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# **Review Article**

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# Targeting the Human Epidermal Growth Factor Receptor Family in Breast Cancer beyond HER2

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#### **Keywords**

Breast cancer · HER2 · HER2 low expression · HER2 resistance · HER3 · HER4

## Abstract

Currently, the dichotomous definition of human epidermal growth factor receptor 2 (HER2)-positive versus HER2-negative disease undergoing a change through inclusion of the identification of the "HER2-low" category, for which new therapeutic compounds in the form of potent antibody drug conjugates (ADC) may be effective. In addition, resistance to HER2-directed targets has become a clinical challenge and, therefore, strategies to bypass the HER2 receptor are of high interest. These are new HER2 ADCs and tyrosine kinase inhibitors, such as tucatinib or neratinib. The underlying mechanisms of resistance to anti-HER2 therapies and compensatory pathways are complex and a wide range of mechanisms of resistance may coexist in the same cell. Therefore, the combined treatment with agents that interact with HER2associated downstream signaling pathways like the phosphoinositide-3-kinase (PI3K) and the serine/threonine kinases AKT and mTOR might overcome HER2 resistance. In addition, targeting other members of the HER family is a promising approach to improve outcomes in breast cancer patients. This review gives an overview of treatment strategies in targeting HER2 and other members of the HER family, not only in HER2-positive breast cancer, but also in HER2-low expressing tumors, and of approaches to overcome HER2 resistance. © 2020 S. Karger AG, Basel

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## Introduction

The human epidermal growth factor receptor 2 (HER2) gene encodes a 185-kDa heavy transmembrane glycoprotein which is composed of an extracellular ligand-binding domain, a transmembrane domain, and an intracellular receptor domain. There is no known ligand for the HER2 receptor but the other receptors of the HER2 family can be activated by different ligands. Ligand binding induces receptor dimerization. HER2 stabilizes homo- and heterodimerization with other receptors of the HER family (like the epidermal growth factor receptor [EGFR/HER1], HER3, or HER4). The heterodimerization between HER2 and HER3 leads to the most intense signaling. Downstream signaling via the phosphoinositide-3-kinase (PI3K)/AKT/mTOR pathway is then activated, which finally leads to proliferation, tumor growth, cell mobility, invasion, and angiogenesis. There are different crosstalks between the growth factor receptors and other pathways (Fig. 1). The development of the monoclonal antibody trastuzumab against HER2 was a milestone in the treatment of breast cancer. Further developments included agents targeting the intracellular tyrosine kinase of HER2 (lapatinib) and antibody-drug conjugates (T-DM1) which also use HER2 as a target. Despite the improvement of prognosis of HER2-positive breast cancer patients with anti-HER2 therapies, resistance to therapy is a relevant problem leading to disease progression. As a consequence of HER2 resistance, agents have been developed that not only target HER2 but also other receptors



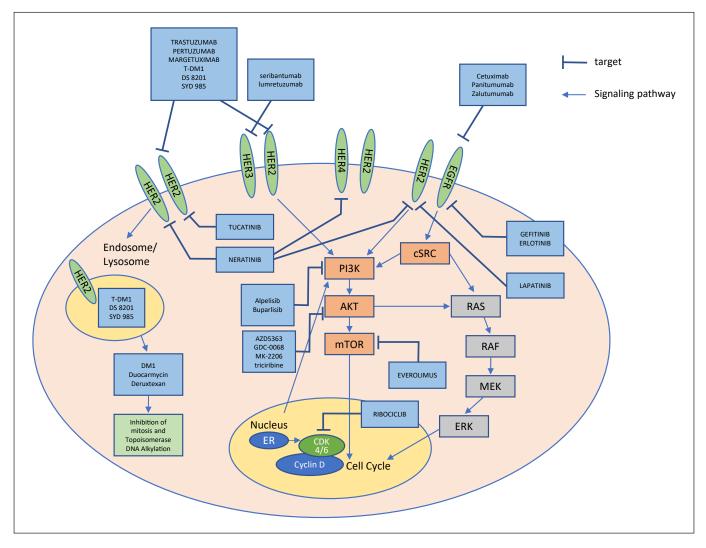


Fig. 1. HER family and its cross-talk with other signaling pathways and agents targeting the HER family.

of the HER family or several tyrosine kinases. Some of the most promising agents will be introduced in this review (Table 1).

## **Targeting HER2 in HER2-Low Breast Carcinomas**

## Antibody-Drug Conjugates

ASCO/CAP guidelines aim to divide tumors into HER2-positive or HER2-negative categories by immunohistochemistry (IHC) or in situ hybridization (ISH) analysis. Hence, physicians have based their decision for anti-HER2 agents on a positive test result defined by HER2 overexpression and/or amplification.

In recent years, new antibody-drug conjugates (ADC) have been developed which are internalized into the cancer cell by certain targets and deliver chemotherapy inside the cells, thus reducing systemic side effects of the

chemotherapeutic agent. The first approved ADC targeting HER2 was composed of trastuzumab and the cytotoxic agent emtansine (T-DM1). T-DM1 has shown superior efficacy and a favorable risk-benefit profile and was approved in HER2-positive breast cancer for the second-line metastatic setting or for use in patients with residual disease following neoadjuvant trastuzumab-containing chemotherapy [1, 2].

Other ADCs have been introduced, such as trastuzumab duocarmazine (SYD-985) and trastuzumab deruxtecan (DS-8201), which have demonstrated encouraging response rates not only in HER2-positive but also in the so-called HER2-low breast cancer patients.

Trastuzumab duocarmazine (SYD-985) is composed of trastuzumab and a drug containing duocarmycin. The linker drug contains a cleavable linker and the prodrug seco-DUBA. Following HER2 binding and internalization, the linker is cleaved in the lysosome by proteases

Classification drug	Target	Approval/phase
Antibody-drug conjugates (ADCs)		
TDM-1	HER2	Approved
Trastuzumab duocarmazine (SYD-985)	HER2	Phase III
Trastuzumab deruxtecan (DS-8201)	HER2	Approved (FDA)
TKIs		
Lapatinib	HER2/EGFR (HER1)	Approved
Neratinib	EGFR/HER2/HER4	Approved
Tucatinib	HER2	Approved (FDA)
Gefitinib	EGFR	Approved
Erlotinib	EGFR	Approved
mAbs		11
Cetuximab	EGFR	Phase II
Panitumumab	EGFR	Phase II
Zalutumumab	EGFR	Phase II
Seribantumab	HER3	Phase I
Lumretuzumab	HER3	Phase I
PI3K inhibitors		
Alpelisib	PIK3	Approved (FDA)
Buparlisib	PIK3	Phase II
AKT inhibitors		
AZD5363	AKT	Phase I/II
GDC-0068	AKT	Phase II
MK-2206	AKT	Phase II
Triciribine	AKT	Phase I
mTOR inhibitors		
Everolimus	mTOR	Approved

**Table 1.** Overview of investigational drugs or agents in clinical development targeting receptors of the HER2 family or downstream signaling pathways

that release the active toxin (DUBA), which alkylates DNA, thus resulting in DNA damage in both dividing and non-dividing cells and ultimately cell death. In a phase I trial that included patients with a variable HER2 status (including patients with HER2 IHC of at least 1+), treatment with trastuzumab duocarmazine led to a partial response in 28 and 40% of HER2-low estrogen receptor (ER)-positive and ER-negative breast cancer patients, respectively [3].

Trastuzumab deruxtecan (DS-8201) is an ADC composed of an anti-HER2 antibody, a cleavable tetrapeptidebased linker, and a cytotoxic topoisomerase I inhibitor. In a heavily pretreated metastatic breast cancer patient population (median number of prior lines equal to 6, ranging between 2 and 27), a study has shown an impressive objective response rate of 60.9% (95% CI 53.4-68.0), a clinical benefit rate at 6 months of 76.1% (95% CI 69.3-82.1), and a progression-free survival of 14.8 months (95% CI 13.8-16.9). The most common side effect was nausea of grade 1-2 in the majority of the cases. Notably, 13.6% of the patients developed interstitial lung disease, which was grade 5 in 4 (2.2%) of the patients [4]. Phase III trials with the use of this agent are already ongoing and may radically change the treatment algorithm for HER2positive advanced breast cancer. Interestingly, the phase 1 study of DS-8201 also reported data on pretreated HER2-low metastatic breast cancer patients, demonstrating an overall response rate by independent central review of 37% with a median duration of response of 10.4 months in 54 extensively pretreated patients [5]. At present, a phase 3 study evaluating the safety and efficacy of DS-8201 in patients with HER2-low, unresectable, and/ or metastatic breast cancer previously treated with standard chemotherapy is ongoing (DESTINY-Breast04; ClinicalTrials.gov identifier: NCT03734029). The HER2low population in this trial is defined, including tumors with IHC 1+ and IHC 2+/ISH-negative HER2 expression.

# Tyrosine Kinase Inhibitors

Another way of blocking the HER receptor family is on the intracellular domain performed by targeting tyrosine kinase inhibitors (TKIs). These HER-directed TKIs have a lower molecular weight compared with monoclonal antibodies, which theoretically allows them to penetrate more easily through the blood-brain barrier and make them superior for treatment of HER2 brain metastases. Lapatinib was the first approved pan-HER2 and EGFR/ HER1 receptor affecting TKI but remains an agent for further line treatment in HER2-positive breast cancer due to its lower efficacy and also higher toxicity. There are, however, several novel TKIs in clinical development. Neratinib is an irreversible pan-HER kinase inhibitor (EGFR/ HER1, HER2, and HER4) with activity as extended adjuvant therapy following standard trastuzumab-based adjuvant treatment in the phase III trial ExteNet [6]. In patients with HER2-positive breast cancer brain metastases, the combination of neratinib and capecitabine demonstrated a reduction of CNS lesions in 49% of patients in the phase II trial TBCRC 022 [7]. Neratinib might also be effective in a group of HER2-negative patients with HER2 mutations (see below). Another oral, pan-HER kinase inhibitor (EGFR/HER1, HER2, and HER4) is pyrotinib, which showed superiority in a phase II study as combination with capecitabine in HER2-positive metastatic or advanced breast cancer patients after anthracycline or taxane chemotherapy treatment in comparison with lapatinib and capecitabine (progression-free survival 18.1 vs. 7.0 months; HR 0.36; 95% CI 0.23–0.58; p = 0.001) [8]. The first results of the phase III trial PHOEBE seem to confirm the results in previously treated patients with HER2-positive metastatic breast cancer who had also received trastuzumab [9]. Nonetheless, targeting the EGFR/HER1 receptor is still elusive and treatment with lapatinib, neratinib, and pyrotinib should be handled with care due to serious toxicities, such as diarrhea, nausea, and rashes. Tucatinib is an investigational, oral, highly selective inhibitor of the HER2 tyrosine kinase and, thus, has fewer side effects than pan-HER kinase inhibitors. There are also data on the activation of receptor tyrosine kinases in HER2-negative breast cancer. The clinical translational challenge would be identifying cancers that are reliant on a specific kinase for growth and survival. The heterogeneity of breast cancer, however, and the potential for adaptive switching between tyrosine kinases after inhibition of a single kinase, present challenges to targeting individual tyrosine kinases in the clinic [10].

# **Overcoming HER2 Resistance**

Several mechanisms leading to resistance in anti-HER2 therapy have been described, such as stimulating different pathways or reactivating the common HER2 pathway [11]. Comprising mechanisms are, for example, activation of alternative receptor tyrosine kinases and membrane receptors, such as insulin-like growth factor-1 receptor (IGF-1R), and upregulation of different HER receptors, such as HER3, and the PI3K/AKT/mTOR pathway by activating mutations and moderation of tumor suppressor genes [12]. Moreover, it has been found that different HER2 mRNA and protein levels lead to differences in anti-HER2 response rates [13]. In the CLEOPATRA trial, a phase III trial that led to the approval of pertuzumab in the first-line treatment of HER2-positive metastatic breast cancer, high HER2/HER3 mRNA levels and high HER2 protein levels were associated with a better outcome, whereas a PI3K catalytic subunit alpha (PIK3CA) mutation was a strong negative prognostic factor [14]. Moreover, in the phase III EMILIA trial, PIK3CA mutations showed shorter progression-free survival and overall survival when treated with capecitabine plus lapatinib but not T-DM1, and a high HER2 mRNA expression led to better outcomes in overall survival for T-DM1-treated HER2positive patients [15]. It has been found that the hyperactivation of the PI3K/AKT/mTOR pathway in HER2-positive breast cancer is adjunctive with resistance to anti-HER2 therapy due to gain of function mutations in the tyrosine kinases of EGFR and HER2 or alternate compensatory mechanisms [16]. This led to the attempt at combining PI3K/AKT/mTOR inhibitors with anti-HER2-targeted agents in order to overcome HER2 resistance. However, two phase III trials that examined everolimus, an mTOR inhibitor, with trastuzumab and either paclitaxel (BOLERO-1) or vinorelbine (BOLERO-3) showed only a modest improvement in progression-free survival but higher toxicities [17, 18]. The NeoPHOEBE phase II trial randomized HER2-positive early breast cancer patients to either the PIK3 inhibitor buparlisib or placebo in association with paclitaxel and trastuzumab. Only a small proportion of patients presented a PIK3CA mutation (16% for each group). The authors reported lower pCR rates of 32% for the buparlisib arm versus 40% for the placebo arm [19]. Buparlisib administration was associated with a higher incidence of serious adverse events (36%) as compared with the placebo group (8%). A phase III study evaluating the PIK3 inhibitor alpelisib in combination with trastuzumab and pertuzumab as maintenance treatment for patients with HER2-positive advanced breast cancer whose tumor harbors a PIK3CA mutation following induction therapy with a taxane in combination with trastuzumab and pertuzumab is about to start (ClinicalTrials. gov Identifier: NCT04208178).

Currently, different direct AKT inhibitors such as AZD5363, GDC-0068 (catalytic), and the allosteric MK-2206 have been tested in different settings [16]. Those agents showed growth reduction and high activity in PIK-3CA mutated cell models [20, 21]. In a trastuzumab-resistance model caused by PTEN deficiency, Lu et al. [22] discovered that not only the anti-HER2 sensitivity could be recovered by the AKT inhibitor triciribine and the mTOR inhibitor everolimus, but also growth of HER2-resistant cells could be reduced. Nonetheless, serious side effects such as neutropenia, gastrointestinal side effects, and mood disorders have been seen in AKT inhibitors, which could lead to the discontinuation of treatment due to safety reasons [16].

Early clinical data also supported the use of cyclin-dependent kinase 4/6 (CDK4/6) inhibitors in HER2-driven breast cancers, especially in the subset of patients with ER-positive, HER2-positive disease. However, adding the CDK 4/6 inhibitor ribociclib to trastuzumab in HER2positive metastatic breast cancer did not result in promising responses [23]. However, in the monarcHER trial, adding abemaciclib to the combination of fulvestrant and trastuzumab in patients with advanced hormone receptor-positive and HER2-positive breast cancer resulted in a 2.6-month longer progression-free survival compared with standard-of-care chemotherapy plus trastuzumab (8.3 vs. 5.7 months, p = 0.05) [24]. To date, despite our knowledge of the HER2 pathway and its cross-talks and mechanisms of resistance, no drug has been able to overcome HER2 resistance. This might change in the near future as many promising anti-HER2 therapies are being developed in this setting. Potential biomarkers of response or resistance might be helpful to better select patients for these strategies.

## **HER 2 Mutations**

Somatic mutations in HER2 occur in approximately 3% of breast cancers, predominantly in the hormone receptor-positive HER2-negative subtype (lobular 7.8% vs. ductal 1.6%) [25]. The therapeutic relevance of HER2directed therapy in HER2-mutant breast cancers is an area of ongoing investigation. In a multicenter phase II "basket" trial of single-agent neratinib in HER2-mutant advanced solid tumors (SUMMIT; NCT01953926) the greatest antitumor activity was observed in patients with breast cancer. Although some patients with HER2-mutant breast cancer showed good responses to neratinib, these responses were generally short and the median progression-free survival on neratinib was only 3.5 months [26]. Preexistent concurrent activating HER2 or HER3 alterations were associated with a poor treatment outcome. Similarly, acquisitions of multiple HER2-activating events as well as gatekeeper alterations were observed at disease progression in a high proportion of patients deriving clinical benefit from neratinib [27]. HER2 signaling activation has also been identified as a mechanism of endocrine therapy resistance. Indeed, in the ExteNet trial the greatest benefit of adding neratinib to the treatment of HER2-positive breast cancer patients was observed in the ER-positive subgroup [6].

## **Targeting EGFR/HER1**

The EGFR/HER1 receptor works as an oncogenic driver in many different cancers, including breast cancer. In up to 14% of breast cancer patients EGFR/HER1 is overexpressed due to gene amplifications or missense

mutations [28, 29]. Recently, it has been found that EGFR/ HER1 and HER3 affect the therapeutic efficacy of anti-HER2-targeted therapy, that is trastuzumab, and worsen the prognostic outcome of HER2-positive breast cancer patients. The first pan-HER1/HER2 receptor affecting TKIs was lapatinib. Other small-molecule TKIs such as gefitinib, erlotinib, and neratinib have been investigated in clinical studies. Gefitinib and erlotinib bind reversibly to EGFR/HER1 and have shown modest efficacy in breast cancer patients [30]. The TKI neratinib binds irreversibly to EGFR/HER1 and also HER4 [28] and was approved by the FDA for adjuvant treatment of HER2-positive breast cancer after using trastuzumab (see above). Also, different monoclonal antibodies (mAbs) against EGFR/HER1, such as cetuximab, panitumumab, and zalutumumab, have been investigated in clinical studies in breast cancer, but no antibody has been approved for treatment up to now [28]. To date, EGFR/HER1 seems to be being further investigated as a therapeutic target, mainly in triple-negative breast cancer [31].

## **Targeting HER3**

HER3 lacks or has little intrinsic tyrosine kinase activity. It frequently co-expresses and forms heterodimers with other receptor tyrosine kinases in cancer cells to activate oncogenic signaling, especially the PI3K/AKT pathway and Src kinase (acronym of cellular and sarcoma). Inhibition of HER3 is assumed to overcome resistance in HER2-positive breast cancer patients. HER3 constitutes a unique biomarker and molecular target for the treatment of human cancer. A human anti-HER3 monoclonal IgG2 antibody (MM-121, Seribantumab, Merrimack Pharmaceuticals, Cambridge, MA, USA) blocked ligand-induced HER2/HER3 dimerization and subsequently inhibited downstream signaling and has shown activity in breast cancer cell lines [32]. Since HER3 has to form heterodimer or heterotrimer complexes with other receptor tyrosine kinases in order to fully transduce signaling, anti-HER3 monotherapy is unlikely to show significant efficacy against human cancer. Recent studies offer new hope to develop epigenetic approaches, such as using a histone deacetylase inhibitor [33], specific miRNAs [34], or by targeting HER3 and its key downstream mediators. The HER3 ligand heregulin (HRG) can stimulate chemotaxis and invasion via HER2/HER3 heterodimers and it has been reported that HRG-induced activation of HER3 signaling is important in breast cancer brain metastasis [35]. Interestingly, recent studies suggest that higher HRG mRNA expression and low HER2 levels predict a clinical benefit from the addition of seribantumab (MM-121) to standard of care therapies in patients with hormone receptor-positive HER2-low breast cancer.

The therapeutic window of lumretuzumab, another humanized anti-HER3 IgG1 monoclonal antibody (Roche Inc., Penzberg, Germany) in combination with the anti-HER2 antibody pertuzumab and paclitaxel for HER3-positive metastatic breast cancer was too narrow to warrant further clinical development [36]. Other anti-HER3 antibodies and also an HER1–3-neutralizing antibody mixture are under development and might be tested in clinical trials in the near future [37, 38]. Notably, an adenovirus encoding the full length human HER3 receptor was developed to be utilized as a cancer "vaccine." HER3 vaccine-induced antibodies showed antiproliferative effects in HER2-resistant and also in triple-negative breast cancer cell lines in vivo [39].

## **Targeting HER4**

The role of HER4 is less well understood in breast cancer. Unlike HER2, which cannot directly bind a ligand, and HER3, which does not have a functional kinase domain, HER4 is a fully functional receptor tyrosine kinase capable of signaling, both as a homo- as well as a heterodimer [40]. An advantageous impact of HER4 expression in breast cancer has been mechanistically attributed to ligand-dependent (i.e., HRG) receptor activation and the subsequent triggering of differentiation pathways that in turn antagonize oncogenic cellular features generated by other coexpressed HER receptor family members, above all HER2. It is assumed that via HER4-mediated signaling an interaction with the ER pathway occurs, leading to an improved outcome of ER-positive and tamoxifen-treated postmenopausal breast cancer patients in the absence of HER4 expression [41]. HER4 may also play a key role in the survival of HER2-positive cancer cells after they de-

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velop resistance to the anti-HER2 inhibitors lapatinib and trastuzumab [42]. No direct antibody against HER4 is under development and HER4 is only a target when pan-HER-targeted treatments, like TKIs, are investigated.

#### Conclusion

Several treatment strategies are being developed to target HER2 and other members of the HER family, not only in HER2-positive breast cancer but also in HER2-low expressing tumors, and different approaches are also being investigated to overcome HER2 resistance.

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## **Conflict of Interest Statement**

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## **Author Contributions**

K.R. and I.W. drafted the manuscript.

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