ARTICLE Open Access

# Towards high-power, high-coherence, integrated photonic mmWave platform with microcavity solitons

Beichen Wang<sup>1</sup>, Jesse S. Morgan<sup>1</sup>, Keye Sun<sup>1</sup>, Mandana Jahanbozorgi<sup>1</sup>, Zijiao Yang<sup>1,2</sup>, Madison Woodson<sup>3</sup>, Steven Estrella<sup>3</sup>, Andreas Beling<sup>1</sup> and Xu Yi<sup>1</sup>,

## **Abstract**

Millimetre-wave (mmWave) technology continues to draw great interest due to its broad applications in wireless communications, radar, and spectroscopy. Compared to pure electronic solutions, photonic-based mmWave generation provides wide bandwidth, low power dissipation, and remoting through low-loss fibres. However, at high frequencies, two major challenges exist for the photonic system: the power roll-off of the photodiode, and the large signal linewidth derived directly from the lasers. Here, we demonstrate a new photonic mmWave platform combining integrated microresonator solitons and high-speed photodiodes to address the challenges in both power and coherence. The solitons, being inherently mode-locked, are measured to provide 5.8 dB additional gain through constructive interference among mmWave beatnotes, and the absolute mmWave power approaches the theoretical limit of conventional heterodyne detection at 100 GHz. In our free-running system, the soliton is capable of reducing the mmWave linewidth by two orders of magnitude from that of the pump laser. Our work leverages microresonator solitons and high-speed modified uni-traveling carrier photodiodes to provide a viable path to chip-scale, high-power, low-noise, high-frequency sources for mmWave applications.

## Introduction

Millimetre waves (mmWaves) provide key advantages in communication bandwidth, radar resolution, and spectroscopy thanks to their high carrier frequencies<sup>1–3</sup>. Photonic oscillators operate at frequencies of hundreds of THz, and the frequency of the electrical signal produced by, e.g., the heterodyne detection of two lasers, is limited only by the photodiode bandwidth. However, at mmWave frequencies, the output power of the photonic system suffers from the power roll-off associated with the photodiode bandwidth. In terms of signal coherence, stabilizing the frequency difference of two lasers to a low-

frequency reference is challenging for mmWaves due to the high frequency.

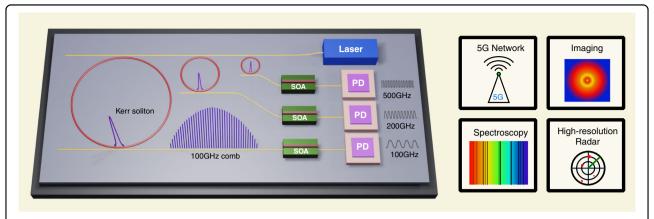
The recent development of dissipative Kerr solitons in  $microresonators^{4-9}$  provides an integrated solution to address the challenges of photonic-generated mmWaves in both power and coherence. These solitary wave packets achieve mode-locking by leveraging Kerr nonlinearity to compensate for cavity loss and to balance chromatic dispersion<sup>4,10</sup>. Microresonator solitons have been applied to metrology<sup>11</sup>, optical communications<sup>12</sup>, and spectroscopy<sup>13,14</sup> in the form of microresonator-based frequency combs (microcombs)<sup>15</sup>. Due to the miniaturized dimension, the repetition rate of microresonator solitons ranges from a few GHz to THz<sup>16,17</sup>. Direct detection of solitons with a fast photodiode produces mmWaves at the repetition frequency of the solitons. When compared with the conventional two-laser heterodyne detection method, soliton mode-locking provides up to a 6 dB gain in

Correspondence: Andreas Beling (andreas@virginia.edu) or Xu Yi (yi@virginia.edu) <sup>1</sup>Department of Electrical and Computer Engineering, University of Virginia, Charlottesville, VA 22904, USA

<sup>2</sup>Department of Physics, University of Virginia, Charlottesville, VA 22904, USA Full list of author information is available at the end of the article These authors contributed equally: Beichen Wang, Jesse S. Morgan

© The Author(s) 2021

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit http://creativecommons.org/licenses/by/4.0/.



**Fig. 1 Artistic conceptual view of fully integrated mmWave platform based on microresonator solitons.** Microresonator solitons are generated by pumping a high-Q Kerr microresonator with a continuous-wave (cw) laser. Photodetecting the solitons generates the mmWave signal at the soliton repetition frequency (comb spacing). Soliton mode-locking can provide up to 6 dB more power than conventional two-laser heterodyne detection and it is also capable of reducing the mmWave linewidth. By leveraging advances in photonic heterogeneous integration, all critical components, including pump laser, semiconductor optical amplifiers (SOAs) and ultrafast photodiodes (PDs), can potentially be integrated with Kerr microresonators on the same chip. The integration will enable arrays of coherent mmWave sources, which can generate mmWave signals over a broad range of frequencies. Such a mmWave platform can advance applications in high-speed wireless communication, sub-THz imaging and spectroscopy, and high-resolution ranging

mmWave output power due to the constructive interference among beatnotes created by different pairs of neighbouring comb lines<sup>18</sup>. This additional gain is of great importance at high frequencies since it can relax the bandwidth requirements in the photodiode. In terms of signal coherence, recent studies have shown that the phase noise of the soliton repetition frequency at 10's of GHz can be orders of magnitude smaller than that of its pump laser<sup>5,19–21</sup>. When microresonator solitons are married with integrated lasers<sup>22,23</sup>, amplifiers<sup>24</sup>, and high-speed photodiodes<sup>25</sup> through heterogeneous or hybrid integration, a fully integrated mmWave platform can be created with high-power, high-coherence performance, and the potential for large-scale deployment through mass production (Fig. 1).

In this letter, we demonstrate high-power, highcoherence photonic mmWave generation at 100 GHz frequency through the combination of integrated microresonator solitons and a modified uni-traveling carrier photodiode (MUTC PD). A 5.8 dB increase in mmWave power is obtained by using microresonator solitons when compared to the output power of conventional heterodyne detection. Importantly, the power level we achieve with microresonator solitons approaches the theoretical limit of heterodyne detection, which assumes an ideal photodiode with zero power roll-off in its frequency response. The system also achieves a maximum mmWave power of 7 dBm, one of the highest powers ever reported at 100 GHz<sup>26</sup>. For our free-running system, the 100 GHz signal has Lorentzian and Gaussian linewidths of 0.2 kHz and 4.0 kHz, respectively, which are two orders of magnitude smaller than that of the pump laser. The dependence of output power on the number of comb lines and chromatic dispersion is carefully studied both theoretically and experimentally. Our demonstration paves the way for a fully integrated photonic microwave system with soliton microcombs and high-speed photodiodes.

### Results

In conventional heterodyne detection, mmWaves are generated when two laser lines mix with each other on a photodiode and create one beat note. However, when using an optical frequency comb, each comb line will beat with its two adjacent neighbouring lines to create beatnotes at the comb repetition frequency. For a comb that consists of N comb lines, (N-1) beatnotes will be created at the comb repetition frequency. Therefore, for the same average optical power, the comb can produce up to twice the number of beatnotes per laser line than heterodyne detection, and thus generate twice the AC photocurrent. The output power from the photodiode at the comb repetition frequency can be described as  $^{18,27}$ 

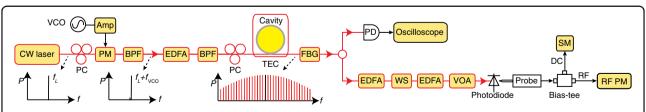
$$P_{\rm PD} = \frac{I_{\rm DC}^2 R_{\rm L}}{2} \left[ \frac{2(N-1)}{N} \right]^2 \times \Gamma \tag{1}$$

where  $I_{\rm DC}$  is the average photocurrent,  $R_{\rm L}$  (50  $\Omega$ ) is the load resistor, and  $N \ge 2$  is the number of comb lines.  $\Gamma$  is the measured relative mmWave power roll-off for the photodiode, and is ~5.5 dB for the 7  $\mu$ m and ~6 dB for the 8  $\mu$ m diameter PDs used in this work at 100 GHz. Clearly, the power at the limit of  $N \to \infty$  is 4 times (6 dB) higher than the power of heterodyne detection, where N=2.

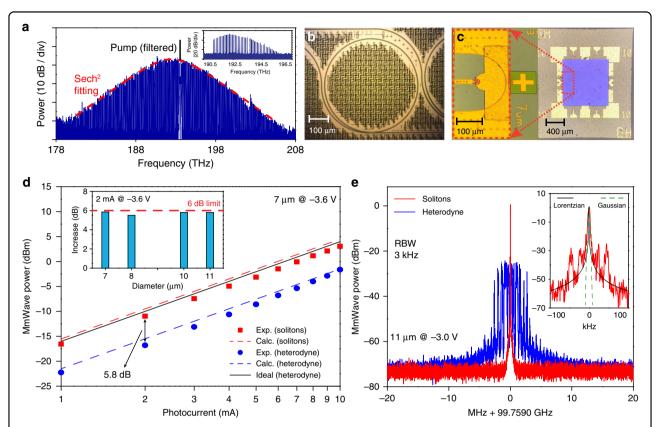
In practice, however, conventional frequency combs are not the best candidates to achieve the 6 dB gain for mmWave generation due to their low repetition frequencies. Previously, two attempts with electro-optics modulation frequency combs were reported, where lineby-line amplitude and phase shaping were used to remove the unnecessary comb lines and increase the repetition rate from 20 GHz to 100 and 160 GHz<sup>18,28</sup>. This postspectral filtering nonetheless increases the complexity and costs of the system. Conversely, microresonator solitons have comb repetition rates ranging from a few GHz to 1 THz, and can be directly applied to mmWave generation. MmWave generation with soliton microcombs in tapered-coupled microtoroid resonator<sup>29</sup>, from dualcomb structure<sup>30</sup>, and from a pair of comb lines<sup>31</sup> has been shown, but there was no investigation into the output power.

The dissipative Kerr solitons used in this work are generated in an integrated, bus-waveguide coupled Si<sub>2</sub>N<sub>4</sub> micro-ring resonator with a free-spectral range (FSR) of  $\sim$ 100 GHz. The experimental setup is shown in Fig. 2. The single soliton state with a 35.4 fs pulse width is generated, and its squared hyperbolic secant spectral envelope is characterized by an optical spectrum analyser (Fig. 3a). The comb is then amplified by an erbium-doped fibre amplifier (EDFA) and sent to the photodiode, and an optical programmable waveshaper (WS) is used to compensate for the group velocity dispersion and to suppress the amplified spontaneous emission (ASE) noise from the EDFA. The inset of Fig. 3a shows the optical spectrum after amplification and dispersion compensation. The photodiode used in this work is based on the chargecompensated modified uni-traveling carrier photodiode (MUTC PD) structure. MUTC PDs operate under the principle of single carrier transit, and compared to traditional p-i-n photodiodes, isolating electrons for this transit process eliminates the dependency on the slowertraveling holes, leading to higher-speed operation. To further enhance performance and limit thermal degradation, the PDs are then flip-chip bonded to a ceramic substrate made of gold transmission lines grown on aluminium nitride submount (AlN)<sup>32</sup>. Pictures of the microresonator and a PD die are shown in Fig. 3b and Fig. 3c, respectively. Details of microresonator solitons and photodiodes are described in the "Materials and methods" section.

To characterize the 6 dB power increase from the microresonator solitons, the PD output powers are measured for both microresonator soliton detection and heterodyne detection on four of our PDs with 7, 8, 10, and 11 µm diameters. The heterodyne measurements are performed using two continuous-wave lasers with the same optical power and polarization. A variable optical attenuator is used to control the optical power illuminating on the PD. In the linear region of PD operation, the 100 GHz mmWave powers at different photocurrents are shown in Fig. 3d for the 7 µm device. The DC photocurrent is a direct measurement of the optical power illuminating on the PD. In the experiment, the coupling distance from the fibre to the PD is increased for uniform illumination, resulting in 1 mA photocurrent for 11 mW optical input power. The mmWave power generated from the microresonator solitons is measured to be 5.8 dB higher than that of heterodyne detection. This power increase approaches the 6 dB theoretical limit, and is verified on all four PDs with different diameters (shown in the inset of Fig. 3d). As a result of the 6 dB power increase, the mmWave power generated using microresonator solitons is within 1 dB of the theoretical power limit of heterodyne detection (solid black line in Fig. 3d), where the detector is assumed to be ideal and has no power roll-off at mmWave frequency. It shall be noted that no optical spectrum flattening is applied in our measurement. For a 5.8 dB power improvement, a 3 dB bandwidth of 7 comb lines is required for the Sech<sup>2</sup> or Gaussian spectral envelope. As discussed in the "Materials and Methods" section, the shape of the spectral envelope has little effect on the mmWave power when the number of comb lines is large.



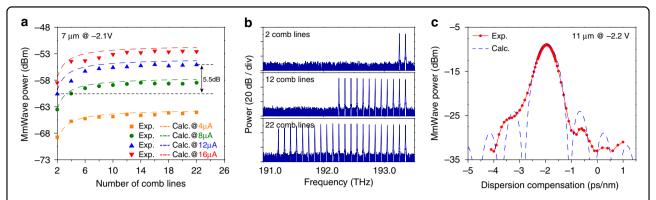
**Fig. 2 Experimental setup.** Microresonator solitons are generated in a SiN resonator that is coarsely temperature controlled by a thermoelectric cooler (TEC). The pump laser is the first modulation sideband of a phase-modulated (PM) continuous-wave (cw) laser, and the sideband frequency can be rapidly tuned by a voltage-controlled oscillator (VCO). The frequencies of the cw laser and phase modulation are  $f_L$  and  $f_{VCO}$ , respectively. The pump laser is then amplified by an erbium-doped fibre amplifier (EDFA), and the amplified spontaneous emission noise is filtered out by a bandpass filter (BPF). At the output of the resonator, a fibre-Bragg grating filter is used to suppress the pump. The microresonator solitons are then amplified, dispersion compensated by a waveshaper (WS), and sent to the photodiode. The configuration also includes polarization controllers (PCs), variable optical attenuator (VOA), source metre (SM), and RF power metre (RF PM)



**Fig. 3 Summary of featured experimental data of 100 GHz mmWave generation. a** Optical spectrum of the single soliton state from the microresonator. The spectrum has a Sech² spectral envelope (fitting shown by the dashed red line). The pump laser is suppressed by a fibre-Bragg grating filter. The inset shows the optical spectrum of the soliton frequency comb after amplification and dispersion compensation. b Microscopic image of the integrated Si<sub>3</sub>N<sub>4</sub> microresonator with a 100 GHz free-spectral range (FSR). **c** Microscopic images: front of photodiode die zoomed in on a single 7 μm device (left), and back of photodiode die flip-chip bonded to an aluminium nitride submount (right). **d** The 100 GHz mmWave output power measured for microresonator solitons (red) and optical heterodyne detection of two cw lasers (blue). The mmWave output power from the soliton is ~5.8 dB more than that of heterodyne detection at the same photocurrent. Theoretical calculated powers from Eq. (1) are shown as dashed lines. In particular, the ideal output power from heterodyne detection is illustrated with a black solid line, which serves as a theoretical limit of heterodyne detection assuming no PD power roll-off at 100 GHz frequency. The inset shows the power increase obtained by using solitons over optical heterodyne detection on four devices with different diameters. **e** Down-converted electrical spectrum of a 100 GHz signal generated with free-running microresonator solitons (red). The inset shows the fitting with Lorentzian (black) and Gaussian (dashed green) lineshapes, and the corresponding 3-dB linewidths are 0.2 kHz and 4 kHz, respectively. As a comparison, the signal generated from the heterodyne method is shown in blue trace. The PD diameter and bias voltage are indicated in each panel

The electrical spectrum of the 100 GHz mmWave signal is measured and shown in Fig. 3e. Limited by the available bandwidth of our electrical spectrum analyser, we down convert the 100 GHz mmWave by sending it to an RF mixer to mix it with the fifth harmonic of a 20.2 GHz local oscillator. The mixer generates a difference frequency at  $\Delta f = 5f_{\rm LO} - f_{\rm r}$ .  $\Delta f$  is measured to be 1.2410 GHz, and we can derive the mmWave frequency as  $f_{\rm r} = 99.7590$  GHz. A low-noise, narrow signal is clearly observed at 3 kHz resolution bandwidth (RBW) in Fig. 3e (red trace). The signal is fitted with a Lorentzian, and the 3-dB bandwidth is 0.2 kHz (zoomed-in panel in Fig. 3e). Note that the soliton repetition rate is subject to fluctuations (laser frequency

drift, temperature, etc.), and the central part of the signal is Gaussian with a 3-dB linewidth of 4 kHz. This narrow linewidth at 100 GHz frequency is obtained for a free-running microcavity soliton, which is driven by a pump laser with significantly broader linewidth (~200 kHz, New Focus 6700 series specification). We note that there are a few bumps around 50 kHz offset frequency, which are likely to be derived from the technical noise of the pump laser. To compare the signal coherence between the conventional heterodyne method and the soliton method, the heterodyne signal of beating the pump laser and another 6700 series New Focus laser is also measured and shown in Fig. 3e (blue trace). At the same RBW, the heterodyne signal has poor



**Fig. 4 MmWave power versus number of comb lines and dispersion. a** MmWave power at 100 GHz for different number of comb lines at four different photocurrents. The measurements agree very well with the theoretical calculation results based on Eq. (1), which are shown as dashed lines. **b** Corresponding optical spectra of two, twelve, and twenty-two comb line measurements in panel (a). **c** MmWave power versus dispersion compensation added by the waveshaper,  $d_c$ . The maximum output power is reached at  $d_c$ =-1.95 ps/nm, where the dispersion from the fibre and EDFA is completely compensated. A theoretical curve from Eq. (2) is shown as dashed line and agrees very well with the measurement. The PD diameter and bias voltage are indicated in each panel

coherence, and its frequency is drifting >5 MHz. Our measurements show that using free-running microcavity solitons can reduce the linewidth of mmWave signals by two orders of magnitude, giving the microresonator soliton platform a key advantage over conventional heterodyne detection. No RF reference is used to stabilize the mmWave; in fact, the only controls used are the coarse temperature controls of the laser and the microresonator, to offset the change in environmental temperature. Further measurements of phase noise and Allan deviation will be introduced in later paragraphs.

Next, we verify the dependence of the mmWave power increase on the number of comb lines, which is described in Eq. (1). A line-by-line waveshaping filter is used to select the number of comb lines that pass to the MUTC PD. We tested the number of comb lines from 2 to 22 at four different photocurrent levels (optical power), and the result is shown in Fig. 4a. Three representative optical spectra for 2, 12, and 22 comb lines are shown in Fig. 4b. The measured mmWave power follows the calculated curves. Interestingly, a 3 or 5 dB increase in power requires only 4 or 9 comb lines. This relatively small demand for comb lines relaxes the microresonator soliton requirement in terms of its optical bandwidth.

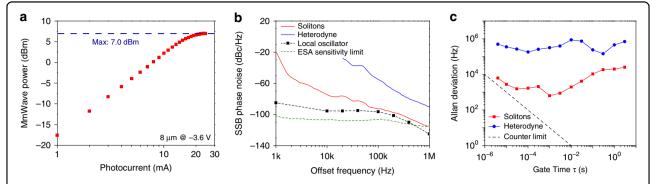
The increase in mmWave power occurs only when the beatnotes generated by different pairs of comb lines are in constructive interference. This is not always the case if there is dispersion between the microresonator and the PD. This effect is studied by applying programmable dispersion using a waveshaper. The measurement of mmWave power versus waveshaper dispersion is shown in Fig. 4c. The effect can be calculated analytically by adding the phase to each comb line, and will modify

Eq. (1) to

$$P_{\rm PD} = \frac{I_{\rm DC}^2 R_{\rm L}}{2} \left[ \frac{2 \sin[(N-1)\pi c df_{\rm r}^2/f_{\rm p}^2]}{N \sin[\pi c df_{\rm r}^2/f_{\rm p}^2]} \right]^2 \times \Gamma \tag{2}$$

where c is the speed of light, and  $d = d_0 + d_c$  is the accumulated group velocity dispersion between the microresonator and PD.  $d_0$  denotes the offset dispersion in the system introduced by fibres and amplifiers, and  $d_c$ represents the dispersion compensation added by the waveshaper. The derivation of Eq. (2) is shown in the "Materials and Methods" section. The measurement and theory prediction agree very well when an offset dispersion of  $d_0 = 1.95$  ps/nm is included. The offset dispersion exists in our system because of the 70-m fibre used to connect the microcomb lab and photodetector lab (contributing 1.26 ps/nm), with the rest of the dispersion coming from the fibres in the EDFA. N is used as a free parameter for fitting the experimental curve, and N = 15is used for the dashed line in Fig. 4c. The fitted N should be interpreted as the effective number of comb lines to account for the spectral envelope shape. When the entire system is fully integrated, the overall length of waveguides will be well below a metre, and the dispersion will not impact the mmWave power.

We obtain a maximum output power of 7 dBm at 22.5 mA for the 8  $\mu$ m device shown in Fig. 5a, due to the optimized light coupling from the size match of the 8  $\mu$ m spot-size collimated fibre and diameter of the PD's absorber. Using Eq. (1), we find that the ideal heterodyne response for this 8  $\mu$ m device would need 26.7 mA to achieve 7 dBm, which means we can produce the same power at a lower average photocurrent using soliton excitation. The 7 dBm saturation power is recorded at



**Fig. 5 Measurement of mmWave power, mmWave phase noise, and Allan deviation. a** The maximum power of 7 dBm is reached at 22.5 mA and -3.6 V bias voltage in the 8 μm device. **b** Phase noises of the free-running soliton-based mmWave (red) and the heterodyne mmWave (blue) at 100 GHz. The measurement sensitivity floor is set by both the ESA sensitivity limit (dashed green), and the local oscillator phase noise (dashed black). **c** Allan deviation of the free-running soliton-based mmWave (red) and the heterodyne mmWave (blue)

 $-3.6\,\mathrm{V}$  bias. Increasing the reverse bias can improve the saturation power; however, ultimately this can cause PD thermal failure<sup>33</sup> due to the rise in junction temperature from the dissipated power in the PD (reverse bias  $\times$  average photocurrent). One advantage of using solitons is that they can generate the same RF output power at a lower photocurrent than the two-laser heterodyne method, and thus can reduce the dissipated power and allow the PD to be operated further below the point of thermal failure.

We further characterize the phase noise of the mmWaves generated from the free-running microcavity solitons, and compare it to the phase noise from the heterodyne method. Similar to the linewidth measurement, the 100 GHz mmWave signal is down-converted in an RF mixer, where it is mixed with the fifth harmonic of a 20.2 GHz local oscillator. To minimize the effect of frequency drifting in the phase noise measurement, the frequency of the down-converted signal is further divided down electrically by a factor of 14 and 100 for the soliton and heterodyne, respectively. The phase noise is then measured in the electrical spectrum analyser with direct detection technique, and the result (at 100 GHz) is shown in Fig. 5b. Due to the large frequency drift, the heterodyne phase noise below 20 kHz offset frequency cannot be accurately characterized and thus is not presented. The soliton phase noise beyond 100 kHz is potentially limited by the measurement sensitivity, which is set by the noise floor of the spectrum analyser (dashed green), and the phase noise of the local oscillator (Keysight, PSG E8257D) used to down convert the mmWave (dashed black). The measurement shows that the freerunning solitons can reduce the mmWave phase noise by >25 dB compared to that of the heterodyne method. The reduction in phase noise from the pump laser frequency to the soliton repetition rate is a result of the noise transfer mechanism in microresonator solitons<sup>20</sup>. Our observation is in agreement with previous reports of X-band and K-band microwave generation with microresonator solitons<sup>20,21,34</sup>. The phase noise of soliton-based mmWaves can be further reduced in the future by using a pump laser with higher stability<sup>35</sup>, tuning the soliton into quiet operation point<sup>20</sup>, and implementing better temperature control of the entire system. For instance, a compact external-cavity diode laser has recently achieved a Lorentzian linewidth of 62 Hz<sup>36</sup>. Using this laser to drive the soliton could further reduce the free-running mmWave phase noise.

Finally, the Allan deviations of the mmWave generated from the soliton and the heterodyne detection are measured by counting the frequency of the down-converted signal on a zero dead-time counter (Fig. 5c). At 1 ms gate time, the Allan deviation of the soliton-based mmWave reaches the minimum of < 0.7 kHz, which is more than two orders of magnitude better than that of heterodyne detection. Above 1 ms gate time, the Allan deviation of the soliton-based mmWave increases due to the pump laser frequency drift and temperature fluctuation. Stabilizing the mmWave signal to a low-frequency reference could provide long-term stability, which will increase the system complexity, but is possible through the electrooptics modulation method<sup>31</sup> or dual microcavity soliton methods<sup>11,37</sup>.

### Discussion

In summary, we have demonstrated high-power, high-coherence mmWave generation at 100 GHz by using integrated microresonator solitons and MUTC PDs. Extending the frequency to several hundred GHz is possible. For microresonator solitons, the highest repetition rate reported is 1 THz<sup>17</sup>, while demonstrated MUTC PDs have detection capabilities of at least 300 GHz<sup>38,39</sup>. As the microresonator solitons consume very little pump power, and most of the pump transmits

through the waveguide<sup>5</sup>, it is possible to recycle the pump laser power to drive the next microresonator solitons (Fig. 1). Two tandem microresonator solitons driven by the same pump laser have been reported previously 14. The proposed platform has the potential to be fully integrated on a single chip, which can enable largescale mmWave arrays. The four critical components, laser, Kerr microresonator, amplifier, and ultrafast photodiode, have all been shown to be compatible with Si<sub>3</sub>N<sub>4</sub> photonic platforms through heterogeneous integration. Once all components are fully integrated, we expect that the platform can deliver a new paradigm regarding scalable, integrated photonics technologies for applications at very high frequencies, and thus provide a path to compact, low-noise, high-frequency sources for spectroscopy, ranging, and wireless communications.

## Materials and methods

## Microresonator soliton generation

The dissipative Kerr solitons used in this work are generated in an integrated, bus-waveguide coupled Si<sub>3</sub>N<sub>4</sub> micro-ring resonator. The resonator has an FSR of  $\sim 100$  GHz, an intrinsic quality factor of  $2.6 \times 10^6$  and a loaded quality factor of  $2.2 \times 10^6$ . The SiN resonator has a cross-section, width  $\times$  height, of  $1.55 \times 0.8 \,\mu\text{m}^2$ , and is coupled to a bus-waveguide of the same cross-section. The resonator radius is 0.24 mm, and the solitongeneration mode has anomalous dispersion of ~1 MHz/ FSR. A thermoelectric cooler (TEC) is placed beneath the microresonator to coarsely overcome environmental temperature fluctuations. To generate a single soliton state, a rapid pump laser frequency scanning method<sup>40</sup> is applied to overcome the thermal complexity when accessing the red-detuned soliton existence regime. The detailed experimental setup is shown in Fig. 2. The pump laser is derived from the first phase-modulated sideband of a continuous-wave laser, and the sideband frequency can be rapidly tuned by a voltage-controlled oscillator (VCO). The pump laser scans its frequency at the speed of  $\sim 20 \,\text{GHz/\mu s}$ , and the scan is stopped immediately once the pump laser frequency reaches the red-detuned regime of the resonator. The pump power in the waveguide is 1 Watt, which could be reduced in the future by 2 orders of magnitude by improving the quality factor and minimizing the thermal effect<sup>41</sup>. The optical spectrum has a 3-dB bandwidth of 5.4 THz, which contains a sufficient number of comb lines for photodetection. The solitons are coupled from the SiN on-chip bus waveguide into a lensed fibre. Before reaching the MUTC PD, the soliton/heterodyne laser is amplified to >200 mW, and a variable optical attenuator is used to precisely control the illumination power. Finally, the solitons are coupled to the surface normal MUTC PD through an 8 µm collimated fibre.

# Modified uni-traveling carrier photodiode

The charge-compensated modified uni-traveling carrier photodiode (MUTC PD) operates under the principle of single carrier transit, and compared to traditional p-i-n photodiodes, isolating electrons for this transit process eliminates the dependency on the slowertraveling holes leading to higher-speed operation. To accomplish this, the photon absorption process which generates electron-hole pairs in the PD absorber layer, occurs close to the p-contact layer, allowing the excess holes to be quickly collected in response to the p-type material dielectric relaxation time. To further enhance the speed of the PD response, by step grading the doping of the partially depleted absorber, an electric field is generated which accelerates the electrons through the absorber and towards the transparent and depleted drift layer. To prevent electric field collapse at the heterointerface of the absorber and drift layer, a fully depleted absorber layer and a moderately doped cliff layer help to maintain electric field strength and accelerate the electrons into the drift layer 42,43. Once in the drift layer, electron space-charge effects are mitigated or chargecompensated by the light n-type doping in the drift layer 44. Fabrication flow of the PDs and similar PD epitaxial layering structures have been reported previously<sup>45</sup>, and so has the AlN submount<sup>46</sup>. The MUTC PDs used in this experiment have demonstrated dark currents as low as 200 pA at -2 V, a 3-dB bandwidth of up to 145 GHz (4 µm diameter PD), a responsivity of 0.2 A/W at 1550 nm, and a -2.6 dBm maximum output power at 160 GHz at -3 V bias<sup>38</sup>. They have also been investigated as viable receivers for soliton applications ranging from 50 to 500 GHz<sup>47,48</sup>. The 3-dB bandwidth at 5 mA and -3 V bias for the 7, 8, 10, and 11 µm diameter PDs used in this experiment are 92 GHz, 90 GHz, 70 GHz, and 70 GHz, respectively. Note that in Fig. 3d, the ideal heterodyne power calculated using Eq. (1) where N = 2, assumes a 100% modulation depth; however, the measured modulation depth of the signal was 89%, leading to the observed mismatch in the measured and calculated heterodyne power.

# MmWave linewidth reduction

Our observation of linewidth reduction is in agreement with previous reports of microresonator solitons at X- and K-band repetition frequencies<sup>20,21</sup>. The soliton repetition frequency equals the cavity FSR at the wavelength of the soliton spectral envelope centre. Both Raman self-frequency shift<sup>49</sup> and dispersive wave recoils can affect the soliton envelope centre wavelength<sup>6,20</sup>, and they are functions of laser-cavity frequency detuning. This can be clearly seen in Fig. 3a, as our soliton's envelope centre is to the red side of the pump laser. Because of the chromatic dispersion, the FSR at different wavelengths is

different, and thus, the variation in the pump laser frequency,  $f_{\rm p}$ , will alter the soliton spectral envelope centre, and change the soliton repetition rate,  $f_{\rm r}$ . To the first order, the transfer of frequency variation from the pump  $(\delta f_{\rm p})$  to the repetition rate  $(\delta f_{\rm r})$  can be described as  $\delta f_{\rm r} = \frac{\partial f_{\rm r}}{\partial f_{\rm p}} \times \delta f_{\rm p}$ , where  $\delta$  denotes the variation. For both silica and silicon nitride resonators<sup>20,50</sup>, this transfer coefficient  $\frac{\partial f_{\rm r}}{\partial f_{\rm p}}$  has been measured to be on the level of  $10^{-2}$ , and thus, the soliton repetition rate linewidth is much smaller than that of the pump laser.

# MmWave power versus dispersion

Optical pulses that propagate in an optical fibre will acquire additional phase due to group velocity dispersion in the fibre. Suppose the centre frequency of the pulse is  $\omega_{\rm p}$ ; then, the component at frequency  $\omega$  will acquire a relative phase after propagation of distance  $z^{51}$ :

$$E(z,\omega) = E(0,\omega) \exp\left[-i\frac{D_{\lambda}\lambda^{2}}{4\pi c} \left(\omega - \omega_{p}\right)^{2} z\right] + \text{c.c.}$$
(3)

where  $E(0,w)=E_0\sqrt{(2N)}\exp(-iwt)$  is the electrical field of light at frequency  $\omega$  and position z=0, normalized to the photon number per unit time. Here, we have assumed a flat spectrum for the comb, and N is the total number of comb lines.  $D_\lambda$  is the group velocity dispersion parameter, and  $D_\lambda \approx 18 \text{ ps/nm/km}$  for the SMF-28 fibre at 1550 nm. For soliton frequency combs,  $(\omega-\omega_{\rm p})/2\pi=n\times f_{\rm r}$  for the n-th comb line from the spectral envelope centre, where  $f_{\rm r}$  is the comb repetition frequency. Therefore, the photocurrent generated in the photodiode is

$$I \equiv I_{DC} + I_{AC} = |E|^2 = \left| \sum_{-N_0}^{N_0} E(0, \omega) \exp\left[ -\frac{i\pi c f_r^2}{f_p^2} n^2 D_{\lambda} z \right] + \text{c.c.} \right|^2$$

$$= |E_0|^2 + |E_0|^2 \frac{2\sin\left[ (N-1)\pi c D_{\lambda} z f_r^2 / f_p^2 \right]}{N\sin\left[ \pi c D_{\lambda} z f_r^2 / f_p^2 \right]} \cos(2\pi f_r t) + \cdots$$
(4)

where we have used  $\sum_{k=m}^n ar^k = a(r^m-r^{n+1})/(1-r)$  to derive the term  $\cos(2\pi f_r t)$ , and we have set  $2N_0+1=N$ . Higher harmonics of the repetition frequency are neglected as they are beyond the detection limit of our photodiode. Considering  $I_{\rm DC}$  as the average photocurrent flowing through the load resistor  $R_{\rm L}$ , the detected mmWave power at frequency  $f_{\rm r}$  is as follows:

$$P_{f_{\rm r}} = \frac{I_{\rm DC}^2 R_{\rm L}}{2} \left[ \frac{2 {\rm sin}[(N-1) \pi c df_{\rm r}^2/f_{\rm p}^2]}{N {\rm sin}[\pi c df_{\rm r}^2/f_{\rm p}^2]} \right]^2 \times \Gamma \tag{5}$$

where we have defined  $d = D_{\lambda} \times z$  as accumulated dispersion, and Γ is the PD power roll-off at the repetition

frequency. This equation is the same as Eq. (2) in the main text. When dispersion is very small  $(d \rightarrow 0)$ , the detected mmWave power is approximated by

$$P_{f_{\rm r}} = \frac{I_{\rm DC}^2 R_{\rm L}}{2} \left[ \frac{2(N-1)}{N} \right]^2 \times \Gamma \tag{6}$$

which is Eq. (1) in the main text.

# MmWave power versus optical spectral envelope

In this section, we calculate the impact of the optical spectral envelope on the mmWave power. For simplicity, we assume that the optical envelope is symmetric along the envelope centre, and we assume no accumulated dispersion. For the *n*-th comb line, we have

$$E(\omega_n) = f(n) \frac{E_0}{\sqrt{2N}} e^{-i\omega_n t} + \text{c.c.}$$
 (7)

where function f(n) is real and describes the spectral envelope. We focus on the case where the number of comb lines is large, so that we can assume the envelope is smooth, and  $|f(n+1) - f(n)| \ll f(n)$ . The photocurrent is then expressed as

$$I = |E|^{2} = \left| \sum_{-N_{0}}^{N_{0}} f(n) \frac{E_{0}}{\sqrt{2N}} e^{-i\omega_{n}t} + \text{c.c.} \right|^{2} = \frac{|E_{0}|^{2}}{N} \sum_{-N_{0}}^{N_{0}} f^{2}(n) + \frac{2|E_{0}|^{2}}{N} \cos(2\pi f_{r}t) \times \sum_{n=-N_{0}}^{N_{0}-1} f(n)f(n+1) + \cdots$$
(8)

where we have neglected higher harmonics of the repetition frequency again. The sum can be simplified by using the symmetric envelope condition, f(-n) = f(n), and we can substitute  $f(n+1) = f(n) + \Delta f(n+1/2)$ , where  $\Delta f(n+1/2)$  is the difference between f(n+1) and f(n), and  $\Delta f(x)$  is an odd function. Therefore, we have

$$\sum_{n=-N_0}^{N_0-1} f(n)f(n+1) = \sum_{n=-N_0}^{N_0} f(n)f(n+1) - f(N_0)f(N_0+1)$$

$$= \sum_{n=-N_0}^{N_0} f(n)[f(n) + \Delta f(n+1/2)] - f(N_0)f(N_0+1)$$

$$= \sum_{n=-N_0}^{N_0} f^2(n) + \sum_{n=-N_0}^{N_0} f(n)\Delta f(n+1/2) - f(N_0)f(N_0+1)$$

$$\approx \sum_{n=-N_0}^{N_0} f^2(n) - f(N_0)f(N_0+1)$$
(9)

where we have used  $f(n)\Delta f(n+1/2)$ , which is approximated to an odd function when the spectrum is broad, and thus  $|f(n+1)-f(n)| \ll f(n)$ , and  $\Delta f(n+1/2) \approx \Delta f(n)$ . It is clear that when N and  $N_0$  are very large, the sum is

dominated by the total optical power,  $\sum_{n=-N_0}^{N_0} f^2(n)$ , and is almost irrelevant to the function of the envelope. The mmWave power can be expressed as

$$P_{f_{\rm r}} = \frac{I_{\rm DC}^2 R_{\rm L}}{2} \left[ 2 - \frac{2f(N_0)f(N_0 + 1)}{\sum_{N_0}^{N_0} f^2(n)} \right]^2 \times \Gamma$$
 (10)

when  $N_0 \to \infty$ ,  $f(N_0)f(N_0+1) \ll \sum_{-N_0}^{N_0} f^2(n)$ , and the power gain relative to heterodyne detection approaches 6 dB regardless of the spectral envelope f(n). It shall be noted that this result applies only to the case where the spectral envelope is symmetric and smooth; otherwise, the approximation used in Eq. (9) will fail.

## Acknowledgements

The authors thank Ligentec and VLC Photonics for resonator fabrication, S. Bowers at UVA for access to the spectrum analyser, and Q.F. Yang at Caltech for helpful comments during the preparation of this manuscript. The authors also gratefully acknowledge the support from the National Science Foundation and Defense Advanced Research Projects Agency (DARPA) under HR0011-15-C-0055 (DODOS). X.Y. is also supported by Virginia Space Grant Consortium.

#### **Author details**

<sup>1</sup>Department of Electrical and Computer Engineering, University of Virginia, Charlottesville, VA 22904, USA. <sup>2</sup>Department of Physics, University of Virginia, Charlottesville, VA 22904, USA. <sup>3</sup>Freedom Photonics LLC, Santa Barbara, CA, LISA

## **Author contributions**

X.Y. and A.B conceived the concept. B.W. and J.S.M. performed the experiments, with assistance from K.S., M.J., and Z.Y. J.S.M., M.W., and S.E. fabricated the modified uni-traveling carrier photodiode. B.W., J.S.M., A.B. and X.Y. analysed the data. All authors contributed to the writing of the manuscript.

## Data availability

The data that support the plots within this paper and other findings of this study are available from the corresponding author upon reasonable request.

# Conflict of interest

The authors declare that they have no conflict of interest.

Received: 30 August 2020 Revised: 24 November 2020 Accepted: 26 November 2020

Published online: 01 January 2021

## References

- Cooper, K. B. et al. Penetrating 3-D imaging at 4- and 25-m range using a submillimeter-wave radar. *IEEE Trans. Microw. Theory Tech.* 56, 2771–2778 (2008).
- Kleine-Ostmann, T. & Nagatsuma, T. A review on terahertz communications research. J. Infrared Millim. Terahertz Waves 32, 143–171 (2011).
- Koenig, S. et al. Wireless sub-THz communication system with high data rate. Nat. Photonics 7, 977–981 (2013).
- Herr, T. et al. Temporal solitons in optical microresonators. Nat. Photonics 8, 145–152 (2014).
- Yi, X. et al. Soliton frequency comb at microwave rates in a high-Q silica microresonator. Optica 2, 1078–1085 (2015).
- Brasch, V. et al. Photonic chip-based optical frequency comb using soliton Cherenkov radiation. Science 351, 357–360 (2016).
- Gong, Z. et al. High-fidelity cavity soliton generation in crystalline AIN microring resonators. Opt. Lett. 43, 4366–4369 (2018).

- Gaeta, A. L., Lipson, M. & Kippenberg, T. J. Photonic-chip-based frequency combs. Nat. Photonics 13, 158–169 (2019).
- He, Y. et al. Self-starting bi-chromatic LiNbO<sub>3</sub> soliton microcomb. Optica 6, 1138–1144 (2019).
- Kippenberg, T. J. et al. Dissipative Kerr solitons in optical microresonators. Science 361, eaan8083 (2018).
- Spencer, D. T. et al. An optical-frequency synthesizer using integrated photonics. Nature 557, 81–85 (2018).
- Marin-Palomo, P. et al. Microresonator-based solitons for massively parallel coherent optical communications. *Nature* 546, 274–279 (2017).
- Suh, M. G. et al. Microresonator soliton dual-comb spectroscopy. Science 354, 600–603 (2016).
- Dutt, A. et al. On-chip dual-comb source for spectroscopy. Sci. Adv. 4, e1701858 (2018).
- Del'Haye, P. et al. Optical frequency comb generation from a monolithic microresonator. Nature 450, 1214–1217 (2007).
- Suh, M. G. & Vahala, K. Gigahertz-repetition-rate soliton microcombs. Optica 5, 65–66 (2018)
- Li, Q. et al. Stably accessing octave-spanning microresonator frequency combs in the soliton regime. Optica 4, 193–203 (2017).
- Kuo, F. M. et al. Spectral power enhancement in a 100 GHz photonic millimeter-wave generator enabled by spectral line-by-line pulse shaping. J. IEEE Photonics 2, 719–727 (2010).
- Liang, W. et al. High spectral purity Kerr frequency comb radio frequency photonic oscillator. Nat. Commun. 6, 7957 (2015).
- Yi, X. et al. Single-mode dispersive waves and soliton microcomb dynamics. Nat. Commun. 8, 14869 (2017).
- Liu, J. Q. et al. Photonic microwave generation in the X- and K-band using integrated soliton microcombs. Nat. Photonics 14, 486–491 (2020).
- Stern, B. et al. Battery-operated integrated frequency comb generator. *Nature* 562, 401–405 (2018).
- Xiang, C. et al. Narrow-linewidth III-V/Si/Si<sub>3</sub>N<sub>4</sub> laser using multilayer heterogeneous integration. Optica 7, 20–21 (2020).
- de Beeck, C. O. et al. Heterogeneous III-V on silicon nitride amplifiers and lasers via microtransfer printing. Optica 7, 386–393 (2020).
- Yu, Q. H. et al. Heterogeneous photodiodes on silicon nitride waveguides. Opt. Express 28, 14824–14830 (2020).
- Sun, K. Y. & Beling, A. High-speed photodetectors for microwave photonics. *Appl. Sci.* 9, 623 (2019).
- Urick, V. J. Jr., McKinney, J. D. & Williams, K. J. Fundamentals of Microwave Photonics (John Wiley & Sons, New York, 2015).
- Wun, J. M. et al. Photonic high-power 160-GHz signal generation by using ultrafast photodiode and a high-repetition-rate femtosecond optical pulse train generator. IEEE J. Sel. Top. Quantum Electron. 20, 3803507 (2014).
- Zhang, S. Y. et al. Terahertz wave generation using a soliton microcomb. Opt. Express 27, 35257–35266 (2019).
- Zang, J. Z. et al. Wide-band millimeter-wave synthesizer by integrated microcomb photomixing. In *Conference on Lasers and Electro-Optics (CLEO)*, SF10.1 2020 (Optical Society of America, 2020). https://doi.org/10.1364/ CLEO SI.2020SF10.1.
- Tetsumoto, T. et al. 300 GHz wave generation based on a Kerr microresonator frequency comb stabilized to a low noise microwave reference. Opt. Lett. 45, 4377–4380 (2020).
- Xie, X. J. et al. Improved power conversion efficiency in high-performance photodiodes by flip-chip bonding on diamond. Optica 1, 429–435 (2014).
- Xie, X. J. et al. Photonic generation of high-power pulsed microwave signals. J. Lightwave Technol. 33, 3808–3814 (2015).
- Weng, W. L. et al. Frequency division using a soliton-injected semiconductor gain-switched frequency comb. Sci. Adv. 6, eaba2807 (2020).
- Lucas, E. et al. Ultralow-noise photonic microwave synthesis using a soliton microcomb-based transfer oscillator. Nat. Commun. 11, 374 (2020).
- Volet, N. et al. Micro-resonator soliton generated directly with a diode laser. Laser Photonics Rev. 12. 1700307 (2018).
- Wang, B. C. et al. Vernier frequency division with dual-microresonator solitons. Nat. Commun. 11, 3975 (2020).
- Beling, A. et al. High-speed integrated photodiodes. In Proc. 2019 24th OptoElectronics and Communications Conference (OECC) and 2019 International Conference on Photonics in Switching and Computing (PSC). Fukuoka: 2019, 1–3, https://doi.org/10.23919/PS.2019.8818022.
- Dülme, S. et al. 300 GHz photonic self-mixing imaging- system with vertical illuminated triple-transit-region photodiode Terahertz emitters. In

- 2019 International Topical Meeting on Microwave Photonics (MWP) 1–4 (IEEE, 2019). https://doi.org/10.1109/mwp.2019.8892098.
- Stone, J. R. et al. Thermal and nonlinear dissipative-soliton dynamics in Kerrmicroresonator frequency combs. *Phys. Rev. Lett.* 121, 063902 (2018).
- 41. Liu, J. Q. et al. Ultralow-power chip-based soliton microcombs for photonic integration. *Optica* **5**, 1347–1353 (2018).
- Jun, D. H. et al. Improved efficiency-bandwidth product of modified unitraveling carrier photodiode structures using an undoped photo- absorption layer. *Jpn. J. Appl. Phys.* **45**, 3475–3478 (2006).
- Shimizu, N. et al. InP-InGaAs uni-traveling-carrier photodiode with improved 3dB bandwidth of over 150 GHz. IEEE Photonics Technol. Lett. 10, 412–414 (1998)
- 44. Li, N. et al. High-saturation-current charge-compensated InGaAs-InP unitraveling-carrier photodiode. *IEEE Photonics Technol. Lett.* **16**, 864–866 (2004)
- 45. Li, Q. L. et al. High-power flip-chip bonded photodiode with 110 GHz bandwidth. *J. Lightwave Technol.* **34**, 2139–2144 (2016).

- Morgan, J. S. et al. High-power flip-chip bonded modified uni-traveling carrier photodiodes with –2.6 dBm RF output power at 160 GHz. In *Proc. 2018 IEEE* Photonics Conference (IPC), 1–2. https://doi.org/10.1109/ipcon.2018.8527260 (2018)
- Zang, J. Z. et al. Soliton microcomb-based millimeter-wave synthesizer. In: Proc. 2019 IEEE Avionics and Vehicle Fiber-Optics and Photonics Conference (AVFOP) 1–2 (2019).
- Zang, J. Z. et al. Millimeter-wave synthesizer based on microresonator soliton dual-comb photomixing. In OSA Advanced Photonics Congress (AP) 2019 (IPR, Networks, NOMA, SPPCom, PVLED). IT1A. 4 (Optical Society of America, 2019). https://www.osapublishing.org/abstract.cfm? uri=IPRSN-2019-IT1A.4#Abstract.
- 49. Karpov, M. et al. Raman self-frequency shift of dissipative Kerr solitons in an optical microresonator. *Phys. Rev. Lett.* **116**, 103902 (2016).
- Bao, C. Y. et al. Soliton repetition rate in a silicon-nitride microresonator. Opt. Lett. 42, 759–762 (2017).
- 51. Agrawal, G. P. Nonlinear Fiber Optics 4th edn (Academic Press, Boston, 2007).