

Stratified Treatment of Heart Failure with preserved Ejection Fraction: rationale and design of the STADIA-HFpEF trial

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Abstract

Aims High myocardial stiffness in heart failure with preserved ejection fraction (HFpEF) is attributed to comorbidity-induced structural and functional remodelling through inflammation and oxidative stress affecting coronary microvascular endothelial cells and cardiomyocytes, which augments interstitial fibrosis and cardiomyocyte stiffness. In murine and human HFpEF myocardium, sodium glucose co-transporter 2 (SGLT2) inhibition ameliorates cardiac microvascular endothelial cell and cardiomyocyte oxidative stress, while enhancing myocardial protein kinase G activity and lowering titin-based cardiomyocyte stiffness. Failure of previous HFpEF outcome trials refocuses attention to improving pathophysiological insight and trial design with better phenotyping of patients and matching of therapeutic targets to prevailing pathogenetic mechanisms. SGLT2 inhibition could represent a viable therapeutic option especially in HFpEF patients in whom high diastolic left ventricular (LV) stiffness is predominantly caused by elevated cardiomyocyte stiffness and associated endothelial dysfunction, whereas HFpEF patients with extensive myocardial fibrosis might be less responsive. This study aims to investigate a stratified treatment approach, using dapagliflozin in heart failure patients with preserved ejection fraction without evidence of significant myocardial fibrosis.

Methods and results The Stratified Treatment to Ameliorate DIAstolic left ventricular stiffness in early Heart Failure with preserved Ejection Fraction (STADIA-HFpEF) is a Phase II, randomized, 2×2 crossover trial, evaluating the efficacy of 13 weeks of treatment with dapagliflozin 10 mg od in 26 patients with HFpEF, with normal cardiac magnetic resonance imaging-derived extracellular volume. The co-primary endpoint is echocardiographically derived change in E/e'/LV end-diastolic volume index and change in mean LV e'.

Conclusions The STADIA-HFpEF trial will be the first study to evaluate the direct effects of dapagliflozin on amelioration of LV stiffness, using histological phenotyping to discern early HFpEF.

Keywords HFpEF; Heart failure; Cardiomyocyte; Dapagliflozin

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Introduction

Heart failure (HF) with preserved ejection fraction (EF; HFpEF) currently accounts for >50% of all HF cases, and its prevalence relative to HF with reduced EF (HFrEF) continues to rise at a rate of 1% per year.¹ Outcomes in patients with HFpEF and HFrEF are equally poor with 5-year mortality rates up

to 75% in both phenotypes.² In contrast to HFrEF, modern HF pharmacotherapy did not improve outcomes in HFpEF, which was attributed to incomplete understanding of HFpEF pathophysiology, patient heterogeneity, and suboptimal trial designs.³ In the conceptual framework of HFpEF treatment, emphasis may need to shift from a ‘one size fits all’ strategy to an individualized approach based on phenotypic patient

characterization and diagnostic/pathophysiological stratification of myocardial disease processes.⁴ In the Stratified Treatment to Ameliorate Diastolic left ventricular stiffness in early Heart Failure with preserved Ejection Fraction (STADIA-HFpEF) trial, we propose to investigate a stratified and individualized treatment approach, based on matching of potential therapeutic efficacy to prevailing pathophysiological mechanisms of diastolic left ventricular (LV) stiffness in patients with HFpEF.

High diastolic left ventricular stiffness in heart failure with preserved ejection fraction

Heart failure with preserved EF is characterized by increased diastolic LV stiffness.^{5,6} In the absence of endocardial or pericardial disease, high diastolic LV stiffness results from increased myocardial stiffness, which is regulated by the extracellular matrix (ECM) and the cardiomyocytes.^{7,8} Collagen importantly determines ECM-based stiffness through regulation by its total amount, the expression of collagen type I, and the degree of collagen crosslinking and is linked to diastolic LV dysfunction⁹ and clinical outcomes¹⁰ in patients with HFpEF. Additionally, increased cardiomyocyte stiffness also importantly contributes to high diastolic LV stiffness in HFpEF.⁷

Cardiomyocyte stiffness is mainly determined by the elastic sarcomeric protein titin, which functions as a bidirectional spring, responsible for early diastolic recoil and late diastolic distensibility.¹¹ Titin-based cardiomyocyte stiffness results from dynamic changes in expression of stiff (N2B) and compliant (N2BA) isoforms and from post-translational modifications, including titin isoform phosphorylation and oxidative changes of the N2B segment.^{11,12} Conditions associated with oxidative or physical stress can induce the formation of disulfide bridges in the human titin N2B sequence, leading to increased titin-based cardiomyocyte stiffness.¹³ In contrast, phosphorylation of titin at its N2B segment by protein kinase G (PKG) acutely increases its compliance.^{11,12,14} Myocardial PKG activity is stimulated by natriuretic peptides (NPs) and nitric oxide (NO) mediated activation of cyclic guanosine monophosphate (cGMP).¹⁴ In HFpEF, myocardial cGMP-PKG signalling is down-regulated because of impaired upstream NP and NO bioavailability, which hampers PKG-mediated titin phosphorylation resulting in subsequent increased titin-based cardiomyocyte stiffness and cardiomyocyte hypertrophy.¹⁴ Impaired upstream NP-cGMP and NO-cGMP signalling in HFpEF could result from adverse effects inflicted by highly prevalent, especially metabolic, comorbidities in HFpEF, which induce NP down-regulation, systemic inflammation, oxidative stress, and coronary microvascular endothelial dysfunction.⁴

Improving cardiomyocyte cGMP-PKG-mediated titin phosphorylation was recently proposed as a viable option to improve LV compliance in HFpEF.¹⁵ Therefore, pharmacological

agents targeting systemic inflammation, oxidative stress, and microvascular endothelial dysfunction with subsequent stimulation of myocardial cGMP-PKG activity could have high therapeutic potential in patients with HFpEF.

Cardiac effects of sodium glucose co-transporter 2 inhibitors

In the DAPA-HF trial, the sodium glucose co-transporter 2 (SGLT2) inhibitor dapagliflozin improved outcomes in both HFrEF patients with and without type 2 diabetes mellitus (T2DM).¹⁶ In addition to their glycaemia, volume load, and blood pressure-lowering effects, SGLT2 inhibitors have also been shown to directly exert beneficial myocardial pleiotropic effects. These beneficial pleiotropic effects include improvement of inflammation, oxidative stress,¹⁷ remodelling,^{17,18} and mitochondrial dysfunction.¹⁹ In rodent and human HFpEF experimental models, the SGLT2 inhibitor empagliflozin inhibited cardiac microvascular endothelial cell (CMEC) and cardiomyocyte oxidative stress and improved cardiomyocyte distensibility through stimulation of PKG-mediated phosphorylation of titin.^{20,21} Hence, SGLT2 inhibitors could be especially advantageous in HFpEF patients in whom impaired LV distensibility is predominantly caused by high cardiomyocyte stiffness and associated endothelial dysfunction. In contrast, HFpEF patients in whom impaired LV distensibility is predominantly caused by extensive myocardial fibrosis could be less likely to respond favourably to treatment.

Natriuretic peptides in heart failure with preserved ejection fraction

Non-invasive evaluation of myocardial interstitial fibrosis is feasible with cardiac magnetic resonance T1 mapping-based techniques. T1 mapping measures myocardial extracellular volume (ECV), which is associated with myocardial interstitial fibrosis in human myocardial biopsies,²² invasively determined LV stiffness,²³ and plasma N-terminal pro brain natriuretic peptide (NT-proBNP) levels in individuals from the Multiethnic Atherosclerosis Study²⁴ and HFpEF patients.²⁵

Plasma NT-proBNP levels are frequently low in HFpEF patients,²⁶ with a substantial proportion of patients with invasively confirmed HFpEF presenting with even normal values.²⁶ Low NT-proBNP levels in HFpEF can be attributed to comorbidities inducing a relative state of NP deficiency,²⁷ which is specifically associated with the obesity HFpEF phenotype,^{28,29} low LV diastolic wall stress due to concentric LV remodelling,³⁰ and a cushioning effect of epicardial fat, dampening LV diastolic distension.²⁸ Despite their suboptimal accuracy for diagnosing HFpEF, NP levels are associated with prognosis^{26,31,32} and profibrotic biomarkers and cardiac remodelling.³³ In the PARAMOUNT trial, sacubitril/valsartan

improved left atrial remodelling exclusively in HFpEF patients with below median levels of profibrotic biomarkers, including NT-proBNP.³³ NP levels also predicted therapeutic efficiency and reverse cardiac remodelling in several,^{31–33} but not all,³⁴ clinical trials.

In the I-PRESERVE³¹ and TOPCAT³² trials, HFpEF patients with below median NT-proBNP or lower tercile NPs demonstrated improved outcomes, which, however, was not replicated in the PARAGON HF trial investigating sacubitril/valsartan in HFpEF.³⁴

Diagnostic and pathophysiological stratification for patient-tailored therapy

Importantly, markedly elevated NT-proBNP levels were used as inclusion criterion in large Phase III HFpEF trials.^{35–37} A relatively high NP cut-off level increases the likelihood that recruited trial patients indeed have HF and enriches the event rate in the studied population. However, setting high the NP entry criteria will also skew the recruited HFpEF study population towards a more advanced myocardial fibrotic and hence potentially therapeutically less responsive phenotype. Skewing towards a more fibrotic HFpEF phenotype may potentially explain the neutral outcomes in previous Phase III HFpEF trials. In contrast, HFpEF patients in whom high diastolic LV stiffness is predominantly caused by elevated cardiomyocyte stiffness and associated endothelial dysfunction could potentially be more responsive to therapy.

The STADIA-HFpEF trial, investigating the capability of SGLT2 inhibitor dapagliflozin to improve diastolic LV distensibility in ‘early HFpEF’, is designed exactly for this purpose. In this regard, ‘early HFpEF’ refers to HFpEF patients with predominant cardiomyocyte and endothelial dysfunction without significant structural myocardial ECM remodelling. As dapagliflozin was shown to lower cardiomyocyte titin-based stiffness in human HFpEF, dapagliflozin could especially be of therapeutic benefit in HFpEF patients with metabolic risk factors in whom high diastolic LV stiffness is primarily caused by increased cardiomyocyte derived stiffness. The STADIA-HFpEF trial aims to select a more homogeneous HFpEF patient population in an earlier stage of disease progression (defined by lesser degrees of myocardial fibrosis), with a higher likelihood of responsiveness to therapeutic modulation of LV diastolic distensibility by dapagliflozin.

Methods

Trial design

STADIA-HFpEF is a Phase II, single-centre, prospective, double-blind, randomized, 2 × 2 crossover, interventional

study. Patients will be recruited from the outpatient clinic of the OLVG, Amsterdam, the Netherlands. After randomization, the duration of the study comprised two study periods of 13 weeks, separated by a washout period of 8 weeks. Patients will be randomized to either group A or group B (*Figure 1*). Group A will start with dapagliflozin 10 mg for 13 weeks, followed by 8 weeks of washout, followed by 13 weeks with placebo. Group B will start with 13 weeks with placebo, followed by 8 weeks of washout, followed by 13 weeks dapagliflozin 10 mg. Study visits will be planned at Weeks 1, 3, 6, 13, 22, 23, 25, 28, and 35. The trial is registered as ClinicalTrials.gov identifier NCT04475042.

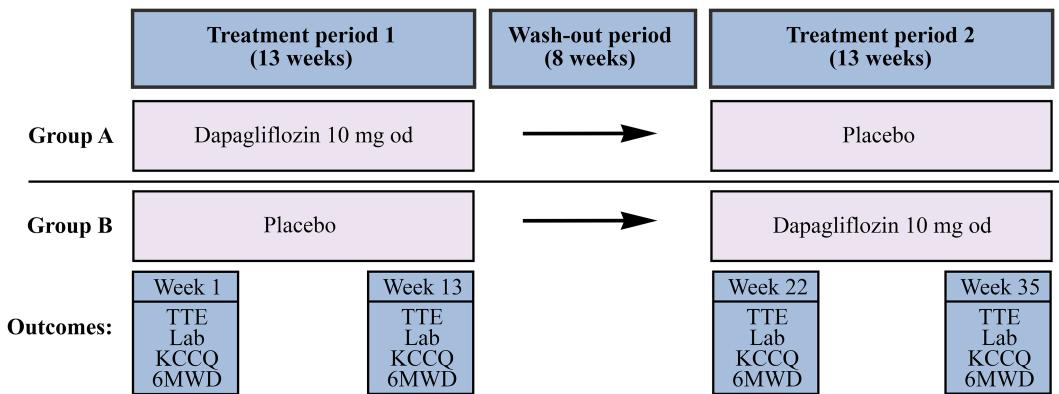
Objectives

Our primary goal is to assess whether dapagliflozin, in comparison with placebo, improves LV distensibility in patients with early HFpEF. Co-primary outcome measures will be change in echocardiographically derived E/e'/LV end-diastolic volume (LVEDV) index and change in mean LV e' after 13 weeks of treatment with dapagliflozin 10 mg. Reliable quantification of LVEDV will be established by the use of an LV contrast agent. Secondary endpoints will be improvement of exercise tolerance (measured by 6-min walking distance), quality of life (measured by Kansas City Cardiomyopathy Questionnaire), echocardiographically determined left atrial volume and function, diastolic and systolic LV and right ventricular function and systolic pulmonary artery pressure (*Table 1*), and multipanel biomarker profiles (*Table 2*).

Patient population

The STADIA-HFpEF trial will recruit symptomatic (New York Heart Association classes II–IV) HFpEF patients (*Figure 2*). Patients visiting the outpatient clinic will be screened for eligibility. A more homogeneous HFpEF trial population will be recruited as all patients are referred for extensive imaging (echocardiography and magnetic resonance imaging and, if applicable, bone scintigraphy) and, if applicable, invasive (coronary angiography and/or bicycle exercise right heart catheterization) studies before assessment of trial eligibility. The STADIA-HFpEF trial will exclude HFpEF patients with concomitant obstructive coronary disease, significant valvular disease, and infiltrative or genetic cardiomyopathies. As a consequence of our aim to enrol patients with ‘early HFpEF’, in whom NP values are generally low to normal, NP levels will not be part of the inclusion criteria. To ascertain that recruited patients indeed have HFpEF, additional selection criteria are incorporated in the eligibility strategy (*Table 3* and *Figure 2*). Patients need to have met at least one out of the following criteria: (i) H2FpEF score ≥ 6 ³⁸; (ii) HFA-PEFF score ≥ 5 ³⁹; and (iii) pulmonary capillary wedge

Figure 1 STADIA-HFpEF study schedule with timing of outcome assessment. 6MWD, 6-min walking distance; KCCQ, Kansas City Cardiomyopathy Questionnaire; Lab, laboratory testing; od, once daily; TTE, transthoracic echocardiogram.



pressure > 15 mmHg at rest or > 25 mmHg with exercise assessed with right heart catheterization.³⁰

To select HFpEF patients with predominant cardiomyocyte and endothelial dysfunction, without significant structural myocardial ECM remodelling, only patients with a normal ($< 29\%$)⁴⁰ MRI-derived ECV will be included. All inclusion and exclusion criteria are listed in *Table 3*.

Investigational medicinal product

Dapagliflozin is administrated orally via encapsulated tablets. Previously, it was demonstrated that the use of SGLT2 inhibitors is safe in patients with HF, regardless of presence of T2DM.^{16,41} The most important but rare side effect of SGLT2 inhibitors in patients with T2DM is diabetic ketoacidosis. The most common side effect is urinary tract infection. There will be close monitoring of adverse events during the follow-up visits. Dapagliflozin is contraindicated in severe renal impairment (estimated glomerular filtration rate < 30 mL/min/1.73 m²). Several studies demonstrated that in patients with estimated glomerular filtration rate between 30 and 60 mL/min/1.73 m², dapagliflozin was not only safe, but even

potentially advantageous.⁴¹ Compliance during the study will be checked by pill counting.

Outcome measurements

Echocardiographic measurements will be performed on an EPIQ 7C ultrasound system (Philips Health Systems Benelux, Andover, USA). All echocardiographic examinations will be performed by one designated experienced cardiac echocardiographer (blinded to treatment allocation), to prevent inter-observer variability. One other echocardiographer will be appointed to perform examinations if abovementioned is not present on study site. Measurements will be performed following a standardized protocol involving two-dimensional, M-mode, Doppler, tissue Doppler, and 2D speckle tracking echocardiography in accordance with current recommendations.^{42,43}

Quality of life will be measured by the Kansas City Cardiomyopathy Questionnaire. The questionnaire will be completed by the subject under supervision of a study team member. Exercise capacity will be assessed by 6-min walk distance. Biomarker measurements will be performed according to standard recommended analysis techniques,²¹ including ELISA, also using real-time PCR. Transcriptomic profile will be evaluated using single-cell RNA sequencing.

Table 1 Echocardiographic imaging techniques and parameters

| Echocardiography |
|--|
| 2D imaging |
| LV contrast imaging |
| Doppler imaging (colour, spectral, and tissue) |
| Myocardial deformation imaging—speckle tracking |
| Imaging parameters |
| Left ventricular volume, mass, and systolic and diastolic function |
| Right ventricular volume, systolic and diastolic function, and systolic pulmonary artery pressure |
| Left atrial volumes and function (including strain analysis and reservoir, conduit, and booster pump function) |

Sample size

We aim to recruit 26 subjects that complete treatment period and all study visits. The sample size calculation was based on previous studies of e' improvement after SGLT2 inhibitor administration. Verma *et al.* demonstrated that, in T2DM patients with established cardiovascular disease, empagliflozin 10 mg for 3 months induced a 14% increase in mean lateral e' (8.5 ± 1.6 to 9.7 ± 1.2 cm/s; $P = 0.002$).⁴⁴ Empagliflozin

Table 2 Biomarker assessment STADIA-HFpEF

| Pathophysiological domain | Biomarker |
|---------------------------------|--|
| Inflammation | hs-CRP, TNF- α , TNFR-1, IL-1, IL-6, IL-18 sICAM-3, TGF- β , MPO, MCP-1 |
| Oxidative stress | Ox-LDL, 8-iso-PGF2 α , 8-OHdG, H ₂ O ₂ , LPO, GSH |
| Endothelial function | E-selectin, endothelin-1, ICAM-1, VCAM-1, Von Willebrand Factor, NOx |
| Collagen/extracellular turnover | matrixMMP-1, MMP-2, MMP-3, MMP-7, MMP-8, MMP-9, TIMP-1, TIMP-4, CITP, PICP, PIIINP, FGF23, GDF-15, ST2, galectin-3, Tenascin-C |
| Adipokines | Adiponectin, Leptin |
| Renal | Cystatin-C, NGAL, urea |
| Cardiomyocyte stress | NTproBNP |
| Intracellular interactions | cGMP |

cGMP, cyclic guanosine monophosphate; CITP, C-terminal telopeptide of collagen type I; FGF-23, fibroblast growth factor-23; GDF-15, growth differentiation factor-15; GSH, reduced glutathione; hs-CRP, highly sensitive C-reactive protein; H₂O₂, hydrogen peroxide; ICAM-1, intercellular adhesion molecule-1; IL-1, interleukin-1; IL-6, interleukin-6; IL-18, interleukin-18; LPO, lipid peroxide; MCP-1, monocyte chemoattractant protein-1; MMP, matrix metalloproteinase; MPO, myeloperoxidase; NGAL, neutrophil gelatinase-associated lipocalin; NOx, nitrite/nitrate; NTproBNP, N-terminal pro B-type natriuretic peptide; Ox-LDL, oxidized low-density lipoprotein; PICP, procollagen type I carboxy-terminal propeptide; PIIINP, procollagen III amino-terminal peptide; sICAM-3, soluble intercellular adhesion molecule 3; ST2, suppression of tumorigenicity-2; TGF- β , transforming growth factor- β ; TIMP-1, tissue inhibitor of metalloproteinase-1; TIMP-4, tissue inhibitor of metalloproteinase-4; TNF- α , tumour necrosis factor receptor- α ; TNFR-1, tumour necrosis factor receptor-1; VCAM-1, vascular adhesion cell protein-1; 8-iso-PGF2 α , 8-iso-prostaglandin F2 α ; 8-OHdG, 8-hydroxy-2'-deoxyguanosine.

administration to skinned cardiomyocytes isolated from LV biopsies from HFpEF patients resulted in a 24% improvement in cardiomyocyte passive stiffness.²⁰ Hence, based on e' improvement with empagliflozin from 8.5 to 9.7 ± 1.6 SD cm/s to reach 90% power with alpha 0.05, n = 11 per group is needed, thus 22 in total. With a dropout of 15% taken into account, the total group should consist of 26 patients, with 13 patients per group.

Statistical analysis

The primary analysis set for efficacy will be analysed according to the intention-to-treat approach. Per-protocol analysis will be conducted as secondary analysis set. The aim is to reject the null hypothesis that dapagliflozin does not change the LV stiffness in patients with early stage HFpEF. The co-primary outcomes, the E/e'/LVEDV index quotient and e', will be quantified as mean differences between intervention conditions. A linear mixed effects regression model with E/e'/LVEDV index as dependent variable will be used to analyse the treatment effect on the primary outcome. The linear mixed effects model will include a random intercept to account for correlation of repeated measures within patients arising from the crossover design. The main effect of the treatment will be estimated by including a fixed effect for treatment allocation (dapagliflozin or placebo) in the model. Another fixed effect for the sequence of the period (first or second) will be included in the model to control for a period effect. An interaction term for treatment × period will be included to test for presence of a carryover effect. A similar model will be used to estimate effects for e' and for secondary endpoints. Results will be presented as mean difference with 95% confidence interval. P values will be two-sided

and considered statistically significant with $P < 0.05$. Statistical analysis will be performed using SPSS (IBM Corp. Released 2016. IBM SPSS Statistics for Windows, Version 22.0. Armonk, NY: IBM Corp).

Study management and committees

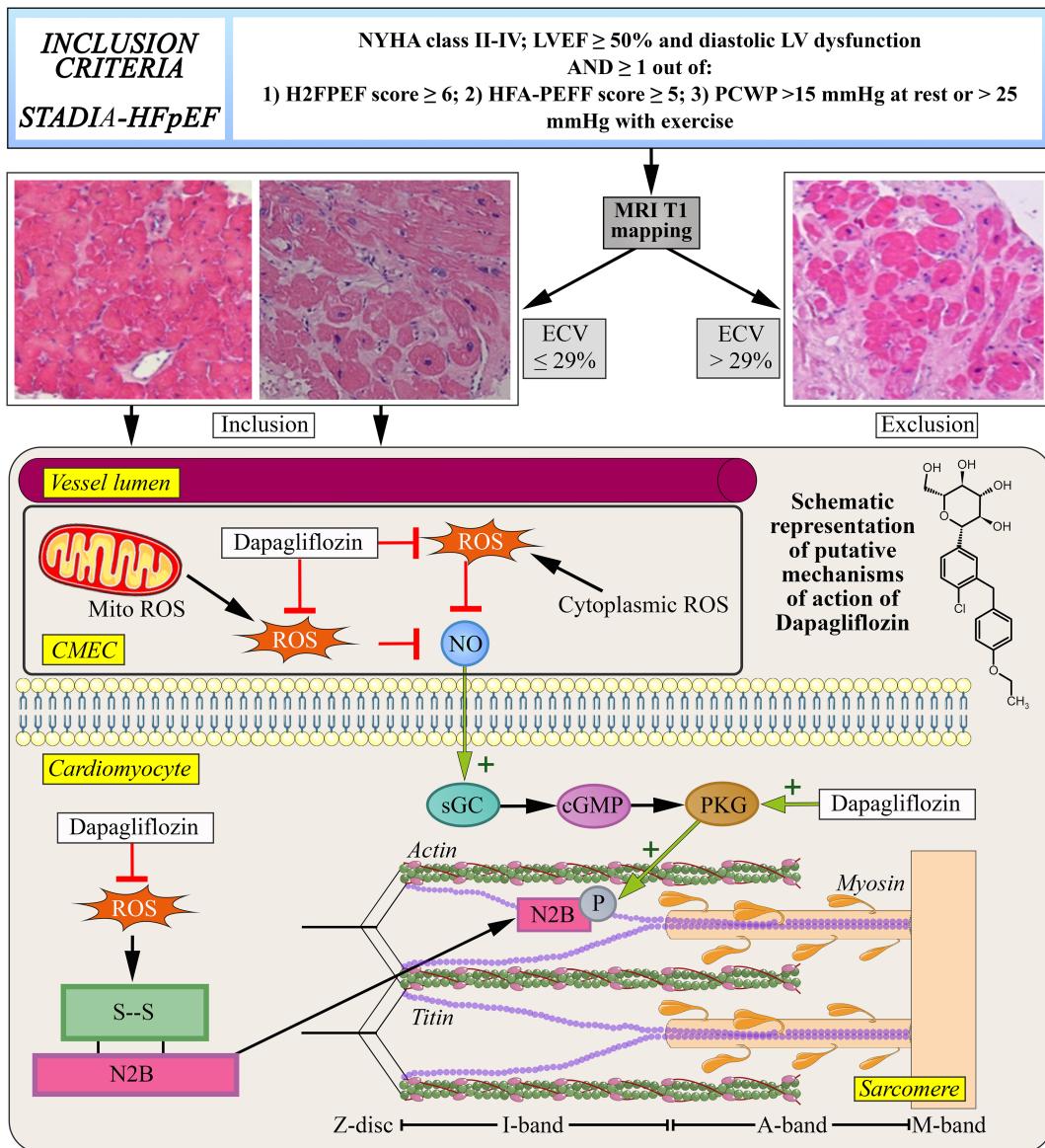
STADIA-HFpEF is an investigator-initiated study, conducted by the research team under the guidance of primary investigator LvH. The trial is funded by AstraZeneca BV, The Hague, the Netherlands. AstraZeneca will have no involvement in the conduct of this study; the analysis of data; or the drafting, editing, and publication of the paper. The study will be conducted in accordance with Good Clinical Practice and the Declaration of Helsinki. The protocol and informed consent form are approved by the Institutional Review Board of Amsterdam University Medical Centre and the local Institutional Review Board.

Discussion

Sodium glucose co-transporter 2 inhibitors

Several studies have recently demonstrated beneficial myocardial pleiotropic effects of SGLT2 inhibitors. Dapagliflozin improved echocardiographically determined LV filling pressures in T2DM patients with stable HF after 6 months of treatment.⁴⁵ In cardiomyocytes isolated from LV biopsies from HFpEF patients, empagliflozin directly improved cardiomyocyte stiffness through enhanced phosphorylation of myofilamentary proteins including titin.²⁰ In addition, in a co-culture model of human CMECs and rat adult

Figure 2 STADIA-HFpEF inclusion criteria. A cardiac MRI-derived extracellular volume (ECV) cut-off level of $\leq 29\%$ will select HFpEF patients in whom impaired diastolic left ventricular distensibility is predominantly caused by high cardiomyocyte stiffness. The putative mechanisms of action of dapagliflozin are (i) inhibition of endothelial reactive oxygen species (ROS); (ii) inhibition of ROS in the cardiomyocyte; and (iii) enhancing protein kinase G (PKG) activity. Inhibition of endothelial ROS increases nitric oxide (NO) bioavailability, which stimulates soluble guanylate cyclase (sGC) activity and subsequent cyclic guanosine monophosphate (cGMP) generation and PKG activity. PKG phosphorylates titin at its N2B segment, improving cardiomyocyte compliance. Inhibition of cardiomyocyte ROS directly results in down-regulation of disulfide bridges (S–S) on the N2B isoform of titin, improving its compliance. CMEC, cardiac microvascular endothelial cell.



cardiomyocytes, it was demonstrated that CMECs exert a direct positive effect on cardiomyocyte contractility and relaxation. This effect, which was mediated by CMEC-derived NO, was diminished after CMEC stimulation with tumour necrosis factor- α and restored by adding empagliflozin.⁴¹ Furthermore, empagliflozin restored NO bioavailability and reduced mitochondrial and cytoplasmic reactive oxygen species production after tumour necrosis factor- α stimulation in CMECs.⁴¹

In order to elucidate the exact cardiac molecular target of SGLT2 inhibitors, Kolijn *et al.* investigated the acute mechanisms of empagliflozin *in vitro* in human myocardium from patients with HFpEF and *in vivo* in murine zucker diabetic fatty (ZDF) obese rats.²¹ Empagliflozin-induced improvement of LV stiffness was attributed to enhanced phosphorylation of titin and troponin-I and to reduction in oxidative stress/eNOS-dependent PKG α oxidation, leading to increased

Table 3 Inclusion and exclusion criteria

| Inclusion criteria |
|---|
| 1. Age \geq 18 years at time of screening |
| 2. Symptomatic chronic heart failure patients with diagnosis of heart failure and |
| • NYHA classes II–IV |
| • Preserved systolic LV function, defined by LVEF \geq 50% and LV end-diastolic volume index $< 97 \text{ mL/m}^2$ |
| • Evidence of diastolic LV dysfunction and at least 1 out of the 5 following additional criteria: |
| • H2FPEF score ≥ 6 |
| • HFA-PEFF score ≥ 5 |
| • Pulmonary capillary wedge pressure $> 15 \text{ mmHg}$ at rest or $> 25 \text{ mmHg}$ with exercise assessed with right heart catheterization |
| 3. Cardiac MRI T1-derived extracellular volume $< 29\%$ at screening |
| 4. Oral diuretics, if prescribed to the patient according to local guidelines and at the discretion of the investigator, should be stable for at least 1 week prior to baseline visit |
| 5. Signed and dated written informed consent in accordance with GCP and local legislation prior to admission to the trial |
| Exclusion criteria |
| 1. Reduced systolic LV function (LVEF $< 50\%$), measured at any time point in the history of the patient |
| 2. Obstructive coronary artery disease with evidence of ischaemia |
| 3. Myocardial infarction, coronary artery bypass graft surgery, or other major cardiovascular surgery, stroke, or TIA in past 90 days prior to screening visit |
| 4. More than mild valve stenosis |
| 5. More than moderate aortic and/or mitral valve regurgitation |
| 6. Cardiomyopathy based on infiltrative diseases (e.g. amyloidosis), accumulation diseases (e.g. haemochromatosis, Fabry disease), muscular dystrophies, cardiomyopathy with reversible causes (stress cardiomyopathy), hypertrophic (obstructive) cardiomyopathy, or known pericardial constriction |
| 7. History of mitral valve repair or replacement |
| 8. Atrial fibrillation or atrial flutter with a resting heart rate $> 110 \text{ bpm}$ at screening |
| 9. Acute decompensation that requires intravenous loop diuretics |
| 10. Systolic blood pressure $\geq 180 \text{ mmHg}$. If SBP $> 150 \text{ mmHg}$ and $< 180 \text{ mmHg}$, the patient should be receiving at least three antihypertensive drugs at screening or baseline visit |
| 11. Symptomatic hypotension and/or a SBP $< 100 \text{ mmHg}$ at screening or baseline visit |
| 12. Impaired renal function, defined as eGFR $< 30 \text{ mL/min/1.73 m}^2$ |
| 13. Indication of liver disease, defined by serum levels of either ALT (SGPT), AST (SGOT), or alkaline phosphatase above 3 \times upper limit of normal or history of cirrhosis with evidence of portal hypertension |
| 14. Haemoglobin $< 9 \text{ g/dL}$ at screening |
| 15. Chronic obstructive pulmonary disease, more than GOLD class 2 |
| 16. Pulmonary function test with FEV1/FVC $< 80\%$ |
| 17. Primary pulmonary arterial hypertension |
| 18. Type 1 diabetes mellitus |
| 19. History of ketoacidosis |
| 20. Any documented active or suspected malignancy or history of malignancy within 2 years prior to screening, except appropriately treated basal cell carcinoma of the skin or in situ carcinoma of uterine cervix or low-risk prostate cancer (biopsy Gleason score of ≤ 6 and clinical stage T1c or T2a) |
| 21. Current use or prior use of a SGLT-2 inhibitor or combined SGLT-1 and 2 inhibitor within 3 months prior to screening visit. Discontinuation of a SGLT-2 inhibitor or combined SGLT-1 and 2 inhibitor for the purposes of study enrolment is not permitted |
| 22. Pregnancy or lactation |
| 23. Any (clinical) condition that, in the investigator's opinion, would jeopardize patients safety while participating in this trial, or may prevent the patient from adhering to the trial protocol |

levels of NO, soluble guanylate cyclase activity, and cGMP and PKG α concentration. These results confirm the direct effect of SGLT2 inhibitors on the NO-cGMP-PKG pathway in HFpEF patients.

Targeting early heart failure with preserved ejection fraction

Pathophysiological stratification based on myocardial disease processes in diastolic LV stiffness, including the role of metabolic comorbidities, is now increasingly gaining attention. Recently, the importance of stratification of HFpEF patients with matching of therapeutic targets to prevailing pathophysiological mechanisms was recognized, leading to small-scale clinical

initiatives to investigate this approach.⁴⁶ The PIROUETTE trial will investigate the efficacy of pirfenidone to improve myocardial ECV in selected HFpEF patients with cardiac MRI T1 mapping ECV values $\geq 27\%$ cut-off levels at baseline.⁴⁶

Several other studies have recently been initiated to evaluate the effects of SGLT2 inhibitors on HFpEF,^{47,48} although these studies do not differentiate between different phenotypes of HFpEF. Moreover, in each of these studies, patient selection is based on elevated NT-proBNP, risking inclusion of HFpEF patients with mostly fibrosis-based diastolic LV stiffness. The STADIA-HFpEF trial will be the first study to evaluate the direct effects of dapagliflozin on amelioration of LV stiffness, using histological phenotyping and pathophysiological stratification targeting early HFpEF.

Conflict of interest

None declared.

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