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The reinforcement threshold and elasticity of demand for nicotine in an adolescent rat model of depression

John R. Smethells^{1,2}, Danielle Burroughs¹, Amy Saykao¹, Paul R. Pentel^{1,2}, Amir H. Rezvani⁴, Mark G. LeSage^{1,2,3}

¹Hennepin Healthcare Research Institute, Minneapolis, MN

²Departments of Medicine and Pharmacology, University of Minnesota Medical School, Minneapolis, MN

³Department of Psychology, University of Minnesota, Minneapolis, MN

⁴Department of Psychiatry and Behavioral Sciences, Duke University, Durham, NC

Abstract

Background: The Food and Drug Administration (FDA) is considering setting a nicotine standard for tobacco products to reduce their addictiveness. Such a standard should account for the apparent greater vulnerability to nicotine addiction in some subpopulations, such as adolescents with depression. The present study examined whether the reinforcement threshold and elasticity of demand (i.e., reinforcing efficacy) for nicotine in a genetic inbred rat model of depression (Flinders Sensitive Line [FSL]) differs from an outbred control strain.

Methods: Acquisition of nicotine self-administration (NSA) across a wide range of nicotine doses was measured in both FSL and Sprague-Dawley (SD) control adolescent rats. At the highest dose, elasticity of demand was also measured. Nicotine pharmacokinetics was examined to determine whether it might modulate NSA, as it does smoking in humans.

Results: FSL rats acquired self-administration quicker and showed more inelastic demand (greater reinforcing efficacy) than SDs at the highest unit dose. However, there was no strain difference in the reinforcement threshold of nicotine. FSL rats exhibited faster nicotine clearance, larger volume of distribution, and lower plasma and brain nicotine concentrations. However, these differences were not consistently related to strain differences in NSA measures.

Corresponding Author: Mark LeSage, Ph.D., Hennepin Healthcare Research Institute, 701 Park Avenue, Minneapolis, MN 55415, lesag002@umn.edu.

Contributions

MGL conceptualized the study and experimental design. MGL, JRS, DB, PRP, and AHR finalized the experimental protocols. DB and AS were responsible for daily conduct of the study and data collection. JRS and MGL supervised conduct of the study and analyzed the data. JRS and MGL drafted the manuscript. All authors reviewed drafts of the manuscript and approved the final version.

Conflict of Interest

No conflict declared.

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Conclusion: These findings are consistent with studies showing greater dependence and reinforcing efficacy of cigarettes in smokers with depression and those with relatively fast nicotine metabolism. However, these findings also suggest that a nicotine standard to reduce initiation of tobacco use should be similarly effective in both the general adolescent population and those with depression.

Keywords

Nicotine; Rat; Depression Model; Abuse Liability

1. Introduction

The 2009 Family Smoking Prevention and Tobacco Control Act charges the Food and Drug Administration (FDA) to regulate tobacco products in order to protect public health by reducing the prevalence of tobacco use and the toxicity of tobacco products (Hatsukami et al., 2013). Toward this end, the FDA Center for Tobacco Products (CTP) may set a nicotine standard for tobacco products that reduces the nicotine level below the threshold that produces reinforcing effects (i.e., the reinforcement threshold) as means to reduce tobacco use in established smokers and prevent adolescents who experiment with tobacco products from becoming addicted (Sofuoglu and LeSage; FDA, 2018).

A critical step toward setting a nicotine standard is understanding the extent of population variability in the nicotine reinforcement threshold. The CTP must consider data from vulnerable subpopulations whose nicotine reinforcement threshold might be lower than that of the general population, such as adolescents and those with psychiatric comorbidities. Adolescence is generally considered a period of greater vulnerability to substance abuse disorders, including tobacco addiction (Adriani and Laviola, 2004). It is well established that the majority of smokers begin smoking and can quickly become nicotine dependent during adolescence (DiFranza et al., 2002; O’Loughlin et al., 2003). Moreover, a variety of subpopulations of adolescents could exhibit nicotine reinforcement thresholds that differ from the general adolescent population. For example, depression in adolescents predicts subsequent onset of daily smoking and progression to dependence (Breslau and Peterson, 1996; Karp et al., 2006). Individuals with depression are three to four times more likely to develop nicotine dependence during adolescence (e.g., Kendler et al., 1993; Patton et al., 1998) and comprise 20–30% of adult smokers (e.g., Fond et al., 2015; Hebert et al., 2011; Shahab et al., 2014). Compared to non-depressed smokers, currently depressed smokers were twice as likely to choose smoking over other rewarding activities and reported needing a larger amount of an alternative reinforcer to quit smoking (Spring et al., 2003). Depression-prone smokers were also twice as likely as non-prone smokers to work for cigarette puffs versus money under a progressive-ratio schedule (Audrain-McGovern, et al., 2014). Although, these findings suggest that depression increases the reinforcing efficacy of smoking and risk of tobacco addiction, it is not yet clear whether the mechanism mediating this relationship is an increased sensitivity of depressed individuals to the reinforcing potency of nicotine per se (i.e. lower reinforcement threshold). To our knowledge, no dose-response studies have *directly* examined this issue using smoking or nicotine self-administration (NSA) methods in humans or nonhumans.

Given that it is ethically impossible to experimentally examine how depression moderates the nicotine reinforcement threshold for initiation of smoking in humans, animal models are needed to examine this issue (Donny et al., 2012). There are myriad animal models of depression from which to choose. Although none of these models can fully recapitulate the disorder, the Flinders Sensitive Line (FSL) is a genetic rat model of depression with considerable face, construct, and predictive validity (Overstreet, 2012; Overstreet and Wegener, 2012). FSL rats are hypersensitive to cholinergic agonists and have elevated levels of muscarinic and nicotinic acetylcholine receptors (Overstreet and Djuric, 2001, Tizabi et al., 1999; Tizabi et al., 2000). Given the hyperactivity of cholinergic systems in some depressed individuals (Janowsky et al., 1994; Mineur et al., 2011), the FSL rat is considered a model of this particular subtype of depression. Although people with this subtype of depression may be especially vulnerable to tobacco addiction compared to other subtypes, this has not been studied. FSL rats show many key behaviors resembling depression in humans, including reduced general activity, decreased social interaction, appetite suppression, greater stress-induced anhedonia in the sucrose preference test, and increased REM sleep (Overstreet and Wegener, 2012). All drugs with antidepressant effects in humans also show antidepressant effects in FSL rats (Overstreet and Wegener, 2012). In addition, FSL rats exhibit greater sensitivity to the antidepressant effects of nicotine and locomotor suppressant effects of nicotine withdrawal, as well as higher basal levels of $\alpha 4\beta 2$ nicotinic receptors (a key mediator of nicotine reinforcement) and greater nicotine-induced $\alpha 4\beta 2$ receptor upregulation (Tizabi et al., 1999; Tizabi et al., 2000).

There are several methods to determine the relative reinforcing efficacy of nicotine in rats. Most often researchers use low fixed-ratio (FR) schedules to compare rates of acquisition and/or the amount of responding maintained by intravenous self-administration across a range of doses (Ator and Griffiths, 2003; Banks and Negus, 2012). In rats, adolescents have been shown to acquire NSA quicker and maintain greater nicotine intake than adults (Adriani et al., 2002, 2003; Chen et al., 2007; Kota et al., 2007; Levin et al., 2007, 2003; Natividad et al., 2013; O'Dell et al., 2007), supporting the notion that adolescence is a period of greater vulnerability to nicotine addiction. Behavioral economics (Hursh, 1984) provides an alternative framework to measure the abuse liability of nicotine. In a behavioral economic analysis, drug intake is measured across a range of FR values (e.g., FR 1, 3, 6, 9, 15, etc.) to produce a demand curve. This demand curve allows several abuse liability factors to be collectively assessed, including demand intensity (i.e., the amount of consumption with relatively free access [e.g., an FR 1]) and demand elasticity (i.e., the rate of decrease in drug consumption in response to increasing unit price [FR/unit dose]), among others (Hursh et al., 2013). Of these measures, demand elasticity provides the primary metric of the abuse liability of a drug by quantifying how sensitive drug consumption is to increases in unit price (Hursh et al., 2013; Hursh and Roma, 2016). Demand is considered inelastic (i.e., reinforcing efficacy is greater) if consumption of a drug decreases slowly in proportion to increases in unit price, resulting in smaller α values (Hursh and Silberberg, 2008). To our knowledge, elasticity of demand for nicotine in adolescent animal models of depression has not been reported.

The present study examined acquisition of NSA across a range of nicotine doses (0, 1, 2, 4, 7, 15 & 30 ug/kg) in adolescent FSL and SD rats to determine if the reinforcement threshold

for nicotine differs between the strains. Elasticity of demand for nicotine was also compared between strains at the highest unit dose, which is a common training dose for NSA. The primary hypothesis was that FSL rats would have a lower reinforcement threshold and less elastic demand for nicotine compared to SD rats. Another goal was to examine two factors that may moderate differences in these measures between or within strains. First, nicotine pharmacokinetic parameters (e.g., clearance) were measured to determine their association with metrics of abuse liability (i.e., α and NSA acquisition). Human studies show that slower nicotine metabolism and clearance predicts higher CPD and dependence in adolescents (Karp et al., 2006; Rubinstein et al., 2013). In addition, our previous studies show that nicotine clearance is a significant predictor of the nicotine reinforcement threshold and elasticity of demand in adult rats (Grebenstein et al., 2015). Second, males and females were included to allow analysis of sex differences in each strain. Sex differences in smoking, NSA, and comorbid disorders have been reported in humans (Fattore et al., 2008; Perkins, 2009), and some studies show that female adolescents in particular develop early milestones of addiction (e.g., cravings, tolerance, monthly smoking) faster than males, although incidence rates of later milestones (e.g., daily smoking, ICD-10 dependence) may be similar to males (DiFranza et al., 2007; DiFranza et al., 2002; Gervais et al., 2006). Previous studies by our lab and others using adolescent rats have also shown that females exhibit faster acquisition of NSA at lower doses, higher baseline intake, and/or higher breaking points under PR schedules than males (Chen et al., 2007; Grebenstein et al., 2013; Levin et al., 2003; Levin et al., 2003; Lynch, 2009; Sanchez et al., 2013). Regardless of whether sex differences exist, using both sexes is important to model the heterogeneity of participants in human studies that include both men and women, which may be vital to characterizing the full extent of variability in nicotine reinforcement thresholds.

2. Method

2.1. Animals

Male and female adolescent FSL (Duke University) and Sprague-Dawley (SD; Envigo, Madison, WI) rat pups were shipped with their dam to arrive on post-natal day (PND) 14 and were housed with free access to chow and water in a temperature- (22° C) and humidity-controlled colony room. Pups were weaned on PND 21 and individually housed with free access to chow and water until catheter implantation on PND 33. Following catheter implantation, rats were individually housed in an operant chamber and provided free access to water and restricted access to food, beginning at 13 g/day and escalated weekly to 16g and then 18g/day, where the food allotment remained for rest of the protocol. Based on pilot data, this regimen provides an amount of food per gram of body weight comparable to the level of food restriction often used in adult rats. Protocols were approved by the Hennepin Healthcare Research Institute's Institutional Animal Care and Use Committee and were in accordance with NIH guidelines set forth in the Guide for the Care and Use of Laboratory Animals (National Reserach Council, 2011).

2.2. Apparatus

2.2.1 Self-administration Operant Chambers.—Nicotine self-administration chambers (Med-Associates, St. Albans, VT) were composed of aluminum and clear

polycarbonate walls and a stainless-steel grid floor. The chamber had two response levers on the front panel, each with a white stimulus light located directly above, and the back panel contained a house light mounted centrally at the top with a waterspout below. Chambers were contained in sound-attenuating boxes equipped with ventilation fans that provided masking noise. Infusion pumps (Model RHSY, Fluid Metering, Syosset, NY) were connected to tygon tubing that attached to a swivel (Instech Inc., Plymouth Meeting, PA) affixed to a counter-balanced arm centered over the opening in the ceiling of the experimental chamber. Tubing from the swivel ran through a spring leash that attached to a vascular access harness (VAHD115AB, Instech) worn by the rat. A computer (OS: Windows 7[®]) running MED-PC IV[®] (Med Associates) orchestrated experimental sessions and recorded data.

2.2.2 Forced Swim Water Tanks.—A clear acrylic cylinder (33 cm diameter × 45 cm height; Med Associates) was used for forced-swim testing. Tests were video recorded and analyzed using ANY-maze (v. 4.99; Stoelting Co., Wood Dale, IL) software.

2.3. Drugs

(–) Nicotine (Sigma Chemical Co., St. Louis, MO) was dissolved in saline to formulate all nicotine doses (1 – 30 ug/kg; doses expressed as the base). The PH of each solution was adjusted to 7.4 with NaOH and then heparin was added (30 units/ml) to aid catheter patency. The concentration of nicotine in each dilution was verified using gas chromatography with nitrogen phosphorous detection using our routine assay (LeSage et al., 2003). Solutions varied by no more than ± 5% (average < 1%) from the target concentration.

2.4. Procedure

2.4.1. Forced Swim Test.—SD (N = 52) and FSL (N = 59) rats underwent forced swim testing on PND 32 to confirm a phenotype difference between strains. Prior to testing, rats were transported in their home-cage to the dimly-lit testing room and allowed 1 hour to acclimate before testing. The forced swim cylinder was filled with 25 °C water and the rat was placed in the testing cylinder to swim for 15 minutes. The water was emptied and the cylinder was cleaned following each test. All forced swim recordings were video-recorded and scored by Any-Maze software, which quantified the total time spent immobile (i.e., >50% body surface area overlap across a rolling 2 second time window) during the force swim test (Lee et al. 2017).

2.4.2. Surgery.—On PND 33, rats were implanted with a chronic indwelling catheter into the right jugular vein according to our standard procedures (LeSage et al., 2003, 2002) under i.m. ketamine (FSL: 20–30 mg/kg; SD: 75–90 mg/kg) and dexmedetomidine (FSL: 0.05 mg/kg; SD: 0.25 mg/kg) anesthesia. Different anesthetic doses were required for each strain because of the greater sensitivity of FSL rats. The catheters exited the body between the scapulae and attached to the vascular-access harness. Immediately following surgery, rats were administered atipamezole (5 mg/kg; s.c.) and extended-release meloxicam (2 mg/kg; s.c.). Rats recovered for four days, during which time they were given daily catheter flushes of heparinized saline (30 units/ml; i.v.) and ceftriaxone (5.25 mg). Infusions of methohexital (50 mg/ml, i.v.) were provided at critical experimental time points (i.e., following FR 1, FR

2, and the end of demand assessment) to confirm catheter patency. If a catheter became occluded or lost patency the rat was removed from study.

2.4.3. Acquisition of self-administration and demand elasticity.—Following surgery, rats were housed in the operant chambers to recover for four days. After recovery, rats were given access to nicotine during daily 23-h sessions (12:12 light dark cycle; lights off at 1000 hours). The start of each session was signaled by the illumination of the house light in the chamber and initiation of a fixed-ratio (FR 1) schedule of nicotine delivery. Under this schedule, a response on the active lever illuminated the stimulus light above the lever and delivered a nicotine infusion (100 $\mu\text{l}/\text{kg}$ @ 50 $\mu\text{l}/\text{s}$). Each nicotine delivery was followed by a 7-second post-infusion timeout, wherein responses were recorded but had no programmed consequence. After the timeout, the stimulus light was darkened and nicotine was again available under the FR 1 schedule. A response on the inactive lever was recorded, but had no programmed consequence. Seven groups had access to one of six concentrations of nicotine: 0 [saline] (SD = 13, FSL = 14), 1 (SD = 15, FSL = 13), 2 (SD = 16, FSL = 13), 4 (SD = 12, FSL = 12), 7 (SD = 14, FSL = 11), 15 (SD = 13, FSL = 13), or 30 (SD = 26; FSL = 23) $\mu\text{g}/\text{kg}/\text{infusion}$. The higher sample size for the 30 $\mu\text{g}/\text{kg}$ group was necessary to ensure that at least eight rats of each sex for each strain acquired NSA and completed demand assessment to allow analysis of sex differences. Ground food was placed on the active lever for the first session only to promote contact with the reinforcement contingency. Following 7 additional sessions, the FR requirement was increased to FR 2 for 7 more sessions. Thus, all rats were given a total of 15 sessions to acquire NSA. Nicotine demand elasticity was then assessed only in those rats that met acquisition criteria at the end of the FR 2 phase (last three sessions, see criteria in Section 2.5.3) in the 30 $\mu\text{g}/\text{kg}$ group. During demand testing, the FR requirement was increased daily (per the progression: 3, 6, 9, 15, 30, 60, 120, 240, 480, etc.) until 0 infusions were earned, (see LeSage et al., 2016). Elasticity of demand at the 30 $\mu\text{g}/\text{kg}$ unit dose was the primary data of interest because, at this dose, increases in unit price yield both relatively inelastic and elastic phases of consumption, providing a more exponential function for better curve fits and more accurate parameter estimates than occurs at lower unit doses where consumption is more variable and linearly related to unit price.

2.4.4. Assessment of nicotine pharmacokinetics.—The low blood volume in adolescent rats prevented collection of an adequate number and volume of blood samples at the end of the NSA protocol to estimate clearance of an i.v. bolus nicotine dose in each rat. Therefore, steady-state nicotine clearance during continuous nicotine infusion was measured in each rat at the completion of the NSA protocol (approximately PND 65). Nicotine clearance can be estimated equally well with bolus or continuous infusion. Drug clearance is an intrinsic property of each animal for a given drug and is not influenced by whether drug is administered by bolus or continuous infusion. Therefore, clearance during continuous infusion is indicative of clearance following i.v. bolus dosing during NSA. Rats (SD M = 48, F = 47; FSL M = 66, F = 46) were implanted with a subcutaneous osmotic mini pump (Alzet, Cupertino, CA 95014; 2ML2) under isoflurane anesthesia. Pumps provided a continuous infusion of nicotine at a rate of 3 $\text{mg}/\text{kg}/\text{day}$. Tail-vein blood samples (1 ml each) were taken daily for three days beginning at least 24 hours after pump implantation (data for

1 male SD and 2 female FSL rats from the 30 µg/kg dose group were not collected due to surgical complications). Immediately following the final blood sample, rats were sacrificed to collect brain.

To increase the rigor of the comparison of nicotine pharmacokinetics between strains, the pharmacokinetics of a single i.v. bolus nicotine dose were also examined in separate cohorts of rats that were not used in the NSA protocol. This allowed characterizing the time course of serum nicotine concentrations following a bolus dose as occurs during NSA and confirmation of the strain difference observed during continuous nicotine infusion. For this analysis, rats (SD M = 15, F = 15; FSL M = 14, F = 9) were anesthetized and implanted with a jugular and femoral catheter as described above at PND 65. Immediately following catheter implantation, an intravenous infusion of 0.1 mg/kg of nicotine was administered over 10-s via the jugular catheter. Blood samples (0.5 ml/each) were then taken via the femoral catheter, and each sample was replaced with 1 ml of saline. Due to the limited number of samples (i.e., 3) that could be taken in adolescent rats, two equal groups of each strain were formed to capture a 4-hr time-course with one group having samples at 15, 60 and 120 min and the other having samples at 15, 120 and 240 min (blood nicotine levels did not significantly differ at the 120 min timepoint for either strain). Nicotine levels were measured by gas chromatography with nitrogen-phosphorous detection. Brain nicotine levels were corrected for blood content.

2.5 Data analysis

2.5.1. Forced Swim Test Analysis.—Strain and sex differences in time immobile were quantified for the FST using a two-way (Strain X Sex) analysis of variance (ANOVA). In order to correct for the positive distribution skew observed in SDs, a square-root transform was applied to the data prior to analysis. Post-hoc comparisons were conducted upon significant ($p < 0.05$) main effects using Bonferroni-corrected t-tests ($p < 0.025$) for multiple comparisons.

2.5.2. Nicotine reinforcement threshold.—Due to violation of homogeneity of variance, strain differences in the nicotine infusion dose-response curve could not be analyzed by ANOVA. Therefore, the mean number of infusions at each unit nicotine dose was compared to saline via independent-samples t-tests with a Welch's correction, using a significance level of $p < 0.0083$ for six comparisons in each strain. The nicotine reinforcement threshold was defined as the lowest unit dose that maintained infusion rates significantly higher than saline.

2.5.3. Daily patterns of NSA.—Strain differences in daily patterns of NSA were examined using two methods. The first was to assess daily strain differences in active and inactive lever responding during acquisition using a separate two-way (Strain × Session) ANOVA for each FR phase at each unit dose with a Bonferroni correction applied to the main effect of strain ($p > 0.025$) at each FR. The second method was to assess the daily percentage of rats that met acquisition criteria across each session of the acquisition phase. Acquisition criteria were 1) an average ratio of 2:1 active to inactive lever responding across the most recent three consecutive sessions starting at session three and 2) the average

nicotine infusions earned over the same set of sessions had to be above the 95% confidence interval of the mean of saline controls across the same three sessions. Under this analysis, a higher percentage of rats meeting criteria over time indicates faster acquisition for rats as a group at each unit dose (see Figure 4). To analyze differences in the proportion of rats that met these acquisition criteria under FR 1 and FR 2 at each dose, the sum of the proportions across sessions within each FR were compared between strains using a Chi-square test with a Bonferroni correction for the multiple comparisons across FR value ($p > 0.025$). Because no sex differences in these measures were observed, data were pooled across sex for these analyses.

2.5.3. Exponential demand quantification.—To quantify demand elasticity across a range of nicotine unit prices at the 30 µg/kg unit dose, exponential demand curves were fit to nicotine consumption in mg/kg at each FR value for both individual subjects and group means using the Hursh and Silberberg (2008) demand equation:

$$\log Q = \log Q_0 + k(e^{-\alpha Q_0 C} - 1) \quad (1)$$

In this equation, Q is the quantity of a commodity consumed (mg/kg of Nic), C is unit-price cost of the commodity (FR/mg/kg Nic), and Q_0 and α are free parameters resulting from the best-fit function and refer to maximal consumption at zero price (i.e., demand intensity) and rate of change in consumption across price (i.e., demand elasticity), respectively. The scaling parameter, k , is a constant that is fit globally across groups to normalize consumption. Such normalization allows for comparisons of free parameter estimates (i.e., α and Q_0) of individual subjects between the different demand functions. Specifically, differences in demand elasticity were quantified using α , which is inversely related to reinforcing efficacy or essential value and characterizes how rapidly consumption decreases in response to increases in price. Commodities that have larger α values have more *elastic* demand (i.e., rapid decrease in consumption) and less reinforcing efficacy, whereas those with smaller α values have more *inelastic* demand (i.e., slower decrease in consumption) and greater reinforcing efficacy. An Excel template (Kaplan and Reed, 2014) was used to calculate P_{\max} (price at which consumption becomes relatively elastic) and O_{\max} (maximum level of responding) values for each subject using the group fit k (2.16) and the individually fit Q_0 and α values. The mean value of individually fit parameter values were employed as the primary analysis to assess strain differences using independent-samples t -tests with a Welch's correction. Log transforms were used to normalize the distribution of α and P_{\max} values prior to statistical analysis. Data were pooled across sex because no sex difference was observed in these measures. To provide a complete demand function, 0 consumption at the highest unit price was replaced with 0.01 since 0 is undefined on a log scale and the log of 0.01 (i.e., $\log 0.01 = -2$) is the next lowest log-unit value below the log of 1 infusion (i.e., $\log 0.03 = -1.52$). Additionally, to make group fits of demand functions more representative of individual subjects, 0 infusions (i.e., 0.01) were interpolated for each subject from the point where 0 infusions were earned to the highest unit price achieved by an individual rat. These interpolated data were only used to illustrate group-level demand curves and were not used to determine the individual-subject curve fits or to conduct statistical analyses.

2.5.4. Pharmacokinetic data analysis.—Steady-state clearance during continuous nicotine infusion was calculated as pump delivery rate divided by the mean of the three serum concentrations. Mean clearance values were compared between strains via independent-sample t-test with Welch's correction. Blood serum concentrations across the bolus-dose time-course were fit with a noncompartmental model using the PK Solver Excel template (Zhang et al., 2010) to derive the maximum plasma concentration (C_0), Volume of Distribution (VD), Clearance (CL) and half-life (t_{50}). Strain and sex differences in these parameters were assessed using a two-way ANOVA ($p < 0.05$). Post-hoc comparisons were conducted upon significant ($p < 0.05$) main effects using a Sidak correction for multiple comparisons. The derived pharmacokinetic parameters from the two groups sampled at different time points were combined for statistical analysis since, within each strain, there were no significant differences between the different sets of sample time points. Linear regression was used to determine whether pharmacokinetic parameters predicted self-administration measures.

3. Results

3.1. Forced swim test

Figure 1 presents the total seconds spent immobile during the 15-min FST in SD (N = 53; male = 26, female = 27) and FSL (N = 62; male = 36, female = 26) rats. There was a significant main effect of Strain ($F_{1, 111} = 8.22, p < 0.01$) and a significant Sex X Strain interaction ($F_{1, 111} = 6.73, p < 0.05$), but no main effect of sex. Post-hoc tests revealed that female FSLs spent significantly more time immobile compared to female SDs and male FSLs ($t_{111,0} = 3.74, p < 0.001$; $t_{111,0} = 2.43, p < 0.05$; respectively).

3.2. Reinforcement thresholds and patterns of acquisition

Figure 2 shows the mean number of infusions at each unit dose across the last three sessions at FR 2 in each strain. The dose response curves were similar between strains, with both showing infusion rates increasing with unit dose to maximal rates between 7 and 30 $\mu\text{g}/\text{kg}$. The 7, 15, and 30 $\mu\text{g}/\text{kg}$ doses maintained infusions rates significantly above saline in FSL rats (7 $\mu\text{g}/\text{kg}$: $t_{19,7} = 3.752, p < 0.01$; 15 $\mu\text{g}/\text{kg}$: $t_{17,0} = 2.654, p < 0.01$; 30 $\mu\text{g}/\text{kg}$: $t_{34,5} = 4.619, p < 0.01$), while the 7 and 30 $\mu\text{g}/\text{kg}$ doses maintained infusion rates significantly above saline in SD rats (7 $\mu\text{g}/\text{kg}$: $t_{16,1} = 3.038, p < 0.01$; 30 $\mu\text{g}/\text{kg}$: $t_{33,1} = 6.432, p < 0.01$; the infusion rate at 15 $\mu\text{g}/\text{kg}$ approached significance with $p = 0.017$). Therefore, the nicotine reinforcement threshold was 7 $\mu\text{g}/\text{kg}$ for both strains.

Figure 3 shows active and inactive responding during acquisition sessions in SD and FSL rats across unit nicotine doses. Active lever responding was significantly higher in FSL rats compared to SD rats during the initial FR 1 acquisition phase at the 7 $\mu\text{g}/\text{kg}$ ($F_{1, 23} = 5.792, p < 0.05$) and 30 $\mu\text{g}/\text{kg}$ ($F_{1, 48} = 5.465, p < 0.05$), but not at the 15 $\mu\text{g}/\text{kg}$ dose. At doses below 7 $\mu\text{g}/\text{kg}$, active lever responses were similar between strains. By the end of the FR 2 phase, no significant group differences were apparent.

Figure 4 shows the percentage of SD and FSL rats that met acquisition criteria at each unit dose across acquisition sessions. At the 30 $\mu\text{g}/\text{kg}$ dose, a greater proportion of FSL rats met

acquisition criteria at FR 1 compared to SD rats ($\chi^2_{1, 248} = 7.445, p < 0.01$; odds ratio is 5.802). At the 2 ug/kg dose under FR 2, a greater proportion of SDs met acquisition criteria than FSLs. However, this dose was below the reinforcement threshold for both strains and the percentage of rats meeting acquisition criteria did not show the same increasing trend that was observed with unit doses above threshold. The only unit doses that produced a positive slope in the proportion of rats meeting criteria across acquisition sessions was at 4 ug/kg and above. No sex differences were observed within each strain with regard to active responding, infusions earned or the percentage of rats meeting acquisition criteria at FR 1 or FR 2.

3.3. Demand elasticity

Figure 5 shows the mean consumption of nicotine at the 30 ug/kg dose (Left panel) and the best-fit individual demand elasticity α values in SD and FSL rats (Right panel). Table 1 shows individual and mean exponential demand parameter values. In general, FSL rats showed more inelastic demand at the 30 ug/kg dose of nicotine than SD rats, which was confirmed by significantly lower α values ($t_{30,31} = 2.15, p < 0.05$) and higher P_{\max} values ($t_{35,57} = 2.10, p < 0.05$) in FSL vs. SD rats (Table 1). There was a non-significant trend toward FSL rats showing higher O_{\max} values ($p < 0.1$), but no strain difference in the value of Q_0 (demand intensity, see Table 1). No significant sex difference was observed in these parameters in either strain.

3.4. Nicotine pharmacokinetics.

Figure 6 plots steady state clearance (upper panel) and brain concentration (lower panel) of nicotine in male and female FSL and SD rats when delivered via osmotic pump. There was a significant main effect of Strain ($F_{1,210} = 5.394, p < 0.05$) and Sex ($F_{1,210} = 4.985, p < 0.05$), indicating that FSL rats had higher rates of nicotine clearance compared to SDs. Sidak post-hoc tests revealed that in SDs males had higher clearance rates than females ($t_{210} = 2.410, p < 0.05$). In addition, post hoc tests found FSL females had significantly faster clearance than SD females ($t_{210} = 2.397, p < 0.05$). Sidak post-hoc tests revealed that there was a significant main effect of Strain ($F_{1,202} = 19.72, p < 0.001$) on brain nicotine concentrations, with FSLs having significantly lower brain nicotine levels compared to SDs in both males ($t_{200} = 3.233, p < 0.05$) and females ($t_{200} = 4.026, p < 0.05$).

Figure 7 presents parameters from the bolus nicotine PK time-course in SD and FSL rats. Area under the plasma nicotine concentration curve was lower in FSL compared to SD rats (top-left panel; $t_{50} = 5.08, p < 0.001$). FSLs exhibited significantly higher clearance (bottom-left panel; $F_{1,49} = 14.67, p < 0.001$), volume of distribution (bottom-center panel; $F_{1,49} = 59.78, p < 0.001$), and lower estimated initial plasma concentration (C_0 , top-right panel; $F_{1,49} = 29.76, p < 0.001$) compared to SDs. The resulting half-life, however, was not significantly different between strains (bottom-right panel). Sex differences in these parameters were also found. There was a main effect of sex on clearance ($F_{1,49} = 8.25, p < 0.01$) and volume of distribution ($F_{1,49} = 8.753, p < 0.01$), with males being higher compared to females for both measures (data not shown). However, among FSL rats, there was no sex-difference in clearance.

3.5. Relationship between nicotine CL and NSA measures.

Figure 8 plots individual best-fit exponential demand α values as a function of nicotine clearance in SD (top-left panel) and FSL (bottom-left panel) rats. In SDs, there was no relationship between clearance and demand elasticity. In FSLs, however, larger alpha values (more elastic demand) were positively associated with higher clearance rates ($r_{15} = 0.55$, $p < 0.05$). There was no significant relationship in either strain between CL and Q_0 (right column), or other measure of NSA.

4. Discussion

The primary findings of the present study were that the reinforcing *efficacy* of nicotine was greater in adolescent FSL rats as indicated by faster acquisition of NSA and more inelastic demand (i.e., greater reinforcing efficacy) at a typical reinforcing dose of nicotine (30 $\mu\text{g}/\text{kg}/\text{inf}$). However, the reinforcing *potency* of nicotine did not differ between strains, as indicated by similar nicotine unit dose-response curves and reinforcement thresholds between strains. The percentage of rats meeting acquisition criteria also did not differ between strains at doses below 30 $\mu\text{g}/\text{kg}/\text{inf}$. Strain differences in nicotine pharmacokinetics were observed, with FSL rats showing faster clearance, greater volume of distribution, and lower brain nicotine levels. However, these pharmacokinetic differences were not always consistent with strain differences in NSA measures, indicating that nicotine pharmacokinetics did not fully account for strain differences in nicotine's reinforcing effects. To our knowledge, the present study is the first to examine the reinforcing efficacy and pharmacokinetics of nicotine in this genetic animal model of depression. The present study advances preclinical research on tobacco addiction comorbidities and has important clinical and policy implications.

The finding of greater demand for nicotine in FSL rats is consistent with human studies showing a positive association between smoking and depression, as indicated by heavier smoking, greater nicotine dependence, greater reinforcing efficacy of smoking, and more difficulty quitting in humans with depression and other psychiatric comorbidities (Aubin et al., 2012; Audrain-McGovern et al., 2014; Fluharty et al., 2016; Mathew et al., 2016; Tidey, 2016). They are also consistent with clinical studies showing adolescent smokers with depression exhibit greater nicotine dependence and faster progression to daily smoking (Breslau & Peterson, 1996; Karp et al., 2006). These human studies have primarily used cross-sectional or longitudinal observational methods to study the relationship between depression and smoking. As such, the lack of experimental control has made it impossible to determine whether the relationship is causal (Fluharty et al., 2016). Because animal models allow a level of experimental control that can't be attained in human studies, the present study contributes to this literature by providing experimental data consistent with the notion that depression and tobacco addiction may be causally related.

The present findings have important policy implications for setting a nicotine standard for tobacco products to reduce tobacco use initiation in adolescents and continued dependence in adults. Although all animal models of tobacco addiction and depression have limitations, they are nonetheless vital to regulatory science because they allow studying issues (e.g., initiation in adolescents) that are difficult or impossible to address in humans for ethical, safety, or logistical reasons (Donney et al., 2012; LeSage, et al., 2018). As such, data from

la Garza, 2005). However, only one nicotine unit dose was studied and reinforcing efficacy per se was not measured. Although certain procedural factors and experimental design issues could account for the differences between studies (e.g. route of administration, nicotine dose, failure to demonstrate nicotine reinforcement in control strains), the unique aspects of each of the depression models may also play a role. For example, the WKY model of depression is considered distinct from the FSL model because, unlike FSL rats, WKY rats exhibit an anxiety-like phenotype and do not respond to serotonergic antidepressants (Lopez-Rubalcava & Lucki, 2000). The differences in NSA between FSL rats in the present study and WKY rats in other studies may be due to differences in the neuropharmacological mechanisms mediating nicotine's behavioral effects between these strains. Because all animal models of depression have limitations (Krishnan & Nestler, 2011), these findings highlight the need to utilize multiple depression models to gain a comprehensive understanding of the mechanisms mediating different aspects of depression and their relationship to tobacco addiction.

Despite the clear strain difference in nicotine pharmacokinetics, the lack of strain differences in some NSA measures (e.g. baseline infusion rates, nicotine dose-response curves), indicate that pharmacokinetic mechanisms alone cannot fully account for the strain differences in nicotine's reinforcing effects. The strain differences in NSA are more likely mediated by an interaction of certain pharmacodynamic and pharmacokinetic mechanisms. FSL rats differ from outbred strains in regard to several neuropharmacological variables critical to nicotine's reinforcing effects, including a higher density of $\alpha 4\beta 2$ nAChRs in reinforcement-related brain regions (e.g. midbrain, striatum), higher $\alpha 4$, $\alpha 7$, and $\beta 2$ nAChR subunit mRNA expression in striatum, greater nicotine-induced upregulation of $\alpha 4\beta 2$ nAChRs, and greater nicotine-evoked dopamine (DA) release in striatum (Auta et al., 2000; Tizabi et al., 2000, 2009). The elevated nAChR expression and greater DA signaling in FSL rats may increase the magnitude of nicotine's reinforcing effects, leading to faster acquisition and greater demand at the 0.03 mg/kg nicotine unit dose. However, the lack of strain differences in the nicotine unit dose-response curves and reinforcement threshold do not support this interpretation. If FSL rats were more sensitive to nicotine, one would expect their dose-response curve to be shifted to the left relative to SD rats. The strain differences in nicotine pharmacokinetics may have moderated any strain difference in nicotine's neuropharmacological effects. FSL rats showed a higher apparent volume of distribution, which resulted in lower serum nicotine concentrations following an acute dose of nicotine. FSL rats also showed faster nicotine clearance during both acute and continuous nicotine dosing. Thus, although lower brain concentrations may have been achieved from NSA in FSL rats, those concentrations may have been sufficient to reinforce and maintain NSA rates similar to control rats due to the greater sensitivity of FSL rats to nicotine. Although the effect of depression per se on tobacco addiction in humans may not be mediated by nicotine pharmacokinetic mechanisms, studies are needed to directly examine this issue.

Human studies suggest that the effects of nicotine metabolism and clearance on tobacco addiction in humans manifests differently during adolescence than it does in adults. Adolescent smokers with slower clearance tend to have greater nicotine dependence and difficulty quitting smoking (e.g., Karp et al., 2006; Rubinstein et al., 2013), whereas the opposite relationship is observed in adults (Pianezza et al., 1998; Schoedel et al., 2004). In

the earlier stages of smoking in adolescents, when nicotine intake is relatively low and intermittent, slower metabolism may be associated with greater tobacco addiction and difficulty quitting because it would result in prolonged presence of higher brain nicotine concentrations, which might result in a higher magnitude (or longer duration) of reinforcement. Our present and previous (Greibenstein et al., 2015) findings somewhat mirror these relationships in an animal NSA model. In the present study, inbred adolescent FSL rats with slower clearance showed more inelastic demand (i.e., greater abuse liability), whereas our previous work showed that outbred adult Holtzman rats (Greibenstein et al., 2015) with higher clearance showed more inelastic demand. However, there was no relationship between nicotine clearance and elasticity of demand in adolescent outbred SD rats. This strain difference in adolescent rats suggests that nicotine clearance may be a stronger moderator of tobacco addiction in adolescent smokers with depression, particularly in those with increased cholinergic function.

The lack of sex differences in NSA measures in the present study is consistent with our prior study showing a lack of sex differences in the nicotine reinforcement threshold and elasticity of demand for nicotine in adult rats during a unit dose reduction protocol. This suggests that nicotine standards to reduce the addictiveness of tobacco products would be equally effective in males and females regardless of age or stage of tobacco use (initiation or maintenance). However, our findings are inconsistent with some other studies showing sex differences in NSA in adolescent rats (Chen et al., 2007; Flores et al., 2017; Lynch, 2009), which may be due to different periods in adolescence when NSA began, different lever press training methods, different session durations, or different strains used between studies (Flores et al., 2017).

The sex difference observed during the initial FST suggests that the severity of depression is greater in female FSLs. This finding is consistent with other studies showing a more pronounced depressive phenotype, including greater immobility in the FST, in female FSLs than males (Dalla et al., 2010; Sanchez et al., 2018). However, there was no evidence of sex differences in the rate of acquisition, baseline NSA, reinforcement threshold, or elasticity of demand. There was also no significant relationship between FST scores and NSA measures among FSL rats. These findings indicate that individual differences in the severity of depression within the FSL strain were not associated with individual differences in the reinforcing efficacy of nicotine. However, the FST provides only one of many measures of depression-like behavior, and its validity is limited (Krishnan & Nestler, 2011). In order to obtain a more complete understanding of whether severity of depression influences nicotine's reinforcing effects among FSL rats, further research should examine whether other measures of depression-like behavior (e.g. stress-induced anhedonia, reduced locomotor activity, increased REM sleep, etc.) are related to individual differences in NSA.

The present and prior findings raise some concern about the validity of NSA in FSL rats as a model of comorbid smoking and depression in humans. The similarity in baseline NSA between strains in the present study is not consistent with the higher CPD often observed in adolescent and adult smokers with depression (Fluharty et al., 2016; Kendler et al., 1993; Patton et al., 1998). Moreover, prior studies show that FSL and control rats exhibit comparable basal DA and DA metabolite levels in NAC (Matthews et al., 1996) and levels of

anhedonia, as indicated by similar baseline intracranial self-stimulation (ICSS) thresholds and sucrose preference (Pucilowski et al., 1993). These findings are inconsistent with the greater anhedonia and decreased motivation in most individuals with depression (Berton & Nestler, 2006). However, FSL rats show greater anhedonia and other depression-like behaviors when under stress (Overstreet and Wegener, 2012). The requirement of stress for the anhedonia phenotype to manifest in FSL rats suggests that this strain is better viewed as a model of the genetic predisposition to depression rather than of depression per se (see a review by Overstreet 2012; Willner & Mitchell, 2002). As such, use of the FSL model alone is a limitation of the present study because it fails to address the complex etiology of depression, which involves both genetic and environmental factors. Future studies should examine the effects of stress on nicotine reinforcement in FSL rats to better model the gene-environment interactions in the comorbidity of depression and smoking. For example, environmental stressors that are known to magnify nicotine's reinforcing effects in outbred strains (Buczek et al., 1999; Yu et al., 2014; Yu & Sharp, 2015; Zislis et al., 2007; Zou et al., 2014) may do so to a greater degree in the FSL strain.

The present study is an important initial step in preclinical research on the comorbidity of tobacco addiction and depression, and the findings are consistent with human studies suggesting that the relationship between cigarette smoking and depression may be causal (Munafò and Araya, 2010; Fluharty et al., 2016). Our findings that FSL and control rats had similar thresholds for nicotine reinforcement suggests that setting a nicotine standard for combustible tobacco products based on the general population should be sufficient to limit development of tobacco dependence in adolescents with depression. However, to the extent that the present results can be generalized to humans, our finding of less elastic demand in FSL rats at the highest nicotine unit dose suggests that reducing maintenance of tobacco use and addiction in adolescent smokers with depression may require a lower nicotine standard for tobacco products compared to the general adolescent population. However, it will be important to examine this issue more directly by assessing demand in FSL rats during nicotine dose reduction, rather than during response cost escalation as in the present study. Moreover, assessing demand at other unit nicotine doses will be important to determine the generality of the strain difference in the present study, as elasticity of demand may depend on the maintenance dose of nicotine (Kohut and Bergman, 2016).

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Highlights

The reinforcement threshold and elasticity of demand (i.e., reinforcing efficacy) for nicotine was assessed in a genetic inbred rat model of depression (Flinders Sensitive Line [FSL])

FSL rats acquired self-administration quicker and showed more inelastic demand (greater reinforcing efficacy) than controls at the highest unit dose, but no strain differences in acquisition were observed at lower doses.

FSL rats exhibited faster nicotine clearance, larger volume of distribution, and lower plasma and brain nicotine concentrations, but were not consistently related to strain differences in NSA measures.

Results are consistent with the literature showing greater dependence and reinforcing efficacy of cigarettes in smokers with depression, but the lack of strain difference at lower doses suggests that a nicotine dose reduction will be effective in both the general adolescent population and those with depression.

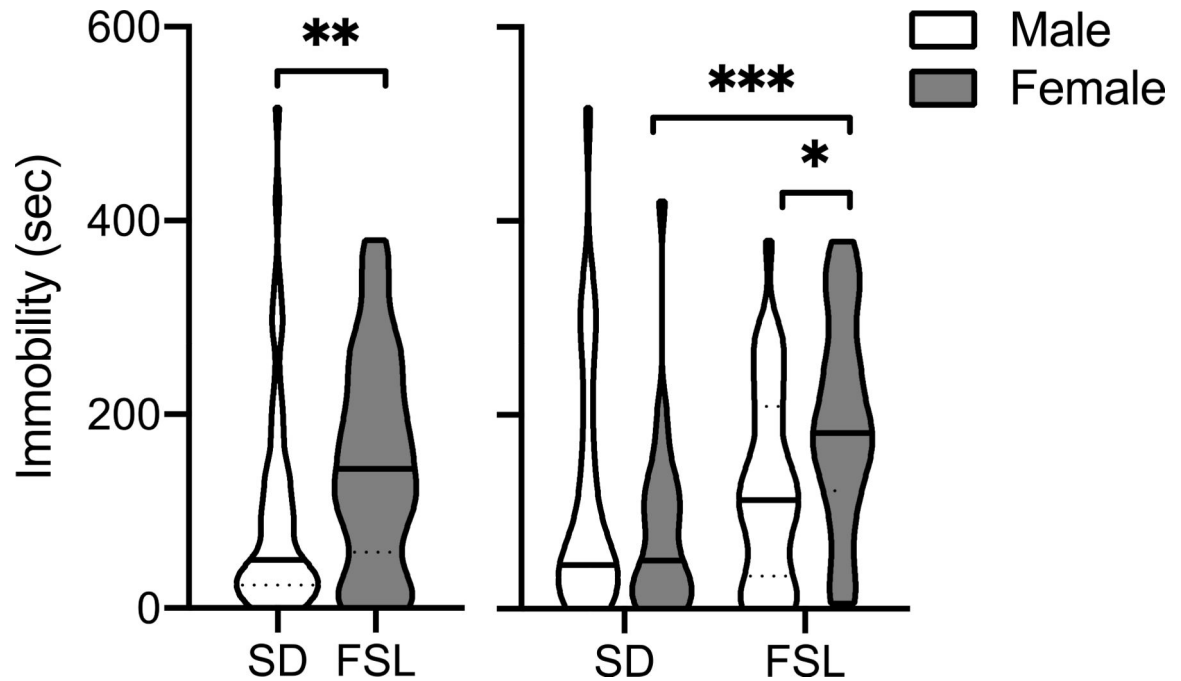


Figure 1.

Violin plots showing the group median (solid line) and interquartile range (dashed lines) of time spent immobile during the 15 min forced swim test in male and female SD and FSL rats (N=52 and 59 for each strain, respectively). The shape of the plot represents the frequency distribution, with the width indicating the frequency of observations at the respective y value. The left panel shows all of the data for each strain. The right panel shows data for each sex in each strain. Significantly different, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

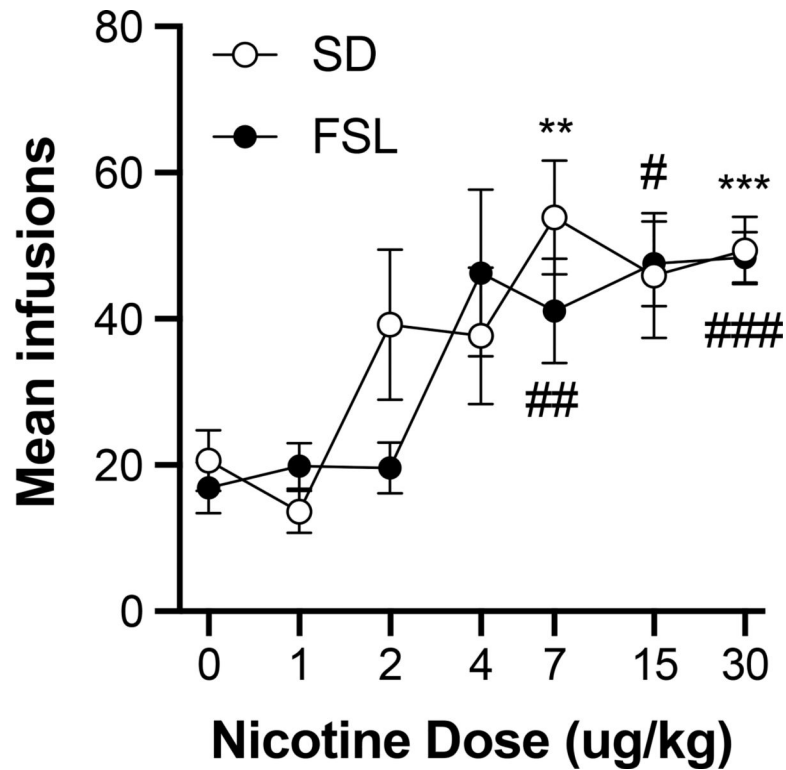


Figure 2.

Group mean (\pm S.E.M.) infusions earned for SD and FSL rats across the final three sessions of FR 2 plotted as a function of dose; see Methods section for sample sizes per strain at each dose. Significantly different from saline in SD rats, $**p < 0.01$, $***p < 0.001$, respectively. Significantly different from saline in FSL rats, $##p < 0.01$ $###p < 0.001$, respectively.

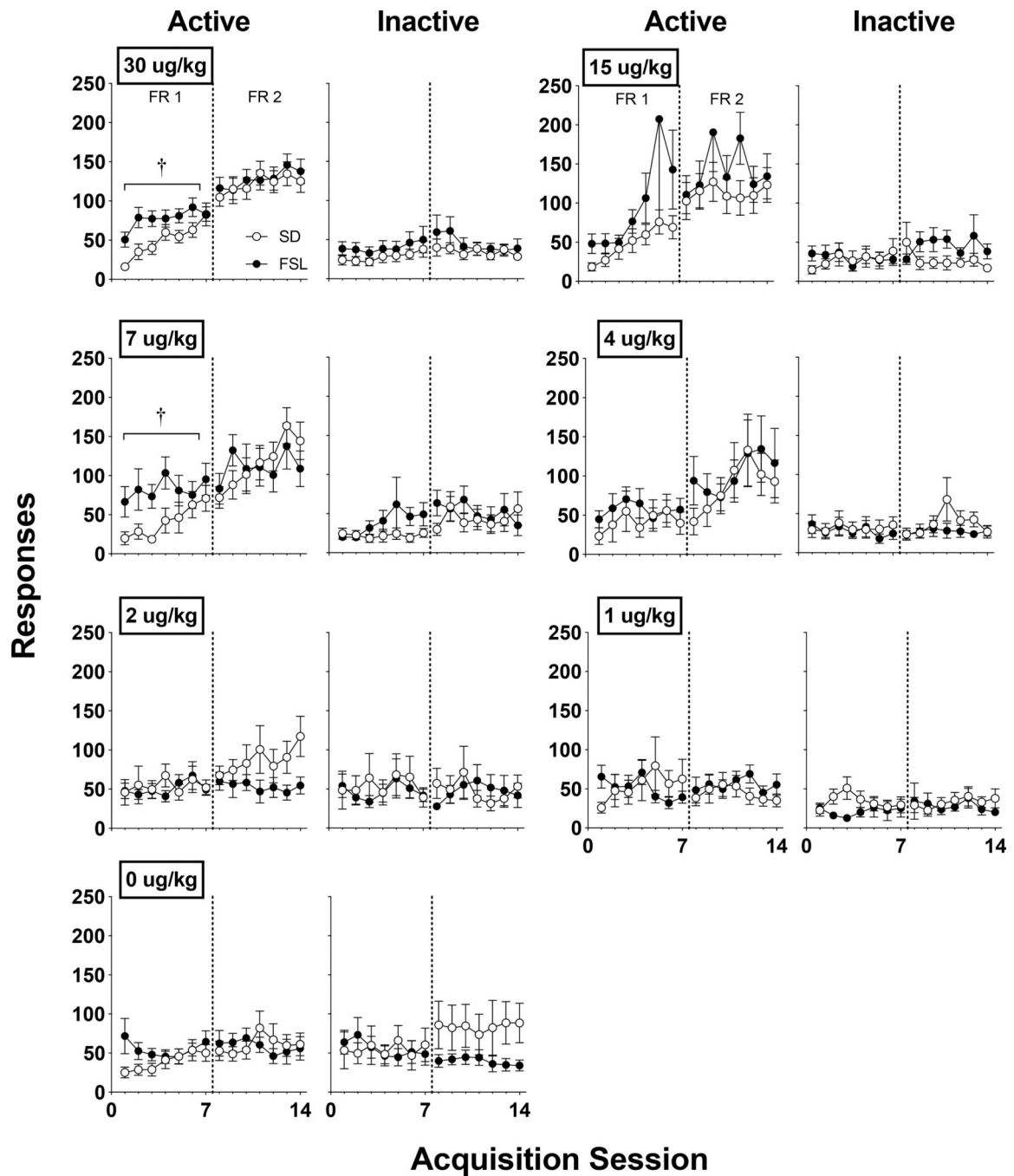


Figure 3. Mean (\pm S.E.M.) group active and inactive responses for unit doses of nicotine in SD and FSL rats across acquisition sessions with active lever response requirements of FR 1 and FR 2 (left and right of the dotted line, respective); see the Methods section for sample sizes per strain at each dose. †Significant ($p < 0.025$) strain difference.

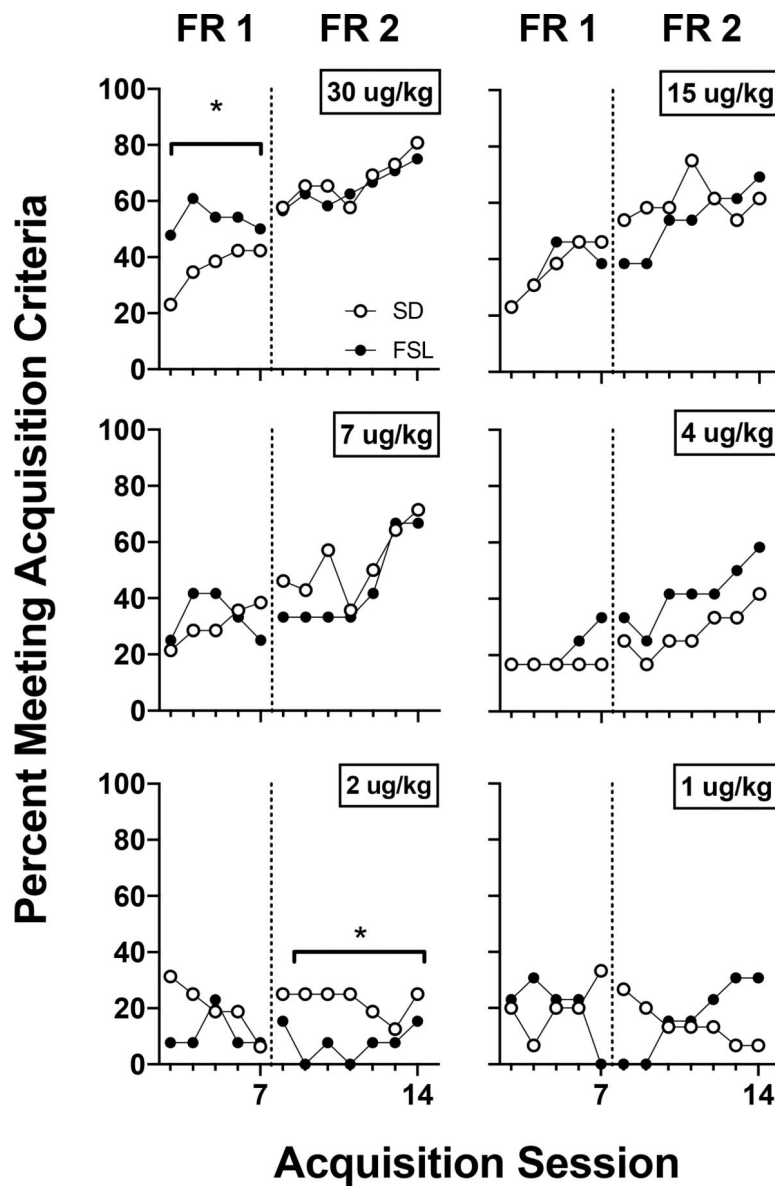


Figure 4. The percentage of SD and FSL rats meeting acquisition criteria (see details in the Methods) during acquisition sessions across unit doses of nicotine; see the Methods section for sample sizes per strain at each dose. *Significant chi-square difference in the odds-ratio of acquiring nicotine self-administration.

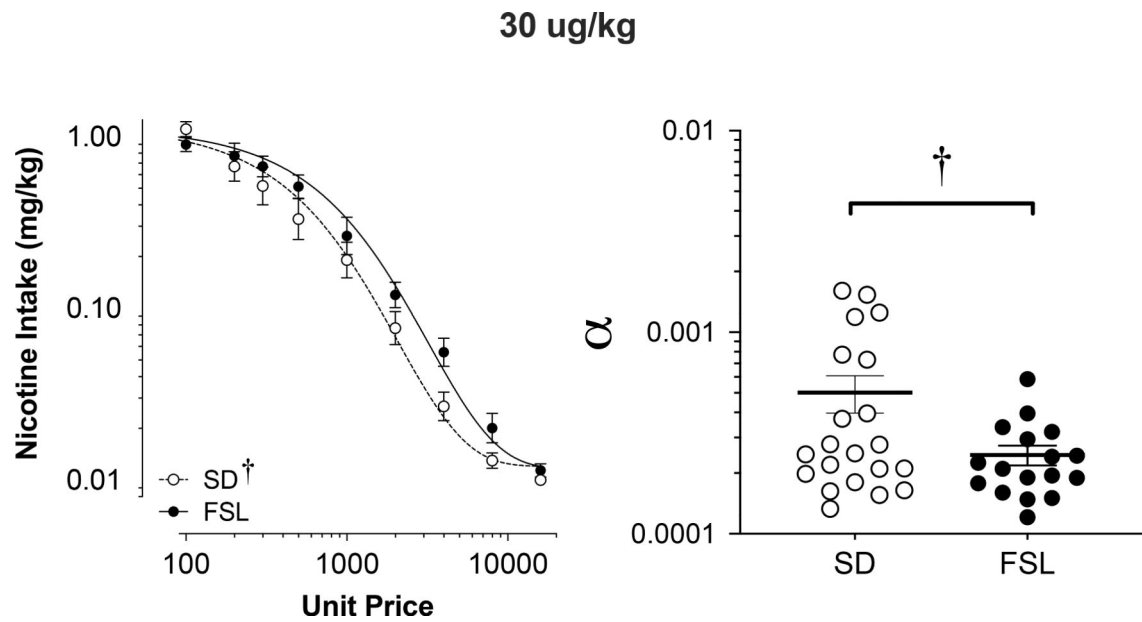


Figure 5. Group mean (\pm S.E.M.) nicotine intake across unit price in SD and FSL rats during the demand assessment (left panel) at the 30 ug/kg unit nicotine dose and the resulting α values from the individually fit demand functions (right). †Significant ($p < 0.05$) difference in log alpha values (i.e. demand elasticity) between strains.

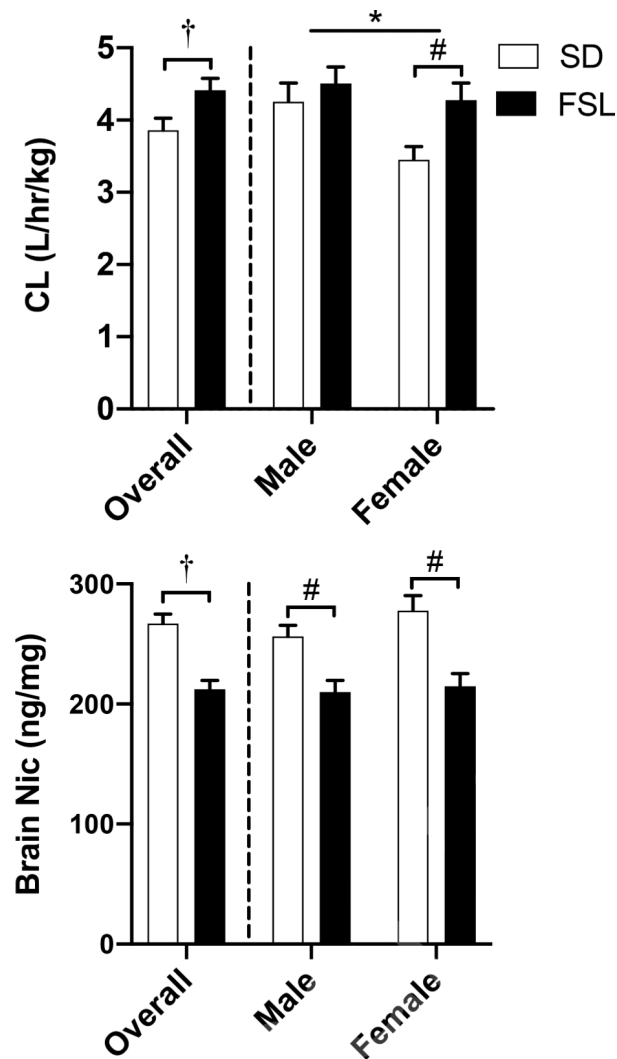


Figure 6. Mean (\pm SEM) nicotine clearance (upper panel) and brain nicotine concentrations (lower panel) in male and female SD and FSL rats (N=95 and 112 for each strain, respectively) implanted with osmotic pumps (3 mg/kg/day nicotine; *sc*) following the NSA phase of the study. †Significant ($p < 0.05$) strain difference. *Significant sex difference ($p < 0.05$). #Significant difference between sexes.

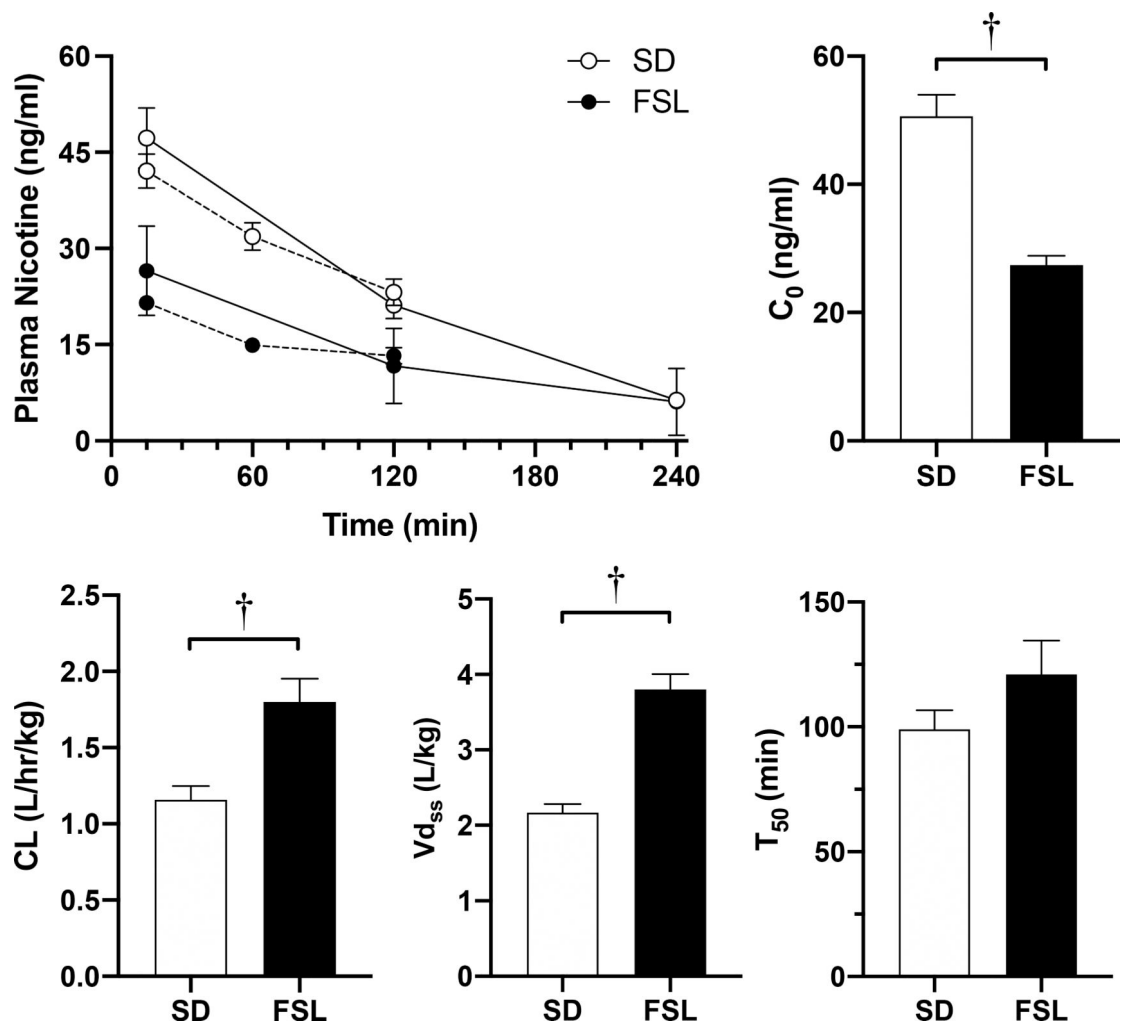


Figure 7. Mean plasma nicotine concentrations in SD and FSL rats (N=30 and 25, respectively) across minutes post-iv injection of 0.1 gm/kg nicotine (top-left) during the 2- and 4-hr pharmacokinetic assessments (dashed and solid lines, respectively), and the resulting initial plasma nicotine concentration (C_0 ; top-right), clearance rate (CL; bottom-left), Volume of Distribution (V_d ; bottom-center) and half-life (t_{50} ; bottom-right). \dagger Significant ($p < 0.05$) difference in log alpha values (i.e. demand elasticity) between strains. (Note: Males showed significantly higher rates of CL and V_d compared to females, see results for details).

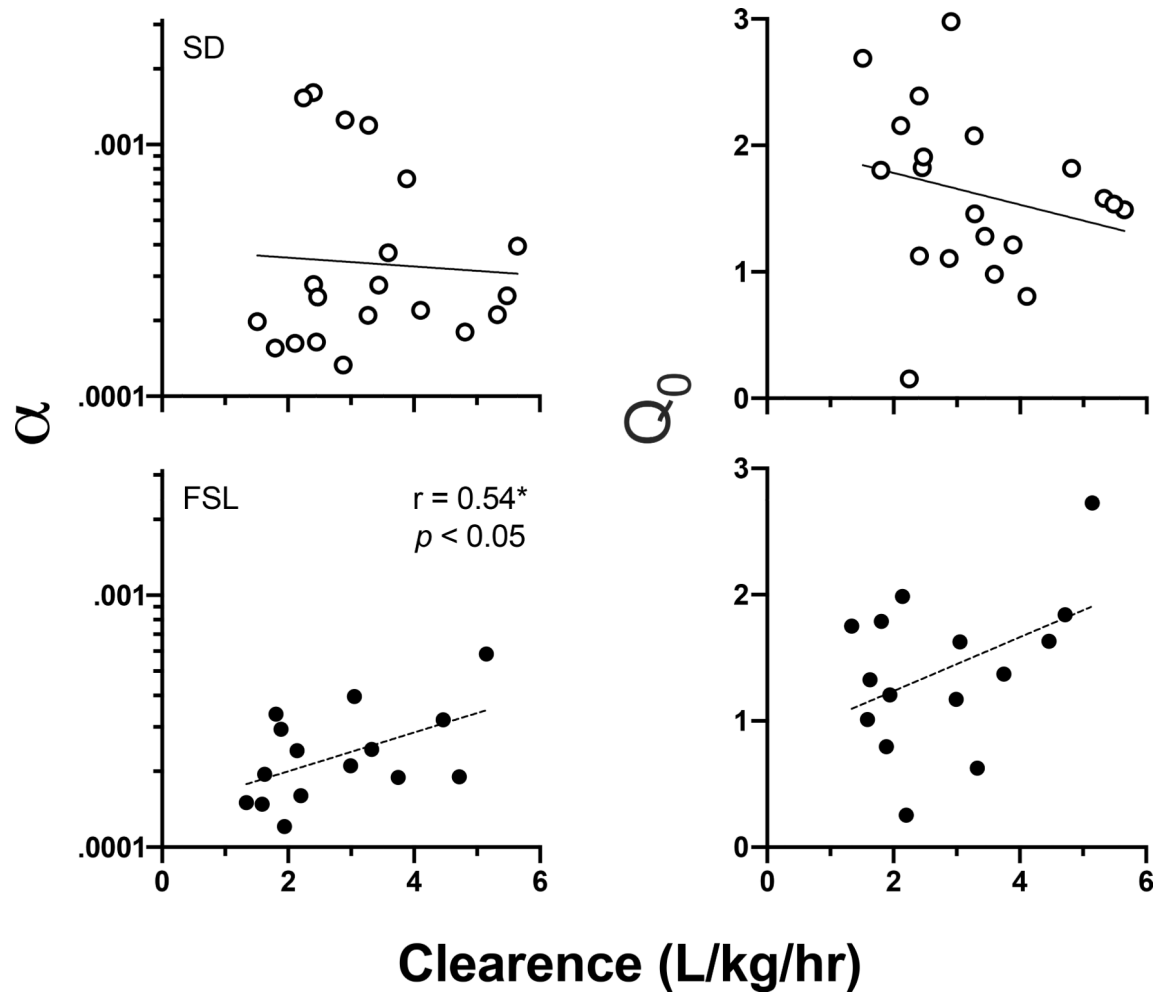


Figure 8. Individual α (demand elasticity; left column) and Q_0 (demand intensity; right column) values at the 30 ug/kg unit nicotine dose as a function of nicotine clearance rates in SD (top panels) and FSL (bottom panels) rats. Note that smaller α values indicate more inelastic demand and greater reinforcing efficacy. *Significant positive correlation between greater clearance and more elastic demand in FSL rats.

Table 1.Exponential Demand Curve Parameters at the 30ug/kg dose of nicotine ($k = 2.160$).

SD						FSL					
Male											
ID #	α	Q_0	P_{max}	O_{max}	r^2	ID #	α	Q_0	P_{max}	O_{max}	r^2
1	0.000396	1.49	442.7	210.5	0.97	22	0.000189	1.37	1007.8	440.1	0.98
2	0.000211	1.58	782.3	394.2	0.96	23	0.000294	0.80	1115.9	283.1	0.95
3	0.000773	1.47	230.2	107.7	0.97	24	0.000195	1.33	1013.6	428.1	0.98
4	0.000373	0.98	714.1	223.5	0.92	25	0.000338	1.79	432.3	246.5	0.94
5	0.000180	1.82	796.9	461.8	0.98	26	0.000321	1.63	499.3	259.7	0.99
6	0.000163	2.16	745.3	512.4	0.97	27	0.000160	0.26	6406.2	520.1	0.37
7	0.001608	2.39	67.9	51.8	0.96	28	0.000210	1.17	1060.3	395.7	0.95
8	0.000278	1.13	833.3	299.1	0.99	29	0.000585	2.73	163.9	142.4	0.86
9	0.001535	0.15	1107.9	54.2	0.49						
10	0.000133	1.11	1773.5	624.6	0.98						
Mean	0.000565	1.43	749.4	294.0	0.92	Mean	0.000287	1.39	1462.4	339.5	0.88
SEM	0.000178	0.20	149.7	63.1	0.05	SEM	0.000049	0.26	717.2	44.48	0.07
Female											
11	0.001193	1.46	150.2	69.79	0.98	30	0.000190	1.841	745.6	437.5	0.91
12	0.001254	2.98	69.9	66.40	0.84	31	0.000244	0.626	1707.1	340.8	0.72
13	0.000251	1.54	678.6	331.99	0.95	32	0.000148	1.010	1746.4	562.2	0.87
14	0.000198	2.69	489.9	419.88	0.91	33	0.000396	1.625	406.1	210.3	0.89
15	0.000277	1.28	736.2	300.59	1.00	34	0.000150	1.750	993.2	553.9	0.96
16	0.000731	1.21	294.4	113.93	0.94	35	0.000121	1.207	1791.6	689.3	0.98
17	0.000220	0.81	1474.9	378.46	0.95	36	0.000179	1.472	994.2	466.5	0.89
18	0.000210	2.08	599.5	396.67	0.98	37	0.000242	1.985	544.9	344.8	0.96
19	0.000156	1.80	930.5	534.76	0.98	38	0.000225	1.129	1028.8	370.2	0.84
20	0.000164	1.82	872.7	507.08	0.98						
21	0.000248	1.91	551.6	335.60	0.99						
Mean	0.000446	1.78	622.6	314.11	0.95	Mean	0.000211	1.41	1106.4	441.7	0.89
SEM	0.000125	0.19	119.1	49.56	0.02	SEM	0.000027	0.15	175.1	48.2	0.03
Overall											
Mean	0.000502*	1.61	683.0*	304.5	0.94	Mean	0.000246*	1.40	1274.0*	393.6	0.88
SEM	0.000105	0.14	96.5	40.4	0.02	SEM	0.000025	0.14	304.4	36.2	0.05

* - Significant difference ($p < 0.05$) between parameters, after log transformation.