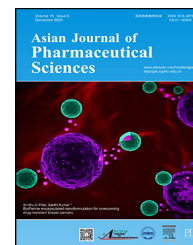


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Review

Evolution from small molecule to nano-drug delivery systems: An emerging approach for cancer therapy of ursolic acid

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ABSTRACT

Ursolic acid (UA), a natural pentacyclic triterpenoid, possesses widespread biological and pharmacological activities. However, drawbacks such as low bioavailability, poor targeting and rapid metabolism greatly hinder its further clinical application. Recently, with the development of nanotechnology, various UA nanosystems have emerged as promising strategies for effective cancer therapy. This article reviews various types of UA-based nano-delivery systems, primarily with emphasis placed on novel UA-based carrier-free nano-drugs, which are considered to be innovative methods for cancer therapy. Moreover, this review presents carrier-free nano-drugs that co-assembled of UA and photosensitizers that displayed synergistic antitumor performance. Finally, the article also describes the development and challenges of UA nanosystems for future research in this field. Overall, the information presented in this review will provide new insight into the rational utilization of nano-drugs in cancer therapy.

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1. Introduction

Cancer is a devastating disease that exerts influential public health trouble in the world [1,2]. Current treatment options for cancer include surgery, radiotherapy, chemotherapy,

hormonal therapy, and immunotherapy or combinational chemotherapy, etc. Among them, surgical resection remains a preferred treatment for patients with solid tumors, such as breast cancer, colon cancer, rectum cancer, lung cancer and so on [3]. However, most surgical approaches exist limitations of low resection rates and high recurrence rates [4]. The majority

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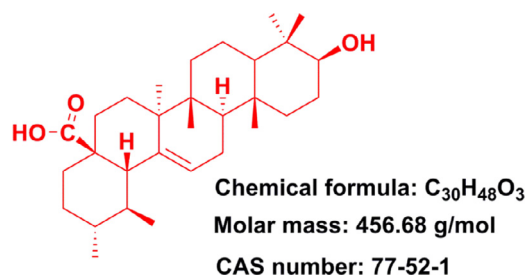


Fig. 1 – Structure of ursolic acid (PubChem CID: 64,945).

of patients also received adjuvant chemotherapy after surgical resection to ameliorate the risk of recurrence. Therefore, chemotherapy becomes the first treatment option for metastatic or advanced tumors to improve the survival rate of cancer patients [4]. Current anticancer drugs available in the market are mainly consist of conventional chemotherapeutic drugs, molecular-targeted drugs, immune checkpoint antagonists, and hormonal therapy drugs, such as paclitaxel, sorafenib, tremelimumab, avelumab, dexamethasone and so on [5–8]. Despite the fact that encouraging progress has been achieved in clinical cancer therapies, current treatments have been identified with some disadvantages (e.g., multidrug resistance (MDR), poor sensitivity and serious side effects) that failed to eradicate tumors or prevent their recurrence [9–11]. Therefore, it is in urgent need to overcome the shortcomings of current cancer therapies and further explore novel anticancer drugs with superior efficacy and low toxicity for the treatment of neoplastic disease.

Pentacyclic triterpenoids is an important class of bioactive natural compounds and which has gained much attention because of its superior therapeutic actions and fewer adverse effects in cancer therapy [12–14]. Ursolic acid (UA) (3b-hydroxy-urs-12-en-28-oic acid, Fig. 1), one of the pentacyclic triterpene carboxylic acids, can be extracted from various plants (e.g., *Sanguisorba Officinalis*, *Rosmarinus Officinalis*, *Salvia officinalis*, etc.) [15–17]. UA was confirmed to possess multiple pharmacological properties including antidiabetic [18], anti-inflammatory [19], antioxidant [20], antimicrobial [21], anti-hyperlipidaemia, etc [22]. In particular, UA and its derivatives were also found to effectively inhibit a series of cancer cell growth [23–26], indicating their great potential to be served as promising chemotherapeutic agents for the treatment of human cancers. However, the poor water solubility and permeability of UA greatly hindered its preclinical or clinical applications. Various preclinical trials have revealed that oral administration of UA performed poor intestinal absorption and UA was rapidly eliminated by gut wall/liver metabolism, ultimately resulting in low bioavailability of UA [27,28]. For instance, when administered orally, UA only displayed a half-life of 0.71 ± 0.09 h and C_{max} (peak concentration) of 1.10 ± 0.31 $\mu\text{g/ml}$ in rats [27]. When administered intravenously, low molecular weight UA would easily diffuse throughout the body and further resulted in nonspecific distribution [29]. Notably, these disadvantages seriously hindered the clinical application of UA. In recent decades, increasing evidence suggested that constructing nano-drug delivery systems were able to effectively improve bioavailability and enhance the targeting efficiency of agents.

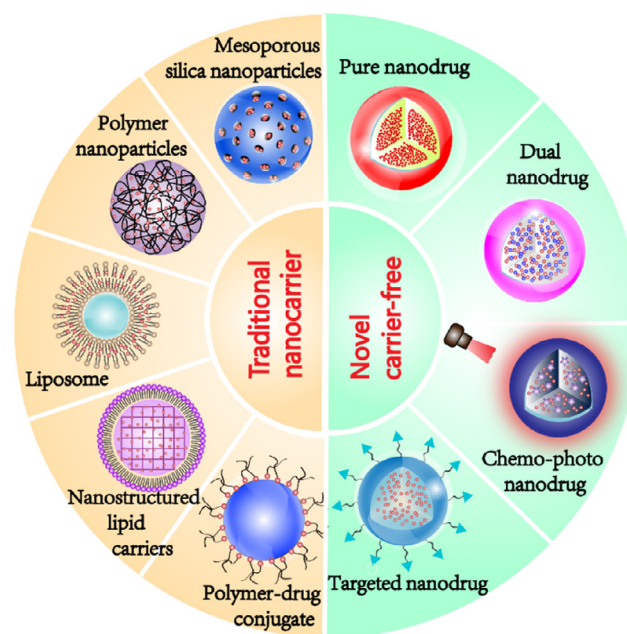


Fig. 2 – Various types of UA-based nano-delivery systems for cancer therapy.

The nano-drug delivery systems are widely applied in delivering various agents like drugs, photosensitizers, phytochemicals, biomolecules, and other compounds [30–33]. Nanoparticles can efficiently permeate into tumor tissues due to the enhanced permeability and retention (EPR) effect of tumor blood vessels. In contrast, normal blood vessels will prevent nanoparticles from permeating [34]. Therefore, nano-drug delivery systems can not only improve the targeted accumulation of therapeutic agents at the tumor sites but also reduce their biodistribution in normal tissues [35]. It has also been reported that MDR and efflux transporters could be overcome through nanoparticles-mediated internalization [36]. Additionally, some investigations suggested that UA-based nano-delivery systems displayed great potential for ameliorating or overcoming the shortcomings of UA [37].

Therefore, this review summarizes the status of preclinical and clinical trials of UA nanosystems in cancer therapy, including traditional nanocarrier-based delivery systems and novel carrier-free delivery systems (Fig. 2). The various UA nanosystems with the properties of improved bioavailability and pharmacokinetic are exhaustively summarized in this review. Besides, this review also discusses the clinical trials of UA liposomes for the treatment of human cancers. The purpose of this review is to offer useful insight into the prospect and challenge of discovering ideal UA nanosystems for clinical cancer therapy.

2. Anti-cancer activities of ursolic acid

Recently, anticancer property of UA has been extensively investigated in area of oncology, especially in breast cancer [38], hepatocellular carcinomas [39], cervical cancer [40], lung

cancer [41], melanoma [42], gall bladder carcinomas [43] and prostate cancer [44]. Epidermal growth factor receptor (EGFR), an over-expressed protein in cancer cells, has been identified as a therapeutic target in cancer therapy [45]. Of note, mitogen-activated protein kinases (MAPK) is a downstream effector of EGFR. Studies demonstrated that UA could attenuate the phosphorylation of ERK 1/2, EGFR, p38 MAPK, and c-Jun N-terminal kinase (JNK) so as to induce cancer apoptosis and inhibit cancer cell proliferation and tumor angiogenesis [46]. The phosphoinositide 3-kinase (PI3K) / protein kinase B (AKT), Janus kinase 2 (JAK2)/signal transducer and activator of transcription 3 (STAT3) pathways are three crucial intrinsic pathways (or mitochondrial pathway) involved in apoptosis. Moreover, UA inhibits these cellular signaling pathways by modulating apoptosis-related genes (e.g., Bax, Bad, Bcl-2, Bcl-xL, BID), apoptosis-related protease (e.g., Caspase-3, Caspase-9, Caspase-8, PARP), protein kinases (e.g., JAK2, mTOR, PI3K, AKT), transcription factors STAT3 and nuclear factor (NF)- κ B, thus suppressing the development of cancer cell and inducing cancer cell apoptosis [47,48]. Additionally, UA was also found to suppress the expression of pro-inflammatory cytokines, such as tumor necrosis factor (TNF)- α , TNF- γ , interleukin (IL)-2, IL-6, IL-18, which, in turn, influence the inflammatory response [38]. Additionally, some studies revealed that UA significantly down-regulated the expression levels of matrix metalloproteinases (MMP) (e.g., MMP-9, MMP-2) and cell surface adhesive molecules (e.g., ICAM-1, VCAM-1, E-selectin and P-selectin), thereby suppressing tumor invasion and metastasis. The primary mechanisms of action of UA are summarized in Fig. 3. The mechanistic elucidations and signaling pathways will be helpful in selecting proper therapeutic targets and designing ideal UA nanoformulations for cancer therapy.

3. Traditional nanocarrier-based drug delivery systems of ursolic acid

In the past decades, nanotechnology has been extensively applied in pharmaceutical research and a variety of nanocarriers have been developed in order to facilitate drug delivery. The advantages of most nanocarriers are presented below. (i) Improving bioavailability of drugs by enhancing drug solubility, stabilization and loading capacity. (ii) Easily to be modified by surface functionalization due to the large surface-to-volume ratio in nanosized materials. (iii) Controlled or targeted release of the payloads in demand, and (iv) equipped with great biocompatibility and biodegradability. Considerable nanocarriers such as liposomes, chitosan, polymer, dendrimer, mesoporous silica and nanostructured lipid carriers have been developed for optimizing UA-based nanoformulation design (Table 1).

3.1. Liposome

Liposome is the most well-studied nanocarrier which composed of phospholipids and cholesterol molecules [49,69]. In comparison with free drug, the liposomal drug possesses several attractive features such as controlled release, reduced toxicity, excellent stability,

targeted delivery, increased solubility, higher cellular uptake, and multiple routes of administration [70]. De Araújo Lopes et al. developed UA-loaded liposomes which composed of dioleoylphosphatidylethanolamine (DOPE), cholesteryl hemisuccinate (CHEMS), and distearoylphosphatidylethanolamine-polyethylene glycol (DSPE-PEG) 2000. The obtained liposome with an average diameter of 191.1 nm, was benefit for drug accumulation into tumor tissues. Additionally, due to the strong interaction between UA and phospholipids in the liposome bilayer, the characteristics of liposomes remained unchanged for 60 d [49]. In another study performed by Zhao et al., PEG-modified UA liposomes released 53% of the loaded UA in 72 h. The inhibitory cell rates of PEG-UA NPs were found to be 68.27% *in vitro*, while the inhibition rate of free UA at the same concentration (250 μ g/ml) was only 57.03%. Overall, surface-modifications of liposomes with PEG polymer are able to enhance stability of conventional liposomes [50].

Recently, chitosan-modified UA liposomes (CS-UA-L) has been successfully prepared with high tumor targeting, drug controlled release and low side effects [51]. *In vitro* drug release studies showed that 35.7% of the loaded UA was released within 72 h at pH 7.4, while 100% was released at pH 5.5, indicating a pH-responsive sustained drug release manner. Furthermore, it was also found that the UA accumulation amount in tumor tissues of CS-UA-L-administrated mice was 4.2-fold more than free UA administrated groups [51].

Ligand-targeted UA liposomes have also been designed to enhance therapeutic efficacy but minimize side effects as much as possible [71]. Yang et al. developed UA-loaded FA receptor-targeted liposomes (FTL-UA) with an IC_{50} against KB cell lines of 22.05 μ M, whereas the IC_{50} of FA receptor-blocking group was 65.66 μ M. It was shown that FTL-UA effectively targeted KB cells through the FA receptor-mediated pathway [52]. Overall, surface-functionalized liposomes not only facilitate the stability and bioavailability of UA, but also improve its targetability and therapeutic efficacy *in vivo*.

3.2. Polymeric nanoparticles

A variety of polymeric nanocarriers including chitosan [72], polybutylcyanoacrylate (PBCA), polylactic acid (PLA) and polylactic acid-glycolic acid copolymer (PLGA) [73] have been widely used for the drug delivery. Polymeric nanoparticles show many advantages surpass other nanocarriers such as the simplest preparation method and excellent stability. Zhang et al. [29] proposed that UA-loaded amphiphilic methoxy poly(ethylene glycol)-polycaprolactone (mPEG-PCL) nanoparticles presented a slightly better cell killing activity than free UA (IC_{50} : 46.0 \pm 2.8 vs. 92.5 \pm 3.1 μ M). The higher anticancer efficiency of mPEG-PCL nanoparticles were achieved due to the enhanced suppression of COX-2 and activation of Caspase 3. The conjugations of PEG or PEGylated nanoparticles were found to extend blood circulation half-life by reducing the recognition and uptake in the mononuclear phagocyte system (MPS). Subsequently, another UA-loaded amphiphilic molecule poly(N-vinylpyrrolidone)-block-poly(ϵ -caprolactone) (PVP-b-PCL) nanoparticles were developed and with an IC_{50} of 32.89 \pm 3.23 μ M (free UA, IC_{50} at 59.84 \pm 4.12 μ M) [53]. Zhang et al. demonstrated that the

Table 1 – The preparation and characteristics of the traditional carrier of UA nanoparticles.

Type	Materials or modified	Preparation	Particle size (nm)	zeta potential (mV)	Entrapment efficiency (%)	Drug Loading Capacities (%)	Ref.
Liposome	long-circulating and pH-sensitive liposome	The lipid hydration method	191.1 ± 6.4	+1.2 ± 1.4	–	–	[49]
	PEG-modified	Ethanol injection method	130–200	–	99.56	–	[50]
	Chitosan-modified	An ethanol injection method	135.4 ± 0.636	+7.8	94.30	–	[51]
Polymeric NPs	Folate-modified	The thin-film dispersed hydration method	160.1 ± 12.5	–21.24 ± 4.2	88.9 ± 7.9	–	[52]
	mPEG-PLC	Nano-precipitation method	144.0 ± 4.0	–0.99 ± 0.3	87 ± 5.3	4.75 ± 0.45	[29]
	PVP-b-PCL	Nano-precipitation method	120.0 ± 4.0	–0.96 ± 0.3	82 ± 4.3	12 ± 0.45	[53]
	Poly(lactic acid)	Single-emulsion solvent evaporation technique	246 ± 10	–24.6 ± 3.1	95.8 ± 2.3	–	[54]
	PLGA	Single-emulsion solvent evaporation technique	154 ± 4.56	–18.4	40 ± 3.24	4 ± 1.12	[55]
Polymer-drug conjugate	Polyurethane	interfacial polycondensation technique combined with spontaneous emulsification	9.77	–	–	–	[56]
	Chitosan	Nano-precipitation method	120	+41.6	–	–	[57]
	Folate-modified chitosan	Nano-precipitation method	160	+39.3	–	–	[58]
	Folate-modified PAMAM (G3/G5)	One-pot synthetic approach	185.6 (G3)276.8 (G5)	+8.1 (G3) +13.4 (G5)	–	–	[59]
	PAMAM-G0-LA	self-assembly method	160.3 ± 10.2	–	–	–	[60]
	8armPEG and hydroxycampothecin	self-assembly method	91 ± 11.45	9.50 ± 0.11	–	9.22 ± 1.51	[61]
	CMC	self-assembly method	32.17 ± 2.25	6.73 ± 0.51	–	32.11	[62]
Nanostructured lipid carriers	Trierucin/hydrogenated soy phosphatidylcholine/oleic acid	Hot homogenization-ultrasonication method	171 ± 3	–20 ± 0.4	97.14 ± 1.8	4.67 ± 0.09	[63]
	phospholipid	solvent emulsification-evaporation and ultrasonic dispersion	273.8 ± 2.3	–23.2 ± 1.5	86.0 ± 0.4	–	[64]
		solvent emulsification-evaporation and ultrasonic dispersion technology	207.85 ± 12	–42 ± 2.12	–	–	[65]
Mesoporous silica NPs	MSNs	–	102.2 ± 6.5 (pH = 10)	–34.6 ± 3.1	–	–	[66]
	MSN-CS-FA	–	~100	–25	56.70	21.8	[67]
	MSN-CS-LA	–	197 ± 3.5	+6.3 ± 1.4	55.5 ± 1.1	20.5 ± 1.1	[68]

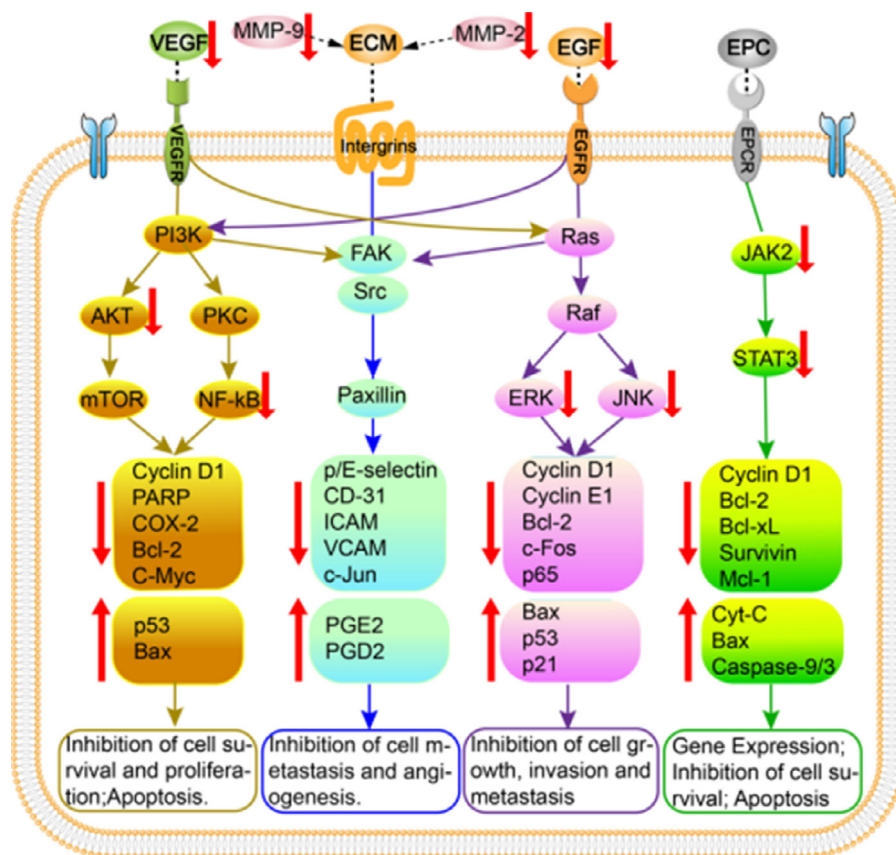


Fig. 3 – The primary mechanism of anticancer activities of UA.

PVP-b-PCL nanoparticles exhibited longer blood circulation in comparison with mPEG-PCL nanoparticles, which might be ascribed to their evasion of reticuloendothelial system (RES) [53].

In another formulation, UA-loaded PLA nanoparticles have been developed by the emulsification-solvent evaporation technique [54]. 30% of the loaded UA was released from these nanoparticles in 8 h, characterized as a burst release, and 60% was released within 120 h. These PLA nanoparticles showed negligible hemolysis on erythrocytes and lower cytotoxicity against cancer cells than free UA [54]. In another study, a novel UA delivery system using PLGA as nanocarrier (PLGA-UA NPs) was prepared and evaluated with higher cytotoxicity against melanoma cell lines. The nanoparticles showed an initial burst release of 30% loaded UA but followed by a sustained drug release manner for 15 d. Simultaneously, the nanoparticles showed a biphasic clear manner, with 50% of the dosage rapidly cleared in 1 h and the rest was slowly cleared in the blood circulation [55]. Wang et al. reported that gold-UA-loaded PLGA nanoparticles exhibited great cellular uptake and effectively suppressed proliferation, invasion and migration of cervical cancer cells [74]. Oprean et al. developed UA-loaded polyurethane nanoparticles and assessed for their anti-proliferative against breast cancer cells [56]. It was found that these nanoparticles displayed remarkable antiproliferative activities *in vitro*. The improved drug loading efficiency could be contributed to the great affinity between

the hydrophobic UA and polymeric molecules. Accumulating evidence have supported the prolonged blood circulation and enhanced retention concentration of UA at the tumor sites after incorporated into polymeric nanoparticles. In addition, most polymers are biodegradable and biocompatible molecules, indicating their great potential to exhibit minimal toxicity *in vivo*.

3.3. Polymer-drug conjugates

Growing interests have been focused on polymer-drug conjugates as they present numerous benefits when comparing with individual drug molecules: (i) Improving water solubility of hydrophobic drugs. (ii) Enabling sustained drug release by controlling the breaking of covalent bonds. (iii) Reducing toxicity side effects of drugs with narrow windows for treatment, thereby augmenting their clinical application prospects [75]. Jin et al. reported that the conjugation of UA and chitosan (CH-UA-NPs) could be achieved via covalently linking the carboxyl group of UA to the amino group of chitosan. The CH-UA-NPs significantly alleviated the adverse effects by saving approximately 10-fold of the dosage of free UA [57]. In another study, UA chitosan nanoparticles were further modified by folic acid (FA-UA-CS-NPs) in order to improve its targeted efficiency. Cellular uptake experiments showed that the FA-UA-CS-NPs could selectively target MCF-7 cancer cells through FA receptor-mediated endocytosis [58].

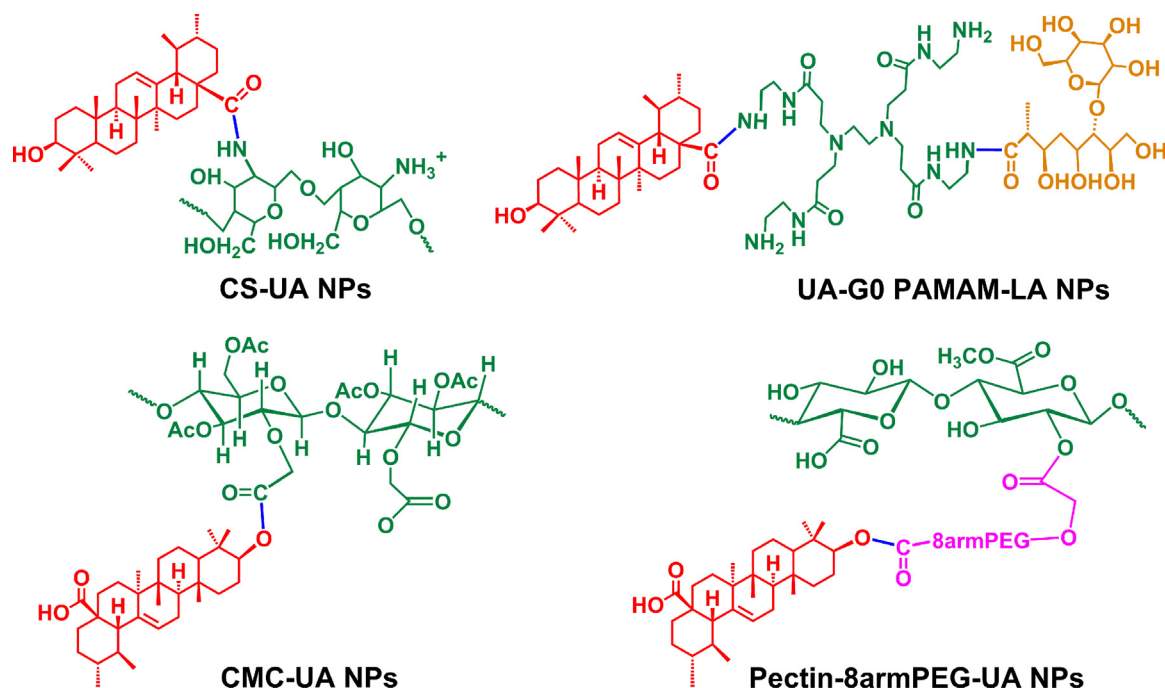


Fig. 4 – Covalent conjugates of UA to polymeric carriers [57, 60–62].

Dendrimers with highly-branched structures and biodegradable properties have been widely used in the preparation of nanoparticles. In a study, UA polymeric conjugations were designed and synthesized by conjugating of polyamidoamine dendrimers (G3/G5) with UA and FA (FA-G3/5-UA) [59]. The results marked that the FA-G3/5-UA significantly enhanced cellular uptake in Hela cells (FA receptor over-expressing cell line) due to the electrostatic absorbent and FA receptor-mediated endocytosis. The IC_{50} (μM) of FA-G5-UA and free UA was 20.24 and 44.35, respectively. Our group further modified the surface of low-PAMAM (G0/G1) dendrimers with lactobionic acid (LA) in order to develop a novel targeted nanocarrier for UA delivery (UA2-G0-LA NPs) [60]. The *in vitro* studies showed that the UA2-G0-LA NPs had much higher cytotoxicity against ASGPR-overexpressing cell lines than other groups.

In a recent study, UA was covalently linked to eight-arm-PEG and pectin to form an amphiphilic pro-drug (8armPEG-UA). The 8armPEG-UA and another hydrophobic drug hydroxycamptothecin (HCPT) were self-assembled into nanoparticles (Pec-8PUH NPs) [61]. The hydrophobic drug UA served as the core while the hydrophilic pectin and 8armPEG served as the surface shell, which ensured the enhancement of UA stability and solubility in the neutral aqueous environment [61]. Furthermore, pharmacokinetic experiments revealed that the Pec-8PUH NPs could exhibit prolonged clearance and blood circulation half-life as compared to free drug. Liu et al. reported the nanoparticles (CMC-UA/HCPT NPs) which self-assembled from UA, carboxymethylcellulose (CMC) and HCPT [62]. The blood circulation half-life of CMC-UA/HCPT NPs was 7.3-fold higher than that of free UA [62]. Overall, conjugation of UA with hydrophilic polymers (Summarized in Fig. 4) could improve the solubility of UA and also impart some unique pharmacokinetic properties.

3.4. Solid lipid nanoparticles (SLNs) and nanostructured lipid carriers (NLCs)

Lipid based nanocarriers are able to increase cell membrane permeability and then enhance the cellular uptake of drugs [76]. Based on the diverse internal structures, lipid nanoparticles are typically classified into solid lipid nanoparticles (SLNs) and nanostructured lipid carriers (NLCs) [77]. The SLNs are only comprised of solid lipid, whereas the NLCs are made up of a mixture of blended solid and liquid lipid [78]. In a study, UA-loaded NLCs which composed of trierucin, hydrogenated soy phosphatidylcholine and oleic acid was prepared by the hot homogenization–ultrasonication method [63]. It was found that the UA NLCs exhibited higher cytotoxicity on K562 leukemic cells and B16 melanoma cells in comparison with free UA [63]. Zhou et al. designed UA loaded phospholipid nanoparticles (UA-PL-NP) by the solvent emulsification–evaporation and ultrasonic dispersion technology and further evaluated their targetability [64]. The AUC_{0-12} ratio of UA-PL-NP in the liver was 8.6-fold higher than free UA, indicating its great targetability to liver tissues. Then another group optimized the phospholipid nanoparticles by a novel method (Response Surface Methodology) to improve its bioavailability and pharmacokinetic properties. It was shown that the elimination half-life ($T_{1/2el}$, 8.28 ± 1.98 h) of optimized nanoparticles was higher than free UA (0.69 ± 1.76 h) [65]. To date, UA-loaded solid lipid nanoparticles (UA SLNs) has not been reported in the literature. In summary, UA NLCs not only significantly enhanced bioavailability of UA but also showed superior anti-tumor activities in pre-clinical studies.

3.5. Mesoporous silica nanoparticles

Mesoporous silica nanoparticles (MSNs) is a promising drug delivery system due to its high surface area, narrow pore size distribution, superior chemical property, mechanical stability,

Table 2 – The preparation and characteristics of novel carrier-free based nano-drug delivery systems of UA.

Preparation	Type	Materials or modified	Particle size (nm)	zeta potential (mV)	Entrapment efficiency (%)	Drug Loading Capacities (%)	Ref.
Anti-solvent precipitation method	Nanocrystals	UA	188.0 ± 4.4	-25.0 ± 5.9	-	-	[86]
	UA NPs	UA and TPGS1000	127 ± 4.8	-24.4	-	-	[88]
Solvent exchanging method.	UA NPs	UA	~158	-7.71	60	60	[87]
	ICG@UA/PTX NPs	UA, PXT and IGG	130.8 ± 0.20	-30.0 ± 0.80	91.20 ± 1.2	-	[89]
	UA-LA-ICG NPs	LA and IGG	116.4 ± 2.4	-30 ± 1.8	90.5 ± 0.7	62.9 ± 0.2	[90]
	Apt / UD NPs	DOX and aptamer	~108.9	-	-	-	[91]
Self-assemble method	UA-LMWH NPs	LMWH-UA prodrug	225.4 ± 4.3	-	-	-	[92]
	UA-s-LMWH NPs	pH-activatable sLMWH-UA prodrug	223.1 ± 0.9	-	-	-	[93]
	UA-Asp-NPs	UA-Asp-prodrug	115.4	-20.4	-	70.17	[94]

and facile surface functionalization [79–83]. UA-loaded MSNs (UA@MSN-UA) with particle size of 100 nm displayed a higher cytotoxic effect against HepG2 cells than free UA [66]. The nanoparticles released 76% of the loaded UA in 96 h at pH 5.5, whereas 53% was released at pH 7.4, indicating its sustained and pH-responsive release properties. In another study, our group developed active targeting ligand FA and chitosan co-modified UA-loaded MSNs (UA@M-CS-FA) and evaluated the targeted delivery efficiency of UA to FA-receptor positive cancer cells [67]. Mechanistically, UA@M-CS-FA exhibited remarkable inhibition on the migration of cancer cells through regulating P53/MMP-9/PTEN/CD44 proteins. Also, *in vivo* experiments revealed that UA@M-CS-FA significantly inhibited the tumor proliferation and migration [67]. Similarly, another mesoporous silica nanocarrier (MSN-CS-LA) modified with pH-responsive chitosan and LA was developed for co-delivering UA and sorafenib. This nanoparticle could improve the cellular uptake and internalization of drugs against ASGPR over-expressing cell lines [68]. In conclusion, the development of multifunctional MSNs provides a new way for efficiently delivering UA into tumor tissues.

4. The novel carrier-free nano-drug delivery systems of ursolic acid

The applications of various nanocarriers provide some useful strategies for delivering UA into tumor tissues as well as enhancing its cellular uptake and internalization. Nevertheless, the clinical applications of nanocarriers have been limited due to their potential toxicity, indistinct metabolism and sophisticated preparation process [84,85]. Hence, an increasing attention has been paid to develop novel carrier-free nano-drugs (Table 2) in order to achieve better anti-cancer efficacy as well as reduce the side effects.

4.1. Pure nano-drug

Nanocrystals, one of the broadly used carrier-free delivery systems, was mainly composed of insoluble drugs and certain surfactants [95]. Many clinical trials revealed that nanocrystals could efficiently improve the bioavailability of drugs and ameliorate individual therapeutic differences [96]. In a study by Song et al., the UA nanocrystals were formulated by the anti-solvent precipitation method [86]. It was shown that UA nanocrystals had good aqueous dispensability and could be completely dissolved in 0.5% sodium dodecyl sulfate solution within 120 min. Moreover, the characteristics of nanocrystals remained unchanged for 49 d, which indicated its great stability. Pi et al. evaluated the relative bioavailability of UA nanocrystal and found it was 2.56-fold higher than that of free UA [97]. The nanocrystals may represent a promising delivery system for improving dissolution velocity and anticancer efficiency of UA.

In addition to nanocrystals, there are many different forms of pure nano-drugs. For instance, Wang et al. developed UA nanosuspensions by the anti-solvent precipitation method using a four-stream multi-inlet vortex mixer. In this study, two types of UA nanosuspensions with different particle sizes were evaluated for their anticancer activity [98]. It was shown that the UA nanosuspensions (300 nm) significantly inhibited the growth of the MCF-7 cells, which may be due to the enhanced adhesion of nanoparticles to cell surface. Inspired by the electrostatic and hydrophobic interactions between UA and the nanocarriers, our group has recently developed novel UA nanoparticles by a solvent exchanging method [87]. The UA NPs with particle size of approximately 150 nm was found to effectively permeate into tumor tissues by the EPR effect. The cell viability test showed that the IC₅₀ of UA NPs and free UA were 48.12 and 39.74 μM, respectively [87]. Also, the UA NPs showed less toxicity to normal tissues, indicating its great potential in future clinical applications. In a similar

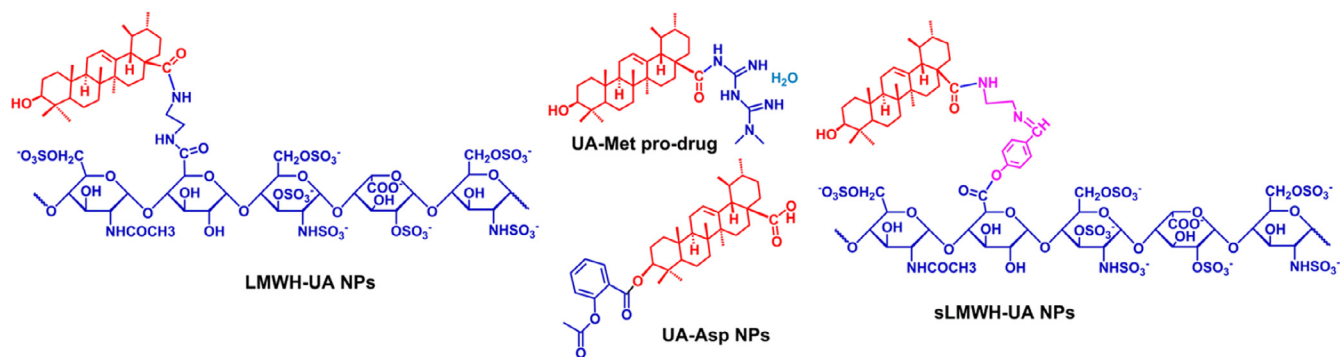


Fig. 5 – Structure of conjugates of UA with clinical drugs by the covalent link [92–94,101].

study, in order to improve the bioavailability of UA, a novel UA nanoemulsion which stabilized with TPGS1000 was developed by Qiao et al. [88]. $AUC_{0 \rightarrow 12}$ and C_{max} of UA nanoemulsion were found to be approximately 27.5- and 9-fold higher than free UA, respectively. In another study, TPGS1000 was also served as drug stabilizer to prepare UA nanoemulsion by emulsion solvent evaporation method. This nanoemulsion improved the equilibrium solubility of UA in deionized water up to 23.99-fold, and increased the oral bioavailability of UA up to 2.68-fold [99]. Even though the preliminary studies showed the stability of carrier-free nano-drugs in the absence of stabilizers is not as good as that of traditional carrier-based nano-drugs, they still contribute a lot to the development of nano-drugs.

4.2. Carrier-free nano-drug by co-assembly of chemotherapeutic agent and photosensitizer

Phototherapy, which contains photothermal therapy and photodynamic therapy, is characterized by high tumor ablation efficiency. It has been well-reported that co-delivery of chemotherapeutic agents and photosensitizers could enhance the therapeutic effect of cancer. A novel carrier-free nano-drug delivery system (ICG@UA/PTX NPs) for co-delivery of a photosensitizer (Indocyanine green, ICG) and chemotherapy drugs (UA and PTX) was developed by a solvent exchanging method [89]. The carrier-free small molecule nano-drug via self-assembly demonstrated excellent ability of tumor targeting and tumor growth suppressing with no sign of recurrence under NIR laser irradiation [89]. In a recently alternative study, we developed a nano-drug (UA-LA-ICG NPs) by co-assembly of UA, active targeting ligand LA and ICG. The UA-LA-ICG NPs exhibited anti-proliferative activity on asialoglycoprotein receptor (ASGPR)-overexpressing HepG2 cells. It was also shown that UA-LA-ICG NPs + NIR irradiation treatment significantly inhibited the development of tumors in H22 tumor-bearing mice [90].

4.3. Carrier-free nanosize drug by co-assembly of chemotherapeutic agents

Many researchers found that the carrier-free delivery systems based on combination of UA with other chemotherapeutic agents were able to display synergistic anticancer effects. A

composite carrier-free system has been developed by the self-assembly of UA, aptamer and doxorubicin (Apt/UD NPs) [91]. *In vitro/vivo* studies, the Apt/UD NPs showed superior inhibitory and synergistic anticancer effects. Our group developed the prodrug of UA and aspirin (Asp) into a nano-drug via the solvent exchange method [94, 100]. Of note, this nano-drug could release over 80% of UA after 48 h at pH 5.5, while only release of UA about 40% at pH 7.4 [94]. Similarly, the UA-Met-prodrug synthesized by our group exhibited a dose-dependent anti-proliferation effect on various cancer cells and displayed synergistic inhibition of cancer cell metastasis and invasion [101].

Cheng et al. developed an amphiphilic molecule (LMWH-UA), in order to achieve chemo- and anti-angiogenic combined therapy. The degradation rate of LMWH-UA in plasma and tumor homogenate was $6.64\% \pm 0.87\%$ and $39.35\% \pm 5.47\%$, respectively, which confirmed that the micelles existed excellent stability in plasma and controlled release manner at tumor tissues [92]. Based on the above experiments, Xiong et al. constructed a novel prodrug of UA (sLMWH-UA) by linking with LMWH and UA using the Schiff base ($-\text{CH}=\text{N}-$) bond. The diameter size of sLMWH-UA was 223.1 ± 0.9 nm, and the critical aggregation concentration was $38.30 \mu\text{g/ml}$, indicating its excellent stability in the systemic circulation. The addition of Schiff base bond promoted the micelles to hydrolyze and then release drugs under acidic conditions [93]. Overall, these studies demonstrated that conjugating UA with other chemotherapeutic agents (summarized in Fig. 5) may be a promising strategy for cancer therapy.

5. Nanosystem studies with ursolic acid in clinical trials

Clinical trials are essential for validating the efficacy and safety concerns of formulations. Extensive studies have confirmed the significant anticancer effect of UA nanosystems in pre-clinical studies, but the clinical studies of UA nanosystems are comparatively limited. In 2011, the UA liposomes (UA NLs) were firstly evaluated in human pharmacokinetic study by Tianjin Medicinal University Cancer Institute. The clinical data reported that UA NLs achieved a mean C_{max} of 3404.6 ± 748.8 ng/ml, and $AUC_{0-\infty}$ value of 9918.4 ± 1215.2 ng·h/ml [102]. Wang et al. evaluated

the toxicity and single-dose pharmacokinetics of UA NLS. It was shown that the maximum tolerated dose (MTD) of UA NLS was 98 mg/m² and the dose-limiting toxicity was hepatotoxicity and diarrhea [103]. In another pharmacokinetic study, Zhu et al. evaluated the single-dose and multiple-dose pharmacokinetic study of UA NLS, in which twenty-four healthy volunteers received a single-dose of UA NLS (37, 74, 98 mg/m²) while eight cancer patients received a multiple-dose of UA NLS (every day 74 mg/m² of UA NLS for 14 d). The study revealed that the UA NLS exhibited a relatively linear pharmacokinetic behavior at dose levels between 37 and 98 mg/m² [104]. In a recent clinical study of UA NLS, twenty-one patients with solid tumors were intravenously administered with doses of 56, 74 and 98 mg/m² UA for 14 d consecutively over a 21 d treatment cycle. Indeed, 60% of subjects achieved satisfactory therapeutic effect after two treatment cycles [105]. The results of these clinical phases I trials all confirmed that UA NLS could show high tolerance and low toxicity in healthy volunteers. Nevertheless, more extensive studies and investigations should be carried out in patients with different solid tumors.

6. Advantage and challenge of ursolic acid nanosystem

Up to now, UA nanosystems have made significant contribution to cancer therapy. We summarized the *in vivo* antitumor studies of UA nanoformulations to demonstrate that the applications of nanotechnology are able to improve antitumor efficacy of UA (Table 3). Additionally, we presented the unique advantages and challenges of UA nanosystems for cancer therapy below.

6.1. Enhancing the bioavailability of UA

Bioavailability is an essential index of pharmacokinetic property that mainly depending on drug solubility, stability, metabolism, and degradation [106]. It has been widely accepted that the poor water solubility greatly limited the bioavailability of chemotherapeutic agents. Using TPGS1000 acted as a drug stabilizer could effectively improve the solubility of drugs and subsequently enhance their oral bioavailability [88]. For nano-delivery system, the rigidity of nanoparticle displays a significant influence on its stability, drug release and accumulation time. Specifically, modifying nanoparticle with water-soluble polyethylene glycol (PEG) can significantly ameliorate its rigidity [45]. In addition, the physicochemical properties of the nanoparticles including morphology, size, surface charge and surface hydrophilicity all have great effects on their circulating half-life and metabolism [107, 108]. A short description of the nano-delivery system of UA that significantly improved its pharmacokinetics and bioavailability is provided in Table 4.

6.2. Targeted drug delivery

Targeted drug delivery systems are able to selectively deliver drug into the specific sites, thereby enhancing its therapeutic efficacy and reducing toxic effect [109,110]. Targeted drug

delivery system can be divided into active and passive targeting systems. The nanoparticles with a size of 10–200 nm are able to effectively permeate into tumor tissues with the help of passive targeting [111]. Our group evaluated the passive tumor-targetability of nanoparticles by NIR fluorescence imaging technology. After 24 h, 30% fluorescence intensity of ICG in nanoparticles at the tumor sites was observed, while there was no fluorescence intensity tumor accumulation of free ICG group (Fig. 6) [89]. However, the size, zeta potential, solubility or dispersion of nanoparticles may also exhibit an impact on its targetability [112]. Additionally, the main factor of passive tumor-targetability of nanoparticles is the heterogeneity of tumor and its stroma, such as a hypoxic gradient [113]. Meanwhile, the increased interstitial fluid pressure is found to hamper the penetration of nanoparticles into neoplastic tissues [114]. Most of the active targeting systems were prepared by surface modification with targeting-ligands (such as protein, sugar, amino acids, enzymes). Modified by multifarious ligands, enhancement of cellular uptake and interaction with tumor surface receptors of nanoparticles have been documented [108]. To obtain effective targeting, the nanoparticles should be stable and also can avoid rapid recognition by RES. Accordingly, it is crucial to optimize the density of targeting-ligands on the surface of nanosystems. In conclusion, the nanosystems with effective passive or active tumor targetability have achieved substantial advantage over the free molecules [115].

6.3. Controlled drug release

Controlled-release drug delivery systems can release drugs with a certain speed at a predetermined time [116,117]. Delivery systems with different drug release manners such as extended-release, sustained-release, delayed-release, and targeted-release, are collectively referred to as controlled-release delivery systems [118]. The plasma drug concentration of nanoparticles will be very low initially and then progressively increased, which led to a broad and flat AUC [119]. By contrast, free drugs will commonly achieve their plasma C_{max} during the intravenous infusion period and followed by a rapid drug concentration decrease, and therefore their AUC are likely to be a peak shape with a tail [119]. Accordingly, nanoparticles are of more potential to maintain drug concentration within the therapeutic window than free drugs [120]. To precisely control the release of drug, stimuli-responsive nanomaterials have been developed and utilized. They can release drugs in response to specific triggers, including exogenous stimuli (variations in temperature, magnetic field, ultrasound intensity, light or electric pulses) and endogenous stimuli (variations in enzyme concentration or redox gradients) [121,122]. Nevertheless, these newer delivery systems are still developed at the proof-of-concept level. Taken together, the development of new nanoparticles-based strategy is critical for enhancing drug delivery into tumors.

6.4. Challenge and prospect of UA nanosystem

With significant advances achieved in nanotechnologies, constructing drug-loaded nanosystems is considered to be

Table 3 – In vivo application of UA nanosystems for cancer treatment.

Formulation	Animal	Mouse xenograft model	The dose of UA NPs	Route of administration	Observation	Ref.
FA-UA-L	Female Balb/c mouse	KB cells (3.6×10^6 cells/animal), SC	4.5 mg/kg, once/2 d for 10 d	IV	Tumor-bearing mice treated with FTL-UA had about 55% reduction in tumor volume compared with PBS control group.	[52]
CS-UA-L	Female CD-1 mouse	LU14 cells (2×10^6 cells/animal), SC	80 mg/kg, once a d for 14 d	IV	Free UA showed the modest tumor growth inhibition with an inhibition rate (IR) of 18.25%, and CS-UA-L was up to 61.26%;	[51]
UA loaded PVP-b-PCL	Male ICR mice	H22 cells (1×10^7 cells/animal), SC	50 mg/kg for 10 d	IP	After UA treatment, most tumor lesions were relieved, while that of UA-NPs treated group almost completely disappeared.	[53]
gold-UA – PLGA NPs	Athymic nude mice	HeLa (1×10^7 cells/animal), SC	20 mg/kg for 5 d	IP	Significantly downregulated the tumor volume; No remarkable alteration of the mouse body weight.	[74]
CH-UA-NPs	Female Balb/c mice	H22 cells (5×10^6 cells/0.2 ml ascites/mouse), IP	11 mg/kg once/2 d for 17 d	O	The volume of tumors of nanoparticle-treated group ($1.12 \pm 0.12 \text{ cm}^3$) was dramatically decreased compared with that of the control group ($2.36 \pm 0.32 \text{ cm}^3$, $P < 0.01$).	[57]
FA-CS-UA-NPs	BALB/c nude mice	MCF-5 (2×10^5 cells / animal), SC	12.5 mg/kg b.w./d for 9 times	IP	The tumor weight in FA-CS-UA-NPs-treated group ($2.1 \pm 1.02 \text{ g}$) was significantly lower than those of UA and saline control groups ($5.26 \pm 1.69 \text{ g}$, $P < 0.05$), and UA-treated group ($3.48 \pm 0.24 \text{ g}$, $P < 0.005$).	[58]
UA2-G0-LA NPs	Female Balb/c mice	H22 cells (1×10^7 cells/animal), SC	40 mg/kg	IV	The tumor weight and volume of UA-G0-LA NPs group were significantly decreased.	[60]
Pec-8PUH NPs	Female Balb/c mice	4T1 cells (5×10^5 cells/0.2 ml/mouse), SC	10 mg/kg once/2 d for 8 d	IV	The tumor volumes of Pec-8PUH NPs treated group were extremely smaller than other groups.	[61]
CMC-UA/HCPPT NPs	Female Balb/c mice	4T1 cells (5×10^5 cells/0.2 ml/mouse), SC	10 mg/kg once/2 d for 8 d	IV	Free UA showed the modest tumor growth inhibition with an inhibition rate of 42.9%, and CMC-UA /HCPPT NPs was up to 93.5%.	[62]
pure UA NPs	Balb/c nude mice	A549 cell (5×10^6 cells/animal), SC	8 mg/kg once/2 d for 21 d	IV	Efficiently suppressed the A549 tumor growth in vivo without causing any obvious body weight effects in nude mice.	[87]
ICG@UA/PT X NPs	KM mice	H22 cells (2×10^6 cells/animal), SC	2.67 mg/kg of UA, 2.0 mg/kg of ICG once/3 d for 21 d	IV	The tumor volumes of NPs+NIR laser irradiation-treated groups were much smaller than the other group-treated in mice.	[89]
LA-ICG-UA NPs	KM mice	H22 cells (1×10^7 cells/animal), SC	10 mg/kg of UA, 2.5 mg/kg of ICG, once/2 d for 14 d	IV	The combined chemo-photo therapy with UA-LA-ICG NPs + NIR significantly inhibited the tumor growth than chemotherapy or phototherapy alone.	[90]

IV: Intravenous; IP: Intraperitoneal; O: Oral; SC: Subcutaneous

Table 4 – Pharmacokinetics properties and bioavailability of various UA nanosystems.

UA nanoformulation	Commen	Ref.
CS-UA-L	The tumor concentration of CS-UA-L was 4.2- and 1.7-fold higher than those of free UA and UA-L groups, respectively.	[51]
FTL-UA	A single intravenous dose of 20 mg/kg FTL-UA in mice achieved C_{max} of 109.03 ± 8.30 mg/l and $T_{1/2}$ of drug 7.61 ± 2.41 h, whereas free UA showed low bioavailability ($C_{max} = 43.82 \pm 4.49$ mg/l, $T_{1/2} = 0.78$ h). AUC value of FTL-UA (218.32 mg/l·h) was 6-fold higher than that of free UA (36.88 mg/l·h).	[52]
UA2-G0-LA NPs	AUC_{0-t} of UA2-G0-LA NPs (187.938 ± 25.426 μ g/ml·min) was higher than UA and UA2-G0 NPs (98.9 ± 27.929 and 125.001 ± 19.364 μ g/ml·min, respectively); C_{max} : 1.511 ± 0.336 , 2.29 ± 0.394 and 2.896 ± 0.616 μ g/ml for UA, UA-G0 and UA2-G0-LA NPs, respectively; T_{max} : 8, 18.75 ± 7.5 and 18.75 ± 7.5 min for UA, UA-G0 and UA2-G0-LA NPs, respectively.	[60]
CMC-UA/HCPT NPs	CMC-UA NPs and CMC-UA/HCPT NPs could extend the half-life of UA from 1 h to 4.5 h and 7.3 h, respectively.	[62]
UA-PL-NP	AUC_{0-12} ratio of UA-PL-NP 8.6-fold and 1.7-fold higher than free UA in the liver and spleen, respectively.	[64]
UA nanosuspensions	AUC_{0-12} of UA nanosuspensions ($35\text{--}37$ μ g/ml·h) was higher than UA (1.4 μ g/ml·h) and the C_{max} was found to be approximately 9-fold higher than free UA.	[88]

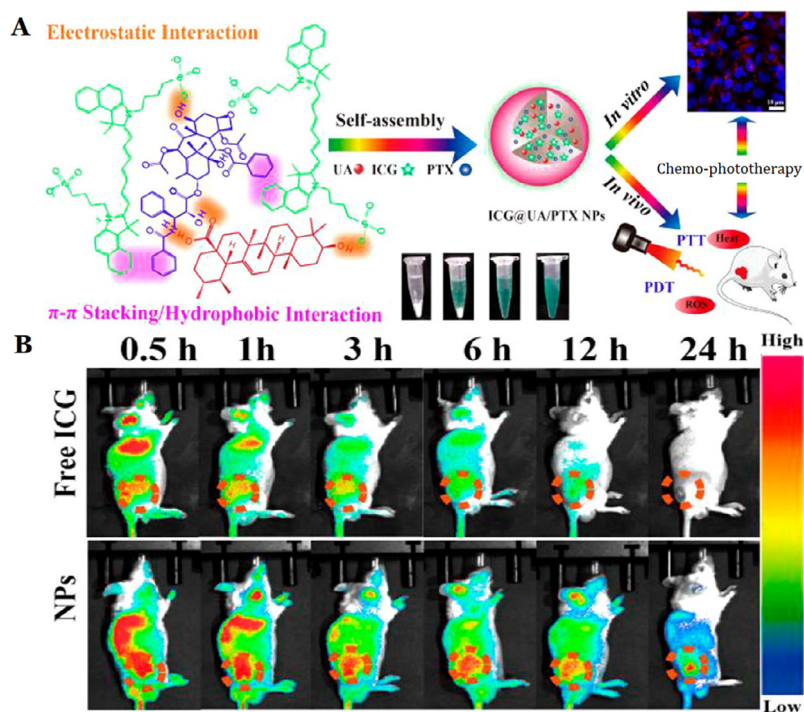


Fig. 6 – In vivo targeting efficiency of ICG@UA/PTX NPs compared with that of free ICG. (A) Schematic of ICG-UA/PTX NPs for cancer imaging and chemo-phototherapy. (B) NIR fluorescence images of free ICG and NPs injected into tumor-bearing mice were recorded at 0.5, 1, 3, 6, 12 and 24 h, indicating that the NPs can efficaciously target tumor site. Reproduce with permission [89]. Copyright 2017, American Chemical Society.

one of the most promising strategies for cancer therapy. The advantages and disadvantages of different UA nanosystems are sequentially discussed in Table 5. Despite numerous progress has been made in recent years, there are still some challenges in the clinical applications of UA nanosystems. (i) Some nanocarriers of UA possibly aggregate or agglomerate with other biomolecules or biological fluids *in vivo* [123]. (ii) The stability and storage aspects of UA nanosystems are still needed to be further improved. (iii) Complex preparation methods of UA nanosystems will lead to some problems such

as potentially toxic to healthy tissues, indistinct metabolism, as well as biodegradation problems. (iv) Many physiological barriers will certainly limit the deep penetration of UA nanosystems into the tumor tissues and subsequently impact the therapeutic outcome. These challenges must be addressed in order to promote UA nanosystems to become the next-generation nanomedicine.

The following several aspects should be considered in promoting UA nanomedicines from preclinical levels to the clinical settings: (i) The implementation of US Food and Drug

Table 5 – Summary of the differences, advantages, and disadvantages of different type nanosystems of UA in cancer therapy.

Different source of nanoparticlaes	Common features	Unique advantage	Disadvantage	Ref.
Liposomes	Improved low solubility, rapid metabolism, poor absorption and limited bioavailability	Biocompatible, biodegradable; Have sustained release and nonimmunogenic properties; Amphipathic.	Short circulation half-life; The leakage of the encapsulated drug.	[49,125]
PEG-modified liposome		Improve the blood circulation half-life of liposome and enhance stability.	Reduced interactions with cancer cells.	[50,126]
Polymer nanoparticles		Longer blood circulation half-life; Reliable release profiles	Poor storage properties	[57,127]
Polymer-drug conjugate		Ease of drug administration, improved patient compliance and better long-term prognosis	Unclear release manner.	[93,128]
MSN		Sustained-release property; High drug-loading capacity	An impact on biosafety.	[66,124]
Pure UA nano-drug		High drug-loading capacity; Reduce the potential hazards of the carrier.	Poor stability over traditional carrier nanoparticles.	[87,129]
Dual nano-drugs		Synergistically treat tumor; reduce the doses of main therapeutic drugs;	Poor stability over traditional carrier nanoparticles.	[91,129]
Targeted nanoparticles		Targeting tumor tissue to reduce adverse effects.	Increased immune activation.	[91,126]

Administration (FDA)-approved materials as nanocarriers of UA can consequently expedite its clinical transformation. (ii) To address the issues of short blood circulation half-life and nonspecific protein adsorption, the surface of UA nanoparticles can be modified by various materials, such as PEG or polysorbate 80, dysopsonins proteins (*e.g.*, clusterin and albumin), and self-markers (*e.g.*, CD47 peptides) and membrane of erythrocytes, leukocytes, cancer cells or thrombocytes [36,119]. (iii) Additionally, the physicochemical characterization of UA nanoparticles should be carried out under similar clinical conditions. (iv) Moreover, the rational design of nanosystems is important to develop UA nanosystems with high loading capacity, which will further affect its dosage and therapeutic efficacy in clinical treatment [124]. Therefore, the development of nanosystems with active targeting-ligands or stimuli-responsive properties is valuable for improving the targetability and therapeutic efficacy of conventional UA nanosystems. More comprehensive preclinical and clinical trials should be conducted to further validate its therapeutic efficacy. It is also noteworthy that the combination of UA with other therapeutic agents could result in synergistic effects and offer a better therapeutic index. On the other hand, the novel composite nanosystems with two or more anticancer agents will help to reduce adverse effects by reducing the single dosage of chemotherapeutic agent. In addition to these considerations, manufacturing UA nanosystems at an industrial level should consider the cost-benefit and follow GLP and GMP (good laboratory and manufacturing practice).

7. Conclusion

In summary, this review mainly describes the recent advance of UA nanosystems for cancer treatment, with an emphasis on different nanocarrier delivery systems and carrier-free delivery systems. Large amounts of *in vitro* and *in vivo* experiments have provided evidence that these UA nanosystems displayed improved efficacy, targetability and reduced systemic toxicity. At present, most of the UA nanosystems have been developed and optimized at the laboratory scale and comprehensive preclinical tests to confirm their potential effect and safety. The phase I clinical trials confirm that UA liposomes are systemically safe, and can effectively improve the bioavailability of UA. Additionally, the potential toxicity of some UA nanosystems in humans remain unclear, hence future studies should focus on complementary toxicity assays of UA nanosystems. It is worth noting that the combination of UA nanosystems with phototherapy or other chemotherapeutic agents resulted in a promising therapeutic effect in cancer therapy. Therefore, a composite system of UA with other anticancer agents can be a promising approach to enhance anticancer efficacy and reduce systemic toxicity.

Conflicts of Interest

The authors declare no conflict of interest.

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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.ajps.2020.03.001.

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