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## Integrin $\alpha v \beta 6$ – TGF $\beta$ – SOX4 Pathway Drives Immune Evasion in Triple-negative Breast Cancer

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#### Author contributions

K.W.W. and A.B. conceived the study; A.B., J.P., A.Z., and A.G. performed *in vitro* studies; A.B., S.K., and A.N.R.C. performed *in vivo* efficacy studies; A.B., P.C., and M.B. performed ChIP-seq studies; P.J. and X.S.L. performed computational analyses of RNA-seq and ChIP-seq data; A.B. and N.D.M. generated human T cells that expressed the NY-ESO-1 TCR; J.A. provided murine JEDI T cells and input into experimental design; A.G.H reviewed statistical analyses; D.D. reviewed and selected appropriate human TNBC samples; E.A.M. provided clinical guidance on TNBC and access to human TNBC specimens; A.B. and K.W.W. wrote the paper with input from all authors.

#### Declaration of interests

K.W.W. serves on the scientific advisory board of TCR2 Therapeutics, T-Scan Therapeutics, SQZ Biotech and Nextechinvest, and he receives sponsored research funding from Novartis. He is a co-founder of Immunitas Therapeutics, a biotech company. D.D. consults for Novartis and is on the advisory board for Oncology Analytics, Inc.

**Data and materials availability:** The accession number for the raw RNA-seq and ChIP-seq data reported in this paper is GSE144014.

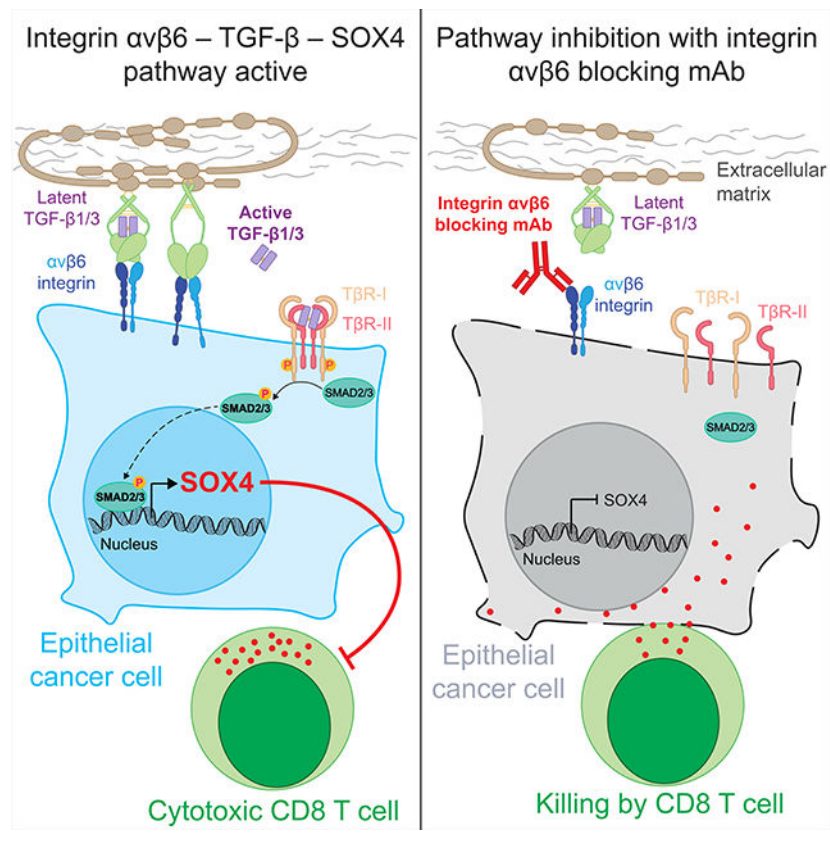
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Cancer immunotherapy shows limited efficacy against many solid tumors that originate from epithelial tissues, including triple-negative breast cancer (TNBC). We identify the SOX4 transcription factor as an important resistance mechanism to T cell-mediated cytotoxicity for TNBC cells. Mechanistic studies demonstrate that inactivation of SOX4 in tumor cells increases the expression of genes in a number of innate and adaptive immune pathways important for protective tumor immunity. Expression of SOX4 is regulated by the integrin  $\alpha\text{v}\beta\text{6}$  receptor on the surface of tumor cells which activates TGF $\beta$  from a latent precursor. An integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  blocking monoclonal antibody (mAb) inhibits SOX4 expression and sensitizes TNBC cells to cytotoxic T cells. This integrin mAb induces a substantial survival benefit in highly metastatic murine TNBC models poorly responsive to PD-1 blockade. Targeting of the integrin  $\alpha\text{v}\beta\text{6}$  – TGF $\beta$  – SOX4 pathway therefore provides therapeutic opportunities for TNBC and other highly aggressive human cancers of epithelial origin.

**eTOC: Context and Significance**

Bagati et al. show that the SOX4 transcription factor induces tumor cell resistance to cytotoxic T cells. Integrin  $\alpha\text{v}\beta\text{6}$  on the surface of epithelial cancer cells activates TGF $\beta$  from a latent precursor to induce SOX4 expression, and antibody-mediated inhibition of integrin  $\alpha\text{v}\beta\text{6}$  induces T cell-mediated immunity in immunotherapy-resistant tumor models.

**Graphical Abstract**



## Introduction

Triple-negative breast cancer (TNBC) has a high propensity for metastatic dissemination, and the prognosis is poor for patients who fail to respond to chemotherapy (Denkert et al., 2017). Recent evidence suggests a role for T cell-mediated immunity in TNBC. The presence of tumor infiltrating lymphocytes (TIL) is both predictive of response to neoadjuvant chemotherapy and is associated with improved survival in TNBC (Adams et al., 2014; Denkert et al., 2018). Survival benefit is associated with a higher density of infiltrating CD8<sup>+</sup> T cells and a higher CD8/FoxP3 ratio in pre-treatment biopsies (Adams et al., 2014; Miyashita et al., 2015). In addition, the Impassion130 phase 3 clinical trial demonstrated that the combination of a PD-L1 antibody (atezolizumab) with nab-paclitaxel increased progression-free survival in patients with previously untreated metastatic TNBC compared to nab-paclitaxel (Schmid et al., 2018). This drug combination represents a significant advance for the treatment of TNBC, but the majority of patients still fail to benefit from immunotherapy.

Published studies in melanoma demonstrated that a lack of CD8<sup>+</sup> T cell infiltration can be caused by an overactive  $\beta$ -catenin pathway or inactivation of the *PTEN* tumor suppressor gene (Peng et al., 2016; Spranger et al., 2015). Recent genetic screens performed in human and murine melanoma cell lines have highlighted the importance of genes related to the MHC class I and IFN $\gamma$  signaling pathways in T cell-mediated immunity (Manguso et al., 2017; Pan et al., 2018; Patel et al., 2017). Loss of function mutations in MHC class I and IFN $\gamma$  signaling pathways have also been identified in melanoma patients as mechanisms of secondary resistance to checkpoint blockade (Gide et al., 2018; Zaretsky et al., 2016). However, the tumor-intrinsic mechanisms of resistance to immunotherapy remain poorly understood in TNBC and many other human cancers of epithelial origin.

We recently performed a genome-scale CRISPR knockout screen to identify genes that render tumor cells resistant to cytotoxic T cells (Pan et al., 2018). A total of 313 genes were identified as hits in the primary screen, and our prior study focused on three genes that encoded subunits of the SWI/SNF complex. In the present study, we focused on the transcription factor SOX4 because it is associated with cancer cell invasion (Tiwari et al., 2013; Zhang et al., 2012). High expression of *SOX4* is associated with a poor prognosis in many human cancers, in particular TNBC (Chen et al., 2016; Hazelbag et al., 2007; Song et al., 2015; Tavazoie et al., 2008; Vervoort et al., 2013b; Zhang et al., 2012). We hypothesized that *Itgav* (encoding the integrin  $\alpha$ v protein) also identified in the screen could be connected to the SOX4 pathway because integrin  $\alpha$ v proteins activate TGF $\beta$  (Aluwihare et al., 2009; Henderson and Sheppard, 2013; Munger et al., 1999), and SOX4 is a TGF $\beta$  target gene (Peng et al., 2017; Vervoort et al., 2013a; Zhang et al., 2012). The integrin  $\alpha$ v $\beta$ 6 heterodimer (encoded by *ITGAV* and *ITGB6*) is overexpressed by many human epithelial cancers, and this integrin activates TGF $\beta$  from a latent form deposited on the extracellular matrix (Dong et al., 2017; Munger et al., 1999). We therefore tested the hypothesis that the integrin  $\alpha$ v $\beta$ 6 – TGF $\beta$  – SOX4 pathway represents a major mechanism for resistance of TNBC to T cell-mediated immunity.

## Results

### **SOX4 and ITGAV genes confer tumor cell resistance to T cell-mediated cytotoxicity**

We initially evaluated the functional significance of the integrin  $\alpha\text{v}\beta\text{6}$  – TGF $\beta$  – SOX4 pathway in a co-culture assay of human BT549 TNBC cells and CD8<sup>+</sup> T cells. These TNBC cells express HLA-A2 and the NY-ESO-1 antigen (Fig. S1A, B) which enabled T cell cytotoxicity assays with human CD8<sup>+</sup> T cells transduced with a T cell receptor (TCR) specific for a HLA-A2 bound NY-ESO-1 peptide. Tumor cells were edited by electroporation with ribonucleoprotein complexes (RNPs) composed of Cas9 protein and bound gRNAs. Indeed, editing of BT549 cells with *SOX4*, *ITGAV* or *ITGB6* gRNAs significantly increased T cell-mediated cytotoxicity compared to editing with a control gRNA (Fig. 1A–C, S1C). Re-expression of SOX4 in *SOX4*<sup>-/-</sup> cells restored resistance to T cell-mediated killing (Fig. S1D–G).

TGF $\beta$  has been reported to induce SOX4 expression (Peng et al., 2017; Vervoort et al., 2013a; Zhang et al., 2012), and we therefore investigated whether inactivation of the *ITGAV* gene would impact SOX4 expression in TNBC cells. We found that inactivation of the *ITGAV* gene significantly reduced SOX4 mRNA and protein levels in human BT549 and Hs578T TNBC cells (Fig. 1D, E, S1H, I) as well as murine 4T1 and Py8119 TNBC cells (Fig. S1J–M). We further examined this pathway by restoring SOX4 expression in *ITGAV*<sup>-/-</sup> BT549 TNBC cells to levels observed in *ITGAV*<sup>+/+</sup> cells using a doxycycline-inducible promoter. Doxycycline-induced re-expression of SOX4 indeed restored resistance of *ITGAV*<sup>-/-</sup> cells to T cell-mediated killing (Fig. 1F, G). However, inactivation of *SOX4* did not affect *in vitro* proliferation of human or murine TNBC cell lines (Fig. S1N, O).

We also performed T cell cytotoxicity assays with murine 4T1 TNBC cells in which *Itgav*, *Sox4* or *Itgav* plus *Sox4* were inactivated. We found that addition of active TGF $\beta$ 1 induced significant resistance to CD8<sup>+</sup> T cell-mediated cytotoxicity in control and *Itgav* deficient tumor cells; addition of active TGF $\beta$  to *Itgav* deficient tumor cells bypassed the requirement for integrin  $\alpha\text{v}\beta\text{6}$ -mediated activation of latent TGF $\beta$  (Fig. S1P, Q). Importantly, *Sox4* or *Sox4/Itgav* deficient 4T1 tumor cells remained sensitive to T cell-mediated cytotoxicity even when treated with active TGF $\beta$ , indicating that SOX4 was the major TGF $\beta$  target gene responsible for this phenotype. These data indicated that SOX4 was a major TGF $\beta$  effector gene in conferring resistance to killing by CTLs. Also, integrin  $\alpha\text{v}$  and SOX4 were part of the same resistance pathway to T cell-mediated cytotoxicity in TNBC cells.

### **Targeting of SOX4 with an integrin $\alpha\text{v}\beta\text{6}/\text{8}$ specific mAb**

We therefore investigated whether an integrin  $\alpha\text{v}\beta\text{6}$  blocking antibody could sensitize TNBC cells to cytotoxic T cells. Expression of the integrin  $\beta\text{6}$  subunit (*ITGB6* gene) is restricted to epithelial cells. Importantly, integrin  $\alpha\text{v}\beta\text{6}$  expression is low on healthy epithelial cells but upregulated in many cancers of epithelial origin, including breast, gastric, pancreatic, colorectal, lung and ovarian cancers (Bandyopadhyay and Raghavan, 2009; Niu and Li, 2017).

The previously reported 264RAD mAb binds with high affinity to human and murine integrin  $\alpha\text{v}\beta\text{6}$  heterodimers and blocks integrin-mediated activation of TGF $\beta$  (Eberlein et

al., 2013). This mAb also binds with lower affinity to the integrin  $\alpha\beta8$  heterodimer expressed by regulatory T cells (Tregs) and dendritic cells (Paidassi et al., 2011; Worthington et al., 2015). The 264RAD mAb has a human IgG1 Fc region which binds to activating Fc receptors; antibody-dependent cellular cytotoxicity (ADCC) mediated by NK cells and macrophages may therefore have contributed to its anti-tumor activity. We introduced two mutations into the Fc region to prevent binding to activating Fc receptors, thus limiting the activity of this mAb to its blocking function (designated here as integrin  $\alpha\beta6/8$  mAb). Treatment of human and murine TNBC cells with this mAb reduced SOX4 protein levels and TGF $\beta$  signaling (phospho-SMAD2) (Fig. 2A, Fig. S2A). It also reduced levels of active TGF $\beta$  in co-cultures of human TNBC cell lines with a TGF $\beta$  reporter cell line (Fig. 2B). Importantly, pre-treatment of human and murine TNBC cell lines with this integrin  $\alpha\beta6/8$  mAb sensitized them to killing by CD8<sup>+</sup> T cells (Fig. 2C–D); treatment with both integrin  $\alpha\beta6/8$  and PD-1 mAbs further enhanced killing of human TNBC cells by cytotoxic T cells (Fig. 2D).

Addition of active TGF $\beta$ 1 reversed the effect of the integrin  $\alpha\beta6/8$  mAb and rendered tumor cells resistant to CD8<sup>+</sup> T cells (Fig. S2B, C), consistent with the role of this integrin in activating TGF $\beta$  from a latent form. We also used a small molecule inhibitor of TGF $\beta$  receptor signaling (Galunisertib, LY2157299) to examine whether it recapitulated the effects of the integrin  $\alpha\beta6/8$  mAb. We found that both approaches to inhibiting the TGF $\beta$  pathway significantly decreased SOX4 protein levels and increased tumor cell sensitivity to killing by CD8<sup>+</sup> T cells (Fig. S2D, E). While the integrin  $\alpha\beta6/8$  mAb only modestly decreased PD-L1 levels on the surface of human and murine TNBC cells (Fig. S2F), inactivation of *Itgav* and *Sox4* genes significantly reduced levels of PD-L1 (Fig. S2G, H). Conversely, ectopic expression of *Sox4* or addition of active TGF $\beta$ 1 significantly increased PD-L1 while reducing MHC-I protein levels (Fig. S2F, I, J).

### ***In vivo* efficacy of targeting the integrin $\alpha\beta$ – SOX4 pathway**

High expression of integrin  $\alpha\beta$  and SOX4 is associated with reduced patient survival and progression of several aggressive human cancers, particularly breast cancer (Fig. S3A) (Desai et al., 2016; Song et al., 2015; Tavazoie et al., 2008; Zhang et al., 2012). We hypothesized that targeting of SOX4 with this integrin  $\alpha\beta6/8$  mAb could simultaneously reduce tumor cell invasiveness and sensitize tumor cells to T cell-mediated immunity. We therefore investigated the efficacy of the integrin  $\alpha\beta6/8$  mAb in two highly metastatic murine models of TNBC (4T1 and Py8119) that are poorly responsive to PD-1 blockade. We observed that monotherapy with the integrin  $\alpha\beta6/8$  mAb substantially reduced primary tumor burden and resulted in a substantial survival benefit in both TNBC models compared to control IgG treated mice (Fig. 3A–D, Fig. S3B–D). Combination therapy with integrin  $\alpha\beta6/8$  and PD-1 mAbs further enhanced therapeutic benefit and significantly enhanced survival compared to monotherapy with the integrin  $\alpha\beta6/8$  mAb (Fig. 3A–D, Fig. S3B–D).

Next, we addressed whether CD8<sup>+</sup> T cells and/or NK cells contributed to the efficacy of integrin  $\alpha\beta6/8$  Ab treatment (Fig. S3E). Depletion of CD8<sup>+</sup> T cells resulted in loss of anti-tumor efficacy by monotherapy with the integrin  $\alpha\beta6/8$  mAb in the Py8119 model (Fig. 3E) while the effect of NK cell depletion (NK1.1 mAb) on primary tumor growth was

modest (Fig. 3E, S3E, F). In the 4T1 tumor model, we found that CD8<sup>+</sup> T cell depletion abrogated the protective effect of integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  plus PD-1 mAb combination therapy on lung metastases and reduced the therapeutic effect of this combination on primary tumor growth (Fig. 3B, 3F, S3B). *In vitro* studies demonstrated that inactivation of the *Sox4* gene also increased the invasiveness of 4T1 TNBC cells (Fig. S3G) which may also have contributed to the efficacy of the integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  mAb. Collectively, these data indicated that CD8<sup>+</sup> T cells play an important role in the efficacy of integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  mAb therapy. Other cell populations such as NK cells could have more modest contributions.

Integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  mAb monotherapy also greatly reduced lung metastatic burden (lung surface metastases, 4T1 model) (Fig. 3F, G, Fig. S3C). PD-1 blockade did not reduce the number of lung metastases, but enhanced the effect of the integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  mAb on lung metastases (Fig. 3F, S3C). Given that we did not surgically remove primary tumors prior to initiation of therapy, the reduced number of metastases may be explained by treatment effects on primary tumors and metastatic lesions. These data demonstrated that an integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  mAb resulted in a substantial therapeutic benefit in aggressive models of TNBC.

### Remodeling of the tumor microenvironment by integrin $\alpha\text{v}\beta\text{6}/\text{8}$ mAb treatment

In human cancers, resistance to checkpoint blockade is frequently associated with poor CD8<sup>+</sup> T cell infiltration (also referred to as ‘cold’ tumors) (Denkert et al., 2017), and both TNBC models were poorly infiltrated by CD8<sup>+</sup> T cells in the absence of treatment. Flow cytometric analysis demonstrated that integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  mAb monotherapy significantly enhanced the number of infiltrating CD8<sup>+</sup> T cells in 4T1 and Py8119 TNBC tumors, a finding that was confirmed by immunofluorescence analysis of tissue sections (Fig. 4A–C, Fig. S4A). Also, a smaller percentage of tumor-infiltrating CD8<sup>+</sup> T cells from integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  compared to control mAb treated mice were positive for the PD-1 inhibitory receptor (Fig. S4B–C).

Tumors from integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  compared to control mAb treated mice also contained significantly larger numbers of CD4<sup>+</sup> T cells but a smaller percentage of CD4<sup>+</sup> T cells were Foxp3<sup>+</sup> regulatory T cells (Fig. S4D, E). Cross-presenting DCs are critical for induction of tumor immunity mediated by CD8<sup>+</sup> T cells, and the percentage of DCs with this phenotype (CD11c<sup>+</sup>/MHC-II<sup>hi</sup>/CD103<sup>+</sup>/CD11b<sup>-</sup>) was higher in 4T1 and Py8119 tumors following treatment with integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  compared to control mAb (Fig. 4D, E, S4F, G), while the percentage of F4/80<sup>+</sup> macrophages was reduced in tumors from integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  compared to control mAb treated mice (Fig. 4F, G, S4H, I). This result is relevant because macrophages have been shown to promote tumor growth and suppress T cell-mediated tumor immunity (Goplen et al., 2019; Peranzoni et al., 2018).

Multi-color immunofluorescence analysis of serial sections from five human TNBC archival specimens showed either regional intra-tumoral heterogeneity in the degree of CD8<sup>+</sup> T cell infiltration or poor T cell infiltration (Fig. 4H, S5A, S5B). Tumor cell nests and stromal regions were identified by labeling with antibodies specific for E-cadherin and  $\alpha$ -smooth muscle actin ( $\alpha\text{SMA}$ ), respectively. Tumor nests from areas with poor infiltration by CD8<sup>+</sup> cells tended to show higher SOX4 labeling whereas tumor nests with higher infiltration by CD8<sup>+</sup> cells showed limited labeling with a SOX4 antibody (Fig. 4H, S5A and S5B). Tumor



expression of a number of genes in the MHC class I pathway (including *HLA-A*, *HLA-B* and *TAP1*) in *SOX4* knockout compared to control BT549 cells (Fig. S6B, C).

Chromatin immunoprecipitation (ChIP) experiments in human BT549 TNBC cells with a *SOX4* versus control IgG antibody identified *SOX4* specific peaks in the regulatory regions of interferon pathway genes (such as *IRF7* and *ISG15*) and MHC-I pathway genes (including *TAP1*, *TAP2*, *PSMB9*, *HLA-B* and *HLA-C*) (Fig. 6F, Table S2). These data are consistent with the hypothesis that *SOX4* regulates the expression of multiple genes in innate and adaptive immune pathways in tumor cells.

### **Inhibition of the *SOX4* pathway prevents the emergence of MHC-I<sup>low</sup> tumor cells resistant to CD8<sup>+</sup> T cells**

Tumor cell escape from cytotoxic T cells is frequently mediated by inactivation of MHC-I pathway genes by mutational or transcriptional mechanisms (Gide et al., 2018; Zaretsky et al., 2016). When BT549 TNBC cells were co-cultured with CD8<sup>+</sup> T cells for 24 hours, we observed the emergence of a substantial population of tumor cells with low/absent MHC-I cell surface protein (HLA<sup>low</sup>) (Fig. 7A, Fig. S7A). Notably, inactivation of the *SOX4*, *ITGAV* or *ITGB6* genes substantially reduced the percentage of these HLA<sup>low</sup> BT549 tumor cells (Fig. 7A, B). Similarly, pre-treatment of tumor cells with integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  but not a control mAb inhibited the emergence of these HLA<sup>low</sup> tumor cells (Fig. 7C, D).

We further investigated the molecular mechanism by sorting HLA<sup>low</sup> and HLA<sup>high</sup> BT549 tumor cells after co-culture with CD8<sup>+</sup> T cells for 48 hours (Fig. S7B, C). Interestingly, HLA<sup>low</sup> tumor cells had significantly higher mRNA levels of *SOX4*, *ITGAV* and *ITGB6* as well as lower mRNA levels of *HLA-A* and *HLA-B* compared to non-sorted BT549 TNBC cells (Fig. S7D, E). Consistent with these RT-qPCR data, HLA<sup>low</sup> tumor cells had substantially higher levels of integrin  $\beta\text{6}$  protein compared to non-sorted BT549 cells (Fig. S7F). In contrast, HLA<sup>high</sup> tumor cells expressed lower mRNA levels of *SOX4*, *ITGAV*, and *ITGB6* genes (Fig. S7G). Importantly, pre-treatment of MHC-I<sup>low</sup> cells with integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  mAb re-sensitized them to cytotoxic T cells while control mAb treated MHC-I<sup>low</sup> cells were highly resistant to T cells (Fig. 7E). Conversely, doxycycline-induced overexpression of *SOX4* in MHC-I<sup>high</sup> cells conferred resistance to CD8<sup>+</sup> T cells (Fig. 7F).

To investigate the *in vivo* relevance of these findings, we evaluated the expression of MHC-I (H2-K<sup>b</sup>) on Py8119 tumor cells from mice treated with integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  or isotype control mAbs. We found that integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  monotherapy significantly decreased the number of MHC-I<sup>low</sup> tumor cells (Fig. 7G, H). Similar to the *in vitro* studies described above, we utilized FACS to enrich MHC-I<sup>low</sup> and MHC-I<sup>high</sup> tumor cells followed by RT-qPCR analysis of key genes. Notably, MHC-I<sup>low</sup> cells had significantly increased expression of genes belonging to the integrin  $\alpha\text{V}\beta\text{6}$  – *SOX4* (*Itgav*, *Itgb6*, and *Sox4*), TGF $\beta$  (*Tgfb1*) and EMT (N-cadherin, *cdh2*) pathways compared to MHC-I<sup>high</sup> tumor cells (Fig. 7I). Consistent with these findings, we observed an increase in MHC-I (*H2-kb*) and a decrease in *Sox4*, *Itgb6*, and *Tgfb1* mRNA levels in whole tumors following integrin  $\alpha\text{v}\beta\text{6}/\text{8}$  versus isotype control mAb monotherapy (Fig. 7J). These data demonstrate that targeting of the integrin  $\alpha\text{v}$  – *SOX4* pathway can reduce the emergence of MHC-I deficient TNBC cells during selection by cytotoxic T cells, both *in vitro* and *in vivo*.



## Discussion

Expression of the SOX4 transcription factor has been associated with EMT and a poor prognosis in many human cancers but its role in promoting immune evasion was previously not known. Here we show that SOX4 promotes resistance of human and murine TNBC cells to cytotoxic T cells. Mechanistically, SOX4 regulates several important innate and adaptive immune pathways in tumor cells. In *SOX4* KO tumor cells, expression of many type I interferon-inducible genes is upregulated, including genes in the RIG-I/MDA-5, cGAS – STING and AIM2 inflammasome pathways. Inactivation of *SOX4* also increases expression of genes in the MHC class I pathway while reducing expression of PD-L1. Importantly, targeting of SOX4 with an integrin  $\alpha v\beta 6$  mAb inhibits the emergence of resistant tumor cells with low MHC class I levels during selection by cytotoxic T cells.

What is the relationship between the SOX4-mediated immune evasion program and EMT? Several recent studies in murine models and human cancers have proposed that EMT is associated with impaired tumor immunity (Chockley and Keshamouni, 2016; Dongre et al., 2017), but the molecular mechanisms were not fully defined. We propose that these two biological processes are interconnected, but also partially distinct. The fundamental connection between these two cellular programs is that both are induced by TGF $\beta$ , a cytokine that serves a fundamental role in tissue homeostasis by promoting repair and suppressing adaptive immunity (Morikawa et al., 2016). A second connection between EMT and immune evasion is the SOX4 transcription factor. SOX4 expression is directly induced by TGF $\beta$  signaling and contributes to the EMT program (Lourenco and Coffey, 2017), although other transcription factors may arguably play a more central role in the cellular programs leading to EMT. A third connection between EMT, SOX4 and immune evasion relates to the differentiation state of epithelial cells. In breast cancer, EMT has been associated with a less differentiated state of tumor cells (Ye et al., 2015). In several human cancers, SOX4 is associated with a stem-like state that correlates with poor survival outcomes (Ikushima et al., 2011; Peng et al., 2017; Zhang et al., 2012). SOX4 may therefore contribute to immune evasion by less differentiated tumor cells in cancers of epithelial origin.

The integrin  $\alpha v\beta 6$  heterodimer is expressed at low levels by healthy epithelial cells. Infection and transformation induce upregulation of integrin  $\alpha v\beta 6$  on the surface of epithelial cells, thus enhancing activation of TGF $\beta$  deposited on the extracellular matrix (Munger et al., 1999). In many human cancers of epithelial origin, integrin  $\alpha v\beta 6$  expression has been associated with a poor prognosis (Niu and Li, 2017). Also, recent studies have implicated TGF $\beta$  in resistance to checkpoint blockade. For example, in patients with metastatic bladder cancer who received a PD-L1 blocking mAb (atezolizumab), a TGF $\beta$  gene expression signature in tumor RNA-seq data was associated with a poor treatment response (Mariathasan et al., 2018). Thus, a series of clinical studies have separately demonstrated an association of integrin  $\alpha v\beta 6$ , TGF $\beta$  or SOX4 with poor survival and/or response to therapy. This study demonstrates that these three molecules form an important immune evasion pathway which confers tumor cell resistance to cytotoxic T cells.

Multiple lines of experimental evidence indicate that integrin  $\alpha v \beta 6$  serves as a key regulator of this SOX4-driven immune evasion pathway. Specifically, we show that the SOX4 transcription factor can be therapeutically targeted with an integrin  $\alpha v \beta 6/8$  mAb which inhibits activation of TGF $\beta$  from a latent precursor. Our findings suggest that SOX4 plays a dual role in promoting progression of TNBC and other human cancers: it promotes invasion/metastasis and inhibits T cell-mediated immunity against invasive cancer cells. Therefore, reduced invasion/metastasis and enhanced T cell-mediated immunity are likely to contribute to the therapeutic efficacy of the integrin  $\alpha v \beta 6/8$  mAb, in particular against metastases.

Targeting of the integrin  $\alpha v \beta 6$  – TGF $\beta$  – SOX4 pathway may be relevant for many other human epithelial cancers, in addition to TNBC. These findings could be rapidly advanced to clinical trials because high-affinity blocking antibodies and small molecule inhibitors for integrin  $\alpha v \beta 6/8$  are already available (Raab-Westphal et al., 2017). It is worth noting that a phase II clinical trial with an integrin  $\alpha v \beta 6$  blocking antibody (BG00011) in patients with pulmonary fibrosis was terminated due to safety concerns. This antibody had a human IgG1 Fc region that binds with high affinity to activating Fc receptors expressed by NK cells and myeloid cells (Raghu et al., 2018). It is therefore possible that antibody-dependent cellular toxicity (ADCC) contributed to the side effects observed with this antibody. In contrast, an integrin  $\alpha v$  blocking mAb (abrituzumab) with a human IgG2 Fc region was found to be well tolerated in phase I and II clinical trials in patients with prostate and colon cancer (Elez et al., 2015; Uhl et al., 2014). The IgG2 Fc region binds only with low affinity to some but not all activating Fc receptors (Vidarsson et al., 2014), and abrituzumab may therefore not induce a significant level of ADCC. Taken together, these findings provide the rationale for therapeutic targeting of the integrin  $\alpha v \beta 6$  – TGF $\beta$  – SOX4 immune evasion pathway to promote tumor immunity in TNBC and other aggressive human cancers of epithelial origin.

## STAR METHODS

### RESOURCE AVAILABILITY

**Lead Contact**—Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Kai Wucherpfennig (Kai\_wucherpfennig@dfci.harvard.edu)

**Materials Availability**—This study did not generate new materials or mouse models.

**Data and Code Availability**—The accession number for the raw RNA-seq and CHIP-seq data reported in this paper is GEO: GSE144014.

### EXPERIMENTAL MODEL AND SUBJECT DETAILS

**Mouse strains**—4–6-week-old mice female Balb/c (JAX stock #000651) or C57Bl/6J (JAX stock #000664) mice were purchased from The Jackson Laboratory. *Pmel* transgenic mice (B6.Cg-Thy1a/Cy Tg(TcraTcrb) 8Rest/J, JAX stock #005023) were also purchased from JAX labs. CD8<sup>+</sup> T cells from these mice express a TCR specific for a peptide of pmel-17 which is expressed by melanocytes and melanoma cells including the B16F10 cell

line. Experiments in murine models were performed in accordance with protocols approved by the Institutional Animal Care and Use Committee (IACUC) at DFCI.

**Cell lines**—Murine TNBC cells (4T1, Py8119), human TNBC cells (BT549, Hs578T), murine melanoma cells (B16F10), and human HEK293T cells were obtained from ATCC. TGF $\beta$  luciferase reporter cell line HepG2 was purchased from Signosis (SL-0016-NP).

## METHOD DETAILS

**Reagents**—The following cytokines were used: Human IL-2 (BioLegend 589104), murine IL-2 (BioLegend 575406), murine TGF $\beta$ 1 (BioLegend 763104), murine IFN $\gamma$  (Abcam #Ab9922), human IFN $\gamma$  (PeproTech #300–02). *In vivo* experiments were performed with the following mAbs: inVivoMAb mouse anti-PD1 (BioXcell RMPI-14 clone), inVivoMAb rat IgG2a, isotype control (BioXcell 2A3 clone) and CD8 $\alpha$  depletion antibody (BioXcell, 2.43 clone). Other reagents included Doxycycline hyclate (Sigma-Aldrich #D9891), collagenase type IV (Sigma-Aldrich #C5138), DNase type IV (Sigma-Aldrich #D5205), Hyaluronidase Type V (Sigma-Aldrich #H6254), ACK lysis buffer (Life Technologies #A1049201), Percoll density gradient media (Sigma-Aldrich #P1644) and TGF $\beta$  receptor I inhibitor Galunisertib, LY2157299 (Selleck #S2230).

**Plasmids**—pINDUCER21-SOX4 was a gift from George Daley (Addgene plasmid # 51304, <http://n2t.net/addgene:51304>; RRID: Addgene\_51304). The 1539 bp ORF of human SOX4 containing C-terminal FLAG and His tags (pENTER-CMV-SOX4) was purchased from Vigene Biosciences (CH830603). Following PCR amplification using FWD primer 5'-AAAAAAGCTAGCGCCGAC CATGGTGCAG CAAACCAACA ATGCCGAGAA-3' containing a 5' NheI restriction enzyme (RE) site and REV primer TTTTTTGGATCCTTAGT GGTGGTGGTG GTGGTGCTCGAC containing a 3' BamHI RE site, the SOX4 ORF was cloned into the pHAGE-ZsGreen lentiviral plasmid cut with NheI and BamHI RE enzymes (SOX4-FLAG).

The 1320 bp murine Sox4 cDNA equipped with a Myc-DDK tags in a pCMV6 vector was purchased from Origene (Cat #MR207005). Following PCR amplification using FWD primer 5'-CATACTAGTATGGTACAACAGACCA-3' containing a 5' SpeI restriction enzyme (RE) site and REV primer AAAAAACTCGAGTCAGTAGGTGAAGACCAGGTT containing a 3' PspXI RE site, the Sox4 cDNA was cloned into the pINDUCER21-ORF-EG (Addgene Plasmid #46948) plasmid cut with SpeI and PspXI RE enzymes (Sox4-DOX).

**Expression of integrin  $\alpha\beta$ 6/8 mAb in CHO cells**—The 264RAD mAb binds with high affinity to both human and murine integrin  $\alpha\beta$ 6 proteins and inhibits integrin  $\alpha\beta$ 6-mediated TGF $\beta$  activation (29). We expressed this antibody in CHO cells and introduced two mutations into mouse IgG2b Fc region (D265A and N297A) to prevent antibody binding to activating Fc receptors (Shields et al., 2001). This approach thus limited the activity of this antibody to its blocking function and prevented a contribution of antibody-mediated cellular cytotoxicity (ADCC) to *in vivo* efficacy. The cDNAs encoding the mAb heavy and light chains were cloned into the UCOE Hu-P vector (EMD Millipore). The two cDNAs were separated by viral 2A skip sequence which enabled stoichiometric expression

from a single plasmid for efficient antibody expression. Selection of transfected cells was performed with puromycin (InvivoGen) at concentrations up to 50 µg/ml. Expression was scaled up in Freestyle CHO medium supplemented with 40 ml GlutaMAX and 10 ml anti-clumping agent (Life Technologies) per liter. Cells were split to 0.25×10<sup>6</sup>/ml in 5 L Optimum Growth shaker flasks (Thompson Scientific) and incubated in a Multitron incubation shaker (Infors HT) at 37°C, 8% CO<sub>2</sub>, 120 rpm. Supernatant containing the antibody was collected after 8–10 days and purified using Protein G Sepharose affinity columns (GE Healthcare). Size-exclusion chromatography was performed using a Superose 6 HPLC column (GE Biosciences). Expression of stable clones was 25–100 mg per liter. Antibody was concentrated using Amicon spin columns (Millipore) using PBS as the final buffer and sterile filtered prior to *in vivo* experiments.

**Culture media**—Tumor cells were cultured in RPMI 1640 media (+ L-glutamine) supplemented with 10% Fetal Bovine Serum (FBS), 2 mM L-Glutamine (Glu), 100 IU/ml Penicillin/Streptomycin (Pen/Strep).

Human T cells were cultured in human T cell media (hTCM): RPMI 1640 (+ L-glutamine) containing HEPES (5mM), Glutamax (2 mM), Pen/Strep (50ug/mL), non-essential amino acids (NEAA, 5 mM), sodium pyruvate (5 mM), fetal bovine serum (9 %), human serum (1 %), and beta-mercaptoethanol (50 µM). Media was replenished with fresh human IL-2 (20 ng/mL) every 2–3 days. Murine T cells were cultured in murine T cell media (mTCM): RPMI 1640 (+ L-glutamine) containing HEPES (5 mM), Glutamax (2 mM), Pen/Strep (50 µg/mL), non-essential amino acids (NEAA, 5 mM), sodium pyruvate (5mM), fetal bovine serum (10%), and beta-mercaptoethanol (50 µM). Media was replenished with fresh human IL-2 (20 ng/mL) every 2–3 days.

**CRISPR/Cas9 editing**—Editing of tumor cell lines was performed using ribonuclear protein complexes (RNP) of Cas9 protein with bound gRNAs. As a first step in the assembly of RNPs, 100 µM of tracrRNA (IDT) was mixed with the appropriate crRNA (100 µM) at a 1:1 ratio, incubated at 95 °C for 5min followed by cooling to room temperature. Cas9 protein (20 µM, Macrolab) was then added and RNPs were incubated for 15min at 37 °C.

RNPs were introduced into cells by electroporation. Cells were electroporated using Lonza 4D Nucleofector Core Unit (Lonza #AAF-1002B) with 100µM of assembled RNPs in SF buffer using SF Cell Line 96-well Nucleofector™ Kit (#V4SC-2096) and program number DJ-110, as per manufacturer's instructions. See Table S3 for full list of crRNA sequences. Editing efficiency was determined by DNA sequencing, immunoblot analysis and/or flow cytometry, depending on the targeted gene.

**Immunoblotting**—Cells were washed with PBS and lysed using RIPA cell lysis buffer (ThermoFisher Scientific #89900) supplemented with protease inhibitor cocktail (Roche, complete mini, EDTA free protease inhibitor tablets, #11836170001) and phosphatase inhibitors (Thermo Scientific, Halt Phosphatase Inhibitor Cocktail, #78427). Total protein concentration was determined using BCA Protein Assay Kit (ThermoFisher Pierce, 23225). Proteins were separated using NuPAGE Novex 4–12% Bis-Tris gels using 1X MOPS SDS running buffer and transferred to PVDF membranes. Blots were blocked in PBS containing

4% milk powder and 0.2% Tween and then incubated overnight with primary antibodies followed by washes and exposure to secondary antibody for 2 hours at room temperature. Western blots were then incubated in luminol-based substrate for HRP-catalyzed detection (Perkin Elmer #NEL104001EA) and luminescence was captured on ChemiDoc MP Imaging System (Bio-Rad #12003154). See Table S4 for a detailed list of antibodies used in immunoblotting.

**Flow cytometry**—Single cell suspensions were stained with primary antibodies at 4°C for 20min in FACS buffer (2% FBS, 2mM EDTA) following blockade of Fc receptors in PBS for 10min. For intracellular staining, cells were first labeled with antibodies specific for investigated surface markers, fixed in Fix/Perm buffer (eBioscience) for 15 min, washed twice with permeabilization buffer (eBioscience) and stained with primary antibodies targeting intracellular proteins in permeabilization buffer for 30 min at 4°C. Cells were analyzed on a BD Biosciences Fortessa instrument or sorted on a BD Biosciences Aria III instrument. Data analysis was performed using FlowJo 10. See Table S4 for a detailed list of antibodies used in flow cytometry.

**RT-PCR**—Total RNA was isolated from cells using the RNeasy Mini Kit (QIAGEN, Valencia, CA). cDNAs were synthesized from 1 µg of total RNA using the PrimeScript RT reagent Kit (Takara) and were amplified by SYBR Premix Ex Taq II (Takara) using the CFX96 Real-Time PCR System (Bio-Rad) according to the manufacturer's protocols. RT-qPCR was performed using 7900HT Fast Real-Time PCR System (Applied Biosystems, Carlsbad, CA) using SYBr GreenMaster Mix (Invitrogen). For a detailed list of human and murine RT-qPCR primer sequences, see Table S3.

**TGFβ reporter assay**—SMAD2/3 responsive luciferase reporter HepG2 cells (hygromycin resistant) stably expressed a firefly luciferase reporter gene under the control of the SMAD2/3 response element (Signosis, SL-0016-NP). Cell lines were co-cultured with this reporter cell line for 24–48 hours followed by detection of luciferase activity using Promega Luciferase Assay System® (Glo Lysis Buffer (#E2661), Cell Culture Lysis Reagent (#E1531), Passive Lysis Buffer (#E1941) and Reporter Lysis Buffer (#E3971) as per manufacturer's instructions.

**Isolation and propagation of primary murine CD8<sup>+</sup> T cells**—Murine T cells that expressed a gp100 (Pmel-1) or GFP specific (JEDI) TCR were cultured in murine T cell media (mTCM): RPMI (+ L-glutamine) containing HEPES (5 mM), Glutamax (2 mM), Pen/Strep (50 µg/mL), NEAA (5 mM), sodium pyruvate (5mM), FBS (10%), beta-mercaptoethanol (50 µM). Cells were cultured at 37°C under an atmosphere of 5% carbon dioxide. Cell line were recently authenticated and verified for being mycoplasma-free using the MycoAlert mycoplasma detection kit (Lonza #LT07–118).

Pmel-1 TCR transgenic mice were purchased from Jackson Laboratory (stock # 005023). This transgenic strain carries a TCR transgene specific for the mouse homologue (pmel-17) of human pre-melanosome protein (PMEL, or gp100). JEDI mice which carry a GFP-specific TCR transgene on the Balb/c background were kindly provided by Dr. Judith Agudo (Agudo et al., 2015). Murine CD8<sup>+</sup> T cells were isolated from spleens using a CD8<sup>+</sup> T cell

isolation kit (STEMCELL #19753) according to the manufacturer's protocol. Freshly isolated CD8<sup>+</sup> T cells were stimulated with  $\alpha$ CD3/ $\alpha$ CD28 Dynabeads (Life Technologies #11453D) at a bead to cell ratio of 1:2. On day 3, recombinant mouse IL-2 (Biolegend #575406) was added to the culture at 20 ng/ml.

**Isolation and generation of primary human CD8<sup>+</sup> T cells expressing NY-ESO-1 specific TCR**—Peripheral blood mononuclear cells (PBMCs) were isolated by Ficoll density gradient centrifugation from leukopheresis collars from healthy donors (Brigham and Women's Hospital Blood Bank). CD8<sup>+</sup> T cells were purified from PBMCs using CD8 Dynabeads (StemCell # 19053) following the manufacturer's instructions. Isolated CD8 cells were activated for 48 hours with  $\alpha$ CD3/ $\alpha$ CD28 beads (Life Technologies #11132D, 1:2 ratio of beads to T cells) and grown in the presence of 30U/mL of human IL-2 for one week.

Expanded CD8<sup>+</sup> T cells were transduced with the lentivirus by spin infection to introduce the NY-ESO-1 TCR. A non-tissue culture treated 24 well plate was coated with 0.8 ml of 15  $\mu$ g/ml Retronectin (Takara; Kyoto, Japan) overnight at 4°C. Wells were blocked with sterile 2% BSA for 15 minutes at room temperature and gently washed once with PBS. Next, lentivirus was added to wells of the retronectin-coated plate at a multiplicity of infection (MOI) of 15, and plates were spun for 2.5 hours at 2,000  $\times$  g, 32°C. The supernatant in the wells was then carefully decanted, and wells were gently washed with 0.5 ml of PBS.  $0.5 \times 10^6$  T cells were transferred to wells containing 10  $\mu$ g/ml protamine sulfate (Sigma-Aldrich) in RPMI-1640 media containing 30 U/ml IL-2 and cultured for three days. NY-ESO-1 TCR<sup>+</sup> T cells were isolated to >90% purity by FACS and expanded with Dynabeads and IL-2 (30 U/ml).

***In vitro* cytotoxicity assays**—All *in vitro* cytotoxicity assays were performed in human or murine T cell media (without addition of IL-2). Cells were co-cultured on collagen I coated 96 well plates (ThermoFisher #A1142803) or Corning® 96 Well Black Polystyrene Microplates (Corning #3603) coated with Collagen I (5 $\mu$ g/cm<sup>2</sup>) according to the manufacturer's instructions (ThermoFisher #A1048301).

**Human cytotoxicity assay:** BT549 human TNBC cells are HLA-A02\*01 positive (Figure S1A) and endogenously express the NY-ESO-1 antigen (Fig. S1B), allowing recognition by T cells specific for a NY-ESO-1 peptide presented by HLA-A2\*01 (Zhao et al., 2005). BT549 cells were co-cultured with human CD8<sup>+</sup> T cells that expressed a NY-ESO-1 TCR (generated as described above) at increasing effector to target (E:T) ratios for 12–72 hours. Cytotoxicity was determined using flow cytometry.

**Murine cytotoxicity assays:** 4T1 murine TNBC cells expressing GFP (4T1<sup>GFP</sup>) were generated as described previously (Agudo et al., 2015). 4T1<sup>GFP</sup> cells were co-cultured with murine CD8<sup>+</sup> T cells derived from JEDI mice that recognized a GFP peptide presented by H2-K<sup>d</sup> (Agudo et al., 2015). B16F10 murine melanoma cells which endogenously expressed the Pmel antigen (Pmel-17) were pre-treated for 24 hours with 0.1–10 ng/mL of murine IFN $\gamma$  to induce surface expression of MHC class I protein (Zhou, 2009). The melanoma cells were then co-cultured with CD8<sup>+</sup> T cells from Pmel-1 transgenic mice to study T cell-

mediated cytotoxicity. The number of tumor cells that were seeded remained fixed ( $5-10 \times 10^3$  per well depending upon the tumor cell line) and CD8<sup>+</sup> T cells were added at increasing effector to target ratios. The total number of surviving tumor cells was quantified 12–72 hours after initiation of co-cultures by either flow cytometry or image cytometry (Celigo, Nexcelom Bioscience).

**Celigo Image Cytometer instrumentation:** The Celigo Image Cytometer is designed to perform plate-based image cytometric analysis and was used here to quantify the number of surviving fluorescent tumor cells in the presence of cytotoxic T cells. It is equipped with one bright-field (BF) and four fluorescence (FL) imaging channels: Blue (EX: 377/50 nm, EM: 470/22 nm), Green (EX: 483/32 nm, EM: 536/40 nm), Red (EX: 531/40 nm, EM: 629/53 nm), and Far Red (EX: 628/40 nm, EM: 688/31 nm). The image cytometer allows auto-focusing in the well based on image contrast or the thickness of the bottom surface. The Celigo software application “Target 1 + 2” was used to identify and count the number of GFP<sup>+</sup> tumor cells (Green channel). The Celigo instrument was set up to acquire images including brightfield (Target 1) and Green fluorescent (Target 2) channels with an exposure time of 10,000  $\mu$ s. Next, hardware-based autofocus (HWAFF) was used to focus in the BF channel, and the focus offset was applied to the Green (+26  $\mu$ m) channel. GFP<sup>+</sup> target cells above an intensity threshold were counted, and the data were analyzed using GraphPad Prism software (GraphPad Software Inc, San Diego, CA).

**Treatment of cells with integrin  $\alpha$ v $\beta$ 6/8 and PD-1 mAbs:** Human BT549, murine 4T1 or murine B16F10 cells were pre-treated with 2–50  $\mu$ g/mL of integrin  $\alpha$ v $\beta$ 6/8 or control IgG mAb for 24–72 hours before co-culture with CD8<sup>+</sup> T cells. The exact conditions are described for each experiment in the Fig. legends. Anti-human PD-1 (20  $\mu$ g/mL, Bioxcell clone J116, BE0188), control IgG (20  $\mu$ g/mL, Bioxcell, clone MOPC-21 #BE0083), anti-murine PD-1 (20  $\mu$ g/mL, Bioxcell, clone RMP1–14 #BE0146) or rat IgG2a isotype control, anti-trinitrophenol (Bioxcell, clone 2A3 #BE0089) were added when co-cultures were set up.

**Animal Experiments**—Female BALB/c (Jackson Laboratory #000651) or C57Bl/6J (Jackson Laboratory #000664) mice of 4–6 weeks of age were purchased from The Jackson Laboratory. 4T1 ( $2 \times 10^5$ ) or Py8119 ( $5 \times 10^5$ ) TNBC cells were injected in 50  $\mu$ l of PBS orthotopically into the mammary fat pads of syngeneic mice (BALB/c mice for 4T1 cells, C57Bl/6J mice for Py8119 cells). When tumors reached approximately 50mm<sup>3</sup>, mice carrying similar tumor burden were randomized into treatment groups and treated as described below.

**Treatment with integrin  $\alpha$ v $\beta$ 6/8 antibodies:** Mice received biweekly intraperitoneal (IP) injections of 0.2 mg of integrin  $\alpha$ v $\beta$ 6/8 or IgG2b control mAbs in 100  $\mu$ L of PBS solution for 3–8 weeks depending upon the experimental endpoint. The specific endpoint for each experiment is indicated in the Fig. legend.

**Treatment with PD-1 antibodies:** Mice received biweekly intraperitoneal (IP) injections of 0.2 mg of PD-1 mAb (rat IgG2a, RMP1–14 clone) or rat IgG2a control mAb in 100  $\mu$ L of

PBS for 3–8 weeks depending upon the experimental endpoint. The specific endpoint for each experiment is indicated in the Fig. legend.

**Depletion of CD8<sup>+</sup> T cells using anti-CD8 $\beta$  antibodies:** The depletion of CD8<sup>+</sup> T cells in BALB/c and C57BL/6J mice was achieved by IP injection of 0.1 mg of CD8 $\beta$  mAb (BioXCell, Clone 53–5.8 #BE0223) in 100  $\mu$ L of PBS on days –1, 0, 7 and 14 relative to tumor inoculation. Mice receiving an isotype control mAb (Bio  $\times$  cell, clone HRPN #BE0088) at the same dose in PBS were used as controls. CD8<sup>+</sup> T cell depletion was confirmed by labeling of CD8<sup>+</sup> T cells from spleens with a CD8 mAb (Biolegend #100741) followed by flow cytometric analysis (BD Fortessa, BD Biosciences). CD8<sup>+</sup> T cells were significantly depleted within 24 hours of administration of CD8 $\beta$  antibodies and at the experimental endpoint.

**Induction of SOX4 expression *in vivo*:** To induce expression of SOX4 in 4T1<sup>Sox4-Dox</sup> cells *in vivo*, BALB/c mice were fed a doxycycline containing diet (625ppm, Envigo Teklad) until the experimental endpoint. Mice receiving a regular feed were used as controls. Intra-tumoral induction of SOX4 was confirmed by immunoblotting at experimental endpoint (2–3 weeks after initiation of the DOX diet).

**Endpoints:** Primary tumor volumes were determined using calipers to measure dimensions and calculated using the formula: Volume ( $\text{mm}^3$ ) =  $0.5 \times \text{Length (mm)} \times (\text{Width (mm)})^2$ . At the experimental endpoint, mice were euthanized followed by surgical excision of tumors, tumor draining lymph nodes (TdLN), spleens and/or lung tissue for downstream analyses. The experimental endpoint for individual mice was either a tumor volume  $>1000 \text{ mm}^3$ , tumor ulceration, interference of tumors with movement, a moribund state or conclusion of the experiment. For the purpose of Kaplan-Meier survival curves, mice were considered dead when tumor volumes exceeded  $1000 \text{ mm}^3$ . All tumor experiments were performed in accordance with the protocols approved by the Institutional Animal Care and Use Committee (IACUC) at DFCI.

**FACS analysis of tumor-infiltrating immune cells—**Tumors were excised when the majority of tumors in any experimental group reached the endpoint (tumor size of  $\sim 1000 \text{ mm}^3$ ), approximately 3–5 weeks following tumor inoculation. The tumors were cut into small pieces using sterile scalpels in serum free RPMI 1640 media (ThermoFisher #11875093). Samples were dissociated in 1 mg/ml Collagenase Type IV (Sigma-Aldrich #C5138), 20 units/ml DNase Type IV (Sigma-Aldrich #D5205), 0.1 mg/ml Hyaluronidase Type V (Sigma-Aldrich #H6254) using GentleMACS C or M tubes using Gentle MACS m\_impTumor04 program in the gentleMACS<sup>TM</sup> Dissociator (Miltenyibiotec #130–093-235) followed by incubation at 37°C. The suspension was passed through a 70  $\mu$ m filter and pelleted by centrifugation at  $300 \times g$  for 5min. To remove red blood cells, ACK lysis buffer (3x by volume) was added for 45–60 seconds followed by 2 volumes of RPMI to stop red cell lysis. Crude bulk removal of tumor cells was performed by centrifugation at a low  $g$  force ( $50 \times g$ ) for 5min, maximum acceleration and deceleration. Pelleted cells from pooled supernatants ( $>300 \times g$  or 1500 rpm, 5 min) were resuspended in the appropriate buffer for flow cytometric analysis of tumors.



Tumor draining lymph nodes, spleens and lungs were physically dissociated using 70 $\mu$ m strainers (Miltenyi Biotec) and 3mL syringe handles. Cells were washed with RPMI 1640 medium. Red blood cells were lysed with ammonium chloride solution for 5min on ice (Stemcell), washed with RPMI 1640 medium and resuspended in the appropriate buffer for flow cytometry. Single cell suspensions were stained with 5 $\mu$ g/mL Fc receptor blocking anti-mouse CD16/CD32 antibody (clone 2.4G2, BD PharMingen) at 4°C for 5 min before surface staining with an antibody cocktail at 4°C for 30min in 100  $\mu$ L. Cells were then washed twice with PBS, stained with LIVE/DEAD Fixable Dead Cell Stain Kit (Molecular Probes) at 4°C for 15min and washed twice with staining buffer (PBS supplemented with 1% BSA and 2 mM EDTA). Finally, cells were fixed by incubation in BD Cytfix Fixation Buffer (BD Biosciences) at 4°C for 30min. Samples were then analyzed using a BD LSR Fortessa X-20 cell analyzer and BD FACSDiva Software version 8.0. For intracellular staining, cells were stained with surface markers, fixed in Fix/Perm buffer (eBioscience) for 15min, washed in permeabilization buffer (eBioscience) twice and stained with primary antibodies targeting intracellular proteins in permeabilization buffer for 30 min at 4°C. Cells were sorted using a BD Biosciences Aria III or analyzed using BD Biosciences Fortessa instruments, and data analysis was performed on FlowJo 10. See Table S4 for a detailed list of antibodies used in flow cytometry.

**RNA-seq**—Total RNA was extracted from control, *SOX4* or *ITGAV* edited human BT549 and murine 4T1 cells cultured in complete RPMI media in biological triplicates. RNA extraction was performed using the RNeasy Plus Mini Kit (Qiagen # 74134) following the manufacturer's protocol. Total RNA was quality-checked using an Agilent BioAnalyzer 2000 instrument. RNA with an integrity number of greater than 9.5 was used for subsequent analyses. Total RNA was submitted to GeneWiz for RNA-seq analysis. Libraries were prepared with TruSeq RNA Sample Prep Kit v2 (Illumina). Library concentrations were quantified by Qubit (Invitrogen) and mixed equally for single-end 75 bp sequencing using an Illumina NextSeq 500 instrument. Statistics for differentially expressed genes were calculated using DESeq2 (version 3.5) (Love et. al) and Cufflinks (Trapnel et. al). Differential gene expression was analyzed using the DESeq2 (1.8.1) package in R using default settings. Principal component analyses were generated using the prcomp function in R and plotted with ggplot2. Human and mouse gene homologues were matched using the Mouse Genome Informatics annotation. Heatmaps were generated using the heatmap.2 function in R. RNA-seq. data have been deposited at the Gene Expression Omnibus under accession number GSE144014.

**Gene sets enrichment analysis (GSEA)**—For gene set identification, the hypergeometric overlap statistic tool (<http://software.broadinstitute.org/gsea/msigdb/annotate.jsp>) was used to calculate the overlap between a gene list and pathways in MSIGDB (Broad Institute, Molecular signature database). GSEA on gene expression data was performed by loading cufflink count table for each comparison into the GSEA package.

**ChIP-Seq**—Generally, chromatin from 10 $\times$ 10<sup>6</sup> cells was used for each ChIP. Nuclei/cells were fixed with 2 mM DSG (Pierce) for 45 min at RT (shaking) prior to formaldehyde fixation for 10 min at RT. The reaction was quenched with glycine (0.125 M). Nuclei/cells

were then washed twice with ice-cold PBS, lysed in ChIP sonication buffer (50 mM HEPES pH7.9, 140 mM NaCl, 1 mM EDTA, 1 % Triton X-100, 0.1 % sodium deoxycholate, 0.2 % SDS) supplemented with protease inhibitors, and were subjected to sonication to obtain DNA fragments of 300–800 bp. Immunoprecipitation was done using 5 µl of antibody and 40 µg of chromatin. The soluble chromatin (40 µg) was immunoprecipitated with 10 µg of SOX4 antibody (ab86809 Abcam). ChIP-seq libraries were constructed using Accel-NGS 2S DNA library kit from Swift Biosciences. Fragments of the desired size were enriched using AMPure XP beads (Beckman Coulter). 36-bp paired-end reads were sequenced on a Nextseq instrument (Illumina). The raw data are deposited at the Gene Expression Omnibus (GEO) under the entry GSE144014.

Raw reads were aligned to hg19 using bwa. The resulting sam files were converted to bam with samtools. MACS2 was used to call peaks on the bam files. The bedGraph files containing signal per million reads produced from MACS2 were converted to bigwig files with ucsc tool kit. ChIP-seq signals were extracted with bwtool from bigwig files and visualized in R. A peak catalog consisting of all possible peak intervals in ChIP-seq was produced. ChIP-seq signals were extracted with bwtool from bigwig files and then visualized in R. IGV viewer was used to visualize enriched peak relative to hg19 reference genome.

**Multiplex Immunofluorescence of TNBC sections**—Paraffin embedded archival treatment naïve human TNBC tumor specimens were stained for multiplex immunofluorescence analysis sequentially on the Leica Bond automated staining platform using the Leica Biosystems Refine Detection Kit with citrate antigen retrieval (Leica Biosystems, DS9800). The BOND Polymer Refine Detection utilizes controlled polymerization technology to prepare polymeric HRP-linker antibody conjugates. The detection system avoids the use of streptavidin and biotin, and therefore eliminates non-specific staining as a result of endogenous biotin. The tissue specimens were incubated with hydrogen peroxide to quench the endogenous peroxidase activity followed by staining with a specific primary antibody. A post primary IgG linker reagent was used to localize mouse antibodies. And a Poly-HRP IgG reagent was used to localize rabbit antibodies. The substrate chromogen, 3,3'-Diaminobenzidine tetrahydrochloride hydrate (DAB), stains the complex and is visualized as a brown precipitate. DAPI (blue) counterstaining was used to visualize nuclei. The BOND Polymer Refine Detection kit was used in combination with the BOND automated system to reduce human error and variability resulting from individual reagent dilution, manual pipetting or reagent application.

SOX4 antibody (Abcam #86809) was used at a 1:100 dilution and labeled with Alexa Fluor 594 (Thermo #40957). An E-cadherin antibody (CST3195S, Clone 24E10) was used at a 1:100 dilution and labeled with Alexa Fluor 488 (Thermo # 40953). A CD8 antibody (Dako, M7103, Clone C8/144B) was used at a 1:100 dilution and labeled with Alexa Fluor 647 (Thermo # B40958). A Vimentin antibody (Dako, 0725, Clone V9) was used at a 1:400 dilution and labelled with Alexa Fluor 488 (Thermo # 40953). An αSMA antibody (Abcam, ab5694) was run at a 1:400 dilution and labeled with Alexa Fluor 594 (Thermo #40957). Whole-slide digital image acquisition was performed using the Aperio ScanScope CS System (Aperio Technologies, USA) at a 20x objective. Quality control of the scanned

images and analysis were performed using ImageScope software (Aperio, V10.2.1.2315, Nussloch, Germany).

### Quantification and Statistical Analyses

Statistical analyses were performed using GraphPad Prism 8 software. Comparisons between two groups were made using an unpaired two-tailed Student's t-test. For multiple comparisons, analysis of variance (ANOVA) followed by Dunnett's or Tukey's post hoc test were used. For nonparametric data, Kruskal-Wallis or Mann Whitney U test followed by Dunn's test were used. For animal studies, sample size was determined as a function of effect size ( $((\text{difference in means})/(\text{SD}) = 2.0)$  for a two-sample t-test comparison assuming a significance level of 5%, a power of 90%, and a two-sided t-test. Normal distribution was confirmed using normal probability plot (GraphPad Prism 8.0, GraphPad Software, San Diego, CA), variance was assessed within and between groups. The exact number of mice (n) is listed in the Fig. legend for each experiment. The growth of primary tumors over time was analyzed using two-way ANOVA with multiple comparisons. For comparing mouse survival curves, a log-rank (Mantel-Cox) test was used. For ChIP-seq and RNA-seq data, all statistical analysis was performed with R (version 3.4.0) unless otherwise specified. All p-values are two-sided, and statistical significance was evaluated at the 0.05 level.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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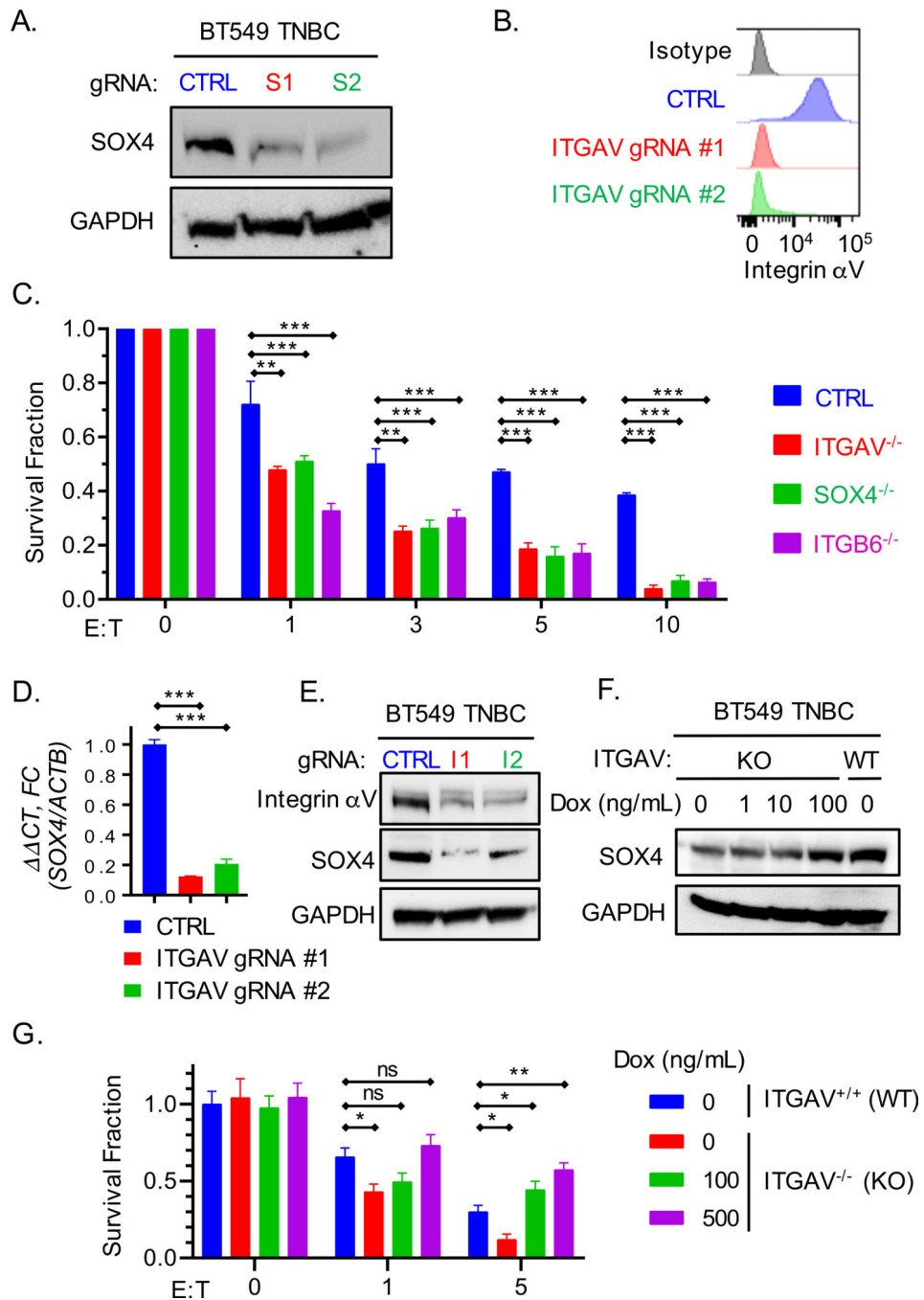
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### Highlights

- The SOX4 transcription factor induces tumor cell resistance to cytotoxic T cells
- SOX4 inhibits expression of genes in innate and adaptive immune pathways
- SOX4 expression can be inhibited with an integrin  $\alpha v \beta 6$  blocking antibody
- Antibody treatment results in a substantial survival benefit in models of TNBC

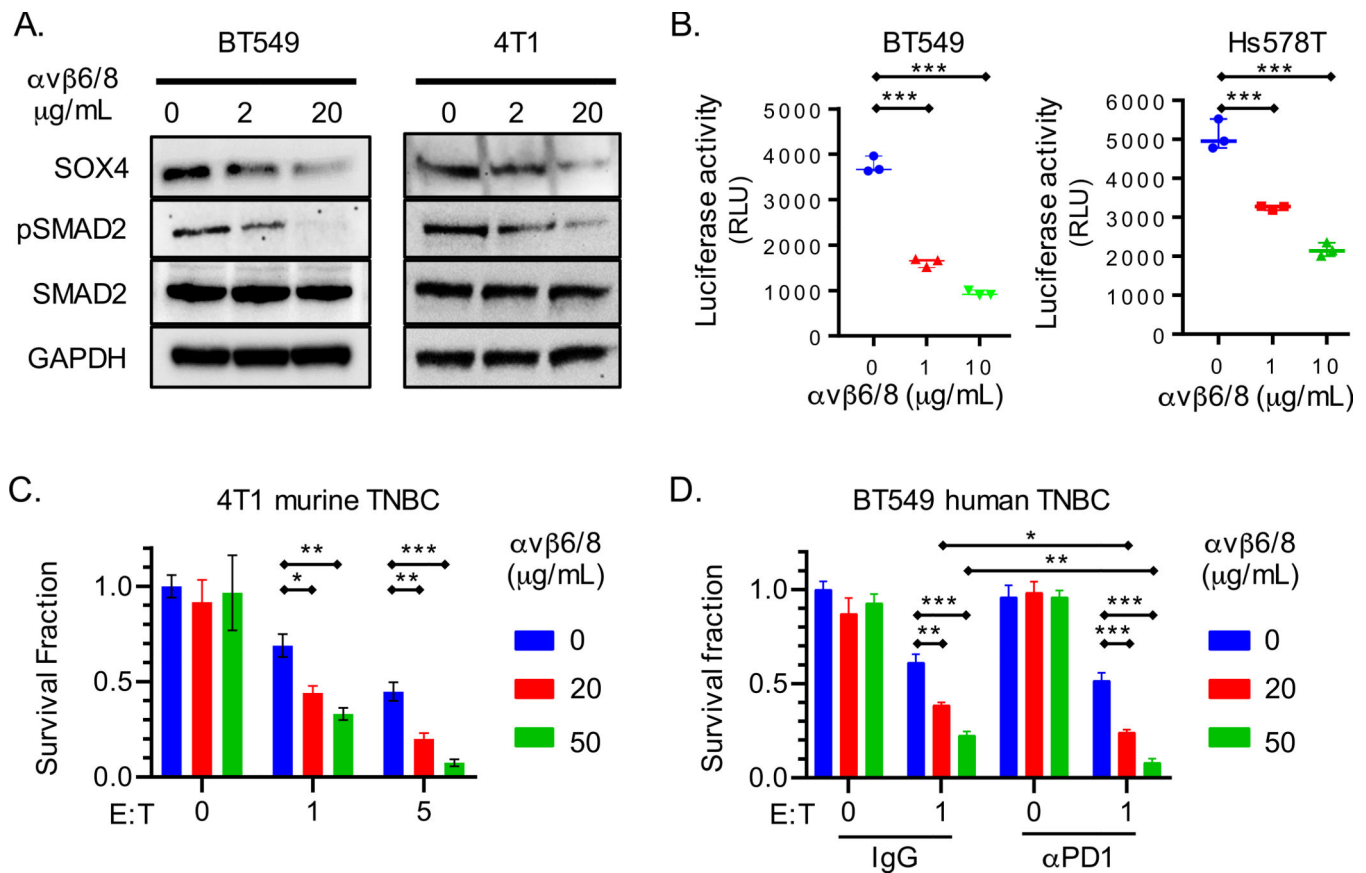


**Fig. 1. Inactivation of *SOX4* or *ITGAV* genes sensitizes tumor cells to cytotoxic T cells.**

(A) Immunoblot showing *SOX4* protein expression by human BT549 TNBC cells edited using two *SOX4* gRNAs (S1, S2) or a control gRNA (CTRL). (B) Cell surface expression of integrin  $\alpha V$  in BT549 TNBC cells edited with two *ITGAV* gRNAs (*ITGAV* gRNA#1 and #2) or a control gRNA (CTRL). (C) T cell cytotoxicity assay with human BT549 TNBC cells edited with *SOX4*, *ITGAV*, *ITGB6* or control gRNAs. Human T cells expressing a NY-ESO-1 specific TCR were co-cultured for 24 h with tumor cells at the indicated E:T ratios. Data represent the mean of surviving tumor cell fraction after 24 h of co-culture for two

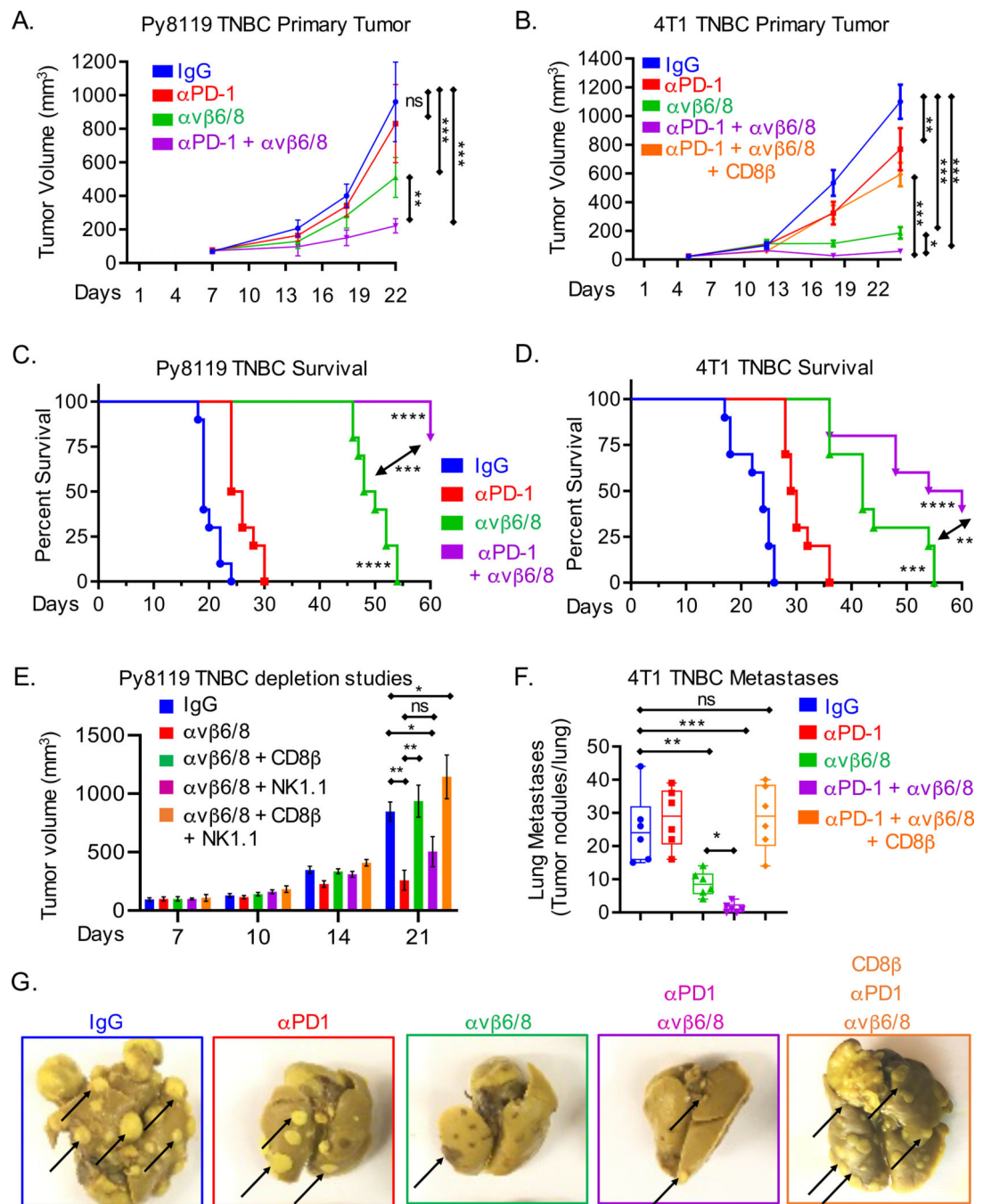


independent gRNAs +/- SEM; data are shown relative to condition without T cells (E:T = 0). (D) RT-qPCR analysis of *SOX4* mRNA levels in BT549 cells edited with *ITGAV* or control gRNAs represented as mean  $\pm$  S.E.M. (E) Immunoblot showing SOX4 protein levels in human BT549 TNBC cells edited with two *ITGAV* targeting gRNAs (I1, I2) or a control gRNA (CTRL). (F-G) Impact of doxycycline-inducible SOX4 expression in *ITGAV*KO BT549 tumor cells on resistance to cytotoxic T cells. (F) Immunoblot showing levels of SOX4 and GAPDH proteins in *ITGAV*<sup>+/+</sup> (WT) or *ITGAV*<sup>-/-</sup> (KO) BT549 human TNBC cells containing a doxycycline (DOX) inducible SOX4 cDNA construct. Cells were treated with the indicated concentration of doxycycline for 48 h. (G) T cell cytotoxicity assay with *ITGAV*WT or KO BT549 TNBC cells co-cultured with human NY-ESO-1 specific CD8<sup>+</sup> T cells at indicated E:T ratios following pre-treatment with the indicated concentrations of doxycycline for 48 h. Data in (C, D, and G) are representative of at least two independent experiments with technical triplicates and summarized as mean  $\pm$  S.E.M. Data in [A, B, E, F] were repeated at least three times with consistent results. To determine statistical significance, a two way ANOVA with Dunnett's [C] or Tukey's [G] post hoc test or an unpaired Student t-test [D] was used. \*\*\*P < 0.001; \*\*P < 0.01; \*P < 0.05; n.s., not significant. See also Figure S1.



**Fig. 2. An integrin  $\alpha v\beta 6/8$  mAb inhibits SOX4 expression and sensitizes TNBC cells to cytotoxic T cells.**

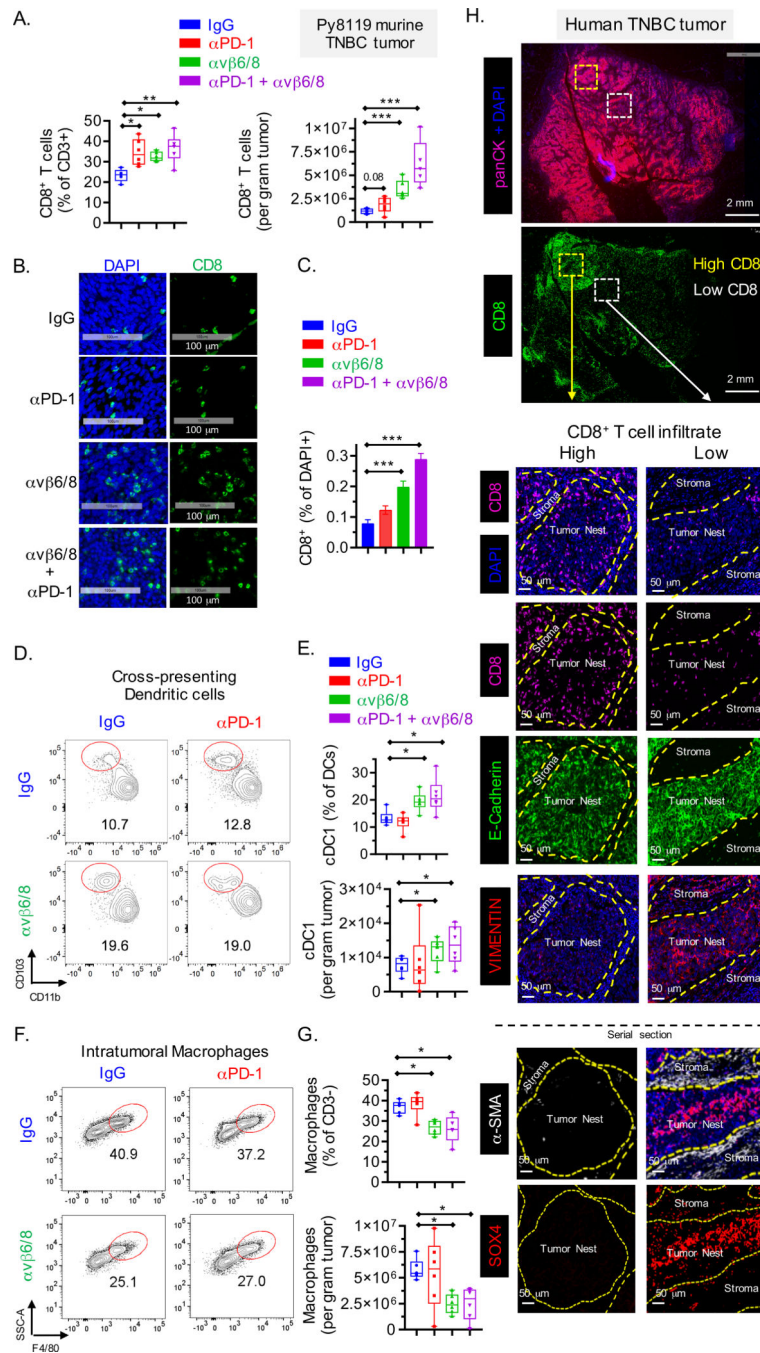
(A) Immunoblot for indicated proteins in human (BT549) or murine (4T1) TNBC cell lines treated with integrin  $\alpha v\beta 6/8$  blocking mAb for 72 h. (B) Luciferase-based TGF $\beta$  reporter assay with human BT549 and Hs578T TNBC cell lines. HepG2-TGF $\beta$  reporter cells were co-cultured for 24 h with human TNBC cells that had been pre-treated with indicated concentrations of integrin  $\alpha v\beta 6/8$  mAb for 72 h. Data are represented as relative luciferase units (RLU). (C) T cell cytotoxicity assay with GFP $^{+}$  murine 4T1 TNBC cells. Tumor cells were co-cultured for 48 h with GFP-specific CD8 $^{+}$  T cells (JEDI T cells) at indicated E:T ratios. Tumor cells were pre-treated with indicated concentrations of integrin  $\alpha v\beta 6/8$  mAb for 72 h prior to co-culture. (D) T cell cytotoxicity assay with human BT549 TNBC and human CD8 $^{+}$  T cells that expressed a NY-ESO-1 TCR. Tumor cells were pre-treated with indicated concentrations of control IgG or integrin  $\alpha v\beta 6/8$  mAb for 72 h; control IgG or PD-1 mAbs were added to co-cultures (20  $\mu\text{g/mL}$ ). Y-axis shows number of surviving tumor cells after 24 h of co-culture. Data are summarized as mean  $\pm$  S.E.M and are representative of at least two independent experiments with technical triplicates. A one-way [B] or two-way [C and D] ANOVA with Dunnett's post hoc test was used to determine statistical significance, \*\*\*P < 0.001; \*\*P < 0.01; \*P < 0.05. See also Figure S2.



**Fig. 3. Efficacy of integrin  $\alpha v \beta 6/8$  mAb in metastatic murine TNBC models resistant to PD-1 blockade.**

(A) Py8119<sup>GFP+</sup> TNBC (n=10 mice/group) or (B) 4T1 TNBC (n=12 mice/group) primary tumor volume shown at indicated time points. Mice with similar tumor burden were treated with indicated antibodies (IP, 0.2 mg/dose, twice weekly) until tumor volume in any group reached 1000 mm<sup>3</sup>. To deplete CD8<sup>+</sup> T cells, mice were treated with anti-CD8 $\beta$  antibodies (0.1 mg/dose) on days -1, 1, and weekly thereafter. (C-D) Kaplan-Meier analysis of survival for mice described in (A) and (B), respectively. (E) Primary tumor volume shown at

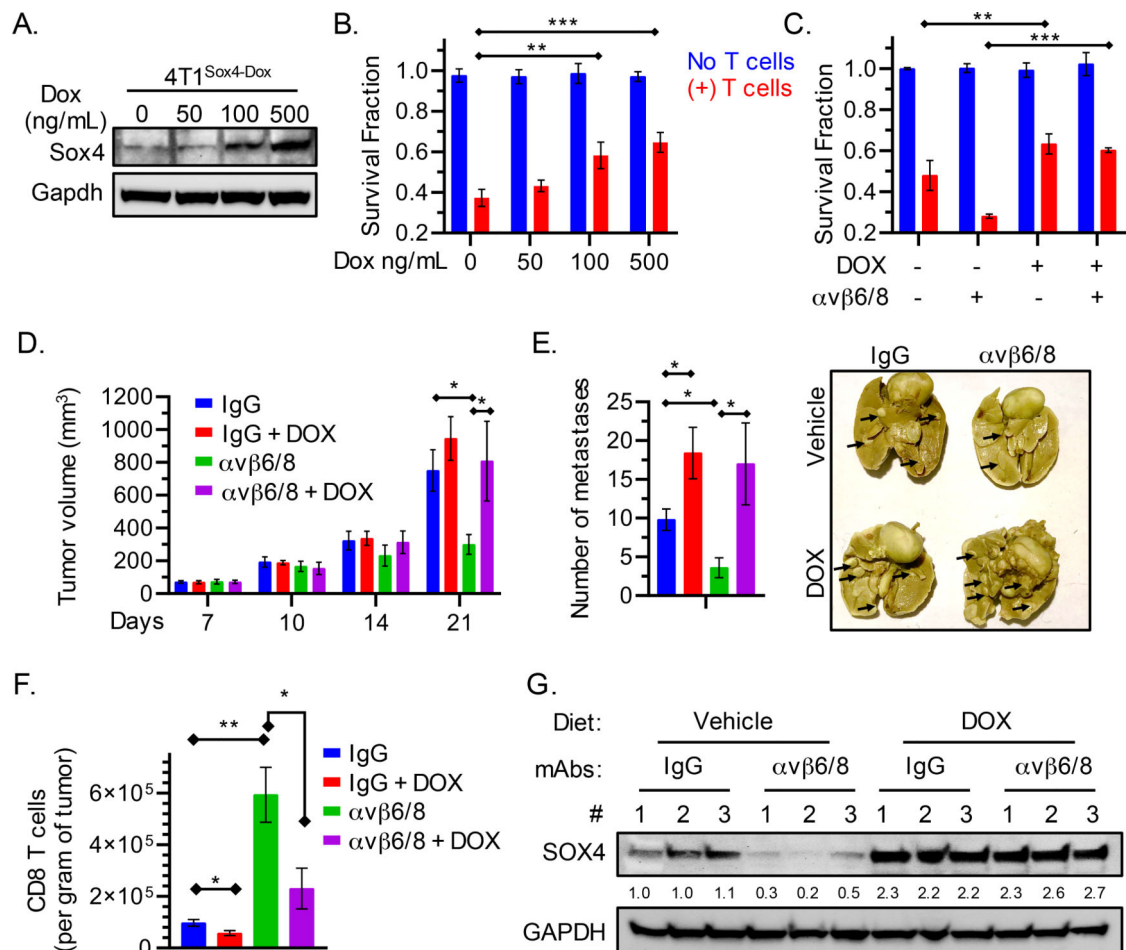
indicated time points for Py8119 model following monotherapy with integrin  $\alpha v \beta 6$  or isotype control mAbs; in the indicated groups CD8<sup>+</sup> T cells (CD8 $\beta$  mAb) and/or NK cells (NK1.1 mAb) were also depleted by administration of the respective antibodies (0.1 mg/dose) on days -1, 1, and weekly thereafter. **(F)** Number of 4T1 lung surface metastases in mice treated as described in (A) following staining with picric acid for 24 h. **(G)** Representative images of 4T1 lung surface metastases on day 24 following tumor inoculation. Data are summarized as mean  $\pm$  SD of tumor volume and are an average of two independent experiments. To determine statistical significance, a two way [A, B, and E] or a one-way [F] ANOVA with Dunnett's post hoc test and Kaplan-Meier log-rank (Mantel-Cox) test [C and D] were used. \*\*\*P < 0.001; \*\*P < 0.01; \*P < 0.05; n.s., not significant. See also Figure S3.



**Fig. 4. Analysis of tumor microenvironment in murine and human TNBC.**

(A) Quantification of tumor infiltrating CD8<sup>+</sup> T cells, represented as percentage of CD3<sup>+</sup> cells (left) and per gram of tumor (right) in Py8119 TNBC tumors (n=6) treated with indicated mAbs (FACS analysis 22 days following tumor inoculation). (B-C) Representative images showing CD8<sup>+</sup> T cell infiltration into Py8119 TNBC tumors (n=6) (B) and quantification of CD8<sup>+</sup> cells as percentage of DAPI<sup>+</sup> cells (C). (D-E) Contour plot showing migratory cross-presenting DCs, defined as CD45<sup>+</sup>/CD3<sup>-</sup>/F4/80<sup>-</sup>/CD11c<sup>+</sup>/MHC-II<sup>high</sup>/CD103<sup>+</sup>/CD11b<sup>-</sup> cells (D) and quantification of these cells as percentage of DCs

(CD45<sup>+</sup>/CD3<sup>-</sup>/F4/80<sup>-</sup>/CD11c<sup>+</sup>/MHC-II<sup>high</sup>) and total count (E). **(F-G)** Contour plot showing intra-tumoral F4/80<sup>+</sup> macrophages, defined as CD45<sup>+</sup>/CD3<sup>-</sup>/Gr1<sup>-</sup>/CD11b<sup>+</sup>/MHC-II<sup>+</sup>/F4/80<sup>+</sup> cells (F) and quantification of these cells as percentage of CD45<sup>+</sup> CD3<sup>-</sup> cells (top) and per gram of tumor (bottom) (G). **(H)** Human TNBC tumor sections stained with indicated markers. Serial sections were stained with DAPI and antibodies specific for CD8, E-cadherin and vimentin (panel #1) and DAPI, SOX4 and  $\alpha$ SMA (panel #2). Data are summarized as mean  $\pm$  SD. Data in [B, C] is an average of two independent experiments and data in [A, D, E, F and G] are representative of at least two independent experiments. For box plots, dots denote all individual values, horizontal lines denote median values, boxes extend from 25<sup>th</sup> - 75<sup>th</sup> percentile of each group's distribution, and no data points were excluded. An unpaired Student's t-test was used to determine statistical significance, \*\*\*P < 0.001; \*\*P < 0.01; \*P < 0.05. See also Figure S4 and S5.

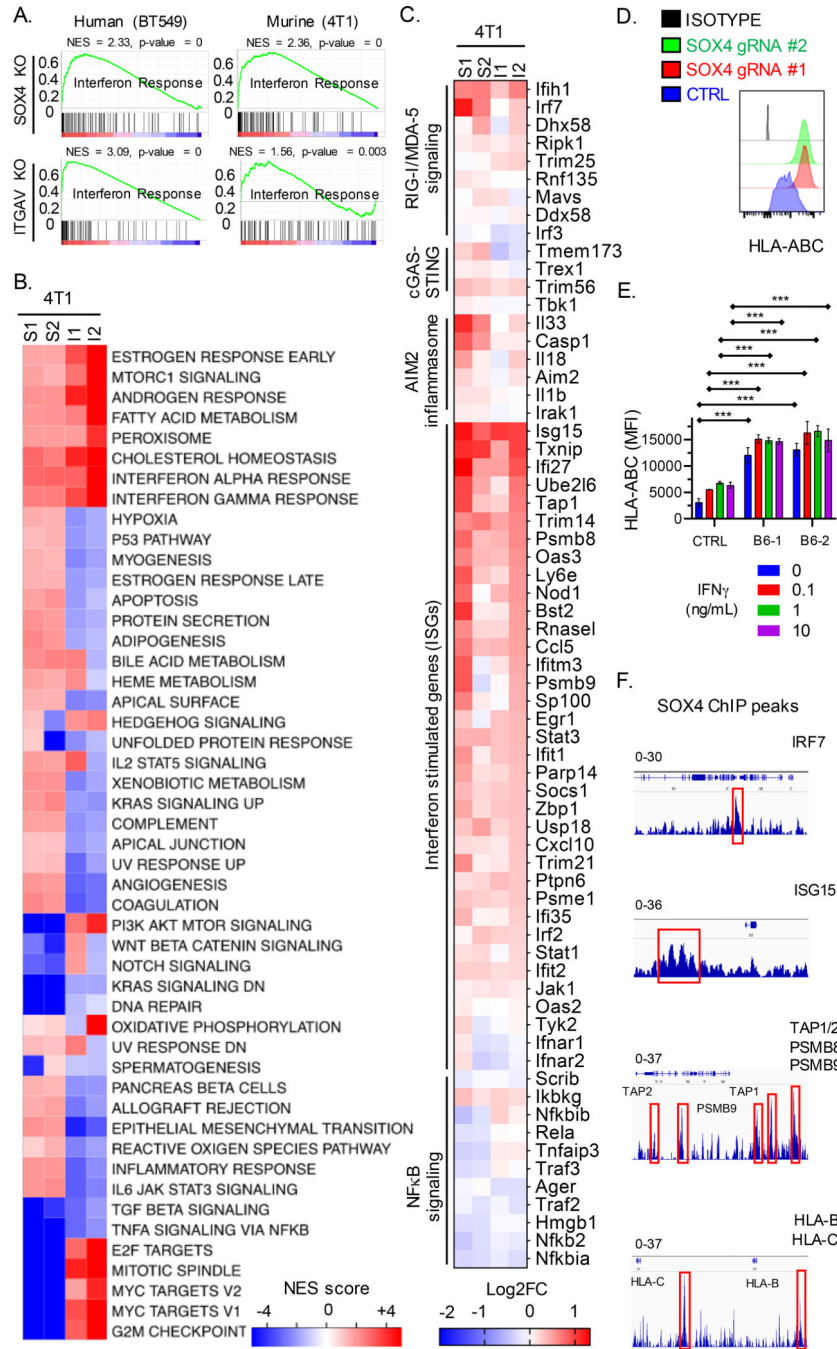


**Fig. 5. Relevance of SOX4 to the efficacy of integrin αvβ6/8 mAb treatment.**

(A) Immunoblot showing levels of SOX4 and GAPDH proteins in GFP<sup>+</sup> 4T1 murine TNBC cells containing a doxycycline (DOX) inducible Sox4 cDNA construct (4T1<sup>Sox4-Dox</sup>). Cells were treated with the indicated concentrations of doxycycline for 48 h. (B) Cells from (A) were co-cultured with murine GFP-specific CD8<sup>+</sup> T cells (red) (E:T = 1:1), and the fraction of surviving cells was quantified (Y-axis) after 24 h of co-culture. (C) 4T1<sup>Sox4-Dox</sup> tumor cells were treated with either DOX (500 ng/mL) alone or in combination with integrin αvβ6/8 mAb for 48 h followed by co-culture with murine GFP specific CD8<sup>+</sup> T cells (red) for 18 h. (D) 4T1<sup>Sox4-Dox</sup> TNBC (n=10 mice/group) primary tumor volume shown at indicated time points. Mice with similar tumor burden were fed either a regular diet or a doxycycline-containing diet (625 ppm, Envigo Teklad) starting on day 7 to induce the expression of SOX4 in tumor cells. Mice receiving either diet also received monotherapy with integrin αvβ6/8 or isotype control mAbs (IP, 0.25 mg/dose, twice weekly) until tumor volume in any group reached 1000 mm<sup>3</sup>. Data are summarized as mean ± SD of tumor volume. (E) Number of lung surface metastases in mice treated as described in (D) following staining with picric acid for 24 h. Summary of number of lung surface metastases (left) and representative images (right) on day 21 following tumor inoculation. (F) Quantification of tumor-infiltrating CD8<sup>+</sup> T cells per gram of tumor (right) following treatment as described in (D) on day 21 following tumor inoculation. (G) Immunoblot

showing levels of SOX4 and GAPDH proteins in 4T1<sup>Sox4-Dox</sup> tumors (n=3 per group) derived from mice treated as described in (D) on day 21. Numbers represent relative quantification of SOX4 to GAPDH, normalized to the average expression in vehicle and IgG treated controls. Data in [A, B, C and G] are representative of at least two independent experiments with technical triplicates and summarized as mean  $\pm$  SEM [B, C]. Data in [D, E, F] are an average of two independent experiments and summarized as mean  $\pm$  SD. To determine statistical significance, a one way ANOVA with Dunnett's [B-E] post hoc test or an unpaired Student t-test [F] was used. \*\*\*P < 0.001; \*\*P < 0.01; \*P < 0.05; n.s., not significant.

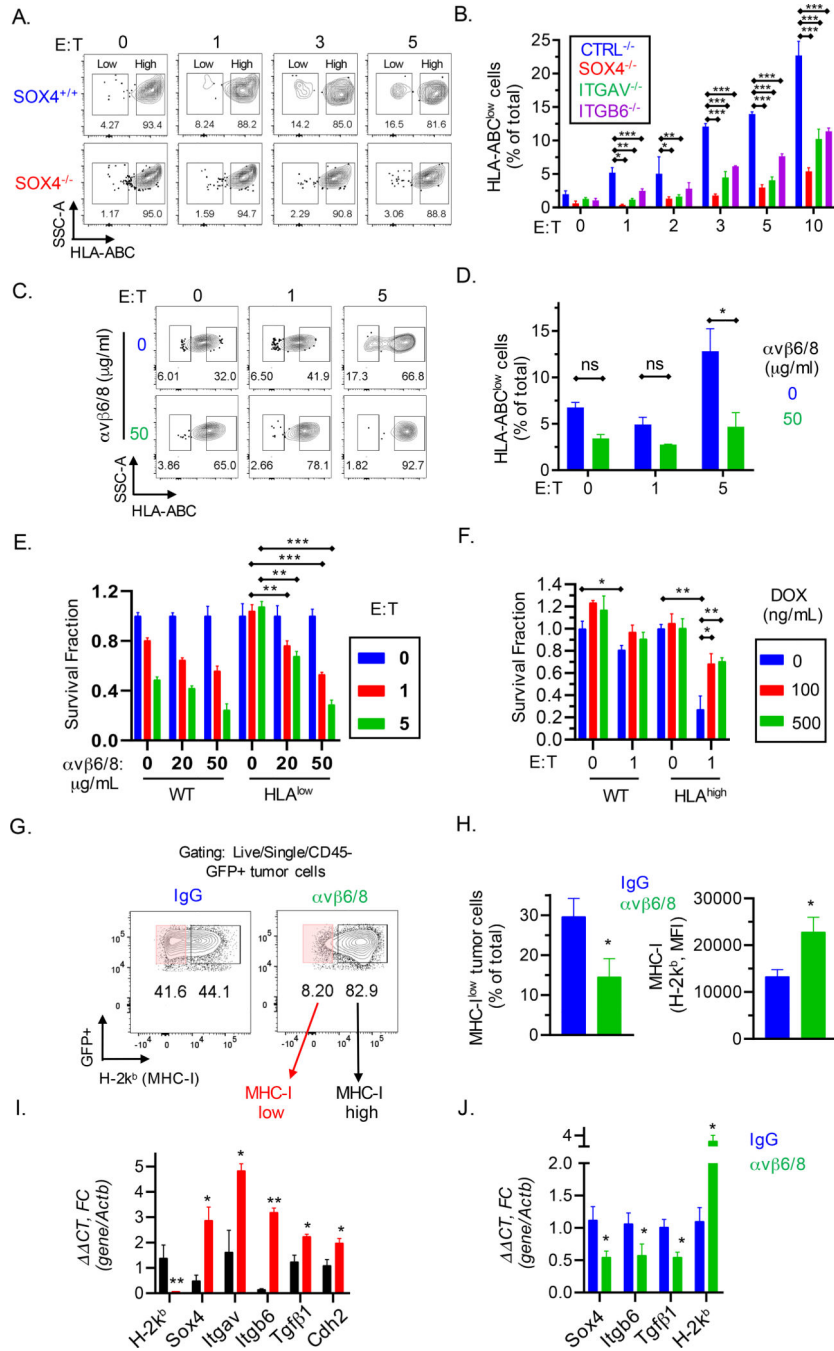




**Fig. 6. SOX4 regulates multiple innate and adaptive immune genes to inhibit T cell-mediated tumor immunity.**

(A) GSEA analysis for gene sets associated with an interferon response in human (BT549, left) and murine (4T1, right) TNBC cells edited with *SOX4* (top) or *ITGAV* (bottom) gRNAs compared to control edited cells. (B) GSEA results are summarized as the normalized enrichment score (NES) in *Sox4* (S1, S2) or *Itgav* (I1, I2) deficient 4T1 TNBC cells as compared to control edited counterparts. (C) Heat map showing RNA-seq data for indicated genes from 4T1 TNBC cells edited with either two *Sox4* (S1, S2) or two *Itgav* (I1,

I2) gRNAs. Gene expression is shown relative to control edited 4T1 cells (log<sub>2</sub>FC, color scale). **(D)** Surface HLA-ABC protein levels on BT549 cells edited with two different *SOX4* gRNAs or a control gRNA (CTRL); isotype control antibody staining is shown in black. **(E)** Surface HLA-ABC protein levels on BT549 cells edited with two different *ITGB6* (B6-1, B6-2) or control gRNAs, followed by stimulation with indicated concentrations of IFN $\gamma$  for 24 h. **(F)** ChIP-seq with SOX4 mAb in human BT549 TNBC cells. SOX4 specific peaks (red boxes) at the indicated gene loci relative to reference genome Hg19 analyzed using the IGV viewer (IGV, Broad Institute). The data range is shown on top for each indicated gene. Data in [A-C] represent an average of triplicates of two independent gRNAs for each gene knockout. Data in [D, E] are representative of at least two independent experiments with technical triplicates. Data in [F] was assessed using biological triplicates each composed of technical duplicates. A two-way ANOVA with Dunnett's post hoc test was used to determine significance in [D and E]. Data are summarized as mean  $\pm$  S.E.M, \*\*\* P < 0.001; \*\*P < 0.01; \*P < 0.05; n.s., not significant. See also Figure S6, Table S1, and S2.



**Fig. 7. Inactivation of *SOX4* gene inhibits emergence of MHC class I deficient TNBC cells during selection by cytotoxic T cells.**

(A) Contour plots showing enrichment of HLA-ABC low/negative populations following a 24 h co-culture of *SOX4*<sup>+/+</sup> or *SOX4*<sup>-/-</sup> BT549 human TNBC cells with human T cells expressing a NY-ESO-1 TCR at the indicated E:T ratios. (B) Quantification of HLA-ABC low/negative cells for indicated gene edited BT549 tumor populations following co-culture with CD8<sup>+</sup> T cells as described in (A). Data are summarized as mean ± S.E.M. (C-D) BT549 tumor cells were pretreated with indicated concentrations of integrin αvβ6/8 mAb

for 72 h and then co-cultured with CD8<sup>+</sup> T cells. Contour (C) and summary (D) plots of HLA-ABC low/negative BT549 TNBC cells following co-culture with CD8<sup>+</sup> T cells for 24 h at indicated E:T ratios. Isotype control Ab was used to define MHC-I negative populations. (E) Human BT549 TNBC cells expressing wild-type (WT) or low levels of HLA-ABC (HLA<sup>low</sup>) were sorted and then pre-treated with indicated concentrations of integrin  $\alpha\beta6/8$  mAb followed by co-culture with NY-ESO-1 specific CD8<sup>+</sup> T cells at the indicated E:T ratios. (F) BT549 TNBC cells were transduced with a doxycycline inducible *SOX4* cDNA construct followed by FACS-based enrichment of HLA-ABC<sup>high</sup> cells; tumor cells were then pre-treated for 48 h with the indicated concentrations (ng/ml) of doxycycline (DOX). Numbers of surviving wild-type (WT) or HLA-ABC<sup>high</sup> tumor cells were quantified after co-culture with CD8<sup>+</sup> T cells for 24 h. (G-J) Characterization of emergence of MHC class I deficient TNBC cells *in vivo*. (G) Contour plots showing expression of MHC-I (H-2K<sup>b</sup>) in Py8119 tumors derived from mice treated with either control IgG Abs or integrin  $\alpha\beta6/8$  mAbs. (H) Quantification of MHC-I<sup>low</sup> (H-2K<sup>b</sup>) cells shown in (G), represented as a percentage of total cells (left) or as MFI (right). (I) mRNA levels of indicated genes relative to  $\beta$ -actin in sorted MHC-I<sup>high</sup> (black) and MHC-I<sup>low</sup> (red) murine TNBC cells derived from isotype control IgG treated tumors as shown in (G) or (J) in whole tumors from mice treated as described in (G). Data in [A-D, G-J] are representative of at least two independent experiments. Data in [E, F] are representative of three independent experiments. A two-way ANOVA with Dunnett's post hoc test [B, D, E, and F] and an unpaired Student t-test [H-J] were used to determine significance, \*\*\*P < 0.001; \*\*P < 0.01; \*P < 0.05; n.s., not significant. See also Figure S7.

## KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
<b>Antibodies</b>		
SOX4	Abcam	ab86809
SOX4	Diagenode	CS-129-100
ITGAV	CST	4711S
GAPDH	CST	8884
pSMAD2	CST	18338
CD51	ThermoFisherScientific	14051282
ITGB6	ThermoFisherScientific	PAS-47588
CD45	BioLegend	103112
CD3	BioLegend	100222
CD4	BioLegend	100552
CD8	BioLegend	100742
PD1	BioLegend	135225
IFN $\gamma$	BioLegend	505836
F4/80	BioLegend	123132
Gr1	Biolegend	108407
CD11b	BioLegend	101216
CD11c	BioLegend	117328
MHC-II	BioLegend	107606
H-2K <sup>b</sup>	BioLegend	116518
E-cadherin	BioLegend	147304
HLA-ABC	BioLegend	311410
HLA-A0201	BioLegend	343306
Mouse anti-PD1	inVivoMAb	BioXcell RMPI-14 clone
Rat IgG2a isotype control	inVivoMAb	BioXcell 2A3 clone
CD8 depletion antibody	inVivoMAb	BioXcell, 2.43 clone
Alexa Fluor 594	Thermo	#40957
E-cadherin antibody	CST	CST3195S, Clone 24E10
Alexa Fluor 488	Thermo	#40953
CD8 antibody	Dako	M7103, Clone C8/144B
Alexa Fluor 647	Thermo	# B40958
Vimentin antibody	Dako	0725, Clone V9
$\alpha$ SMA antibody	Abcam	ab5694
Anti-human PD-1	Bioxcell	clone J116, BE0188
IgG control	Bioxcell	clone MOPC-21 #BE0083
anti-murine PD-1	Bioxcell	clone RMP1-14 #BE0146
anti-trinitrophenol rat IgG2a isotype control	Bioxcell	clone 2A3 #BE0089

REAGENT or RESOURCE	SOURCE	IDENTIFIER
CD16/CD32 antibody	PharMingen	clone 2.4G2, BD
<b>Chemicals, Peptides, and Recombinant Proteins</b>		
Human IL2	BioLegend	589104
Murine IL2	BioLegend	575406
Human IFN $\gamma$	PeproTech	#300-02
Murine IFN $\gamma$	Abcam	#Ab9922
collagenase type IV	Sigma-Aldrich	#C5138
DNase type IV	Sigma-Aldrich	#D5205
Hyaluronidase Type V	Sigma-Aldrich	#H6254
ACK lysis buffer	Life Technologies	#A1049201
Percoll density gradient media	Sigma-Aldrich	#P1644
puromycin	Takara Bio	631306
GlutaMAX	Life Technologies	35050061
Cas9 protein (20 $\mu$ M)	Macrolab	CAS9-200
Protease inhibitor cocktail	Roche	11836170001
Halt Phosphatase Inhibitor Cocktail	Thermo Scientific	#78427
HRP-catalyzed detection	Perkin Elmer	#NEL104001EA
Cell Culture Lysis Reagent	Promega	#E1531
Passive Lysis Buffer	Promega	#E1941
Reporter Lysis Buffer	Promega	#E3971
Collagen I	ThermoFisher	#A1048301
Fibronectin	Corning	356008
protamine sulfate	Sigma-Aldrich	P3369
Retronectin	Takara Bio	T100B
$\alpha$ CD3/ $\alpha$ CD28 beads	Life Technologies	#11132D
CD8 Dynabeads	StemCell	#19053
CD3/CD28 Dynabeads	Life Technologies	#11453D
RPMI 1640 media	ThermoFisher	#11875093
Fix/Perm buffer	eBioscience	#00-5521-00
Hyaluronidase Type V	Sigma-Aldrich	#H6254
DNase Type IV	Sigma-Aldrich	#D5205
Collagenase Type IV	Sigma-Aldrich	#C5138
<b>Critical Commercial Assays</b>		
TSA Fluorescein kit	Perkin-Elmer	#NEL701001KT
TSA Biotin kit	Perkin-Elmer	#NEL700001KT
BOND Polymer Refine Detection kit	Leica	#DS9800
BOND Polymer Refine Red Detection kit	Leica	#DS9390
BOND Intense R Detection kit	Leica	#DS9263
Cytofix kit	BD Bioscience	#554655

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Transcription Factor Staining kit	eBioscience	#00-5523-00
cDNA synthesis kit	Applied Biosystems, Carlsbad, CA	4368813
CD8 T cell isolation kit	STEMCELL	#19753
MycAlert mycoplasma detection kit	Lonza	#LT07-118
Pierce BCA Protein Assay Kit	ThermoFisher Pierce	23225
PrimeScript RT reagent kit	Takara	RR037B
SYBR Premix Ex Taq II	Takara	RR820B
RNeasy Plus Mini Kit	Qiagen	(# 74134
TruSeq RNA Sample Prep Kit v2	Illumina	RS-122-2001
Luciferase Assay System® (Glo Lysis Buffer)	Promega	#E2661
LIVE/DEAD Fixable Dead Cell Stain Kit	Molecular Probes	L23105
gentleMACS™ Dissociator	Miltenyibiotech	#130-093-235
CFX96 Real-Time PCR System	Bio-Rad	1855196
7900HT Fast Real-Time PCR System	Applied Biosystems, Carlsbad, CA	4351405
The BOND Polymer Refine Detection kit	Leica Biosystems	DS9800
Aperio ScanScope CS System	Aperio Technologies	NA
Lonza 4D Nucleofector Core Unit	Lonza	#AAF-1002B
SF Cell Line 96-well Nucleofector™ Kit	Lonza	#V4SC-2096
ChemiDoc MP Imaging System	Bio-Rad	#12003154
FACSDiva Software version 8.0.	BD	330798
LSR Fortessa X-20 cell analyzer	BD	658222R1
<b>Deposited Data</b>		
RNA Seq. raw reads and processed data	this paper	GEO: <a href="#">GSE144014</a>
ChIP Seq. raw reads and processed data	this paper	GEO: <a href="#">GSE144014</a>
<b>Experimental Models: Cell Lines</b>		
4T1	ATCC	CRL-2539
Py8119	ATCC	CRL-3278
BT549	ATCC	HTB-122
Hs578T	ATCC	HTB-126
B16F10	ATCC	CRL-6475
HEK293T	ATCC	CRL-11268
HepG2-Luc	Signosis	SL-0016-NP
<b>Experimental Models: Organisms/Strains</b>		
Balb/c	JAX	#000651
C57Bl/6J	JAX	#000664
B6.Cg-Thy1a/Cy Tg(TcraTcrb) 8Rest/J	JAX	#005023
<b>Oligonucleotides</b>		
Primers for RT-qPCR, see Table S3	NA	NA
crRNA sequences, see Table S3	NA	NA

REAGENT or RESOURCE	SOURCE	IDENTIFIER
<b>Recombinant DNA</b>		
pINDUCER21-SOX4	Gift from George Daley	Addgene plasmid # 51304
pENTER-CMV-SOX4	Vigene Biosciences	CH830603
<b>Software and Algorithms</b>		
Flowjo v10.5	Flowjo, L.L.C.	RRID: SCR_008520
Prism v8.0.1	Graphpad	RRID: SCR_002798
Fiji v2.0.0	ImageJ	RRID: SCR_002285
cBioportal v2.2.0	MSK Center for Mol Onc	<a href="https://www.cbioportal.org/">https://www.cbioportal.org/</a>
ssGSEA v2.0	Broad Institute	<a href="http://software.broadinstitute.org/gsea/index.jsp">http://software.broadinstitute.org/gsea/index.jsp</a>
FACSDiva	BD Biosciences	RRID: SCR_001456
MSigDB (Molecular signature database)	Broad Institute	<a href="https://www.gsea-msigdb.org/gsea/msigdb">https://www.gsea-msigdb.org/gsea/msigdb</a>
ImageScope software	Aperio Technologies	Aperio, V10.2.1.2315
GraphPad Prism	GraphPad	<a href="https://www.graphpad.com/scientific-software/prism/">https://www.graphpad.com/scientific-software/prism/</a>
<b>Other</b>		
Optimum Growth shaker flasks	Thompson Scientific	507516355
Multitron incubation shaker	Infors HT	IN3001
Protein G Sepharose affinity columns	GE Healthcare	17061802
Superose 6 HPLC column	GE Biosciences	29091596
Amicon spin columns	Millipore	UFC900396
96 Well Black Polystyrene Microplates	Corning	#3603
Collagen I coated 96 well plates	ThermoFisher	#A1142803