

HOUSING AND MANAGEMENT

Hygiene management in newborn individually housed dairy calves focusing on housing and feeding practices

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Abstract

In calf rearing, the first weeks of life are critical and associated with the highest mortality due to enteric and respiratory diseases. A well-implemented hygiene management can help to protect calves' health preventively by reducing the load of pathogenic bacteria and interrupting infection chains. The aim of this study was to identify deficiencies in hygiene management of individually housed dairy calves by surveying current practice and examining feeding and housing equipment with different hygiene indicators. On 11 farms, different locations in 2 pens or hutches for individual calf rearing prepared for restocking and 2 feeding buckets per farm, including the inner and outer surfaces of artificial teats, were visually scored for cleanliness and sampled with swabs (housing equipment: $n = 167$; feeding equipment: $n = 120$). The sanitation of floors was tested with sock samples ($n = 41$). A total of 328 samples were analyzed for adenosine triphosphate (ATP) and protein residues, aerobic total viable count (TVC), total coliform count (TCC), *Escherichia coli*, methicillin-resistant *Staphylococcus aureus* (MRSA), extended-spectrum β -lactamase-producing bacteria (ESBL), and *Salmonella* spp. After evaluation of these results, the farmers were informed about the findings and trained on improvement in hygiene management personally. The sampling was repeated after 1 year to detect possible changes in hygiene management. The highest bacterial loads (TVC, TCC, and *E. coli*) were observed in feeding equipment, especially the inner teat of milk feeding buckets. Environmental samples, primarily the sidewalls and back walls of tested pens and hutches, exhibited the lowest bacterial counts and ATP and protein residues. All samples were negative for MRSA and *Salmonella* spp. In 10.5% of all samples, ESBL was detected, and in 6.8%, ESBL *E. coli* was detected, predominately in sock samples, followed by feeding equipment samples. Training in hygiene management showed only limited effects. In conclusion, there is still great potential to improve the implementation of hygiene measures in individual calf housing. In particular, more attention should be paid to the cleaning of feeding buckets and artificial teats, as this is a simple means of interrupting the possible spread of pathogens among calves.

Key words: cleaning, disease prevention, health risks, hygiene management, suckling calf

Abbreviations

ATP	adenosine triphosphate
cfu	colony-forming unit
CI	confidence interval
ESBL	extended-spectrum β -lactamase-producing bacteria
MRSA	methicillin-resistant <i>Staphylococcus aureus</i>
OR	odds ratio
RLU	relative light unit
TCC	total coliform count
TVC	aerobic total viable count

Introduction

Enteric diseases are still the most frequent reason for calf morbidity and mortality, with the highest risk in the first 3 wk postpartum (Bendali et al., 1999; Svensson et al., 2006), where calves in Germany are usually kept in single housing. Additionally, diarrhea can depress growth and development of calves and can cause considerable financial losses to commercial farms (de Graaf et al., 1999; Marcé et al., 2010; Torsein et al., 2011). Good housing and hygiene management have the potential to decrease the incidence of diarrhea in young calves (Klein-Jöbstl et al., 2014). Enteric diseases are usually transmitted via the fecal-oral route, so transmission by housing equipment and feeding equipment are likely (Maunsell and Donovan, 2008). Infections caused by transmissions via surfaces hinge on different factors, including the load of pathogens, survival rate on surfaces, resistance to disinfectants, and initial infecting dose (Tuladhar et al., 2012). Irregular or inadequate cleaning is one of the most common problems in calf rearing; therefore, a well-implemented hygiene management in combination with other biosecurity measures can maintain calf's health by avoiding the transmission of infectious agents (de Graaf et al., 1999; Maunsell and Donovan, 2008; Barry et al., 2019a). The method and frequency of cleaning could significantly reduce the risk for diarrhea outbreaks in calves (Castro-Hermida et al., 2002; Klein-Jöbstl et al., 2014). In pork and poultry production, standardized methods for cleaning and disinfection are more common but still have weaknesses, such as inefficient cleaning of feeders and waterers or drain holes and floor cracks (Mannion et al., 2007; Mueller-Doblies et al., 2010; Luyckx et al., 2015). For calf rearing, practical recommendations and knowledge about critical points in hygiene are rarely implemented in daily routine on farms, even though the importance of hygiene interventions is well known (Weaver et al., 2000; Barrington et al., 2002; Klein-Jöbstl et al., 2014). Additionally, although the EU legal requirements about hygiene in calf rearing state that housing pens for calves must be properly cleaned and disinfected (EU 2008/119/EC), appropriate time intervals, methods for documentation or procedures to evaluate success in sanitation remain unclear. To document sanitation commercial rapid tests for ATP residues and for protein residues were used. Both tests are established in healthcare settings and food industry, but to a lesser extent in animal husbandry (Alfa et al., 2013; Clemensson Lindell et al., 2018; Öz and Arun, 2019). ATP and protein residues are components of soiling, like feces, uneaten, or spilled feed, and other organic debris (Hawronsky and Holah, 1997). These indicators are easy to use and give a fast reply without further processing in the laboratory, but they are unable to give any information about remaining bacteria (Vilar et al., 2008; Casini et al., 2018; Heinemann et al., 2020). Nevertheless, the test results often correspond to microbiological findings (Alfa

et al., 2013; Osimani et al., 2014). The aim of this study was to assess common hygiene management practices on dairy farms and gain information about weak points in the sanitation of newborn calf housing and feeding equipment by comparing different hygiene indicators to interrupt infection chains in the long term. We hypothesize that, despite the difference in management practices, hygiene status can be improved by training as seen in pig fattening (Heinemann et al., 2020). In this study, we focused on a limited number of farms but a high number of sampling locations and different methods per farm to determine the relevant locations and methods for a future study with numerous farms and a shortened sampling protocol.

Materials and Methods

This study was conducted in accordance with federal and institutional animal use guidelines (Az. 84-02.05.40.16.038), the data privacy agreement (University of Bonn, 38/2018), and ethical standards. The first sampling took place from 10/2018 to 12/2018 and the second sampling from 10/2019 to 12/2019.

Selection of the farms

To ensure that the samples can be processed within 24 hr, only farms within a radius of 100 km were eligible to be included in this study. A list of all dairy farms in this area was generated online at the website of the local chamber of agriculture, resulting in a list of 66 farms. Based on telephone availability, 30 farms were contacted and asked about their willingness to participate and their number of cows. Eleven farmers refused participation directly. The reasons given were (1) no time, (2) no interest, (3) simply hung up in conversation, (4) misinformation about the farm (bull fattening instead of dairy farming). Emails with additional information about the overall study goals, including a second visit for hygiene sampling, planned tests, background information about the microbiological species, and time investment by the farmer were sent. Farms with incomparable production systems, such as block calving, free-range rearing, or pair housing were rejected, so 12 farms out of 19 were selected. The size of the selected farms varied from 60 to 700 lactating cows, with a median herd size of 125 animals. On average, dairy farms in North-Rhine Westphalia keep 73 lactating cows, although there may be differences depending on the region (Statistisches Bundesamt, 2019). The animal numbers of the farms participating in the study reflect thus the range of farm sizes in North Rhine-Westphalia. One farm was discarded after the first visit because they changed their calf rearing system from individual housing to free mother-bonded rearing, so neither pens nor hutches were used henceforward. Ultimately, 11 dairy farms, varying between 60 and 700 Holstein-Friesian dairy cows and 60 to 800 calves born per farm per year, were chosen. All farms are individual- or family-owned companies, located in North-Rhine Westphalia, Germany. In Germany, newborn dairy calves are commonly separated from their dams immediately, fed with colostrum, and housed individually for the first 14 d in all-in-all-out systems using either pens or hutches until males are sold and females are kept in groups for restocking. During the first 14 d, newborn calves are fed milk diets (milk replacer, waste milk, or bulk milk) by feeding buckets with artificial teats. The farm visits, including the interview, the visual inspection of the sampling points and further sampling, were always carried out by the same 2 persons, both with experience in sampling for hygiene measurements. Farm characteristics of the sampled farms were

assessed by face-to-face interviews with the farm manager or the herd manager using an interview sheet (Supplemental Table 1), which was completed in compliance with the farm or herd manager, and an additional record sheet (Supplemental Table 2), which was completed in the absence of the manager. The in-house-developed interview sheet contained 67 closed-ended and semi-closed-ended questions dealing with hygiene management, feeding management, and health-associated factors of individually housed calves. The interview sheet and record sheet were developed in consultation with 2 experts in dairy calf management and were tested for understanding and plausibility in a preliminary trial with 3 farmers. Based on the results of the preliminary trial, the data sheets were revised. Sampling was performed at 2 different times on each farm. The objectives of the first sampling were to identify critical points in individual calf housing and to assess different hygiene indicators for their suitability in livestock farming. After processing the samples and data analysis, the results were discussed with the farmers for training purposes. Individual results of each farm were compared with the mean results of the first trial. Weak points in hygiene management of each farm were pointed out and inspected together with the farmer or the herd manager directly on site for each farm and improvements for cleaning and disinfection procedure were suggested. Sample collection was repeated after 305 ± 24 d to verify enhancements in hygiene. The ambient temperature during sampling varied between 4 and 24 °C, with a median value of 14.5 °C.

Assessment of housing situation and visible inspection

First, information about individual calf housing was recorded, e.g., pens or hutches, dimensions, condition of the floor surface, and slope of the floor. Farm-specific characteristics were captured by photography. Visual cleanliness of the sampled areas was always registered with a 3-score grading system (1 = no remaining soiling visible, 2 = minor soiling visible, 3 = coarse soiling visible).

Sample collection

Samples were collected after the sanitation of empty calf hutches or pens and from feeding buckets before restocking. Sampling was scheduled in consultation with the farmers. In each hutch or pen (2 per farm), samples were taken at the following defined locations: entrance, side wall, back wall, and middle of the floor. Additionally, 2 milk feeding buckets were sampled at the inner bottom of feeding buckets, and inner and outer surface of artificial teats. The 2 hutches or pens and the 2 feeding buckets were chosen randomly; a coin was flipped until only 2 hutches or pens and 2 milk feeding buckets remained. Sample collection was performed by using different kinds of swabs (swabs from rapid tests for the detection of adenosine triphosphate (ATP) and protein residues and swabs for microbiological analysis, see below) by wiping an area of 25 cm² horizontally and then vertically while rotating the swab. For the different swab tests, the area was shifted except for inner and outer surface of artificial teats where shifting was impossible due to their limited size. Additionally, sock sampling, also known as “boot sock sampling,” which is the recommended method in the EU according to CR (EU) 200/2010 for detection of *Salmonella* in broiler houses and is routinely used for detection of *Salmonella* and other bacteria, was performed (Skov et al., 1999; Berghaus et al., 2013). Compared with swabbing, boot sock sampling has the advantage of sampling larger areas of floor.

For this purpose, clean and disinfected boots were covered with moistened disposable cotton hairnets as an absorbent coating, and a defined distance of 50 steps was walked through the sampled pen or hutches. Care was taken to ensure that equal numbers of steps were always taken along the sides (20 steps) and diagonally through the pen or hutch (30 steps). The hairnets were then transferred to sterile polyethylene bottles with screw caps in 100 mL of sterile saline solution for transport to the laboratory. All samples were stored in chilled boxes (4 to 8 °C), transported to the microbiological laboratory of the University of Bonn and processed within 24 hr. In total, 328 samples were analyzed.

Rapid tests

Two different kinds of rapid tests were used, which are routinely applied in the control of hygiene procedures of healthcare institutions and in the food industry: one to measure ATP residues (CleanTrace Surface ATP Test Swab UXL100, 3M, Neuss, Germany) and another to measure protein residues (Clean Trace Surface Protein Plus, 3M, Neuss, Germany). Both tests were analyzed directly after sample collection on the farm. For the ATP test, the amount of light is proportional to the amount of ATP residue and is measured by a luminometer (NG III, 3 M, Neuss, Germany). The values obtained are given in relative light units (RLU) per milliliter. The protein test is a semiquantitative system in which a color change occurs, and the change depends on the amount of protein residue. The displayed color was assessed by a defined 5-score scheme (1 = light green, no change, 2 = colorless, 3 = light gray, 4 = light purple, and 5 = dark purple, strong change).

Microbiological tests

Samples for microbiological tests were collected with sterile moistened flocked swabs with 1 mL of Amies medium (eSwab, Copan, Brescia, Italy). An adequate volume of Amies medium was diluted in physiological saline solution (Oxoid, Basingstoke, UK), depending on the expected amount of bacteria. Hairnets from sock samples and the 100 mL of saline solution into which the hairnets were placed for transport were mixed in filter bags with a stomacher to thoroughly dissolve the samples. The saline solution was processed in a manner similar to the swab samples. All samples were investigated for aerobic total viable count (TVC), total coliform count (TCC), and *Escherichia coli* by pour plating. Nonselective plate count agar (Merck, Darmstadt, Germany) was used for TVC, and Chromocult coliform agar (Merck, Darmstadt, Germany) was used for the enumeration of *E. coli* and coliform bacteria. TCC and *E. coli* were used as indicators of fecal contamination. After incubation, the bacteria were counted, and the arithmetic mean was calculated and log-transformed. The results are expressed as log₁₀ colony forming units (cfu) · mL⁻¹. Methicillin-resistant *Staphylococcus aureus* (MRSA) and extended-spectrum β-lactamase-producing bacteria (ESBL) were cultivated using the spread-plate technique with selective CHROMAgar plates (Mast Group, Reinfeld, Germany). The Amies medium of the swab samples was directly pipetted onto the agar without prior dilution. To avoid excessive growth of accompanying environmental bacteria, plates were incubated at 41 °C for 24 hr. To distinguish blue colonies (potentially *Klebsiella* spp., *Enterobacter* spp., or *Citrobacter* spp.) from ESBL agar, the colonies were transferred to Columbia sheep blood agar (Mast Group, Reinfeld, Germany), and further species identification was performed based on typical biochemical reactions with an Enteropluri test (Liofilchem, Roseto Degli Abruzzi, Italy).

Pink to purple colonies were classified as ESBL *E. coli*. White colonies, which are *Acinetobacter* spp. or *Pseudomonas* spp., were not further distinguished due to their low pathogenic relevance in bovines. For pre-enrichment of *Salmonella* spp., the swabs were transferred to peptone water (Merck, Darmstadt, Germany) and incubated (24 hr at 37 °C). Afterward, 1 mL of the pre-enrichment broth was added to 9 mL of Müller Kauffmann tetrathionate broth (BD Diagnostic Systems, Heidelberg, Germany) and incubated for another 24 hr at 37 °C. Additionally, 0.1 mL of the pre-enrichment was added to 10 mL of Rappaport-Vassiliadis broth (BD Diagnostic Systems, Heidelberg, Germany) and incubated for 24 hr at 41.5 °C. Subcultivation of both broths was performed on xylose lysine deoxycholate agar (Merck, Darmstadt, Germany) and mannitol lysine crystal violet brilliant green agar (Merck, Darmstadt, Germany) on 2 consecutive days (incubation: 24 hr at 37 °C).

Data analysis

All data from the record and interview sheet and from laboratory analysis were coded as numbers and summarized in an Excel file (Excel 2016, Microsoft Corp., Redmond, WA). Metric data were checked for normal distribution and log-transformed for cfu from microbiologic tests and RLU from ATP tests. Data were analyzed using SAS 9.4 (SAS Institute Inc., Cary, NC). Based on major differences in cleaning frequency and the number of samples, data regarding hygiene indicators were grouped into “feeding equipment” (results from the inner bottom of feeding buckets and the inner and outer surfaces of artificial teats), “environment” (results from the entrance, sidewalls, back walls, and floors), and “sock samples”. The effects of sampling location within each hygiene indicator were tested with a general linear model with a grouped location as the main effect (Figure 3). Data from individual hygiene indicators were analyzed by the mixed model procedure with time, farm, and time × farm interaction as fixed effects and sample as a random effect (Figures 4 and Supplemental Figure 1). Differences were localized by Tukey’s *t* test. Correlations between the different hygiene indicators

were determined by the Spearman rank correlation procedure (Figures 1 and 2). The results were considered significant at $P < 0.05$, with $P < 0.01$, indicating that the results were highly significant and $P < 0.10$ indicating a tendency. A generalized linear mixed model with dichotomized data (PROC GLIMMIX) was used for modeling the effects of feeding and housing management practices on hygiene indicators. Data were dichotomized using the following cutoff values: according to Böhm (1998), $3.0 \log_{10}$ cfu·cm⁻² bacteria remain on surfaces in animal houses under practical conditions, even after sufficient cleaning and disinfection. This value, which corresponds to $4.4 \log_{10}$ cfu·mL⁻¹ in our study design, was set as a cutoff value for TVC. This approach was not transferable to the sock samples due to the different sampling techniques. For sock samples, a TVC cutoff value of $5.5 \log_{10}$ cfu·mL⁻¹ was defined based on previous results (Heinemann et al., 2020). This value for the TVC of sock samples is considered to be achievable under practical conditions with sufficient hygiene management. The TCC and *E. coli* cutoff values were set at the detection limit (feeding equipment and environmental samples: $2.0 \log_{10}$ cfu·mL⁻¹, sock samples: $1.0 \log_{10}$ cfu·mL⁻¹). MRSA and ESBL cutoff values were based on the absence of susceptible colonies. The ATP cutoff value of feeding equipment and environment samples was adjusted based on the TVC cutoff value and set at $3.5 \log_{10}$ RLU·mL⁻¹. The protein cutoff value was set at a rating of 3 on the color scale.

Results

Characteristic farm management factors

The number of dairy calves born per year ranged from 60 to 800 animals. The median reported calf loss during the first 14 d including losses at birth was 0.8% (0% to 5.9%) (Table 1). Generally, the farms showed substantial differences in management practices pertaining to housing and feeding (Table 1 and Figure 1). The time at which the separation from the dam occurred varied between 1 and 24 hr postpartum (median: 12 hr). Newborn calves

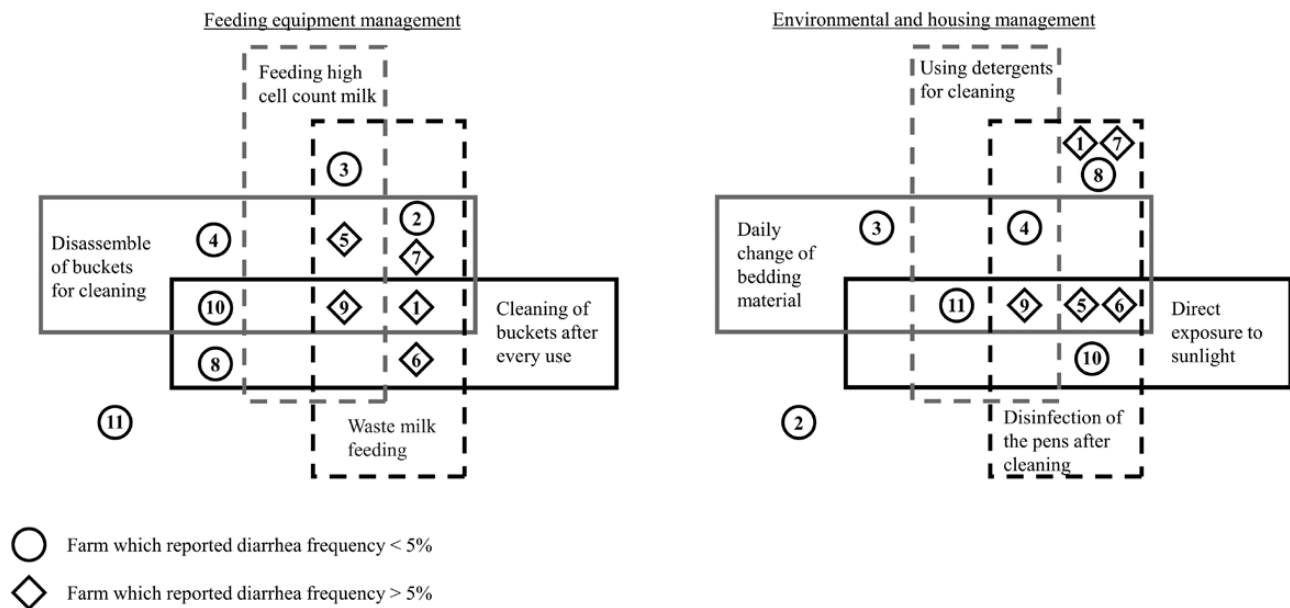


Figure 1. Comparison of different feeding and housing management practices depending on the reported diarrhea frequency of the farms. Different numbers represent different farms. Symbols in boxes indicate used management practices on each farm, whereby symbols out of the boxes show that these management practices were not implemented at the respective farm.

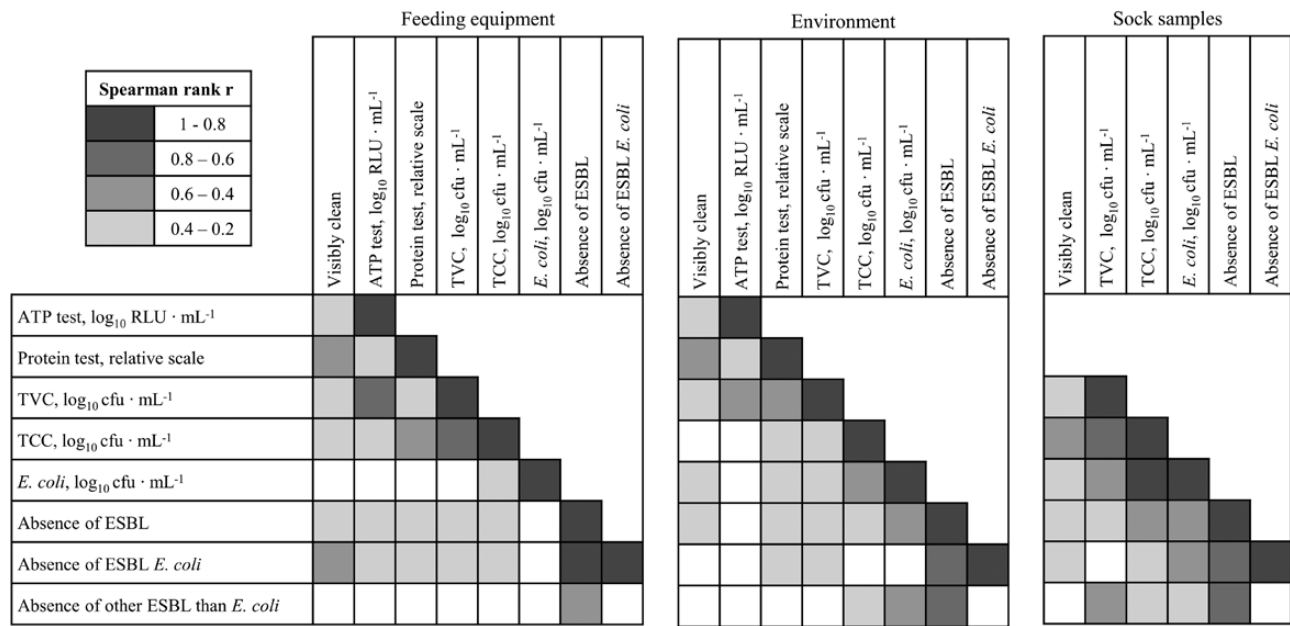


Figure 2. Spearman rank correlations ($P \leq 0.05$) between the hygiene indicators ATP, protein, aerobic TVC, TCC, *E. coli*, extended-spectrum β -lactamase-producing bacteria (ESBL), ESBL *E. coli*, and other ESBL independent of the effects of sampling points.

Table 1. Reported calf production data and rearing practices of the visited dairy farms sorted by number of calves per year

Farm	No. calves per year	Losses during first 14 d	Losses during first 14 d, %	No. of rearing places	Contact between calves impossible	Calf hutches	Calf pens
1	800	4	0.5	96	X		X
2	350	2	0.6	24			X
3	250	2	0.8	20		X	
4	200	5	2.5	23			X
5	130	1	0.8	16	X	X	
6	115	4	3.5	10		X	
7	110	1	0.1	15	X		X
8	110	0	0.0	14			X
9	85	5	5.6	5		X	
10	65	0	0.0	9			X
11	60	0	0.0	8	X		X

were kept in individual housing for the first 14 d on average (minimum at 1 farm: 10 d, maximum at 2 farms: 21 d). During the individual housing period, calves were housed in pens on 7 farms (64%) ($1.78 \pm 0.29 \text{ m}^2$) and in hutches on 4 farms (36%) ($2.27 \pm 0.13 \text{ m}^2$). The hutches and pens were mostly built on hard artificial surfaces such as concrete or asphalt (45.5%), wood (45.5%), or plastic (9%). None of the pens or hutches stood on gravel or earth. All farms used straw as bedding material, and 6 (55%) renewed the bedding on a daily basis by adding clean straw on top (one farm (9%): every other day; 3 farms (27%): only on demand; 1 farm (9%): only at rehousing). Five farms (46%) housed newborn calves in direct sunlight instead of closed barns. All farms fed whole milk by feeding buckets, and 7 (64%) additionally fed waste milk (milk from cows suffering from mastitis or being administered antibiotics) or milk with a high somatic cell count. All farms fed milk without prior pasteurization. Most commonly, milk was fed warm (10 of 11 farms; 91%) and nonacidified (7 of 11 farms; 64%). It was recorded whether medicinal agents are regularly used in individual calf husbandry and which are these. None of the farms used regular deworming agents. On 7 farms (64%), calves were treated with medicinal agents in the last 6 months (2× amoxicillin

(β -lactam antibiotic), 2× halofuginone (against cryptosporidiosis), 1× meloxicam (nonsteroidal anti-inflammatory drug), 1× treatment against acidosis, and 1× bromhexine (against respiratory disorders). In the interview, farmers were asked how often diarrhea occurs during single housing, with the possible replies <5% and >5%. Five of 11 farms (46%) reported diarrhea in calves at an incidence rate >5%. Four out of these 5 farms (80%) with diarrheal problems commissioned a fecal analysis, which resulted in the detection of *Cryptosporidium* spp. and rotavirus or only *Cryptosporidium* spp. Three farms (27%) reported occasional respiratory disorders, and 1 farm (9%) reported a few umbilical infections. With regard to sanitation, all farms regularly cleaned the pens or boxes used for individual housing, but none of the farms used a fixed cleaning protocol. Cleaning was reported to be performed with pressure washers with water only (8 of 11 farms; 73%) and more rarely with the additional use of detergents (3 of 11 farms; 27%). Usually, farms routinely disinfect the pens after cleaning (7 of 11 farms; 64%) with disinfectants containing *p*-chlorocresol or combinations of glutaraldehyde, quaternary ammonium compounds, and organic acids. All farms reported cleaning the buckets, but with substantial differences in the

interval between cleanings. Four farms (36%) cleaned the buckets after every use, which meant twice a day, 1 farm (9%) cleaned the buckets weekly and the remaining farms (55%) cleaned them after each calf, which was equivalent to a 14-d interval. Cleaning methods differed between the farms and included cleaning with cold water only (7 farms; 64%), cleaning with cold water with detergents (2 farms; 18%), cleaning with hot water (1 farm; 9%), and cleaning with hot water with detergents (1 farm; 9%). The feeding bucket was disassembled for cleaning on 6 farms (55%). Disinfecting the buckets was uncommon and was only performed on 2 farms (18%). The reported diarrhea frequency correlated positively with disinfection of the pens after cleaning ($r_{\text{Spearman}} = 0.56$; $P < 0.001$) and waste milk feeding ($r_{\text{Spearman}} = 0.66$; $P < 0.001$, Figure 1).

Comparability of hygiene indicators

Spearman rank correlations between the applied hygiene indicators are presented in Figure 2 and were all positive. For the sock samples, the ATP test or protein test was not feasible due to the sampling technique. Visible soiling showed correlations with almost all other hygiene indicators used ($0.2 < r < 0.6$, $P < 0.01$). The *E. coli* load in the feeding equipment showed a correlation with only TCC ($r = 0.3$, $P < 0.001$). The ATP load from

environmental samples was correlated only with the results from the rapid protein tests ($r = 0.3$, $P < 0.001$) and TVC ($r = 0.4$, $P < 0.001$). In contrast, the protein test and TVC results were correlated with TCC, *E. coli*, and the absence of ESBL and ESBL *E. coli*.

Critical points in hygiene management

All samples were negative for *Salmonella* spp. and MRSA. In 34 of 324 samples, ESBL was detected (10.5%), with 22 detections of ESBL *E. coli* (6.8%), 14 detections of ESBL *Acinetobacter* spp. or *Pseudomonas* spp. (4.3%) and 3 detections of ESBL *Klebsiella* spp. (0.9%). In four samples, more than one ESBL species was found after sanitation. ESBL species were predominantly found in sock samples (41.2%), followed by feeding equipment (35.3%) and environment samples (23.5%). At the farm level, 8 out of the 11 farms were positive for ESBL, which also included all farms with reported diarrhea problems. The highest bacterial loads, expressed as the TVC, were found on feeding equipment and in sock samples (Figure 3). Because of the different sampling techniques and on the basis of the scale findings, the sock samples could not be directly compared with other samples, so interpretation of the results must be considered with care. Environmental samples, primarily from the sidewalls and

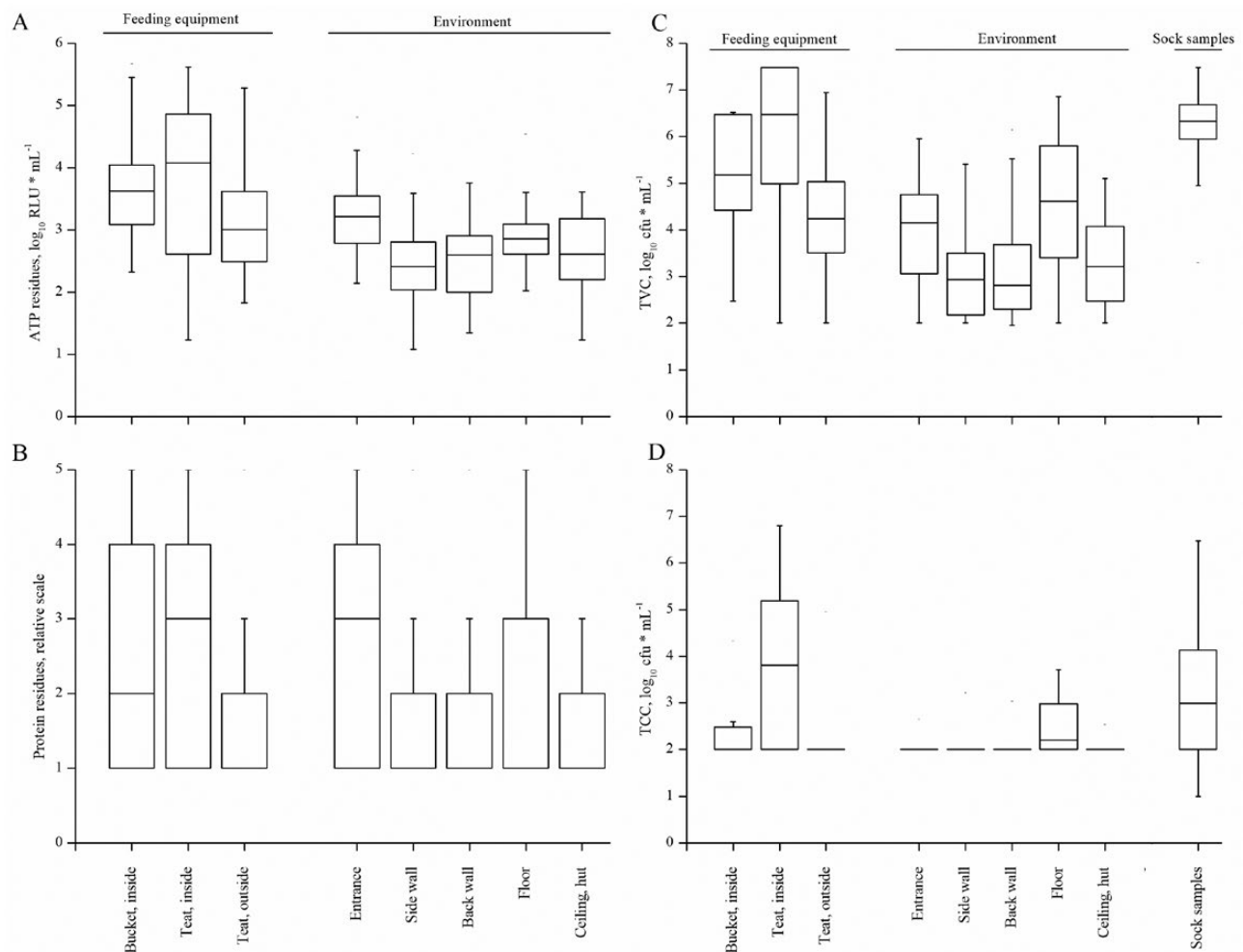


Figure 3. Results for ATP residues (A), protein residues (B), aerobic TVC (C), and TCC (D) depending on the sampling areas: feeding equipment, environment or floor as done by sock samples shown as boxplots (lower whisker: 25% quartile, median, upper whisker: 75% quartile). Different letters indicate significant differences ($P < 0.05$) between the sampling areas.

back walls, exhibited the lowest results for TVC, TCC, protein, and ATP (Figure 3). TCC and ATP were highest on the feeding equipment, especially the inner surface of the artificial teat (Figure 3). The TCC was often below the detection limit of $2.0 \log_{10} \text{ cfu} \cdot \text{mL}^{-1}$ in swab samples and $1.0 \log_{10} \text{ cfu} \cdot \text{mL}^{-1}$ in sock samples (Supplemental Table 3). Positive detections of TCC were obtained in 81.0% of the sock samples, 40.2% of the samples from feeding equipment, and 11.5% of the environmental samples. The detection rate varied between the farms from 12.5% to 48.2% for TCC. In 80.5% of the sock samples, 5.1% of the samples from feeding equipment and 4.8% of the environmental samples, *E. coli* was detectable, with detection rates ranging from 3.1% to 24%.

Risk factors for hygiene impairments

Based on the results of a previous literature research, risk factors that could have a negative impact on hygiene if they did not fit the expected demands for feeding equipment and housing equipment were defined. These risk factors were recorded by the questionnaire as well as by own observations on the farms. For feeding equipment, these tested factors were the feeding of waste milk, the feeding of high cell count milk, the lack of cleaning of feeding buckets after every use, the lack of disassembly of feeding buckets for cleaning, using only water for the cleaning of feeding buckets instead of cleaning with detergents and failing to use disinfectants when cleaning feeding buckets. For risk factors associated with housing, the evaluated variables were direct sunlight, the absence of a slope to the back wall, the absence of cracks in the ground, smooth surfaces, the absence of contact between the calves, the use of individual pens or hutches, rearing in hutches, shifting of hutches after use, daily changing of the bedding material, the use of detergents while cleaning, the use of disinfectants and regular disinfection of the pens after every calf. To determine the risk factors associated with poor hygiene, odds ratios (OR) were calculated for the results of the different hygiene indicators used to measure hygiene in feeding equipment samples (Table 2), environmental samples (Table 3), and sock samples (Table 4). Only significant risk factors ($P \leq 0.05$) were considered. The use of detergents while cleaning feeding buckets resulted in higher visible cleanliness. Feeding of waste milk, feeding of high cell count milk, and cleaning the buckets after every use were associated with a lower pass rate on the ATP tests (Table 2). Additionally, cleaning after every use decreased the odds for TVC, meaning a lower chance of being below the cutoff value. Disassembly of the feeding buckets, meaning unscrewing the artificial teat from the bucket prior

to cleaning, resulted in higher odds of being below the cutoff values for ATP and TVC (Table 2). The management factor of the absence of a slope to the back wall indicates that fluids, such as cleaning water soiled with urine, feces, or milk, could run off instead of contaminating the area where the calf lies, which is normally at the back wall. In environmental samples, the factor “absence of slope to the back wall” increased the odds of visible cleanliness and the odds of being below the cutoff values for the protein test, *E. coli*, ESBL in all and ESBL *E. coli* (Table 3). The use of non-adjacent pens or hutches for single housing, implying a greater distance between the calves, resulted in greater visible cleanliness and a greater likelihood of being below the cutoff value for the TVC. If hutches were used on the farms, the factor “shifting of hutches” was recorded, with the hypothesis that shifting the hutches to another place lowers the risk of soiling remaining from the last calf and the risk of vertical transfer of pathogens between calves. Shifting hutches increased the odds of visible cleanliness and being below the cutoff value for the TVC. The absence of cracks in the ground leads to a lower amount of protein residues. The use of disinfectants, independent of the frequency of usage, resulted in higher visible cleanliness and higher rates of being below the cutoff values for protein residues, the TVC and the TCC. A higher odd ratio for passing the protein test was associated with regular disinfection of pens after every calf. Smooth surfaces lowered the risk of detection of TCC and *E. coli* in environmental samples (Table 3). “Rearing in hutches” was associated with lower odds for visible cleanliness instead of “rearing in pens”, as well as for the absence of ESBL *Pseudomonas* spp. and *Acinetobacter* spp. in sock samples. Daily changes in bedding material lead to lower odds for TCC (Table 4). The absence of cracks in the ground increased the odds for being below the cutoff values for TCC and *E. coli* and for the absence of total ESBL, and the number of cracks in the ground affected the total ESBL detection in sock samples (Table 4).

Training effects

The results for the ATP, protein, and TVC measures from the first and second visits were compared, depending on the farm, to observe a possible training effect (Figure 4). In general, the training on individual farms showed limited time effects: only the levels of protein residues in feeding equipment and environment samples were significantly lower after training. Sock samples showed great variations in TVC and TCC between the first and second sampling without any consistent training effect but with time \times farm interactions (Supplemental Figure 1). Almost all hygiene indicators differed among individual farms

Table 2. Results for risk factors with calculated OR, 95% confidence intervals (CI), and P-values for calf feeding equipment failing to meet the expectations

Expectations ¹	Percent failing to meet expectations, %	Total no.	OR	95% CI	P-value
Visibly clean (score 1)					
Use of detergents	17.5	120	4.75	1.03 to 21.97	0.05
ATP test ($3.5 \log_{10} \text{ RLU} \cdot \text{mL}^{-1}$)					
Feeding of waste milk	17.1	119	0.41	0.18 to 0.92	0.03
Feeding of high cell count milk	47.1	119	0.29	0.12 to 0.70	0.01
Cleaning feeding buckets after every use	51.3	119	0.22	0.10 to 0.48	< 0.001
Disassembling feeding buckets for cleaning	47.1	119	2.20	1.01 to 4.83	0.05
TVC ($4.4 \log_{10} \text{ cfu} \cdot \text{mL}^{-1}$)					
Cleaning feeding buckets after every use	67.8	118	0.34	0.15 to 0.79	0.01
Disassembling feeding buckets for cleaning	68.3	120	3.35	1.30 to 8.60	0.01

¹For the different tests used (in italic), a cutoff value (given in brackets) was defined. Samples below the cutoff value meet the recommended expectation. OR >1 represent a higher chance to achieve a better hygiene status in regard to the respective test.

Table 3. Results for risk factors with calculated OR, (CI), and P-values for calf environmental samples failing to meet expectations

Expectations ¹	Percent failing to meet expectations, %	Total no.	OR	95% CI	P-value
Visibly clean (score 1)					
Absence of slope to the back wall	19.2	167	7.28	2.12 to 25.00	0.01
No use of adjacent pens or hutches	19.2	167	3.93	1.12 to 13.77	0.05
Shifting of hutches after use	13.4	112	12.12	1.50 to 98.01	0.02
Use of disinfectants	19.2	167	12.38	4.67 to 32.81	< 0.001
Protein test (score 3)					
Absence of slope to the back wall	29.3	167	5.56	1.58 to 19.63	0.01
Absence of cracks in the ground	29.3	167	2.11	1.02 to 4.35	0.04
Use of disinfectants	29.3	167	3.03	1.51 to 6.07	0.01
Regular disinfection of pens after every calf	29.3	167	2.48	1.12 to 5.17	0.02
TVC (4.4 log ₁₀ cfu · mL ⁻¹)					
Use of individual pens or hutches	25.9	166	4.36	1.44 to 13.17	0.01
Shifting of hutches after use	25.9	166	2.34	1.05 to 5.20	0.04
Use of disinfectants	25.9	166	3.49	1.69 to 7.22	< 0.001
TCC below detection limit					
Smooth surfaces	12.1	166	3.78	1.41 to 10.11	0.01
E. coli below detection limit					
Absence of slope to the back wall	4.2	166	5.96	1.01 to 35.11	0.05
Smooth surfaces	3.6	165	10.75	1.96 to 58.83	0.01
Use of disinfectants	4.4	158	11.67	1.35 to 100.98	0.03
Absence of ESBL					
Absence of slope to the back wall	4.8	166	9.93	2.02 to 48.87	0.01
Absence of ESBL E. coli					
Absence of slope to the back wall	3	166	25.33	3.69 to 173.76	0.001

¹For the different tests used (in italic), a cutoff value (given in brackets) was defined. Samples below the cutoff value meet the recommended expectation. OR >1 represent a higher chance to achieve a better hygiene status in regard to the respective test.

Table 4. Results for risk factors with calculated OR, CI, and P-values for sock samples from calf individual housing pens failing to meet expectations

Expectations ¹	Percent failing to meet expectations, %	Total no.	OR	95% CI	P-value
Visibly clean (score 1)					
Rearing in hutches	46.3	41	0.09	0.02 to 0.45	0.01
TCC below detection limit					
Absence of cracks in the ground	82.9	41	14.40	1.42 to 145.60	0.03
Daily change in bedding material	82.9	41	0.09	0.01 to 0.91	0.04
E. coli below detection limit					
Absence of cracks in the ground	80.5	41	6.90	1.12 to 42.61	0.04
Absence of ESBL					
Absence of cracks in the ground	34.2	41	6.46	1.15 to 36.45	0.04
Absence of ESBL <i>Acinetobacter</i> spp. and ESBL <i>Pseudomonas</i> spp.					
Rearing in hutches	17.1	41	0.06	0.01 to 0.61	0.02

¹For the different tests used (in italic), a cutoff value (given in brackets) was defined. Samples below the cutoff value meet the recommended expectation. OR >1 represent a higher chance to achieve a better hygiene status in regard to the respective test.

(Figures 4 and supplemental Figure 1). The proportions of samples above the detection limit for the TCC and E. coli in the feeding equipment, environment, and sock samples were lower after training (Supplemental Table 3).

Discussion

Healthy calves are the prerequisite for low antibiotic usage and economic success (Bendali et al., 1999; Walker et al., 2012). For that purpose, hygiene plays a key role in maintaining calves' health

(Lorenz et al., 2011; Relić et al., 2020). This study emphasizes a risk-oriented approach and the sampling of individual housing and feeding equipment after sanitation and preparation for restocking, which is a critical step in hygiene management. Maunsell and Donovan (2008) defined risk factors as those factors that reduced the ability of calves to resist diseases at a given level of pathogens and those that increased the level of pathogen exposure. In addition to appropriate colostrum management (Godden et al., 2009), hygiene management is an important risk factor, as it prevents the carryover of diarrhea-causing pathogens. Reported calf losses within the first 14 d

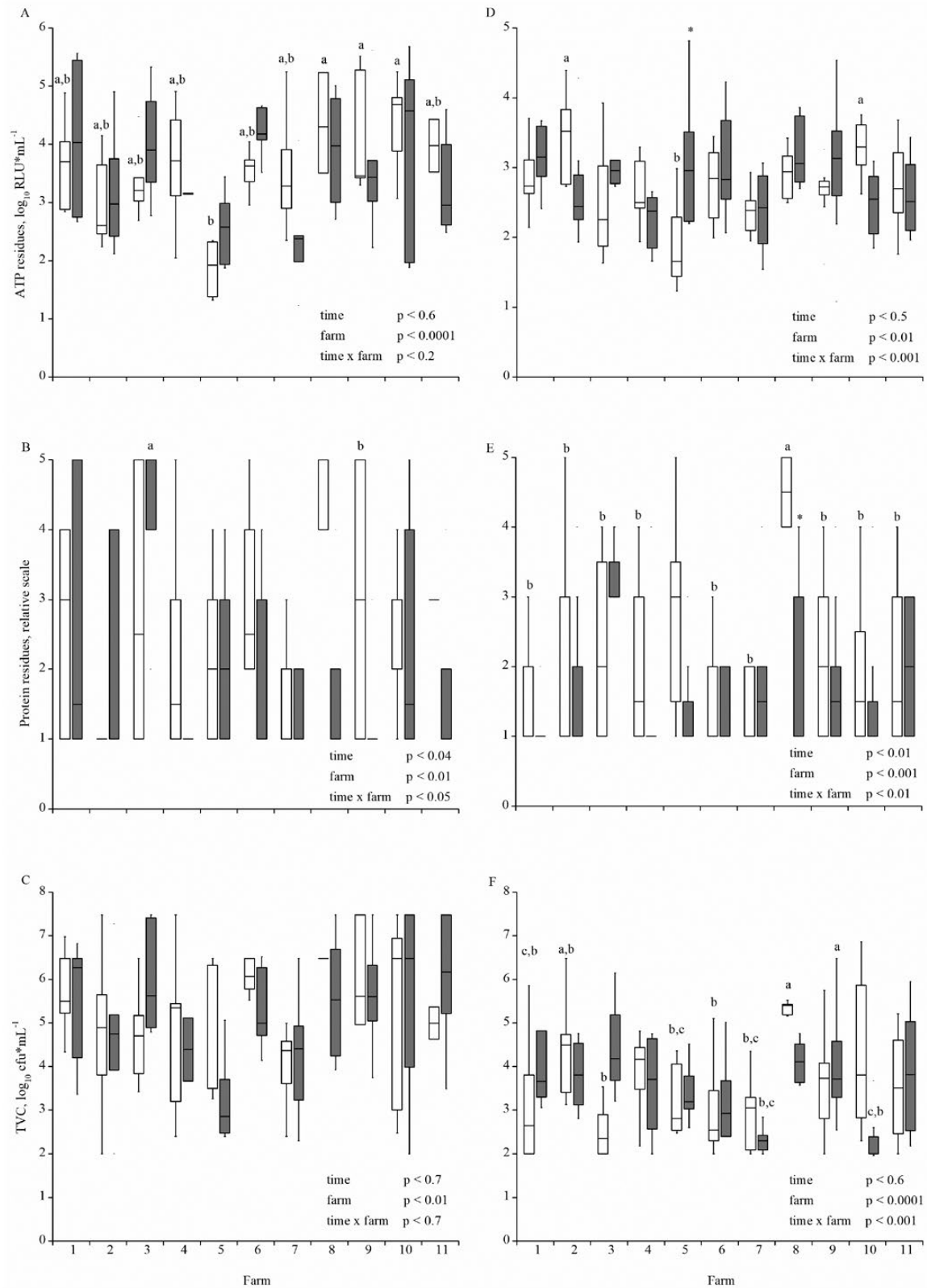


Figure 4. Results for ATP residues, protein residues, and aerobic TVC from feeding equipment samples (A, B, and C) and environment samples (D, E, and F) from the first sampling (white bars) and the second sampling (gray bars) depending on the farm. Results are shown as boxplots (lower whisker: 25% quartile, median, upper whisker: 75% quartile). Boxplots with different superscripts differ ($P < 0.05$).

on the participating farms ranged from 0% to 5.9%, and only 5 farms reported a diarrhea rate >5%, which seems low. Due to nonuniformly data recording in Germany, differentiation between reasons of calf mortality such as stillbirth or diseases is not possible. The mortality rates vary between 10% and 15% in Germany and between 6% and 14% during rearing in other countries (Sanftleben, 2010; Johnson et al., 2011; Tautenhahn, 2017). An underestimation may have occurred because the farms reported data on calf mortality and reported numbers were not proven by documents. In addition, these farms participated voluntarily. Svensson et al. (2003) mentioned that farms participating voluntarily in scientific studies might be primarily well-managed farms. Even the reported incidence rate of calf diarrhea probably displays not the actual situation but reflects the self-awareness of the farmers of management problems. A weak relationship was observed between visible cleanliness, which is generally used by farmers to assess the level of cleanliness after sanitation, and the results for ATP, protein, TVC, the absence of ESBL and additional *E. coli* load in the environmental and sock samples. This does not seem to be true for assessing the sanitation of feeding equipment based on visible cleanliness because it was not possible to draw conclusions about the presence of *E. coli*, ATP, or protein or the TVC. This shows the limits of visible perception and might be the reason for the very high bacterial loads on feeding equipment. The relationship between visual cleanliness and microbial contamination of surfaces and especially the value of visual inspection is controversially discussed in the scientific literature (Huneau-Salaün et al., 2010; Luyckx et al., 2015; Renaud et al., 2017). Nevertheless, even if bacterial or viral soiling is not necessarily visibly perceptible (Sherlock et al., 2009), visual inspection should always be carried out after cleaning and prior to disinfection, as perceptible soiling can interfere with disinfectants and hinder the success of disinfection. Independently of being switched to the side or resampled, it should be kept in mind, that sampling area only represented a random sample. This has a high variation in regard to results of hygienic testing intrinsically. This mirrors non-uniform soiling in animal husbandry. Therefore, conclusions about the hygienic status of a farm should be made based on sampling of various locations.

Feeding equipment

The cleaning process for feeding buckets and artificial teats varied among the farms in regard to the cleaning frequency, temperature of the water, and use of detergents. In a study from Austria, 97% of the investigated farms reported cleaning the feeding buckets after every use. Of these farms, only 25% used water with detergents while cleaning, whereas 25% cleaned with water alone (Klein-Jöbstl et al., 2014). This finding also corresponds to our results. The cleaning and disinfection of feeding buckets and teats are recommended after every use (Maunsell and Donovan, 2008), but we found that 4 farms (36%) cleaned the feeding buckets after every use. In other studies, cleaning was reported more often, with 83.3% (Lundborg et al. 2005) or 77% (colostrum buckets; Renaud et al. 2018). Furthermore, a stronger distinction between the terms cleaning and rinsing might be advisable. The feeding buckets showed the highest loads for all considered parameters (ATP, protein, TVC, TCC, and *E. coli*). Diarrhea-causing pathogens may multiply in feeding equipment and will be ingested or transmitted when feeding buckets are exchanged between the calves. Confusingly, the risk of ATP and TVC residues increases with the superficial cleaning of feeding buckets. This is probably

because only rinsing with water without disassembling the teat only leads to an improved appearance, without improving the inner cleanliness. The ATP and TVC results were considerably better when the artificial teats were removed prior to cleaning. Unscrewing the teats from the feeding buckets during every cleaning is time-consuming, which is often cited as a limiting factor (Gosling, 2018). Commercial available feeding systems with quick locks for artificial teats may help to convince farmers to invest in better hygiene practices, but currently are rarely used in practice. The use of detergents to clean feeding equipment increased visible cleanliness and is already mentioned as a protective measure to reduce the prevalence of *C. parvum* (Trotz-Williams et al., 2008). Barry et al. (2019b) found no associations between feeding equipment hygiene and mortality rate. In their study, hygiene was assessed physically and by protein swabs. Both methods predominantly reflect adhering dirt and feed residues and do not necessarily represent the bacterial burden. Aust et al. (2013) showed a considerable recontamination of pasteurized milk caused by irregular cleaning of feeding equipment. Bruning-Fann and Kaneene (1992) suspected a connection between calf mortality rates and the sanitation of feeding buckets. To avoid diarrhea or septicemia in newborn calves, proper hygiene of feeding equipment is crucial (Godden, 2008), and more attention should be paid to this topic.

Housing equipment

Individual housing is associated with lower risks of disease transmission and calf mortality (Svensson et al., 2003; Hotchkiss et al., 2015) and a lower burden of pathogenic factors (Barrington et al., 2002). The OR for visible cleanliness and TVC below the cutoff value increased when hutches were shifted between uses. The movement of calf pens is recommended by Hotchkiss et al. (2015) to reduce the enrichment of bacteria in the environment. The type of flooring seems to have an impact on *C. parvum* prevalence (Castro-Hermida et al., 2002, Trotz-Williams et al., 2008). We assume that this effect is transferable to other pathogens since concrete and other smooth surfaces are easier to clean and reduce the survival of pathogenic residues. This is in line with our results, showing lower ATP and protein residues and bacterial loads and an increased odds ratio for the absence of *E. coli* on smooth surfaces. The absence of cracks in the ground leads to superior removal of TCC and *E. coli* and the absence of ESBL in sock samples. To avoid the accumulation of soiling and possible deposits of pathogens, farmers should take care of smooth surfaces and fix undesirable cracks. Direct exposure to sunlight is mentioned as a factor that decreases pathogens (Barrington et al., 2002), but we did not observe an association between direct sunlight and microbiological parameters. Daily cleaning of pens resulted in an 87% lower risk of infection with *C. parvum* in calves compared with a monthly cleaning interval (Castro-Hermida et al., 2002). Some tested farms in this study cleaned the pens after every calf, which is equivalent to an average interval of 14 d. Soaking with detergents resulted in significantly reduced counts of TVC and *Enterobacteriaceae* on metal and concrete surfaces and is recommended in livestock housing (Hancox et al., 2013). In our study, only a minority of farms used detergents to clean pens, and this was not associated with reductions in the levels of hygiene indicators. Bartels et al. (2010) found that consistent cleaning of calf housing areas was a protective factor against infections with coronavirus, which emphasizes the importance of proper sanitation. For the within-farm prevalence of *C. parvum* and cleaning of calf housing areas, no

significant association was found (Trotz-Williams et al., 2008). Disinfection of the pens was part of the routine on the farms in this study. Odd ratios for visible cleanliness and meeting expectations on protein tests, for the TVC and for the TCC increased with the use of disinfectants after every calf. Disinfection led to significant reductions in the TVC and *Enterobacteriaceae* load on concrete surfaces in livestock housing (Hancox et al. 2013). In other studies, no associations between hygiene in calf pens and the occurrence of diarrhea were observed (Lundborg et al., 2005; Klein-Jöbstl et al., 2015).

Training effect and farm-specific practices

Farmers were informed about hygiene weaknesses after the first visit and trained for better performance. In a personal conversation, it was found that most farmers were well aware of their weaknesses in cleaning and disinfection before the study. However, this awareness did not guarantee conceptual implementation and understanding of the consequences, as has been observed before (Lüdtke, 2004; Boersema, 2008). Additionally, the farmers reported a rather low incidence of mortality compared with Germany average, which might be another reason for their low motivation for improvement. This might explain why information given in the training was only acted on at a few farms (based on the interaction) and translated into improvements in sanitation, contrary to what has been seen in pig fattening (Heinemann et al., 2020). Veterinary consultants should probably regularly draw farmers' attention to the importance of hygiene in calf rearing and frequently point out weak points to achieve long-term changes. Differences among the farms also indicate that practical measures that are easy to implement are still missing. Despite an extensive literature review, we could not find studies that are directly comparable to our study, as they have primarily focused on risk assessments in occupied pens, with hygiene as an additional factor. Since all-in all-out practice is well established in newborn dairy calf rearing, precise recommendations for proper sanitation or self-monitoring systems with practical hygiene plans, as is common for pig and poultry, are still rare. Lundborg et al. (2005) mentioned that scientific data dealing with calf health and the effects of management and feeding procedures are surprisingly sparse, and Barry et al. (2019b) stated that hygiene practices in newborn calf rearing show substantial potential for improvement. It should be noted that calf mortality can be caused by multiple factors, with calf diarrhea being the highest risk factor (Torsein et al., 2011; Johnson et al., 2017). Therefore, it is hardly possible to identify a specific factor since the various factors under field conditions affect each other (Bruning-Fann and Kaneene, 1992). In future investigations, the number of samples per farm can be reduced with the focus on frequently occurring weak points, a greater number of farms should be visited, and fecal samples should be obtained from rehoused calves and analyzed for diarrhea-causing pathogens. A great opportunity to reduce the number of samples per farm would be to rinse the buckets with a saline solution beforehand, which would then serve as an analysis matrix, similar to Renaud et al. (2017). In the long term, we can imagine that verified risk factors associated with hygiene could be part of a Hazard Analysis and Critical Control Points concept for calf rearing, as suggested by Boersema et al. (2008) and supported by supervising veterinarians in the prevention of calf diseases. In conclusion, the feeding equipment showed the highest potential for improvements in hygiene management. The protein test has no added value compared with the ATP test and microbiological tests and can therefore be omitted. Training

in hygiene management has no further effect if the calves' health level is already high.

Supplementary Data

Supplementary data are available at *Journal of Animal Science* online.

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Conflict of interest statement

The authors declare no real or perceived conflicts of interest.

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