, 4; Sub, subiculum; Am, amygdala; Th, thalamus; SN, substantia nigra; MBC, magnocellular basal complex; DN, dentate nucleus.

day) and thalamus (231 neurons/million/day) (Fig. 5A). The characteristic modification of the dynamics of neuronal loss in FAST stages 5–6 is a significant nonuniform reduction in the rate of neuronal loss to 85 neurons/million/day in the amygdala, but an almost 5-fold acceleration of neuronal loss (to 556 neurons/million/day) in the islands in the EC, a 3.9-fold increase (to 484 neurons/million/day) in CA1, and a more than 2-fold increase of the rate of neuronal loss in all layers in the EC (to 302 neurons/million/day) and subiculum (to 254 neurons/million/day) (Fig. 5B). The opposite direction of changes characterizes FAST stage 7, with a several-fold reduction in the rate of neuronal loss in all 6 regions, reflecting a terminal exhaustion of the neuronal pool (Fig. 5C).

Average and cumulative rates of neuronal loss demonstrate a general pattern of stage- and structure-specific modification of the dynamics of neuronal loss (Fig. 5D, E). The

estimated average rate of neuronal loss increases from 159 neurons/million/day in FAST stages 3–4 to 332 neurons/million/day (ceiling level) in FAST stages 5–6 and decreases to 64 neurons/million/day in FAST stage 7. The cumulative rate of neuronal loss estimated in all 6 structures increases from 952 in FAST stages 3–4 to 1993 neurons/million/day in FAST stages 5–6 but decreases 6.2-fold to 321 neurons/million/day in FAST stage 7.

Neurofibrillary Degeneration

To define brain region- and stage of AD-specific relationships between neurofibrillary degeneration and neuronal loss, the number and percentage of neurons with NFTs were estimated (Table 6). Among 12 regions examined, only cerebellar Purkinje cells were free of tau pathology. In all 11 NFT-positive structures, the percentage of neurons with NFTs increases with the FAST stage but in a structure-specific pattern (Fig. 6A). Global staging of neurofibrillary degeneration is characterized by an increase in the average percentage of neurons with NFTs, from 7% in the control group to 13% in FAST stages 3–4, 24% in FAST stages 5–6, and 31% in FAST stage 7 (Fig. 6B). The broad range of differences in the percentage of neurons with NFTs reflects a brain region- and neuron type-specific susceptibility to abnormal tau hyperphosphorylation and neurofibrillary degeneration. A several-fold-higher percentage of neurons with NFTs in the CA1 than in CA2, CA3, and CA4 indicates that even within the same structure, the susceptibility of neurons to neurofibrillary degeneration is region-specific. Region- and stage-dependent differences in the dynamics and time of neurofibrillary degeneration and the loss of neurons with NFTs are illustrated by structure-specific loss of NFTs in FAST stage 7 in CA1 (–49%), islands in the second layer of the EC (–47.3%), subiculum (–32.3%), CA4 (–14.4%), and all layers of the EC (–10.3%), but a further increase in the percentage of NFTs, by 27% in the amygdala and 61.6% in the thalamus (Fig. 6C, D).

Stage-Specific Rate of Neuronal Loss Dependent and Independent of Neurofibrillary Degeneration

To estimate the contribution of neurofibrillary degeneration to neuronal loss in AD, the relationships between the number of neurons with NFTs and the number of lost neurons were determined in FAST stages 3–4, 5–6, and 7 (Fig. 7). The causative link between neurofibrillary degeneration and neuron death is reflected in a similar total number of neurons with NFTs and total number of lost neurons in each of the 3 FAST stage groupings of AD in structures affected early and severely by neurofibrillary degeneration, including the entire EC and the islands of stellate neurons in ECII, hippocampal formation sector CA1, and the subiculum. However, the pattern of neurofibrillary degeneration and neuronal loss in the amygdala and thalamus is strikingly different. Limited neurofibrillary degeneration is paralleled with a 3.8 times larger number of lost neurons in the amygdala and a 51 times larger number of lost neurons than the number of NFTs in the thalamus, with a re-

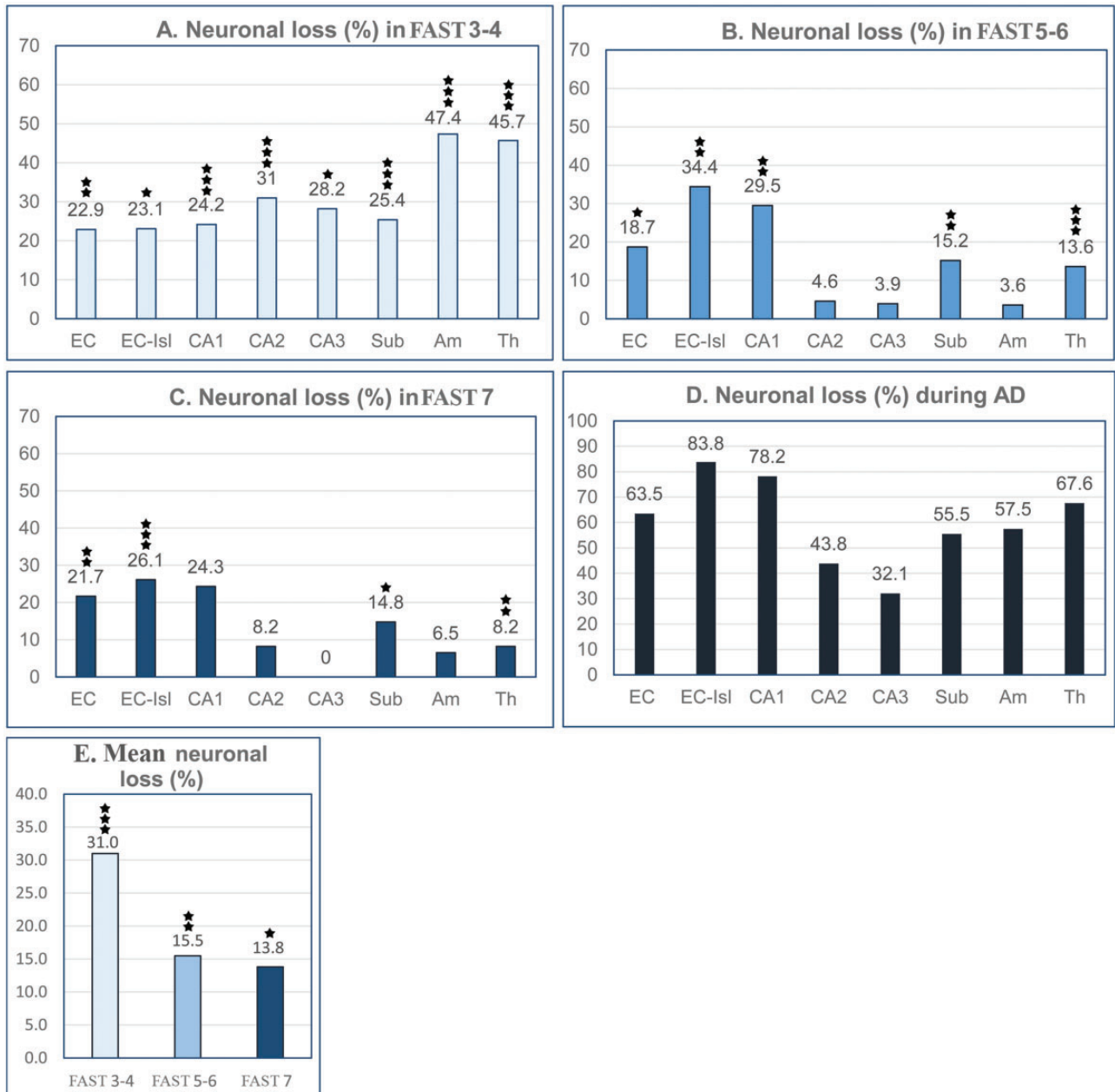


FIGURE 4. Graphs A–C show differences between a high and rather uniform neuronal loss in FAST stages 3–4, and a less severe and strikingly different one in individual structures in FAST stages 5–6 and 7. Neuronal loss was calculated separately for each of the 3 clinical stages as a percentage of the number of neurons in 69- to 102-year-old control subjects. Graph D shows cumulative neuronal loss (%) during the entire course of Alzheimer disease (AD). The structure-specific percentage of neuronal loss during the 19 years and 9 months of AD ranges from 83.8% in the entorhinal cortex islands of stellate neurons to 32.1% in CA3. Graph E demonstrates the highest (31.0%) neuronal loss in FAST stages 3–4 and the decline of neuronal loss to 15.5% in FAST stages 5–6 and to 13.8% in FAST stage 7.

striction of this pattern to MCI and mild AD (FAST stages 3–4). Another pattern of region- and stage-related differences between neurofibrillary degeneration and other causes of neuronal loss is detected in the caudate nucleus, with a 15 times more prominent neuronal loss than neurofibrillary degeneration observed selectively in FAST stage 7, and a severe (2.89 million) loss of Purkinje cells free of neurofibrillary degenera-

tion and limited to FAST stages 5–6. These patterns indicate that neuronal loss is driven by neurofibrillary degeneration in structures affected in the earliest stage of AD, whereas in other structures, including the amygdala, thalamus, and caudate nucleus, non-NFT pathology appears to be a major contributor to neuronal loss, with the extreme example of severe loss of Purkinje cells free of neurofibrillary degeneration.

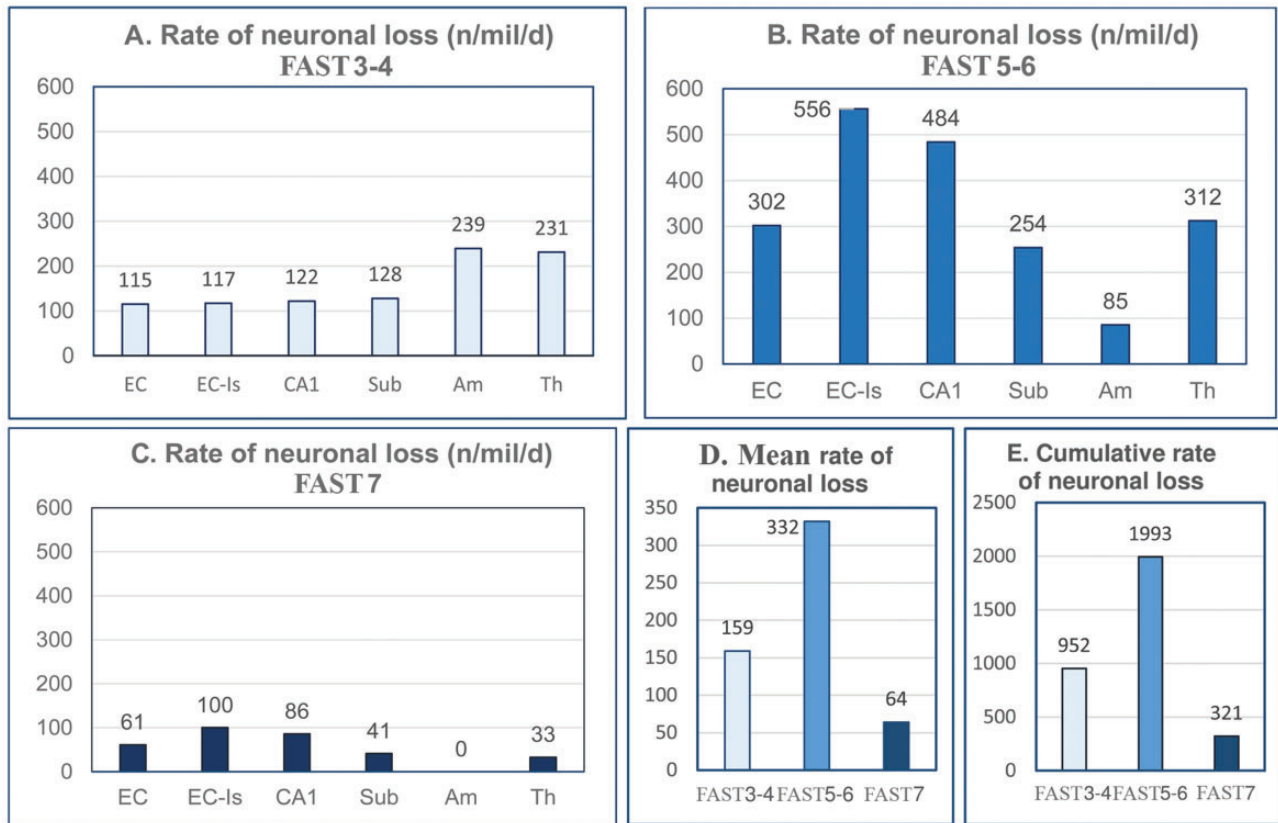


FIGURE 5. Estimates of the rate of neuronal loss reveal strikingly different dynamics of neuronal loss in FAST stages 3–4 (**graph A**), FAST stages 5–6 (**graph B**), and FAST stage 7 (**graph C**). In the entorhinal cortex (EC), islands of stellate neurons in EC, CA1, subiculum, amygdala, and thalamus, the rate of neuronal loss in FAST stages 3–4 is rather uniform and moderate, whereas in FAST stages 5–6, the rate of neuronal loss is almost doubled, but in FAST stage 7, it drops to the lowest level. The general pattern of the rate of neuronal loss is reflected in an mean rate of neuronal loss (**graph D**) and cumulative neuronal loss (**graph E**) that is moderate in FAST stages 3–4, reaches a maximum level in FAST stages 5–6, and drops to a floor level in FAST stage 7.

TABLE 3. Number of Neurons in Control Subjects 69–102 Years of Age and AD Subjects FAST Stages 3–4

Structure/Subdivision	Mean Number of Neurons ± SE		Neuronal Loss in FAST Stages 3–4				
	Control 69–102 Years	AD Subjects FAST Stages 3–4	Number	p <	Loss (%)	Loss/Day	Loss/Million Neurons/Day
Entorhinal c.	9 695 433 ± 361 074	7 477 533 ± 588 796	2 217 900	0.004	22.9	1119	115
All layers							
Entorhinal c. Islands	672 074 ± 33 076	516 637 ± 53 472	155 438	0.020	23.1	78	117
CA1	10 234 672 ± 303 305	7 755 632 ± 604 472	2 479 041	0.001	24.2	1251	122
CA2	1 565 802 ± 64 358	1 080 495 ± 119 308	485 307	0.001	31.0	245	156
CA3	1 201 826 ± 80 594	862 857 ± 89 455	338 970	0.015	28.2	171	142
CA4	1 328 125 ± 39 640	1 116 072 ± 111 167	212 054	ns	—	—	—
Subiculum	3 964 418 ± 174 762	2 955 600 ± 117 177	1 008 818	0.0007	25.4	509	128
Amygdala	10 226 726 ± 525 740	5 383 510 ± 502 552	4 843 216	0.0001	47.4	2444	239
Thalamus	22 598 640 ± 1 156 514	12 268 846 ± 460 388	10 329 795	0.0001	45.7	5213	231
Substantia nigra	657 485 ± 59 449	337 728 ± 19 725	319 757	0.0001	48.6	161	245
Magno-cellular basal complex	922 362 ± 52 143	983 291 ± 41 654	0	ns	—	—	—
Caudate nucleus	32 346 117 ± 2 177 370	32 722 049 ± 2 900 707	0	ns	—	—	—
Purkinje cells	13 068 122 ± 603 632	13 109 496 ± 803 390	0	ns	—	—	—
Dentate nucleus	1 087 720 ± 42 823	578 193 ± 45 776	509 527	0.0001	46.8	257	236

Estimated neuronal loss in FAST stages 3–4. Percentage of neuronal loss estimated in comparison to control subjects. The rate of neuronal loss estimated as number of lost neurons per day and per million neurons/day in subjects diagnosed in FAST stages 3–4.

AD, Alzheimer disease; CA1, sector 1 of the cornu Ammonis; FAST, Functional Assessment Staging; ns, no statistically significant neuronal loss.

TABLE 4. Number of Neurons in AD Subjects FAST Stages 3–4 and 5–6 and Estimated Loss of Neurons in FAST Stages 5–6

Structure/Subdivision	Mean Number of Neurons ± SE		Neuronal Loss in FAST Stages 5–6				
	AD Subjects FAST 3–4	AD Subjects FAST 5–6	Number	p <	Loss (%)	Loss/Day	Loss/Million Neurons/Day
Entorhinal c. (All layers)	7 477 533 ± 588 796	5 659 375 ± 558 432	1 818 158	0.047	18.7	2255	302
Entorhinal c. (Islands)	516 637 ± 53 472	285 111 ± 29 133	231 526	0.002	34.4	287	556
CA1	7 755 632 ± 604 472	4 729 351 ± 560 656	3 026 281	0.003	29.5	3753	484
CA2	1 080 495 ± 119 308	1 008 257 ± 89 234	72 238	ns	4.6	—	—
CA3	862 857 ± 89 455	816 355 ± 49 942	46 502	ns	3.9	—	—
CA4	1 116 072 ± 111 167	981 907 ± 71 632	134 165	ns	—	—	—
Subiculum	2 955 600 ± 117 177	2 350 660 ± 116 370	604 940	0.003	15.2	750	254
Amygdala	5 383 510 ± 502 552	5 013 979 ± 305 068	369 531	ns	3.6	458	85
Thalamus	12 268 846 ± 460 388	9 186 080 ± 419 578	3 082 766	0.0002	13.6	3823	312
Substantia nigra	337 728 ± 19 725	333 152 ± 24 338	4576	ns	—	—	—
Magno-cellular basal complex	983 291 ± 41 654	633 343 ± 47 129	349 948	0.0001	35.6	434	441
Caudate nucleus	32 722 049 ± 2 900 707	32 558 855 ± 1 880 536	0	(ns)	—	—	—
Purkinje cells	13 109 496 ± 803 390	10 217 518 ± 1 346 464	2 891 978	(ns)	—	—	—
Dentate nucleus	578 193 ± 45 776	529 793 ± 35 930	48 400	(ns)	—	—	—

Percentage of neuronal loss estimated in comparison to control group. The rate of neuronal loss estimated as number of lost neurons per day and number/million neurons/day in FAST stages 5–6.

AD, Alzheimer disease; CA1, sector 1 of the cornu Ammonis; FAST, Functional Assessment Staging; ns, no statistically significant neuronal loss.

Amyloid Load (%) and Volume of Amyloid Deposits (mm³)

Estimation of the average amyloid load in 13 brain structures showed an increase of amyloid load from 0.9% in 69- to 102-year-old control subjects to 2.1% in MCI/mild AD, and a plateau with 3.8% and 3.6% load in moderate and severe AD, respectively (Fig. 8). However, the type and volume of amyloid deposits vary in a broad range in the examined regions. Diffuse nonfibrillar Aβ deposits occupy a relatively high percentage of caudate nucleus volume in control subjects (2.55%) as well as in MCI/mild AD and moderate AD (6.73% and 11.0%, respectively). The cerebellar cortex, free of NFTs in Purkinje and granular cells, is free of plaques in control subjects and in MCI/mild AD, but diffuse deposits occupy 5.69% of the molecular layer volume in FAST stages 5–6 and 3.57% in FAST stage 7. In AD, the cerebellar dentate nucleus is positive for NFTs but negative for Aβ.

In contrast to relative estimates of amyloid load (%), the volume of amyloid deposits is a direct measure of the amount (mm³) of amyloid in structures with volume modified by AD-related atrophy (Table 7). The trajectory of changes in amyloid volume is brain structure-specific. In 6 of 14 structures examined, the volume of amyloid increases to a top level in subjects diagnosed with FAST stages 5–6, but decreases in FAST stage 7 in several structures, including the EC (from 28.8 to 17.8 mm³); CA1 (from 11.6 to 8.4 mm³); subiculum (from 5.0 to 2.9 mm³); and amygdala (with a decline from 27.3 to 15.5 mm³). This pattern is also observed in structures with diffuse amyloid deposits, including the caudate nucleus (with a decline from 215.1 to 162.5 mm³) and the molecular layer of the cerebellar cortex (from 546.3 to 328.8 mm³). In other structures, the decline of amyloid volume in late AD was not detectable or marginal.

Prevalence and Severity of TDP-43 and Lewy Bodies Pathology in the Amygdala in AD Subjects

Among 24 examined AD cases 9 subjects (37.5%) were TDP-43-positive. Prevalence increased from 1 case in FAST 3 and 0 cases in FAST 4–5, to 8/14 (68.7%) in FAST 6 and 7. Mean percentage of TDP-43-positive neurons was 0.1 in FAST 3–4, 0.9% in FAST 5–6, and 2.3% in FAST 7. Among 22 examined subjects 15 (68%) were positive for Lewy bodies. The percentage of Lewy body-positive neurons increased from 0.06% in FAST 3–4, to 2.0% in FAST 5–6 but declined to 0.2% in FAST 7. The study revealed strong correlation between number of neurons and NFTs but no significant correlation between number of neurons and number of TDP-43- or Lewy body-positive neurons, suggesting limitations of TDP-43 degeneration and Lewy body contribution to neuronal loss in examined cohort.

Correlations Between Pathology and AD Duration in the Absence of a Correlation With Age

In the examined AD cohort, brain weight correlates with AD duration ($r = -0.63$; $p < 0.001$) as well as with Braak and Braak staging of brain neurofibrillary degeneration (Spearman $\rho = -0.57$; $p < 0.003$) (6). Table 8 shows Pearson correlations for 7 structures with a consistent pattern of changes of structure volume, number of neurons, and number of neurons with NFTs. The correlations reveal a uniform pattern of significant associations between AD duration and the volume decline of all layers of the EC, ECII, CA1, subiculum, thalamus, amygdala, and magno-cellular basal complex. Strong correlations ($p < 0.001$) were detected between AD duration and

TABLE 5. Number of Neurons in AD Subjects FAST Stages 5–6 and 7 and Estimated Neuronal Loss in FAST Stage 7

Structure/Subdivision	Mean Number of Neurons ± SE		Neuronal Loss in FAST 7				
	AD Subjects FAST 5-6	AD Subjects FAST 7	Number	p <	Loss %	Loss/Day	Loss/Million Neurons/Day
Entorhinal c. (All layers)	5 659 375 ± 558 432	3 545 875 ± 429 688	2 113 500	0.010	21.7	334	61
Entorhinal c. (Islands)	285 111 ± 29 133	109 499 ± 23 877	175 612	0.0004	26.1	29	100
CA1	4 729 351 ± 560 656	2 236 355 ± 503 577	2 492 996	0.005	24.3	405	86
CA2	1 008 257 ± 89 234	879 922 ± 74 304	128 335	ns	8.2	—	—
CA3	816 355 ± 49 942	816 611 ± 79 005	0	ns	—	—	—
CA4	981 907 ± 71 632	888 282 ± 92 711	93 625	ns	—	—	—
Subiculum	2 350 660 ± 116 370	1 762 900 ± 178 799	587 760	0.011	14.8	96	41
Amygdala	5 013 979 ± 305 068	4 348 692 ± 279 369	665 287	ns	6.5	—	—
Thalamus	9 186 080 ± 419 578	7 329 607 ± 335 924	1 856 473	0.004	8.2	302	33
Substantia nigra	333 152 ± 24 338	245 924 ± 33 436	87 228	ns	—	—	—
Magno-cellular basal complex	633 343 ± 47 129	549 995 ± 63 304	83 348	ns	—	—	—
Caudate nucleus	32 558 855 ± 1 880 536	27 376 562 ± 2 645 305	5 182 293	ns	—	—	—
Purkinje cells	10 217 518 ± 1 346 464	10 267 816 ± 648 072	0	ns	—	—	—
Dentate nucleus	529 793 ± 35 930	522 343 ± 40 897	7450	ns	—	—	—

Percentage of neuronal loss estimated in comparison to control group. The rate of neuronal loss estimated as number of lost neurons per day and number/million neurons/day in FAST stage 7.

AD, Alzheimer disease; CA1, sector 1 of the cornu Ammonis; FAST, Functional Assessment Staging; ns, no statistically significant neuronal loss.

TABLE 6. Brain Region and Neuron Type Specific Number and Percentage of Neurons With Neurofibrillary Tangles in Control Subjects and in AD FAST Stages 3–4, 5–6, and 7

Structure/Subdivision	Control (69–102 Years)		AD FAST 3–4		AD FAST 5–6		AD FAST 7	
	Number (SE)	%	Number (SE)	%	Number (SE)	%	Number (SE)	%
Entorhinal c. (all layers)	1 137 400 (171 955)	11.6	1 787 782 (141 764)	24.4	1 808 946 (246 456)	32.9	1 571 175 (260 081)	43.9
Entorhinal c. (islands)	137 072 (18 454)	21.3	166 243 (20 450)	33.5	160 112 (26 559)	58.01	89 441 (19 683)	84.9
CA1	1 267 690 (201 925)	12.2	2 281 756 (593 078)	30.3	2 615 099 (271 304)	58.1	1 331 511 (316 440)	55.1
CA2	71 278 (15 349)	4.4	106 926 (30 223)	9.9	159 046 (35 648)	15.5	157 116 (32 558)	17.9
CA3	24 600 (4757)	2.0	63 284 (20 779)	8.8	91 962 (23 027)	11.2	100 331 (16 295)	12.5
CA4	60 525 (10 525)	4.5	129 633 (35 220)	11.2	182 610 (38 616)	18.3	156 339 (32 189)	21.0
Subiculum	216 669 (43 838)	5.4	332 050 (95 041)	11.2	496 519 (32 591)	21.5	336 878 (30 208)	19.5
Amygdala	904 717 (262 576)	8.9	644 262 (143 074)	12.7	1 404 371 (233 755)	28.0	1 784 112 (253 702)	40.2
Thalamus	13 613 (9855)	0.1	201 492 (84 178)	1.6	1 120 090 (168 885)	12.3	1 810 456 (177 997)	24.5
Substantia nigra	2980 (2094)	0.5	8038 (1700)	2.4	18 834 (3566)	5.9	18 613 (2931)	7.9
Magno-cellular basal complex	32 033 (10 756)	3.4	60 287 (14 650)	6.2	62 099 (14 840)	10.4	58 063 (17 403)	9.9
Caudate nucleus	3616 (3616)	0.01	291 796 (197 443)	0.9	140 130 (49 330)	0.4	348 780 (123 775)	1.4
Purkinje cell	0	0	0	0	0	0	0	0
Dentate nucleus	0	0.0	1104 (823)	0.2	3645 (1142)	0.7	3492 (1610)	0.7

AD, Alzheimer disease; CA1, sector 1 of the cornu Ammonis; FAST, Functional Assessment Staging.

decline in the number of neurons in all these structures except the amygdala. The link between neuronal loss and decline of structure volume is reflected in a strong correlation ($p < 0.001$) between number of neurons and volume in all 7 regions.

A significant correlation between duration of AD and the percentage of neurons with NFTs reflects progression of neurofibrillary degeneration in the entire EC, and especially in ECII, subiculum, thalamus, and amygdala. Contribution of

neurofibrillary degeneration to neuronal loss is reflected in a correlation between the number of NFTs and the number of neurons in the entire EC and in ECII, CA1, and the thalamus. A similar correlation is detected between the percentage of neurons with NFTs and the number of neurons in the EC, CA1, subiculum, and thalamus.

In an AD cohort with different ages of disease onset, estimates of AD-related changes, including reduction in brain

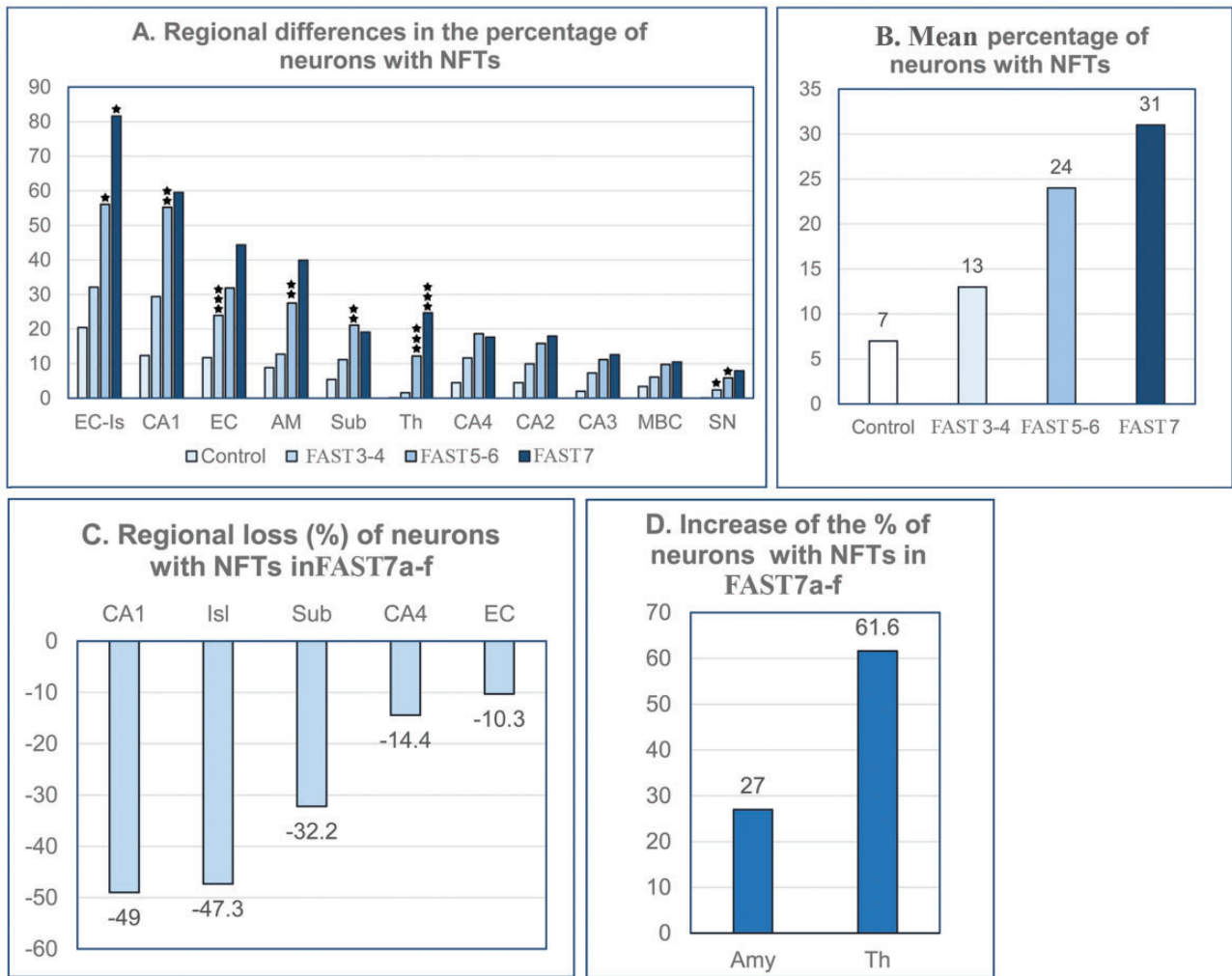


FIGURE 6. Staging of neurofibrillary degeneration. The percentage of neurons with neurofibrillary tangles (NFTs) ranging from 0 in Purkinje cells (not shown) to 81.2% in the second layer of the entorhinal cortex (EC) in severe Alzheimer disease, illustrates a broad spectrum of regional neuronal susceptibility to neurofibrillary degeneration. Regional differences are accentuated by a several-fold-higher percentage of neurons with NFTs in the CA1 than in 3 other segments of the cornu Ammonis (**graph A**). Stage-specific rate of neurofibrillary degeneration is reflected in an increase in the mean percentage of neurons with NFTs from 7% in the control group to 13% in FAST stages 3–4, 24% in FAST stages 5–6, and 31% in FAST stage 7 (**graph B**). Opposite directions of neurofibrillary degeneration in FAST stage 7a–f are documented by regional losses of neurons with NFTs in CA1, EC islands, subiculum, CA4, and the entire EC (**graph C**) and a simultaneous increase in the percentage of neurons with NFTs in the amygdala and thalamus (**graph D**).

weight, decline in the volume of 15 examined structures, reduction in the number of neurons, increase in the number and percentage of neurons with NFTs, and increase in amyloid load, do not correlate with age (not shown).

There is no correlation between age and amyloid load except for a weak correlation between age and amyloid load in the CA3, CA4, and substantia nigra. A weak marginal correlation was detected between AD duration and amyloid load in these 3 structures. Amyloid load does not correlate with the volume of 14 structures, the number of neurons in 15 structures, or the number of neurons with NFTs in 11 structures (not shown).

Overview of Neuropathological and Morphometric Staging of AD

A panel of micrographs of sections stained with cresyl violet and immunostained with mAb Tau-1 illustrates striking morphological differences between control subjects' ECII, CA1, and amygdala and neuronal degeneration and loss in AD subjects diagnosed with FAST 4, 6c, and 7f (**Fig. 9A**). Cresyl violet staining shows not only loss of neurons but also loss of structure-specific cytoarchitecture and demonstrates abnormal size and shape of surviving neurons. Tau-1 immunostaining shows onset of neurofibrillary degeneration in control subjects, severe neurofibrillary degeneration in FAST 3–4 and 6,

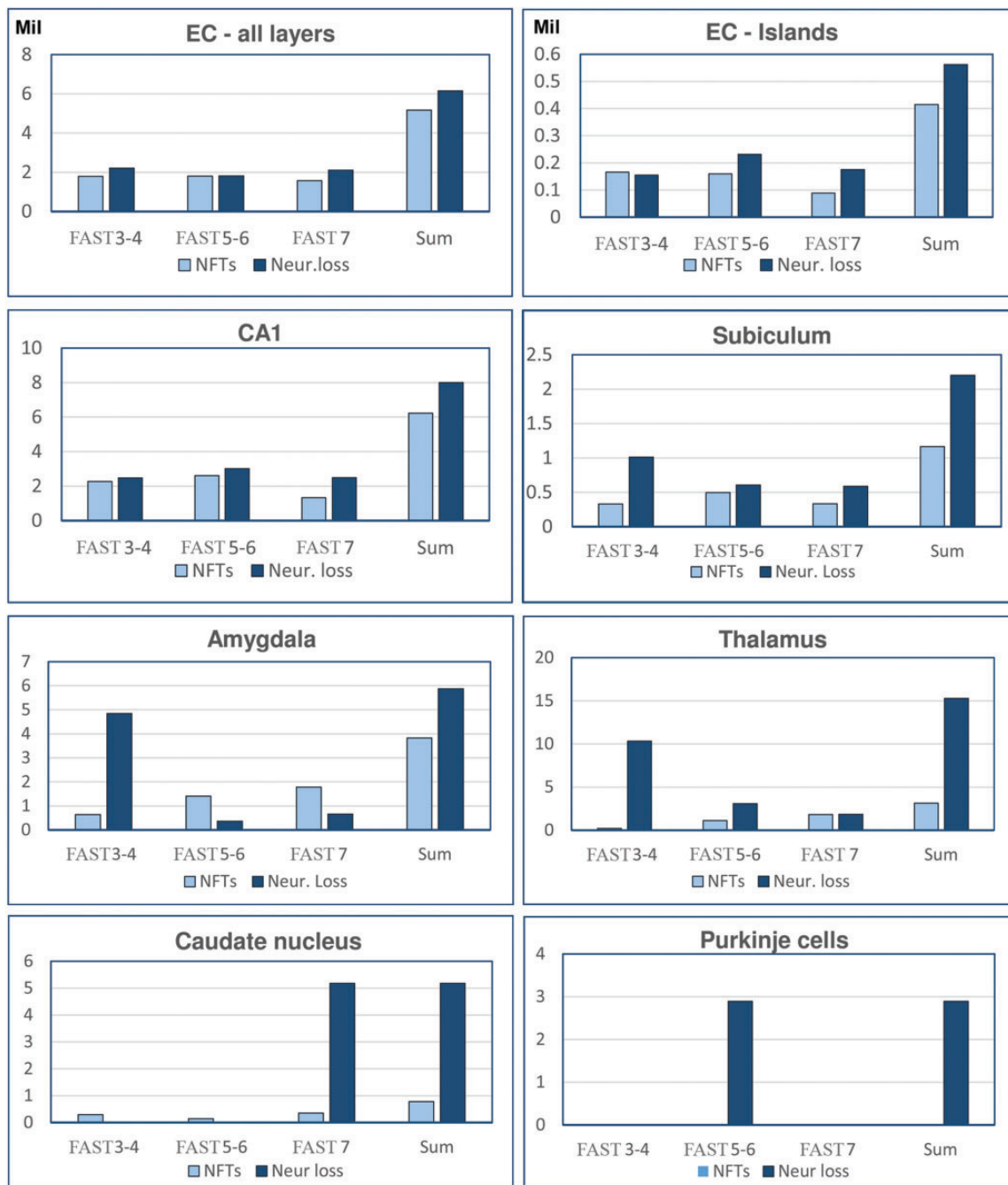


FIGURE 7. Estimates of neuronal loss related and unrelated to neurofibrillary degeneration. In structures affected with neurofibrillary degeneration in the earliest stage of Alzheimer disease studied, the number of neurons with neurofibrillary tangles and the number of lost neurons are almost identical (entorhinal cortex [EC]—all layers) or are similar, but with a more prominent number of lost neurons (ECII, CA1). Contribution of nonneurofibrillary degeneration to neuronal loss increases in the subiculum by 59%. Prominent enhancement of neuronal loss not related to neurofibrillary degeneration affects the amygdala and thalamus in FAST stages 3–4, caudate nucleus in FAST stage 7, and Purkinje cells in FAST stages 5–6.

and reduction of NFTs in FAST 7f with exhausted neuronal population during 19 years of AD duration.

Three low-magnification micrographs of the hippocampus body characterize pattern of progression of pathology in mild, moderate, and severe AD (Fig. 9B). They reflect results

of morphometric studies showing a surprisingly advanced neurofibrillary degeneration in mild AD (FAST 3), progression of neurofibrillary degeneration to a ceiling level in moderate AD (FAST 5), and exhaustion of neuronal population paralleled with a striking reduction of the number of neurons

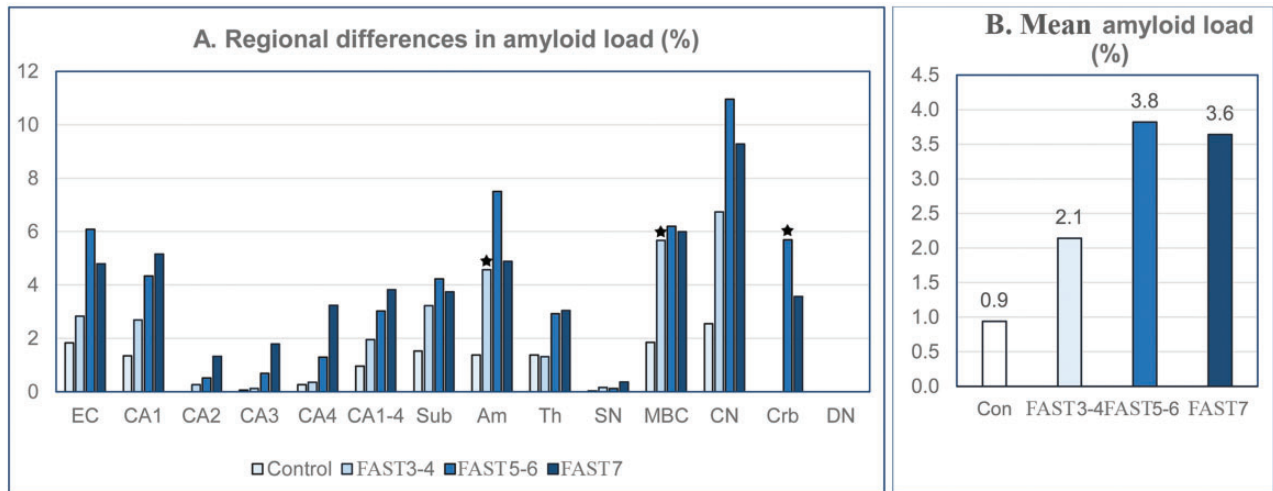


FIGURE 8. Staging of amyloid load (**graph A**). Amyloid load in the caudate nucleus is relatively high in control subjects (2.55%) and increases faster than in other structures to 6.7% in FAST stages 3–4 and 10.9% in FAST stages 5–6. In FAST stage 7, amyloid load decreases in several structures, including the entorhinal cortex, amygdala, caudate nucleus, and the molecular layer of the cerebellar dentate nucleus, positive for neurofibrillary tangles, is amyloid- negative during the entire disease. In 13 brain structures, the mean amyloid load (**graph B**) increases from 0.9% in control subjects to 2.1% in FAST stages 3–4 and reaches a plateau with 3.8% in FAST stages 5–6 and 3.6% in FAST stage 7.

TABLE 7. Brain Region-Specific Patterns of β -Amyloid Deposition (Amyloid Volume, mm^3 , Amyloid Load, %) in Aging and AD

Structure/ Subdivision	Control 69–102 Years		AD FAST Stages 3–4		AD FAST Stages 5–6		AD FAST Stage 7	
	Volume mm^3	Load %	Volume mm^3	Load %	Volume mm^3	Load %	Volume mm^3	Load %
Entorhinal c. (All layers)	10.66	1.83	13.10	2.83	28.83	6.09	17.86	4.79
CA1	5.55	1.35	10.37	2.69	11.66	4.34	8.43	5.16
CA2	0.00	0.00	0.10	0.26	0.20	0.52	0.37	1.32
CA3	0.03	0.06	0.03	0.12	0.26	0.69	0.60	1.79
CA4	0.26	0.26	0.35	0.35	1.23	1.29	2.90	3.23
Subiculum	3.15	1.53	5.08	3.22	5.04	4.23	2.94	3.74
Amygdala	6.56	1.38	18.84	4.57	27.35	7.50	15.55	4.88
Thalamus	37.99	1.38	26.58	1.31	62.32	2.92	58.62	3.04
Substantia nigra	0.02	0.03	0.16	0.16	0.09	0.12	0.30	0.36
Magno-cellular basal complex	2.20	1.85	6.98	5.67	5.84	6.20	5.26	5.99
Caudate nucleus	47.63	2.55	139.15	6.73	215.11	11.00	162.5	9.3
Cerebellum-molecular layer	0.00	0.00	0.00	0.00	546.36	5.69	328.83	3.57
Dentate nucleus	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Estimation of amyloid volume in the second layer of the entorhinal cortex was postponed because measurements of amyloid were not reliable in small islands of stellate neurons. AD, Alzheimer disease; CA1, sector 1 of the cornu Ammonis; FAST, Functional Assessment Staging.

with NFTs and severe atrophy in all sectors of the cornu Ammonis and subicular complex in severe AD (FAST7d). The panel of box plots outlines main features of clinicopathological staging of AD in subjects diagnosed with MCI/mild AD (FAST 3–4), moderate/moderately severe AD (FAST 5–6), and severe AD (FAST 7a–f) compared to age-matched control subjects (Fig. 10). The estimates of the decline of structure volume and number of neurons, and increase of the percentage of volume loss and increase of the percentage of neurons with NFTs reflect the range of structural deterioration

in the selected 5 structures, including the EC, CA1, subiculum, amygdala, and thalamus, in each stage of AD. Staging reveals surprisingly severe decline in the number of neurons and the highest percentage of neuronal loss as an integral feature of MCI/mild AD. The ceiling level of neuronal loss in moderate/moderately severe AD, especially prominent in the amygdala and the thalamus, reflects a critical point of exhaustion of the pool of neurons susceptible to AD degeneration and death, resulting in a decline in the rate of neuronal loss to the lowest level in FAST 7. Both neuropathological and morphometric

TABLE 8. Correlations Between AD Duration and Brain Structure Volume, Number of Neurons, and Number of Neurons With NFTs; Structure Volume/Number of Neurons; Number of Neurons and Number and Percentage of Neurons With NFTs

Structure	AD Duration Structure Volume	AD Duration Neuron Number	Structure Volume Neuron Number	AD Duration NFT %	Neuron Number NFT Number	Neuron Number NFT%
EC—all layers	−0.52*	−0.80***	0.78***	0.64**	0.46*	−0.58**
EC—second layer	−0.85***	−0.89***	0.97***	0.79***	0.57**	−0.79***
CA1	−0.72***	−0.84***	0.93***	0.36	0.47*	−0.42*
Subiculum	−0.84***	−0.83***	0.86***	0.52**	0.15	−0.48*
Thalamus	−0.51**	−0.87***	0.55***	0.84***	0.64***	−0.76***
Amygdala	−0.60**	−0.39	0.74***	0.71***	0.01	−0.28
Magnocellular basal complex	−0.61**	−0.77***	0.83***	0.17	0.13	−0.29

AD, Alzheimer disease; CA1, sector 1 of the cornu Ammonis; NFT, neurofibrillary tangle.

* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$.

staging indicate that the most effective treatment and prevention of progression might be expected in the earliest stages of AD (MCI/mild AD).

DISCUSSION

No Correlation Between Sporadic AD Pathology and Age

This study reveals correlations between AD duration and structure volume, percentage and rate of neuronal loss, and neurofibrillary degeneration in the memory system, subcortical structures, and cerebellum, but no correlation between AD pathology and age. Figure 1 suggests that the absence of correlations of pathological changes with age in these nonselected consecutive 25 cases of sporadic AD is determined by age at onset of AD and the affected subject survival time/AD stage at death. The age at onset ranges from 55.6 to 93.5 years of age. A mortality rate growing with age results in reduction of survival from an average 15.5 years for the youngest subjects with AD to an average of 6.3 years in the oldest AD subjects. Brookmeyer et al (35) reported a median life span ranging from 7 to 10 years for those diagnosed in their 60s or 70s, while the median life span was 3 years or less for individuals diagnosed at more than 90 years of age. A reversed pattern, with the longest survival and the most advanced stage of AD at death in younger individuals, and the shortest duration of AD and early stages of AD in the oldest individuals, explains the lack of correlation between age and pathology, including neuronal loss. However, the pattern of pathology in sporadic AD is fundamentally different from that in a Down syndrome (DS) cohort, with onset of β -amyloidosis and neurofibrillary degeneration appearing in all trisomic subjects at around 40 years of age (36, 37), diagnosis of dementia at a mean age of 55 years (38), and an average lifespan of 55–60 years (39, 40). The relationship between age and AD in the DS cohort is supported by postmortem quantitative studies demonstrating the correlation between age and pathology, including neuronal loss (41). Absence of a correlation between age and severity of AD pathology detected in this sporadic AD cohort appears to be associated with the prevalence of advanced stages of AD in younger AD subjects with longer survival time and a combination of late onset of AD, short

survival time, and death in earlier stages of AD in the oldest AD group.

MCI/Mild AD as a Target for Successful Treatment

Elderly individuals with signs of MCI are at a high risk of developing dementia (42, 43). MCI has been defined to distinguish the abnormal clinical state prodromal to dementia (44) and to identify patients destined to develop AD as candidates for early intervention to slow or stop the progression of pathology and functional deterioration (45).

Previous postmortem neuropathological studies of MCI cases revealed changes typical for AD, including neurofibrillary degeneration and amyloid plaques. In patients with MCI, the number of neuritic plaques was significantly elevated in 4 neocortical regions and in the amygdala compared to controls, whereas the number of NFTs was increased in the parietal cortex and in 4 ventromedial temporal lobe structures (46). Morphometric studies revealed significant region-specific neurodegeneration and neuronal loss in MCI. A Gomez-Isla et al (9) study limited to the EC revealed no changes in the number of neurons in 60- to 90-year-old cognitively normal subjects (CDR = 0), but a 32% reduction of the number of neurons in the EC and a 60% loss of neurons in ECII in the brains of subjects with MCI (CDR = 0.5). von Gunten et al revealed 1.9% neuronal loss in the EC and 26% in CA1 sector in a questionable dementia stage (CDR = 0.5) (17).

Our study expands the definition of MCI/mild AD pathology with evidence of significant neuronal loss in the EC (22.9%), CA1 (24.2%), subiculum (25.4%), amygdala (47.4%), thalamus (45.7%), substantia nigra (48.6%), and dentate nucleus (46.8%). This pattern indicates that MCI/mild AD-related neuronal loss is not a continuum of age-associated changes, but instead a multiregional AD pathology with significant neurodegeneration/neuronal loss and functional deficits. The detected MCI/mild AD-associated higher rate of neuronal loss/million/day in the CA1, subiculum, and thalamus compared to FAST stages 7a–f shows that in this early stage of AD with relatively limited functional decline, the speed of neuronal loss is already higher than in the overtly symptomatic severe AD subjects.

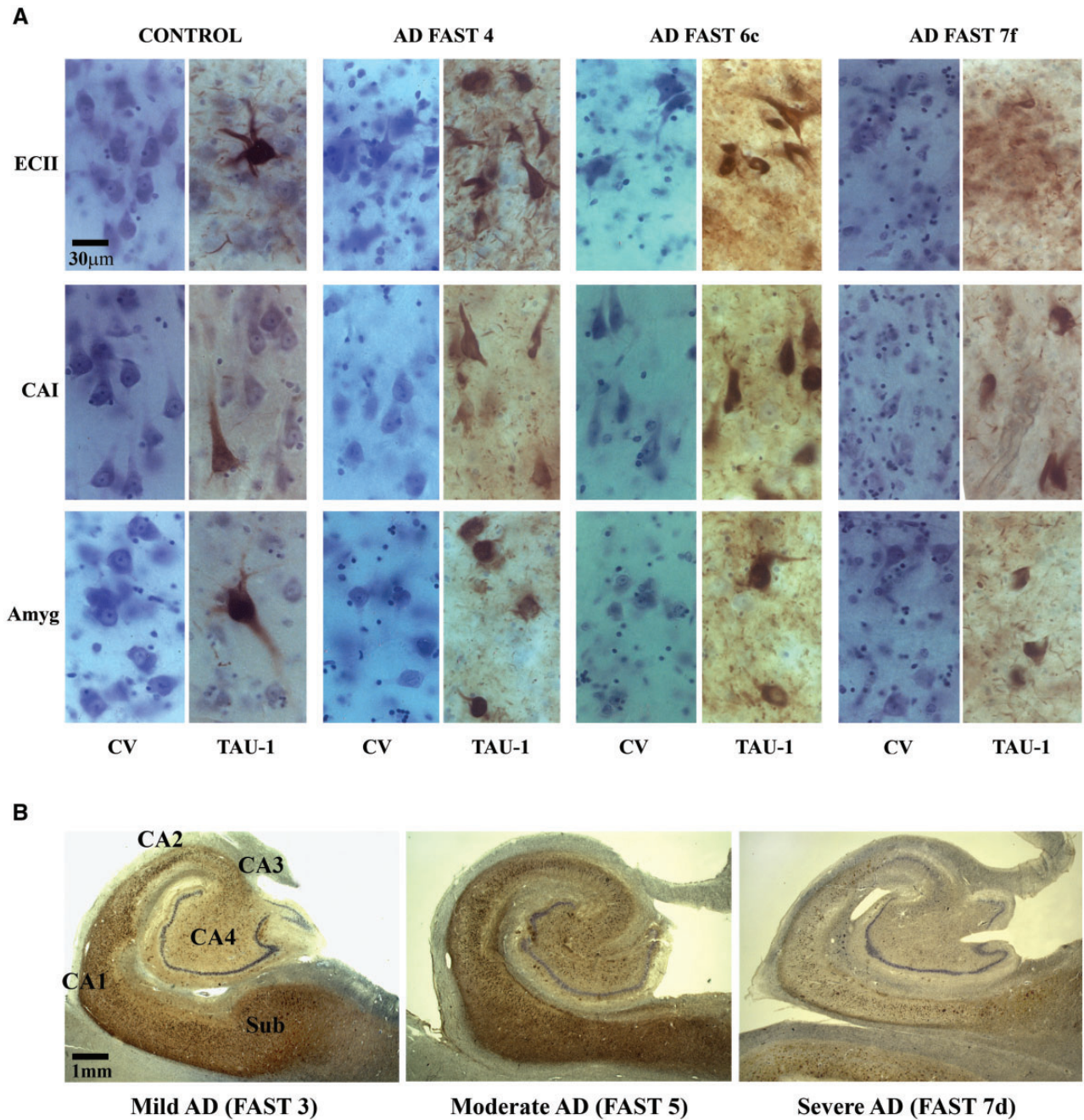


FIGURE 9. (A) Cresyl violet (CV)-stained sections provide overview of neuronal loss, deterioration of cytoarchitecture specific for islands of the stellate neurons in the second layer of the entorhinal cortex (EC II), CA1 pyramidal layer and lateral nucleus of the amygdala reflecting AD pathology in FAST 4, 6c, and 7f compared to control subjects. Neuronal loss and neurofibrillary degeneration are associated with reduction of neuronal soma size and neuron deformation common in FAST 6c and 7f. Immunostaining of adjacent sections with mAb Tau1 demonstrates onset of neurodegeneration with sporadically detected NFTs in 3 examined regions in control subject, massive neurofibrillary degeneration with high and comparable number of NFT-positive neurons and neurofibrillary threads in FAST 4 and 6c, but striking decline in the number of neurons, NFTs and neurofibrillary threads in final stage of AD (FAST 7f). (B) Coronal sections of the body of the hippocampal formation immunostained with mouse monoclonal antibody Tau-1 reveal that neurofibrillary degeneration affects the subiculum, CA1 and 2 sector, less CA3 sector and much less the CA4 sector in mild AD (FAST 3 stage) and that pathology reaches the highest level in moderate AD (FAST 5) in all segments including CA3 and CA4. In a very advanced stage of severe AD (FAST 7d), striking reduction of immunoreactivity reflects severe loss of neurons with NFTs in the subiculum and all sectors of the cornu Ammonis associated with prominent atrophy of all hippocampal subdivisions affected by neurofibrillary degeneration.

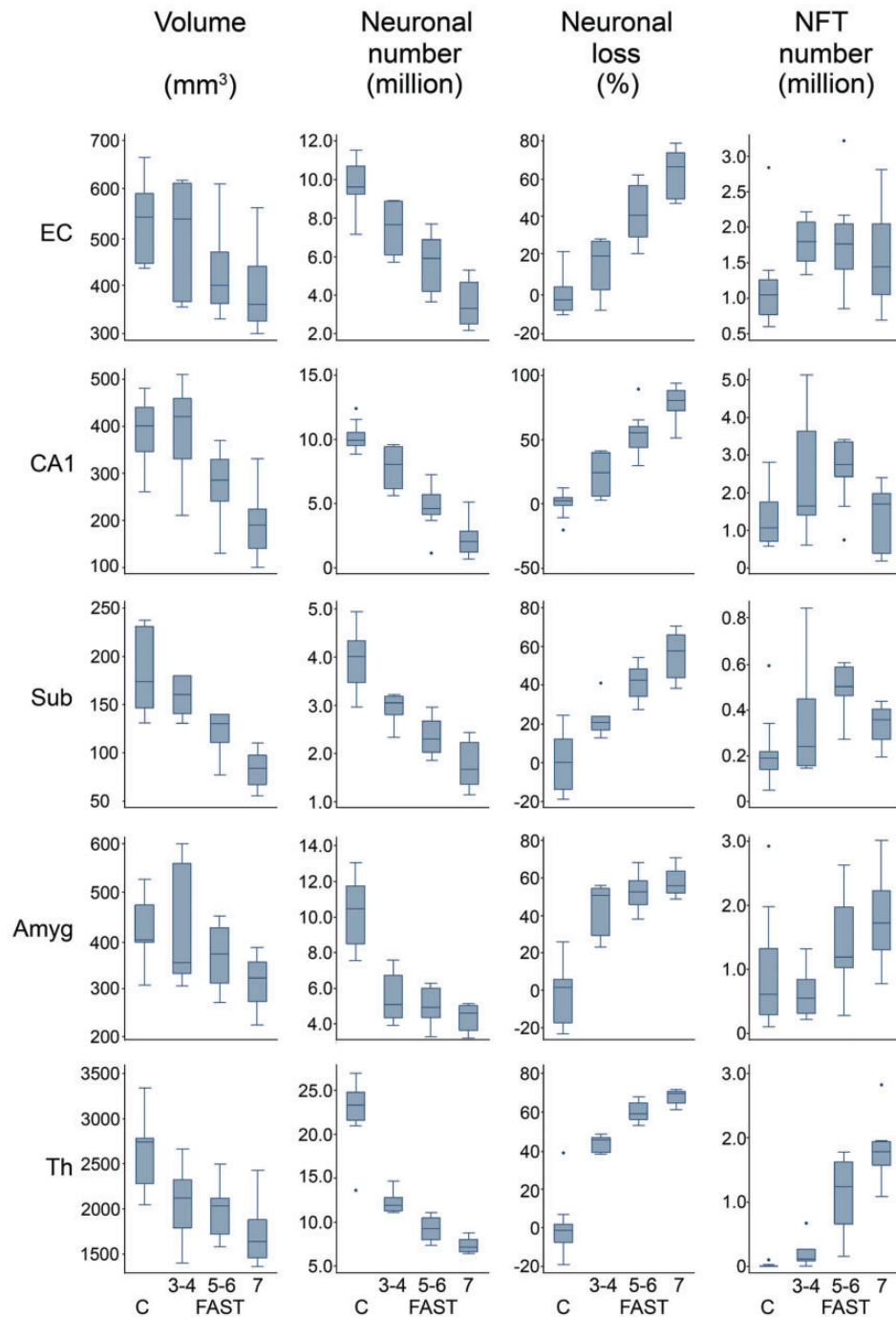


FIGURE 10. The panel of box plots characterizes structure and FAST stage-specific patterns of volume loss, decline in the number of neurons, percentage of neuronal loss and percentage of neurons with NFTs in the entorhinal cortex (EC), CA1, subiculum (Sub), amygdala (Amyg), and thalamus (Th). Strikingly severe reduction of the number of neurons and high percentage of neuronal loss, especially in the subiculum, amygdala, and thalamus, distinguishes MCI/mild AD (FAST 3–4). The rate of neuronal loss decreases in the amygdala and thalamus in FAST 5–6 and 7. A floor level in the number of neurons and arrest of further neuronal loss is typical for FAST 7. The marker of exhaustion of neuronal population in late AD is a reduction of neuronal loss as well as a reduction of the number of neurons with NFTs in the entorhinal cortex, CA1, and subiculum, and reduced progression of neurofibrillary degeneration in other structures in FAST 7. In box plots, the median value is marked with a horizontal line within the box. The upper and lower boundaries of each box represent the interquartile range (IQR), whereas the whisker above and below the box marks the maximum and minimum values unless any data point lies more than 1.5 times the IQR above the 75th percentile or below the 25th percentile. A few records above the 75th or below the 25th percentile (outliers) are marked with dots.

Multiregional neuronal loss is evidence of the advanced neurodegeneration/neuronal loss in progress during 9 years of MCI/mild AD that may contribute to the functional deficits detected in clinical studies. A Kluger et al study (47) of 87 subjects with MCI at baseline revealed a 67.8% decline to dementia at a 4-year follow-up, corresponding to a 17.8% per-year rate of decline to dementia. MCI revealed, in addition to classical cognitive deficits, distinctive complaints of cognitive impairment (48), behavioral disturbances (49), alterations of neurological reflexes (50), emerging motor, balance, and coordination deficits (51), deficits in activities of daily life (52), and hippocampal region atrophy (53).

The changes detected in clinical, neuropsychological, functional, and neuroimaging profiles of patients with MCI and significant multiregional neurodegeneration and neuronal loss reflect a transition of some aging subjects to AD. Treatments applied during MCI may be able to stop pathology while disease is in an early transitional stage (54). The combination of the detected pattern of neuronal loss and functional deficits in FAST stages 3–4 rationalizes the strategies of a majority of clinical trials focused on prevention of AD pathology and treatment of people diagnosed with MCI and mild AD (3).

Link Between Loss of Neurons in the Memory System and Dementia in AD

Many early morphometric studies concentrated on detection of the type, topography, and severity of changes explaining memory loss in AD. Usually they were limited to structures characterizing the earliest Alzheimer-type pathology, including EC and ECII, and the hippocampal formation or CA1. In spite of the different cohorts examined and methods of tissue processing and quantitative examination, several studies revealed a comparable estimated percentage of lost neurons in the CA1: 68% [13], 86% [10], 75% [12], 60% [11], and 78.2% cumulative neuronal loss in severe AD in our study. Neuronal loss in ECII was estimated as 90% [9] and 83.8% in this study.

A von Gunten et al study (17) of ECII using CDR revealed 1.9% neuronal loss in CDR 0.5 (questionable dementia), 46.8% cumulative neuronal loss detected in CDR 1, 52.6% in CDR 2, and 91.6% in CDR 3. Cumulative neuronal loss in the CA1 sector was estimated as 26% in CDR 0.5, 33% in CDR 1, 35% in CDR 2, and 75% in CDR 3. In our study, the estimated cumulative neuronal loss in ECII was 83.8%, and in the CA1 78.2%.

Distinct Dynamics of Neuronal Loss in Different Clinical Stages of AD

In contrast to studies of the cumulative effects of AD neurodegeneration in unspecified stages, or the cumulative effect detected in the brain of subjects diagnosed with different clinical stages of AD, part of this study was designed to estimate neuronal degeneration and the percentage and rate of neuronal loss separately in each of 3 major stages of AD, including FAST 3–4, 5–6, and 7. Pathology was characterized in 14 brain regions to test the hypothesis that dynamics of neuronal loss are stage-specific and that patterns of changes are

structure-specific. Two parameters were applied to estimate different aspects of neuronal loss: the percentage of lost neurons in each of 3 stages of AD and the rate of neuronal loss per million neurons per day. While the percentage of neuronal loss depends on the duration of the stage, the rate of neuronal loss approximates the speed of neuronal loss regardless of the size of the neuronal population in small or large structures and is independent of the duration of the stage of AD.

The average neuronal loss (neurons/million/day) in all layers of the EC, island of stellate neurons in ECII, sector CA1, subiculum, amygdala, and thalamus increases 9.2 times from 15 in control subjects 69–102 years of age to 139 neurons in subjects diagnosed with MCI/mild AD (FAST stages 3–4). A further 3.9 times increase in the rate of neuronal loss in moderately severe AD (FAST 5–6) to 545 neurons reflects the ceiling level and critical point of neuronal death in AD. The reduction in the rate of neuronal loss in severe AD (FAST 7a–f) to 195 neurons/million/day appears to be a result of exceeding a “critical” point of neuronal death corresponding to exhaustion of the neuronal population to a level when further increase of the rate of neuronal loss is impossible.

Survival Time of Neurons With NFTs

Predictions that a neuron with neurofibrillary degeneration survives approximately 20 years and that NFTs may not be obligatory for death of CA1 neurons are challenged by estimates of the number of neurons with NFTs and the number of neurons lost in each of the 3 groups of FAST stages of AD (55). Staging of neurofibrillary degeneration and neuronal loss reveals that the number of neurons with NFTs and the number of lost neurons are very similar in each of 3 groups of FAST stages of AD in all layers of the EC, ECII, and CA1 studied herein. These data indicate that neurons that developed neurofibrillary degeneration during the 9 years of FAST stages 3–4 died in this stage of AD. A similar number of neurons with NFTs and number of lost neurons during the 4 years of FAST stages 5–6 and during the 7 years of FAST stage 7 indicate that in these 3 structures, neurofibrillary degeneration is the main mechanism leading to neuron death. This pattern is consistent with our regression-based estimates of 3.4-year survival of NFT-positive neurons in CA1 and 5.4-year survival in the subiculum of individuals with AD (56) as well as 4.7-year survival in the amygdala of DS/AD subjects (41).

Regional Differences Between Magnitude of Neurofibrillary Degeneration-Dependent and -Independent Neuronal Loss

In contrast to the similar number of lost neurons and neurons with NFTs in the EC, ECII, and CA1, the subiculum neuronal loss (2.2 million) exceeds the number of NFTs (1.38 million) by 59%. The prevalence of neuronal loss caused by mechanisms other than neurofibrillary degeneration is a dominant feature in a majority of examined brain regions, and the ratio between NFTs and neuronal loss is stage-dependent. The number of lost neurons exceeds 3.8 times the number of neurons with NFTs in the amygdala, 51 times in the thalamus in FAST stages 3–4, and 15 times in the caudate nucleus in

FAST stage 7. Severe loss of Purkinje cells (2.89 million) known to be free of neurofibrillary degeneration is observed in FAST stages 5–6. The detected patterns indicate that neuronal loss is driven by neurofibrillary degeneration in structures affected in the earliest stage of AD, whereas in other structures, including the amygdala, thalamus, and caudate nucleus, non-NFT pathology appears to be the major, or in the case of Purkinje cells, the only, contributor to neuronal death. More severe neuronal loss in comparison to the number of neurons with NFTs detected in this and other studies (11, 16, 17) indicates that in AD, more than 1 mechanism is involved in neuronal loss. Our estimates of neuronal loss in aging without dementia (18) revealed a comparable rate of neuronal loss per million neurons/day in structures with neurofibrillary degeneration, including the EC (–14), EC islands (–26), CA1 (–12), and amygdala (–21), and in structures free of neurofibrillary degeneration including the thalamus (–18), caudate nucleus (–15), Purkinje cells (–23), and dentate nucleus (–18). Similarities in topography of neuronal loss without neurofibrillary degeneration in aging and in the AD cohort suggest that mechanisms of nonneurofibrillary degeneration/death are prominent in all adults/elderly with and without AD.

Potential Contribution of TDP-43 and Lewy Body Pathology to Structural and Functional Changes in AD

TAR DNA-binding protein of 43 kDa (TDP-43) acting as a transcriptional repressor is binding both RNA and DNA and modulates gene splicing (57). TDP-43 pathology with TDP-43 cytoplasmic and nuclear filamentous inclusions containing posttranslationally modified protein (58) is considered the primary factor in frontotemporal lobar degeneration (FTLD-TDP) and amyotrophic lateral sclerosis (primary TDP-43 proteinopathies) and the secondary factor in other neurodegenerative disorders, including AD (59). Screening of TDP-43 pathology was focused on the amygdala, known as the first brain structure affected with this degeneration and one of the most affected in subjects with progressing AD pathology (60, 61). Josephs et al (61) classified cases without TDP-43 immunoreactivity in the amygdala as TDP-43-negative and cases with any amount of TDP-43 immunoreactivity in the amygdala as TDP-43-positive. In their cohort, 57% of cases with AD pathology were TDP-43-positive and TDP pathology was associated with advanced Braak stages, a higher proportion of Lewy bodies and hippocampal sclerosis. Extension of TDP-43 degeneration beyond the amygdala is associated with increasing likelihood of clinical expression of AD dementia (59, 62, 63). In AD, more severe TDP-43 pathology is associated with enhanced regional atrophy including hippocampal atrophy (63). However, a low percentage of neurons with signs of TDP-43 degeneration (0.1% in FAST 3–4, 0.9% in FAST 5–6, and 2.3% in FAST 7), a low percentage of neurons with Lewy bodies (0.06% in FAST 3–4, 2.5% in FAST 5–6, and 1.7% in FAST 7), and no significant correlation between the percentage of neurons with TDP-43 inclusions and Lewy bodies and duration of AD suggest a limited contribution of these proteinopathies to neuronal loss and functional deterioration in the examined AD cohort. The contrast between the low percent-

age of TDP-43 and Lewy body affected neurons and the increasing percentage of neurons with NFTs in FAST 3–4 (12.7%), FAST 5–6 (28.0%), and FAST 7 (40.2%) reflects a leading role of neurofibrillary degeneration in neuronal loss and functional decline in the examined AD cohort.

Contribution of Senescence to Neuronal Loss in Aging and AD

Mechanisms of neuronal loss in aging subjects in the absence of β -amyloidosis and neurofibrillary degeneration as well as in early stages of AD are not clear, but growing evidence suggests a contribution from senescence. The number of senescent cells increases late in life in normal tissues and especially in tissues affected by age-related changes. Cellular senescence is characterized by a durable cell cycle arrest. Despite a permanent cessation of neuron division, some neurons reenter the cell cycle, and this reentry results in neuron death. Busser et al (64) proposed that dysregulation of various components of the cell cycle is a significant contributor to regionally specific neuronal death in AD.

Although there is no single marker of senescence, the most common features of senescence detected in cortical and hippocampal neurons and Purkinje cells include (1) activation of lysosomal enzyme senescence-associated β -galactosidase (SA- β -gal); (2) persistent DNA damage response detected by γ H2AX foci; (3) expression of tumor suppressors, such as p21^{CIP1/WAF1} (encoded by *Cdkn1a*) and p16^{INK4A} (encoded by *Cdkn2a*), and p53-dependent release of alarmin HMGB1 as a mediator of senescent phenotypes (65); and (4) lipofuscin accumulation and nuclear envelope protein degradation (66–68).

Several studies have revealed a link between the appearance of cell cycle-related proteins, neuronal loss, and cognitive impairment in AD subjects (64, 69–71). Yang et al (72) reported higher density of cell cycle-positive neurons in MCI than in the next stages of AD and suggested that cell cycle-induced neuronal death and the rate of neuronal loss are high in all stages of AD. This study reveals regional patterns of increase in neurofibrillary degeneration independent of neuronal loss in MCI in the subiculum, amygdala, and thalamus and a very strong increase in the caudate nucleus in FAST stage 7 and Purkinje cells in FAST stages 5–6. The link between neuron reentry in the cell cycle and neurofibrillary degeneration suggests region- and stage-specific interactions between mechanisms of neurofibrillary degeneration and mechanisms of senescence-related neuronal death (72, 73). This assumption is supported by results of experimental clearance of senescent glial cells with senolytics, which ameliorate secretome-related pathology and prevent tau-dependent pathology and cognitive decline (74). Another supportive argument is application of Dasatinib and Quercetin to eliminate senescent cells in a mouse model of AD, which reduces brain injury and inflammation and slows the pace of memory loss (75).

β -Amyloidosis

Some pathological aspects of AD pathology, especially β -amyloidosis, are not detectable as linear correlations of amyloid volume and stage/duration of AD, but both β -amyloid-

osis and neurofibrillary degeneration contribute to structural brain deterioration and cognitive impairment (2). The increase of A β load early after the onset of clinical symptoms and the absence of a substantial increase during clinical progression of AD were reported by Serrano-Pozo et al (76, 77). In this study, average amyloid load in the EC, hippocampus, subcortical nuclei, and cerebellum was estimated as 2.1% in MCI/mild AD (FAST stages 3–4). The amyloidosis reached a plateau with a 3.8% amyloid load in subjects diagnosed with moderate/moderately severe AD (FAST stages 5–6) and 3.6% in severe AD. However, estimates of amyloidosis do not predict cognitive status in AD (78, 79). This study also demonstrates an absence of correlations between amyloid load and the majority of examined parameters, including age and duration of AD, number of all neurons and of neurons with NFTs, and volume of examined structures.

Amyloid load is the function of a dynamic cascade of events leading to A β fibrillization in cored plaques by microglial cells and amyloid core degradation by astrocytes leading to plaque disintegration (80–82). Experimental depletion of microglial cells with CSF1R inhibitor confirms a key role of microglia in fibrillar plaque formation in transgenic mice models of AD and reveals mechanisms preventing/restricting amyloid plaque formation (83, 84). Neuronal loss and a corresponding focal reduction of the A β pool, and fluctuation in the number of uniquely activated microglial cells and astrocytes in plaques are associated with permanent formation/degradation of individual plaques, but changes in the volume of A β and amyloid load are relatively inert and a poor correlate of neurodegeneration and functional deterioration. However, these methodological limitations do not diminish the substantial role of massive amyloidosis in AD.

This study of neuronal loss and degeneration including β -amyloidosis highlights the relationship between neuronal loss and decline of amyloid load. Numerous reports postulated that amyloid deposition in plaques is associated with neurons (85–88). Ubiquitous, chemically and structurally diversified fibrillar and nonfibrillar A β -deposits are formed at the terminal fields of axonal projections, including the perforant pathway, with projections of stellate neurons of ECII to the molecular layer of the hippocampal dentate gyrus. The primary role of neuronal projections in fibrillar and nonfibrillar amyloid deposition is documented by fibrillar plaque formation in the molecular layer of the dentate gyrus in the human hippocampus and diffuse A β deposition in the same area in several species of animals (88). One of the final effects of neuronal loss is a decline of A β in projection areas limiting the extracellular pool of A β and diffuse or fibrillar amyloid deposit formation. Neuronal loss is a direct cause of the decrease in amyloid load in structures innervated by a degenerating/dying neuronal population. The high brain region-specific percentage of lost neurons in MCI/mild AD (FAST stages 3–4) and the ceiling level of the rate of neuronal loss in moderate/moderately severe AD (FAST stages 5–6) detected in this study are measures of the reduction in the number of neurons and are predictors of a proportional reduction of the pool of extracellular neuronal origin A β available for oligomerization, fibrillization, and deposition in plaques. It suggests that neuronal loss might be a critical factor for decline/arrest of new am-

ylod plaque formation in FAST stages 5–6 and 7 in AD as well as in aging subjects with DS/AD (88). Reduced volume of amyloid in the majority of examined structures in FAST 7 is not a sign of recovery but instead reflects a reduction in the pool of A β of neuronal origin due to a ceiling in the rate of neuronal loss in FAST stages 5–6 and the decline in the number of neurons to a floor level in severe AD.

Study Limitations

A very narrow racial and ethnic profile of the examined cohort represented mainly by white individuals eliminates the possibility of a study of the contribution of racial and ethnic factors to the reported patterns of structural changes and functional decline.

This and other morphometric studies of AD-associated neuronal loss concentrate on the contribution to neuronal loss of immunocytochemically detectable and measurable neurofibrillary degeneration, TDP-43 inclusions and Lewy bodies. This research design may underestimate the contribution of soluble toxic oligomeric proteins to structural alterations including neuronal loss. Significant loss of Purkinje cells detected in aging subjects in absence of neurofibrillary degeneration and amyloid deposits and in subjects diagnosed with AD in absence of neurofibrillary degeneration but in presence of significant load of diffuse amyloid deposits suggests that in AD, other mechanisms contribute to neuronal loss in parallel to those reported in this study (18).

Recent studies reveal a significant role of coincident pathologies commonly observed within AD populations. They recommend expansion of standard postmortem neuropathological evaluations with estimates of not only TDP-43 proteinopathy and Lewy bodies but also amyloid angiopathy, common in AD and considered an additional potent cause of cognitive impairment within AD population (89). Lack of estimates of an association between the almost ubiquitous but topographically variable amyloid angiopathy and multiregional but structure-specific rate of neuronal loss limits insight of this report in a potentially significant component of AD pathology.

Closing Remarks

The report relies on a rather limited sample of cases representing FAST stages 3–4, 5–6, and 7. The attempt of modeling of clinicopathological staging of AD pathology is still sort of a panoramic overview of the process in 25 subjects diagnosed with AD selected using a set of inclusion and exclusion criteria to exclude comorbid conditions and non-AD pathology and to characterize “pure” AD. However, the examined cohort still reflects a low prevalence of TDP-43 and Lewy body pathology, affecting a strikingly marginal percentage of neurons in comparison to common and severe neurofibrillary degeneration defining the mainstream of AD neuronal loss and functional decline.

A similar ratio between the number of neurons with NFTs and neuronal loss in the ECII and CA1 suggests that in structures affected in the earliest stages of AD, neuronal loss is driven by neurofibrillary degeneration, whereas in the amygdala, thalamus, caudate nucleus, and in the case of Purkinje

cells, non-NFT pathology—most likely, senescence—appears to be a significant contributor to neuronal death. The highest percentage of neuronal loss in MCI/mild AD, the highest rate of neuronal loss in the moderate/moderately severe stages of AD, and the plateau/decrease in amyloid load in late stages of AD suggest that reduction in neuronal number and the pool of neuronal origin A β contribute to reduction in the volume (mm³) and load (%) of amyloid deposits. Confirmation that microglial cells are a critical factor in amyloid deposition in plaques and demonstration that CSF1R inhibitors reduce the number of microglia and prevent/reduce amyloid plaque formation reveal mechanisms for therapeutic control of brain amyloidosis.

Development of treatments reducing neurofibrillary degeneration- and senescence-related neuronal loss, prevention of brain amyloidosis with microglial cell CSF1R inhibitors, and application of these treatments in MCI/mild AD may prevent/slow down senescence and AD-related mechanisms of neuronal loss, brain amyloidosis, and corresponding functional decline.

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