

Tocilizumab for the Treatment of COVID-19 Among Hospitalized Patients: A Matched Retrospective Cohort Analysis

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Background. There is currently no single treatment that mitigates all harms caused by severe acute respiratory syndrome coronavirus 2 infection. Tocilizumab, an interleukin-6 antagonist, may have a role as an adjunctive immune-modulating therapy.

Methods. This was an observational retrospective study of hospitalized adult patients with confirmed coronavirus disease 2019 (COVID-19). The intervention group comprised patients who received tocilizumab; the comparator arm was drawn from patients who did not receive tocilizumab. The primary outcome was all-cause mortality censored at 28 days; secondary outcomes were all-cause mortality at discharge, time to clinical improvement, and rates of secondary infections. Marginal structural Cox models via inverse probability treatment weights were applied to estimate the effect of tocilizumab. A time-dependent propensity score-matching method was used to generate a 1:1 match for tocilizumab recipients; infectious diseases experts then manually reviewed these matched charts to identify secondary infections.

Results. This analysis included 90 tocilizumab recipients and 1669 controls. Under the marginal structural Cox model, tocilizumab was associated with a 62% reduced hazard of death (adjusted hazard ratio [aHR], 0.38; 95% CI, 0.21 to 0.70) and no change in time to clinical improvement (aHR, 1.13; 95% CI, 0.68 to 1.87). The 1:1 matched data set also showed a lower mortality rate (27.8% vs 34.4%) and reduced hazards of death (aHR, 0.47; 95% CI, 0.25 to 0.88). Elevated inflammatory markers were associated with reduced hazards of death among tocilizumab recipients compared with controls. Secondary infection rates were similar between the 2 groups.

Conclusions. Tocilizumab may provide benefit in a subgroup of patients hospitalized with COVID-19 who have elevated biomarkers of hyperinflammation, without increasing the risk of secondary infection.

Keywords. COVID-19; IL-6; SARS-CoV-2; retrospective; tocilizumab.

In December 2019, reports of what would soon be labeled coronavirus disease 2019 (COVID-19) due to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) began to emerge. As of November 19, 2020, worldwide infections are estimated to be 56.4 million and deaths to be 1.4 million. The United States

is the current epicenter of the global pandemic, with over 11.5 million cases and 250 000 deaths [1].

Elevations in interleukin-6 (IL-6) and C-reactive protein (CRP) have been associated with poor outcomes from COVID-19 [2–4]. This has led to the hypothesis that a second illness phase seen in a minority of patients is a hyperinflammatory state [5], analogous to cytokine release syndrome (CRS) with chimeric antigen receptor (CAR) T-cell therapy [6], which is also seen in both SARS-CoV-1 and Middle East respiratory syndrome coronavirus (MERS-CoV) infections [7]. In the hyperinflammatory states associated with CAR-T therapy and certain rheumatologic diseases, anti-IL-6 therapy has proven beneficial [8–11]. Though some studies show that IL-6 levels in severe COVID-19 are lower than in other causes of acute respiratory distress syndrome (ARDS) [12], there remains interest in using immunomodulatory therapies to dampen the inflammatory cascade in affected patients.

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Treatment for COVID-19 is evolving as therapeutic trials progress. Remdesivir, an antiviral, may shorten time to recovery, particularly when used early in the disease course [13, 14]. Dexamethasone has been shown to reduce mortality in COVID-19 among those requiring supplemental oxygen [15], and a meta-analysis of corticosteroid trials found reduced mortality, regardless of oxygen support or symptom duration [16]. Numerous other immunomodulatory drugs are currently under investigation [17–19]. Tocilizumab, a humanized monoclonal antibody against the IL-6 receptor (IL-6R), has been used to treat rheumatologic diseases [20] and CRS due to CAR-T therapy [10]. Given its relative global availability, tocilizumab was used off-label at many sites in the first few months of the COVID-19 pandemic. Several recent studies have yielded conflicting results about the effects of tocilizumab in COVID-19, including secondary infection risk [21–29]. Careful retrospective analyses of the off-label use of tocilizumab can assist in understanding whether the drug has utility. This study aims to describe outcomes including secondary infection risk and identify clinical and laboratory features that predict benefit among hospitalized patients with COVID-19 and evidence of a hyperinflammatory state who received off-label tocilizumab within the Johns Hopkins Health System (JHHS).

METHODS

Study Population

This is an observational, retrospective, nonrandomized study of adult patients (>18 years) with confirmed COVID-19, hospitalized within JHHS between March 15 and June 27, 2020. JHHS is a 5-hospital system in the Baltimore/Washington DC area with ~2300 beds. COVID-19 was diagnosed via SARS-CoV-2 RNA detection on polymerase chain reaction (PCR) using nasal swab, nasopharyngeal swab, or bronchoalveolar lavage (BAL). The intervention group was patients who received tocilizumab for off-label treatment of COVID-19, and the comparator arm was drawn from patients with COVID-19 who did not receive tocilizumab. Patients were excluded if they were younger than 18 years old or if they died or were discharged within 24 hours after hospitalization. Some of the included patients have been part of prior analyses [4].

Data Source

The COVID-19 Precision Medicine Analytics Platform (PMAP) Registry (JH-CROWN) includes clinical and laboratory data from all patients admitted to JHHS with suspected or confirmed SARS-CoV-2 infection. Data were extracted from the electronic medical record and also included Research Electronic Data Capture (REDCap) data from manual chart abstraction. A group of infectious diseases specialists adjudicated incident infections and preexisting immunosuppression (use of corticosteroid or chemotherapy, history of solid organ or bone

marrow transplant). Cases in which there was uncertainty were discussed jointly by all adjudicating infectious diseases specialists before reaching a final decision.

Treatment

Off-label tocilizumab administration at JHHS requires approval of the 5-member JHHS Formulary COVID-19 Drug Approval Committee. Patients are potentially eligible to receive tocilizumab if they meet prespecified criteria, including progressive hypoxemia, vital sign instability, and elevated inflammatory markers (Supplementary Table 1). When a clinician wants to prescribe tocilizumab, a request is submitted for consideration to the designated member at each hospital on the JHHS Formulary COVID-19 Drug Approval Committee, who then presents the case request by conference call to the other available committee members. If approval is obtained, patients receive a single intravenous dose of tocilizumab, usually 8 mg/kg (range, 6–8 mg/kg), not to exceed 800 mg [10, 20, 30].

Outcomes

The primary outcomes were all-cause in-hospital mortality, right-censored at 28 days, and all-cause mortality at time of discharge. The secondary outcome was clinical improvement, defined as a decrease of 2 points on the World Health Organization (WHO) Ordinal Scale for Clinical Improvement or hospital discharge [31]. Secondary infection rate was also analyzed as a safety outcome [32–37].

Statistical Analysis

Given the nonrandomized nature of tocilizumab receipt, marginal structural Cox models [38] via inverse probability treatment weights (IPTWs) were applied to estimate the treatment effect of tocilizumab on the primary outcomes by estimating the hazards of death after adjusting for the tocilizumab assignment bias and informative censoring bias of discharge. The marginal structural model has the advantages of utilizing the whole data set and capturing the effect of longitudinal changes in laboratory and clinical measurements on survival as a time-to-event outcome. The outcome of this model is the hazard of mortality, and it is particularly beneficial when the values of previous time-dependent variables may predict future values as well as the likelihood of nonrandom treatment assignment (in this case, receipt of tocilizumab). This model is particularly adept at mitigating bias of nonrandom treatment assignment in analyzing the causal effect of treatment on the outcome of mortality. To calculate the weighting for each record (per patient, per day) in the marginal structural model, numerous covariates were included in computing the probability of tocilizumab exposure. Time-invariant (fixed) covariates included race, age, sex, body mass index (BMI), and Charlson Comorbidity Index (CCI). Time-dependent (varying) covariates included clinical measures of disease severity, such

as SpO₂/FiO₂ (S:F), respiratory rate, temperature, systolic blood pressure, diastolic blood pressure, pulse, oxygen supplementation device, and code status (whether a patient had a do-not-resuscitate [DNR]/do-not-intubate [DNI] order). S:F was selected, as it can be calculated without obtaining an arterial blood gas (not available on all patients) and has a good correlation with more traditional measures of ARDS severity such as PaO₂/FiO₂ (P:F) [39]. Time-varying laboratory parameters included C-reactive protein (CRP), white blood cell (WBC) count, absolute lymphocyte count (ALC), hemoglobin (Hgb), albumin, alanine aminotransferase (ALT), glomerular filtration rate (GFR), D-dimer, ferritin, and IL-6. Missing values were imputed using multiple imputation by chained equations (mice) with the predictive mean matching method [40]. To assess the adjusted hazard ratio of tocilizumab treatment on death, a set of demographics and clinical variables were included in Cox regression models.

Clinical improvement was defined as live discharge from the hospital without worsening of WHO score, or at least a 2-point decrease in WHO score during hospitalization. A marginal structural Cox model was applied with the same set of covariates adjusted for the bias of both treatment exposure and death as a censoring event.

Due to the large size of the comparator arm, manual chart review for adjudication of all incident infections was not feasible. Therefore, we used a time-dependent propensity score-matching method to balance between treatment and control arms by making them similar (only randomly different) regarding prespecified covariates (see the [Supplementary Data](#) for details). After matching, these 180 patients (90 tocilizumab recipients, 90 matched controls) were compared for their survival over time and risk of secondary infection.

Post hoc analyses included duration of ventilation and concomitant medication administration (remdesivir, dexamethasone) and were compared using Welch's 2-sample *t* test. Data were analyzed using R, version 3.6.2 [41].

RESULTS

Of 1914 patients admitted to JHHS between March 15 and June 27, 2020, with COVID-19, 1759 were included in the analysis (90 tocilizumab recipients, 1669 controls). A total of 1759 were included in the primary efficacy analyses (mortality and clinical improvement), while 180 (90 tocilizumab recipients, 90 controls) were included in the safety analysis (Figure 1) and survival curve (Figure 2). The majority of patients (90%) received the 8-mg/kg dose. The median time from hospitalization to tocilizumab receipt (range, interquartile range [IQR]) was 2.6 (0.1–11.7, 1.4–4.7) days. The median follow-up (range, IQR) was 6.5 (1.2–87.2, 3.3–12.1) days; the primary outcome was right-censored at 28 days. Almost all patients who received tocilizumab (88/90; 97.8%) required at

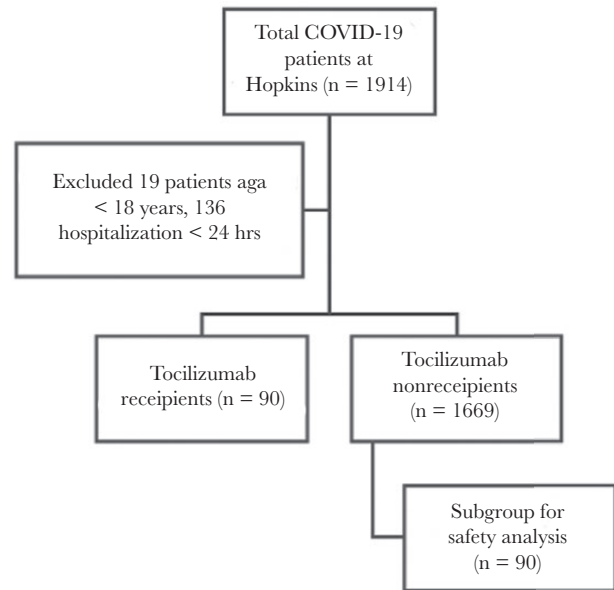


Figure 1. Study cohort and flowchart. Abbreviation: COVID-19, coronavirus disease 2019.

least 2 liters per minute (L/min) of supplemental oxygen via nasal cannula, and 78/90 (86.7%) achieved an end point (either death or discharge from the hospital) at the date of data censoring (June 27, 2020).

Baseline characteristics between the tocilizumab recipients and all (unmatched) controls were numerically different (column 2, Table 1). Tocilizumab recipients were more frequently male, with longer hospitalizations, more severe respiratory failure, and more comorbidities (including 5.6% vs 1.8% with history of solid organ transplantation). After matching using both the marginal structural Cox model and propensity score, balance on key covariates was improved (Table 1). There were similar numbers of patients treated with other therapies, but more control patients received dexamethasone. Representation of comorbidities was similar between the arms. Manual chart review of 180 patients identified 15 immunosuppressed patients (10 intervention, 5 control) (Supplementary Table 2).

In the unadjusted data set, tocilizumab recipients had a higher mortality rate (27.8% vs 11.0%). However, using the marginal structural Cox model, patients who received tocilizumab had a 62% reduced hazard of death at 28 days (adjusted hazard ratio [aHR], 0.38; 95% CI, 0.21 to 0.70; *P* = .002), compared with controls (Table 2). This was also true for the 1:1 matched data set, which showed a lower mortality rate in tocilizumab recipients (27.8% vs 34.4%) and reduced hazards of death (aHR, 0.47; 95% CI, 0.25 to 0.88; *P* = .02) (Figure 2). To analyze any differential effects of tocilizumab on mortality within subgroups, patients were separated into strata of various demographics, vital signs, and laboratory results at the time

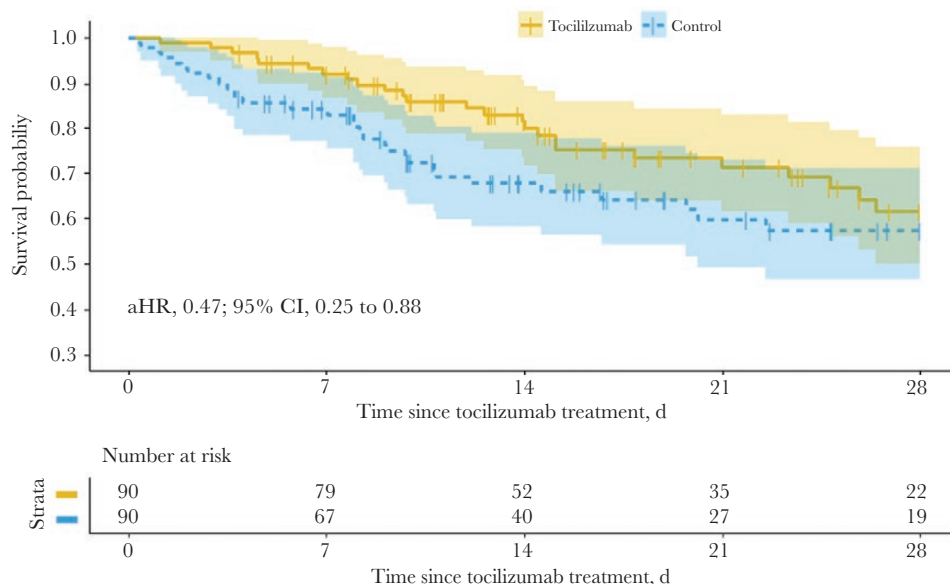


Figure 2. Survival within a matched data set of tocilizumab recipients vs non-tocilizumab-treated controls (n = 180). Abbreviation: aHR, adjusted hazard ratio.

Table 1. Patient Characteristics at Baseline, Including Tocilizumab Recipients, All Controls, and Matched Controls

	Tocilizumab (n = 90)	Control Group (n = 1669)	Matched Group (n = 90)
Demographics			
Median age (IQR), y	63 (9)	61 (14)	63.5 (10)
Male	71 (78.9)	864 (51.8)	70 (77.8)
Race Black	28 (31.1)	585 (35.1)	31 (34.4)
Race Hispanic	30 (33.3)	473 (28.3)	31 (34.4)
Race White	15 (16.7)	448 (26.8)	15 (16.7)
Race other	17 (18.9)	163 (9.8)	13 (14.4)
Median BMI (IQR), kg/m ²	28.4 (3.4)	28.6 (4.7)	27.7 (4.4)
Ever DNR/DNI	38 (42.2)	388 (23.2)	41 (45.6)
Comorbidities			
Hypertension	44 (48.9)	793 (47.5)	36 (40)
Coronary artery disease	29 (32.2)	517 (31)	33 (36.7)
Congestive heart failure	18 (20)	250 (15)	20 (22.2)
Chronic kidney disease	14 (15.6)	199 (11.9)	13 (14.4)
Diabetes	35 (38.9)	476 (28.5)	34 (37.8)
Asthma	4 (4.4)	138 (8.3)	3 (3.3)
COPD/chronic lung disease	10 (11.1)	260 (15.6)	17 (18.9)
Cancer	6 (6.7)	169 (10.1)	9 (10)
Liver disease	4 (4.4)	86 (5.2)	4 (4.4)
HIV	1 (1.1)	21 (1.3)	1 (1.1)
Transplant	5 (5.6)	23 (1.4)	0 (0)
CCI 0	34 (37.8)	660 (39.5)	31 (34.4)
CCI 1–4	53 (58.9)	944 (56.6)	54 (60)
CCI ≥5	3 (3.3)	65 (3.9)	5 (5.6)
Concomitant medications			
Hydroxychloroquine	25 (27.8)	381 (22.8)	24 (26.7)
Azithromycin	56 (62.2)	670 (40.1)	50 (55.6)
Dexamethasone	6 (6.7)	75 (4.5)	13 (14.4)
Prednisone	10 (11.1)	87 (5.2)	2 (2.2)
Heparin (prophylaxis or treatment dose)	69 (76.7)	1217 (72.9)	71 (78.9)
Remdesivir	17 (18.9)	157 (9.4)	17 (18.9)
Trial participation	1 (1.1)	54 (3.2)	10 (11.1)

Data are presented as No. (%) unless otherwise indicated.

Abbreviations: BMI, body mass index; CCI, Charlson Comorbidity Index; COPD, chronic obstructive pulmonary disease; DNR/DNI, do-not-resuscitate, do-not-intubate; IQR, interquartile range.

Table 2. Hazard Ratio of Death Based on Covariates, Stratified by Treatment Arm (Tocilizumab Recipients vs Controls)

	Tocilizumab (n = 90), No. (%)	Controls (n = 1669), No. (%)	Hazard Ratio (95% CI)
Demographics			
Gender			
Male	71 (78.9)	864 (51.8)	0.319 (0.161 to 0.629)
Female	19 (21.1)	805 (48.2)	0.499 (0.142 to 1.753)
Age, y			
<55	24 (26.7)	654 (39.2)	0.146 (0.009 to 2.294)
55–<65	24 (26.7)	310 (18.6)	0.012 (0.001 to 0.132)
≥65	42 (46.7)	705 (42.2)	0.395 (0.22 to 0.709)
BMI, kg/m ²			
<30.5	60 (66.7)	1029 (61.7)	0.302 (0.162 to 0.561)
≥30.5	30 (33.3)	640 (38.3)	0.349 (0.15 to 0.81)
CCI			
<5	72 (80)	1310 (78.5)	0.272 (0.134 to 0.552)
≥5	18 (20)	359 (21.5)	0.541 (0.189 to 1.546)
Vitals			
SpO ₂ /FI _O ₂ ratio			
<100 (severe)	8 (8.9)	9 (0.5)	N/A
100–<200 (moderate)	24 (26.7)	90 (5.4)	0.316 (0.121 to 0.822)
200–< 300 (mild)	24 (26.7)	191 (11.4)	0.225 (0.086 to 0.59)
≥300 (non-ARDS)	34 (37.8)	1379 (82.6)	0.321 (0.124 to 0.831)
Pulse, beats/min			
<100	40 (44.4)	977 (58.5)	0.209 (0.08 to 0.547)
≥100	50 (55.6)	692 (41.5)	0.705 (0.353 to 1.41)
Respiratory rate, breaths/min			
<30	32 (35.6)	1310 (78.5)	0.204 (0.062 to 0.67)
≥30	58 (64.4)	359 (21.5)	0.376 (0.171 to 0.827)
Mean arterial pressure, mmHg			
<60	15 (16.7)	150 (9)	1.583 (0.631 to 3.974)
≥60	75 (83.3)	1519 (91)	0.222 (0.117 to 0.424)
Temperature, °C			
<38.3	47 (52.2)	1235 (74)	0.408 (0.214 to 0.779)
≥38.3	43 (47.8)	434 (26)	0.336 (0.109 to 1.038)
Laboratory results			
Albumin, g/dL			
<2.5	8 (8.9)	101 (6.1)	4.403 (0.723 to 26.82)
2.5–<3	23 (25.6)	222 (13.3)	0.644 (0.183 to 2.273)
≥3	59 (65.6)	1346 (80.6)	0.23 (0.113 to 0.466)
ALT, U/L			
<30	37 (41.1)	828 (49.6)	0.45 (0.192 to 1.056)
≥30	53 (58.9)	841 (50.4)	0.283 (0.122 to 0.653)
GFR, mL/min			
<15	7 (7.8)	94 (5.6)	0.486 (0.019 to 12.41)
15–<30	18 (20)	116 (7)	1.005 (0.327 to 3.084)
30–<60	15 (16.7)	322 (19.3)	0.145 (0.045 to 0.466)
60–<90	28 (31.1)	452 (27.1)	0.125 (0.027 to 0.575)
≥90	22 (24.4)	685 (41)	0.279 (0.016 to 4.784)
CRP, mg/dL			
<16	50 (55.6)	1359 (81.4)	0.234 (0.087 to 0.627)
16–<27	29 (32.2)	236 (14.1)	0.597 (0.21 to 1.698)
≥27	11 (12.2)	74 (4.4)	0.011 (1e-04 to 1.090)
D-dimer, mg/L			
<1	25 (27.8)	860 (51.5)	0.288 (0.086 to 0.958)
1–<2	33 (36.7)	401 (24.0)	0.302 (0.087 to 1.057)
2–<4	9 (10)	210 (12.6)	0.251 (0.062 to 1.01)
≥4	23 (25.6)	198 (11.9)	0.209 (0.052 to 0.838)
Ferritin, ng/mL			
<500	11 (12.2)	698 (41.8)	1.316 (0.47 to 3.681)

Table 2. Continued

	Tocilizumab (n = 90), No. (%)	Controls (n = 1669), No. (%)	Hazard Ratio (95% CI)
500–<800	14 (15.6)	317 (19)	0.682 (0.15 to 3.087)
800–<2000	45 (50)	477 (28.6)	0.256 (0.102 to 0.644)
≥2000	20 (22.2)	177 (10.6)	0.243 (0.063 to 0.941)
WBC, ×10 ³ /mm ³			
<12	78 (86.7)	1465 (87.8)	0.42 (0.219 to 0.805)
≥12	12 (13.3)	204 (12.2)	0.073 (0.011 to 0.486)
IL-6, pg/mL			
<55	10 (11.1)	736 (44.1)	0.898 (0.094 to 8.583)
≥55	80 (88.9)	933 (55.9)	0.336 (0.178 to 0.635)

Abbreviations: ARDS, acute respiratory distress syndrome; BMI, body mass index; CCI, Charlson Comorbidity Index; IL-6, interleukin-6; SpO₂/FiO₂, pulse oximetric saturation/fractional inspired oxygen; WBC, white blood cell.

of hospitalization. Grouping thresholds were selected based on a heuristic method as well as clinical knowledge. Certain subgroups appeared to receive greater mortality benefit with tocilizumab than others, including male gender, age >55 years (particularly 55–65), few comorbidities (CCI <5), and more normal vital sign values (pulse <100, MAP ≥60, temperature <38.3°C). Mortality benefit was seen across all strata of S:F groupings. Elevated ferritin (>800 ng/mL), albumin level (≥3 g/dL), ALT level (≥30 U/L), and WBC count (≥12 ×10³/mm³), as well as lower CRP (<16 mg/dL) and D-dimer both <1 and ≥4 mg/L, were associated with reduced hazards of death among tocilizumab recipients compared with nonrecipients. Among patients with IL-6 >55 pg/mL, tocilizumab was associated with reduced hazard of death (aHR, 0.34; 95% CI, 0.18 to 0.64; *P* = .0008). When mortality data were censored only at time of death or discharge (rather than 28 days), the effect was mildly attenuated due to few patients remaining at risk for the outcome (aHR, 0.39; 95% CI, 0.21 to 0.71; *P* = .002) (Supplementary Table 3).

For the secondary outcome of clinical improvement, patients treated with tocilizumab had no benefit in time to clinical improvement under the marginal structural Cox model (aHR, 1.13; 95% CI, 0.68 to 1.87; *P* = .63). Using the propensity score–matched data set, among patients who survived 28 days or were discharged alive, there was no difference in duration of intubation between tocilizumab recipients (16.2 days) and controls (13.1 days; 95% CI for difference, –7.8 to 1.5; *P* = .18).

The safety analysis comparing secondary infection rates showed no difference between the arms (Supplementary Table 4), using both liberal infection definitions including “possible” pneumonia (40 infections per arm) and more restrictive “probable” and “proven” infections only (26 in the treatment arm, 25 in the control arm; 95% CI for difference, –0.15 to 0.13; *P* = 1.00). There were 10 cases of fungal infections (4 tocilizumab recipients, 6 controls) and 2 instances of herpesvirus reactivation (1 per arm). These infections did not appear to confer additional risk of mortality (31.3% and 31.0% mortality with and without secondary infection, respectively).

DISCUSSION

This study suggests that tocilizumab may be beneficial for patients hospitalized with COVID-19 who have elevated biochemical markers of inflammation [22, 42, 43]. Hazard of death was significantly lower among tocilizumab recipients, using both a marginal structural Cox model and traditional propensity score matching. Men >55 years of age with few comorbidities and moderate elevation in inflammatory markers were among those who benefited most. There was no difference between tocilizumab recipients and controls in time to clinical improvement or risk of secondary infection.

This study has several strengths. Tocilizumab approval within JHHS was based on set institutional criteria, which may have mitigated some effect of provider preference. While other retrospective studies matched on baseline characteristics alone [28], our study applied a marginal structural Cox model to account for disease progression before the receipt of tocilizumab and included a large number of significant demographic, clinical, and laboratory covariates. Length of follow-up was longer than some studies and showed that the mortality benefit of tocilizumab extends out to at least 28 days. We used robust statistical methods to address confounding by indication, including 2 different matching methods that yielded similar results. Adjudication of secondary infection was performed by a panel of infectious disease experts using a set of guidelines-based criteria and showed no excess infections with both stringent and liberal definitions [32–36].

Overall, our findings converge with those of several other published retrospective studies. Two studies of tocilizumab with concurrent controls found reduced mechanical ventilation and death [22, 44]. Another study using historical controls found higher survival, recovery, and respiratory function [43]. A single-arm study of mostly intubated patients found that clinical and laboratory parameters improved after tocilizumab administration [45]. A study of mechanically ventilated patients found a 45% reduction in hazards of death, despite more secondary infections in tocilizumab recipients, as well as improvement on the WHO ordinal scale [37]. A smaller study found numerical trends that were similar to ours but, perhaps

due to the smaller sample size results, were not statistically significant [23]. Two meta-analyses have yielded conflicting results about the efficacy of tocilizumab in reducing COVID-19 mortality [46, 47].

There are now press release results available for 2 sponsor-initiated tocilizumab randomized controlled trials and published results of 3 investigator-initiated trials. Though the first sponsored trial of 450 participants with SpO₂ <94% found no benefit in clinical status or mortality, time to discharge was 8 days shorter for tocilizumab recipients; it remains unclear how ill patients were at inclusion [27]. A subsequent sponsored trial, which intentionally enrolled minority racial and ethnic groups, found that receipt of tocilizumab was associated with 44% reduction in the composite outcome of death or need for mechanical ventilation (12.2% vs 19.3% at day 28) [26]. A recently published open-label randomized trial of patients with P:F 200–300 found no effect of tocilizumab on clinical worsening; however, only intensive care unit (ICU)-eligible patients were included, mortality rates were low (0.8% at day 14, 2.4% at day 30), and 12 of 66 control patients later received tocilizumab. Additionally, patients in the control arm were less sick (based on CRP, IL-6, ferritin, D-dimer) and treated more often with antivirals compared with tocilizumab recipients, despite randomization [25]. A second open-label randomized trial of patients with moderate to severe pneumonia requiring at least 3L oxygen via nasal cannula found no effect of tocilizumab on clinical worsening; benefit was seen at day 14 but not day 28 [24]. Of note, this study recruited less ill patients (non-ICU, on nasal cannula only), 47% of tocilizumab recipients received a second dose on day 3, and control arm patients received more immunomodulatory therapies including anakinra, eculizumab, and dexamethasone. In the only published randomized, double-blind, placebo-controlled trial to date, tocilizumab use was not effective for preventing intubation or death. However, the trial was small (243 patients), and the confidence intervals for efficacy comparisons were wide [29]. All 3 published trials found lower rates of secondary infection in the tocilizumab arms. Studies of similar drugs targeting inflammatory cytokines have yielded mixed results [48–50] and highlight the need for additional well-designed, masked randomized controlled trials.

Similar to other studies, we found that elevated inflammatory markers were associated with worse outcomes overall (Supplementary Table 5) [2, 51], including higher mortality for all patients with IL-6 ≥ 55 pg/mL [52, 53]. It remains unclear whether IL-6 is a marker of disease progression or directly contributes to pathogenesis in COVID-19 [54]. We found that for patients with IL-6 ≥ 55 pg/mL there was a 66% reduction in hazard of death among those who received tocilizumab compared with controls. The average IL-6 level of treated patients in the published randomized trials of tocilizumab ranged from 23.6 pg/mL to 50.4 pg/mL [25, 29]. In our study, 89% of treated patients had an IL-6 level >55 pg/mL with a mean level of 865.6

pg/mL and a median level of 239.8 pg/mL. The fact that our study showed a robust mortality benefit in contrast to these recent randomized trials may indicate that elevated IL-6 levels, likely a proxy for a hyperinflammatory state, are necessary in order for patients to benefit from tocilizumab.

Limitations

From a heterogeneous cohort, our matching generated comparable groups in terms of numerous covariates relevant to the end points of death and clinical improvement. However, there could be unmeasured variables that biased our treatment effect estimates. Though our study and some others have not found excess secondary infection risk, this observation may not be generalizable [55], and the risk of certain infectious complications, such as herpesvirus reactivation, may be uniquely elevated after tocilizumab [56]. Additionally, larger tertiary care centers where these observational studies are taking place may have more robust infectious disease expertise to help mitigate these infectious risks and prevent ensuing deaths. Therapeutic guidance at Johns Hopkins evolved over the study period, which could have introduced biases of secular trends. Infection fatality rates due to COVID-19 have overall declined since these data were collected, which may limit direct translation of these observations into clinical practice. To address secular trends in treatments and mortality, controls were selected from the same time period as tocilizumab recipients, and both arms had a similar tempo of recruitment over time (Supplementary Figure 1). Nonetheless, most of the included patients received tocilizumab before data supporting remdesivir and dexamethasone had emerged. The treatment landscape for COVID-19 is dynamic, and the role of tocilizumab in combination with dexamethasone and remdesivir remains to be elucidated. Additionally, tocilizumab was given at a median of 2.6 days after admission in our study, but the optimal timing of administration in the disease course remains unknown.

CONCLUSIONS

We found that among patients hospitalized with COVID-19 and elevated biochemical markers suggestive of a hyperinflammatory state, administration of tocilizumab reduced the hazard of death by 62%. Particular subgroups who appeared to benefit included older males with few comorbidities and elevated inflammatory markers such as IL-6. There was no evidence of an increased risk of hospital-acquired infection among tocilizumab recipients. The optimal dosing strategy, timing of administration, and combined use with other therapeutics remain to be elucidated. While we await the results of additional randomized trials, our study has identified clinical and laboratory features that predict potential benefits from tocilizumab in hospitalized patients with COVID-19 and evidence of hyperinflammation.

Supplementary Data

Supplementary materials are available at *Open Forum Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

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IRB approval. The institutional review boards at each of the 5 participating hospitals approved this study as minimal risk and waived consent requirements.

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