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Phase II study of buparlisib (BKM120) and trastuzumab in patients with HER2+ locally advanced or metastatic breast cancer resistant to trastuzumab-based therapy

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Abstract

Purpose—A Phase Ib study in patients with trastuzumab-resistant, human epidermal growth factor receptor-2- (HER2)-positive advanced breast cancer defined the recommended Phase II dose of buparlisib as 100 mg/day in combination with 2 mg/kg weekly trastuzumab, and reported preliminary signs of clinical activity. Here we present results from the Phase II portion.

Methods—Patients with trastuzumab-resistant, HER2-positive advanced breast cancer received buparlisib plus trastuzumab. Study endpoints included safety/tolerability and antitumour activity. The study was extended to include a Phase Ib dose-escalation phase, in which patients with progressive brain metastases also received capecitabine.

Results—In the Phase II portion, of 50 patients treated with buparlisib and trastuzumab, the most common (30%) all-grade adverse events (AEs) were diarrhoea (54%), nausea (48%), decreased appetite, increased alanine aminotransferase (36% each), increased aspartate aminotransferase

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Author contributions All authors were involved in the conception, design and conduct of the study, as well as in data collection and interpretation. All authors were responsible for writing, critically reviewing and revising the manuscript, with assistance from a medical writer, and approved the final version prior to submission.

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(34%), fatigue, rash (32% each), cough and hyperglycemia (30% each). One (2%) patient achieved complete response and four (8%) patients had confirmed partial responses [PR; including two patients with phosphatidylinositol 3-kinase (PI3 K) pathway-activated tumours]. Overall response rate (ORR) was 10%; the primary endpoint (ORR = 25%) was therefore not met. In the Phase Ib portion, all patients with measurable brain lesions at baseline showed tumour shrinkage to some degree; due to low enrollment, maximum tolerated dose of buparlisib in combination with trastuzumab and capecitabine was not determined.

Conclusion—Buparlisib plus trastuzumab, as a chemotherapy-free regimen, demonstrated an acceptable safety profile but limited efficacy in patients with heavily pretreated, trastuzumab-resistant HER2-positive breast cancer, and in patients with progressive brain metastases also receiving capecitabine.

Keywords

Buparlisib; HER2-positive; Trastuzumab pretreated; Advanced/metastatic breast cancer; Brain metastases; Herceptin

Introduction

Human epidermal growth factor receptor-2 (HER2) overexpression, which occurs in up to 20% of all invasive breast cancers, is associated with aggressive disease [1, 2]. The prognosis of patients with HER2-positive (HER2+) breast cancer has been greatly improved in the last 15 years through the introduction of HER2-targeted therapies [3–11]. However, there are few treatment options for the 34% of patients who develop central nervous system (CNS) metastases [12]. As a consequence, approximately 50% of patients with HER2+ CNS metastatic breast cancer die from CNS disease progression [12, 13]. Furthermore, innate or acquired resistance to HER2-targeted agents is a major problem [3, 6, 7].

Activation of the phosphatidylinositol 3-kinase (PI3 K)/AKT/mammalian target of rapamycin (mTOR) pathway, through phosphatase and tensin homolog (PTEN) loss or activating *PIK3CA* mutations has been linked to the development of resistance to HER2-targeted agents [14–17]. Inhibition of the PI3 K/mTOR pathway in trastuzumab-resistant HER2+ breast cancer can restore sensitivity to trastuzumab [18, 19]. Data from the pivotal Phase III BOLERO-3 trial in a similar patient population demonstrated that the addition of everolimus to trastuzumab and vinorelbine significantly prolonged PFS [20].

Buparlisib (BKM120), an oral pan-PI3 K inhibitor, has demonstrated antitumour activity in preclinical models [21] and the addition of buparlisib to trastuzumab led to tumour shrinkage in xenograft mouse models of trastuzumab-resistant HER2+ breast cancer [19]. In vivo, buparlisib penetrates the blood-brain barrier, inhibiting PI3 K signalling and reducing the incidence of brain metastases [22, 23]. The tolerability of buparlisib and trastuzumab was investigated in a Phase Ib study in patients with HER2+ breast cancer (NCT01132664), which established the recommended Phase II dose (RP2D) as buparlisib (100 mg/day) plus trastuzumab (2 mg/kg weekly) [24].

Here we present efficacy and safety results from the Phase II portion of the study, which investigated buparlisib in combination with trastuzumab in patients with HER2+ locally advanced or metastatic breast cancer whose disease has progressed on prior trastuzumab-based therapy.

Based on the high unmet medical need in patients with brain metastases, promising preliminary clinical data for buparlisib and the ability of buparlisib to penetrate the blood–brain barrier, the study was extended to include an additional Phase Ib cohort of patients with brain metastases who received buparlisib in combination with trastuzumab and capecitabine.

Patients and methods

Study design

This was a Phase Ib/II study of buparlisib in combination with trastuzumab in HER2+ advanced breast cancer progressing on prior trastuzumab therapy. Patients received the RP2D of oral buparlisib (100 mg once daily [QD]) [24] in continuous 28-day cycles.

In the Phase Ib brain metastases cohort, patients had HER2+ breast cancer whose metastatic brain disease progressed after standard treatments including prior whole-brain radiotherapy and/or stereotactic radiosurgery for brain metastasis, received 80 or 100 mg/day oral buparlisib in combination with trastuzumab and capecitabine. The Phase Ib portion included a dose-escalation part followed by a safety expansion; however, the study was terminated during dose escalation due to the scarcity of patients and consequent challenges in enrolling patients under the existing protocol.

Patients received treatment until disease progression, unacceptable toxicity, withdrawal of consent or investigator decision. The primary objective of the Phase II portion was to assess the activity of buparlisib in combination with trastuzumab; the primary endpoint was met if ORR was 25%, with a probability of ORR being 15% of < 0.05. The primary objective of the Phase Ib brain metastases part was to determine the MTD/RP2D. (Additional details are in the supplementary materials.)

Patient population

As described previously [24], patients had histologically confirmed HER2+ locally advanced or metastatic breast cancer, with documented evidence of disease progression as per Response Evaluation Criteria In Solid Tumors (RECIST) v1.0 no more than 16 weeks prior to treatment start, resistance to trastuzumab, World Health Organisation (WHO) performance status 2 and prior treatment with one to four lines of HER2-targeted therapy. Key exclusion criteria included previous treatment with a PI3 K inhibitor and untreated brain metastases. The Phase Ib brain metastases cohort included patients treated at the RP2D during the Phase Ib portion of the study who met the Phase II eligibility criteria. (Additional specific inclusion criteria are in the supplementary materials.)

Approval was obtained from the ethics committees of participating institutions and regulatory authorities, and all participating patients provided written informed consent and

agreed to comply with the protocol. The study was conducted in accordance with the Declaration of Helsinki and guidelines for Good Clinical Practice, as defined by the International Conference on Harmonisation.

Safety

Routine clinical and laboratory assessments were conducted at baseline and at regular intervals throughout the study. Patient self-rated questionnaires for depression (PHQ-9) and anxiety (GAD-7) were administered throughout treatment in order to monitor changes in behaviour and reduce the frequency and severity of psychiatric events [25, 26]. Patients in the brain metastases cohort underwent additional neuropsychiatric assessment via the self-reported Psychiatric Diagnostic Screening Questionnaire. Adverse events (AEs) were recorded continuously from the start of study treatment until 28 days post-treatment discontinuation, and were characterised and graded as per CTCAE v3.0. For the brain metastases cohort, DLTs during Cycle 1 were discussed with investigators at dose-escalation meetings.

Efficacy

Radiologic response was assessed locally by computed tomography or MRI according to RECIST v1.0 at baseline and subsequently every 6 weeks for the first 18 weeks, then every 8 weeks (Phase II cohort) or every 12 weeks (brain metastases cohort), until disease progression or end of treatment. All complete responses (CRs) and partial responses (PRs) were confirmed by a second assessment at least 4 weeks after the initial assessment. Stable disease (SD) and prolonged SD were defined as at least one SD assessment or better 6 weeks and 24 weeks, respectively, after the start of treatment, and not qualifying as CR or PR.

Biomarkers

Tumour, skin, hair and blood samples were collected before and during study treatment for the exploratory investigation of biomarkers clinically relevant to PI3 K pathway signalling and antitumour activity. In tumour tissue, *PIK3CA* and *PTEN* mutations were detected by Sanger sequencing, and *PTEN* expression was evaluated by immunohistochemistry.

Blood samples for biomarker analyses were collected throughout the study. Circulating markers of angiogenesis (VEGF, VEGFC, VEGFD, sVEGFR1, sVEGFR2, bFGF, cKIT, TIES2, PIGF) and cellular death (M30, M65) were analysed by enzyme-linked immunosorbent assay (ELISA) at screening, and model-adjusted \log_2 changes from baseline were used to investigate therapy-induced pharmaco-dynamic changes. ctDNA was extracted from blood samples, and *PIK3CA* mutations were analysed by Sanger sequencing.

Statistical analyses

All patients who received at least one dose of buparlisib treatment at the RP2D were included in the analysis; this consisted of patients enrolled in the Phase II portion, as well as eligible patients with brain metastases treated at the RP2D in the Phase Ib portion of the study. ORR was defined as the percentage of patients with a best overall response of CR or PR, as per RECIST v1.0, and according to investigator assessment. The true ORR in the

Phase II part of the study was calculated using the adaptive Bayesian logistic regression model (BLRM). Minimally informative beta distribution priors with prior medians equal to a 15% clinical threshold for futility were utilised. The posterior distribution of the ORR was derived on completion of the study. Efficacy of the combination was to be declared if the probability of the ORR being 15% was < 0.05 , and the observed ORR was 25%. With a planned sample size of 41 patients, the minimum number of responders to be observed to declare efficacy was 11, corresponding to an ORR of 26.8%. PFS was determined by Kaplan–Meier analysis.

Results

Phase II portion

Patient characteristics—In total, 53 patients were enrolled and received treatment between May 2010 and March 2014 (Phase II, $N= 45$; Phase Ib, $N= 8$). Patients were treated at 19 sites in six countries. In Phase II, three patients received a loading dose of trastuzumab but discontinued prior to receiving buparlisib (due to AE, protocol deviation and abnormal laboratory assessments, respectively), and were therefore not included in safety or efficacy analyses. Here, we present results for the 50 patients who received both buparlisib and trastuzumab. The median age of patients was 52 years (range 28–75 years), and 27 (54%) patients had hormone receptor-positive (HR+) disease (Table 1). The median number of prior antineoplastic therapies (including hormonal therapy), cytotoxic chemotherapies and HER2-targeted therapies was 3 (range 1–7), 1 (range 0–3) and 2 (range 1–5), respectively.

Patient disposition and treatment exposure—All patients treated with buparlisib discontinued treatment, with the primary reasons for treatment discontinuation being disease progression (70%) and AEs (20%). The median duration of exposure to study treatment was 9.9 weeks (range 2.0–106.6 weeks) and the mean relative dose intensity was 94% (standard deviation 9.3%). Nineteen (38%) patients received study treatment for more than 12 weeks. Four (8%) patients required a dose reduction, of which three (6%) were due to AEs. Twenty-four (48%) patients had at least one dose interruption, of which 23 (46%) were due to AEs.

Safety and tolerability—The most common all-grade AEs regardless of study drug relationship were diarrhoea (54%), nausea (48%), decreased appetite (36%) and increased alanine aminotransferase (ALT; 36%) and the most common grade 3/4 AEs regardless of study drug relationship were increased ALT (16%) and increased AST (12%) (Table 2). The most frequent study drug-related psychiatric disorders were anxiety (18%) and depression (14%), and were mostly grade 1/2 in severity; 7 (14%) patients reported grade 3/4 psychiatric events. Among the 34 (68%) patients experiencing an AE which required dose adjustment or treatment interruption, the most frequently reported AEs included increased ALT (24%), increased AST and rash (18% each). The most common study drug-related AEs leading to study drug discontinuation were (all grade 2) depression (6%), increased ALT (4%) and increased AST (4%). Four on-treatment deaths were reported during the Phase II portion of the study due to disease progression, respiratory failure due to disease

progression, pleural effusion and liver disorder (one patient each), and no deaths were suspected to be related to study treatment.

Clinical activity—Of the 50 patients evaluable for response in the Phase Ib/ II part, one (2%) patient achieved CR; four (8%) patients had confirmed PR and 20 (40%) patients had SD, including two (4%) patients who had SD for ≥ 24 weeks (Table 3; Fig. 1). The ORR (CR + PR) was 10% [90% confidence interval (CI), 4.0–19.9], DCR (CR + PR + SD) was 50% (90% CI 37.6–62.4) and clinical benefit rate (CBR; CR + PR + SD ≥ 24 weeks) was 14% (90% CI, 6.8–24.7). The primary endpoint in Phase II as well as eligible patients with brain metastases treated at the RP2D in the Phase Ib portion of the study (ORR $\geq 25\%$) (ORR $\geq 25\%$) was therefore not met.

Biomarker analyses—PI3 K pathway activation was identified in 10/26 (38%) patients with known pathway status: eight (31%) patients had *PIK3CA* mutations, and two (4%) patients each had a *PTEN* mutation or loss of *PTEN* expression. PI3 K pathway status was unknown (missing *PIK3CA* and/or *PTEN* status) in the remaining 24 patients in Phase II (Supplementary Table S1). Best overall response was PR in 2/10 patients with PI3 K pathway-activated tumours (Supplementary Table S2). In contrast, there were no CRs or PRs reported among the 16 patients with PI3 K pathway-non-activated tumours. Median PFS for patients with wild-type and mutated *PIK3CA* was 1.7 months (95% CI 1.5–5.4) and 1.8 months (95% CI 1.3–3.4), respectively. A meaningful interpretation of *PIK3CA* mutation status in ctDNA was not possible due to the low number of samples collected, in addition to the low sensitivity observed with Sanger sequencing method.

Baseline levels of biomarkers of angiogenesis and cellular death were analysed in 47 patients. Patients with above-median VEGF expression had longer median PFS [5.3 (95% CI 1.8–not evaluable) months] than patients with below-median VEGF expression [1.9 (95% CI 1.6–3.4) months], with hazard ratio 0.39 (95% CI 0.17–0.88) (Supplementary Fig. S1).

Plasma biomarkers were also analysed for dynamic changes from baseline with respect to tumour response. Only VEGF expression was associated with tumour response: patients with progressive disease showed an increase in VEGF levels throughout treatment, while no changes in VEGF expression were observed in patients with PR or SD (data not shown). No other biomarkers were found to be significantly associated with treatment response.

Biomarkers for tumour burden (circulating tumour cells and soluble HER2 extracellular domain) appeared to be decreased on Cycle 3 Day 1, suggesting a decrease in tumour burden with study treatment (data not shown). Pharmacodynamic analyses were consistent with previously published results from the Phase Ib dose-escalation portion of the study, which reported a decrease in pS6 expression in 4/6 patients treated with combination buparlisib and trastuzumab; the largest reduction in pS6 was observed at the highest dose of buparlisib (100 mg/day) and associated with the best clinical response (PR) [24].

Phase Ib brain metastases cohort—Nine patients (three receiving 80 mg/day and six receiving 100 mg/day buparlisib, in combination with capecitabine, plus trastuzumab) were treated in the brain metastases cohort between May 2010 and August 2014. Median age in the brain metastases cohort was 52 years (range 37–63 years), 78% of patients were

Caucasian and all patients had a WHO performance status of 1. Five (56%) patients had HR + tumours. Patients had received a median of 2 (range 1–4 weeks) prior lines of HER2-targeted therapy. Eight patients were evaluable for MTD assessment (one patient did not meet the minimum exposure criterion for buparlisib and capecitabine, defined as treatment with buparlisib for 16 days and capecitabine for 11 days at the planned dose, and receiving all three scheduled trastuzumab doses during the first treatment cycle). The median duration of exposure to buparlisib was 12.9 weeks (range 3–52 weeks). One patient receiving buparlisib 100 mg/day experienced a DLT (grade 3 stomatitis and diarrhoea) during Cycle 1.

Best overall response was PR in one patient; 7/9 (78%) patients achieved SD, including two patients who were stable for 24 weeks. In total, 7/9 (78%) patients progressed during the study: five in the brain and two extracranially. Of the 4/9 (44%) patients with measurable lesions (per RECIST v1.0) in the brain at baseline, all showed some degree of tumour shrinkage: one patient had confirmed PR, one patient had unconfirmed PR in the brain and two patients had tumour shrinkage that did not qualify for PR (i.e. SD). Three of these four patients subsequently progressed in the brain (after 85, 127 and 165 days of treatment, respectively), and the other progressed in the bone after 54 days.

Discussion

In this Phase II study in patients with HER2+ advanced breast cancer that had progressed on or after trastuzumab-based therapy, buparlisib 100 mg/day in combination with trastuzumab was generally well tolerated, with a similar safety profile to that reported in the Phase Ib portion of this trial [24] and in an earlier study of single-agent buparlisib in advanced solid tumours [27].

Due to the role of the PI3 K/mTOR pathway in insulin resistance, the hyperglycemia observed in 28% of patients is likely to be an on-target effect of PI3 K/mTOR signalling inhibition [28]. Hyperglycemia was effectively managed through the use of concomitant antidiabetic medications such as metformin, and/or buparlisib dose interruption or reduction, resulting in few patients requiring permanent discontinuation due to this AE. Liver toxicities (ALT/AST increases) were also frequent (24% each), consisting mainly of isolated transaminitis. Transaminitis was typically asymptomatic, reversible and rarely associated with impaired liver function or liver failure, and was among the most frequent AEs leading to permanent treatment discontinuation. Mood disorders (mostly grade 1/2 in severity) consisted mainly of depression and anxiety, as well as several other psychiatric disorders. Mood disorders have not been reported with other PI3 K inhibitors; however, the ability of buparlisib to cross the blood-brain barrier and inhibit PI3 K/mTOR signalling in the brain may contribute to the prevalence of this AE [22, 23]. Although patients with pre-existing major psychiatric disorders were excluded from this study (and other studies of buparlisib), the proactive evaluation of potential psychiatric disorders through the use of the PHQ-9 and GAD-8 self-rated questionnaires may also have led to higher reporting. Psychiatric AEs were generally detected early and were well managed by buparlisib dose adjustments or administration of concomitant medications, although three (6%) patients discontinued study

treatment due to grade 2 depression. Rash was adequately managed by concomitant medications and/ or dose reduction/interruption.

Preliminary signs of clinical activity were observed in this study; however, the ORR was lower than in the initial Phase Ib part [24], and the study did not meet its pre-specified primary endpoint of ORR = 25%. The clinical activity of combined buparlisib and trastuzumab was moderate compared to that achieved with chemotherapy-based combinations in the first-line setting [3]. Despite previous studies suggesting that patients with HER2+ tumours and PI3 K pathway activation may be more sensitive to PI3 K inhibition (especially in the context of trastuzumab resistance) [20, 29], the results of this study suggest that a doublet regimen of PI3 K inhibition and trastuzumab may not be sufficient, and a backbone of chemotherapy may be needed in order to achieve maximal clinical benefit. Due to the low number of events for overall survival (OS) at the cut-off date, follow-up for OS analysis is ongoing.

Retrospective biomarker analyses have revealed associations between *PIK3CA* mutation and treatment response in HER2+ advanced breast cancer [29, 30]. In the current study, 2/10 patients with activated PI3 K pathway had PR, compared to 0/18 patients with wild-type *PIK3CA*. However, there was no difference in median PFS between these two groups, and the limited number of patients makes a robust analysis challenging.

Among the nine patients enrolled in the brain metastases cohort, preliminary signs of clinical activity in the brain were observed: all four patients with target lesions in the brain at baseline showed some degree of tumour shrinkage (including one patient with a confirmed PR); however, three of these four patients subsequently progressed in the brain, with a duration of response of approximately 3–5 months. Moreover, of the 7/9 (78%) patients who achieved SD as best overall response, five patients subsequently progressed in the brain. The rarity of eligible patients resulted in slow enrollment under the current study protocol; due to the limited sample size and the lack of a control arm in this part of the study, a robust analysis of the antitumour activity of buparlisib in this patient population was not possible. The MTD/ RP2D was not declared for this cohort, and the planned safety expansion was not conducted. In summary, the combination of buparlisib and trastuzumab in a chemotherapy-free regimen for the treatment of patients with HER2+ locally advanced or metastatic breast cancer, whose disease has progressed on trastuzumab-based therapy, demonstrated limited clinical activity. Therefore, combinations of isoform-specific PI3 K inhibitors with chemotherapy and/or endocrine therapy are currently explored in ongoing clinical trials.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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n = 45^a

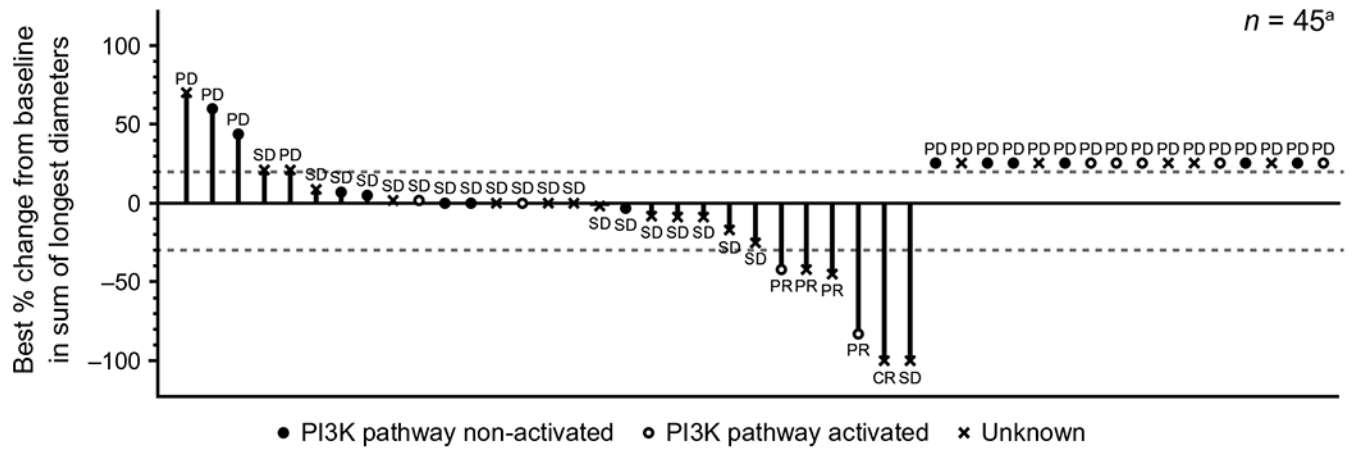


Fig. 1. Waterfall plot of best percentage change from baseline and best overall response per RECIST v1.0. PD, progressive disease. ^aFive patients with missing best percentage change from baseline and unknown overall response are not presented. Patients with missing best percentage change from baseline are shown on the right

Table 1

Patient baseline characteristics and disease characteristics

	All patients (<i>N</i> = 50) ^a
Median age, years (range)	52 (28–75)
Female patients, <i>n</i> (%)	50 (100)
Postmenopausal status	37 (74)
Race, <i>n</i> (%)	
Caucasian	48 (96)
Others	2 (4)
WHO performance status, <i>n</i> (%)	
0	19 (38)
1	31 (62)
Prior antineoplastic therapies, median (range)	
Prior antineoplastic regimens ^b	3 (1–7)
Prior cytotoxic chemotherapies	1 (0–3)
Prior HER2-targeted therapies ^c	2 (1–5)
Setting at last treatment, <i>n</i> (%)	
Therapeutic/palliative	47 (94)
Adjuvant/neoadjuvant	4 (8)
Therapy type at last treatment, <i>n</i> (%)	
HER2-targeted therapy	40 (80)
Chemotherapy	24 (48)
Hormonal therapy	4 (8)
Other	4 (8)
Hormonal status, <i>n</i> (%)	
ER and/or PgR positive	27 (54)
ER and PgR negative	23 (46)
Tumour histology, <i>n</i> (%)	
Invasive ductal carcinoma	45 (90)
Invasive lobular carcinoma	2 (4)
Other	3 (6)
Histologic grade, <i>n</i> (%)	
Well differentiated	0
Moderately differentiated	18 (36)
Poorly differentiated	27 (54)
Unknown	5 (10)
Number of metastatic sites, median (range)	3 (1–6)
Most common site of metastases, <i>n</i> (%)	
Lung	29 (58)
Bone	26 (52)
Nodes	26 (52)

	All patients (N = 50) ^a
Liver	25 (50)
Brain	6 (12)
Skin	3 (6)
Others	20 (40)
PI3 K pathway activation status in tumour tissue	
Patients with known status, n (%)	26 (52)
PI3 K pathway-activated ^{d,e} n/N (%)	10/26 (38)
PI3 K pathway-non-activated ^e n/N (%)	16/26 (62)

ER oestrogen receptor, *PgR* progesterone receptor

^aThree patients received a loading dose of trastuzumab but not buparlisib, and are not included here

^bIncludes HER2-targeted therapy, chemotherapy and hormonal therapy. All patients had received monoclonal antibodies (primarily trastuzumab) and 86% had received taxanes (primarily docetaxel)

^cIncludes trastuzumab, T-DM1, lapatinib and pertuzumab

^dPI3 K pathway activation was defined as *PIK3CA* mutation (eight patients), *PTEN* mutation (one patient) or *PTEN* null or low expression by immunohistochemistry (*H*-score < 50; one patient)

^ePercentage calculated out of 26 patients with known PI3 K pathway activation status

Table 2

Most common (≥ 15% of patients; any grade) adverse events, regardless of study drug relationship

Adverse event, <i>n</i> (%)	All patients (<i>N</i> = 50) ^a	
	Any grade	Grade 3/4
Total	50 (100)	35 (70)
Diarrhoea	27 (54)	2 (4)
Nausea	24 (48)	2 (4)
Decreased appetite	18 (36)	0
Increased ALT	18 (36)	8 (16)
Increased AST	17 (34)	6 (12)
Fatigue	16 (32)	2 (4)
Rash	16 (32)	5 (10)
Cough	15 (30)	0
Hyperglycemia	15 (30)	2 (4) ^b
Asthenia	14 (28)	4 (8)
Vomiting	14 (28)	1 (2)
Stomatitis	12 (24)	2 (4)
Headache	11 (22)	0
Anxiety	11 (22)	2 (4)
Depression	9 (18)	1 (2)

^aThree patients received a loading dose of trastuzumab but not buparlisib, and are not included here

^bIncludes one patient with 'blood glucose increased'

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Table 3

Summary of best overall response per RECIST v1.0

	All patients (N = 50) ^a
Best overall response, n (%)	
Complete response (CR)	1 (2)
Partial response (PR)	4 (8)
Stable disease (SD)	20 (40)
SD 24 weeks	2 (4)
Progressive disease	20 (40)
Unknown	5 (10)
Objective response rate (ORR; CR + PR), n (%) [90% CI] ^b	5 (10) [4.0–19.9]
Disease control rate (DCR; CR + PR + SD), n (%) [90% CI]	25 (50) [37.6–62.4]
Clinical benefit rate (CBR; CR + PR + SD 24 weeks), n (%) [90% CI]	7 (14) [6.8–24.7]

^aThree patients received a loading dose of trastuzumab but not buparlisib, and are not included here^b90% CIs for ORR, DCR and CBR were obtained using the exact binomial 90% CI test