

# REVIEW



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# The Origin of Ovarian Cancer Species and Precancerous Landscape

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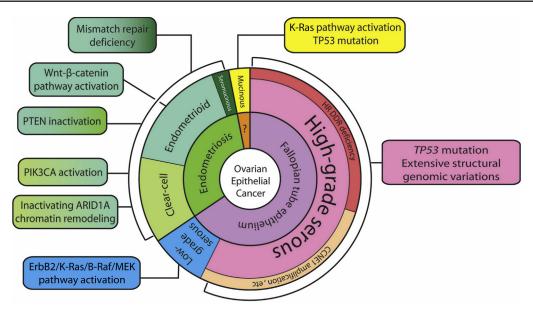
Address correspondence to Ie-Ming Shih, M.D., Ph.D., Department of Gynecology and Obstetrics, Johns Hopkins University School of Medicine, 1550 Orleans St., CRB2, Baltimore, MD 21231. E-mail: ishih@jhmi.edu. Unlike other human cancers, in which all primary tumors arise *de novo*, ovarian epithelial cancers are primarily imported from either endometrial or fallopian tube epithelium. The prevailing paradigm in the genesis of high-grade serous carcinoma (HGSC), the most common ovarian cancer, posits to its development in fallopian tubes through stepwise tumor progression. Recent progress has been made not only in gathering terabytes of omics data but also in detailing the histologic-molecular correlations required for looking into, and making sense of, the tissue origin of HGSC. This emerging paradigm is changing many facets of ovarian cancer research and routine gynecology practice. The precancerous landscape in fallopian tubes contains multiple concurrent precursor lesions, including serous tubal intraepithelial carcinoma (STIC), with genetic heterogeneity providing a platform for HGSC evolution. Mathematical models imply that a prolonged time (decades) elapses from the development of a TP53 mutation, the earliest known molecular alteration, to an STIC, followed by a shorter span (6 years) for progression to an HGSC. Genetic predisposition accelerates the trajectory. This timeline may allow for the early diagnosis of HGSC and STIC, followed by intent-to-cure surgery. This review discusses the recent advances in this tubal paradigm and its biological and clinical implications, alongside the promise and challenge of studying STIC and other precancerous lesions of HGSC. (Am J Pathol 2021, 191: 26-39; https://doi.org/10.1016/j.ajpath.2020.09.006)

Elucidating the pathogenesis in early cancer development is fundamental in identifying biomarkers for early detection and for the exploration of cost-effective strategies of cancer prevention. This task represents an unmet need in cancers that are not amenable to routine cancer screening or primary prevention. Ovarian cancer is one such example—the malignancy is located deep in the pelvis, is not readily detected clinically, and is highly fatal. Currently, there are few effective approaches to intercepting its progression from a noninvasive precursor stage to an advanced, incurable stage.

The study of the early progression of ovarian cancer is confounded by the fact that ovarian cancer is a constellation of various neoplasms rather than being a single disease, notwithstanding the fact that almost all ovarian epithelial cancers are developmentally related to the Müllerian duct, an anlage of female reproductive tract components including fallopian tubes, uterus, the uterine cervix, and the superior portion of the vagina. Ovarian carcinomas are conventionally classified according to histologic subtype, and each subtype is characterized by distinct clinicopathologic and molecular features as well as tissue of origin (Figure 1). For simplicity, ovarian carcinomas can be broadly classified as type 1 or 2.<sup>1,2</sup> Type 1 carcinomas include clear cell, endometrioid, mucinous, and low-grade serous carcinomas, whereas type 2 carcinomas mainly comprise high-grade serous carcinomas (HGSCs). Type 2 ovarian cancers are distinguishable from type 1 neoplasms

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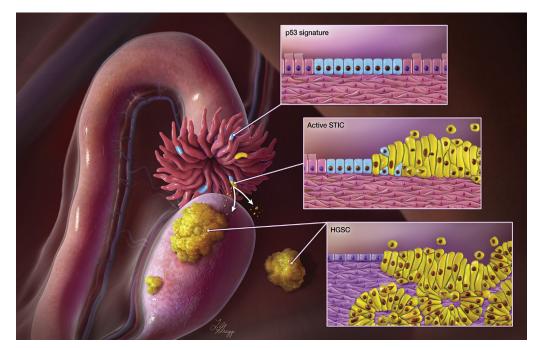


**Figure 1** The tissue origins and major molecular pathway alterations in different types of ovarian epithelial cancer. ARID, AT-rich interactive domaincontaining protein; CCNE, G1/S-specific cyclin-E; ErbB, extracellular region binding protein; HR DDR, homologous recombination DNA damage repair; MEK (alias mitogen-activated protein kinase, MAPK); PIK3CA, phosphatidylinositol 3-kinase catalytic subunit *a*; PTEN, phosphatase and tensin homologue.

by several features: i) more frequent high-stage disease at diagnosis, ii) universal *TP53* mutations, iii) either a defective homologous recombination DNA repair pathway or amplification of *CCNE1*, and iv) uncommon mutations in genes involving mismatch DNA repair and in the AT-rich interactive domain-containing protein (ARID1A), phosphatidylinositol 3-kinase (PI3K), K-Ras/B-Raf, Wnt, and

protein phosphatase 2A pathways.<sup>3,4</sup> HGSCs are the most common type of epithelial ovarian cancer and are the primary focus of this review (Figure 1).

The tissue origin of HGSC has eluded investigators for decades, given that attempts to demonstrate its ovarian origin were mostly unsuccessful. Kuhn and Hacking<sup>5</sup> felt that a crisis of confidence occurs when puzzles arise that



**Figure 2** Paradigm of fallopian tube as the origin of high-grade serous carcinomas (HGSCs). Multiple fallopian tube lesions including serous tubal intraepithelial carcinoma (STIC) (**yellow lines** in the fimbriated end) and p53 signature (**blue lines**) can occur at the fimbriated end. STIC is presumed to be the immediate precursor of HGSC. STIC cells can become invasive in the fallopian tube, and detach from the fallopian tube surface, spreading onto the peritoneal surface, enclosing ovary, bowel, peritoneal wall, and omentum. Natural selection favors emigrated STIC cells that can survive and reproduce within a certain tissue-environmental niche which grow into tumor nodules and cause tumor ascites. Illustration by Lydia Gredd, M.A., C.M.I., © 2020 I. Shih at JHU; used with permission.

repeatedly resist solutions. During a crisis, the paradigm is subjected to testing and might be rejected. Here, the crisis of confidence is whether epithelial ovarian cancer indeed arises from the ovary. It has become increasingly clear in recent years that many HGSCs develop from the epithelial precursor lesions on the fallopian tubes rather than from the ovary, which, in humans, is largely devoid of Müllerian epithelium. This new paradigm of ovarian cancer genesis was based on the original observation of dysplastic epithelium in the fallopian tube in women carrying BRCA1 and BRCA2 germline mutations.<sup>6–8</sup> Serous tubal intraepithelial carcinoma (STIC) is the immediate precursor of HGSC. STIC is characterized by a continuation of nonciliated tubal epithelial cells showing marked nuclear atypia, mitotic figures, apoptotic bodies, loss of cellular polarization, a p53 staining abnormality (pattern compatible with either missense or deletion mutations), and an increased Ki-67 labeling index<sup>8-12</sup> (Figure 2). The tubal paradigm proposes that STIC formation precedes HGSC, and that the STIC can become invasive into the underlying tubal mucosa, and, more often, that the STIC cells can detach from the fallopian tube surface and spread directly onto the peritoneal surface enclosing ovary, bowel, peritoneal wall, and omentum. Natural selection equips the emigrated STIC cells to survive and reproduce within certain tissue environmental niches to grow tumor nodules and cause tumor ascites (Figure 2). Because of proximity to the fimbriated ends of fallopian tubes and friendly environment (enriched blood supply as an example), ovaries are usually the first stop for STIC cells to arrive and develop into an ovarian HGSC. However, STIC cells or tubal epithelium with early serous proliferation may bypass ovarian tissues and lodge into peritoneal surface or omentum to form the peritoneal primary HGSC.<sup>13</sup>

In addition to STIC, the p53 signature is another lesion on fallopian tube epithelium; it is defined by a small stretch of 10 to 30 normal-appearing epithelial cells with an intense p53 immunostaining pattern compatible with a missense *TP53* mutation.<sup>14–16</sup> Sequencing results have confirmed such mutations in all p53 signatures analyzed.<sup>17–19</sup> p53 Signature lesions are morphologically indistinguishable from the adjacent *TP53* wild-type epithelium by hematoxylin and eosin staining, and can be detected only by p53 immunostaining.

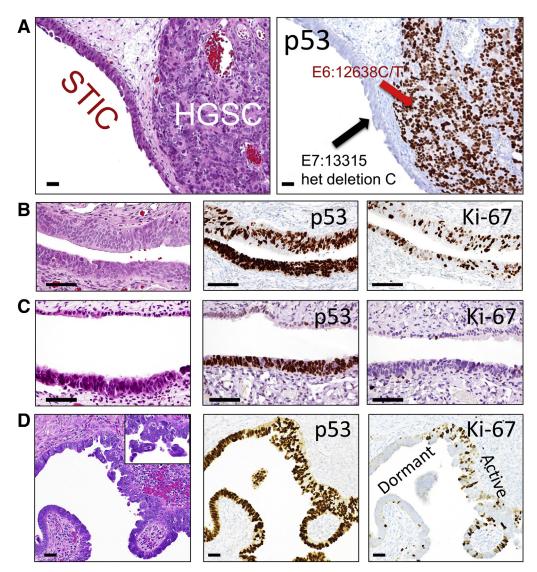
# **Evidence Favoring the Tubal Paradigm**

A wealth of epidemiologic, clinical, pathologic, and molecular studies support the tubal paradigm. Epidemiologically, STIC has been much more frequently detected in women at increased risk for HGSC than in those at average risk.<sup>20</sup> In a multicenter study, by extensive examination of surgically removed fallopian tubes, STIC and p53 signatures were detected in 10% and 27% of fallopian tubes from *BRCA1/2* carriers undergoing prophylactic salpingo-oophorectomy, respectively.<sup>20</sup> In contrast, tubal lesions have been reported as uncommon (<0.1%) in women without pathogenic germline mutations in BRCA1/2 or other homologous recombination genes. As many as 60% of HGSCs are associated with STICs,<sup>8,21</sup> and this phenomenon is rare in type 1 ovarian cancers. Transcriptomic analysis demonstrates that HGSCs resemble fallopian tube epithelium at a molecular level as compared to ovarian surface epithelium or peritoneal mesothelium.<sup>22,23</sup> A recent study further applied singlecell transcriptome analysis on individual fallopian tube epithelial cells in women without cancer.<sup>24</sup> The investigators identified five major fallopian tube epithelial cell subtypes. The subtype signatures could be identified in HGSCs, but exhibited high intratumor heterogeneity. Molecular genetic studies indicate that the total number of somatic mutations and loss of heterozygosity events increase from p53 signature, STIC, and HGSC, a finding compatible with a model of progressive tumor development.<sup>17</sup> Importantly, where tissues have been available for analysis, many STICs have been reported to harbor the same TP53 mutations as the concurrent HGSCs.<sup>25</sup> Based on comparisons of the molecular genetic alterations in precursor lesions and HGSCs, reconstruction of phylogeny suggests that STIC is the precursor of many HGSCs from the same cases, albeit with a complex relationship.<sup>17-19</sup> The findings from those studies have also suggested that some p53 signatures may precede STIC as the earliest recognizable precursor lesion. Incidental STICs without a synchronous HGSC have also shown molecular alterations similar to those in STICs associated with HGSC.<sup>17</sup> As compared to the associated HGSC, many of the STICs have shorter telomeres,<sup>26,27</sup> an observation that has also been reported in precursor lesions of many cancer types, supporting STIC as the precursor of HGSC. More recently, the methylation profile of STIC was demonstrated as more similar to that of the associated HGSC compared to that of adjacent fallopian tube epithelium.<sup>28,29</sup> Lastly, STIC-like lesions have been found in genetically engineered mouse models carrying oncogenes driven by either oviduct glycoprotein-1 or paired box protein (PAX)-8; these mice eventually developed carcinoma that invaded the ovaries.<sup>30,31</sup>

# Precancerous Landscape in the Fallopian Tube

It is not possible to portray the landscape and reconstruct evolution history in HGSC development without a detailed histologic examination and molecular genetic analysis of normal fallopian tube and tubal precursor lesions before the development of cancer. In hindsight, most prior studies analyzed HGSCs and precursor lesions from the same cases, raising a concern that the voluminous invasive carcinoma may have effaced and destroyed the natural habitats of possible precursor lesions in the fallopian tube. The first recognizable feature of the precancerous landscape is the loss of ciliated cells on tubal epithelium. In normal fallopian tubes, both secretory and ciliated epithelial cells constitute the fallopian tube epithelium, and the ratio of secretory to ciliated cells increases by age, likely due to a decrease in estradiol levels, and in specimens obtained from women at high risk for HGSC.<sup>15,32,33</sup> The increased density of secretory cells can be the result of secretory cell expansion or overgrowth, as manifested by a small patch of contiguous normal-appearing secretory cells. Because p53 signatures, STIC, and HGSC are all nonciliated, these cells are at least ostensibly qualified to become candidates of tubal lesions. However, whether these regions of secretory cell expansion or overgrowth are ever clonal or can initiate STIC is uncertain. The second recognizable feature is the presence of multiple clonally derived tubal lesions, including STIC and p53 signatures from the same individual (Figure 2). In a multicenter study, approximately half of >100 prophylactic salpingectomy specimens examined had multiple incidental STIC and/or p53 signature lesions.<sup>20</sup> In contrast, multiple lesions are uncommon in tubal specimens invaded by HGSC, most likely because those minute precursors can be readily replaced by the bulk of carcinoma.

Are these multiple lesions clonally related? Analysis of somatic mutations including *TP53* and DNA copy number alterations demonstrate that the majority of precursor tubal



**Figure 3** Examples of serous tubal intraepithelial carcinoma (STIC) and high-grade serous carcinoma (HGSC). **A:** A STIC is closely associated with an invasive HGSC. Both lesions contain different *TP53* mutations, suggesting that the HGSC is clonally independent of the STIC. **B:** An example of STIC with an intense and diffuse p53 staining pattern compatible with a missense *TP53* mutation and a high proliferative activity as indicated by Ki-67—labeled epithelial cells. **C:** A dormant STIC with a *TP53* mutation staining pattern of a very low proliferative activity. **D:** Another example of a STIC showing both proliferation active and dormant STIC areas. Hematoxylin and eosin stain sections of **B**, **C**, and **D** are shown in the **left panels**. The **inset** in **D** shows loose clusters of STIC cells detaching from the tubal surface. Scale bars = 100  $\mu$ m (**A**–**D**). Original magnification, ×20 (**inset**).

lesions from individual women were clonally independent.<sup>34</sup> Similarly, based on observations of clonal heterogeneity in ovarian HGSCs, Bashashati et al<sup>35</sup> proposed the existence of a polyphyletic clonal development track at a very early stage of tumor evolution. Whether these multiple tumor-initiating clones occur at a similar time frame due to a field carcinogenic effect, or appear sequentially over time, is unclear.

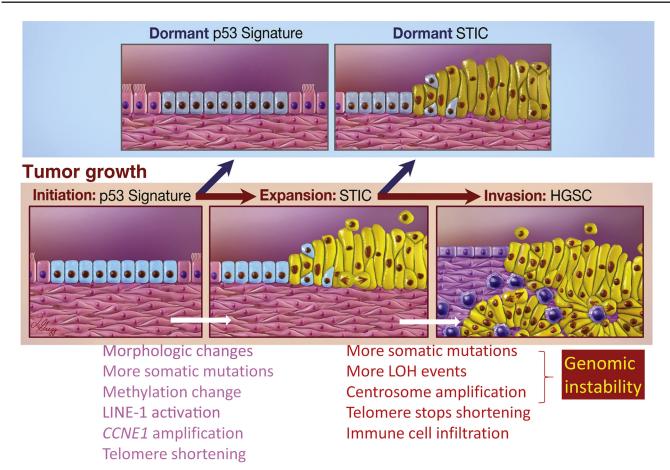
The findings of multi-clonality suggest a complex phylogenesis in the development of multiple precursor lesions prior to HGSC development.<sup>17</sup> A parallel clonal trajectory involving multiple precursor lesions contrasts with the linear progression model from STIC to HGSC, as previously suggested.<sup>18,19</sup> It is plausible that one of the multiple STICs may expand further and outgrow other coexisting precursors, ultimately progressing to an HGSC that quickly effaces normal-appearing fallopian tube tissues including other precursor lesions. In this case, some of the extant STICs or p53 signatures in the fallopian tubes removed together with the HGSC may represent close "cousins" akin to the STIC that originally gave birth to the HGSC. This explanation can well accommodate the discrepancies in previous molecular genetic studies that have compared mutation profiles between a STIC and a concurrent HGSC, or in p53 immunostaining studies that have shown discordant staining patterns between a STIC and an adjacent HGSC<sup>25</sup> (Figure 3A). For example, one study reported that 4 of 29 women with concurrent ovarian/pelvic HGSC and STIC lesions harbored nonidentical TP53 mutations, indicating clonal independence.<sup>25</sup> This view does not refute that the HGSC does not originate from a STIC. Rather, it indicates that the observed genuine precursors from which HGSCs develop may not be as common as thought, because of the preponderant growth of HGSCs destroying their neighboring minute precursors, a task that is normally perfomed by highly aggressive malignant tumors. Thus, the same founder mutations (eg, in TP53) and a similar somatic mutation profile found in both a putative STIC and the synchronous HGSC deserve careful interpretation to rule out the possibility that the STIC is actually an intratubal metastasis from the bulk of the established HGSC.<sup>19</sup>

Analyzing proliferative activity in tubal precursor lesions can also yield informative insights. The proliferation index, as assessed by the percentages of Ki-67—positive cells, usually varies significantly in STICs. In the preliminary analysis, most STICs have a much higher proliferative activity as compared to background normal epithelium, but approximately 20% to 30% of STICs, like p53 signatures, have a background level of Ki-67 labeling similar to that in normal-appearing fallopian tube epithelial cells (Figure 3, B–D). The term *dormant STICs* is proposed for STICs with a background Ki-67 index. Whether these STICs remain biologically dormant, and thus represent an evolutionary dead end, or whether they can regain proliferation to wake up as an HGSC is an interesting question, not only from the perspective of tumor biology, but also from the standpoint of clinical management. The molecular mechanisms of these lesions staying in an inert and quiescent state remain speculative, but they may be the result of telomere shortening and oncogene-induced cellular senescence (*Tumor Initiation and Evolutionary Trajectory*).

# **Tumor Initiation and Evolutionary Trajectory**

The molecular events in initiating HGSC development may involve both epithelial cells and their microenvironment, including stroma and immune cells. The molecular alterations that potentially contribute to tumor progression are summarized in Figure 4. The incessant ovulation theory for HGSC carcinogenesis has received much attention because of consistent observations that the number of lifetime ovulations is positively related to ovarian cancer incidence.<sup>36</sup> The fluid ruptured from follicles during ovulation is proposed to be carcinogenic because it contains abundant free radicals, reactive oxygen species, and other genotoxic substances, which can damage DNA, break DNA strands, and initiate DNA damage repair.<sup>37</sup> The fallopian tube epithelial cells, especially those located at the fimbriated ends where most of the STICs are found, are directly exposed to follicular fluids immediately after ovulation. With repeated episodes of DNA damage and repair, the follicular fluid-bathed tubal epithelial (putative progenitor) cells may acquire somatic mutations and epigenetic changes, some of which are cancer promoting, and expand clonally. This carcinogenic process accelerates in the presence of germline mutations and epigenetic inactivation of genes involved in homologous recombination DNA repair, such as BRCA1 and BRCA2. Furthermore, insulin growth factor (IGF) in follicular fluid promotes the transformation of TP53-mutated epithelial cells.<sup>38</sup>

It may not be possible to understand how HGSCs evolve from fallopian tube epithelium or to deduce a timeline from tumor initiation and progression without delineating the first possible clonal event in normal epithelium. In light of universal TP53 mutations in STICs and HGSCs,<sup>17,25,39</sup> a p53 signature, as its name suggests (abnormal p53 staining due to a TP53 mutation, the first "hit"), may be the earliest recognizable lesion that precedes STIC. However, a p53 signature may reflect a nonmalignant clonal expansion from a tubal progenitor cell that acquires TP53 mutations as a stochastic event. Thus, the intense p53 staining that reflects a missense TP53 mutation becomes a surrogate marker for visualizing this clonal patch of epithelial cells on tissue sections. If a p53 signature progresses to a STIC, then one would expect to observe a p53-STIC joint lesion, albeit uncommonly; but such evidence is still lacking. Perhaps there are numerous such benign, clonally derived, epithelial cells forming small clusters throughout the fallopian tube that cannot be detected. This is supported by the agingrelated clonal expansion of progenitor cells derived from



**Figure 4** Molecular alterations associated with tumor progression from p53 signature to serous tubal intraepithelial carcinoma (STIC) to high-grade serous carcinoma (HGSC). After initial clonal expansion, p53 signatures and some STICs become dormant as they lose a proliferative advantage. But some STICs continue to proliferate, gain additional cancer-promoting events, and progress to HGSC spreading to fallopian tubes, ovaries, and other pelvic organs. Compared to p53 signatures, STICs gain several features as listed, and may be involved in the progression. Similarly, as compared to STICs, HGSCs are more frequently characterized by features related to genomic instability, maintenance of telomere length, and immune cell infiltration. LINE, long-interspersed element; LOH, loss of heterozygosity.

normal tissues, including bone marrow, colonic crypts, breast, and endometrial glands.<sup>40–43</sup>

By counting one-dimensional p53 stain-positive epithelial cells from p53 signatures on tissue sections, we estimated the mean total number of positive cells in a twodimensional p53 signature on the surface of fallopian tubes. Based on this number and the  $\log_2 r^2 \pi$  formula, where r is half of the number of p53 cells, we deduced that, on average, an individual progenitor cell undergoes approximately 10 to 12 doubling events to produce the progenies carrying the same TP53 mutation in a given p53 signature before the p53 signature undergoes proliferative quiescence. It has been established that at in each cell division, the daughter cells acquire a few mutations at random sites across the genome.<sup>43</sup> In an uncommon event when the somatic mutations hit an oncogene or a tumor suppressor gene, these additional molecular events may increase the fitness of the cells to better survive and proliferate to become a STIC. However, from a molecular genetic perspective, this very low mutation rate may not sufficiently drive tumorigenesis, and could explain the relatively low incidence of HGSC in the general population. Whether the TP53 mutation itself can accelerate the mutation rate, reprogram the epigenome, and facilitate cancer-promoting mechanisms (eg, CCNE1 amplification or homologous recombination DNA repair deficiency) is uncertain. The tumor-suppressive effect of progesterone in ovarian and endometrial cancer is well known. The findings from a recent report suggest a possible mechanism, since in both mice (Trp53<sup>-/-</sup> model) and humans, progesterone supplementation could eliminate p53-defective tubal epithelium by necroptosis through activating the tumor necrosis factor (TNF)-a/receptor-interacting serine/threonine-protein kinase (RIPK)-1/RIPK3/mixed-lineage kinase domain-like protein (MLKL) pathway. This suggests that before HGSC develops, progesterone may serve as a mechanism to prevent early tumorigenesis by eliminating progesterone receptor-positive p53 signatures.<sup>44</sup>

In addition to an increase in molecular genetic alterations, including somatic mutations and loss of heterozygosity,<sup>17</sup> tumor initiation and progression have also been associated with aberrant expression of several cancer-related genes

(Figure 4). The up-regulated genes known so far in STICs include *STMN1*,<sup>45,46</sup> *LAMC1*,<sup>47</sup> *RSF1*, *CCNE1*, and *FSN*,<sup>48</sup> which are not up-regulated in the normal fallopian tube epithelium. Among down-regulated genes, *PAX2* is the most important. Loss of PAX2 protein has been shown in tubal precursors as early as the secretory cell overgrowth stage and in almost all STICs.<sup>32,49,50</sup> PAX2, a member of the pair box gene family, is expressed in adult Müllerian derivatives, and is essential for urogenital morphogenesis. Loss of PAX2 expression has been associated with stem cell expansion during Müllerian development and maintenance of homeostasis in adult fallopian tube and, therefore, may be involved in tumorigenesis of HGSC.<sup>51,52</sup>

Telomere length reflects the cell division history, as telomeres gradually shorten each time a nontransformed cell divides. Thus, telomere length can serve as a molecular clock in some contexts. Telomere shortening has been observed in cancer precursors of prostate, lung, pancreatic, colon, breast, cervical, and biliary tract carcinomas.53-56 Thus, analysis of telomere lengths across p53 signatures, STIC, and HGSC yields new insight into pathogenesis. Most p53 signatures and incidental STICs without HGSC have been reported to manifest significant telomere shortening compared with their matched adjacent normalappearing fallopian tube epithelium.<sup>27</sup> STICs associated with HGSC have been reported to exhibit the shortest telomeres among p53 signatures, incidental STICs without HGSC, and HGSC.<sup>27</sup> Ultrashort telomeres in some STICs may contribute to chromosomal instability, as reflected in centrosome amplification and DNA copy number alterations (Figure 3), which trigger apoptosis or senescence. Thus, like mitotic figures, apoptotic bodies are frequently detected in a STIC, and serve as a reliable diagnostic feature of STIC. On the other hand, some of the STICs can activate telomerase reverse transcriptase to maintain telomere length, rescuing the cells from telomere-induced apoptosis/senescence and resulting in the acquisition of additional cancer-promoting mechanisms to progress to HGSC.<sup>57</sup>

Based on the number of somatic mutations and the lesionspecific proliferation rate, a multisegment tumor evolutionary timeline was calculated. The majority of HGSC precursors were estimated to appear in women as early as the late teens or early 20s. It may take 2 decades or more for a p53 signature lesion to progress to an HGSC. This prolonged latency before the development of an invasive and disseminated carcinoma provides a relatively reasonable time window for prevention and surgical intervention. For example, oral contraceptive use has been associated with an approximately 50% reduction in ovarian cancer risk,<sup>58</sup> and lipid-lowering statins have been reported to reduce the formation of STIC in a preclinical mouse model.<sup>59</sup>

However, it may take as few as 6 years for a STIC to progress to an HGSC, indicating accelerated tumor progression during this stage, and a much narrower window for early detection and intervention.<sup>17</sup> This proposed timeline is remarkably similar to the estimate from a study that assessed

the time effects of oral contraceptive use or pregnancy in the prevention of ovarian cancer alongside other clinicopathologic parameters.<sup>60</sup> In that study, the natural history began at first ovulation in an adolescent, requiring 10 years from first ovulation for normal tubal epithelium to acquire the p53 signature, another 15 years to STIC, and over 5 years to progress to HGSC.<sup>60</sup>

# **Epigenetic Alterations in Developing STIC**

Despite its aggressive nature, HGSC, unlike type 1 ovarian carcinomas, surprisingly does not contain prominent recurrent mutations other than TP53. This is also true for STIC and p53 signature, as genome-wide analyses fail to identify consistent and reproducible molecular genetic alterations. It has become increasingly clear that TP53 mutation alone is insufficient for propelling tumor progression, given that p53 signatures are histologically indistinguishable from adjacent TP53 wild-type tubal epithelium, and the p53-signature epithelial cells have a low (background) level of proliferative activity. The above finding raises the possibility that epigenetic changes collaborate with other molecular changes (TP53 mutation, amplification/deletion of cancer driver genes, alterations in miRNAs, etc) to drive neoplastic transformation, especially in association with genetic predisposition such as BRCA1 and BRCA2 germline mutations. The stochastic nature of epigenetic alterations, like molecular genetic changes, can increase the heterogeneity of cells for selection, a main driving force in tumor evolution.

Two recent studies employed the MethylationEPIC platform to globally profile methylation alterations in various gynecologic malignancies, including HGSCs, STICs, p53 signature, and adjacent normal-appearing fallopian tube epithelium.<sup>28,29</sup> The first report discovered a total of 91 regions of robust, HGSC-specific hypermethylation, and the top performance of these markers was found to also be hypermethylated in STICs. More interestingly, hypermethylation of some of the genes was detected in adjacent normal-appearing fallopian tubal epithelium but not in fallopian tube epithelium from distally located regions, or from healthy women without HGSC or STIC. In the second study, genome-wide methylation analysis was directly performed on STIC and p53 signature lesions. Unsupervised analysis of the 1000 most differentially methylated CpG probes showed that STICs largely clustered with HGSCs, but were clearly distinct from adjacent normal fallopian tube epithelium. Notably, the p53 signature clustered with adjacent normal epithelium, indicating that the p53 signature carried a largely normal methylome. Importantly, this study identified 42 genomic regions that exhibited highconfidence STIC-specific differential hypermethylation, of which 17 (40.5%) directly overlapped with HGSC-specific differentially methylated regions. These observations suggest that aberrant methylation is likely an early event in HGSC carcinogenesis.

In addition to promoter and enhancer hypermethylation, which is associated with down-regulation of gene expression, hypomethylation can play a role in up-regulating certain genes. Ten-eleven translocation methylcytosine dioxygenase (TET)-1 is an enzyme involved in active DNA demethylation. TET1 was recently shown to be up-regulated in STICs and HGSCs compared to normal-appearing fallopian tube epithelium,<sup>61</sup> suggesting that epigenetic reprogramming contributes to HGSC development through upregulating genes involved in tumor-promoting pathways. The presumptive cancer-promoting gene CSNK2A1, which encodes casein kinase II subunit  $\alpha$  (CK2 $\alpha$ ), and longinterspersed element (LINE)-1, are two examples of genes promoter/enhancer subject to hypomethylation in STICs.<sup>61,62</sup>

#### Other Origins of HGSC Independent of STIC

Are all HGSCs derived from STICs? Or more importantly, what percentage of HGSCs develop from a pre-existing STIC? It is difficult to answer either question with confidence unless the true prevalence of a tubal lesion is known. A close estimation is that approximately half of the fallopian tubes accompanied by HGSCs contain STICs; however, that leaves a significant number of HGSCs for which precursors cannot be identified.<sup>8,20,21</sup> The above finding can be explained by the fact that routine tissue sampling and tissue sectioning are likely to miss a STIC or a p53 signature. Even when an entire fallopian tube is submitted for tissue processing, only a small fraction can be examined microscopically, given that the bulk of tissue remains in paraffin, and thus is not available for examination. This underdiagnosis argument is supported by reports showing an increase in the number of tubal lesions identified when the paraffin tissue is extensively sampled and carefully examined, by methods such as inverting a tissue block and resectioning.<sup>20</sup> Nonetheless, it is reasonable to postulate that not all HGSCs develop via a STIC-to-HGSC sequence.<sup>63</sup>

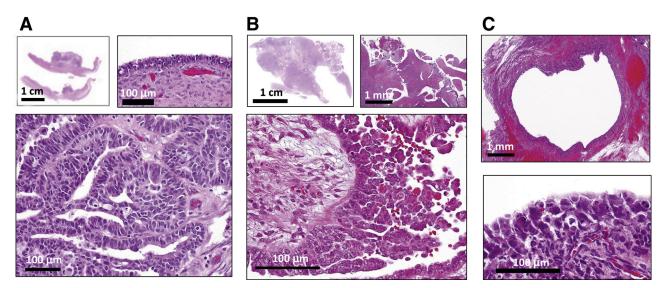
What then, are the alternative origins? A host of other mechanisms have come to light. Clinicopathologic and molecular studies together with anecdotal evidence have shown that HGSCs can be associated with ovarian serous borderline tumor, ovarian low-grade serous carcinoma, ovarian serous cystadenoma, ovarian cortical inclusion cyst, and ovarian surface adenofibroma.<sup>1,64</sup> There is currently no evidence that shows dysplasia involving ovarian surface mesothelial cells in a way similar to a STIC, or an incipient HGSC directly arising from these cells. It would not be surprising if future case reports demonstrate an early HGSC genesis on the ovarian surface where the overlying mesothelial cells are replaced by tubal mucosa due to severe tubal-ovarian adhesion. Evidence is lacking on whether HGSC can develop from the vestigial remnants of Müllerian epithelium located at the soft tissues near ovary and fallopian tube.

A morphologic and molecular genetic analysis provides cogent evidence that, although uncommon, HGSC can develop from concurrent serous borderline tumors and lowgrade serous carcinomas.<sup>64-66</sup> Metachronous analysis of ovarian serous borderline tumors in some cases also demonstrates the development of subsequent HGSC.<sup>67</sup> Several of these HGSCs harbor KRAS but not TP53 mutations, an unusual finding in HGSCs developing from the conventional pathway. Other origins are rare, resulting in unusual cases (Figure 5). Thus, the genesis of HGSC may follow alternative pathways independent of the STIC-HGSC sequence. Interestingly, ovarian low-grade serous carcinoma and its precursor lesion, serous borderline tumor, as well as endosalpingiosis and the tubal-type ovarian cortical inclusion cysts are all derivatives of fallopian tube epithelium. Thus, HGSCs arising from these lesions can, in theory, be traced back to fallopian tube epithelial cells.<sup>9</sup> Thus, two main pathways of HGSC development have been proposed. One is the canonical STIC pathway, in which malignant transformation occurs directly on the fallopian tube. The other is an alternative pathway in which transformation to HGSC takes place ectopically in fallopian tube-related lesions in the ovary. The presence of HGSCs not related to STICs does not refute, but expands the tubal paradigm, given that HGSCs from both pathways are descendants of fallopian tube epithelium. Thus, it is unsurprising that the RNA transcriptome and the miRNA data do not reveal clear separation between HGSCs with and without STIC lesions.<sup>22</sup>

## **Clinical Implications of the Tubal Paradigm**

#### Prophylactic and Opportunistic Salpingectomy

In women with a genetic predisposition to ovarian cancer, prophylactic bilateral salpingo-oophorectomy has significantly decreased the risk for ovarian cancer, and has become the standard of care. However, removal of the ovaries prematurely has been associated with significant pathologic sequelae, as reported by the Nurses' Health Study of 30,000 women. Investigators in that study did not identify an overall survival benefit from bilateral oophorectomy at any age because of the low incidence of ovarian cancer. Rather, there was a substantial increase in mortality in those women due to coronary heart disease, cancer (lung and colorectal), and other causes.<sup>68</sup> The paradigm shift from ovarian to tubal origin of HGSC should lead to the adoption of a more rational approach to treating high-risk women, specifically, bilateral prophylactic salpingectomy with ovarian conservation, which can preserve both fertility and hormone function. Oophorectomy can then be postponed until the woman approaches menopause. The precise time when salpingectomy should be performed has been a subject of debate. Given that STICs are rarely associated with invasive carcinoma or disseminated disease in women under the age of 50,<sup>69,70</sup> it is proposed that prophylactic salpingectomy



**Figure 5** Alternative pathways in the development of high-grade serous carcinoma (HGSC). **A:** HGSC arising from an ovarian serous cystadenoma. **Top left panel:** A low-magnification view showing the wall of the cystadenoma with a focal solid growth of HGSC. **Top right panel:** Normal-appearing epithelium surrounding the solid tumor. **Bottom panel:** Histologic features of an HGSC. **B:** HGSC developing directly on the ovarian surface. **Top left panel:** A low-magnification view showing the whole ovarian section. **Top right panel:** The ovarian surface showing adenofibroma where the HGSC arises. **Bottom panel:** HGSC on the surface of adenofibroma. **C:** HGSC arising from an enlarged ovarian cortical inclusion cyst. **Top panel:** A low-magnification view of the inclusion cyst. **Bottom panel:** Highly atypical cells appearing on the surface of the cyst. Scale bars: 100 μm (**A, top right panel**; **B, bottom panel; B, bottom panel; C, top panel**; **I** tom (**A, top left panel; B, top left panel**).

can be reasonably considered in high-risk women until 45 years of age.

Similarly, in average-risk women undergoing hysterectomy for benign diseases, bilateral salpingectomy without the removal of the ovaries is now advocated.<sup>71</sup> This opportunistic salpingectomy may, in theory, reduce the risk for HGSC, at least from a population perspective; however, because the incidence of HGSC in the general population is low, the significance is questionable. Nonetheless, salpingectomy, rather than simple tubal ligation, is often recommended for permanent contraception.

# Early Detection of HGSC Using Liquid-Based Papanicolaou Samples

Early detection of cancer is believed to significantly decrease cancer-associated morbidity and mortality by enabling surgical removal of early-stage and organ-confined tumors, performed either as an intent to cure, or to increase the likelihood of successful treatment in women with low tumor burden. Cancer-screening paradigms, such as Papanicolaou smear cytology, stool DNA test, and mammograms, have successfully shifted the diagnosis from symptom-detected to screening-detected cancer. This practice has been known to reduce cancer-associated mortality and morbidity. However, this success has not been reproduced in ovarian cancer, which is anatomically located deep in the pelvic cavity. Several large-scale screening trials incorporating protein biomarkers such as CA-125, and/or imaging modalities such as transvaginal ultrasound, have yet to demonstrate a meaningful survival benefit.<sup>72,73</sup> Thus, early diagnosis of ovarian cancer represents a seemingly

insuperable hurdle in light of potential harm outweighing potential benefit.

The predicament in the early diangosis of ovarian cancer, however, seems to be challenged. The tubal paradigm-that most ovarian HGSCs arise from fallopian tubes that are directly communicable with endometrial cavity and cervix-has brought a renewed hope for the early detection of HGSC in asymptomatic women, even at its precursor stage. This detection is possible because the cancer cells derived from STICs or incipient HGSC can travel from fallopian tubes down to the cervix, where they can be collected for analysis.<sup>74</sup> Indeed, a prototypic assay, PapGene, has been developed using routine liquid-based Papanicolaou specimens, which allows the detection of rare copy mutations in a small set of selected genes, including TP53, against a background of an overwhelming amount of normal DNA.<sup>75</sup> In a follow-up study to increase the sensitivity of PapGene, the PapSEEK, which incorporates assays of mutations in 18 genes and an assay for aneuploidy, was developed.<sup>75,76</sup> The sensitivity of PapSEEK was 33%, including 34% of patients with earlystage disease, and the specificity was nearly 99%. With the analysis of plasma DNA, the combined tests increased the sensitivity for ovarian cancer detection to 63%. These results clearly demonstrate the potential of DNA-based diagnostics to detect ovarian cancers at a stage at which they are more likely to be curable by surgery and/or chemotherapy.

Despite this promise, the persistent challenge, now as in the past, is the lack of cancer type—specific biomarkers that can distinguish ovarian carcinomas from other gynecologic malignancies and from benign lesions such as adenomyosis

and endometrial polyp. Further complicating the specificity is that normal endometrial glands harbor somatic mutations, including cancer driver mutations.<sup>41</sup> This finding is theoretically expected to increase false-positive cases. One of the solutions would be to undertake clinical studies to determine methylation markers. Methylation patterns have been shown to better differentiate tissue types and to identify the cancer of origin (eg, ovarian versus endometrial carcinoma), 77-79and, as compared to gene mutations, over-representation of hypermethylated loci can be developed to improve overall clinical sensitivity and specificity.28,80,81 Other biomarkers including cancer antigen (CA)-125 and human epididymis (HE)-4 can also be included in developing a multimarker test for increasing sensitivity without sacrificing high specificity. Ultimately, a sufficiently large prospective interventional trial will be required for testing this approach in the target high-risk population (BRCA carriers or patients with a family history of breast/ovarian cancer). When introduced in clinics, the test-positive women will be further evaluated using imaging modalities (eg. transvaginal sonography) before surgical intervention can be considered.

### **Challenges and Future Directions**

#### Clinical Significance of Incidental STIC

Unlike a precursor lesion or an in situ carcinoma from other types of epithelial cancer for which surgical removal always lead to cure, STIC may, theoretically, disseminate before salpingectomy.<sup>82</sup> This phenomenon may explain why some women with inherited mutations in BRCA1/2 develop peritoneal HGSC even after their fallopian tubes and ovaries have been prophylactically removed.<sup>83,84</sup> A clinicopathologic correlation study has suggested that non-high-risk women who are diagnosed with incidental STICs should receive a complete staging surgery and be offered hereditary testing.<sup>85</sup> Larger-scale clinical studies are needed for determining the risk of an incidental STIC subsequently developing into an HGSC. Moreover, not all STICs are generated equal, and it is possible that some STICs present a higher risk than others. There is some controversy regarding the appropriate management of women with a STIC in the absence of tumor elsewhere. Preliminary studies in rare cases have shown recurrences, <sup>86,87</sup> suggesting that perhaps not all STICs progress to HGSCs. Some investigators have argued that women diagnosed with STIC after prophylactic salpingooophorectomy may not need adjuvant chemotherapy.86 Thus, a risk-prediction model that incorporates molecular data will be crucial for guiding clinical management in women with incidental STICs.

#### Standard Diagnosis of STIC

The availability of a correct and reproducible diagnosis of precancerous lesions, including STIC, is of utmost

importance for studies addressing the early pathogenesis, early detection, chemoprevention, and clinical management of HGSC. However, there are two main challenges. First, consistency of diagnosis remains poor among pathologists, although diagnostic criteria for STIC have been proposed.<sup>88</sup> Second, because of its minute size, a STIC can be inadvertently missed in routine pathology examination. Morphology-based, on-line training may be of benefit to practicing pathologists who wish to become more familiar with the diagnosis of STICs. A futuristic but pragmatic approach to developing a computer-assisted intelligence platform for screening hematoxylin and eosin-stained fallopian tube sections for potential STIC lesions would be helpful, especially for screening women at high risk. Pathologists can send images to a centralized server that runs image-based analysis, which can annotate those regions that require pathologists' attention and validation. Once regions suspicious for STIC are selected using morphology-based screening, tissue biomarkers can then be applied to confirm the diagnosis. Although intense and diffuse p53 staining provides a useful marker of STICs, this abnormal staining pattern is absent in approximately one-third of STICs because of TP53 inactivation of mutations, resulting in loss of p53 protein. An immunostaining marker that can universally detect STICs, regardless of TP53 mutation type, would be useful. Future studies should compare the sensitivity and specificity of published STIC markers, including PAX2, stathmin, laminin  $\gamma$ 1, p16, high-mobility group protein (HMGA)-2, and cyclin E1,<sup>45–47</sup> to determine whether a single specific marker is sufficient or whether a combination of markers would further improve performance.

#### Pathogenesis of STIC Outside of TP53 Mutation

Other than TP53 mutations and CCNE1 copy number gains, STICs exhibit few recurrent molecular genetic aberrations. Therefore, the identification of changes in epigenetics, epigenomics, miRNAs, microbiome, and proteomics (especially post-translational modifications), may offer important insights into the early pathogenesis of HGSC. However, there are challenges ahead. Technologies suitable for the analysis of the scant and often degraded biomolecules from minute laser-capture microdissected paraffin-embedded lesions are yet to be improved. Single-cell technologies with a capacity to analyze a few lesion cells in paraffin tissues will help understand the precancerous landscape of precursor lesions. Multiplex immunostaining and in situ hybridization may offer a convenient approach before micro-omics analysis becomes a reality. Another challenge is the confounding factor that some of the STICs in association with HGSC may represent seeding back of peritoneal HGSC cells, mimicking de novo STICs. Thus, the proximal positioning of an HGSC and a STIC does not guarantee the rise of HGSC directly from the adjacent STIC. Further complicating the delineation of the clonal relationship between STIC, p53 signature, and HGSC from the same patient is the intratumoral heterogeneity, especially in HGSC. Different interpretations of data may depend on which part of the HGSC is studied and compared to the matched STIC or p53 signatures. To overcome these challenges and to provide for a meaningful comparison, future molecular studies should carefully select for analysis STIC/HGSC pairs in which the HGSC is small and is at an early stage. Likewise, molecular analyses should include incidental STICs in the absence of synchronous HGSC. These unusual STICs represent the most clean precursor lesions for studying molecular pathogenesis before cancer arises. Finally, STICs can be associated with uterine serous carcinomas, either as a precursor or as a metastasis,<sup>89,90</sup> and other metastatic carcinomas can spread to fallopian tube mucosa and masquerade as STIC lesions.<sup>91,92</sup> Future study design should consider this factor to rule out possible STIC mimickers.

# Conclusion

The emerging tubal paradigm is expected to affect ovarian cancer research and gynecology practice. Recent studies, especially those that analyzed the incidental STICs and p53 signatures without HGSC, have contributed to a better understanding of the molecular etiology in the tumor initiation of HGSC. The research is starting to suggest the presence of multiple and molecularly heterogeneous STICs at a precancerous stage, and telomere shortening observed in STICs serves a rate-limiting selection pressure for some STICs that escape this bottleneck and eventually progress to HGSC. The extant STIC associated with HGSC at diagnosis may represent a close cousin of the original precursor, which is minute, and has been effaced by the aggressive carcinoma. From a clinical perspective, two major implications stand out: one is the recommendation of performing prophylactic and opportunistic salpingectomy without oophorectomy, thus intercepting tumor progression while preserving ovarian hormone function. The other is the early detection of HGSC and STIC in routine cervical liquid-based Papanicolaou specimens. If future studies can demonstrate an improved clinical outcome with the adoption of these approaches, patients and physicians would be well-positioned to witness a monumental bonus from this paradigm shift.

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