

## 1 **High-throughput sequencing of SARS-CoV-2 in wastewater provides insights into** 2 **circulating variants**

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45  
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47 throughput sequencing

## 48 **Abstract**

49  
50 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) emerged from a zoonotic spill-  
51 over event and has led to a global pandemic. The public health response has been predominantly  
52 informed by surveillance of symptomatic individuals and contact tracing, with quarantine, and  
53 other preventive measures have then been applied to mitigate further spread. Non-traditional  
54 methods of surveillance such as genomic epidemiology and wastewater-based epidemiology  
55 (WBE) have also been leveraged during this pandemic. Genomic epidemiology uses high-  
56 throughput sequencing of SARS-CoV-2 genomes to inform local and international transmission  
57 events, as well as the diversity of circulating variants. WBE uses wastewater to analyse  
58 community spread, as it is known that SARS-CoV-2 is shed through bodily excretions. Since both  
59 symptomatic and asymptomatic individuals contribute to wastewater inputs, we hypothesized that  
60 the resultant pooled sample of population-wide excreta can provide a more comprehensive  
61 picture of SARS-CoV-2 genomic diversity circulating in a community than clinical testing and  
62 sequencing alone. In this study, we analysed 91 wastewater samples from 11 states in the USA,  
63 where the majority of samples represent Maricopa County, Arizona (USA). With the objective of  
64 assessing the viral diversity at a population scale, we undertook a single-nucleotide variant (SNV)  
65 analysis on data from 52 samples with >90% SARS-CoV-2 genome coverage of sequence reads,  
66 and compared these SNVs with those detected in genomes sequenced from clinical patients. We  
67 identified 7973 SNVs, of which 5680 were “novel” SNVs that had not yet been identified in the  
68 global clinical-derived data as of 17<sup>th</sup> June 2020 (the day after our last wastewater sampling date).  
69 However, between 17<sup>th</sup> of June 2020 and 20<sup>th</sup> November 2020, almost half of the SNVs have  
70 since been detected in clinical-derived data. Using the combination of SNVs present in each  
71 sample, we identified the more probable lineages present in that sample and compared them to  
72 lineages observed in North America prior to our sampling dates. The wastewater-derived SARS-  
73 CoV-2 sequence data indicates there were more lineages circulating across the sampled  
74 communities than represented in the clinical-derived data. Principal coordinate analyses identified  
75 patterns in population structure based on genetic variation within the sequenced samples, with  
76 clear trends associated with increased diversity likely due to a higher number of infected  
77 individuals relative to the sampling dates. We demonstrate that genetic correlation analysis  
78 combined with SNVs analysis using wastewater sampling can provide a comprehensive snapshot  
79 of the SARS-CoV-2 genetic population structure circulating within a community, which might not  
80 be observed if relying solely on clinical cases.

## 81 82 **1. Introduction**

83  
84 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is the biggest pandemic since  
85 the 1918 H1N1 influenza A virus (Wang et al., 2020; Yan et al., 2020). The SARS-CoV-2 outbreak  
86 in humans likely emerged from a zoonotic transmission event(s), and was first recorded in  
87 December, 2019, in the City of Wuhan, China (Andersen et al., 2020; Boni et al., 2020; Zhang  
88 and Holmes, 2020). According to the Johns Hopkins Coronavirus Resource Center (Dong et al.,  
89 2020), there have been >95 million confirmed cases, resulting in more than >2 million deaths  
90 globally as of 18<sup>th</sup> January 2021. SARS-CoV-2 is a positive-sense single-stranded RNA virus in  
91 the family *Coronaviridae* (Gorbalenya et al., 2020) that can cause a range of symptoms in infected

92 individuals including complications with breathing, dry cough, fever, and diarrhoea (Wang et al.,  
93 2020). However, the majority of individuals show little to no symptoms (Buitrago-Garcia et al.,  
94 2020; Byambasuren et al., 2020; Kimball et al., 2020; Syangtan et al., 2020).

95  
96 Clinical testing of individuals for SARS-CoV-2 is the primary surveillance method for informing  
97 public health strategic interventions, and essential for implementing preventive measures, such  
98 as quarantine, to mitigate the spread of the virus. The most frequently used approach for clinical  
99 testing relies on the detection of genomic elements of SARS-CoV-2 by reverse transcription-  
100 quantitative polymerase chain reaction (RT-qPCR) based methods (CDC, 2020a; WHO). The  
101 clinical analysis is now also being complemented with antibody-based assays (Adams et al.,  
102 2020; Becker et al., 2020; Bryant et al., 2020; CDC, 2020b; WHO) that provide an indication of  
103 current or previous exposure to SARS-CoV-2.

104  
105 High-throughput sequencing (HTS) technologies are being used to sequence the SARS-CoV-2  
106 genome from a subset of the infected population globally using clinical samples. This has resulted  
107 in over >278,000 published genomes (Elbe and Buckland-Merrett, 2017; Shu and McCauley,  
108 2017), and has provided insight into its origins, spread, and diversity via computational  
109 approaches in genomic epidemiology. Screening/testing of a large number of individuals for  
110 SARS-CoV-2 can be challenging particularly from a logistics perspective due to sample collection  
111 and transportation, availability and storage of assay reagents, and the rapid turnaround time  
112 needed for test results to be most informative to healthcare outcomes and pandemic  
113 management. Furthermore, in most countries it is largely the symptomatic population that is  
114 targeted for testing and therefore a large proportion of infected asymptomatic individuals may be  
115 missed.

116  
117 Nasopharyngeal swabs and saliva samples have been the principal sample types used for  
118 screening; however, SARS-CoV-2 has also been detected in other clinical specimens such as  
119 faeces, from both symptomatic and asymptomatic infected individuals (Chen et al., 2020; Jones  
120 et al., 2020; Park et al., 2020; Tang et al., 2020; Xing et al., 2020). Moreover, of late, wastewater  
121 samples have been utilized as a way to identify several pathogenic human viruses and, not  
122 surprisingly, it has gained attention for assessing population-level trends of SARS-CoV-2  
123 infections.

124  
125 Detection of SARS-CoV-2 in wastewater (untreated and treated) has been a focus of research,  
126 with feasibility highlighted in the review by Farkas et al. (2020) and with reported studies from  
127 locations including North America (D'Aoust et al., 2021; Nemudryi et al., 2020; Peccia et al., 2020;  
128 Wu et al., 2020), Europe (Balboa et al., 2020; Kocameci et al., 2020; La Rosa et al., 2020;  
129 Medema et al., 2020; Randazzo et al., 2020; Westhaus et al., 2021; Wurtzer et al., 2020), Asia  
130 (Kumar et al., 2020; Zhang et al., 2020) and Oceania (Ahmed et al., 2020). These studies used a  
131 range of sample concentration and viral RNA recovery approaches followed by RT-qPCR  
132 amplification to detect and determine the viral load. These proof of concept studies demonstrated  
133 the detection of SARS-CoV-2 in wastewater and identified trends indicating wastewater  
134 monitoring can serve as a useful early warning tool for informing public health (Farkas et al.,  
135 2020). Although some studies did verify, by sequencing, the RT-qPCR products were indeed

136 detecting SARS-CoV-2, most rely on the threshold cycle (Ct) values of RT-qPCR assays. Beyond  
137 this, two recent studies have sequenced the SARS-CoV-2 genomes recovered from wastewater  
138 (Crits-Christoph et al., 2021; Izquierdo Lara et al., 2020).

139  
140 Despite the promising success of these prior studies, it is still unclear how well wastewater-based  
141 epidemiology can identify the genetic diversity of SARS-CoV-2 in a given population and how this  
142 relates to known viral diversity of clinical cases. This is especially important as new lineages are  
143 being discovered. For example, the B.1.351 strain in the United Kingdom that contains single-  
144 nucleotide variants (SNVs) of potential biological significance such as N501Y (in the spike protein)  
145 (Rambaut et al., 2020b) and K417N, E484K and N501Y in South Africa (Tegally et al., 2020). To  
146 investigate the potential of using wastewater to gain insights into variants of SARS-CoV-2  
147 circulating in the population, we used a tiling amplicon-based high-throughput sequencing  
148 approach to determine SARS-CoV-2 sequences (spanning the genome) in 91 wastewater  
149 samples collected from 11 states in the United States (USA) between 7<sup>th</sup> April 2020 and 16<sup>th</sup> June  
150 2020. To further survey the viral diversity circulating within a community and to examine how  
151 these relate to sequences from clinical cases, we undertook SNV analysis and beta diversity  
152 analyses of SARS-CoV-2 sequences in 52 (>90% coverage) out of the 91 wastewater samples  
153 from 10 states. We focused specifically on spatial and temporal trends, and how they compare  
154 with clinically-derived data.

155

## 156 **2. Material and methods**

157

### 158 **2.1. Sample collection and transport**

159

160 Flow- or time-weighted, 24-hr composite samples of untreated wastewater were collected either  
161 from the headworks of the wastewater treatment plant, from within the wastewater collection  
162 system or at hospital facilities using high frequency automated samplers (Teledyne ISCO, USA)  
163 from locations across 11 states in the USA between 7<sup>th</sup> April 2020 and 16<sup>th</sup> June 2020 (Table 1,  
164 Figure 1A, Sup Figure 1). Most samplers had refrigeration capabilities or were supplied with an  
165 ice/dry ice blend to keep the interior collection vessel cool. During sample collection, wastewater  
166 was thoroughly mixed and transferred to high-density polyethylene sample bottles and placed on  
167 ice for transport. The samples were either hand delivered or shipped (next-day/2-day) in insulated  
168 shipping containers for subsequent processing and analysis.

169

### 170 **2.2. Wastewater sample processing and RNA extraction**

171

172 Aliquots of 150 ml of each composite wastewater sample were filtered through a 0.45 µm  
173 polyethersulfone (PES) filter and then subsequently through a 0.2 µm (PES) filter. The filtrate was  
174 then concentrated using the Amicon® Ultra 15 Centrifugal Filter Units (MilliporeSigma, USA) by  
175 centrifuging at 4500 rpm for 15 min. For each sample, the process was repeated five times in total  
176 using two filter units, and subsequently the concentrates were pooled per sample (from the two  
177 filter units). For each sample, a 200 µl aliquot was used to extract total RNA using the RNeasy  
178 mini kit (Qiagen, USA).

179

### 180 **2.3. SARS-CoV-2 RT-qPCR detection and high throughput sequencing of SARS-CoV-2** 181 **genome sequences**

182  
183 To determine the presence of SARS-CoV-2 in wastewater samples, the extracted RNA was used  
184 in a reverse transcription-quantitative PCR (RT-qPCR) assay targeting the E gene, as designed  
185 and validated by Corman et al. (2020) and cited by the World Health Organisation (WHO) (WHO,  
186 2020a). This probe-based assay was performed as per the specifications outlined in Corman et  
187 al. (2020) using the SuperScript III Platinum One-Step qRT-PCR Kit (Invitrogen, USA). This assay  
188 was validated and used by Holland et al. (2020) on SARS-CoV-2 clinical samples.

189  
190 91 samples from 11 states in the USA (Figure 1) were collected between 7<sup>th</sup> April 2020 and 16<sup>th</sup>  
191 June 2020 that tested positive, and one negative control sample collected in October 2019 in  
192 Tempe, Arizona (Table 1) were selected for sample processing and high-throughput SARS-CoV-  
193 2 amplicon sequencing. The SARS-CoV-2 RT-qPCR assay Ct values ranged from 26.8 to 36 for  
194 the 91 samples. Total RNA (11 µl) from each sample was used to generate cDNA using the  
195 Superscript® IV First-Strand Synthesis System (Thermo Fisher, USA). The manufacturer's  
196 protocol was followed, with one modification, the reverse transcription incubation step (50°C) was  
197 increased from 10 to 50 min. 10 µl of cDNA from each sample was used to generate Illumina  
198 sequencing libraries (92 libraries in total) with the Swift Nomalase® Amplicon SARS CoV-2 Panel  
199 (SNAP) and these were subsequently normalized, pooled and sequenced at Psomagen (USA)  
200 on an Illumina HiSeq 2500 sequencer (2x100 paired-end option on 1 lane in rapid mode).

### 201 202 **2.4. Bioinformatics pipeline and analyses**

203  
204 The Illumina raw read sequences were aligned to the reference genome of SARS-CoV-2  
205 (MN908947; RefSeq ID NC\_045512.2) using the Burrows-Wheeler Alignment tool (BWA) MEM  
206 (Li and Durbin, 2009). The primers used for the tiling PCR-based amplification step were soft-  
207 clipped using iVar trim tool (Grubaugh et al., 2019) which also removed reads <30nts and reads  
208 that started outside of the primer region. Trimming with a sliding window of 4 for a minimum  
209 PHRED quality of 20 was performed as default by iVar. Primers that may have mismatches with  
210 the reference sequence were also evaluated and reads from those amplicons with varying primer  
211 binding efficiency were also removed as described by Grubaugh et al. (2019). The genome  
212 coverage (minimum quality of 20 and 10x coverage) and mean depth was calculated for all  
213 samples. Variant calling was performed using iVar (Grubaugh et al., 2019) with minimum base  
214 quality of 20 and 20x coverage with no cut-off frequency since we have population-level sequence  
215 data. From the variants that were identified, only those with a p-value <0.05 in the Fisher's exact  
216 test implemented in iVar (tests if SNV frequency is higher than the mean error rate at the specific  
217 position) were maintained. Suggested masked sites due to biases shown by phylogenetic analysis  
218 or sequencing technology (De Maio et al., 2020) as of September 2020 were removed for  
219 downstream analyses. To identify the novel SNVs, the obtained SNVs from the 52 wastewater  
220 samples with SARS-CoV-2 read coverage >90% were searched in the clinical data available in  
221 GISAID (Elbe and Buckland-Merrett, 2017; Shu and McCauley, 2017) at two time points (17<sup>th</sup>  
222 June 2020 and 20<sup>th</sup> November 2020). Variants that were not present in the GISAID deposited

223 SARS-CoV-2 genomes were considered novel, however, to be more stringent, variants that were  
224 only present in one of the wastewater samples were removed from further analyses.

225

## 226 **2.5. Support for lineages assigned by PANGOLIN**

227

228 Each environmental sample was compared against the SARS-CoV-2 genomes available in  
229 GISAID (Elbe and Buckland-Merrett, 2017; Shu and McCauley, 2017), an open-access genomic  
230 database, to collect a set of clinical genomes whose mutations were supported by the SNVs  
231 identified above. To reduce false positives, basal genomes, defined as those with 3 or fewer  
232 mutations relative to the reference (MN908947) were excluded. The set of genomes supported  
233 by each environmental sample SNV profile were grouped by lineages assigned by PANGOLIN  
234 (Rambaut et al., 2020a) and lineages with fewer than 3 genomes were excluded to avoid any  
235 misannotations resulting in false positives. PANGOLIN is an online platform that assigns lineages  
236 to sequences (Rambaut et al., 2020a) and is updated as new metadata are submitted to GISAID.  
237 For each group of genomes (grouped per PANGOLIN), we then looked to see whether any  
238 genome was from North America and, if so, recorded the time between the genome's sampling  
239 date and the collection date of the environmental sample. Note that the set of genomes which we  
240 summarize as certain SARS-CoV-2 lineages assigned by PANGOLIN may be different for each  
241 environmental sample, and thus the time between clinical and environmental sampling dates  
242 depends on the particular SNV profile of the environmental sample. Given that linkage of SNVs  
243 is not possible via short read sequencing, support for mutation profiles observed in clinical  
244 genomes (and, correspondingly, PANGOLIN) does not guarantee that the lineages were present  
245 in the environmental sample.

246

## 247 **2.6. Sample-based SARS-CoV-2 sequence distance calculation and ordination analysis**

248

249 The 'genotype' of each sample was represented in a four-column matrix. In this matrix, each row  
250 corresponds to a position in the reference genome, and the value at each column is the frequency  
251 of occurrences for each nucleotide (A, C, G and T). At each genomic position, the Yue & Clayton  
252 measure of dissimilarity index (Yue and Clayton, 2005) on the nucleotide frequency of the  
253 compared samples was calculated. If the nucleotide frequency at a position of a sample cannot  
254 be calculated due to zero depth, the Yue & Clayton measure of dissimilarity index at this position  
255 between this sample and any other sample compared is assumed to be zero. The sum of the Yue  
256 & Clayton dissimilarity (Yue and Clayton, 2005) of all genomic positions was used as a measure  
257 of distance between samples. The distance matrix was constructed by calculating pairwise  
258 distances of all samples and was subsequently used for principal coordinates analysis (PCoA)  
259 (Gower, 1966).

260

## 261 **3. Results and discussion**

262

### 263 **3.1. Sample collection, processing and SARS-CoV-2 RT-qPCR screening**

264 Sixty of our 91 samples (66%) were collected in Arizona (9 locations located in Maricopa County,  
265 Arizona Sup Figure 1), 12 (13%) were collected from 9 locations in Louisville, Kentucky (Sup  
266 Figure 1), and 19 (21%) were collected from other states, see Table 1 and Figure 1A for details.

267 A sample collected in October 2019 in Tempe, Arizona was processed as a negative control. The  
268 samples were processed using a virus concentration approach, followed by RNA extraction and  
269 screening for the SARS-CoV-2 by RT-qPCR targeting the E gene. A standard curve with SARS-  
270 CoV-2 synthetic RNA (Twist Bioscience, USA) was used to estimate viral load and to establish  
271 the limit of detection. Based on the standard curve we determined a consistent limit detection with  
272 a Ct-value of ~34.0. For the samples we analysed, the Ct-values ranged from 26.8 to 36 (Table  
273 1, Figure 1B).

### 274 275 **3.2. Amplification and high-throughput sequencing of SARS-CoV-2 from wastewater** 276 **samples**

277  
278 The tiling PCR amplification enrichment process for the SARS-CoV-2 genome generated 341  
279 amplicons covering ~99% of the genome albeit missing the 200 nts of 5' end and 162 nts from 3'  
280 end. The genome coverage calculated for all samples ranged between ~1.3% and ~99%. 52 of  
281 the 91 RT-qPCR positive samples showed >90% coverage (minimum quality of 20 and >10 reads  
282 per position) (Table 1). We note that there is no clear correlation between coverage and Ct values  
283 obtained using the RT-qPCR assay (Figure 1). This has been shown in other wastewater-derived  
284 viral sequencing projects using an Illumina sequencing platforms via an amplification process  
285 (Izquierdo Lara et al., 2020) and a capture approach (Crits-Christoph et al., 2021). This lack of  
286 correlation is not unexpected given the nature of wastewater, where dilution and degradation play  
287 a significant role, thereby this likely results in samples with differing levels of genomic RNA  
288 degradation. Furthermore, since the RT-qPCR assay only targets a specific small region of the  
289 genome, the Ct-value based quantification vary. Additionally, it is important to highlight that there  
290 are variabilities attributed to the handling and transport process of the wastewater samples prior  
291 to concentration and RNA extraction.

### 292 293 **3.3. Wastewater-derived SARS-CoV-2 sequence analyses**

294  
295 For the 52 samples with >90% genome coverage, SNV analysis was undertaken using the  
296 program iVar (minimum quality of 20 and >20 reads per position) without a frequency threshold  
297 in order to detect all variations at a population level. This approach was used because, unlike the  
298 case with a clinical sample from a single infected individual, wastewater contains material from a  
299 population that inhabits a particular region and therefore represents a collection of SARS-CoV-2  
300 variants actively shed by infected individuals within the population. The detected SNVs with *p*-  
301 value >0.05 in the Fisher's exact test were excluded and also *a priori* suggested masked sites  
302 due to biases shown by phylogenetic analysis and sequencing technology (De Maio et al., 2020)  
303 were excluded from this analysis.

304  
305 A total of 7973 SNVs were detected for the 52 analysed samples after quality control steps from  
306 which the number of detected SNVs per sample ranged from 24 to 793 (Supp. Table 1, Figure  
307 2A). As expected, mean depth is correlated with the number of SNVs detected in each sample  
308 (Figure 2B), the regression analysis indicates the trend.

309

310 To determine unique variants within the 52 wastewater-derived SARS-CoV-2 sequences, SNVs  
311 counted in more than one sample at each site were removed and this resulted in 5680 unique  
312 SNVs identified across the genome. Of these, 4372 are non-synonymous and 1308 are  
313 synonymous substitutions. Additionally, 246 are nonsense mutations and 64 are in non-coding  
314 regions. We highlight that SNV A23403G responsible for the spike protein substitution D614G  
315 that is frequently seen in clinical data, although it has not thus far been shown to be under strong  
316 positive selection (Volz et al., 2021), was present in all 52 wastewater-derived SARS-CoV-2  
317 sequences. From one sample (sample #147, Tempe, Arizona), a new variant A23403T was also  
318 identified that results in a D614V substitution in the spike protein, but at very low frequency (Sup.  
319 Table 1).

320

### 321 **3.4. Comparative analysis of SARS-CoV-2 SNVs in clinical and wastewater-derived** 322 **samples during the collection period**

323

324 The wastewater-derived SARS-CoV-2 SNVs were compared with substitutions that have been  
325 detected in clinical-derived sequences. The first aim was to identify possible “novel” SNVs present  
326 in the analysed wastewater samples that had not yet been identified in any of the sequences  
327 available in GISAID (Elbe and Buckland-Merrett, 2017; Shu and McCauley, 2017) from clinical  
328 samples globally. To accomplish this, we initially undertook an analysis to identify all the detected  
329 SNVs in the clinical data available from GISAID up until the 17<sup>th</sup> June 2020 (subsequent to the  
330 last day of wastewater sampling in this study - 16<sup>th</sup> June 2020) which on that date consisted of  
331 45,836 SARS-Cov-2 genome sequences. A total of 548 novel SNVs were identified in the 52  
332 wastewater samples collectively, of these 469 were non-synonymous (not including nonsense  
333 mutations) and 79 were synonymous substitutions (Figure 3). Since we evaluated all variants  
334 regardless of frequency, some locations (as expected) had more than one possible variant and  
335 are illustrated in Figure 3 and outlined in Sup Table 1. These 548 SNVs are distributed along the  
336 SARS-CoV-2 genome with three of those located in non-coding regions. The vast majority of  
337 “novel” SNVs were detected in up to 8 of the wastewater samples analysed. The exceptions are  
338 four non-synonymous mutations, three on the ORF1ab and one in the N gene that are present in  
339 >8 samples (Figure 3 and Sup table 1).

340

### 341 **3.5. Identification of SARS-CoV-2 SNVs in wastewater samples in clinical-derived samples** 342 **post-collection period**

343

344 To determine how many SNVs have been identified post wastewater sample collection (16<sup>th</sup> June  
345 2020), a second SNV comparison was performed with all the clinical-derived sequence data  
346 available as of 20<sup>th</sup> November 2020 (203,741 SARS-Cov-2 genomes available at GISAID). Based  
347 on the analysis of samples during the collection period, SNVs that were not detected in the clinical-  
348 derived sequence data were considered as novel SNVs. From the 548 SNVs considered as  
349 “novel” from the wastewater-derived samples, 263 SNVs have subsequently been identified in  
350 clinical-derived samples in the period of 17<sup>th</sup> June - 20<sup>th</sup> November 2020 (Sup Table 1, Figure 3).  
351 285 SNVs identified in the wastewater-derived samples with the last sampling date of 16<sup>th</sup> June  
352 2020 have not been identified in clinical-derived SARS-CoV-2 sequences between then and 20<sup>th</sup>  
353 November 2020.



354  
355 It is important to highlight that the detection of these “novel” SNVs does not necessarily indicate  
356 they are fixed in SARS-CoV-2 lineages that are actively being transmitted nor is it possible to  
357 determine if any of these SNVs are linked within lineages. Nonetheless, the identification of the  
358 “novel” SNVs clearly demonstrates the relevance of wastewater-derived SARS-CoV-2 sequence  
359 analysis which can provide valuable information on SNVs that are not captured using clinical-  
360 derived approaches. The wastewater-derived sequence analysis does provide information at a  
361 population scale and can allow for rapid detection of clinically relevant / important SNVs.

### 362 363 **3.6. Determination of putative lineages of SARS-CoV-2 in wastewater-derived sequences**

364  
365 Given that wastewater harbours a collective population of SARS-CoV-2 and therefore likely many  
366 variants, it is not ideal to determine consensus sequences and consensus sequences-based  
367 phylogeny. Therefore, our first approach was to evaluate which clades in the global phylogeny of  
368 clinical-derived sequences are supported by the SNVs present in each sample based on the  
369 SARS-CoV-2 lineages assigned by PANGOLIN (Rambaut et al., 2020a). The represented SARS-  
370 CoV-2 lineages for each wastewater sample that are supported are shown in Figure 4. We  
371 determined the time frames for which these lineages were first detected in North American  
372 clinical-derived sequences relative to the date each wastewater sample was collected (Figure  
373 4A).

374  
375 We also undertook a comprehensive analysis of all the lineages detected in each state in the USA  
376 up to November 2020 that were supported by at least one environmental sample, this included  
377 the number of clinical-derived SARS-CoV-2 genomes sequenced in each lineage (Figure 4B).  
378 This approach helps to determine whether wastewater-based surveillance for SARS-CoV-2 can  
379 provide valuable insights on putative circulating lineages in the wastewater contributing  
380 population. Although there are several limitations to the analysis of wastewater-derived SARS-  
381 CoV-2 sequences, our analysis of SNV-based supported lineages revealed some interesting  
382 findings. From the 52 analysed wastewater samples, 15 SARS-CoV-2 lineages assigned by  
383 PANGOLIN (Rambaut et al., 2020a) were supported, with lineage B.1.5 being the most prominent  
384 for the wastewater-derived sequences. The B.1.5 lineage has been identified in clinical samples  
385 in 27 USA states. Our wastewater-derived sequence data suggests that B.1.5 may also be  
386 present in 6 additional states in the USA (Arizona, Colorado, Idaho, Kansas, Kentucky and New  
387 Jersey). In 17 of the 52 wastewater samples, there were up to two supported SARS-CoV-2  
388 lineages that had not been detected in North American clinical samples, during the period of our  
389 wastewater collection, as of 17<sup>th</sup> June 2020 (Figure 4). These 17 samples were from the states of  
390 Arizona, Kentucky and Massachusetts (Figure 4B). In wastewater-derived sequences from  
391 Arizona, which represents the greatest proportion of samples, the observed circulating lineages  
392 based on clinical-derived sequences are well represented (Ladner et al., 2020), with an additional  
393 nine possible circulating lineages identified.

394  
395 Although wastewater-based SARS-CoV-2 sequence analysis does not provide the same level of  
396 genome confidence (and thus lineage assignment) as those from clinical samples, the  
397 wastewater-derived data can be used to identify possible circulating lineages and assess the

398 diversity of SARS-CoV-2. We would like to emphasize that despite us identifying supported  
399 lineages based on SNVs analysis, without verification of full genomes using long read sequencing  
400 technologies it is not possible to confirm all the specific lineages present in the wastewater.  
401 Nevertheless, it is apparent that valuable population-level variant information on SARS-CoV-2  
402 can be gleaned from wastewater sampling, including significant sequence data that are potentially  
403 missed in clinical-derived sequence data where genomes are sequenced from predominantly  
404 infected individuals who might represent a small percentage of those shedding virus in a  
405 community.

406

### 407 **3.7. Principal coordinates analysis (PCoA) analysis of nucleotide frequencies to diversity** 408 **estimate**

409

410 In Figure 5, we show our PCoA analysis results using nucleotide frequencies to evaluate the viral  
411 population diversity within and between samples. SARS-CoV-2 sequences in the samples from  
412 the ten states were overall highly diverse, and those with two or more samples from the same  
413 state tend to cluster closer together (Figure 5). The main exceptions are those from Kansas (20<sup>th</sup>  
414 May 2020 and 27<sup>th</sup> May 2020) and Colorado (20<sup>th</sup> May 2020 and 28<sup>th</sup> May 2020) that do not cluster  
415 together, both were collected a week apart, and the locations have an estimated human  
416 population size of ~25,900 and ~8,300, respectively. Additionally, the Arizona wastewater SARS-  
417 CoV-2 sequences are broadly distributed in the PCoA plot which is likely a consequence of the  
418 large number of samples collected over a three-month period across several sites within Maricopa  
419 County, Arizona (Tempe sites, Guadalupe and Gilbert) (Figure 5A, B and C). In comparison to  
420 those in the Arizona wastewater samples, the SARS-CoV-2 sequences in samples from Louisville  
421 (Kentucky) are much more tightly clustered in the PCoA plot despite sampling from several  
422 locations in the city over a two-month period (Figure 5A). Despite the large number of samples  
423 collected in Arizona compared to Kentucky, and the other states, if seven individual samples were  
424 to be randomly picked from each location over the same period as those from Kentucky the SARS-  
425 CoV-2 genetic distance between them would still be apparently higher for Arizona. We  
426 hypothesize that one contributing factor to the differences in viral diversity present in these two  
427 areas *i.e.* Maricopa County Arizona and Louisville (Kentucky), is that, Tempe (the region where  
428 the majority of the samples were collected) is home to one of the largest universities in the USA,  
429 Maricopa County is the 4<sup>th</sup> most populous county in the USA with ~4.4 million inhabitants  
430 (Maricopa County 2020) and a major travel hub with an international airport.

431

432 The highest number of samples collected within a state both temporally and spatially for this study  
433 was in Arizona. In Arizona, we note that the wastewater-derived SARS-CoV-2 sequences in  
434 samples from the same locations do not necessarily cluster together in the PCoA plot (Figure 5C).  
435 Nonetheless, there are clear shifts in the SARS-CoV-2 sequence variants in each location over  
436 time (Figure 5B and C). This is most evident for the Town of Guadalupe (Arizona) given the  
437 sampling effort here, where the SARS-CoV-2 sequences in the samples collected in early May  
438 2020 cluster with lower distance but we can see a clear shift in the viral population starting late  
439 May 2020 through to early June (Figures 5B and C) which coincides with stay at home lockdown  
440 being lifted on 15<sup>th</sup> May 2020. It is important to highlight that the Town of Guadalupe (Arizona)  
441 has a small resident community (~6,500) from where wastewater was collected. Moreover, SARS-

442 CoV-2 sequences in the samples from the same location at closer timepoints are often more likely  
443 to be similar, yet there are exceptions such as the samples from site TP04 (Tempe, Arizona) that  
444 have no resident population (Figure 5B and C). The shift in SARS-CoV-2 sequence diversity in  
445 locations such as TP04 (Tempe, Arizona) over time may be due to new infections given the  
446 transient population.

447  
448 Increases in SARS-CoV-2 viral RNA in wastewater have been correlated to an increase in the  
449 number of cases locally (Medema et al., 2020). Observing a shift in the SARS-CoV-2 population  
450 diversity through wastewater analysis with time provides insights into corresponding dynamics of  
451 increased infection in the community. For example, in Tempe, the number of recorded cases  
452 nearly doubled in June 2020. When analysing wastewater-derived SARS-CoV-2 sequence data  
453 and correlating it with human dynamics, business districts in the cities will certainly see the activity  
454 of transient community members and this will likely reflect in sequence diversity data.

455

#### 456 **4. Conclusion**

457

458 Wastewater-based analysis is rapidly becoming a useful platform for investigating the  
459 epidemiology of viruses shed in human excretions (Farkas et al., 2018; Farkas et al., 2020;  
460 Tambini et al., 1993). In this study, we analyse HTS data of wastewater-derived SARS-CoV-2  
461 sequences to determine SNVs, putative circulating lineages and also population structure at a  
462 spatial and temporal scale. Analysis of wastewater-derived SARS-CoV-2 sequences from 10  
463 states (Figure 2A) highlighted that the SNVs range from 24 to 793 SNVs for each sample with the  
464 highest number in samples from Arizona. As expected, mean depth is correlated with the number  
465 of SNVs detected in each sample (Figure 2B). Our major findings included the detection of a high  
466 number of novel SNVs detected (548) in the 52 wastewater-derived SARS-CoV-2 sequences  
467 analysed here (Figure 3) that had not been identified in clinical samples previously to the last day  
468 of our sampling (16<sup>th</sup> June 2020). Furthermore, 263 SARS-CoV-2 SNVs identified in wastewater  
469 samples sampled during our collection period had not been identified in clinical-derived  
470 sequences as of 20<sup>th</sup> November 2020 (Figure 3). It is likely that a large proportion of these SNVs  
471 are in “actively circulating” viruses and could have some biological significance.

472

473 Through analysis of SNVs in the SARS-CoV-2 sequences in each wastewater sample, we were  
474 able to identify putative Phylogenetic Assignment of Named Global Outbreak Lineages  
475 (PANGOLIN) that are known to be circulating in the USA as well as several lineages that had not  
476 been detected in North America up until 20<sup>th</sup> November 2020. For the samples from the states of  
477 Arizona and Kentucky where we had undertaken temporal and spatial sampling, some  
478 PANGOLIN that had been detected in SARS-CoV-2 clinical-sequence data were also supported  
479 in the wastewater in addition to several other putative lineages which may have been missed by  
480 clinical sampling (Figure 4). In conjunction with diversity analyses using distance matrices (Figure  
481 5) this shows trends in viral populations which can help to track the spread of the SARS-CoV-2.

482

483 This study supports the use of wastewater sampling as a tool suitable for analysing the genomics  
484 of ongoing outbreaks of infectious diseases, such as SARS-CoV-2. As demonstrated here, HTS  
485 of RNA from wastewater can provide novel information on SNVs and lineages which, when

486 coupled with that derived from clinical data, can help identify new emerging variants/lineages of  
487 clinical importance within a population. The study results indicating a shift in the SARS-CoV-2  
488 sequence variation in wastewater from each location over time shows the ongoing need for such  
489 approaches. As a collective, the approaches we have outlined in this study can be used within a  
490 public health setting as an early warning tool to inform infectious disease mitigation measures.

491  
492 **Sequence data**  
493 Sequences are deposited in NCBI's SRA under the project number PRJNA662596; SRA #  
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495  
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505  
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507 Ste 130, Scottsdale, AZ 85260, USA, an ASU start-up company providing commercial services in  
508 wastewater-based epidemiology. R.U.H. is the founder of OneWaterOneHealth, a non-profit  
509 project of the Arizona State University Foundation.

510  
511 **Figure legends and table text**  
512 **Figure 1: A.** Map of the United States of America with states where wastewater samples were collected for  
513 this study highlighted in grey. **B.** SARS-CoV-2 RT-qPCR Ct detection value for each sample and the  
514 corresponding SARS-CoV-2 genome coverage uniformity from the tiling amplicon-based HTS. **C.** SARS-  
515 CoV-2 genome coverage of the high-throughput sequencing of all the wastewater samples (cyan) and those  
516 with >90% coverage (red). \* indicates that these sites have a coverage depth of 1.

517  
518 **Figure 2: A.** Number of single nucleotide variants (SNV) per sample across 10 states (each state is  
519 represented by a different colour). **B.** Regression analysis, with 95% confidence interval, of the number of  
520 wastewater-derived SARS-CoV-2 SNVs detected versus the mean depth for each of the 52 samples with  
521 >90% coverage that were analysed. The colour code indicates the states in which the samples were  
522 collected.

523  
524 **Figure 3:** Novel SARS-CoV-2 SNVs (*i.e.* not yet detected in clinical-derived samples as of 17<sup>th</sup> June 2020)  
525 identified in the 52 wastewater samples analysed. On the y-axis are the number of samples containing the  
526 SNV and on the x-axis is the relative position of SNV in the SARS-CoV-2 genome. Positions with multiple  
527 variants are marked in red and those marked with grey circles represent the SNVs that have been detected  
528 up until 20<sup>th</sup> November 2020 in clinical samples.

529

530 **Figure 4:** Publicly available genomes from clinically derived data deposited in GISAID, grouped by  
531 PANGOLIN, whose mutations were consistent with those observed in wastewater samples. **A.** Heatmap  
532 showing the number of days between sample collection and when supported lineages were first observed  
533 in clinical data. Each wastewater sample (52 samples across 10 states) contained support for different  
534 clinical samples which are grouped here by PANGOLIN, some of which have only been observed outside  
535 North America (indicated as “global only”). **B.** Clinical genomes reported in USA states and territories which  
536 were assigned to PANGOLIN supported by at least one environmental sample. Black borders indicate  
537 lineages supported in environmental samples from the respective location.

538  
539 **Figure 5:** Principal coordinate analysis (PCoA) of SARS-CoV-2 sequence data derived from wastewater  
540 samples. **A.** Distribution of sequences from samples collected in ten states (each represented by a different  
541 colour) in the USA showing pairwise distance based on genomic composition between viral populations  
542 present in each sample. **B.** Timeline representation (shown by the colour gradient) of samples taken from  
543 the sample locations across ten USA states between April-June 2020 with pairwise distance based on  
544 genomic composition between viral populations present in each sample. **C.** Spatial representation of SARS-  
545 CoV-2 sequences from samples collected from various regions within Arizona (represented by different  
546 symbols) comparative to those from other states. **D.** Sampling catchments in Tempe, Guadalupe and  
547 Gilbert, Arizona.

548  
549 **Table 1:** Summary of wastewater sample information. The collection date reflects influent from the previous  
550 day. Details of the location including state, city, and region of collection, and Ct value from the RT-qPCR  
551 SARS-CoV-2 detection assay targeting the E gene. The SARS-CoV2 genome percentage coverage based  
552 on the HTS for each sample is provided.

553  
554 **Supplementary Figure 1:** Wastewater sampling catchments in Louisville (Kentucky), Sites 1 and 7  
555 represent collection sites of hospitals and Site 9 is a sewer district facility.

556  
557 **Supplementary Table1.** Summary of the SNVs detected in SARS-CoV-2 sequences in the 52 wastewater  
558 samples ( $n=7,973$ ). In the order of the table, the information contained in each column is: the sample name,  
559 date of collection, state, location within the state, SNV position, reference nucleotide, alternative nucleotide,  
560 frequency of alternative nucleotide, total read depth at position, reference codon, reference amino acid,  
561 alternative codon, alternative amino acid, bin (number of wastewater samples that contain that SNV), global  
562 frequency of SNV, USA frequency of SNV and if the SNV is synonymous (syn) or non-synonymous (Nsyn).

563  
564

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809 **Table 1:** Summary of wastewater sample information. The collection date reflects influent from the previous  
 810 day. Details of the location including state, city, and region of collection, and Ct value from the RT-qPCR  
 811 SARS-CoV-2 detection assay targeting the E gene. The SARS-CoV2 genome percentage coverage based  
 812 on the HTS for each sample is provided.

State	Location ID	Sampling date	Sample ID	Ct value	Mean coverage	Percentage coverage	Total reads
Arizona	G2	7-May-20	122	35.1	21.9801	37.91	8228
Arizona	G2	10-Jun-20	G3	32.2	82.9204	95.7246	30944
Arizona	Guadalupe	6-May-20	110	31.9	139.084	97.8267	51936
Arizona	Guadalupe	10-May-20	136	30.8	249.107	98.6426	93131
Arizona	Guadalupe	12-May-20	147	30.2	682.605	99.0555	254395
Arizona	Guadalupe	16-May-20	177	30.2	800.327	98.9946	298388
Arizona	Guadalupe	19-May-20	179	30.9	780.958	99.0217	291504
Arizona	Guadalupe	21-May-20	203	29.9	1496.09	99.1029	558227
Arizona	Guadalupe	26-May-20	227	30.6	563.257	98.9269	209969
Arizona	Guadalupe	30-May-20	253	28.9	1784.29	99.1097	665406
Arizona	Guadalupe	3-Jun-20	277	30.2	31.7447	71.6733	11859
Arizona	Guadalupe	5-Jun-20	303	30.6	18.0822	65.1061	6766
Arizona	Guadalupe	7-Jun-20	321	30.8	457.993	98.9269	170607
Arizona	Guadalupe	9-Jun-20	341	30.8	1111.99	98.998	414806
Arizona	Guadalupe	11-Jun-20	359	29.5	45.4204	83.8868	16957
Arizona	M1	27-Apr-20	80	32.7	20.8707	43.5666	7802
Arizona	M1	7-May-20	117	34.9	2.24021	7.66054	880
Arizona	M1	26-May-20	225	35.9	13.4329	37.7272	5035
Arizona	Rural	24-Oct-19	R19	NA	10.9956	1.29989	2698
Arizona	Rural	16-May-20	167	35.7	29.7984	54.0537	11099
Arizona	Rural	3-Jun-20	269	34.4	170.102	97.0279	63422
Arizona	Rural	6-Jun-20	305	33.3	87.2427	96.7435	32575
Arizona	Rural	9-Jun-20	338	33	81.784	97.1497	30496
Arizona	Rural	11-Jun-20	349	31.6	81.6799	96.0157	30520
Arizona	TP01	7-Apr-20	4	35	59.1029	66.643	22076
Arizona	TP01	8-Apr-20	3	37	0.646356	1.56054	255
Arizona	TP01	17-Apr-20	57	35	4.45655	15.1958	1667
Arizona	TP01	21-Apr-20	59	33	18.1784	39.5586	6761
Arizona	TP01	29-Apr-20	93	35	11.943	38.2418	4446
Arizona	TP01	12-May-20	137	34.7	47.4554	62.7061	17703
Arizona	TP01	26-May-20	220	35.5	35.8432	64.4122	13421
Arizona	TP01	2-Jun-20	260	33.6	586.011	99.0183	218520
Arizona	TP01	7-Jun-20	322	35.7	39.971	77.0048	14903
Arizona	TP01	9-Jun-20	348	31.5	339.292	98.9066	126569
Arizona	TP02	29-Apr-20	94	35	2.23134	7.12907	844
Arizona	TP02	12-May-20	138	35.8	5.71064	11.9055	2144
Arizona	TP02	30-May-20	247	35.1	52.7047	91.0226	19682
Arizona	TP02	2-Jun-20	261	32.6	106.321	96.0699	39581
Arizona	TP02	5-Jun-20	299	34	84.0252	96.3779	31348
Arizona	TP02	9-Jun-20	344	32.8	258.612	99.1165	96441
Arizona	TP03	6-Jun-20	312	34.5	130.712	97.2344	48711
Arizona	TP03	7-Jun-20	323	35.4	151.054	98.3514	56337
Arizona	TP04	28-May-20	274	34.5	34.992	71.6699	13061
Arizona	TP04	4-Jun-20	288	33	110.474	96.2053	41202
Arizona	TP04	5-Jun-20	129	32.7	31.8066	72.3368	11897
Arizona	TP04	6-Jun-20	314	34.7	191.419	98.8829	71379
Arizona	TP04	8-Jun-20	336	32.8	220.449	98.9371	82296
Arizona	TP05	25-Apr-20	69	31.2	15.223	41.1699	5678
Arizona	TP05	7-May-20	118	32.1	22.2285	50.7803	8291
Arizona	TP05	19-May-20	181	35.8	38.4298	59.3514	14304
Arizona	TP05	7-Jun-20	326	35.6	27.9763	66.1792	10443
Arizona	TP05	9-Jun-20	347	26.8	3735.92	99.1097	1510084
Arizona	TP05	11-Jun-20	358	31.5	37.94	75.9453	14211
Arizona	TP06	26-Apr-20	78	34.9	2.9937	5.98152	1127
Arizona	TP06	21-May-20	198	34.9	17.187	57.3034	6445
Arizona	TP06	28-May-20	234	34.7	784.976	98.998	292585
Arizona	TP06	3-Jun-20	271	33.3	61.7264	93.4159	23022
Arizona	TP06	5-Jun-20	296	32.8	92.836	97.3901	34617
Arizona	TP06	7-Jun-20	318	34.6	40.5103	90.8805	15096

Arizona	TP06	9-Jun-20	339	32.6	33.4383	86.1074	12474
Arizona	TP06	11-Jun-20	351	30.6	20.0344	65.7696	7472
Colorado	CO1	20-May-20	Jac_51	32.1	85.5393	93.1282	31953
Colorado	CO1	28-May-20	Jac_103	34	91.4798	96.124	34120
Georgia	GA1	14-May-20	Jac_33	29	68.8532	94.4078	25686
Idaho	ID1	18-May-20	Jac_56	34.7	88.5662	91.114	33005
Idaho	ID1	25-May-20	Jac_87	35.3	113.577	94.4416	42320
Illinois	IL1	19-May-20	Jac_45	33.3	79.0705	96.9331	29490
Illinois	IL1	1-Jun-20	Jac_106	33.1	54.4429	85.8332	20365
Illinois	IL2	7-May-20	Jac_12	33	71.8524	90.6875	26744
Illinois	IL2	1-Jun-20	Jac_127	31.9	77.5081	87.7357	28850
Kansas	KA1	20-May-20	Jac_58	33.2	91.4503	91.9265	34117
Kansas	KA1	27-May-20	Jac_96	31.7	364.619	98.9845	135932
Kentucky	S1	23-Apr-20	Lou_2	33.8	31.4104	70.7017	11723
Kentucky	S2	9-Jun-20	Lou_40	33.8	352.012	98.734	131165
Kentucky	S3	21-May-20	Lou_15	35.3	11.7138	36.1193	4379
Kentucky	S3	28-May-20	Lou_23	35.5	9.75725	33.6448	3640
Kentucky	S3	9-Jun-20	Lou_39	34.5	68.0629	87.6883	25339
Kentucky	S4	9-Jun-20	Lou_43	34.6	58.5395	92.2413	21876
Kentucky	S5	14-May-20	Lou_6	33.2	296.939	99.1233	110803
Kentucky	S5	9-Jun-20	Lou_38	31.4	393.77	99.0928	146800
Kentucky	S6	9-Jun-20	Lou_42	33.7	57.09	92.0856	21266
Kentucky	S7	23-Apr-20	Lou_3	33.2	63.1731	84.0764	23501
Kentucky	S8	21-May-20	Lou_13	34.8	148.323	98.5546	55410
Kentucky	S9	23-Apr-20	Lou_1	29.4	206.044	98.7577	76835
Massachusetts	MA1	27-May-20	Jac_89	32.8	89.2101	97.6236	33207
New Jersey	NJ1	3-May-20	Jac_04	31.2	62.1934	88.3518	23228
New Jersey	NJ1	11-May-20	Jac_30	32.6	1768.26	99.0759	658845
New Mexico	NM1	6-May-20	Jac_09	30.8	14.5232	42.8015	5435
New Mexico	NM1	13-May-20	Jac_31	33	127.887	98.1686	47610
New Mexico	NM1	21-May-20	Jac_69	34.3	139.456	94.8681	52042
New Mexico	NM1	27-May-20	Jac_90	34.1	223.602	98.321	83229
Oregon	OR1	27-May-20	Jac_92	34.7	9.50418	27.8291	3568

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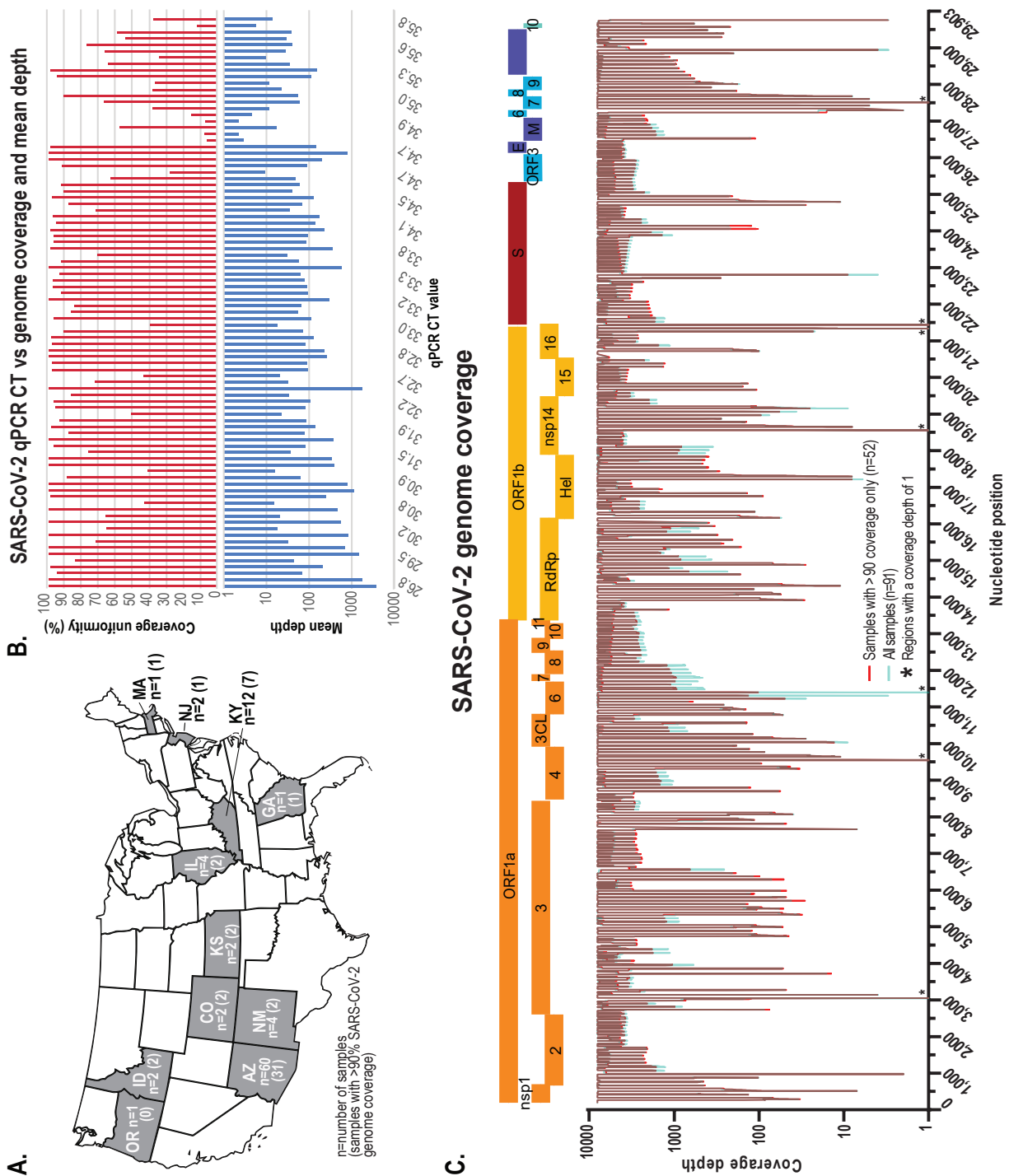


Figure 1: A. Map of the United States of America with states where wastewater samples were collected for this study highlighted in grey. B. SARS-CoV-2 RT-qPCR Ct detection value for each sample and the corresponding SARS-CoV-2 genome coverage uniformity from the tiling amplicon-based HTS. C. SARS-CoV-2 genome coverage of the high-throughput sequencing of all the wastewater samples (cyan) and those with >90% coverage (red). \* indicates that these sites have a coverage depth of 1.

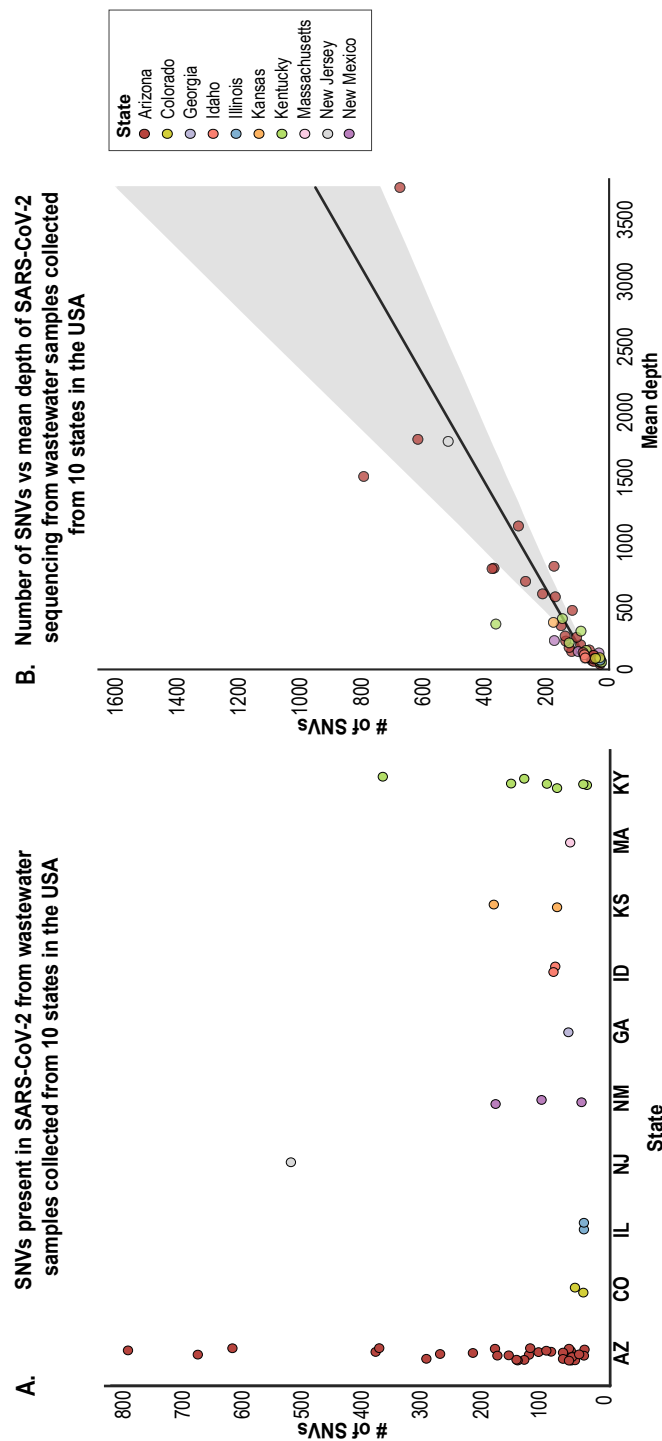


Figure 2: A. Number of single nucleotide variants (SNV) per sample across 10 states (each state is represented by a different colour). B. Regression analysis, with 95% confidence interval, of the number of wastewater-derived SARS-CoV-2 SNVs detected versus the mean depth for each of the 52 samples with >90% coverage that were analysed. The colour code indicates the states in which the samples were collected.

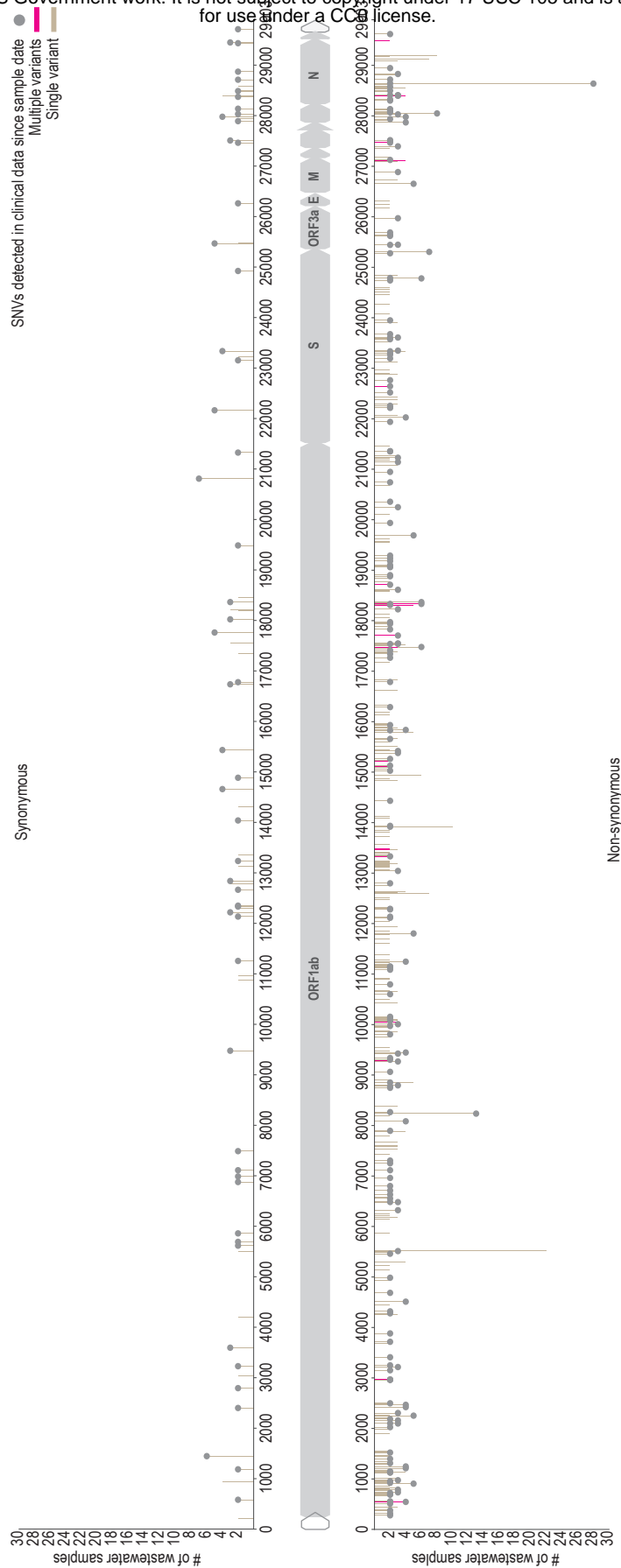


Figure 3: Novel SARS-CoV-2 SNVs (i.e. not yet detected in clinical-derived samples as of 17th June 2020) identified in the 52 wastewater samples analysed. On the y-axis are the number of samples containing the SNV and on the x-axis is the relative position of SNV in the SARS-CoV-2 genome. Positions with multiple variants are marked in red and those marked with grey circles represent the SNVs that have been detected up until 20th November 2020 in clinical samples.



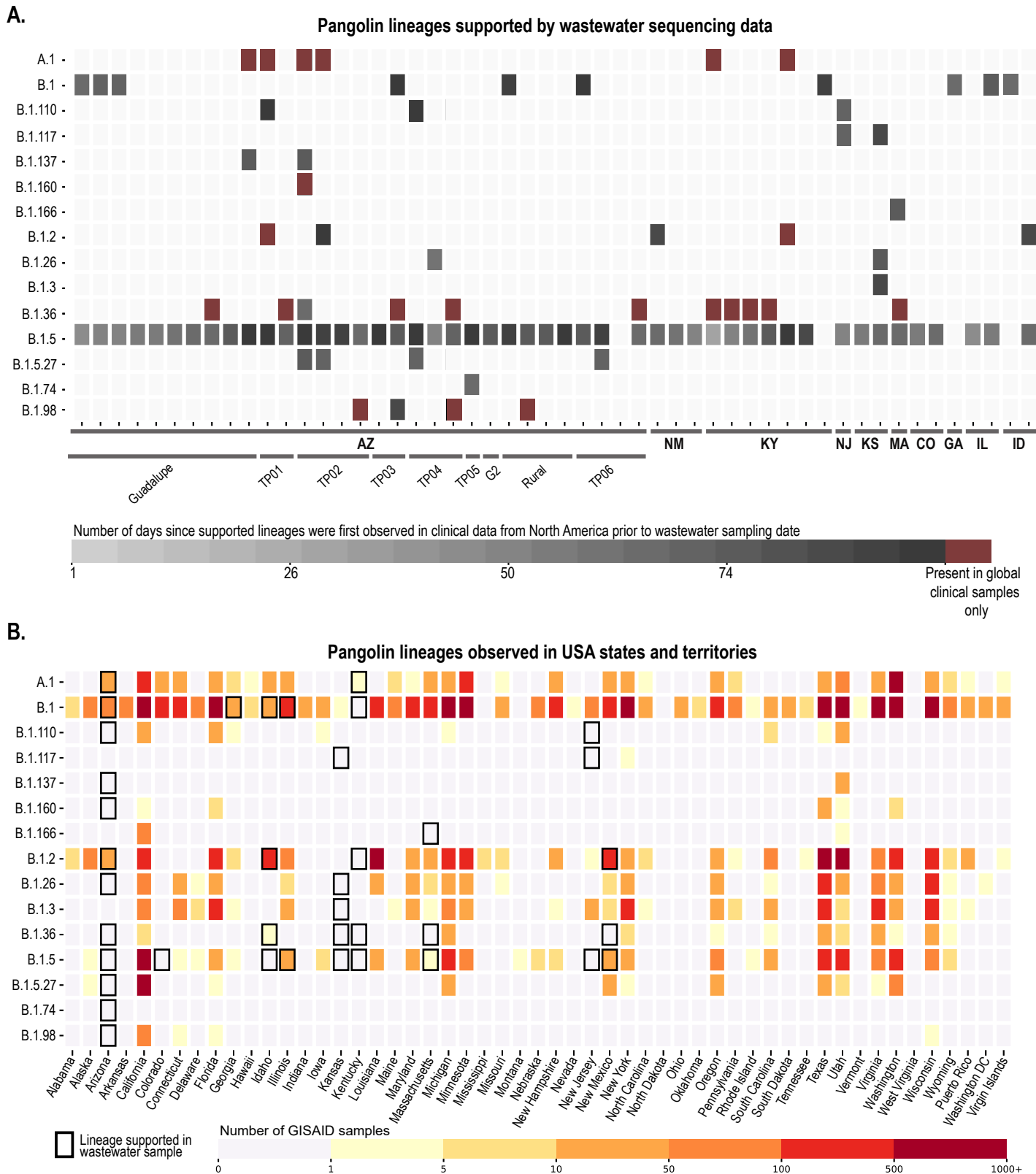


Figure 4: Publicly available genomes from clinically derived data deposited in GISAID, grouped by PANGOLIN, whose mutations were consistent with those observed in wastewater samples. A. Heatmap showing the number of days between sample collection and when supported lineages were first observed in clinical data. Each wastewater sample (52 samples across 10 states) contained support for different clinical samples which are grouped here by PANGOLIN, some of which have only been observed outside North America (indicated as “global only”). B. Clinical genomes reported in USA states and territories which were assigned to PANGOLIN supported by at least one environmental sample. Black borders indicate lineages supported in environmental samples from the respective location.

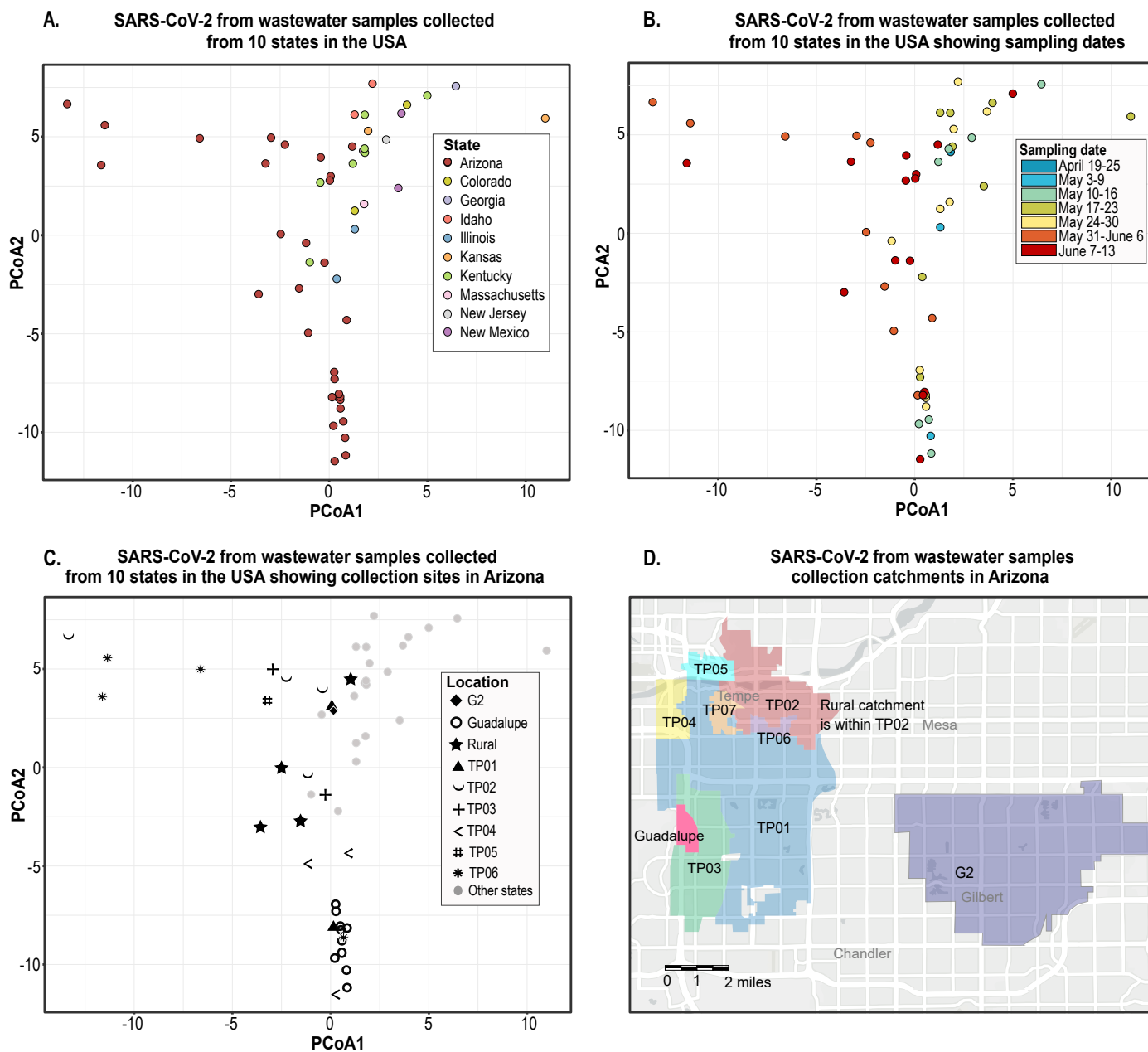


Figure 5: Principal coordinate analysis (PCA) of SARS-CoV-2 sequence data derived from wastewater samples. A. Distribution of sequences from samples collected in ten states (each represented by a different colour) in the USA showing pairwise distance based on genomic composition between viral populations present in each sample. B. Timeline representation (shown by the colour gradient) of samples taken from the sample locations across ten USA states between April-June 2020 with pairwise distance based on genomic composition between viral populations present in each sample. C. Spatial representation of SARS-CoV-2 sequences from samples collected from various regions within Arizona (represented by different symbols) comparative to those from other states. D. Sampling catchments in Tempe, Guadalupe and Gilbert, Arizona.