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## Contribution of the bone marrow stromal cells in mediating drug resistance in hematopoietic tumors

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### Abstract

The bone marrow microenvironment (BMM) provides input via production of cytokines, chemokines, extracellular matrixes in the context of lower oxygen levels that influences self-renewal, survival, differentiation, progression and therapeutic resistance of multiple myeloma and leukemic cells. Within the context of the BMM, tumor cells are supported by osteoblasts, bone marrow stromal cells (BMSCs), fibroblasts, myeloid cells, endothelial cells and blood vessels as well as extracellular matrix (ECM) that contribute to tumor progression. Environmental mediated-drug resistance (EM-DR) contains cell adhesion mediated drug resistance (CAM-DR) and soluble factor mediated drug resistance (SM-DR) that contributes to de-novo drug resistance. In this review, we focus on the crosstalk between the BMM and tumor cells as well as mechanisms underlying the BMM contributing to drug resistance in hematologic malignancies.

### Keywords

bone marrow microenvironment (BMM); cell adhesion mediated drug resistance (CAM-DR); soluble mediated drug resistance (SM-DR)

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#### Authorship statement

Wei-Chih Chen substantial contribution to conception and design; drafting the work and revising it critically for important intellectual content; final approval of the version to be published; agreement to be accountable for all aspects of the work. Gangqing Hu, substantial contributions to assist in writing and reviewing the manuscript; final approval of the version to be published; agreement to be accountable for all aspects of the work. Lori Hazlehurst, substantial contributions to the conception and design of the work; revising it critically for important intellectual content; final approval of the version to be published; agreement to be accountable for all aspects of the work.

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#### Conflict of interest

The author declare no conflict of interest.

## Introduction

Tumor cells although driven by the expression of oncogenes and deletions of tumor suppressors genes efficiently utilize cues from the tumor microenvironment that are favorable for survival and disease progression. The bone marrow microenvironment (BMM) is known as a tumor sanctuary and has been recognized to play a critical role in tumor development, progression, metastasis and therapeutic resistance. The bone marrow (BM) is the major location for hematopoiesis and as such contains gradients of chemokines, cytokines and oxygen content that supports the pluripotent hematopoietic stem cells (HSCs) as well as provides the necessary cues for mobilization and differentiation of HSCs toward the myeloid or lymphoid progenitor cell [1]. In the adult BM, HSCs are located near the peri-sinusoidal and peri-arteriolar regions of the BM (Figure 1). *In vivo* studies indicate that the O<sub>2</sub> content of the BM is 1–4% and is significantly lower than what is found in the peripheral blood which ranges from 10–13% O<sub>2</sub> content [2]. The hypoxic environment contributes to preserving normal HSC quiescence and self-renewal capacity in part due to HIF dependent alterations in gene expression [3]. The BM is an environment that a cancer stem cell could potentially hijack as quiescence is known to protect from cytotoxic agents as well as targeted therapy. N-cadherin expression of HSCs is also considered to sustain HSCs in a quiescent state [4, 5]. Experimental evidence indicates that depletion of N-cadherin on HSCs decreased the ability of HSCs to bind to the endosteal surface [6, 7]. The quiescent state is considered to protect HSCs from stress [8]. The vascular niche serves as a microenvironment that regulates hematopoiesis, stem cell mobilization and homing, proliferation and differentiation of actively cycling, and short-term HSCs [9]. The vascular niche produces factors such as SDF-1 which is critical for mobilization, homing and engraftment in HSCs [10]. Experimental evidence derived from both *in vitro* and *in vivo* models demonstrate that hematopoietic tumors will hijack the micro-domains contained within the bone marrow niche for survival and immune invasion. Clinical evidence indicates that minimal residual disease is found in the bone marrow microenvironment following standard of care treatment of leukemia's as well multiple myeloma. Together, these data suggest that the BM may contribute to failure to eliminate minimal residual disease in patients and limits the success of current chemotherapeutic strategies. Survival pathways emanating from the niche include activation of signaling cascades that in turn activate classical survival signals including but not limited to the PI3K/AKT, MAPK, and JAK/STAT pathways. In addition, tumor cells can hijack the niche to promote quiescence a cell state which is also known to confer resistance to standard cytotoxic drugs. This review will discuss the impact of bone marrow stroma cells on survival and drug resistance of hematopoietic tumors as well as potential opportunities for targeting these interactions to improve patient outcomes.

## Interactions between hematologic malignancies and bone marrow microenvironment (BMM)

CXCR4/SDF-1 axis is critical for the cross-talk between tumor cells and BMM [11]. Stromal cell-derived factor-1 (SDF-1, also known as CXCL12) is a homeostatic chemokine that signals through its receptor, CXCR4, for hematopoiesis, development and organization

of the immune system. BM stromal cells (BMSCs) are a major source for SDF-1 secretion. Upon stimulation by its agonist SDF-1, CXCR4 is phosphorylated on serine/threonine residues leading to the activation of the JAK/STAT pathway, Wnt/ $\beta$ -catenin, JNK/PI3K pathway, NF- $\kappa$ B signaling, ERK1/2 and Ras/Raf pathways [12]. Of note many of the signaling pathways that can be transiently regulated by the BMM mimic oncogene dependency such as activation of PI3K, JAK/STAT and ERK1/2 pathways. BM niche maintains various function of HSCs supporting stem cell adhesion, self-renewal, apoptosis, mobilization and homing [13\*–15]. A process whereby HSCs leaves the BM and migrates between blood circulation and the BM niche is commonly referred to as mobilization and homing [15]. Integrins, selectins and chemokines are known to affect the homing of HSCs. CXCR4/SDF-1 related with stem cell motility, tissue repair and regeneration [15, 16\*]. Considering the BM niche is critical for supporting the survival and self-renewal of HSCs it is perhaps not surprising that minimal residual disease following standard of care treatment of leukemias and multiple myeloma (MM) is found in the BM niche [17\*, 18]. These clinical observations support the translational need to understand the mechanism(s) that spares tumor cells from chemotherapy while residing in the BM niche. Hematopoietic tumor cells hijack mechanisms used by stem cells to home and survive in the BM niche [11]. Homing is a multistep process, including signaling by the SDF-1, activation of lymphocyte function-associated antigen 1 (LFA-1),  $\alpha$ 4 $\beta$ 1 integrin, and cytoskeleton rearrangement [19\*, 20]. Once tumor cells reside in the niche exposure to BMSCs is sufficient to cause resistance to mechanistically and structurally diverse chemotherapeutic agents. In addition, the BM niche is known to confer resistance to FAS and trail ligand mediated cell death and thus may also contribute to immune evasion [21\*]. The resistant phenotype is typically reversible as removal from exposure to components of the BM niche leads to a rapid reversal of drug resistance [22].

### Soluble factors-mediated drug resistance (SM-DR)

A variety of soluble factors such as growth factors (vascular endothelial growth factor (VEGF), FGF, G-CSF, GM-CSF and TGFs), cytokines (IL-3, IL-6, IL-11, TNF $\alpha$ , IFNs) and chemokine factors (SCF and SDF-1) contribute to survival, growth and drug resistance in hematologic malignancies [23, 24]. For example, cross talk between tumor cells and BMSCs are the major source of IL-6 (Figure 2). IL-6 up-regulates the antiapoptotic protein Mcl-1 and Bcl-XL through inducing JAK/STAT3, PI3K/AKT and MEK/MAPK signaling pathways that are known to augment survival and drug resistance in MM [25–27]. Autocrine IL-6 derived from MM patients exhibited resistance to dexamethasone-induced apoptosis, whereas MM cells that do not produce IL-6 cells were sensitive to dexamethasone [28]. Mesenchymal stem cells (MSCs) from MM patient produced abnormally high amounts of IL-6 as compared to MSCs derived from healthy donor [24]. A monoclonal antibody directed against IL-6 (Siltuximab) has been designed to neutralize IL-6 has entered into clinical trials [29, 30]. VEGF can be secreted by both MM cells and BMSCs and is associated with angiogenesis, but can also directly support proliferation, migration and drug resistance in MM cells [31, 32]. Moreover, VEGF enhances BMSCs secreted IL-6, indicating VEGF is not only an autocrine growth factor but can also trigger of IL-6-mediated paracrine MM mediated growth [25]. VEGF also increased microvessel density (MVD) in

the BM that correlated with disease progression and poor prognosis. A small peptide CXCR4 antagonist, LY2510924, can inhibit BMSCs-mediated resistance to chemotherapy-induced apoptosis in primary acute myelogenous leukemia (AML) [33\*, 34]. Cytokine signaling from BMM provides a protective sanctuary niche for chronic myelogenous leukemia (CML) cell survival in the presence of BCR-ABL kinase inhibitor [35]. Experimental evidence supports that activation of STAT3 is a causative mediator of BCR-ABL-independent resistance in CML [34]. Inhibition of pSTAT3 using siRNA strategies or treatment with a Jak inhibitor sensitize CML to tyrosine kinase inhibitor (TKI) in the context of conditioned media derived from BMSCs. Cell lines used in this study included the BCR-ABL driven K562 and KU-812 CML cell lines and was further confirmed using primary CML patient derived samples [37–39\*\*]. Soluble factors released by bone marrow-derived mesenchymal stromal cells (HS-5) lead to activate STAT3 in CML (K562) that was sufficient to cause resistance to imatinib mesylate, nilotinib and dasatinib-mediated cell death [38]. Specifically, treatment with ruxolitinib, a JAK2 inhibitor which targets the JAK-STAT pathway, enhances TKI-induced apoptosis in CML cell lines (K562 and KU-812) and primary patient derived cell lines [40]. A phase I clinical trial (NCT01702064) of ruxolitinib in combination with nilotinib in CML patients showed to be safe, and well-tolerated and encouraging responses were reported [37].

### Cell adhesion-mediated drug resistance (CAM-DR)

The interactions between tumor cells and the BMM can occur via direct contact with ECM components, including microfibrillar collagen type-VI, fibronectin (FN), collagen, vitronectin, osteopontin, laminin, or stromal cells. These interactions regulate cell proliferation, differentiation, survival and migration in normal hematopoietic, epithelial cells and various tumor cells [41]. It has been established by multiple studies that cancer cell adhesion to various matrixes is sufficient to confer de novo drug resistance a phenomenon which has been referred to as CAM-DR [42–48]. Cell adhesion is mediated by adhesion molecules which include but not limited to integrins, immuno-globulin superfamily and selectins. The vascular cell adhesion molecule-1 (VCAM-1) and Fibronectin (FN) are two known ligands for  $\alpha 4\beta 1$  integrin commonly referred to as very late antigen-4, (VLA-4) [49–51]. Inside-out activation of VLA-4 is required for this integrin to be competent to bind matrix [52]. Moreover,  $\alpha 4\beta 1$  is highly expressed on MM patients suggesting that VLA-4 is an important adhesive molecule for disease progression [53]. Bortezomib decreases  $\alpha 4\beta 1$  expression and results in inhibition of CAM-DR in MM a finding that may contribute to the clinical success of bortezomib [49]. The selection of doxorubicin-resistant (8226/DOX6) and melphalan-resistant (8226/LR5) MM cells correlated with overexpression of VLA-4. Conversely, 8226/DOX6 and 8226/LR5 cells removed from drug reverted to drug sensitive state which correlated with lower VLA-4 expression. These data suggest that drug exposure of cytotoxic drugs selects for increased expression of VLA-4. Moreover, the drug sensitive 8226 MM cells (8226/S) adhered to FN as a substrate conferred resistance to the apoptotic effects of doxorubicin and melphalan treatment. This adhesion mediated drug resistance referred to as CAM-DR was not caused by reduced drug accumulation or upregulation of anti-apoptotic Bcl-2 family members [42, 54]. CAM-DR induces a multidrug resistant phenotype as cell adhesion can protect tumor cells from multiple structurally and

mechanistically diverse chemotoxic agents in MM [42, 54–57] and leukemia model systems [58–60]. Furthermore, CAM-DR can also augment signaling pathways that cause increased expression of soluble factors in conferring tumor cell drug resistance. For example, interaction between MM and BMSCs enhances BMSCs to secrete IL6 and GM-CSF and MM cells to release IL1B, TGF $\beta$ , IL6 and VEGF [43, 60, 61] the supportive bi-directional signaling between tumor and stroma cells which culminate to support survival following cytotoxic insult. VLA-4 is a useful indicator for drug resistance and as a therapeutic target in MM therapy. Biomedical imaging techniques such as skeletal survey and  $^{18}\text{F}$ -fluorodeoxyglucose (FDG)/positron emission tomography (PET) are tools for diagnosing and stage MM patients [62]. However, both of these approaches have limitations. Experimental results indicated that VLA-4-targeted PET  $^{64}\text{Cu}$ -CB-TE1A1P-LLP2A showed high uptake in the 5TGM1 mouse tumors and high binding to the human MM cell lines RPMI-8226, indicating that VLA-4-targeted imaging with  $^{64}\text{Cu}$ -CB-TE1A1P-LLP2A is another tool to image MM tumors [63, 64]. A murine anti-integrin- $\alpha$ 4 antibody (PS/2) inhibited tumor burden in BM using both *in vitro* and *in vivo* models. Natalizumab, a recombinant humanized IgG4 monoclonal antibody blocked tumor growth and chemosensitized MM cells to bortezomib, suggesting combination with VLA-4 and bortezomib enhanced MM cytotoxicity and could be a strategy to improve patient outcome [64, 65]. A clinical trial (NTC00675428) to study the safety profile and the anti-tumor activity of natalizumab in patients of relapsed/refractory MM was used to evaluate possible correlations with clinical activity. Unfortunately, this trial was noted to be terminated due to low enrollment [66].

## Epigenetic alterations

In contrast to the acquired drug resistance largely driven by genetic mutations, accumulating evidence suggest that epigenetic regulation contributes to the EM-DR induced by environment cues. Recent literature support that chromatin regulators play a critical role in BMM-induced drug resistance and constitute promising targets of therapeutic epi-drug development for various cancers. Due to space limitation, we will summarize BMM-mediated drug resistance regulated by epigenetic regulators in MM [67, 68].

Inhibitors of histone deacetylases (HDACs) are promising accessory therapeutic agents for MM treatment [69]. The impact of HDAC inhibitors on BM-mediated drug resistance in MM are topics of several recent studies. RPMI8226 and U266 cells pretreated by romidepsin a class I histone deacetylase inhibitor substantially reverses drug resistance to bortezomib, melphalan, and dexamethasone as induced by stromal UBE6T-7 cells [70]. Remarkably, romidepsin treatment decreases the expression of CD49d, a key component of the VAL-4 integrin that mediates the MM adhesion to stromal cells [71]. Another type I HDAC inhibitor BG45 triggers apoptosis of MM in the presence of BMSCs. This inhibitor reduces phosphorylation of STAT3 [72]. It is likely that BG45 compromises the translocation of SIRT6 into the nucleus, where it functions as a transcription factor involved in chromatin remodeling for transcriptional regulation [73].

Histone methylations are another major group of histone post-translational modifications receiving extensive investigations on epigenetic transcriptional regulation [74]. Adhesion of

MM to BMSCs activates the PI3K/AKT pathway which induces EZH2 deactivation through its phosphorylation at Ser21 [75, 76]. The phosphorylation of EZH2 results in hypomethylation of H3K27 at promoters of anti-apoptosis genes and supports their sustained expression to counteract drug-induced apoptosis. Remarkably, pharmacological inhibition of the PI3K/AKT pathway compromises cell adhesion-mediated drug resistance in refractory myeloma mouse models [76]. In contrast to EZH2, KDM6B is a H3K27me3 demethylase. TNF- $\alpha$  or BMSCs conditioned medium upregulates expression of KDM6B through the NF- $\kappa$ B signaling pathway. Deletion of KDM6B systematically downregulates the expression of genes related to the growth-promoting MAPK pathway in a demethylase-independent manner in MM.1S cells [77]. Therefore, targeting KDM6B protein itself other than its enzymatic activity is necessary to mitigate soluble factor-induced drug resistance of MM. All these advances highlight the therapeutic potential of targeting chromatin regulators for improved treatment of MM.

### **Additional contributors to drug resistance in the BMM**

Exosomes serves as a role in cell-cell communication contribute to immune suppression, angiogenesis, metastasis and drug resistance [78]. Exosomes are activated under cellular activation or stress and released from cancer cells and other cells from BMM such as fibroblasts and immunocytes to promote angiogenesis, modulate signaling pathways of stromal cells and regulates immune response [79, 80\*]. For example, exosomes released from hypoxic MM promote angiogenesis through the HIF-FIH signaling pathway [81]. Exosomes released by AML alter proliferative, angiogenesis, migration of BMSCs and can reprogram the niche of the tumor microenvironment [82]. Fibroblast-derived exosomes transfer mtDNA that was associated with promoting cancer stem-like cells, leading to endocrine therapy resistance in breast cancer cells [83].

Acidosis of the tumor microenvironment can affect the cytotoxicity of anticancer drugs, tumor cell invasion and immune dysfunctions to maintain tumor survival [84]. The pH of the extracellular in tumor cells is significantly more acidic than normal tissues and while the pH of intracellular contents of both is similar. The efficacy of uptake of weakly basic drugs such as doxorubicin, mitoxantrone, vincristine and vinblastine are impaired in acidic conditions due to charge of the molecule and decreases the effect of those anti-cancer agents [85].

Adhesion of tumor cells to BMSCs is more complex than adhesion of integrin to a single matrix as BMSCs secrete multiple matrixes and present multiple cell-adhesion molecules (CAM) allowing for cell-cell adhesions. BMSCs are often referred to as mesenchymal stem cells due to their plasticity and contribute to cancer progression through secreting cytokines and growth factors [86]. MM BM MSCs support MM.1S and RPMI.8226 cell growth by releasing exosome. Conversely, the exosome from normal BM-MSCs inhibited these cell growth [87]. BMSCs can differentiate into cancer-associated fibroblasts (CAFs) by releasing TGF- $\beta$  [88]. CXCL-8 derived from patients of acute myeloid leukemia (AML) BM-MSCs support leukemogenesis through PI3K/AKT signaling pathway in AML HL60 and THP1 cell lines. [89\*]. In summary, BM-MSCs are main component of hematopoietic niche to control self-renewal and differentiation of hematopoietic stem/progenitor cells (HSPCs) [88, 90, 91] that modulate the pathogenesis of a variety of hematologic malignancy such as acute

lymphoblastic leukemia (ALL), AML, MM, lymphomas, chronic myeloid leukemia (CML), and myelodysplastic syndromes (MDS) [92].

Although immune checkpoint inhibitors to date have failed as a therapeutic strategy for treatment of MM. Myeloid lineages in the BMM include myeloid-derived suppressor cells (MDSCs) that directly influence tumor growth [93]. Infiltrated MDSCs suppress the cytotoxic activities of NK and the adaptive immune response to promote tumor vascularization and contributes to the escape of immune-surveillance and expansion of various cancer types, including melanoma, multiple myeloma, hepatocellular carcinoma, [94]. Thus targeting MDSC's maybe a tractable approach for immunotherapy for the treatment of MM.

## Perspectives

The effectiveness of chemotherapy is often pursued in the context of unicellular models. This model will likely provide targets critical for survival of a tumor cell but may not accurately reflect the tumor cell signaling pathways in the context of the tumor microenvironment. To decrease minimal residual disease this may require combination strategies that target the BMM in conjunction with oncogenic drivers such as the Jak inhibitor and Nilotinib ongoing clinical trial in CML [37]. Clinical trials designed to target the BMM with corresponding correlative data derived from tissue will provide insight whether targeting the BMM is a tractable strategy for reducing minimal residual disease and relapse. Resistance to chemotherapy remains a persistent challenge in the clinic. Targeting BMM compartments is one interesting strategy aimed to inhibit BMM-mediated drug resistance to improve drug response. One of the hurdles for targeting the BMM is that single agent activity may not be achieved, and efficacy is not realized until combination studies are pursued making the clinical development pathway more challenging. Additional challenges will be an understanding of the evolution of resistance as part of a personalized approach to the treatment of cancer as the BMM provides the potential for multiple signaling pathways that contribute to resistance which likely evolves during disease progression. To date it is not clear whether targeting one specific receptor-cytokine or adhesion-matrix interaction will be sufficient to reverse resistance associated with the tumor microenvironment. Strategies designed to target downstream of cell adhesion molecules such as FAK or integrin linked kinase may eliminate concerns of redundancy of adhesion mediated signaling. Similarly, inhibiting the JAK/STAT pathway for cytokine signaling maybe more effective compared to blocking a single cytokine receptor. However, due to the complexity of the bone marrow microenvironment and the diversity of the niche delineating diagnostic biomarkers of response signatures for targets associated with SM-DR, CAM-DR and EM-DR will be required to guide combination strategies with standard of care agents to improve individualized patient outcomes.

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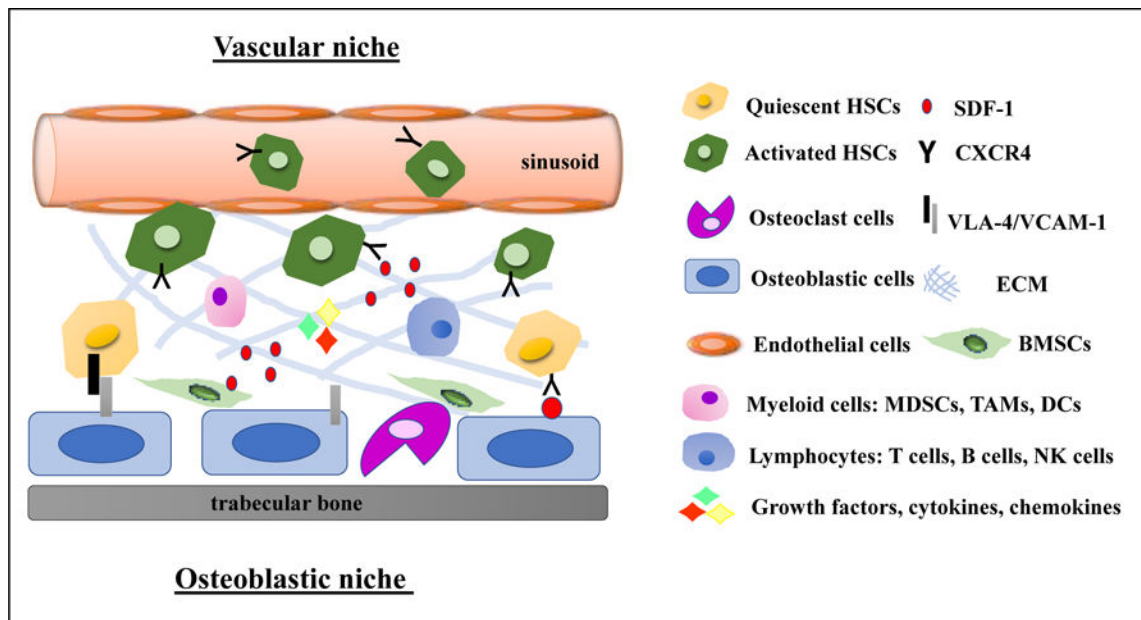
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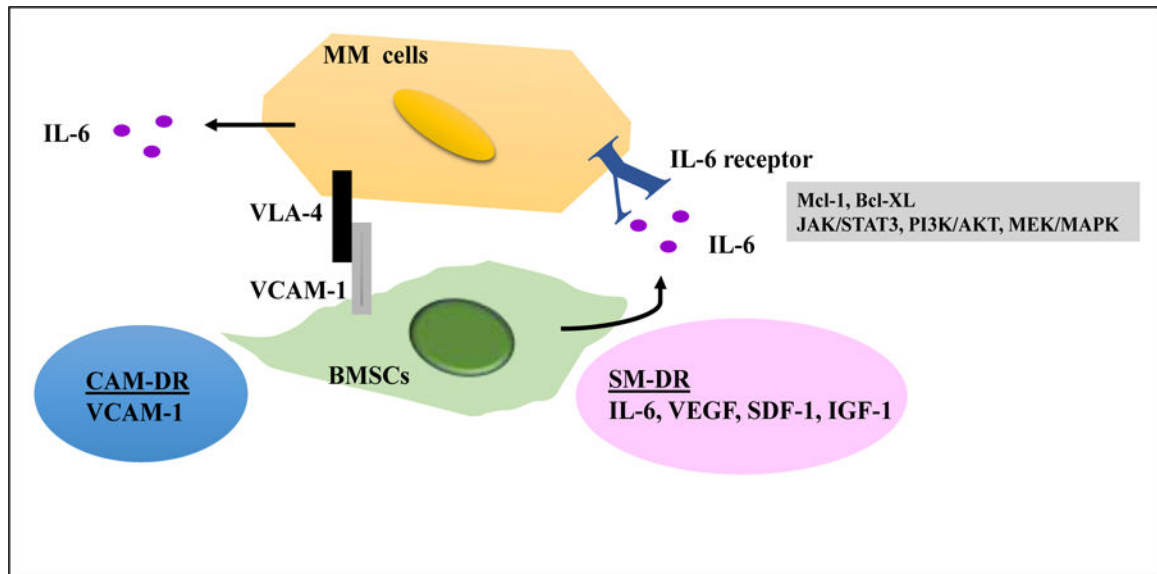
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**Figure 1: Bone marrow microenvironment (BMM) is a dynamic and complex network.** The BMM consists of several cells including quiescent/activated hematopoietic stem cells (HSCs), osteoclast cells, osteoblastic cells, endothelial cells, myeloid cells (myeloid-derived suppressor cells (MDSCs), tumor-associated macrophages (TAMs), and dendritic cells (DC)), lymphocytes (T cells, B cells and nature killer (NK) cells), bone marrow stromal cells (BMSCs) extracellular matrix (ECM), vasculature, and soluble factors such as growth factors, cytokines, chemokines.



**Figure 2. SM-DR and CAM-DR contribute to drug resistance.**

BMSCs are the major source of IL-6. IL-6 up-regulates the Mcl-1 and Bcl-XL through JAK/STAT3, PI3K/Akt and MEK/MAPK that are known to augment survival and drug resistance. CAM-DR induces a multidrug resistant phenotype as cell adhesion can protect tumors cells from chemotoxic agents in MM. CAM-DR can also cause to express of soluble factor in conferring tumor cell drug resistance.