



Original Article

# Preserving the Female Genome in Trehalose Glass at Supra-Zero Temperatures: The Relationship Between Moisture Content and DNA Damage in Feline Germinal Vesicles

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## Abstract

**Introduction**—Maintaining a stable dry state is critical for long-term preservation of live biomaterials at suprazero temperatures. The objective of the study was to characterize the effect of moisture content on DNA integrity within the germinal vesicle (GV) of feline oocytes following dehydration and storage at 22–24 °C.

**Methods**—Using microwave-assisted drying, conditions that led to a predictable and stable moisture content in trehalose solutions were determined. To explore moisture content stability during storage, trehalose samples were dried for 15 min and stored in glass vials at 11 or 43% RH for 8 weeks. To examine whether this condition allowed proper storage of GVs, permeabilized cat oocytes were incubated in trehalose for 10 min and dried for 15 or 30 min. Oocytes then were rehydrated to assess DNA integrity either directly after drying or after 8 weeks of storage in an 11% RH environment. Raman spectroscopy was used to identify the states of dried samples during storage.

**Results**—Moisture content was stable during the storage period. There was no significant difference in DNA integrity between fresh and dried samples without storage. After 8 weeks of storage, DNA integrity was maintained in GVs dried for 30 min. Samples dried for 15 min and stored were compromised, suggesting crystallization of the preservation matrix during storage. Biostabilization was optimal when samples were directly processed to moisture contents consistent with storage in the glassy state.

**Conclusion**—Microwave-assisted drying processing and storage conditions were optimized to ensure stable long-term

storage of structural and functional properties of genetic resources.

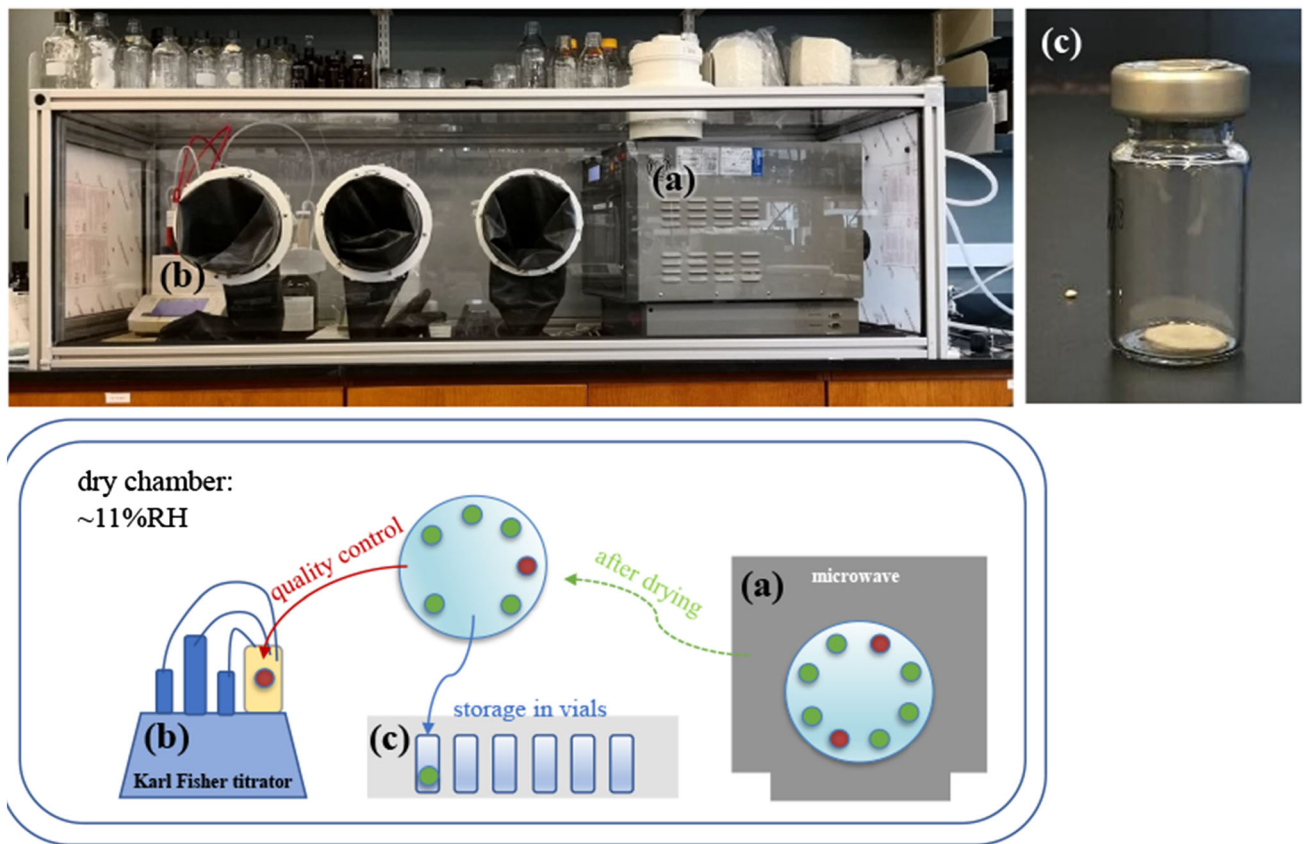
**Keywords**—Dry preservation, Germinal vesicles, Microwave-assisted drying, Moisture sorption isotherm, Amorphous.

## INTRODUCTION

Since the first successful offspring born from frozen-thawed human oocytes in 1986,<sup>11</sup> oocyte preservation has attracted increasing attention as a fertility preservation option for patients who are experiencing infertility due to cancer treatment and/or age-related fertility decline. However, the large cell size, significant water content, and sensitivity of microtubular spindles to non-physiological conditions increase the difficulty of mature oocyte preservation compared to other cell types. Alternatively, immature oocytes at the germinal vesicle (GV) stage lack a distinct meiotic spindle and contain nuclear envelope-enclosed genetic materials, making them more tolerant to certain preservation manipulations than their mature (metaphase II) counterparts.<sup>14</sup> Preservation, recovery, and subsequent transfer of GVs into enucleated conspecific cytoplasm has become a viable option to rescue the genome from an incompetent or subpar oocyte.<sup>13,35</sup>

Cryopreservation is the standard method for preserving oocytes. However, cryopreserved biomaterials need to be maintained at ultralow temperatures within liquid nitrogen-charged containers, which leads to high cost and logistical challenges for both transportation and storage. Dry preservation may provide a simpler and more affordable approach for long-term storage.

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**FIGURE 1.** Work station (top) and sample workflow (bottom) for processing oocytes for storage in vials with in a controlled RH chamber. Within each batch three samples of dried oocytes (green) were taken out of the microwave (a) after 15 min and either directly rehydrated or stored in glass vials (c). Remaining samples were processed for an additional 15 min. At each time point one sample was used for quality control purposes to evaluate the moisture content (red) with a Karl Fisher titrator (b).

Mimicking anhydrobiotic organisms in nature, dry preservation involves introduction of a disaccharide sugar, which can transform to a glassy state under low humidity conditions and facilitate the reduction of molecule mobility.<sup>1,21</sup> Trehalose is the most common disaccharide used in dry preservation because of its superior protective capacity, often attributed to its ability to form a glassy state with a high glass transition temperature ( $T_g$ , the temperature at which the properties of the material change from liquid-like to solid-like), thus allowing storage at ambient temperatures.<sup>12,15</sup>

Moisture removal techniques previously developed for mammalian cells including air-drying,<sup>23</sup> vacuum drying,<sup>22</sup> spray drying,<sup>30</sup> spin drying,<sup>9</sup> and freeze-drying,<sup>16</sup> each with its challenges in obtaining uniformly dried samples. We previously demonstrated that the use of microwave-assisted drying enhances the diffusion and evaporation of water from the interior of the sample via the delivery of small pulses of energy to yield faster and more predictable drying rates and uniformly dried samples, while avoiding thermal injury to the cells.<sup>7,8,20</sup> Recently our group has demonstrated

that microwave-assisted drying can be used to rapidly and reproducibly dehydrate GV oocytes to moisture contents that enable ambient storage in a glassy state.<sup>20</sup> In order to prevent reversion of the metastable glass into a crystallized form that might compromise long-term storage success, it is critical that samples are packaged in a way that enables an appropriate moisture content to be maintained during storage. Trehalose that was introduced into oocytes by forming large pores with  $\alpha$ -hemolysin was found to protect DNA integrity during drying, storage, and rehydration. However, the wide range of environmental relative humidity (RH) resulted in high variation in the end moisture contents. In addition, the Dri-shield bags that were used to contain dried GVs were found to absorb additional moisture, which might have caused DNA fragmentation.<sup>18</sup>

The objective of the study was to investigate the stability of moisture content and trehalose glass state and further characterize the effect of moisture content on DNA integrity within cat GVs, with improved moisture control during drying and ambient storage. First, a drying chamber was set up to achieve a con-

stant RH of 11% to mitigate moisture fluctuation during microwave-assisted dehydration. Second, dehydrated samples were stored in glass vials with constant RH. Our goal was to confirm optimal processing and storage conditions for long-term biobanking of genome resources.

## MATERIALS AND METHODS

### *Assessment of Microwave-Assisted Desorption Kinetics and Temperature Excursions During Drying*

In previous feline gamete work, trehalose (Pfanstiehl, Inc., USA) in Tris–EDTA (TE; Sigma-Aldrich, MO) buffer solution was used as the carrier glass-forming preservative solution.<sup>20,23</sup> To prescribe specific drying end-points for storage studies, a reference drying curve was established for a 40  $\mu$ L droplet of this trehalose/TE solution at an initial concentration of 1.1 M. Heat-assisted drying was conducted using a customized microwave (CEM SAM 255, Matthews, NC) operating at 20% microwave power. The end moisture content was determined at 5 min intervals of dehydration processing for up to 50 min, in a controlled RH of  $11.0 \pm 0.6\%$ , which was achieved by flowing dried air into a chamber and monitoring with a temperature and RH logger (HH314A, Omega, CT). Karl Fisher (KF) titration (V20S, Mettler-Toledo, Columbus, OH) was used to determine the water content of samples. The mass of moisture in each sample was calculated by multiplying the weight percent moisture content by the mass of a 40  $\mu$ L of 1.1 M trehalose/TE droplet (determined by average weight of 10 replicate samples). The anhydrous mass of 10 replicates was used to determine an average dry weight for 40  $\mu$ L of 1.1 M trehalose/TE solution. The moisture content was expressed as grams of H<sub>2</sub>O per gram of dry weight (g H<sub>2</sub>O/g DW).

The maximum temperatures of samples attained during microwave processing were estimated using Thermax<sup>TM</sup> irreversible temperature sensitive labels (LCRHallcrest, Glenview, IL) that indicated temperature thresholds at 29, 34, 37, 40, 42, and 44 °C. Each label was adhered to the top of a polyethylene syringe filter holder (Millipore, Billerica, MA), on which a trehalose-loaded glass fiber filter was placed. Eight holders were then placed in a custom turntable for drying. A color change from silver white to black indicated that the temperature threshold had been reached.

While the drying solutions in this study contained 10 mM Tris and 1 mM EDTA, the  $T_g$  of the solution was not likely to be altered significantly by such a small mass contribution of salt. The  $T_g$  at each drying point

was thus estimated by using a Gordon–Taylor fit for trehalose–water data according to:

$$T_g = \frac{(1-x)T_{g,\text{Tre}} + kxT_{g,w}}{(1-x) + kx}, \quad (1)$$

where  $x$  is the mass fraction of water,  $k = 5.2$ ,  $T_{g,\text{Tre}}$  ( $T_g$  of trehalose) is 100 °C, and  $T_{g,w}$  ( $T_g$  of water) is –135 °C.<sup>12</sup>

### *Determination of the Desorption Isotherm for Trehalose/TE Solution*

To construct a desorption isotherm of trehalose/TE solution, desiccators consisting of different saturated salt solutions were utilized to generate stable RH conditions. The RH was measured using a HH314A humidity meter (Omega, CT). There was a difference in the theoretical<sup>24</sup> and the measured RH value of the salt solution (Supplemental Table 1). Droplets of 1.1 M trehalose buffer solutions (40  $\mu$ L each) were placed on glass fiber filters, transferred into desiccators on top of the sample holders, and kept at ambient temperature (23 °C). Water loss from the droplets was determined by periodically measuring sample mass until three serial measurements of equal value were obtained (14–21 days). At least three replicates of samples were used at each RH and data were expressed as mean  $\pm$  standard deviation (SD). The experimental desorption data were fitted, using Matlab software (Mathworks, MA), to the Guggenheim–Anderson–de Boer (GAB) equation given by:

$$M = \frac{M_0CKa_w}{[(1 - Ka_w)(1 - Ka_w + CKa_w)]}, \quad (2)$$

where  $a_w$  is water activity,  $M$  is the equilibrium moisture content,  $M_0$  is monolayer of desorbed water,  $C$  is the Guggenheim constant and  $K$  is a constant that corrects for the properties of multilayer molecules with respect to the bulk liquid.<sup>5</sup>

### *Moisture Content Stability of Dehydrated Trehalose/TE Solution Stored in Glass Vials*

To determine the expected moisture content variability of samples stored in a given humidity, trehalose/TE solutions were dried, stored, and monitored for moisture content over time. Samples of 1.1 M trehalose/TE solution were dried for 15 min in 11% RH and then directly placed into 5-mL serum tubing vials (Wheaton<sup>TM</sup>, Fisher Scientific, Suwanee, GA) that were pre-equilibrated with either 11% RH air in the dry chamber or with 43% RH air in the environmental chamber (Caron, OH). Vials were sealed with rubber stoppers and crimped with aluminum caps to avoid

moisture leakage. Samples were stored at 4 °C or 23 °C for 0 or 2 days or 1, 2, 4, or 8 weeks. Moisture contents were assessed at the end of each storage period. Data ( $n = 9$ ) were pooled to determine the overall moisture content of each treatment group.

### *Oocyte Collection*

Ovaries from domestic cats were harvested from a local spay and neuter clinic after routine ovariohysterectomy. Fresh ovaries were placed immediately in phosphate-buffered saline (PBS) supplemented with 100 IU/mL of penicillin and 100 IU/mL of streptomycin (Mediatech, Inc., Manassas, VA) at 4 °C and then transported on ice within 2 h to the laboratory. Cumulus–oocyte-complexes (COCs) were collected from antral follicles by repeatedly slicing the ovaries in handling medium [HEPES-buffered minimum essential medium (H-MEM; Gibco Laboratories, Grand Island, NY) supplemented with 2.0 mM L-glutamine 1.0 mM sodium pyruvate, 100 IU/mL penicillin, 100 IU/mL streptomycin and 4 mg/mL bovine serum albumin (BSA; Sigma-Aldrich)]. Each COC was classified according to the standard quality criteria described by Wood and Wildt.<sup>38</sup> Only grade I (uniformly dark cytoplasm,  $\geq 5$  layers of tightly compacted cumulus cells) and grade II (uniformly dark cytoplasm,  $< 5$  cell layers) COCs were selected and then denuded of cumulus cells by exposure to 0.2% hyaluronidase (Sigma-Aldrich) for 15 min at 38 °C, followed by vortexing and rinsing with handling medium. Some denuded oocytes from each batch served as the fresh control. These were fixed in 4% paraformaldehyde overnight and then transferred to 70% ethanol before the assessment of DNA integrity. The others were immediately processed by microwave-assisted drying.

### *Microwave-Assisted Drying, Storage, and Rehydration of Oocytes*

To allow trehalose loading, the cell membranes of oocytes were permeabilized by exposure to 10  $\mu\text{g/mL}$  hemolysin for 15 min at 38 °C. After rinsing with handling medium, oocytes were immersed in 1.1 M trehalose/TE solution for 10 min at room temperature and then immediately dehydrated. A volume of 40  $\mu\text{L}$  trehalose/TE solution along with 5–7 of oocytes was deposited on glass fiber filters and microwave processed in a controlled RH of  $11.0 \pm 0.6\%$ . Within each batch, six filter samples with oocytes were randomly placed on the turntable (green in Fig. 1). Three samples were taken out of the microwave after 15 min and either directly rehydrated or stored in glass vials for 8 weeks at 11% RH at ambient temperature (22–24 °C). Remaining samples were processed for an

additional 15 min for a total of 30 min drying. At each time point, one sample was used for quality control purposes to evaluate the moisture content (red in Fig. 1).

Rehydration was performed by exposure to 500  $\mu\text{L}$  of handling medium (omitting BSA) for 30 min. Recovered oocytes were fixed in 4% paraformaldehyde overnight and then transferred to 70% ethanol before the assessment of DNA integrity.

### *Assessment of DNA Integrity*

DNA fragmentation was detected by terminal deoxynucleotidyl transferase dUTP nick end labeling (TUNEL) assay using an in situ cell death detection kit (Roche Applied Science, Indianapolis, IN). After rinsing off the ethanol, oocytes were permeabilized with 0.5% Triton X100 for 30 min at room temperature before exposure to TUNEL reaction mixture for 1 h at 38 °C. Oocytes were then washed three times in PBS and mounted on slides with Vectashield containing DAPI (Vector Labs, Inc., Burlingame, CA). Each slide was examined under an epifluorescence microscope (Olympus BX41; Olympus Corporation, Melville, NY) using SPOT software 5.0 (Diagnostic Instruments, Inc., Sterling Heights, MI). TUNEL positive/negative oocytes were classified based on the presence/absence of green fluorescence in GVs.<sup>20</sup>

### *Raman Spectroscopy*

Raman spectroscopy was used to distinguish the amorphous state of dried samples from the crystallized state. Dried samples embedded in the filter paper are indiscernible by eye, thus samples were also dried on the surface of glass coverslips to correlate visualize appearances with Raman spectra. Control amorphous trehalose samples were prepared as follows: a 40  $\mu\text{L}$  of 1.1 M trehalose/TE solution was dropped on the coverslip (or on the filter paper) and then heated in an oven at 125 °C for 48 h. The amorphous samples were transported for Raman testing within 2 h in a container with dry silica bead desiccant to prevent moisture absorption from the ambient environment. Control crystalline trehalose samples were obtained by placing amorphous trehalose samples in 75% RH and allowing for visually observable crystals to form. Three replicates were performed using the same procedure.

The measurements were conducted with a Horiba Xplora confocal Raman microscope with a 1200 g/mm grating. A 785 nm laser was used as the excitation source. Data were taken using a 40  $\times$  (NA = 0.65) microscope lens. The excitation density is estimated as  $D = P/A$ , where  $A$  is the area determined by diffraction limited spot size. The laser power  $P$  was measured

at the exit of the microscope lens (about 15 mW of full power). Hole size was set to be 100  $\mu\text{m}$ . All measurements were performed at room temperature. For each Raman spectrum, its acquisition time was 10 s and averaged 5 times. On each sample at least three spectra were taken.

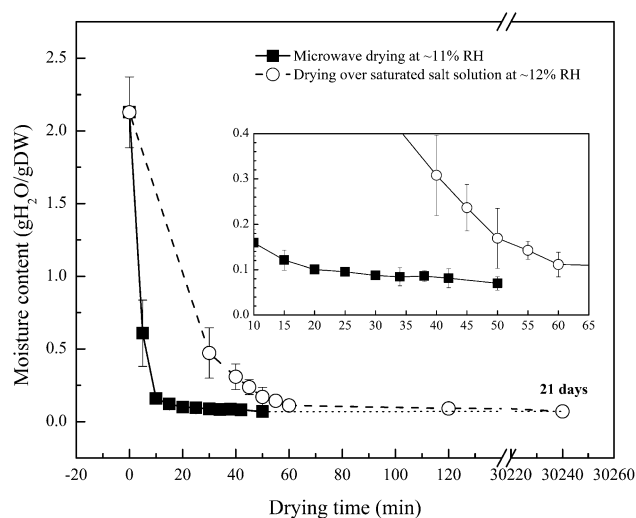
### Experimental Design and Statistical Analysis

Experiment 1 was conducted to determine the microwave-assisted desorption kinetics of a 40  $\mu\text{L}$  droplet of 1.1 M trehalose/TE solution in a controlled 11% RH environment in order to predict moisture content at a given drying time. The moisture content achieved was then compared to moisture levels of samples that were slowly equilibrated over saturated lithium chloride (LiCl) solution (RH = 12%). The  $T_g$  values of dried samples were predicted from their moisture contents by the Gordon–Taylor equation, and then further used to evaluate storage conditions. Experiment 2 established a moisture sorption isotherm to identify expected equilibrium moisture contents for prescribed RH levels. Trehalose/TE samples that were dried for 15 min were stored in glass vials at 4 and 23  $^{\circ}\text{C}$  at 11% RH and 43% RH for 8 weeks to test moisture content stability during storage. Based on these findings, experiment 3 was conducted to examine the influences of 15-min and 30-min microwave-drying (8 batches) and 8-week storage (14 batches) at ambient temperature (23  $^{\circ}\text{C}$ ) on the DNA integrity of GV oocytes by TUNEL assays. Raman spectroscopy was used to identify the states of dried samples during storage. Data from all replicates were expressed as mean  $\pm$  SD. *One-way ANOVA* and *Kruskal–Wallis ANOVA* were used for statistical analysis to compare treatment groups. Differences were considered significant at  $p < 0.05$ .

## RESULTS

### Microwave-Assisted Drying Kinetics

To prescribe appropriate drying times for the GVs, a microwave-assisted drying curve was established for a 1.1 M trehalose/TE solution dried in an 11% RH environment. The average moisture content rapidly decreased from  $2.1 \pm 0.2$  to  $0.087 \pm 0.007$  g  $\text{H}_2\text{O}/\text{g}$  DW over the first 30 min, while no further significant decrease ( $p > 0.05$ ) was observed between 30 and 50 min (Fig. 2). In comparison, a similar moisture content was achieved after several hours of drying over a saturated LiCl solution, which had a RH level of approximately 12% (Fig. 2). Moreover, the highest temperature reached during microwave drying was

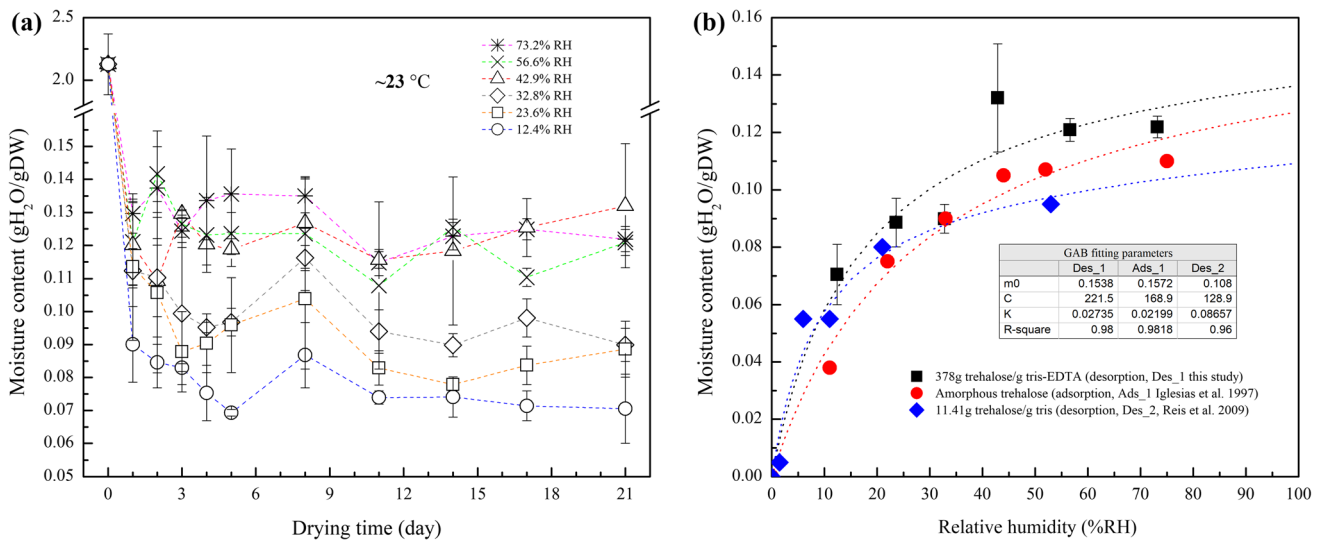


**FIGURE 2.** Comparison of moisture levels of microwave processed samples (black squares) to samples that were slowly equilibrated over saturated lithium chloride solution (white circles). Inset represents close-up view of low moisture content region.

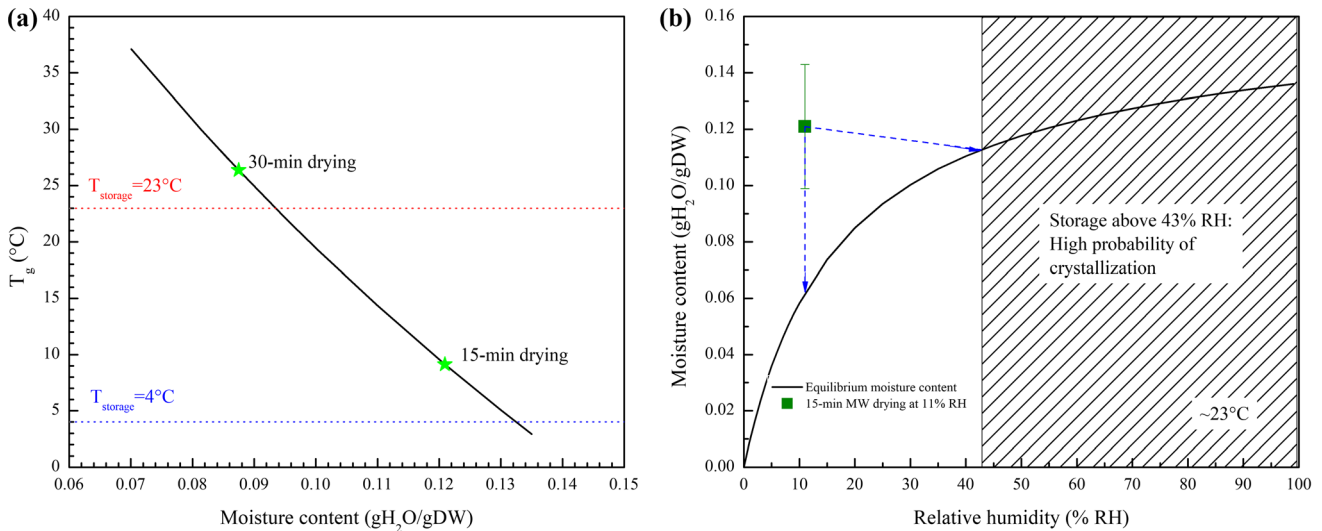
between 42 and 44  $^{\circ}\text{C}$  by the 15 min drying point. No further increase in temperature was noted for longer drying times.

### Desorption Isotherm

To better understand the effect of RH on the moisture content of trehalose glasses, the kinetics of water loss from trehalose/TE solutions at various RH levels were investigated (Fig. 3a). The water desorption isotherm determined over saturated salt solutions at 23  $^{\circ}\text{C}$  is represented in Fig. 3b, and parallels that of published trehalose sorption isotherms created using similar methodologies.<sup>25,29,41</sup> Data fits were obtained with the GAB model. At RH levels of 32.8% and below, samples achieved moisture contents below the level typically associated with crystalline trehalose dihydrate (0.105 g  $\text{H}_2\text{O}/\text{g}$  DW), suggesting that samples were likely in the amorphous state. No significant difference in moisture contents was observed among samples equilibrated at 42.9% and above, and the isotherm reaches a plateau (0.12–0.13 g  $\text{H}_2\text{O}/\text{g}$  DW) consistent with the formation of trehalose dihydrate. Direct visual confirmation of crystallization was challenging to observe due to the nature of the glass fiber paper. Based on these results, storage at RH levels below 42.9% will likely be necessary to maintain a metastable amorphous glassy condition at 23  $^{\circ}\text{C}$ .



**FIGURE 3.** (a) Kinetics of water loss at 23 °C from trehalose buffer solutions over saturated salt solutions for a range of fixed humidity conditions and (b) water desorption or adsorption isotherms of trehalose solutions at 23 °C.



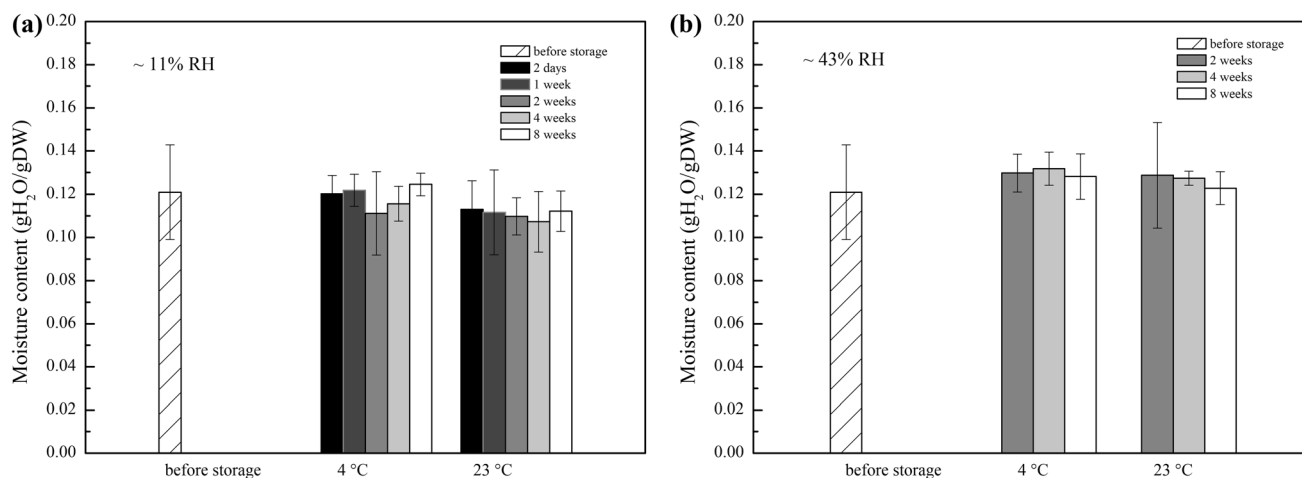
**FIGURE 4.** (a)  $T_g$  values at different moisture contents were predicted by the Gordon–Taylor equation. The  $T_g$  values (green stars) produced by drying for 15 min and 30 min were higher than general cold storage temperature (4 °C, blue dotted line) and room temperature (23 °C, red dotted line), respectively. (b) Moisture content data from samples processed by microwave for 15 min (green square) are overlaid on the fitting curve (black line) obtained by the GAB model. The dotted lines (blue) represent the possible change in equilibrated moisture content during storage at 11 and 43% RH.

#### Storage Stability of Trehalose/TE Solution

The calculated  $T_g$  provides insight into a suitable condition for storage (Fig. 4a). The moisture level achieved after 15 min of drying was predicted to yield a  $T_g$  of 9 °C, suggesting that samples maintained at this moisture level should be stored below 9 °C in order to retain the glassy state. Conversely, samples dried for 30 min yielded moisture contents that corresponded to a  $T_g$  of 26.3 °C, which should provide for

stable storage at room temperature (23 °C), if the biologic can tolerate drying to this level.

Microwave-assisted drying enables rapid dehydration to desired moisture levels. Samples that were processed with microwave heating for 50 min converged on the values achieved in 21 days when drying over a saturated salt solution (0.07 g H<sub>2</sub>O/g DW; Fig. 2). However, over-processing with heating can cause damage to biological samples.<sup>20,37</sup> We proposed a two-step drying strategy, using dynamic drying as a first step, followed by ‘in-vial’ final equilibration of



**FIGURE 5.** Moisture contents of trehalose buffer solutions that were dried for 15 min and then stored in glass vials with  $\sim 11\%$  RH air (a) and  $\sim 43\%$  RH air (b) for up to 8 weeks at 4 °C or ambient temperature (23 °C). There was no significant difference in the moisture content of samples before storage and for each period during the 8-week storage period, for both relative humidity conditions ( $p > 0.05$ ). Data was expressed as mean  $\pm$  SD,  $n = 9$ .

moisture content as a finishing step, to avoid over-processing. Samples were dried to  $0.121 \pm 0.022$  g  $\text{H}_2\text{O}/\text{g DW}$  in 11% RH with microwave processing and then stored at 11 and 43% RH at both 4 and 23 °C. All samples exhibited statistically insignificant drying over the 8-week period (Fig. 5).

#### *Impact of Microwave-Assisted Drying and Storage on Recovery and DNA Integrity of Oocytes*

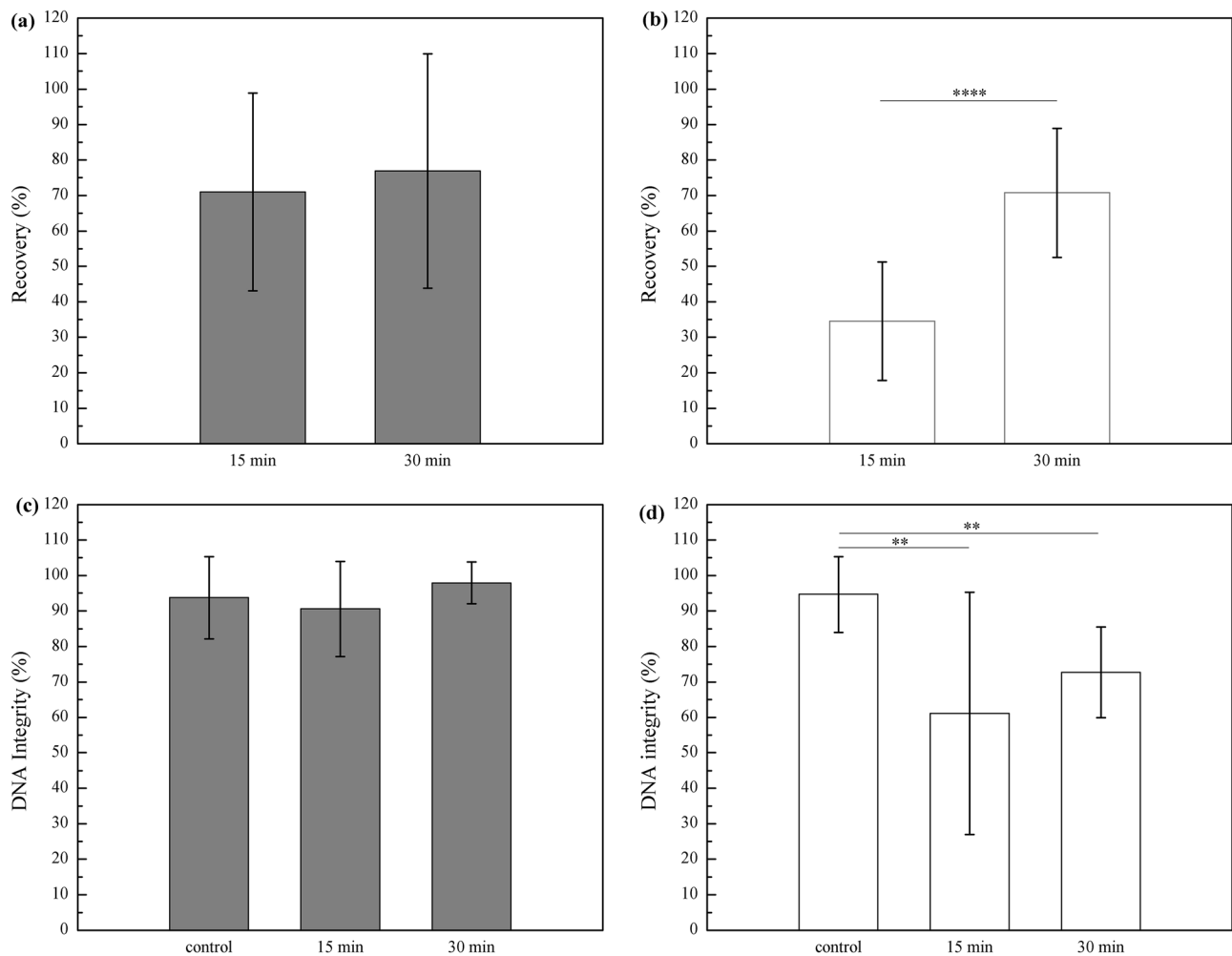
Next, the effect of select processing and storage conditions on the DNA integrity of oocytes was pursued. There was no significant difference in the percentage of oocytes recovered from filters after rehydration between samples dried for 15 and 30 min without storage (Fig. 6a), while the recovery rate of the 15 min drying group dramatically decreased ( $p < 0.05$ ) to  $34.5 \pm 16.7\%$  following 8 weeks of storage (Fig. 6b). TUNEL analysis showed no significant difference in DNA integrity between fresh samples ( $n = 31$ ) and samples recovered directly after microwave-assisted drying ( $n = 65$  for 15 min drying,  $n = 62$  for 30 min) ( $p > 0.05$ ; Fig. 6c). After 8-week storage,  $61.1 \pm 5.3$  and  $72.7 \pm 12.8\%$  of recovered oocytes in the 15- ( $n = 47$ ) and 30-min ( $n = 113$ ) dried groups, respectively, had intact DNA, which was lower ( $p < 0.05$ ) than control samples ( $97.9 \pm 5.9\%$ ; Fig. 6d).

#### *Determining the State of Dried Samples During Storage*

We suspected that loss of GVs was due to inadequate suppression of molecular mobility and transition from amorphous into crystalline trehalose. Raman spectroscopy was conducted to identify the states of

samples. Consistent with previous studies,<sup>36</sup> differences in Raman spectra of crystalline and amorphous trehalose both on the coverslips and on the filter papers were observed (Supplemental Fig. 1). Crystalline trehalose exhibited sharp and narrow bands while the amorphous bands were broadened. Because of their structure, the system of crystals is more conformationally ordered than in the amorphous state.<sup>10,27</sup> This disordered state of amorphous molecules corresponds to a strong broadening of the Raman mode.

Spectra from samples dried for 15 min and 30 min (Fig. 7) suggest that these samples were in the amorphous state before storage. After 2 weeks of storage, the samples dried for 15 min exhibited a crystalline band pattern, whereas samples dried for 30 min maintained their amorphous spectra until 8 weeks. In Fig. 7a, amorphous samples (dried for 15 min before storage) can be distinguished from crystalline samples after storage in the fingerprint region from 300 to  $1500\text{ cm}^{-1}$ . In the regions from 1000 to  $1200\text{ cm}^{-1}$  and from 1300 to  $1500\text{ cm}^{-1}$ , the peaks in amorphous samples (black) are broader and poorly resolved compared to those in crystalline trehalose (green, red, blue) assigned to C–O stretching and/or C–OH side group deformation. The clear shifts of the intense bands can be observed from 920 to  $911\text{ cm}^{-1}$  and from 848 to  $835\text{ cm}^{-1}$ , which are associated with conformational deformation of the glycosidic linkage in trehalose, while the bands in the region from 500 to  $550\text{ cm}^{-1}$  are associated with exocyclic deformations.<sup>10,17,40</sup> These results suggest that samples that were dried for only 15 min have a moisture content and molecular mobility that resulted in crystallization after storage for 2 weeks at 23 °C, while the samples dried for 30 min were adequately dry to suppress



**FIGURE 6.** Percentage of recovered oocytes relative to the total number of treated oocytes after microwave-assisted drying (a) and after 8-week storage in 11% RH air at ambient temperatures (b) and percentage of oocytes with intact DNA relative to the total number of recovered oocytes after microwave-assisted drying (c) and after 8-week storage in 11% RH at ambient temperatures (d). Data was expressed as mean  $\pm$  standard deviation (SD). One-way and Kruskal–Wallis ANOVA was used for statistical analysis, \*\* $p < 0.01$ , \*\*\*\* $p < 0.0001$ .

molecular mobility and maintain an amorphous state for at least 8 weeks.

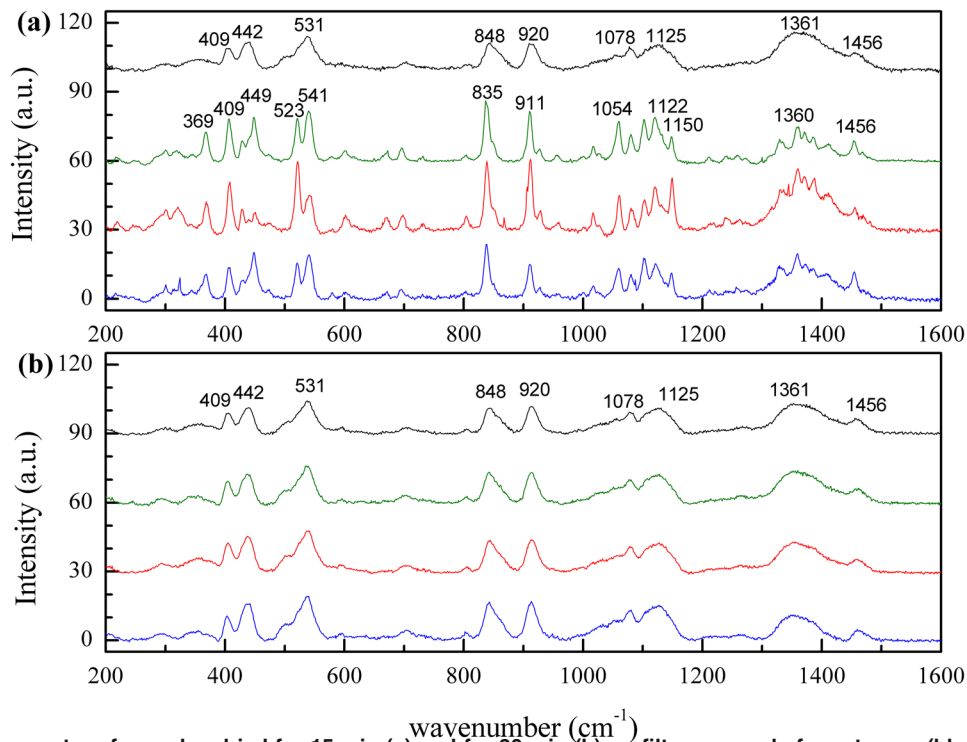
## DISCUSSION

Microwave-assisted processing for 30 min in a 11% RH environment was determined to yield samples that demonstrated high recovery and DNA integrity after processing and storage in glass vials for up to 8 weeks at room temperature, whereas samples processed for 15 min resulted in poor recovery after storage.

Different drying methods produce a range of different drying rates, and often yield products with spatially different moisture distributions. In general, passive droplet drying mechanisms lead to a spatially non-uniform distribution of the solid phases.<sup>18,19</sup>

During passive drying, the higher rates of evaporation on the surface result in extremely steep concentration gradients, yielding a glassy skin on the surface of the droplet. This glassy skin provides mechanical resistance that indirectly affects evaporation by delaying and sometimes stopping movement of water from the center of droplets. The presence of a wet center and dry peripheral region can lead to unpredictable and unstable storage of desiccated samples. Our previous work demonstrated the feasibility for successful microwave-assisted dehydration of the mammalian GV via the delivery of small pulses of energy. In general, evaporative cooling slows the evaporation rate, thus these pulses serve to increase the temperature of the sample modestly to overcome this slow-down in drying.<sup>20</sup>





**FIGURE 7.** Raman spectra of samples dried for 15 min (a) and for 30 min (b) on filter papers before storage (black) and after 2- (green), 4- (red) and 8- (blue) week storage.

Although heating during drying can favorably enhance both the process and product, temperature excursions in excess of physiologic temperatures can induce thermal damage to cells. Therefore it is important to ensure that thermal excursions are not exceeding physiologically tolerable levels during microwave-assisted drying. In previous studies, the temperature of samples was measured with a hand-held infrared sensor immediately after the drying was terminated and the microwave door was opened, and thus did not represent a measure of the maximum sample temperature during drying.<sup>8,31</sup> In the current study, temperature indicators were used to monitor peak temperatures attained near the sample during microwave-assisted drying. Because the indicators are not directly embedded in the sample, they remain an estimate of peak temperature. The heated trehalose-buffer samples in this study were estimated to attain peak temperatures between 40 and 42 °C during microwaving for periods between 15 and 30 min, which modestly exceeds the physiological temperature in cats (38.5 °C).

By analyzing desorption kinetics, we demonstrated that microwave-assisted drying of samples could accelerate the attainment of equilibrium moisture values. Using a chamber with controlled 11% RH, we were able to achieve a moisture content of 0.11 g H<sub>2</sub>O/g DW in 15 min, halving the time required in an uncontrolled RH environment.<sup>20</sup> In the current study,

desiccated house air was used to establish the 11% RH environmental, but in the future other levels could be achieved by using mixtures of dry and moist air.

Moisture adsorption/desorption isotherms describe the relationship between the equilibrium moisture content and the RH at constant temperature and pressure, and are important for predicting moisture changes that may occur during storage.<sup>26</sup> Many different models with a range of fitting parameters, have been developed to relate sorption behavior to the microstructures of different types of samples.<sup>2,6,26,32–34</sup> The three-parameter GAB, considered an extension of the Brunauer–Emmett–Teller (BET) model, has been found to predict the equilibrium moisture content of many materials with greater accuracy than other two-parameter equations.<sup>28</sup> This equation was used to model the data in the current study to better understand the effect of sample characteristics on processing parameters, and consequently the ultimate functionality of the preserved biologic.

The advantage of drying on glass fiber filters is that the surface tension is broken by reducing the force of cohesion and adhesion and the droplet dispenses into the filter paper. This changes adsorption from multi-layer to monolayer, facilitating the rapid removal of water from samples during drying. The desorption isotherm is thus characteristic of the combined matrix of sugar composition and glass fiber paper. One concern is that the porous structure may exhibit a hys-

teresis effect, which will result in higher equilibrium water content of some desorbed samples than that of sorbed ones at a given humidity level and temperature.<sup>3,39</sup> As shown in Fig. 3b, the desorption isotherm determined in this study was consistent with the desorption isotherm<sup>32</sup> and the adsorption isotherm for amorphous trehalose previously reported,<sup>25</sup> suggesting that hysteresis effects may not be significant.

The conditions that lead to crystallization of trehalose in stored composition is of great interest in this study. At lower RH, water is strongly bound and is not available for chemical reactions or as a plasticizer. However, when RH increases, more water molecules become free to function as a plasticizer to facilitate the glassy to crystalline phase transition.<sup>5,25</sup> The sorption curve obtained in our analysis showed that the equilibrated moisture content at 42.9% RH (0.11 g H<sub>2</sub>O/g DW) is above the level required to form trehalose dihydrate (0.105 g H<sub>2</sub>O/g DW) and the corresponding  $T_g$  is below room temperature. It is well known that the crystallization of amorphous trehalose is a time-dependent phenomenon that will occur as a consequence of holding the system above its  $T_g$ . Crystallization of trehalose is thus probable for compositions held at this moisture level, in agreement with previous studies of adsorption of water in amorphous trehalose.<sup>25</sup>

Despite a preponderance of studies on different dynamic drying techniques, little attention has been given to moisture control during packaging and long-term storage of dehydrated cells. Previous work from the food and pharmaceutical sciences show that the shelf-life is dependent on  $a_w$  and  $T_g$  of the product.<sup>34</sup> The  $a_w$ , which equilibrates to the RH of the water in the environment surrounding the sample, describes the degree of “boundness” of water in a sample. Boundness will affect the availability of water to participate in physical, chemical and microbiological reactions,<sup>4</sup> including the degradative reactions that lead to loss of biological function of the product. In addition, samples should be stored below the  $T_g$  in order to maintain preserved samples in a low mobility glassy state. In the case of the disaccharide-based preservative, samples can transition from an amorphous state to a crystalline state<sup>25</sup> when stored above  $T_g$ , potentially damaging the structure and functionality of incorporated cells and molecules.

The current study aimed to develop both a drying process to achieve prescribed moisture levels and a storage methodology to retain an appropriate RH level for extended storage. As shown in Fig. 4b, after 15 min of drying at 11% RH, the moisture level of the sample was close to the equilibrium level in a 43% environment. It was hypothesized that the sample would continue to dry in the 11% environment, but

would experience minimal changes in a 43% environment. However, minimal change in moisture content was observed in all cases. There was a modest but insignificant change in the average of moisture content from 0.12 to 0.11 g H<sub>2</sub>O/g DW when stored at 23 °C. This decrease might have come from water evaporated from the samples into the headspace above the samples. The evaporated water could in turn elevate the RH in the 9 mL glass vials from 11% to near 43% to reach a new equilibrium and prevent further moisture loss in the samples. Further studies on sampling and analysis of the headspace moisture content would be needed to confirm this hypothesis.

Our results indicated that microwave-assisted drying for 15 min at 11% RH produced a moisture level that enables 4 °C storage, whereas 30 min of drying was needed to create a moisture level facilitating stable storage at ambient temperature. At both levels, the recovery rates after processing were above 70%. It is not clear if sample losses were due to direct physical damage or are an artifact of sample handling. It is promising that the recovered oocytes exhibited no additional DNA damage, consistent with our previous studies.<sup>20</sup> In other words, if samples are recovered from the filter paper, the specimens are of high quality from the standpoint of DNA integrity.

When samples are dried and then stored, significant differences emerge between samples that were processed for 15 and 30 min. The recovery rate of samples processed for 30 min did not change before or after 8-week storage, but the DNA integrity in those samples moderately decreased from 98 to 73%. In contrast, fewer than half of the 15-min dried oocytes were able to be recovered after 8-week storage. Raman analysis confirmed that drying for 15 min produced samples with a moisture content and molecular mobility that resulted in crystallization after storage for 2 weeks at 23 °C. Those crystals could disrupt the structure of dried oocytes and cause a drastic reduction in recovery rate. This may also explain the lower DNA integrity observed in these samples compared to the 30 min processed counterparts. It was thus concluded that the best approach was to directly process samples to moisture contents consistent with storage in the glassy state, as finishing drying ‘in-vial’ was difficult to achieve. In the current work 30 min of drying in 11% RH was sufficient to yield intact GVs with high DNA integrity.

In conclusion, microwave-assisted drying processing and storage conditions were optimized in this study to ensure stable long-term storage of functionally viable genetic resources. A moisture sorption isotherm was established to enable prediction of moisture changes that could occur during storage and thus affect the shelf-life stability. Over 70% of the recovered GVs that

were dried for 30 min and then stored in vials at ambient temperatures for 8 weeks at 11% RH (expected to be in a glassy state) had intact DNA. Further studies focusing on enhancing tolerance to lower moisture contents could enable stable storage of GVs at potentially higher temperatures while maintaining DNA integrity and cellular functionality.

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### CONFLICT OF INTEREST

Shangping Wang, Pei-Chih Lee, Amanda Elsayed, Fan Zhang, Yong Zhang, Pierre Comizzoli, and Gloria D. Elliott declare that they have no conflicts of interest.

### ETHICAL APPROVAL

The study did not require the approval of an Animal Care and Use Committee because cat ovaries were collected at local veterinary clinics as byproducts from owner-requested routine ovario-hysterectomies.

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