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Author manuscript J Am Coll Cardiol. Author manuscript; available in PMC 2021 February 04.

Published in final edited form as:

J Am Coll Cardiol. 2020 February 18; 75(6): 620–628. doi:10.1016/j.jacc.2019.11.059.

# **Reporting of Cardiovascular Events in Clinical Trials Supporting FDA Approval of Contemporary Cancer Therapies**

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# **Abstract**

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**APPENDIX** For supplemental figures, please see the online version of this paper.

**BACKGROUND—**Cardiovascular disease (CVD) has become an increasingly common limitation to effective anticancer therapy. Yet, whether CVD events were consistently reported in pivotal trials supporting contemporary anticancer drugs is unknown.

**OBJECTIVES—**The authors sought to evaluate the incidence, consistency, and nature of CVD event reporting in cancer drug trials.

**METHODS—**From the Drugs@FDA, [clinicaltrials.gov,](http://Clinicaltrials.gov) MEDLINE, and publicly available U.S. Food and Drug Administration (FDA) drug reviews, all reported CVD events across latter-phase (II and III) trials supporting FDA approval of anticancer drugs from 1998 to 2018 were evaluated. The primary outcome was the report of major adverse cardiovascular events (MACE), defined as incident myocardial infarction, stroke, heart failure, coronary revascularization, atrial fibrillation, or CVD death, irrespective of treatment arm. The secondary outcome was report of any CVD event. Pooled reported annualized incidence rates of MACE in those without baseline CVD were compared with reported large contemporary population rates using relative risks. Population risk differences for MACE were estimated. Differences in drug efficacy using pooled binary endpoint hazard ratios on the basis of the presence or absence of reported CVD were also assessed.

**RESULTS—**Overall, there were 189 trials, evaluating 123 drugs, enrolling 97,365 participants (58.5 5 years, 46.0% female, 72.5% on biologic, targeted, or immune-based therapies) with 148,138 person-years of follow-up. Over a median follow-up of 30 months, 1,148 incidents of MACE (375 heart failure, 253 myocardial infarction, 180 strokes, 65 atrial fibrillation, 29 revascularizations, and 246 CVD deaths; 792 in the intervention vs. 356 in the control arm;  $p <$ 0.01) were reported from the 62.4% of trials noting any CVD. The overall weighted-average incidence was 542 events per 100,000 person-years (716 per 100,000 in the intervention arm), compared with 1,408 among similar-aged non-cancer trial subjects (relative risk:  $0.38$ ;  $p < 0.01$ ), translating into a risk difference of 866. There was no association between reporting CVD events and drug efficacy (hazard ratio:  $0.68$  vs.  $0.67$ ;  $p = 0.22$ ).

**CONCLUSIONS—**Among pivotal clinical trials linked to contemporary FDA-approved cancer drugs, reported CVD event rates trail expected population rates.

#### **Keywords**

cancer clinical trials; cardiovascular disease; U.S. Food and Drug Administration; cardiooncology; reporting of adverse events

> Cardiotoxicity is an increasingly common, but important, limitation of effective cancer therapy (1). Over the past 2 decades, there has been a rapid uptake in the number of novel anticancer therapies, with >120 new U.S. Food and Drug Administration (FDA) drug approvals since 2000 alone (1). Many of these drugs have been associated with dramatic improvements in survival  $(1–7)$ . However, concurrently, cardiovascular disease (CVD) has become increasingly prevalent among cancer patients receiving novel cancer therapies, with a reported incidence of up to 20% (8–13). Unfortunately, many of these events are not well explained by traditional CVD risk factors, and both their frequency and severity often differ from those reported in isolated supportive clinical trials (8–10,13).

In the United States, novel anticancer therapies are reviewed by the FDA for safety, with particular emphasis on supporting latter-phase clinical trials, before drug approval and release (14). Incumbent on these (pivotal) clinical trials is the rigorous reporting of potentially limiting or impactful adverse events to allow informed assessment (15). Among cancer patients, the burden of CVD risk (e.g., age or hypertenion) is generally elevated at the time of treatment initiation (16). In addition, available retrospective data have increasingly linked anticancer therapy initiation with a disproportionate rise in general CVD risk (10). As such, it may be reasonable to postulate that the observance of these events would not be uncommon. Yet, whether CVD events are routinely and consistently reported within these pivotal anticancer clinical trials is unknown.

## **METHODS**

#### **STUDY POPULATION.**

Leveraging the Drugs@FDA database, we performed a manual search of all anticancer therapies given new drug application (NDA) approvals from January 1, 1998, to June 30, 2018 (14). Drugs or biologics approved for patients \$18 years old were considered eligible for final consideration.

Non-cancer treatment therapies were excluded. We accessed publicly available FDA drug labeling and reporting sites, as well as medical and statistical reviews available at Drugs@FDA to comprehensively capture all drugs approved for anticancer treatment. Latter-phase (II and III) clinical trials tied to drug approval, including those identified through MEDLINE, published abstracts, trial supplements, [clinicaltrial.gov](http://Clinicaltrials.gov) registration, or reported on any other publicly available FDA drug reviews, were identified and extracted. Corresponding authors were contacted in cases of ambiguity regarding reporting. All drug trial data were procured and reviewed by 2 independent reviewers before entry. Following validation, all additional potentially relevant cancer and cardiovascular variables within the trials were collected, including study duration, funding source, number of participants, therapy class/type (e.g., biologic or immunotherapy), and preceding reports of cardiotoxicity within therapeutic-class (17). Cardiovascular events were defined as incident myocardial infarction, stroke, heart failure (HF) development, any coronary or peripheral revascularization, acute thromboembolism, myocarditis, cardiac tamponade, atrial fibrillation (AF) (or any other arrhythmia), valvular heart disease, significant (\$grade 3 common terminology criteria for adverse event [CTCAE]) hypertension including hypertensive emergency, cardiovascular death, or any other mention of CVD (18).

# **OUTCOMES.**

Our primary outcome was the reported rate of incident major adverse cardiovascular events (MACE), including myocardial infarction, stroke, congestive heart failure, AF, coronary revascularization, or cardiovascular death, among anticancer therapy trial participants. The secondary outcome was the report of any CVD event among all trial participants. Follow-up began from the time of drug initiation to the trial closure date for each approved drug, respectively.

#### **STATISTICAL ANALYSIS.**

Descriptive statistics were used to summarize patient characteristics, using mean  $\pm$  SD or median (interquartile range) for continuous variables, and frequency counts with percentages for categorical variables. Depending on the expected cell counts of the corresponding contingency tables, chi-square or Fisher exact tests were used to explore the association between groups and other categorical variables. Multivariable stepwise logistic regression was used to assess for trial characteristics associated with CVD nonreporting. Specifically, all variables were initially considered for the multivariable model. Those variables meeting an entry significance level of  $p = 0.3$  were allowed into the model, after which those above an exit significance level of  $p = 0.2$  were sequentially removed from the final model. For this analysis, the covariates considered included: cancer type, therapeutic class, trial size, trial funding source, trial start of enrollment, year of approval, trial duration (months), trial setting, exclusion of patients with baseline CVD, prior cardiotoxicity within (same) therapeutic class, and excess CVD risk in preceding (safety) trials. Additionally, to further evaluate relationships considered, the Hosmer-Lemeshow test was used to assess parsimony of the model.

Person-years incidence rates for CVD events among trial participants were calculated to compare the annualized incidence of CVD events reported within the study trials and epidemiological rates from a contemporary similar-aged large-population, using the z-test of proportions. The prospective MESA (Multi-Ethnic Study of Atherosclerosis) observational cohort study measured contemporary incidence rates of CVD and served as our comparison population (19). This population was composed of middle-aged patients, free of clinical CVD, in whom the frequency of subsequent incident CVD events were tracked and captured. The proportion of CVD events reported was estimated by dividing the incidence of the CVD among trial participants by the total incidence among MESA participants. A calculated ratio of 1 indicates that the trial event rates approximates that of the epidemiological data. A ratio <0.8 or >1.2 indicates that CVD events were underreported or overreported, respectively, relative to the control population. Where available, reported CVD-specific event rates were compared with similar-aged contemporary (nontrial) population rates (20–27). Risk differences (RD) were calculated as: ([number of cases from our selected trials] [number of expected cases per the epidemiological data] 100,000)/ person-years at risk (28). For example, consider a trial where the expected number of CVD events was 35 per 1,000 person-years of follow-up. If a clinical trial were to report 14 events per 1,000 person-years, the reported-to-expected ratio would be: 14 (events reported) / 35  $(events$  expected $) = 0.4$ .

To describe the differences in the magnitude of drug efficacy among trials that reported or did not report CVD events, we used pooled binary endpoint hazard ratios (HRs). More specifically, to correlate the relationship between the reporting of CVD events and trial therapy efficacy, we used reported HRs and 95% confidence intervals for trials that reported binary endpoints (progression-free survival, disease response, or mortality). These values accounted for individual trial size within subgroups. The results from these trials were expressed by stratification according to trial reporting of CVD events in a single forest plot, for visual assessment. Drug approvals by both primary cancer type (e.g., breast cancer) and

therapeutic-class (e.g., immunotherapy) were considered. These drugs were primarily approved on the basis of efficacy data from a single trial. Due to the significant heterogeneity in continuous endpoints among trials and lack of consistent controls we focused on binary endpoints to describe efficacy. Percentages and mean  $\pm$  SD values were reported to describe this distribution of categorical and continuous variables, respectively. All analyses were performed with SAS software version 9.4 (SAS Institute, Cary, North Carolina), and the statistical tests were 2sided with statistical significance evaluated at the α  $= 0.05$  significance level.

# **RESULTS**

Overall, there were 240 drugs given new drug application status, of which 123 met the prespecified inclusion criteria, enrolling a total of 97,365 patients, including 57,978 in the intervention arm(s) (Online Figure 1). The median age of study participants was 61.0 years (interquartile range: 54.5 to 63.8 years), including 46.0% female, with a median trial size of 100 to 499 participants, whereas biologic, targeted, or immune-based therapies accounted for the majority (72.5%) of drug approvals (Table 1); threshold definiions for CVD exclusion were previously described (18). CTCAE was most commonly (81.0%) used for CVD threshold definitions. In total, 51.3% of trials did not report MACE, including 37.6% not reporting any CVD events in follow-up (Figure 1). In multivariable analysis, outside of trial duration, and time of trial initiation, no specific trial characteristics were associated with the presence or absence of reported CVD events (Table 1). There was no association between prior reported in-class cardiotoxicity and the subsequent reporting of CVD during trial follow-up (odds ratio: 2.03 [95% confidence interval: 0.92 to 4.50]).

Over the available 148,138 person-years of follow-up (median trial duration 30 months, range of 12 to 92 months), there were 1,148 incident MACE events reported (375 HF, 253 ACS, 180 strokes, 65 AF, 29 coronary revascularizations, and 246 CVD deaths) (Table 2). Among trials that reported MACE, 792 were noted within the intervention arms compared with 356 in the control ( $p < 0.01$ ). Furthermore, there were 4,739 total reported CVD events reported, with 3,142 noted in the intervention arms and 1,597 within the control arms ( $p \lt$ 0.01) of trials reporting CVD.

There were 63 drugs, supported by 99 trials, approved at least in part on the basis of a binary efficacy endpoint. Among these trials, there was no difference in efficacy on the basis of CVD event reporting (HR:  $0.68$  vs.  $0.67$ ;  $p = 0.22$ ) (Online Figure 2).

Moreover, among the 64 trials (34%) where patients with baseline CVD were excluded, 24 (37.5%) did not report any MACE. There were 269 MACE reported (157 HF, 18 ACS, 16 stroke, 13 AF, 52 CVD deaths, and no coronary revascularizations) from a total of 2,590 CVD events during a combined 49,660 years of trial patient follow-up. This translated into an overall weighted average reported incidence rate of 542 per 100,000 person-years (716 per 100,000 in the intervention arm) for MACE events. Compared with the reported incidence rate of 1,408 per 100,000 person-years among a similar aged noncancer trial population, this represented a reported-to-expected ratio of 0.385 (or 2.60-fold lower rate of reported events; p < 0.001) and a RD of 866 (Central Illustration). Similarly, CVD also

appeared to be reported at lower rates compared with non-CVD events (Online Figure 3) (29). In those trials allowing persons with baseline CVD (125 trials), 57.6% did not report MACE. In these trials, there were 892 events (218 HF, 235 ACS, 164 strokes, 52 AF, 29 coronary revascularizations, and 194 CVD deaths) with 600 in the intervention versus 292 in the control arm ( $p < 0.01$ ).

Stratification by all available CVD event types showed lowest relative reporting rates for AF and myocarditis, respectively, with higher rates of venous thromboemboli (Central Illustration, **panel A**). Further, when considering all anticancer trial subjects irrespective of baseline CVD status (97,365 participants), the overall reported-to-expected ratio remained low, at 0.564 (or 1.77-fold lower rate of reported events;  $p < 0.001$ ) and a RD of 613.

# **DISCUSSION**

In this evaluation of pivotal clinical trials supporting the FDA approval of contemporary anticancer therapies, nearly 40% of trials did not report any CVD events in follow-up. In those trials reporting CVD events, the noted rates of events were markedly lower than that observed among general, nontrial populations. This pattern remained, even after accounting for the presence of preceding reports of excess therapeutic-class CVD, and the inclusion (or exclusion) of patients with baseline CVD. These findings suggest a general underreporting and/or appreciation of CVD events among cancer clinical trial participants. This is concerning, particularly given the growing prevalence of limiting CVD among patients treated with novel anticancer therapies (8–13). Moreover, these discrepancies may in part underlie the discordance between perceived CVD risk and that observed in actual clinical care (1,8,11,13).

Clinicians and patients faced with challenging decisions regarding the use of potentially lifesaving anticancer therapies rely on the consistency of reporting within supporting clinical trials (30,31). Often within this review, significant heterogeneity in the frequency and interpretation of CVD events was observed. This was exemplified by the absence of reported events with hormonal therapies in early breast cancer trials, and by low rates of CVD in early immune checkpoint therapy trials among lung cancer treatment trials, populations known to be at elevated risk for incident CVD events and where CVD has emerged as a limiting factor (3,32–36). And although some uptake was seen in reporting among more recent studies, well after 2006, a time when more uniform federal regulations regarding adverse event reporting were announced, many of the more recent trials evaluating therapies with apparent cardiotoxicities did not report a signal of CVD (2,3,8,11,12,36).

Furthermore, threshold definitions of CVD varied between studies (e.g., cardiomyopathy was defined as clinical HF in some trials, whereas objective decline in ejection fraction to <50% was used in others) (17,36). Observance of these discrepancies, coupled with increasingly appreciated real-world events, suggest enhanced emphasis on more objective thresholds for CVD identification may be of benefit (8,13).

A careful balance between anticancer drug efficacy and toxicity is necessary for the accurate interpretation of benefit and risk. Due to the often debilitating nature of CVD, significant

priority to its reporting, even where final attribution is not known, is crucial for the accurate determination of risk (8–10). Within cancer clinical trials, the adoption and adherence to systemic reporting of adverse events is standard for the evaluation of clinical data (30,31). However, many trials focused primarily on anticancer efficacy, with likely limited awareness of the impacts of CVD, may still have underreported CVD events, particularly given their prevalence in the general population (37,38). In addition, significant variability in the interpretation of the signs and symptoms of CVD among more focused providers may limit the reporting of potentially relevant events (37). Furthermore, although mortality and anticancer drug discontinuation are frequently considered, attribution as to the exact factors relating to these events are often underreported (38). Despite these challenges, reporting of these potentially major or lifethreatening events is inadequate. Given the high morbidity and mortality associated with CVD, understanding these patterns may bear insight into the factors relating to the effects of CVD in this growing population.

Our results provide significant insights into the nature of CVD reporting within pivotal cancer trials. Prior, more isolated or disease-specific studies have suggested potential discrepancies in the reporting patterns of CVD events within cancer-focused trials (13,38,39). This is exemplified by trastuzumab, a monoclonoal antibody against erb-b2, where the trial-reported incidence of HF was 2.5% even in combination with anthracyclines, but later in practice, was observed to approach 20.1% upon focused CVD evaluation (38,39). Similarly, initial rates of myocarditis and incident CVD following initiation of immune checkpoint therapy were <0.05% (3,4). However, although the exact incidence is unclear, the rates appear to approach nearly 2%, depending on the population studied (35,40). Despite our observation of some uptake in reporting among more recent studies, solutions for these discrepancies will require more systematic and prospective evaluation. Yet, consideration for the implementation of routine centralized event adjudication committees, review of post-trial patient-specific international classification of diseases code event entries, cost-effective CVD screening, or the use of patient-reported outcomes may improve capture of potentially relevant events (30,37,41,42).

#### **STUDY LIMITATIONS.**

This study focused on latter phase pivotal clinical trials, and did not include data from early stage, palliative, or nonefficacious drugrelated trials. However, given the desire to best reflect drugs commonly used in contemporary clinical practice, we focused on these highprofile and more well-controlled studies. Also, CVD thresholds and definitions were not consistently specified beyond use of CTCAE cardiac grades, which often rely heavily on clinical interpretation (e.g., myocarditis) (18). The number of U.S.-only trials was relatively small. Due to absence of uniform primary source data across trials, competing risk analysis could not be performed. Available contemporary noncancer comparison CVD reporting was not readily available. Further, the methods of CVD event collection and adjudication varied across trials. CVD (non)-reporting rates among recent non-cancer trial populations were not readily available for comparison. Broad, controlled cancerspecific populations with robust CVD reports over time were not readily available (36). As such, the use of a contemporaneous, but general middle-aged population for comparison of CVD event rates may not reflect or may potentially even underestimate event rates among cancer patients

(43). Moreover, clinical trial participants are often healthier than real-world patients. Yet, even when allowing for consideration of trials inclusive of patients with baseline CVD, low reporting patterns remained. Also, use of a similar population free of CVD for comparison would at least in part reduce this bias. Additionally, the use of potential CVD preventative agents, such as statins, was not routinely reported despite extensive search.

## **CONCLUSIONS**

CVD is an increasingly important limitation of effective cancer therapy. Among clinical trials supporting contemporary anticancer therapies, cardiovascular events were frequently not reported. Enhanced focus on the consistency and systematic nature of CVD reporting following cancer treatment initiation is needed.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

#### **Acknowledgments**

This work was supported in part by National Institutes of Health (NIH) grant P30 CA016058, and by NIH grants KL2-TR002734 (Dr. Brammer), R01-CA238946 (Dr. Lustberg), and K12-CA133250 (Dr. Addison). Ms. Baker was supported by the Ohio State University Comprehensive Cancer Center's Pelotonia grant funds. Dr. Carter is supported in part by charitable contributions from the Mary H. and J. Churchill Hodges Clinical Prevention Program Fund at the Ohio State University Wexner Medical Center. Dr. Awan has received research funding from Innate Pharma, and Pharmacyclics; has provided consulting services to Gilead Sciences, Pharmacyclics, Janssen, Abbvie, Sunesis, AstraZeneca, Genentech, and Novartis Oncology; and has served on the Speakers Bureau of Abbvie and AstraZeneca. Dr. Paskett has received research funding from Merck, Foxconn, Pfizer, and The American Cancer Society; and has been a stockholder in Pfizer. All other authors have reported that they have no relationships relevant to the contents of this paper to disclose.

# **ABBREVIATIONS AND ACRONYMS**



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#### **PERSPECTIVES**

#### **COMPETENCY IN SYSTEMS-BASED PRACTICE:**

The frequency of cardiovascular events in clinical trials supportingcurrent cancer therapies is lower than expected population rates. Careful interpretation should be used when assessing the cardiotoxicity risk from these trials.

# **TRANSLATIONAL OUTLOOK:**

Additional studies are neededto determine whether incorporating patient-reported outcomesor more rigorous event adjudication enhances the consistency of cardiovascular event reporting in clinical trials of cancer therapeutics.







#### **CENTRAL ILLUSTRATION. Cardiovascular Events in Pivotal Cancer Trials**

**(A)** Proportional frequency of incident reported cardiovascular disease(CVD)events during cancer trial follow-up, compared with reported contemporary nontribal population estimates (19–27) Plots stratified by CVD event types (hypertension not shown to due to high variation in rates based on drug type). **(B)** Reported versus observed cumulative CVD incidence rates, depicted in events per 100,000 person-years of available follow-up. Estimates reflect major adverse cardiovascular events from supporting anticancer trials compared with observed rates in a similar-aged contemporary population. \*There were zero events reported for myocarditis

**TABLE 1**

Report of CVD in Follow-Up, by Cancer Trial Characteristic Report of CVD in Follow-Up, by Cancer Trial Characteristic





J Am Coll Cardiol. Author manuscript; available in PMC 2021 February 04.

\* The percentages reported reflect a denominator of the number of trials within the subgroup considered.  $^{\prime}$ The univariate p values presented describe associations estimated using Cochran-Armitage test for trend, chi-square, or Fisher exact tests, as appropriate. Use of the Cochran-Armitage trend test for the association The univariate p values presented describe associations estimated using Cochran-Armitage test for trend, chi-square, or Fisher exact tests, as appropriate. Use of the Cochran-Armitage trend test for the association of time (start of enrollment or year of approval), trial size, and duration with CVD reporting yielded p values of <0.001, 0.031, 0.039, and 0.513, respectively. For the final model, all variables

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were considered and those with p values <0.30 (i.e., cancer type, therapeutic class, start of trial enrollment, year of approval, trial duration, and prior cardiotoxicity within therapeutic class) were included in were considered and those with p values <0.30 (i.e., cancer type, therapeutic class, start of trial enrollment, year of approval, trial duration, and prior cardiotoxicity within therapeutic class) were included in the multivariable stepwise regression model, where a  $p = 0.20$  significance level was used to determine variables that remained in the final multivariable model. the multivariable stepwise regression model, where a p = 0.20 significance level was used to determine variables that remained in the final multivariable model.

‡ Several therapies were approved on the basis of breakthrough phase II data, with ongoing or as yet to be initiated phase III trials. \*Several therapies were approved on the basis of breakthrough phase II data, with ongoing or as yet to be initiated phase III trials.

 $\delta$  Most international trials, included sites in the United States as well. Most international trials, included sites in the United States as well.

Not included in multivariable model due to low (United States only) cell count. || Not included in multivariable model due to low (United States only) cell count.

 $\#$  rior established cardiotoxicity among drugs within the same therapeutic class. Prior established cardiotoxicity among drugs within the same therapeutic class.

 $CVD = cardiovascular disease; GI = gas trointestinal$  $CVD =$  cardiovascular disease;  $GI =$  gastrointestinal

#### **TABLE 2**

#### Types of CVD Events Reported



Values are n (%).

\* The sum of the trial excluded is >100% and greater than the overall number of excluded trials because several studies used multiple exclusion criteria.

 $\dot{\tau}$ The percentages reported reflect a denominator of 189.

 $\dot{\tau}$ Usually defined as >150/90 mm Hg, consistent with mild (i.e., stage 1) hypertension by the available contemporary guidelines.

§ No trials reported peripheral revascularizations.

 $\mathbin{\llbracket}$ Includes those with sudden cardiac death or coronary artery disease–related death or any other mention of cardiovascular death.

¶ Other CVD included valvular disease, myocarditis, or other mention of cardiovascular disease

# Trials where multiple types of CVD events (e.g., ACS, and heart failure) were reported. ACS = acute coronary syndrome; CVA = cerebrovascular accident;

ACS = acute coronary syndrome; CVA = cerebrovascular accident; CVD = cardiovascular disease; HTN = hypertension.