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## Long-term outcomes of HIV-infected women receiving antiretroviral therapy after transferring out of an integrated maternal and child health service in South Africa

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## Abstract

**Background**—Integrated maternal and child health (MCH) services improve women's postpartum antiretroviral therapy (ART) outcomes during breastfeeding, however long-term outcomes after transfer to general ART services remain unknown.

**Methods**—The MCH-ART trial demonstrated that maternal retention and viral suppression at 12 months postpartum were improved significantly among women randomized to integrated MCH services continued in the antenatal clinic through cessation of breastfeeding (MCH-ART arm) compared to immediate transfer to general ART services postpartum (standard of care [SOC]). We reviewed electronic health records for all women who participated in the MCH-ART trial to ascertain retention and gaps in care and invited all women for a study visit 36–60 months postpartum including viral load testing.

**Results**—Of 471 women in MCH-ART, 450 (96%) contributed electronic health record data and 353 (75%) completed the study visit (median 44 months postpartum). At this time, outcomes were identical in both trial arms: 67% retained in care (p=0.994); 56% with viral loads <50 copies/mL (p=0.751). Experiencing a gap in care after delivery was delayed in the MCH-ART arm with 17%, 36% and 45% of women experienced a gap in care by 12, 24, and 36 months postpartum compared to 35%, 48% and 57% in the SOC arm, respectively.

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**Conclusions**—The benefits of integrated maternal HIV and child health care did not persist after transfer to general ART services. The transfer of women postpartum to routine adult care is a critical period requiring interventions to support continuity of HIV care.

#### Keywords

women; long-term outcomes; viral suppression; retention; integrated care; transfer

#### Introduction

Sustained viral suppression is crucial to achieve the benefits of universal antiretroviral therapy (ART). For pregnant and postpartum women, lifelong ART and associated viral suppression ensures prevention of mother-to-child transmission (PMTCT) of HIV and greatly improves maternal health outcomes [1]. Ongoing retention in HIV care and viral suppression are substantial challenges in many high-HIV burden settings and interventions to improve the outcomes among pregnant and postpartum women has been a focus of much research [2–4].

Historically, in many settings women who were identified as eligible for ART during pregnancy were transferred to receive HIV care and treatment in separate ART clinics in parallel to antenatal care. This transfer step was quickly acknowledged as a vulnerable point as many women did not successfully initiate treatment prior to delivery [5,6]. Major gains were made for maternal ART and PMTCT with the move to integrated ART and antenatal care, now the standard of care in most high-burden countries [7]. In South Africa and many other settings, women are transferred from integrated antenatal and ART services soon after delivery [8-10]. In more recent years there has been a focus on integrated postpartum maternal and child health (MCH) services that continue to provide both maternal HIV care, including ART, and routine child health services, including early infant diagnosis of HIV, in the same clinic as antenatal care was provided [11–13]. Integrated HIV and MCH services continued from antenatal care through one or two years postpartum is the norm in some settings, such as parts of Zimbabwe and Mozambique, and several studies have examined maternal and child outcomes in the context of integrated postpartum care [11–16]. Most have found improvements in short-term maternal retention and/or child engagement in routine services, with clear benefits for both mother and child through the periods of greatest HIV transmission risk.

Despite the benefits of integrating HIV care with MCH services through pregnancy and after delivery, integrated postpartum services still require women living with HIV to transfer from the MCH clinic to a general ART clinic for long-term care. Postpartum transfer has been identified as a potential point of loss [10,17–20] but there are few data on the long-term outcomes of women following transfer out of integrated postpartum HIV and MCH services. The MCH-ART trial found improved retention and viral suppression at 12 months postpartum among women randomized to co-located postnatal MCH services in the antenatal care setting compared to those who received standard early postpartum transfer to separate maternal and child health services in Cape Town, South Africa [13]. Here, we

extend these results to evaluate the longer-term impact of the MCH-ART intervention on maternal retention and viral suppression up to four years postpartum.

### Methods

#### **Design and setting**

This analysis combines data from a prospective cohort of women living with HIV who initiated ART during pregnancy, and a cross-sectional study visit conducted at 36–60 months postpartum. The cohort was based in Gugulethu, a community within Cape Town with high levels of poverty and unemployment, and a high HIV burden.

#### Background to the LACE study

The LACE (Long-term Adherence and Care Engagement) study took place after the completion of the MCH-ART trial (ClinicalTrials.gov NCT01933477). The methods and results of the MCH-ART trial have been described previously [13,21]. Between June 2013 and December 2014, the trial enrolled 471 recently postpartum women who had initiated ART during pregnancy in an integrated antenatal and ART clinic and who were breastfeeding their babies. Women were randomized to receive either integrated postnatal care and maternal ART services (the MCH-ART intervention), or the local standard of care (SOC). In the MCH-ART arm, women remained in the integrated clinic where they had received antenatal care with co-located MCH services until cessation of breastfeeding (median 7 months) or 12 months postpartum, whichever occurred first. At this point, they were transferred to their nearest general ART clinic to continue their care. In the SOC arm, women were transferred from the MCH clinic immediately postpartum to continue their ART care at their nearest general ART clinic while their infants were referred to their nearest clinic offering routine child follow-up and early infant diagnosis services. The MCH-ART intervention substantially improved the composite primary trial outcome of maternal viral suppression (viral load <50 copies/mL) and retention in HIV care at 12 months postpartum compared to the SOC: 77% of women achieved the outcome in the MCH-ART arm versus 56% in the SOC arm [13].

In the LACE study reported here, we sought to assess whether there was any sustained impact of the MCH-ART intervention by evaluating women's outcomes 36–60 months postpartum.

#### LACE study procedures and measures

Between April 2017 and May 2018, women who had participated in the MCH-ART trial and who had not died or withdrawn were re-contacted and recruited into the LACE study. This study was designed and initiated after all women had exited the MCH-ART trial, however all women who enrolled in MCH-ART provided informed consent to continue to abstract their routine medical records and to be contacted for future related research with contact details provided to the study team. The LACE recruitment approach has been described in detail elsewhere [22]. Women who were successfully contacted were invited to the study site in Gugulethu and completed written informed consent prior to the follow-up study visit. A single study interviews was conducted to collect demographic data and details on the health

of the mother and her child. Blood was drawn for HIV viral load and sent to the National Health Laboratory Services (NHLS) for testing (Roche COBAS AmpliPrep/COBAS TaqMan HIV-1 assay; Roche Diagnostics, Branchburg, New Jersey, USA).

Retention outcomes were obtained from routine medical records for all women who had participated in the MCH-ART trial, regardless of attendance at the LACE study visit. Retention in care was measured using routine electronic health data operationalized in the identical manner as in the primary trial analysis. Data from all public health facilities in the Western Cape were available in electronic medical records which were linked using provincial unique patient identifiers by the Provincial Health Data Centre [23]. These data included laboratory tests, pharmacy dispensing records and clinic visits, as well as vital status. As these data were limited to facilities in the Western Cape, the National Death Registry was also searched to ascertain vital status for women who had no provincial routine health data. Retention was defined as any evidence of attending routine HIV services (ART dispensed, an ART clinic visit, a viral load test or a CD4 cell count) in the 12 months preceding the LACE visit for women who attended the follow-up study visit. For women who did not participate in LACE, a proxy date for assessment of retention was calculated using the median time postpartum of all attended LACE visits.

The primary outcome of interest in LACE was equivalent to the primary outcome of the MCH-ART trial: a composite of women's retention in HIV care and viral suppression (<50 copies/mL) in the 12 months preceding the LACE study visit. To explore when loss from care occurred in both MCH-ART trial arms, retention in HIV care was also estimated as described above for consecutive 12-month windows after the MCH-ART primary trial endpoint. Gaps with no evidence of accessing routine HIV care for >180 days were also identified.

Secondary maternal outcomes including current use of family planning, pregnancies since the MCH-ART trial, maternal hospitalizations and TB diagnoses in the past year were collected through self-report at the LACE study visit.

#### Statistical analysis

Analyses were conducted in Stata (Stata Corporation, College Station, Texas, USA). Descriptive statistics were used to compare characteristics of women who were and were not enrolled in the LACE study, and to compare women by their trial allocation. The proportion of women in each arm achieving the composite and component endpoints were calculated based on original allocations in the MCH-ART trial (intention-to-treat). Variables were described using means with standard deviations (SD), medians with interquartile ranges (IQR) and proportions with 95% confidence intervals (CI). Bivariate associations were assessed using rank-sum and chi-squared tests. Time to the first 180-day gap with no evidence of accessing routine HIV care after delivery was examined in each trial arm using Kaplan Meier methods. Women entered the analysis seven days after delivery and were censored at 42 months postpartum. The date of experiencing a 180-day gap was assigned to the date of last evidence of accessing routine HIV care prior to a 180-day period with no evidence of accessing care [24]. In sensitivity analyses assessing gaps after leaving the integrated clinic, women entered on the date of their last visit in the integrated clinic and

were censored 30 months after leaving the integrated clinic. Multivariable log binomial and additive binomial models were used to examine predictors of retention and viral suppression, reported as adjusted risk ratios (aRR) and adjusted risk differences (aRD) with 95% CIs. Best- and worst-case scenarios (assuming all missing viral loads to be <50 or 50 copies/mL) as well as multiple imputation was used to examine the potential influence of missing viral load data at the LACE visit. Twenty-five datasets with complete viral suppression data were created with chained equations and estimates and CIs were pooled using Rubin's rules for imputed data analyses [25].

#### Ethics

All women included in this analysis completed written informed consent that included consent to contact them for future research and to review their routine medical records. Ethical approval was obtained from the University of Cape Town Human Research Ethics Committee and the Columbia University Medical Centre Institutional Review Board.

## Results

Of 471 women randomized in the MCH-ART trial, 353 (75% overall; 76% of the MCH-ART arm and 74% of the SOC arm) were successfully enrolled in LACE (median of 44 months postpartum, IQR 42-46 months) (Figure 1). Eight women were not eligible: three died during the MCH-ART trial and five women withdrew their participation. In addition, during recruitment for the LACE study six women were located but refused participation, seven additional deaths were ascertained, and 94 women were never located. MCH-ART trial allocations were equally distributed among women enrolled in LACE with 51% (n=181) of women from the SOC arm and 49% (n=172) from the MCH-ART intervention arm. When comparing women who did and did not enroll in the LACE study, women who were enrolled in LACE were less likely to have been married/cohabiting and to have been in their first pregnancy at enrolment into the MCH-ART trial compared to women who were not enrolled in LACE (Supplementary Table 1). Those who were successfully enrolled were more likely to have been retained in the MCH-ART study and to have been retained in HIV care at 12 months postpartum than women who were not enrolled in LACE. Among the 353 women enrolled in LACE, demographics at trial enrolment, characteristics at randomization, retention in the MCH-ART trial and characteristics at the LACE visit 36-60 months postpartum did not differ by allocation to the MCH or SOC arms (Supplementary Table 1).

#### **Primary outcomes**

Overall, 56% (n=196) of women achieved the composite outcome of being retained in HIV care and virologically suppressed (< 50 copies/mL) in the 12 months preceding the LACE study visit. Neither the composite outcome nor the component outcomes at the time of the LACE study differed by trial arm (Table 1): the composite outcome was achieved by 55% (n=94) of women in the MCH-ART intervention and by 56% (n=102) in the SOC arm (p=0.751; aRD 0.023 95% CI –0.078 to 0.125). Evidence of retention in HIV care in the year preceding LACE was found for 67% of women in both arms (p=0.994). Among the whole MCH-ART trial cohort (intervention and SOC arms), regardless of enrolment into LACE and excluding only women who were known to have died, withdrew or refused

participation (n=450), 63% (n=284) had evidence of retention in HIV care at the time of the LACE study. There were no differences in retention by trial arm (140 in the intervention [63%] and 144 in the SOC arm [63%], p=0.885; aRD 0.020 95% CI –0.068 to 0.108). The MCH-ART intervention was also not associated with various secondary maternal health outcomes including family planning use, repeat pregnancy, maternal hospitalization or TB diagnosis reported at the LACE visit (Supplementary table 2).

#### Timing of loss from care

Figure 2 shows the proportion of women with evidence of retention in care in two 12-month windows of time after the primary MCH-ART trial outcome assessment [13]. The difference in retention observed in the primary trial attenuated later postpartum. The first experience of a gap in care after delivery was delayed in the MCH-ART arm compared to the SOC (Figure 3). By 12 months postpartum, 17% (95% CI 13-23%) and 34% (95% CI 29-41%) of women in the MCH-ART intervention and the SOC arm, respectively, had experienced a 180-day gap in care. By 36 months postpartum, 45% (95% CI 39-52%) of women had experienced a 180-day gap in care in the MCH-ART intervention compared to 57% (95% CI 51-64%) in the SOC arm (log rank p=0.023). When gaps in care after the last visit in the integrated clinic were assessed (Supplementary figure 1), loss from care appeared to occur rapidly regardless of whether transfer occurred soon after delivery (in the SOC arm, median 0.4 months postpartum) or after cessation of breastfeeding (the MCH-ART intervention arm, median 7.4 months postpartum). Although the MCH-ART arm appeared to have a lower probability of experiencing a gap in care compared to the SOC arm, time to a gap in care after leaving the integrated clinic was not significantly different in the two trial arms (log rank p=0.068).

Among 322 women with viral load results available at both the MCH-ART primary endpoint (12 months postpartum) and at the LACE study visit (36–60 months postpartum), 48% (n=153) were virally suppressed at both timepoints. Substantial movement between suppressed and unsuppressed was observed across the two time points (Table 2). Among women who were not suppressed at the LACE study, 45% had previously been virally suppressed while 16% of suppressed women had previously been unsuppressed at 12 months postpartum. Similar variation was seen in both trial arms. While all women initiated the first line fixed dose combination of efavirenz, tenofovir and emtricitabine during pregnancy, nine women were found to be on second line regimens at the LACE study visit: two had suppressed viral loads at both time points, four had raised viral loads at both time points, and three moved from being unsuppressed in the MCH-ART trial to being suppressed in the LACE study.

#### Predictors of non-retention and viremia

Crude and adjusted RRs and RDs are presented for non-retention (Supplementary table 3) and viremia (Supplementary table 4). Among all women with retention outcomes (n=450), the only significant predictor of non-retention at the LACE visit was late gestation at presentation for antenatal care in MCH-ART (>20 vs 20 weeks; aRR 1.36 95% CI 1.05 to 1.76; aRD 0.119 95% CI 0.031 to 0.208). When restricted to women who completed the LACE visit and who had viral loads available (n=349), presenting for antenatal care after 20

weeks gestation (aRR 1.32, 95% 1.02 to 1.71; aRD 0.120 95% CI 0.013 to 0.226) also increased the risk of being non-suppressed (viral load 50 copies/mL). These findings persisted in sensitivity analyses with missing viral load data imputed.

## Discussion

These results demonstrate that, despite the clear benefit of continuing care in the same clinic after delivery with co-located maternal HIV and child health services through pregnancy and breastfeeding, there was no long-term benefit on maternal retention in HIV care or viral suppression after transferring out of the MCH-ART intervention. Engagement in care was suboptimal in both arms: only 56% of women were in care and virologically suppressed when assessed between 36 and 60 months postpartum, highlighting the substantial problems of sustained retention in HIV care and long-term adherence to treatment. Loss from care appeared to occur shortly after transferring out of the integrated clinic regardless of whether this transfer occurred soon after delivery (SOC) or following cessation of breastfeeding (MCH-ART intervention).

Integrated postpartum care has been found to improve maternal retention and viral suppression and may also improve the uptake of early infant HIV diagnosis services and linkage of infants diagnosed with HIV to treatment services [12–15]. Studies have also found integrated postpartum care to be desirable and acceptable for mothers [26,27]. Our results present novel insights that such integrated PMTCT services may not lessen the overall vulnerability to loss from care, but instead shift this vulnerability from the critical risk period for mother-to-child transmission, during breastfeeding, to a later point in time. These findings do not undermine the benefits of integrated postpartum services for mothers and children. Rather, they draw attention to a persistent gap in the cascade of lifelong ART for pregnant and breastfeeding women: the need to transfer to general ART services when ART is initiated in the MCH setting. In turn, these data point to the ongoing need for interventions to support continued retention and adherence to ART across facilities and service delivery models, as well as across different phases of life, such as pregnancy and postpartum, which may impact adherence and retention behavior.

This research underscores the need to support transfer between ART services following pregnancy or cessation of breastfeeding. Extending integrated maternal and child services through cessation of breastfeeding or up to two years postpartum, the standard of care in countries such as Zimbabwe and Mozambique, can improve retention in care through the period of mother-to-child transmission risk [11–16,28]. However, women must still transition into general ART services at the end of the integrated care period. Prior to universal treatment and the move to integrated antenatal and HIV care, some interventions were assessed to support transfer of pregnant women living with HIV to ART services [6,29] and there is a growing literature on ways to support other transitions such as movement from pediatric to adolescent and adult ART services [30], and linking individuals testing HIV positive to treatment services [31,32]. Interventions such as patient navigators, improved counselling and education on the transfer process, and improved connections between clinics and services have been suggested to facilitate transition of care [6,33–35]. However, there has been little focus on optimizing linkage to continued ART services following transfer

from integrated antenatal or postpartum services. This is a necessary step to sustain retention in HIV care and viral suppression, but further research is needed to understand the impact of successful linkage to care at this point in the cascade on the long-term outcomes of women and their families.

All women in this study had initiated lifelong ART under Option B+ (universal ART for pregnant women living with HIV), however only 63% had evidence of being retained in care 3-4 years postpartum. This is lower than estimates of retention at 12 months on ART in a systematic review of Option B+ in Africa (76%) and at three years after ART initiation in the Malawi Option B+ program (70%) [3,36]. Among women who attended the LACE visit, only 56% were virologically suppressed <50 copies/mL and 65% had viral loads <1000 copies/mL at a median of four years on ART. These findings are in line with 67% suppression (<1000 copies/mL) reported among women aged 15-49 years on ART in the Fifth South African National HIV Prevalence, Incidence, Behaviour and Communication Survey [37] but well below the global target of 90% suppression [38]. Interestingly, only half of women were virologically suppressed at both 12 months postpartum and at 36–60 months postpartum and women moved both into and out of viral suppression. Very few women had been switched to second line regimens; this aligns with existing literature reporting substantial delays in switching ART regimens [39]. The observed shifts in viremia point to the dynamic nature of viral suppression over time, possibly due to changing life circumstances, transient risk factors and, more broadly, the challenge of maintaining adherence to ART in the long-term [40,41].

The findings of this research should be interpreted with the following limitations in mind. Retention in HIV services was based on evidence of accessing routine HIV care in electronic health data from across the province, but underestimation of retention among women who moved out of the province or out of the country is possible. Self-reported engagement in HIV care was higher than estimated using the routine data. Although self-report is subject to social desirability bias, it is possible that incomplete routine medical record data also contributed to underestimation of retention in care. Any misclassification using the routine data was unlikely to be differential by trial arm. Data on expected routine HIV visits were not available and thus only conservative six and 12-month windows of time were used to define retention; as such, these results cannot speak directly to continuity of care. In addition, women who did not enroll in LACE were more likely to have no evidence of retention in routine HIV care and therefore may have been more likely to be viremic; however worst-case sensitivity analyses assuming all missing viral loads were 50 copies/mL as well as multiple imputation produced similar results to the observed data. Lastly, this research took place in an urban South African township setting; while results may be similar in other urban low-income areas, they may not be transferrable to settings where models of care differ. These findings may differ in settings that employ different approaches to and timing of transition from PMTCT into general ART care postpartum.

In summary, these results show that the benefits of continued co-located maternal ART and child health services postpartum for maternal retention and viral suppression do not extend beyond the period spent in the integrated service. Only 56% of women remained in care and suppressed at approximately four years on ART and transferring out of integrated services

appears to be a vulnerable point for women to be lost from care. Models of care and interventions that support linkage between services and long-term continuity of care beyond the periods of pregnancy and breastfeeding are urgently needed.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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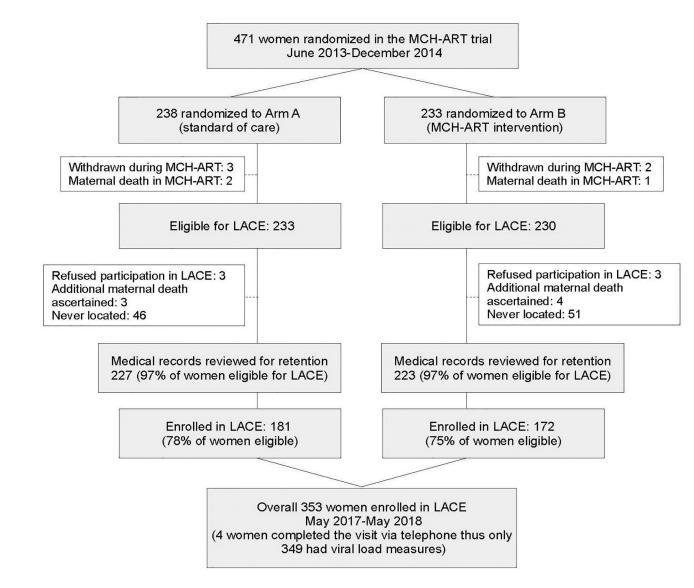
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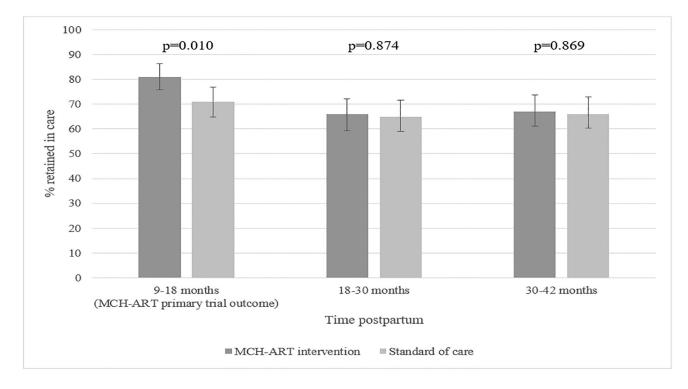
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#### Figure 1.

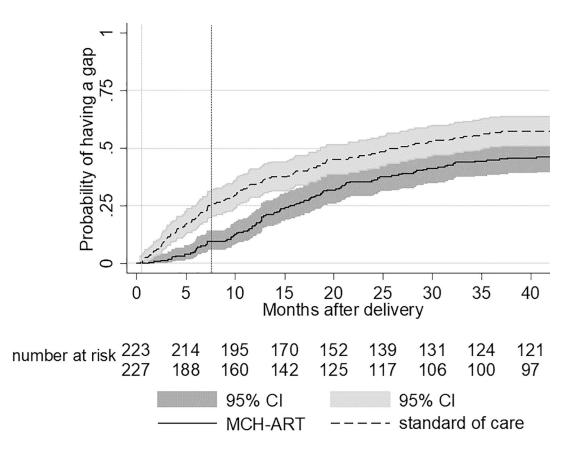
Flow chart describing the numbers of women who enrolled in the MCH-ART trial and subsequently completed the LACE study visit.

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## Figure 2.

The proportion of women retained in care (with 95% confidence intervals) at the MCH-ART primary trial outcome (approximately 12 months postpartum) and the two 12-month windows of time thereafter (n=450, all women enrolled in MCH-ART who had not withdrawn and were not known to have died).



#### Figure 3.

Kaplan Meier of time to first 180-day gap without evidence of accessing routine HIV care from the delivery through 36 months postpartum by trial arm (n=450 who had not withdrawn and were not known to have died, log rank p=0.023). The vertical dotted lines represent the median time to transfer out postpartum in the two study arms: standard of care (light grey, 0.4 months) and MCH-ART (dark grey, 7.4 months)

|  | Total             | MCH-ART intervention | Standard of care | p-value |
|--|-------------------|----------------------|------------------|---------|
| Composite outcome at the LACE visit (between 36–60 months postpartum), restricted to women who enrolled in LACE<br>and had a viral load result available (n=349) | 349               | 170                  | 179              |         |
| Evidence of maternal retention in HIV care* AND/OR VL<50 copies/mL   | 196 (56)          | 94 (55)              | 102 (56)         | 0.751   |
| Retention in care <sup>1</sup> among all women enrolled in the MCH-ART trial who were not withdrawn or known to have died<br>(n=450)                             | 450               | 223                  | 227              |         |
| Evidence of retention in care in routine electronic health data  | 284 (63)          | 140 (63)             | 144 (63)         | 0.885   |
| Retention in care among all women enrolled in LACE $(n=353)$   | 353               | 172                  | 181              |         |
| Evidence of retention in care in routine electronic health data  | 238 (67)          | 116 (67)             | 122 (67)         | 0.994   |
| <u>Self-reported</u> retention in care   | 304 (86)          | 144 (84)             | 160 (88)         | 0.204   |
| Retention in care among women not enrolled in LACE (n=97)  | 97                | 51                   | 46               |         |
| Evidence of retention in care in routine electronic health data  | 46 (47)           | 24 (47)              | 22 (48)          | 0.940   |
| Viral suppression restricted to women who attended LACE visit and have viral load results (n=349)  | 349               | 170                  | 179              |         |
| Viral suppression <50 copies/mL at LACE visit  | 196 (56)          | 94 (55)              | 102 (56)         | 0.751   |
| Viral suppression <1000 copies/mL at LACE visit  | 226 (65)          | 109 (64)             | 117 (65)         | 0.808   |
| Sensitivity analysis for viral suppression <50 copies/mL (n=450)   | 450               | 223                  | 227              |         |
| Best case: assuming all women who did not attend the LACE visit had viral loads <50 copies/mL  | 289 (64) 140 (63) | 140 (63)             | 149 (66)         | 0.527   |
| Worse case: assuming all women who did not attend the LACE visit had viral loads 50 copies/mL  | 206 (46)          | 97 (44)              | 109 (48)         | 0.336   |

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visit) for women not recruited into LACE.

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Comparison of retention and viral load outcomes between MCH-ART trial arms at the LACE study visit.

Table 1.

#### Table 2.

Comparison of the viral suppression at 12 months postpartum (the primary MCH-ART trial endpoint) and in the LACE study (between 36–60 months postpartum) among women who had outcomes available at both time points (n=322).

|                                      |       | LACE study (36-60 months postpartum) |           |                  |         |          |         |  |
|--------------------------------------|-------|--------------------------------------|-----------|------------------|---------|----------|---------|--|
|                                      |       | MCH int                              | ervention | Standard of care |         | Tot      | al      |  |
| MCH-ART trial (12 months postpartum) |       | VL<50                                | VL 50     | VL<50            | VL 50   | VL<50    | VL 50   |  |
|                                      | VL<50 | 80 (89)                              | 40 (59)   | 73 (79)          | 23 (32) | 153 (84) | 63 (45) |  |
|                                      | VL 50 | 10 (11)                              | 28 (41)   | 19 (21)          | 49 (68) | 29 (16)  | 77 (55) |  |