



# CT radiomics features to predict lymph node metastasis in advanced esophageal squamous cell carcinoma and to discriminate between regional and non-regional lymph node metastasis: a case control study

Jing Ou<sup>1#</sup>, Lan Wu<sup>2#</sup>, Rui Li<sup>1</sup>, Chang-Qiang Wu<sup>1</sup>, Jun Liu<sup>1</sup>, Tian-Wu Chen<sup>1^</sup>, Xiao-Ming Zhang<sup>1</sup>, Sun Tang<sup>1</sup>, Yu-Ping Wu<sup>1</sup>, Li-Qin Yang<sup>1</sup>, Bang-Guo Tan<sup>1</sup>, Fu-Lin Lu<sup>1</sup>

<sup>1</sup>Sichuan Key Laboratory of Medical Imaging, and Department of Radiology, Affiliated Hospital of North Sichuan Medical College, Nanchong, China; <sup>2</sup>Department of Radiology, The Second Affiliated Hospital of Chongqing Medical University, Chongqing, China

<sup>#</sup>These authors contributed equally to this work.

*Correspondence to:* Tian-Wu Chen, MD. Sichuan Key Laboratory of Medical Imaging, and Department of Radiology, Affiliated Hospital of North Sichuan Medical College, 63# Wenhua Road, Shunqing District, Nanchong, China. Email: tianwuchen\_nsmc@163.com.

**Background:** Prediction of lymph node status in esophageal squamous cell carcinoma (ESCC) is critical for clinical decision making. In clinical practice, computed tomography (CT) has been frequently used to assist in the preoperative staging of ESCC. Texture analysis can provide more information to reflect potential biological heterogeneity based on CT. A nomogram for the preoperative diagnosis of lymph node metastasis in patients with resectable ESCC has been previously developed. However, to the best of our knowledge, no reports focus on developing CT radiomics features to discriminate ESCC patients with regional lymph node metastasis (RLNM) and non-regional lymph node metastasis (NRLNM). We, therefore, aimed to develop CT radiomics models to predict lymph node metastasis (LNM) in advanced ESCC and to discriminate ESCC between RLNM and NRLNM.

**Methods:** This study enrolled 334 patients with pathologically confirmed advanced ESCC, including 152 patients without LNM and 182 patients with LNM, and 103 patients with RLNM and 79 patients NRLNM. Radiomics features were extracted from CT data for each patient. The least absolute shrinkage and selection operator (LASSO) model and independent samples t-tests or Mann-Whitney U tests were exploited for dimension reduction and selection of radiomics features. Optimal radiomics features were chosen using multivariable logistic regression analysis. The discriminating performance was assessed by area under the receiver operating characteristic curve (AUC) and accuracy.

**Results:** The radiomics features were developed based on multivariable logistic regression and were significantly associated with LNM status in both the training and validation cohorts ( $P < 0.001$ ). The radiomics models could differentiate between patients with and without LNM (AUC = 0.79 and 0.75, and accuracy = 0.75 and 0.71 in the training and validation cohorts, respectively). In patients with LNM, the radiomics features could effectively differentiate between RLNM and NRLNM (AUC = 0.98 and 0.95, and accuracy = 0.94 and 0.83 in the training and validation cohorts, respectively).

**Conclusions:** CT radiomics features could help predict the LNM status of advanced ESCC patients and effectively discriminate ESCC between RLNM and NRLNM.

**Keywords:** Esophageal neoplasms; squamous cell carcinoma; X-ray; computed tomography (CT); lymphatic metastasis

<sup>^</sup> ORCID: 0000-0001-5776-3429.

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## Introduction

Esophageal cancer is the eighth most common malignant tumor worldwide, and squamous cell carcinoma is the predominant histological type (1,2). In clinical settings, patients with early stage esophageal squamous cell carcinoma (ESCC) are likely N0, requiring esophagectomy alone, while lymph node metastasis (LNM) is most common in ESCC patients with locally advanced or metastatic status. Advanced tumors are likely regional N+ and require preoperative or postoperative chemotherapy or chemoradiotherapy (3). According to the definition of the 7th edition of the American Joint Committee on Cancer (AJCC) staging system, cervical lymph nodes are regarded as non-regional or distant lymph nodes in ESCC (4). Patients with cervical LNM or distant LNM have been classified as having stage IVa/IVb disease. Stage IVa exists only in 2 circumstances, including cervical LNM from upper third cancer and celiac LNM from lower third cancer. Patients with IVb stage cancer or distant metastases are regarded as having an incurable disease, and these patients cannot undergo surgery, only chemotherapy and/or radiotherapy (5). Therefore, the prediction of lymph node status in ESCC patients is critical for clinical decision making.

In clinical practice, although computed tomography (CT) has been frequently used to assist with the preoperative staging of ESCC, the detection accuracy of positive lymph nodes on preoperative CT remains controversial, and the reported accuracy, specificity, and sensitivity range between 85.8–87.2%, 63.9–96.4%, and 37.3–67.2%, respectively (6). Recent studies have found that texture analysis can provide more information to reflect potential biological heterogeneity based on widely available CT images (7-9). Radiomics is an emerging field that enables high-throughput extraction of quantitative features from medical images (e.g., CT), and enhances the accuracy of diagnosis, prognosis, and prediction, especially for tumors (10-12). In previous studies (13,14), Tan *et al.* and Shen *et al.* developed nomograms for the preoperative diagnosis of LNM in patients with resectable ESCC. Qu *et al.* adopted a multivariable logistic regression analysis to assess the MR radiomics signature's role in the preoperative prediction of LNM in patients with esophageal cancer (15). However, to

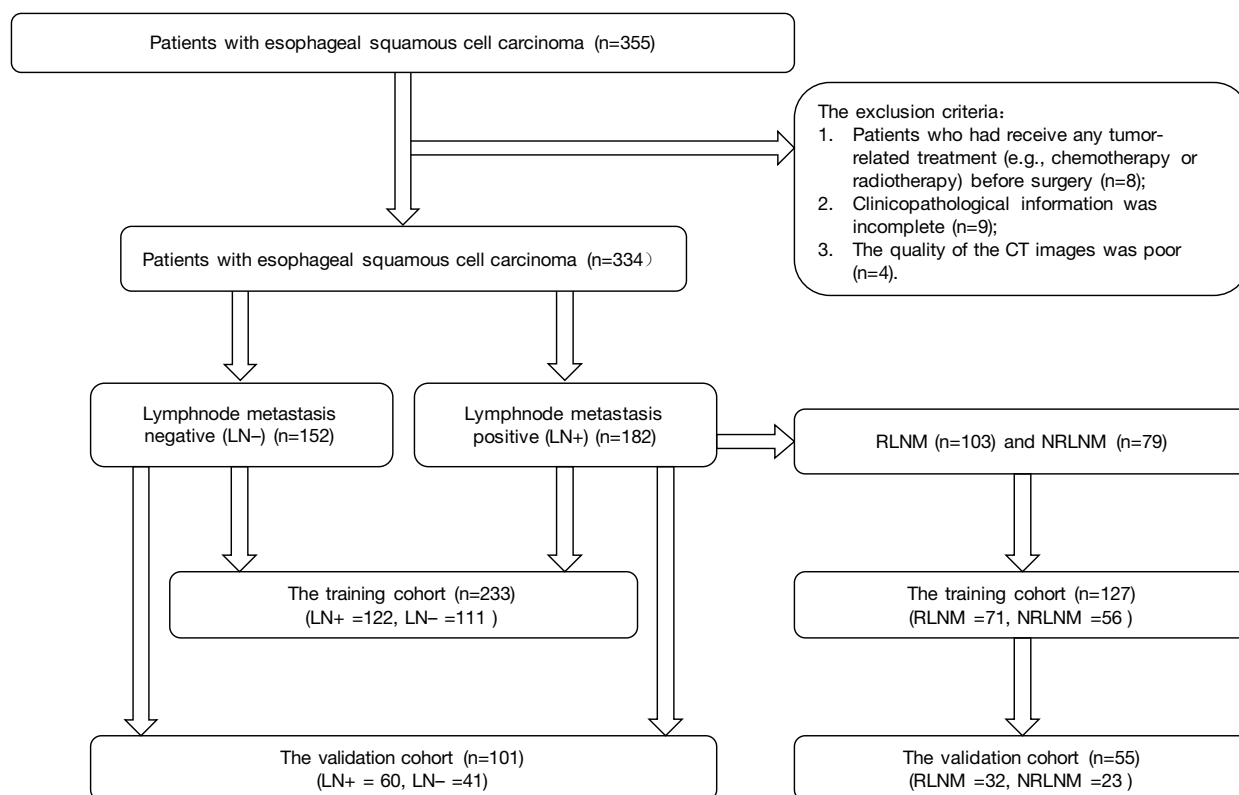
the best of our knowledge, no reports focus on developing CT radiomics features to discriminate between patients with regional LNM (RLNM) and non-regional LNM (NRLNM) despite previous radiomics models for the preoperative diagnosis of LNM in patients with resectable ESCC. Therefore, the purpose of our study was to develop a new CT radiomics model for predicting LNM in advanced ESCC, and a superior radiomics model for discriminating ESCC between RLNM and NRLNM.

## Methods

### Patients

Ethical approval was obtained for this study, including the retrospective analysis of prospectively acquired data. All participants provided written informed consent before our study.

This study evaluated the institutional database for medical records between September 2016 and January 2019 to identify patients with biopsy-confirmed ESCC who underwent CT scans. The inclusion criteria were as follows: (I) patients had endoscopic biopsy-confirmed ESCC; (II) patients without LNM and with RLNM received esophagectomy within 14 days after the contrast-enhanced CT scan, and the resected lymph node status was pathologically determined after regional lymphadenectomy or extended regional lymphadenectomy; and (III) in patients with NRLNM (e.g., cervical lymph node metastasis), the lymph node status was pathologically confirmed by fine needle aspiration before undergoing neoadjuvant chemotherapy. The exclusion criteria were as follows: (I) patients received any tumor-related treatment (e.g., chemotherapy or radiotherapy) before undergoing CT (n=8); (II) clinicopathological information was incomplete (n=9); or (III) the quality of the CT images was poor (n=4). Ultimately, 334 patients (237 men and 97 women; age range 40–82 years) who met the criteria were enrolled in our study. Of the enrolled patients, no ESCC involved the esophagogastric junction, and 182 patients had LNM, whereas the remaining 152 patients did not as shown on CT. Of the 182 patients with LNM, 103 patients had RLNM, and 79 patients had NRLNM. As for RLNM, it should be noted that regional lymph node status was



**Figure 1** Flow chart of patient recruitment in this study. LN+, lymph node metastasis positive; LN-, lymph node metastasis negative; RLNM, regional lymph node metastasis; NRLNM, non-regional lymph node metastasis.

defined as those in the periesophageal tissue from the upper esophageal sphincter to the celiac artery. Cervical LNM from upper third ESCC was considered as having RLNM in esophageal cancer, while cervical LNM from middle and lower third ESCC was regarded as NRLNM (4). In this study, all patients with NRLNM had cervical LNM, and the cervical lymph node status was identified according to the histopathological reports after fine needle aspiration. In all the 79 patients with NRLNM, cervical LNM was found from middle and lower third ESCC, and no celiac LNM was found as shown on CT.

All enrolled patients, including patients with and without LNM, were randomly divided into the training cohort (n=233) and the validation cohort (n=101) in a 7:3 ratio according to the published report by Chen *et al.* for developing CT radiomics features to predict LNM in ESCC (16). In the training cohort, 122 patients had LNM, whereas 111 did not. In the validation cohort, 60 patients had LNM, whereas 41 patients did not.

In order to develop CT radiomics features for

discriminating patients with RLNM and NRLNM, the 182 patients with LNM were randomly subdivided into another training cohort (n=127) and another validation cohort (n=55) in the ratio mentioned above. In this training group, 71 patients had RLNM, whereas 56 had NRLNM. In the validation group, 32 patients had RLNM, while 23 had NRLNM. The research sequence is illustrated in *Figure 1*. The clinicopathological characteristics in the training cohort and validation cohort between patients with and without LNM, and between patients with RLNM and NRLNM are presented in *Tables 1* and *2*. The baseline clinicopathological characteristics including age, gender, tumor site, and histological grade were derived from the medical records.

### Image acquisition

All patients underwent contrast-enhanced imaging with a 128-slice scanner (LightSpeed VCT, GE Medical systems, USA). Examinations were performed during a single

**Table 1** Clinical characteristics of patients with and without lymph node metastasis in the training and validation cohorts

Variable	Training cohort (n=233)		Validation cohort (n=101)	
	LN-	LN+	LN-	LN+
Gender				
Male	80	83	31	43
Female	31	39	10	17
Age (years)				
Range	49–78	40–82	48–79	56–77
Mean $\pm$ standard deviation	65.58 $\pm$ 6.58	64.07 $\pm$ 8.01	66.52 $\pm$ 7.94	66.93 $\pm$ 5.70
Location of the tumor				
Upper thoracic esophagus	15	19	5	7
Middle thoracic esophagus	79	79	30	38
Lower thoracic esophagus	17	24	6	15
Lymph node status	111	122	41	60
Lymph node metastasis				
RLNM	–	69	–	34
NRLNM	–	53	–	26

LN, lymph node; +, metastasis positive; –, metastasis negative; RLNM, regional lymph node metastasis; NRLNM, non-regional lymph node metastasis.

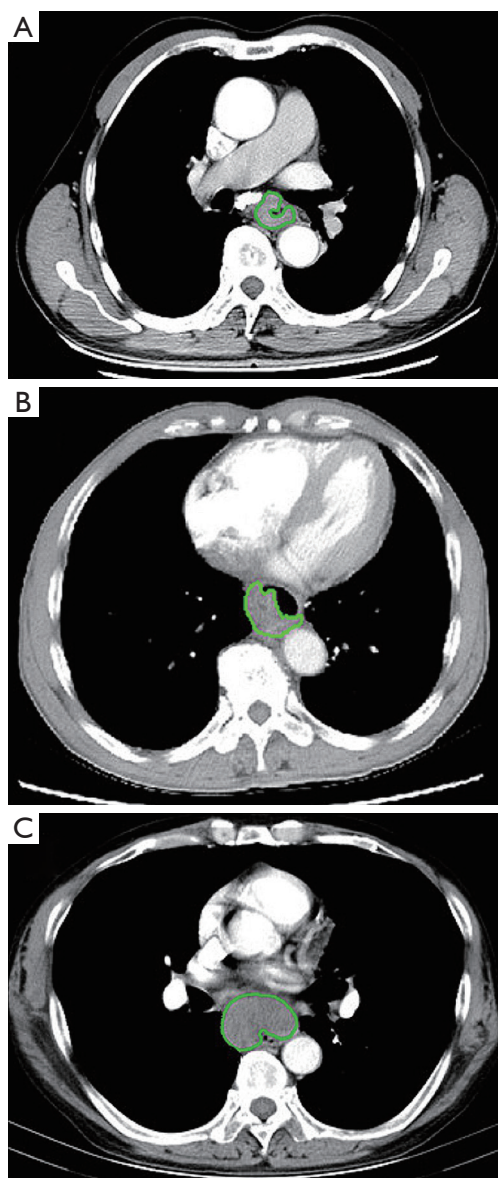
**Table 2** Clinical characteristics of patients with RLNM and NRLNM in the training and validation cohorts

Variable	Training cohort (n=127)		Validation cohort (n=55)	
	NRLNM	RLNM	NRLNM	RLNM
Gender				
Male	38	46	18	24
Female	18	25	5	8
Age (years)				
Range	42–74	48–82	47–78	50–80
Mean $\pm$ standard deviation	64.88 $\pm$ 5.78	67.52 $\pm$ 4.43	65.72 $\pm$ 3.58	66.79 $\pm$ 7.28
Location of the tumor				
Upper thoracic esophagus	6	9	3	4
Middle thoracic esophagus	35	49	13	20
Lower thoracic esophagus	15	13	7	8
Lymph node metastasis	56	71	23	32

RLNM, regional lymph node metastasis; NRLNM, non-regional lymph node metastasis.

breath-hold in the supine position. The scanning coverage was from the neck to the middle of the kidneys. Before CT image acquisitions, 100 to 200 mL water was used as the oral

negative contrast material of esophagus. After routine non-enhanced CT, the contrast-enhanced CT scan was started 25–30 s after an intravenous administration of 1.5 mL/kg



**Figure 2** In the examples of esophageal squamous cell carcinoma (ESCC) without lymph node metastasis (LNM) (A) and with regional (B) and non-regional (C) LNM, the tumor contours were segmented manually on contrast-enhanced computed tomography (CT) images.

of the iodinated contrast material (Omnipaque, Iohexol, GE Healthcare, USA) at a rate of 3.0 to 3.5 mL/s for a total of 70–100 mL tailored to body weight at the ratio of 1.5 mL/kg weight via a pump injector (Vistron CT Injection System, Medrad, USA). A saline flush followed the administration of the contrast agent. The CT parameters were as follows: 120 kVp of peak voltage, 280 mA of tube current, 0.5 s of

rotation time, 64 mm × 0.625 mm of detector collimation, 5 mm of slice thickness, and a matrix of 512 mm × 512 mm. Subsequently, the CT data were directly transferred to the General Electric Advantage Workstation 4.4 for data analysis.

#### *Tumor segmentation and extraction of radiomics features*

Two radiologists (Reader 1 with 3 years of experience in radiology, and Reader 2 with 22 years of experience in digestive radiology) who were blinded to the patients' clinical outcomes delineated the three-dimensional (3D) region of interest (ROI) on the contrast-enhanced CT image in a blinded fashion (Figure 2) using the IBEX (β1.0, [http://bit.ly/IBEX\\_MDAnderson](http://bit.ly/IBEX_MDAnderson)). This open-source software program ran on MATLAB 2016Ra (MathWorks Inc.) to extract radiomics features (17). For each 3D ROI, when the esophageal wall showed focal thickening of more than 5 mm on transverse imaging, the esophageal wall was regarded as abnormal for the delineation of tumoral ROI (18). The outlines of ESCC were drawn free-hand around the gross tumor volume, avoiding fat, air, and bone. When there were disagreements on the ROI delineation, the two radiologists reached a consensus by discussion. When there was uncertainty around the tumor region, the area was not included in the ROI. Reader 1 performed the ROI delineation twice within 1 week following the same procedure for testing the intra-observer agreements to extract radiomics features.

Features were extracted from the thoracic contrast-enhanced CT data, including shape, intensity histogram, gray level co-occurrence matrix (GLCM), and gray level run-length matrix (GLRLM) (19,20). Feature extraction was also performed using Matlab 2016Ra (MathWorks Inc.). To ensure the repeatability of the results, z-score normalization was performed as a preprocessing step for all data.

#### *Intra- and inter-observer agreement*

The intra-class correlation coefficient (ICC) was calculated to estimate intra-observer (Reader 1 twice) and inter-observer (Readers 1 vs. 2) agreements of each radiomics feature extraction. An ICC of greater than 0.75 was considered good agreement (21).

#### *Features selection*

Two steps were adopted to select the training cohort's



optimal features for developing CT radiomics features to predict LNM in ESCC and to discriminate ESCC between RLNM and NRLNM. First, all potential important features were selected from the features with an ICC score greater than 0.75 by the independent samples *t*-test or the Mann-Whitney U test for the training cohort. Features that did not meet either of the above tests were excluded. Second, the stable important features were entered into the least absolute shrinkage and selection operator (LASSO) model by shrinking the coefficients of useless features to zero, with the regulation parameter  $\lambda$  in the training cohort to identify the optimal features (22).

### **Construction of the radiomics model**

Multivariable logistic regression on R software version 3.4.4 (<https://www.r-project.org/>) was used to produce predictive radiomics models based on CT features previously identified in the training cohort to discriminate patients with LNM from those without LNM, and to further distinguish patients with RLNM from those with NRLNM in patients with LNM. Qu *et al.* adopted the multivariable logistic regression method to assess the MR radiomics signature's role in the preoperative prediction of LNM in patients with esophageal cancer and obtained good results (15). As reported, two models from grouped radiomics features based on selected features were developed using multivariable binary logistic regression with backward stepwise selection to build two linear classifiers for discriminating patients without LNM and with LNM, and for distinguishing patients with RLNM from those with NRLNM. Area under receiver operating characteristic curve (AUC), accuracy, sensitivity, and specificity were used to quantify the previous models' discrimination performance.

### **Statistics analysis**

Clinical characteristics were measured based on the variable type. Continuous variables were compared using a two-sample *t*-test. Categorical variables were compared using Pearson's Chi-square test or Fisher's exact test. The Mann-Whitney U test or the independent samples *t* test was applied to test the potential correlation of the lymph node status and the radiomics features in both the training cohort and the validation cohort. Statistical analyses of clinical characteristics were performed with SPSS statistical package (version 13.0 for Windows, SPSS Inc.). Other statistical analyses were conducted using R

software version 3.4.4 (<https://www.r-project.org/>). LASSO regression was performed using the "glmnet" package based on the multivariate binary logistic regression analysis. The correlation coefficient matrix was plotted using the "Bggplot2" package. Differences were considered significant at  $P < 0.05$  (two-tailed).

## **Results**

### **Patient characteristics**

The training cohort's demographic characteristics and the validation cohort between patients with and without LNM, and between patients with RLNM and with NRLNM are shown in *Tables 1* and *2*, respectively.

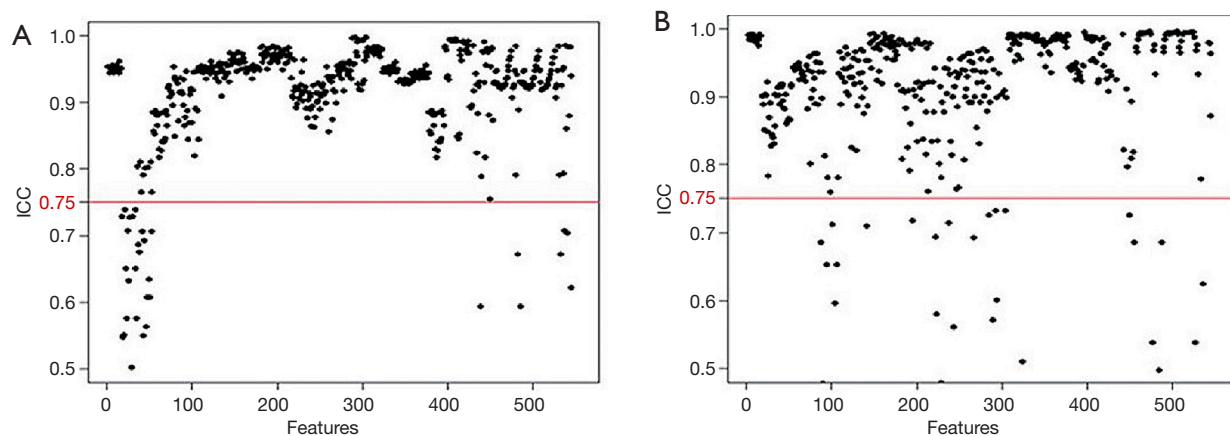
### **Inter-observer and intra-observer agreements**

In the 152 patients without LNM and 182 patients with LNM, the rate of good inter-observer agreement (ICC  $\geq 0.75$ , *Figure 3A*) for the 525 extracted features reached 94.4%, while 31 features had unsatisfactory agreement (ICC  $< 0.75$ ). The rate of good intra-observer agreement (ICC  $\geq 0.75$ , *Figure 3B*) for the 527 extracted features reached 94.7%, while 29 features had unsatisfactory agreement (ICC  $< 0.75$ ). The 60 extracted features with inter- and/or intra-observer ICC of less than 0.75 were excluded. After this assessment, 496 features were selected from the 556 candidate features.

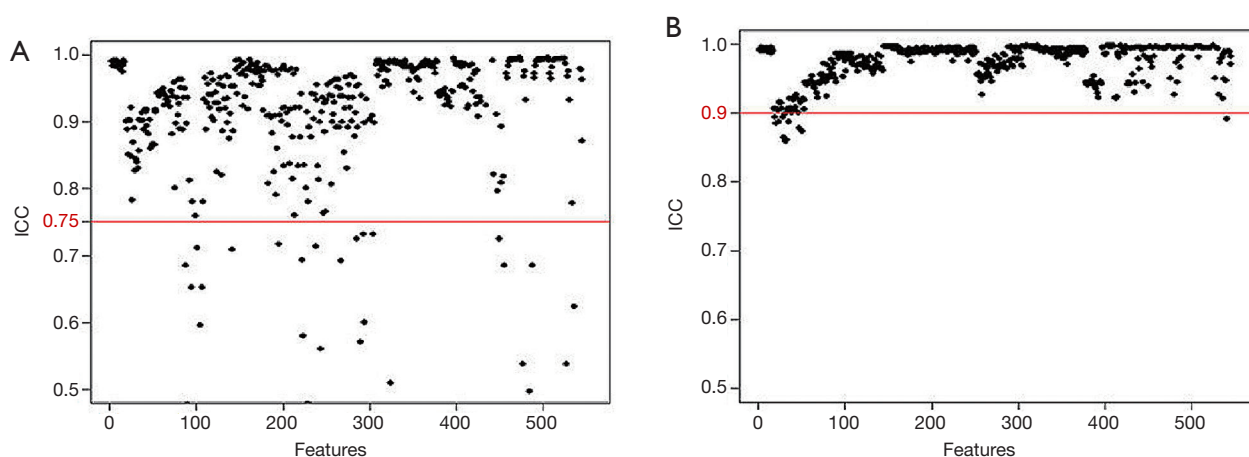
Of the ICC analysis of 182 patients with LNM, the 33 features with unsatisfactory intra- or inter-observer agreements (ICC  $< 0.75$ ) were excluded from the 352 extracted candidate features for discriminating patients with RLNM and NRLNM. Ultimately, 319 features with good intra- and inter-observer agreements (ICC  $\geq 0.75$ ) assessed by the two radiologists were selected (*Figure 4A* and *B*, respectively). Reader 1 completed the segmentation of all of the samples.

### **Dimensionality reduction and feature selection**

Regarding the 334 patients' feature analysis for discriminating ESCC between LNM and LNM, none of these features satisfied the Gaussian distribution of homoscedasticity, so the 496 features were analyzed using Mann-Whitney U tests. The Mann-Whitney U tests showed that 386 features were significantly different among the 496 features (all *P* values  $< 0.05$ ), and a total of



**Figure 3** Evaluation of feature stability with inter- and intra-observer agreements based on the interclass correlation coefficient (ICC). In the 152 patients without lymph node metastasis (LNM) and 182 patients with LNM, features had good inter-observer (A) and intra-observer (B) agreements with ICCs more than 0.75 (above the red cutoff line). After this assessment, 496 features were selected from the 556 features.

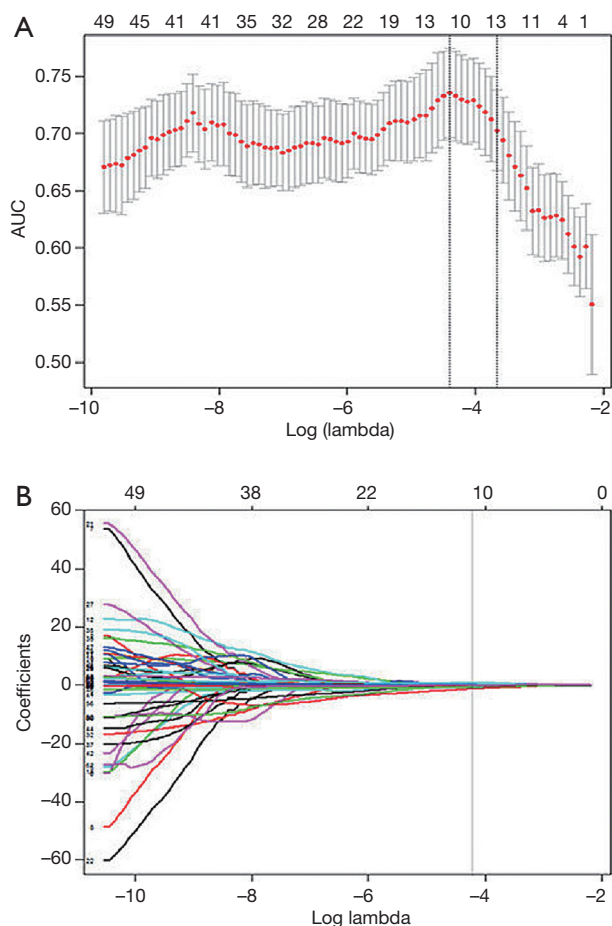


**Figure 4** Of the ICC analysis of 182 patients with lymph node metastasis (LNM), features had good inter-observer (A) and intra-observer (B) agreements with ICCs more than 0.75. After this assessment, 319 features were selected from the 352 features. ICC, intra-class correlation coefficient

386 features were used for LASSO analysis. As a result, 11 features were identified by LASSO for classifying patients with LNM, with the best tuned regularization parameter  $\lambda$  of 0.012 under the 1-standard error of the minimum criteria (the 1-SE criteria) found by 10-fold cross-validation (Figure 5A,B). These 11 features included 2 shape and size features, 2 intensity histogram, and 7 texture features. The selected features are shown in Table 3.

Regarding the 182 patients' feature analysis with LNM for discriminating ESCC with RLNM and NRLNM, 9 features showed a Gaussian distribution with homoscedasticity, while the remaining 310 features did

not among the 319 features with good inter- and intra-observer agreements. Of the 9 features, 7 features were significant based on independent samples *t* tests (all P values <0.05), whereas the remaining 2 features were not (all P values >0.05). Among the 310 features without a Gaussian distribution with homoscedasticity, the Mann-Whitney U tests showed that 272 features were significantly different (all P values <0.05), while the remaining 28 features were not (all P values >0.05). Therefore, 279 features with statistical significance were used for LASSO analysis (Figure 6A,B). As a result, 13 features were identified by LASSO for discrimination between RLNM and NRLNM



**Figure 5** The least absolute shrinkage and selection operator (LASSO) binary logistic regression model used to select radiomics features. (A) Tuning parameter ( $\lambda$ ) selection in the LASSO model used 10-fold cross-validation via minimum criteria. The area under the receiver operating characteristic curve (AUC) is plotted versus  $\log(\lambda)$ . Dotted vertical lines are drawn at the optimal values by using the minimum criteria and the 1 standard error of the minimum criteria (the 1-SE criteria). (B) LASSO coefficient profiles of the 386 radiomics features. A coefficient profile plot was produced against the  $\log(\lambda)$  sequence. As a result, 11 non-zero coefficients were chosen.

patients. These features included 4 shape and size features, 4 intensity histogram, and 5 texture features. The details are shown in *Table 3*.

**Construction of the radiomics model**

To identify patients with LNM, the radiomics model was constructed using those mentioned above 11 selected

**Table 3** Selected radiomics features with descriptions

Feature category	Features of ESCC without LNM vs. with LNM	Features of ESCC with RLNM vs. NRLNM
GLCM	AutoCorrelation	X0Correlation
	Correlation	X135Dissimilarity
	Entropy	X0InverseVariance
	X45InverseVariance	X45InverseVariance
	X135InverseVariance	X135InverseVariance
	X135MaxProbability	-
Intensity histogram	Kurtosis	InterQuartileRange
	Skewness	PercentileArea
	-	Quartile
Shape	-	Range
	Compactness1	Compactness2
	Convex	Max3Ddiameter
	Volume	MeaBreadth
	-	SurfaceArea

ESCC, esophageal squamous cell carcinoma; LNM, lymph node metastasis; RLNM, regional lymph node metastasis; NRLNM, non-regional lymph node metastasis; GLCM, gray level co-occurrence matrix.

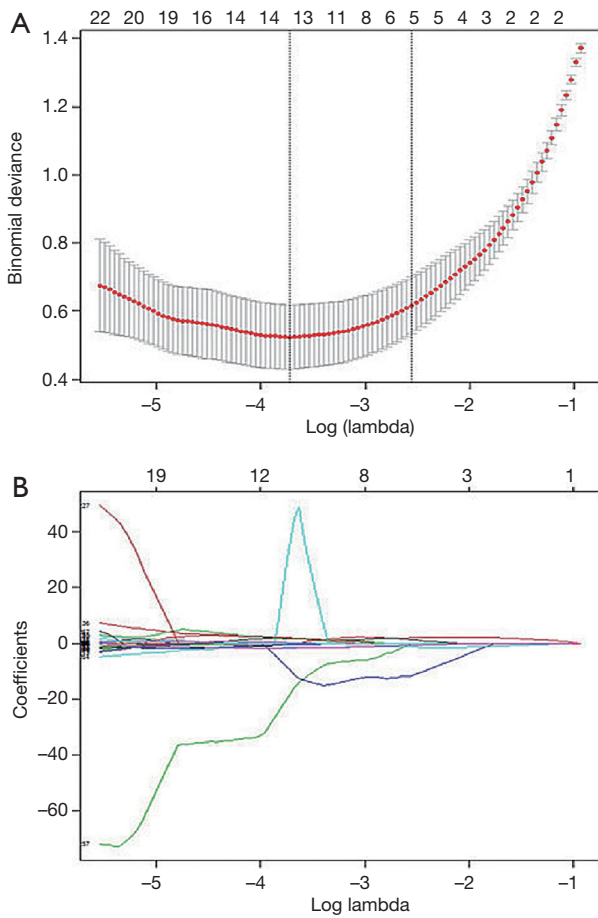
features. Good performance of the radiomics model was obtained based on the multivariable logistic regression. The AUCs of the radiomics model for the training and validation cohorts were 0.79 [95% confidence interval (CI), 0.71–0.86] and 0.75 (95% CI, 0.64–0.86), respectively (*Figure 7A,B*, and *Table 4*).

In patients with LNM, the multivariable logistic regression model was constructed using the selected 13 radiomics features for discriminating ESCC with RLNM and NRLNM. The model was able to effectively differentiate ESCC with RLNM and NRLNM, and the AUCs of the radiomics model for the training cohort and the validation cohort were 0.98 (95% CI, 0.97–0.99) and 0.95 (95% CI, 0.89–0.98), respectively (*Figure 8A,B*, and *Table 4*).

**Discussion**

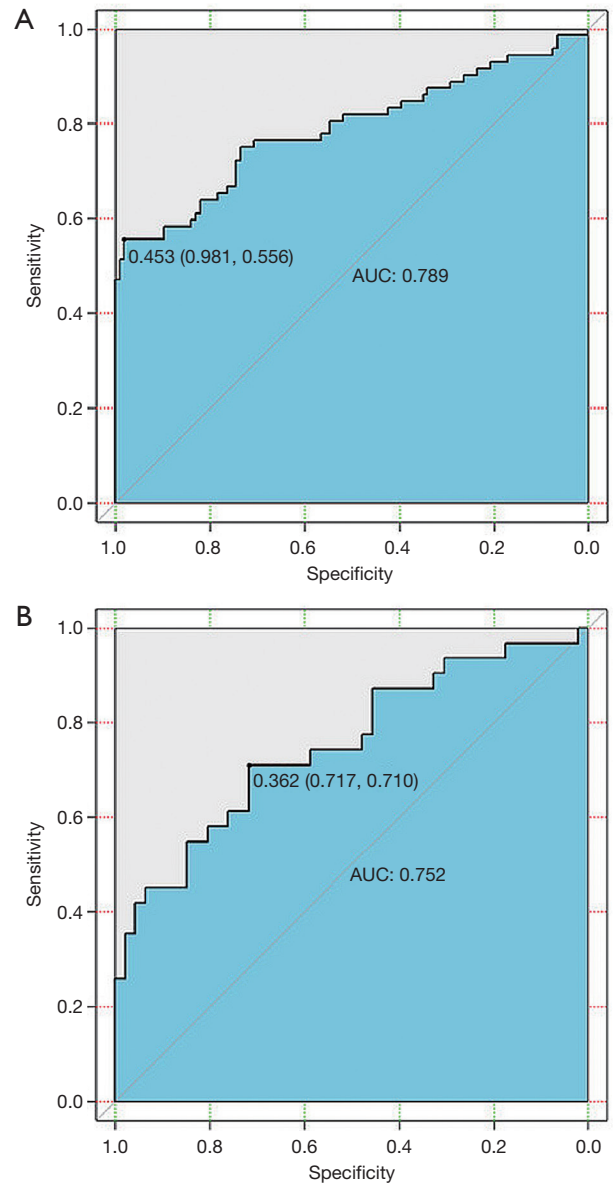
LNM is an important independent predictor for the risk of developing systemic disease, and long-term survival in ESCC (23,24). Lymphadenectomy plays a key role in





**Figure 6** Feature selection using least absolute shrinkage and selection operator (LASSO) algorithm. (A) Tuning parameter ( $\lambda$ ) selection in the LASSO model used 10-fold cross-validation via minimum criteria. The binomial deviance is plotted versus  $\log(\lambda)$ . Dotted vertical lines are drawn at the optimal values by using the minimum criteria and the 1-SE criteria. (B) LASSO coefficient profiles of the 279 radiomics features. A coefficient profile plot was produced against the  $\log(\lambda)$  sequence. As a result, 13 non-zero coefficients were chosen.

the treatment of this tumor (25). However, once patients with NRLNM are regarded as having incurable disease, they cannot undergo lymphadenectomy, and must instead undergo conservative treatment. Therefore, the status of lymph nodes is closely related to the choice of treatment. As a non-invasive imaging modality, CT is widely used in diagnosing, treatment planning, or monitoring cancer in routine clinical practice. In this study, we investigated the possibility of CT radiomics features of ESCC to predict LNM, and further explored the CT radiomics features



**Figure 7** The receiver operating characteristic (ROC) curves of the multivariable logistic regression model for the prediction of lymph node metastasis (LNM) in esophageal squamous cell carcinoma (ESCC) in the training cohort (A) and the validation cohort (B). Notes: Values outside parentheses represent cut-off values; and the first and second values in parentheses indicate sensitivity and specificity, respectively. AUC indicates area under the receiver operating characteristic curve.

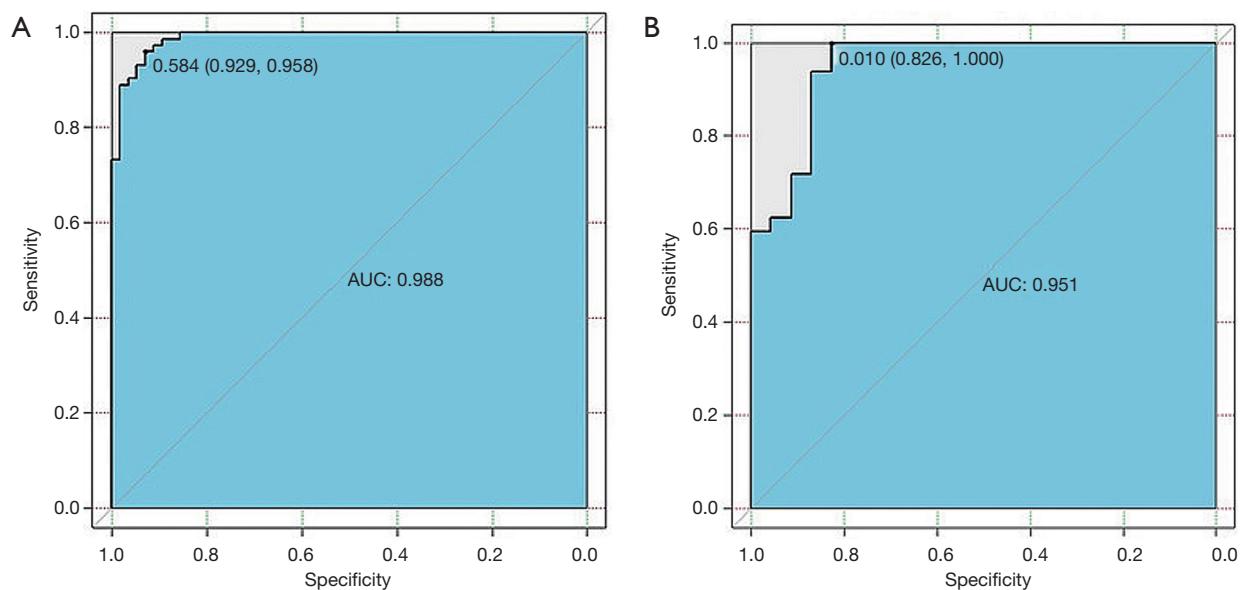
for discriminating RLNM from NRLNM in patients with ESCC.

Our study showed the feasibility of the CT radiomics

**Table 4** The performance of radiomics models built using multivariable logistic regression for the training and validation cohorts

Cohorts to differentiate	AUC (95% CI)	Accuracy	Sensitivity	Specificity
Patients without vs. with lymph node metastasis				
Training cohort	0.79 (0.71–0.86)	0.75	0.98	0.56
Validation cohort	0.75 (0.64–0.86)	0.71	0.71	0.71
Patients with regional lymph node metastasis vs. non-regional lymph node metastasis				
Training cohort	0.98 (0.97–0.99)	0.94	0.97	0.91
Validation cohort	0.95 (0.89–0.98)	0.83	0.81	0.86

AUC, area under the receiver operating characteristic curve.



**Figure 8** The receiver operating characteristic (ROC) curves of the multivariable logistic regression model for the discrimination of patients with regional lymph node metastasis (RLMN) and with non-regional lymph node metastasis (NRLNM) in the training cohort (A) and the validation cohort (B). Values outside parentheses represent cut-off values; and the first and second values in parentheses indicate sensitivity and specificity, respectively. AUC indicates area under the receiver operating characteristic curve.

model for predicting lymph node status in ESCC patients. We extracted quantitative image features of tumors using contrast-enhanced CT. The LASSO method was employed to reduce dimensionality. A total of 11 potential radiomics features, including 2 shape and size features, 2 intensity histogram features, and 7 texture features, were selected from the 556 candidate features based on the contrast-enhanced CT data to build the CT radiomics model to predict LNM in ESCC. Subsequently, 13 potential radiomics features including 4 shape and size features, 4 intensity histogram features, and 5 texture features were selected from the 352 candidate features to build the CT

radiomics model for discriminating between ESCC with RLNM or NRLNM. These features had a proper ratio for building the models that could avoid overfitting. Features of shape and size described the external outline of the tumor. The greater volume and larger convex shape indicated more tumor invasions, and increased the possibility of LNM, as also seen in previous studies (26,27). Texture features mainly reflect the texture complexity of tumors, which is closely related to the heterogeneity and prognosis of tumors (7). The present study showed that stable prediction models based on the CT radiomics features have a similar AUC value for distinguishing ESCC with LNM or without

LNM, and discriminating ESCC with NRLNM or RLNM in the training cohort and validation cohort.

Our study provided a new CT radiomics model to predict LNM, and a superior radiomics model to discriminate RLNM from NRLNM in patients with ESCC compared to other published studies (13,14). Tan *et al.* (13) and Shen *et al.* (14) developed radiomics nomograms for the preoperative diagnosis of ESCC with LNM, and obtained AUCs of 0.75 and 0.80 in the training cohort, and 0.77 and 0.77 in the validation cohort, respectively. Of our analysis of 334 patients with and without LNM, we proposed a multivariable logistic regression model showing predictive performance with AUCs of 0.79 and 0.75 in the training and validation cohorts, respectively. Our results are slightly better than those of Tan *et al.* (13), and similar to Shen *et al.* (14). Also, for our analysis of the 182 patients with LNM, the radiomics model performed well in discriminating between RLNM and NRLNM with an AUC of 0.98 in the training cohort and demonstrated similar discrimination with an AUC of 0.95 in the validation cohort. Therefore, our study is the first to develop a predictive model of CT radiomics features for discriminating RLNM from NRLNM in patients with ESCC.

Our study had several limitations. First, radiomics has recently been reported to detect different gene expressions (28,29). It is therefore, necessary to conduct future studies on radiogenomic analyses. Second, this was a single-center study and lacked multicenter verification. Third, given the popularity of deep learning methods (30), it would be useful to provide even a qualitative comparison between our proposed method and the reported deep learning approach, which we did not perform here. We will, therefore conduct the relevant studies in the future.

## Conclusions

Our study showed that CT radiomics models have the potential to predict LNM in ESCC patients, as well as discriminate between patients with RLNM and NRLNM. We hope that CT radiomics models can be applied clinically to assess lymph node status for ESCC patients before treatment.

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## Footnote

**Conflicts of Interest:** All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/qims-20-241>). The authors have no conflicts of interest to declare.

**Ethical Statement:** Ethical approval was obtained from the Affiliated Hospital of North Sichuan Medical College for this study [No.: 2020ER(A)008]. All participants provided written informed consent before our study.

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