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# The future of upper extremity rehabilitation robotics: research and practice

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#### Abstract

The loss of upper limb motor function can have a devastating effect on people's lives. To restore upper limb control and functionality, researchers and clinicians have developed interfaces to interact directly with the human body's motor system. In this invited review, we aim to provide details on the peripheral nerve interfaces and brain-machine interfaces that have been developed in the past 30 years for upper extremity control, and we highlight the challenges that still remain to transition the technology into the clinical market. The findings show that peripheral nerve interfaces and brain-machine interfaces have many similar characteristics that enable them to be concurrently developed. Decoding neural information from both interfaces may lead to novel physiological models that may one day fully restore upper limb motor function for a growing patient population.

#### **Keywords**

brain-machine interfaces; electrophysiology; neural decoding; neuroprosthetics; peripheral nerve interfaces; rehabilitation robotics

## 1 | INTRODUCTION

The sudden loss of motor function in the upper extremity can significantly alter a person's way of life, which creates many new physical and emotional burdens that can last a lifetime.

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ETHICAL PUBLICATION STATEMENT

We confirm that we have read the Journal's position on issues involved in ethical publication and affirm that this report is consistent with those guidelines.

CONFLICT OF INTEREST

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For the past 30 years, clinicians and engineers have worked together to treat patient populations most affected by upper extremity loss and functional deficits. Their main goal is to provide and restore intuitive control of the patient's own limb or an artificial limb. In the United States alone, approximately two million people live with limb loss, with  $\sim 500~000$  people who have undergone upper extremity amputations. <sup>1</sup> In addition, the prevalence of spinal cord injury (SCI), an injury that impairs limb mobility, ranges from 249 000 to 363 000 persons with approximately 17 730 new SCI cases each year. <sup>2</sup> Unfortunately, current clinical treatments for these problems are incapable of restoring full functional capabilities of an intact upper limb. At most, people with amputations can be provided with simple prostheses, which unfortunately provide only basic or rudimentary movements. Similarly, rehabilitation can improve some hand function in people with SCIs at the cervical level, but it falls short of providing full hand control. Among both populations, surveys have shown that restoration of hand function is the highest priority. <sup>3,4</sup>

The past two decades have shown an acceleration in development of more articulated, lifelike prosthetic hands in the commercial sector.<sup>5–7</sup> Despite these advancements, an appropriate prosthetic interface for the user to actively control all functionalities of the prosthesis in a natural and intuitive manner does not currently exist. The underlying problem for users not achieving full autonomy of their prosthesis is the limited number of available control signals.<sup>8</sup> Current control methods can only provide a finite number of movements, and insufficiently provide robust capabilities to control multiple independent finger movements.<sup>9</sup> The control of multiple hand postures or movements with these basic controllers leads to unintuitive control, a prolonged learning curve, and lack of embodiment of the prosthesis.

To provide enhanced prosthetic control, researchers have focused their efforts on building better prosthetic interfaces. In particular, more invasive interfaces can gain access to more independent control sites, thus providing more intuitive fine motor control. Because the body's motor system generally remains intact outside of the injury site in both persons with amputations and paralysis, information about motor intent can be extracted and used to improve the control of advanced prostheses (Figure 1). <sup>10–14</sup> In this review we focus on describing the pros and cons of these developing technologies for amputation and SCI, giving an overview of the different control strategies, and provide insight into the ways in which future research can expand these technologies to treat other populations with upper extremity immobility.

## 2 | MYOELECTRIC INTERFACES FOR AMPUTATION

The human upper limb is composed of an intricate combination of muscles, tendons, and nerves, providing people with fine motor control over their arms, fingers, and digits. Nerve fibers carry efferent motor action potentials that depolarize skeletal muscle fibers creating muscle contractions. Electromyography (EMG) signals can be recorded from muscles during voluntary contraction and can be used to control commercial myoelectric prostheses. Myoelectric prosthesis technology was first introduced in the 1950s. <sup>15</sup> In the most basic setup, EMG is recorded from two muscle groups (agonist and antagonist muscle groups) with one or two surface electrodes placed on the skin. The recorded activity is then used to

control one or two simple movements (eg, hand open/close). This setup has been sufficiently reliable in a clinical setting, but fails to achieve multifunctional control of an upper extremity prosthetic device. 9,16 Several factors regarding the characteristics of surface electrodes contribute to this limitation. Ideally, one surface electrode would record from one muscle to control a specific degree of freedom (ie, index finger flexion), providing a one-to-one mapping of EMG activity to finger movement. However, in practice, surface electrodes record EMG activity from multiple nearby muscles, which creates crosstalk that consequently limits the number of independent signals available for multifunctional movements. In addition, surface electrodes can lose contact due to shear forces, lose connectivity due to sweating, and can begin recording different control signals from skin shifting over the underlying muscle, which can alter the EMG signals and negatively impact interpretation of the intended movement. 17,18

Both hardware and software solutions have been proposed to mitigate electrode shift. 19–22 High-density surface EMG electrodes can cover a large surface area of the amputated limb, extracting a higher resolution of EMG signals and minimizing the electrode shift effect. In terms of software, Prahm et al<sup>21</sup> proposed an algorithm that first determines how much the electrode shifted during donning/doffing of the prosthetic hand, and then recalibrates the controller to compensate for the shift. Although these advances have shown progress in improving myoelectric technology, there remains a fundamental problem. The number of available control sites is dependent on the presence of residual innervated muscles within the limb; for example, fewer control sites exist for a transhumeral amputation than for a transradial amputation. For a patient with a distal transradial amputation, there are many residual innervated muscles that can provide a significant number of independent control signals. On the other hand, a patient with a transhumeral amputation will have no residual innervated muscles to provide control signals for wrist, hand, or finger control. To gain access to more control sites, interfacing directly with the peripheral nervous system is required.

# 3 | PERIPHERAL NERVE INTERFACES

Even decades after amputation, the peripheral nervous system retains the ability to transmit volitional motor commands to the phantom limb.<sup>23,24</sup> In theory, the peripheral nerve is a rich signal source that can provide the necessary number of control sites for intuitive prosthesis control. Over the past two decades, several peripheral nerve interface strategies have been developed.<sup>25,26</sup> These methods are designed to record efferent motor signals directly from the nerve (extracellular action potentials from nerve fascicles) or from the surface of the nerve (electroneurogram [ENG] activity arising from populations of nerve fascicles). To gain access to these signals, peripheral nerve electrodes were engineered in two main categories: epineural and intraneural (Figure 2).<sup>14</sup> Epineural electrodes have contacts that wrap around the surface of the nerve or are placed on the surface of the nerve, whereas intraneural electrodes have contacts that penetrate into the peripheral nerve.

The most common epineural electrode is the cuff electrode, which has two or more contacts placed within a flexible material and wrapped around the nerve.<sup>27,28</sup> There are a few challenges with these types of peripheral nerve interfaces. First, the ENG amplitudes are

very small, making it difficult to consistently record efferent motor action potentials directly from the nerves due to the small size of the signals and the electrical noise from motion, adjacent nerve firing, and adjacent muscle firing, creating an unfavorable signal-to-noise ratio (SNR).<sup>29,30</sup> This situation becomes increasingly complicated as more biofouling of the electrode occurs over time, creating a less favorable SNR. Second, ENG signal recording faces the same problems of crosstalk as surface EMG: recording neural activity from a population of fascicles instead of just a single fascicle. To address this, algorithms have been proposed to localize and separate ENG activity. 31-33 More recently, these methods have been tested in long-term animal studies and show promise in separating and recovering nerve fascicle signal sources reliably. 34,35 Further studies are warranted to show whether these methods can be translated, and effectively utilized, with human nerves. Another type of epineural electrode, the flat interface nerve electrode (FINE), wraps around the nerve and flattens the nerve so that the electrode contacts are in closer proximity to each of the individual fascicles. <sup>36</sup> This design facilitates recording efferent motor action potentials more selectively from individual fascicles, without the need for a more invasive, intraneural approach. Overall, due to the difficulties in recording small efferent motor action potentials and unfavorable SNR, epineural electrodes have found more use as stimulating devices to provide tactile sensory feedback for prosthetic users.<sup>37</sup>

Intraneural electrodes, on the other hand, have had more success in recording higher amplitude signals because of their direct contact with nerve fascicles. Examples of intraneural electrodes include the longitudinal intrafascicular electrode (LIFE<sup>38</sup>), transverse intrafascicular multichannel electrode (TIME<sup>39</sup>), and the Utah slanted electrode array (USEA<sup>40</sup>). The LIFE has been used in multiple human studies including: real-time control of grip force and elbow position; offline classification of three different grasps; and sensory percepts (ie, tactile sensations), which are evoked with electrical stimulation. <sup>12,41–43</sup> Comparably, both TIMEs and USEAs have been used to provide sensory feedback to human patients during bidirectional prosthesis control tasks. <sup>44,45</sup>

Although intraneural electrodes have been heavily tested in human subjects, their expected longevity and durability are still uncertain. He Previous studies have reported degradation in signal amplitude and increased electrode impedance in USEAs over the course of four weeks. Histological analysis has shown that inserting these electrode tips into the nerve causes an inflammatory response, with an increase in macrophages surrounding and attached to the device. Unfortunately, studies on other types of intraneural electrodes have not characterized signal degradation to the same degree or over the same period of time. Recently, the USEA has been reported to be functional for up to 14 months, but mainly for electrical stimulation to produce tactile sensory feedback. Consequently, the signal recording robustness of intraneural electrodes remains undetermined. Until durability and signal stability are demonstrated in human subjects, intraneural electrodes may find more use as stimulating devices similar to epineural electrodes, providing tactile and proprioceptive feedback to improve prosthetic performance.

## 4 | SURGICAL METHODS TO IMPROVE PERIPHERAL INTERFACES

The challenges faced with epineural and intraneural electrodes have led some groups to devise alternative interfacing architectures that exploit surgical techniques. Targeted muscle reinnervation (TMR<sup>50</sup>) is a surgical technique that reroutes a transected nerve into a partially denervated, intact muscle (Figure 3A). After a few months, the nerve reinnervates the muscle, and the muscle is able to contract normally under the efferent commands from the rerouted nerve. This in turn transforms the intact muscle into a biological amplifier for the once severed nerve, creating a new myoelectric site for prosthesis control. A 2013 report showed that more than 60 TMR procedures had been performed worldwide on shoulder disarticulation and transhumeral amputees.<sup>51</sup> Since then, TMR has continued to gain popularity as a surgical option for patients with proximal amputations, as it has also been shown to treat neuroma and phantom limb pain.<sup>52</sup> In the early days of TMR, a patient with bilateral shoulder disarticulations was able to control elbow position and either hand opening/closure or wrist rotation using simultaneous proportional control.<sup>50</sup> In 2007, Zhou et al combined TMR signals with pattern recognition techniques, enabling users to control up to seven hand and arm postures.<sup>53,54</sup> More recently, in 2017, a randomized clinical trial of eight TMR participants showed that pattern recognition control outperformed a dual-site differential direct control system using antagonistic muscle pairs.<sup>55</sup>

Although TMR has expanded the capabilities of traditional myoelectric interfaces to control more advanced prosthetic technology, the spatial distribution of the rerouted nerves is limited. The technique requires the whole nerve to be transferred to a whole muscle or a partially denervated muscle. Consequently, the maximum number of independent control sites is dependent on the number of transferred whole nerves. This limitation is reflected in clinical practice, where users are only able to control three or four basic movements. <sup>56</sup> At more proximal levels of amputation, the organization of motor and sensory fascicles in the nerve is mixed. <sup>57</sup> This makes differentiating the functions of the nerve during surgery more difficult, and does not guarantee representation of all functions at the reinnervation site. <sup>58</sup> Furthermore, TMR currently relies on surface electrodes for signal extraction, which can be challenging for all of the reasons mentioned earlier.

The regenerative peripheral nerve interface (RPNI) peripheral nerve interface takes advantage of nerve and muscle regeneration to provide many distinct, unique control signals for high-fidelity control of prosthetic devices. <sup>59–61</sup> An RPNI is composed of a transected peripheral nerve, or peripheral nerve fascicle, which is implanted into a free muscle graft (Figure 3B). The free muscle graft undergoes regeneration, revascularization, and reinnervation by the implanted peripheral nerve. <sup>62</sup> An RPNI becomes a stable, peripheral nerve bioamplifier that is capable of producing high-amplitude EMG signals. <sup>63–65</sup> Previous work has shown that RPNIs can be successfully reinnervated and maintain a healthy electrical response for up to 7 months postimplantation in rats <sup>63</sup> and 20+ months in nonhuman primates. <sup>65</sup> In addition, RPNIs exhibited electrical properties equivalent to intact muscle, which can be utilized to control a prosthetic device. <sup>65,66</sup>

A more recent study demonstrated that RPNIs are anatomically viable in humans, enabling long-term recording of efferent motor action potentials.<sup>67</sup> Instead of using surface

electrodes, intramuscular bipolar electrodes have been implanted directly into RPNIs to produce large SNR signals that have been used to control a prosthetic hand with high-level performance. <sup>67</sup> In addition, dynamic ultrasound demonstrated RPNI contractions during attempted flexion of the phantom fingers of the hand. This was demonstrated in an above-elbow amputation residual limb in which there were no long finger flexors or extensors to correspond with the attempted movement.

## 5 | BRAIN-MACHINE INTERFACES FOR SPINAL CORD INJURY

In contrast to amputation, SCI leaves the musculature and peripheral nerves intact, but eliminates the patient's control over them. It has been shown that people with quadriplegia strongly desire the ability to regain upper extremity function and prefer improved function of their own limb over use of an artificial limb. <sup>68,69</sup> To achieve this goal, researchers have employed functional electrical stimulation (FES) of the nonfunctional muscles of the upper extremity to produce controlled movement. <sup>70</sup> FES was originally described in the 1980s and has been successfully used to reanimate paralyzed limbs. <sup>71,72</sup> Similar to controlling an advanced prosthesis, FES requires high-fidelity control signals to control an intact limb. Early FES control systems have used either a physical switch <sup>73</sup> or EMG control signals from intact, innervated muscles, post-SCI, to provide voluntary commands. <sup>74</sup> This strategy can provide limited motor control signals to produce simple grasping movements. <sup>70</sup> To harness more control signals to increase functionality, several groups have utilized cortical interfaces to extract control signals directly from the brain.

For the past 50 years, research groups have been recording and deciphering motor signals from the brain. Similar to myoelectric and peripheral nerve interfaces, there are several ways to extract neural activity (Figure 4). The least invasive is electroencephalography (EEG), which uses surface electrodes placed on the scalp. EEG has been mainly used for assistive technology such as typing devices, <sup>75</sup> but has more recently demonstrated capabilities of controlling a robotic hand. <sup>76</sup> A more invasive technology is electrocorticography (ECoG), where electrodes are placed either above or below the dura mater layer. <sup>77</sup> Studies have described use of successful control of arm and hand movements, a computer cursor, and a robotic hand using ECoG signals. <sup>78–83</sup> Although progress has been made with these technologies, their main limitation is the effectiveness of the control signals. Similar to how surface electrodes record from a population of muscle activity, EEG and ECoG electrodes record from a population of brain activity from the motor cortex, making control of multiple independent movements challenging.

To gain access to more spatially specific signals and more detailed cortical information, intracortical electrodes have been developed to penetrate directly into the brain to record from individual or local groups of neurons. 84–90 Studies have demonstrated the control of computer cursors and advanced prosthetic arms in three-dimensional space using these interfaces. 13,91–93 There has also been great success in animating paralyzed musculature in nonhuman primates via FES controlled by the recorded neural activity. 94–96 That success has translated to the clinical setting where two human case studies have demonstrated the ability of SCI patients to control grip force of paralyzed hands via FES delivered by surface electrodes 97 or self-feed with the use of implanted FES electrodes. 98 In general, these

studies have focused mainly on controlling only arm movements and hand grasps. Further studies are warranted to expand the control scheme to encompass multidigit functionality. In the past few years, there has been progress in this area with studies demonstrating control of individuated virtual finger movements in nonhuman primates. <sup>99,100</sup> These promising results may one day enhance future FES systems and provide more dexterous hand control to SCI patients.

## **6 | CONTROL METHODS**

Building an interface that effectively extracts intuitive signals for prosthesis or paralyzed upper limb control represents a critical element in providing functional restoration. However, the interpretation of these signals and prediction of the user's intention is equally critical. Whether a subject is controlling a prosthesis or paralyzed limb, controllers can either function in a discrete or continuous mode (Figure 5). In the case of hand function, discrete control means one of several hand postures is selected for execution. For example, in a simple on/off control scheme, the quiet period of neurological activity could represent a hand-open state, whereas an amplitude or frequency of the neurological activity that is higher than some predetermined threshold could represent a hand-closed state. This type of control scheme restricts the prosthetic hand to move at a predefined fixed velocity for both open and closed states. In the continuous mode, the change in signal amplitude or frequency over time could be used to control the velocity or force output of the hand. Defined as proportional control, modulation of one set of signals could control how fast the hand closes, and modulation of a second set could control how fast the hand opens. Unfortunately, both discrete and continuous methods become more difficult and unintuitive to use with increasing numbers of limb movements or degrees of freedom (DoF), mainly because the number of independent control sources is lower than the increasing number of movement dimensions.8

To gain increased intuitive control of prosthetic or paralyzed limbs, researchers have focused their attention on pattern recognition algorithms as a possible replacement for conventional control. 101 In this control scheme, multiple recording electrodes capture the natural generation of neural activity, which can be classified as a specific hand posture or grasp. <sup>101–103</sup> As different patterns arise, multiple postures or complex movements can be classified. Users can then naturally switch between movements simply by thinking of moving to their desired posture, which would naturally activate the desired posture in their phantom limb or generate the relevant effector cortical signals. Multiple pattern recognition algorithms have been tested in laboratory settings. 53,104,105 Researchers continue to test pattern recognition algorithms in both the laboratory and clinical setting, further improving the technology for conventional use. 55 These algorithms have gone one step further in providing prosthesis users intuitive and natural control. However, this technique is characteristically discrete, meaning that only one posture can be chosen at a time, and users cannot proportionally control the speed of the prosthesis. This takes away the user's ability to control the amount of force being applied to an object, which may degrade functional performance. Although pattern recognition algorithms have become today's state-of-the-art controllers, they are still far from replicating the natural movements of the human hand.

To match the natural dexterity of the human hand, several groups have proposed using regression-based algorithms or continuous methods. <sup>106–110</sup> Unlike pattern recognition, regression algorithms can use the rate of change in neurological activity to continuously and simultaneously estimate multiple control signals. Both peripheral nerve and brain interfaces have had success in implementing these algorithms for continuous control of multiple DoFs. <sup>13,47,100,110,111</sup> Although these algorithms show promise in accelerating the progress toward natural arm and hand control, there still remain some limitations. Regression controllers may not generalize well when performing functional tasks of daily living. This is because regression algorithms can only learn from the collected training data, and those data are typically gathered in a controlled laboratory environment. For example, perturbations from the external environment that were not included in the collected data are not understood by the regression controllers. Thus, when an external perturbation occurs on a prosthetic limb, the controller may fail to interpret the user's intention, which may lead to a serious accident.

One approach that may generalize better is determining the true mathematical relationships between joint finger moments, muscle activations, and motor neuron spike trains from the motor cortex. Upper limb movement forces are generated from muscles innervated by pools of firing alpha motor neurons, 112,113 which are generated directly from the motor cortex. Studies have shown that EMG activity can be predicted from populations of neurons in the motor cortex. Likewise, some groups have used neuromusculoskeletal modeling to understand the nonlinear transformations between muscle activations and joint kinematics. These neuromusculoskeletal models have shown promise in providing continuous control for prosthesis users, but often these models need to be scaled and calibrated to an individual's limb shape, size, and EMG-force properties, which is impractical in a clinical setting. However, a more recent study has simplified the calibration process and achieved a robust, high-performance prosthetic control. More physiological approaches may be warranted to regain intuitive and dexterous upper limb control for both the amputation and SCI patient population.

In addition to the algorithmic challenges shared between peripheral nerve and brain-machine interfaces, the control signals extracted from motor cortex for brain-machine interface prostheses are several synapses displaced from the end-effector muscles. As a result, motor cortical recordings have an additional layer of abstraction in their relationship to muscular outputs. To combat this slight dissociation, several investigators have tried to uncover the latent neural population dynamics that generate the recorded signals. 119,120 In particular. groups have recently applied a deep learning solution called latent factor analysis via dynamical systems (LFADS) to use neural activity to accurately predict behavior in typical brain-machine interface task paradigms. In addition to brain-machine interface applications, LFADS have demonstrated the capability of predicting firing rates of untrained neurons across months and perturbations in neural state space corresponding to behavioral choices, suggesting the dynamics characteristic of LFADS may be accurately modeling the dynamics of neural populations. 121,122 As promising as LFADS seems for bringing naturalistic brainmachine interfaces closer to clinical use, its computational complexity is too great for a modern closed-loop, real-time system. Although being able to estimate latent neural states from samples of cortical neurons may allow more accurate estimations of a user's intent and

greater prosthetic control, the algorithms are too computationally intense for modern realtime computational hardware to process in a clinical setting.

## 7 | CONCLUSIONS AND FUTURE DIRECTIONS

Acceleration in the development of peripheral nerve and brain-machine interfaces have led to substantial improvements in patient care. However, there remain many challenges before peripheral nerve and brain-machine interfaces can be readily available for the clinical market. Further advances in these technologies will require additional studies in both animal models and human subjects. One such advancement is the development of portable systems, which would allow the user to "leave the lab" and return to society using their assistive device (prosthetic limb or FES device). Currently, many of the systems mentioned require the user to be tethered to several computers for signal processing, feature extraction, decoding, task management, data storage, and prosthesis control. Although many of those processing steps would only be required of a laboratory prosthesis, clinical prostheses still require powerful hardware for signal processing and decoding. Several recent research developments have attempted to tackle this issue, but necessary safety regulations have made it difficult to translate utility of these devices to humans. In the commercial sector, clinical systems for human implantation have been developed, 123 but they do not have US Food and Drug Administration approval.

Although progress is being made toward portable neural prostheses, patient populations with amputations and SCIs have articulated their desires to have fully implantable and integrated prosthetic devices. <sup>69</sup> Several studies have developed implantable neuroprosthetic technologies for recording and wireless transmission of neural data, but these devices still require proximity to processing machines. <sup>124,125</sup> A network of implantable electronic modules has been developed that is capable of recording EMG signals for prosthetic control and performing FES for functional restoration in patients with paralysis (Figure 6). <sup>126</sup> Although this system is approved for limited use in humans, it continues to face the many challenges plaguing implantable devices. Hermetic sealing of the internal electronics while allowing a large quantity of feedthroughs to provide the many independent control signals required for a high-dimensional prosthesis remains a major materials science and packaging challenge. Further, greater processing requirements generate more heat, which can be dangerous to tissue when implanted. Although wonderful strides are being made toward implantable prostheses, many engineering steps must be taken before their translation to clinical use.

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#### Abbreviations:

DoF

degrees of freedom

**ECoG** electrocorticography

**EEG** electroencephalography

**EMG** electromyography

**ENG** electroneurogram

**FES** functional electrical stimulation

**FINE** flat interface nerve electrode

**LFADS** latent factor analysis via dynamical systems

LIFE longitudinal intrafascicular electrode

**RPNI** regenerative peripheral nerve interface

**SCI** spinal cord injury

**SNR** signal-to-noise ratio

**TIME** transverse intrafascicular multichannel electrode

**TMR** targeted muscle reinnervation

**USEA** Utah slanted electrode array

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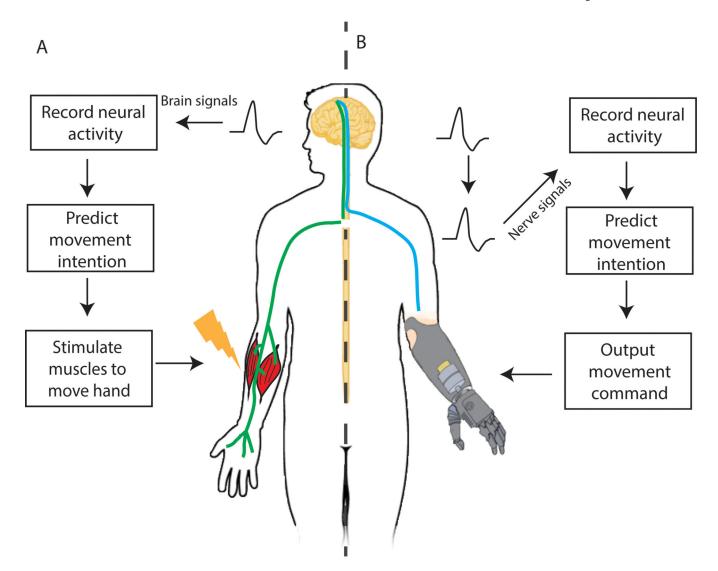


FIGURE 1.

Diagram of a brain-machine interface system with functional electrical stimulation and a peripheral nerve interface system. A, A brain machine interface records signals directly from the brain. The signals are then interpreted to predict the user's intentional movement. Stimulation of the muscles (functional electrical stimulation) executes the predicted movement. B, A peripheral nerve interface records signals from the nerves. Like brain signals, the nerve signals are also decoded to decipher the user's intention. For patients with amputations, the predicted movement is sent to an artificial prosthesis

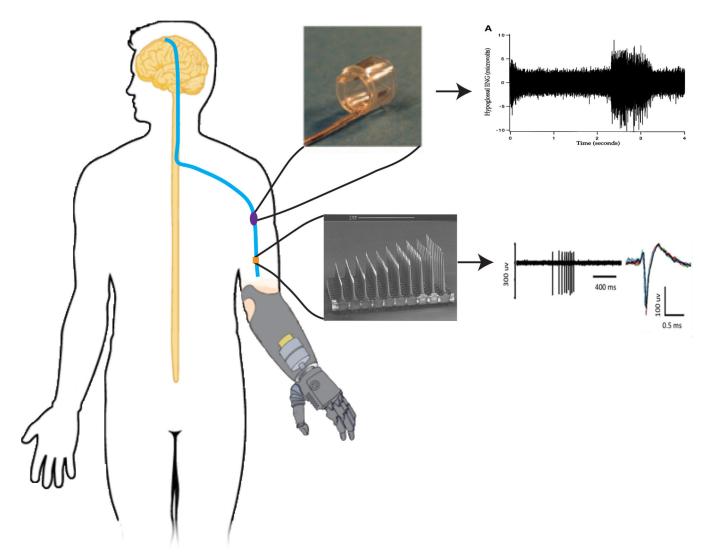


FIGURE 2.

Different types of electrodes have been made to interface directly with the peripheral nerve. The most common electrode types are the cuff electrode (top) and the Utah slanted electrode array (bottom). With the cuff electrode recording from a population of nerve fascicles and the Utah slanted array recording directly from nerve fascicles, the resolution of the nerve signals differs between each interface. Cuff electrode picture and signals were adapted from Sahin et al and Polasek et al, respectively. 30,127 Utah slanted electrode array picture and signals were adapted from Branner et al and Warren et al, respectively 14,40

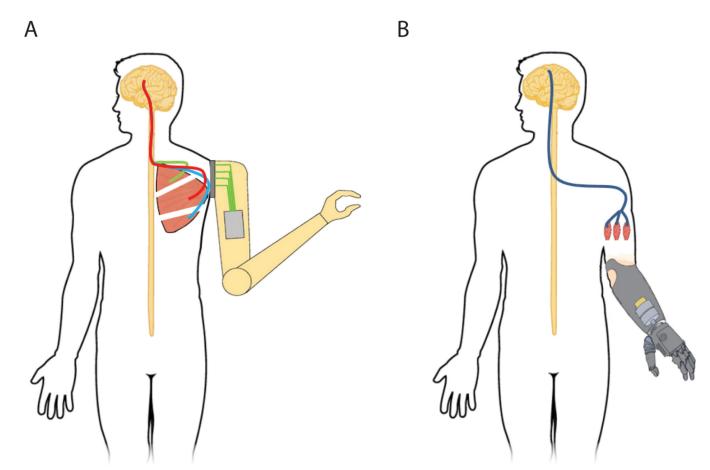
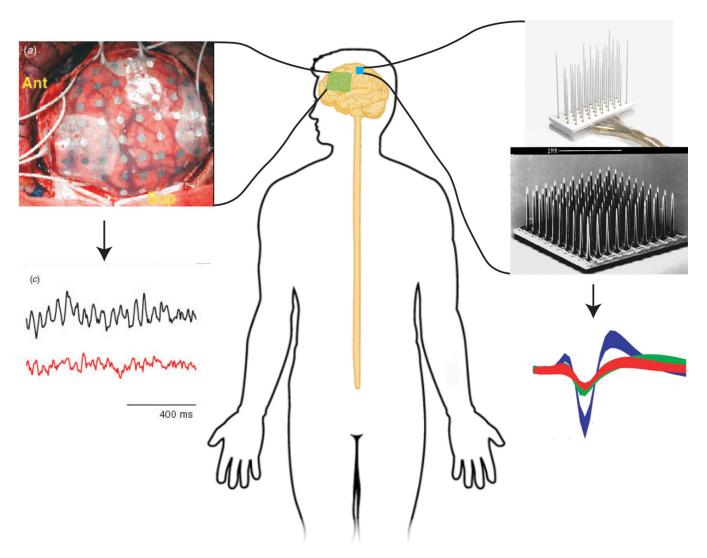


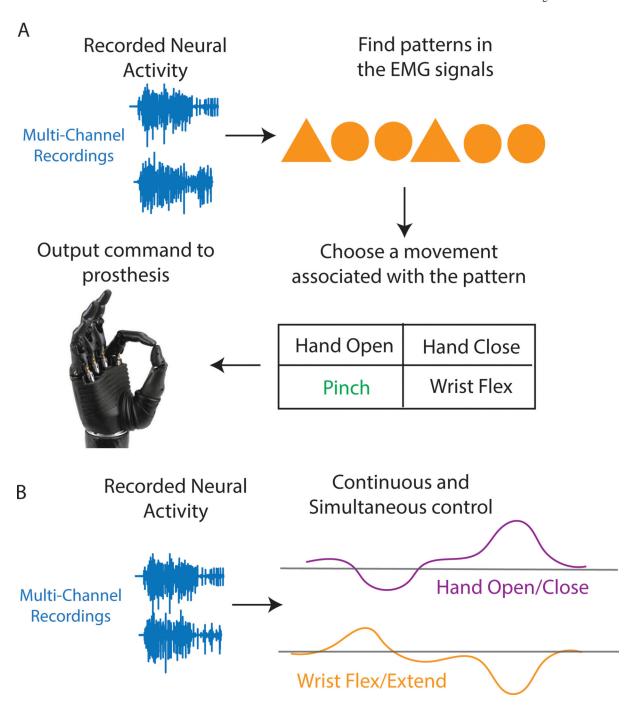
FIGURE 3.

Examples of surgical techniques to help improve peripheral interfaces. A, Targeted muscle reinnervation involves rerouting the nerves to intact muscles after amputation. The nerves reinnervate the "target" muscle, allowing signal transmission from that muscle, to provide control signals for a missing arm or hand. B, RPNIs are created by implanting the end of a divided peripheral nerve, or nerve fascicle, into a free skeletal muscle graft. The RPNIs can then be used to amplify the efferent motor action potentials. Independent control signals can then be extracted to control a prosthesis from each of the RPNIs. RPNI, regenerative peripheral nerve interface



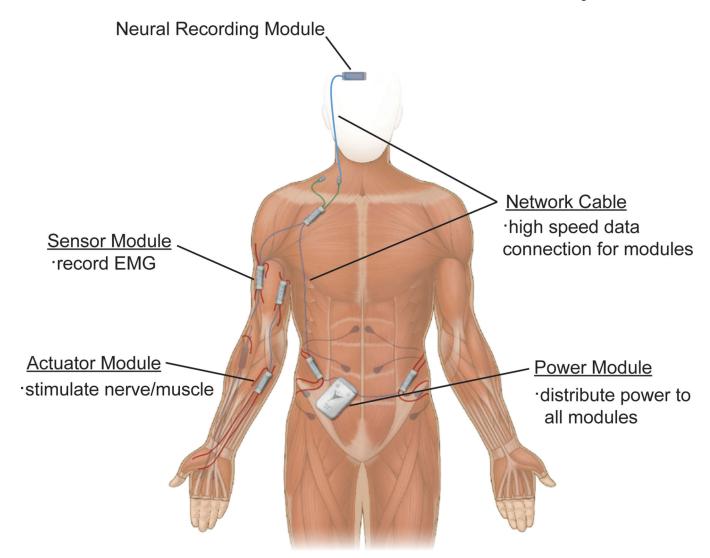
## FIGURE 4.

Various types of electrodes have been made to interface directly with the brain. The most common invasive electrode types are ECoG electrodes (left), and the Utah electrode array and floating microelectrode array (right). Similar to how certain types of peripheral nerve electrodes record different signal resolutions, various types of brain electrodes also extract different signal resolutions. ECoG electrodes record local field potentials, whereas Utah electrode arrays can record extracellular action potentials from individual neurons. ECoG electrodes and signals were adapted from Leuthardt et al. <sup>77</sup> Floating microelectrode array picture and Utah slanted array pictures were adapted from microprobes and Kim et al. <sup>128</sup> ECoG, electrocorticography; EMG, electromyography



#### FIGURE 5.

Discrete and continuous control methods. A, Discrete control methods interpret patterns from neural signals and then choose a specific posture or movement associated with that pattern. The predicted posture is then transmitted to the prosthesis. B, Unlike discrete control, continuous control can continuously predict multiple postures at once. For example, a user could flex the wrist and close the hand at the same time, or extend the wrist and open the hand. EMG, electromyography



### FIGURE 6.

Example of a portable functional electrical stimulation system for clinical use. All modules would be surgically implanted to achieve full device portability. EMG, electromyography. Illustration adapted from Case Western Reserve University/Synapse Biomedical, Inc