

## CORONAVIRUS

# Insights into neutralizing antibody responses in individuals exposed to SARS-CoV-2 in Chile

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Chile has one of the worst numbers worldwide in terms of SARS-CoV-2 positive cases and COVID-19–related deaths per million inhabitants; thus, characterization of neutralizing antibody (NAb) responses in the general population is critical to understanding of immunity at the local level. Given our inability to perform massive classical neutralization assays due to the scarce availability of BSL-3 facilities in the country, we developed and fully characterized an HIV-based SARS-CoV-2 pseudotype, which was used in a 96-well plate format to investigate NAb responses in samples from individuals exposed to SARS-CoV-2 or treated with convalescent plasma. We also identified samples with decreased or enhanced neutralization activity against the D614G spike variant compared with the wild type, indicating the relevance of this variant in host immunity. The data presented here represent the first insights into NAb responses in individuals from Chile, serving as a guide for future studies in the country.

## INTRODUCTION

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) emerged at the end of 2019 as a novel zoonotic member of the betacoronavirus genus responsible for an outbreak of severe pneumonia in Wuhan, China (1, 2). SARS-CoV-2 is the etiological agent of the ongoing coronavirus disease 2019 (COVID-19) pandemic, which has spread worldwide, resulting in nearly 60 million infections with close to 1.4 million deaths as per 24 November (3). The COVID-19 pandemic has devastated public health and the economies in hundreds of countries, especially in middle- and low-income countries from Latin America, including Brazil, Mexico, Perú, and Chile (4). The first SARS-CoV-2 cases in Chile were reported on 2 March 2020 (5), and since then, the country has been badly affected by the pandemic, having one of the highest rates of cases and deaths per million inhabitants worldwide (6–9).

Despite hundreds of ongoing clinical trials worldwide, Chile still had no specific therapeutic drugs or approved vaccines available, as

of early December 2020, for the treatment and prevention of SARS-CoV-2 infections. The main goal of an effective vaccine is the induction of a specific immunological memory together with the development of neutralizing antibodies (NAbs) (10). Hence, it has been estimated that sustained population immunity ranging from 60 to 70% is required to control the COVID-19 pandemic (10). The current evidence shows that the presence of NAbs is correlated with protective immunity against a second infection with SARS-CoV-2 in nonhuman primate models (11, 12). Moreover, the passive transfer of NAbs limits upper and lower respiratory tract infection as well as symptomatic disease in animal models (13, 14), further emphasizing the relevance of NAbs in the immunity against SARS-CoV-2. However, SARS-CoV-2 induces a high degree of heterogeneity in the magnitude of adaptive immune responses, and further studies are needed to fully understand the immunity against the virus (15–19).

The S protein present in the viral surface drives viral entry by recognizing the angiotensin-converting enzyme 2 (ACE2) receptor through its receptor binding domain (RBD) present in the S1 domain and is the main target for NAbs (20, 21). Although the viral M protein and E protein are also present at the viral surface, their functions in viral entry and/or immunogenicity are still poorly understood (22). Given its critical role in viral entry and vaccine design, much more information is available for the function and immunogenicity properties of the spike protein (23). The spike variant D614G, which emerged in February 2020 and is highly prevalent in viral sequences obtained from Europe as well as North and South America, confers increased infectivity when assessed in different pseudotyped viruses (24–30). Despite its proven role in infectivity, the impact of the D to G mutation in the neutralizing capacity of antibodies present in plasma from individuals exposed to SARS-CoV-2 requires further investigation.

Given the rapid spread of the virus in the human population and the current second wave in the Northern hemisphere, it is crucial to study the natural immunity upon exposure at the local level to characterize neutralizing activity in convalescent plasma therapy, guide

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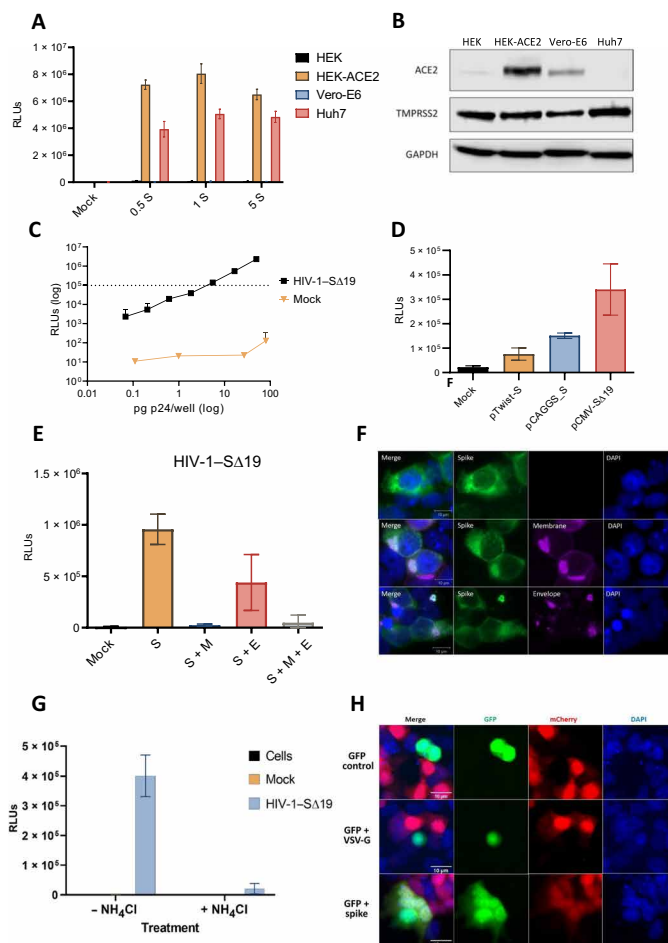
vaccine design, and report correlations of protection in vaccine clinical trials. In this context, it is critical to establish high-throughput methods aimed to quantify NAb responses in a local setting. Although the gold standard strategy is the classic virus neutralization assay, which involves handling the isolated virus under a biosafety level 3 (BSL-3) environment, this type of facility is scarce and of limited access for academic research in Chile. However, the use of envelope-defective reporter viruses such as HIV-1 (31, 32), vesicular stomatitis virus (VSV) (33, 34), or murine leukemia virus (35), which can be assembled with heterologous enveloped glycoproteins (pseudotyped particles), represent safe, reliable, robust, fast, sensitive, and successful alternatives to measure NAb titers in plasma samples in a BSL-2 environment. In this work, we describe the characterization, implementation, and validation of an HIV-1-based SARS-CoV-2 pseudotype, which we used in a 96-well plate format to detect and quantify the presence of neutralizing activity in plasma or serum samples from individuals exposed to SARS-CoV-2 in Chile. By using this pseudotype, we also characterized NAb responses in four patients before and following treatment with convalescent plasma. Since the D614G virus is highly prevalent in Chile, we also assessed the ability of plasma samples to neutralize pseudotypes carrying this variant of the spike protein.

The system reported here represents an invaluable tool for the study of NAb responses against SARS-CoV-2 in the middle- and low-income setting lacking access to BSL-3 facilities. Moreover, data reported here represent the first landscape of NAb responses in individuals exposed to SARS-CoV-2 in Chile, which will help future studies aimed to understand the particular outcome of the COVID-19 pandemic in the country and in the Latin American region.

## RESULTS

### Development and characterization of an HIV-1-based SARS-CoV-2 pseudotype to be used in a BSL-2 laboratory

Given the minimal availability of BSL-3 facilities in Chile and the urgent need to study the immune responses in individuals exposed to SARS-CoV-2 at the local level, we looked at establishing an HIV-1-based pseudotype, allowing us to characterize the NAb responses in a BSL-2 environment. For this purpose, we prepared S pseudotypes expressing firefly luciferase using either an HIV-1-based lentiviral system (three-plasmid format; see Materials and Methods) or an HIV-1- $\Delta$ Env-Luc full-length (hereafter referred as HIV-1) provirus (fig. S1A). For pseudotyping, we first used a previously described spike expression vector lacking the last 19 amino acids of the C-terminal end ( $\Delta$ S19) known to avoid retention at the endoplasmic reticulum and, thus, improving incorporation into the viral M (36, 37). The HIV-derived lentiviral system and the HIV-1 provirus pseudotyped with different amounts of  $\Delta$ S19 were used to transduce parental human embryonic kidney (HEK) 293T cells or HEK293T cells stably expressing the SARS-CoV-2 receptor ACE2 (HEK-ACE2) (Fig. 1A and fig. S1B). We also included Huh7 cells and Vero-E6 cells, which are known to support SARS-CoV-2 replication and are commonly used to recover the virus from clinical samples (1, 2). Vero-E6 cells were of interest as they are known to restrict HIV-1 replication via TRIM5 $\alpha_{\text{agm}}$  serving as an additional negative control (38). We observed that while the pseudotyped lentivector used here was not efficient in transducing any of the tested cells (fig. S1B), the HIV-1-based pseudotype (hereafter referred as HIV-1- $\Delta$ S19) was very efficient in transducing HEK-ACE2 and Huh7



**Fig. 1. Characterization of an HIV-1-based SARS-CoV-2 pseudotype.** (A) Infectivity of HIV-1- $\Delta$ S19 pseudovirus generated with increasing amounts of SARS-CoV-2- $\Delta$ S19 expression plasmid. Firefly luciferase activity was measured at 48 hours after infection, and results are expressed in relative light units (RLUs)  $\pm$  percent coefficient of variation (%CV). (B) ACE2 and TMPRSS2 expression in HEK, HEK-ACE2, Vero-E6, and Huh7 cell lines. (C) Titration of the HIV-1- $\Delta$ S19 pseudotype using increasing amounts of p24. (D) Infectivity of HIV-1-based pseudotypes carrying full-length S protein (pTwist-S and pCAGGS-S plasmids) or  $\Delta$ S19 (pCMV- $\Delta$ S19) in HEK-ACE2 cells. (E) Infectivity of HIV-1- $\Delta$ S19 pseudotypes generated in presence of M, E, or both expression vectors (molar ratio S:M:E of 1:0.5:0.5). (F) Subcellular localization of S:M or S:E (molar ratio of 1:0.5) in HEK cells immunostained for Flag-spike (green), Strep-tag-Membrane (magenta), or Strep-tag-Envelope (magenta). Nuclei were stained with 4',6-diamidino-2-phenylindole (DAPI; blue). (G) Inhibition of entry of SARS-CoV-2 S pseudotype on HEK-ACE2 by NH<sub>4</sub>Cl (20 mM). (H) S-mediated cell-cell fusion assay. HEK cells transiently expressing GFP together with  $\Delta$ S19 or VSV glycoprotein (VSV-G) were coincubated for 5 hours with HEK-ACE2 cells expressing mCherry. Nuclei were stained with DAPI (blue). Images are representative from two independent experiments. Scale bars, 10  $\mu$ m. GAPDH, glyceraldehyde-3-phosphate dehydrogenase; GFP, green fluorescent protein.

but not parental HEK293T and Vero-E6 cells, as expected (Fig. 1A). Notably, we observed no differences in the infectivity level of HIV-1- $\Delta$ S19 despite using different amounts of the spike expression vector, suggesting that the amount of spike in the viral particle is not a limiting factor influencing viral entry of the pseudotype (Fig. 1A and fig. S1B). Analysis of ACE2 and transmembrane serine protease 2 (TMPRSS2) expression by Western blot revealed expression of both proteins in HEK-ACE2 and Vero-E6 cells (Fig. 1B). Unexpectedly,

while high levels of TMPRSS2 were readily detected in Huh7 cells, we were not able to detect ACE2 under our experimental conditions. Similar results were reported by Liao and colleagues (39), raising the possibility of an ACE2-independent entry of SARS-CoV-2 in this cell type. Therefore, we decided to use HEK-ACE2 cells to characterize and optimize the HIV-1- $\Delta$ 19 pseudotype. The relative light units (RLUs) obtained with different amounts of HIV-1- $\Delta$ 19 [as measured by anti-p24 enzyme-linked immunosorbent assay (ELISA)] showed a wide linear range, which was several logs higher than the HIV-1 provirus lacking a surface glycoprotein (Fig. 1C). This linear range was not affected by decreasing the volume of the luciferase reagent for detection, allowing us to reduce the cost of the assay (fig. S1C). We also observed that storage at  $-80^{\circ}\text{C}$  in 50% fetal bovine serum results in a minimal loss of infectivity, indicating that HIV-1- $\Delta$ 19 preparations can be stored for further use (fig. S1D).

We then tested the impact of using different spike-expressing vectors on the ability of the HIV-1- $\Delta$ 19 pseudotype in transducing susceptible cells. Consistent with a decreased endoplasmic reticulum retention, HIV-1 pseudotyped with the  $\Delta$ 19 version of spike was more efficient than pseudotypes containing the full-length protein (expressed from pTwist and pCAGGS vectors) in infecting HEK-ACE2 cells (Fig. 1D). Since the M and E proteins are components of the SARS-CoV-2 surface, we then wanted to evaluate whether these viral proteins have an impact on HIV-1- $\Delta$ 19 infectivity. We observed that inclusion of M, E, or both strongly interferes with infectivity when used at an S:M:E molar ratio of 1:0.5:0.5 with M being the most potent inhibitor (Fig. 1E). This negative effect of M and E proteins on infectivity was not exclusive for the  $\Delta$ 19 version of the spike protein as it was also observed with full-length S carrying the reference sequence or the D614G mutation (fig. S1E). Reduction of the coexpressed levels of M and E using an S:M:E molar ratio of 1:0.1:0.1 relieved the negative effect of E but maintained the strong negative effect of M (fig. S1F). Immunostaining analyses revealed that S colocalizes and accumulates with M in the cytoplasm (Fig. 1F), which must be related to the M-mediated retention of S at the endoplasmic reticulum-Golgi intermediate compartment (ERGIC) previously reported for the SARS-CoV proteins (40). An alternative possibility could be related to the recently described egress pathway used by  $\beta$ -coronaviruses, which occurs via deacidified lysosomes and therefore may impede the trafficking of the spike protein to the HIV-1 assembly sites at the plasma membrane (41).

Considering that it was not possible to include M and E together with S in the HIV-1- $\Delta$ 19 pseudotype, we then sought to evaluate whether the  $\Delta$ 19 alone was sufficient to drive entry by endocytosis and fusion as it occurs with the wild-type virus (21). For this, we first evaluated the impact of the endocytosis inhibitor  $\text{NH}_4\text{Cl}$  and observed that infectivity of the HIV-1- $\Delta$ 19 pseudotype was indeed blocked (Fig. 1G). We then assessed the ability of the  $\Delta$ 19 alone to drive cell-to-cell fusion. For this, we cocultured parental HEK cells previously cotransfected with the expression vector for  $\Delta$ 19 [VSV glycoprotein (VSV-G) was used as a control] and d2EGFP together with HEK-ACE2 cells previously transfected with an mCherry-expressing vector. We observed that expression of  $\Delta$ 19 but not VSV-G in green fluorescent protein (GFP)-expressing HEK cells drives fusion with mCherry-expressing HEK-ACE2 cells (Fig. 1H) with an increment in the size of the GFP<sup>+</sup> foci (reaching up to seven nuclei per foci) due to the formation of multinucleated bodies when HEK-ACE2 and HEK- $\Delta$ 19 were present. No fusion between HEK cells was observed even under expression of  $\Delta$ 19, indicating that fusion

is dependent on the  $\Delta$ 19-ACE2 interaction (Fig. 1H and fig. S1G). Together, these data indicate that the HIV-1- $\Delta$ 19 pseudotype fully recapitulates the most relevant aspects of the spike-mediated entry of SARS-CoV-2 in ACE2-expressing cells and can be used for the detection and titration of NAbs.

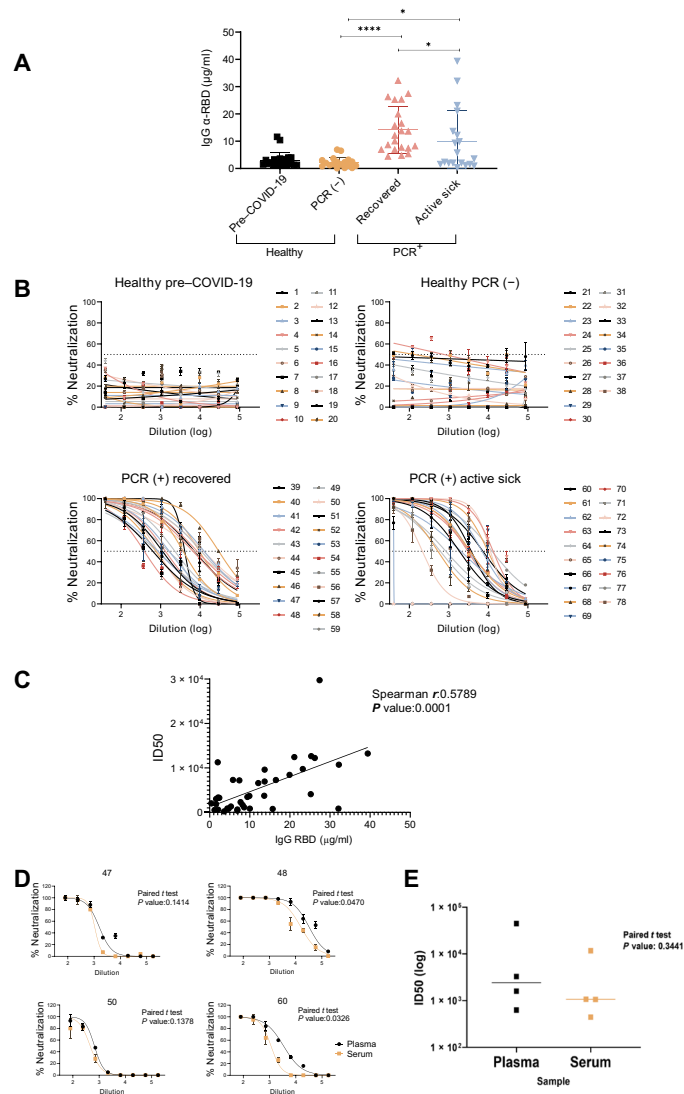
### Detection and titration of NABs in clinical samples from individuals exposed to SARS-CoV-2 in Chile

Since a potent NAb response is critical for conferring protective immunity against SARS-CoV-2, we then sought to evaluate the performance of the HIV-1- $\Delta$ 19 pseudotype in neutralization assays in a 96-well plate format using clinical samples from individuals exposed to SARS-CoV-2 in Chile. For this, we first compared the performance of our pseudotype with the classical neutralization assays using the whole SARS-CoV-2 virus standardized in two different laboratories in the country. As observed, we obtained Pearson correlations of 0.5502 ( $P = 0.1576$ ; fig. S2A) and 0.9026 ( $P = 0.0003$ ; fig. S2B), depending on the laboratory in which the classical neutralization assay was carried out, indicating that our HIV-1- $\Delta$ 19 pseudotype is a convenient tool for the massive characterization of NAb responses in Chile.

We then performed NAb titration curves using serum samples from 38 healthy donors obtained both before ( $n = 20$ , pre-COVID-19) and during the COVID-19 pandemic [ $n = 18$ , polymerase chain reaction-negative (PCR<sup>-</sup>)] as well as from SARS-CoV-2-diagnosed patients who were either recovered from the disease ( $n = 21$ ) or actively sick ( $n = 19$ ). Previous determination of anti-SARS-CoV-2 RBD immunoglobulin G (IgG) by ELISA showed variable levels among patients ranging from 0.1 to 39.4  $\mu\text{g}/\text{ml}$  (Fig. 2A and Table 1). We observed that none of the samples from the healthy donors presented specific neutralizing responses characterized by a sigmoidal curve in the titration assay (Fig. 2B, see pre-COVID and PCR<sup>-</sup>). However, some samples presented a low background of around 30% of nonspecific neutralization (pre-COVID group), with a higher nonspecific inhibition in the PCR<sup>-</sup> group, which presented one sample reaching 60% of neutralization at low dilutions (Fig. 2B). Since we were not able to identify a neutralizing response in samples from the healthy groups being not able to calculate a median inhibitory dose 50 (ID<sub>50</sub>) value, all of them were considered as negative for neutralization using the HIV-1- $\Delta$ 19 pseudotype.

Regarding the samples corresponding to the recovered and active sick groups, we observed sigmoidal curves with dose-response inhibition in most samples indicating specific neutralizing responses against the spike protein. In the case of recovered volunteers, 100% of them presented neutralizing activity, while 88.8% of the active sick group showed neutralization (Fig. 2B). To discard any nonspecific inhibition and confirm the presence of NABs targeting the spike protein and not the other component of the pseudotype, we then looked at the specificity of some samples from the active sick and recovered groups by comparing the effects of a 1:320 dilution on HIV-1-VSV-G and HIV-1- $\Delta$ 19 pseudotypes (fig. S2C). As expected, we observed that samples from the individuals exposed to SARS-CoV-2 were unable to neutralize HIV-1-VSV-G, indicating that the neutralizing activity detected against the HIV-1- $\Delta$ 19 pseudotype confers specific neutralization against the S protein.

Titration curves of the samples presenting neutralizing activity revealed a broad range of NAb titers with ID<sub>50</sub> values ranging between 214.5 and 29,736 (Fig. 2B and Table 1). A correlation analysis



**Fig. 2. Measurement of neutralizing activity in samples from volunteers using the HIV-1- $\Delta$ 19 pseudotype.** Plasma or serum samples were collected from pre-pandemic volunteers, SARS-CoV-2 PCR<sup>-</sup> healthy donors, and PCR<sup>+</sup> recovered and actively sick patients. (A) Absolute quantification of IgG anti-spike RBD ELISA. (B) Neutralization curves of HIV-1- $\Delta$ 19 pseudotype in serum/plasma samples. Samples were titrated in triplicate at serial threefold dilutions (1:40 to 1:87,480) and are expressed as percent of neutralization  $\pm$  %CV. Statistical differences between volunteers or patient groups were assessed by Wilcoxon-Mann-Whitney *t* test. (C) Spearman's rank correlation between ID50 and anti-RBD ELISA absolute quantification ( $\mu$ g/ml) in the total samples analyzed. (D) Titration of neutralization activity using paired plasma and serum samples from four donors. Statistical differences in paired samples were calculated by Paired *t* test. (E) ID50 differences between plasma and serum samples. Each dot represents an individual. Statistical comparison was calculated by Paired *t* test. For all statistical tests, *P* values below 0.05 were considered statistically significant. \**P* < 0.05 and \*\*\*\**P* < 0.0001.

between the levels of anti-RBD IgG and the corresponding ID50 of each sample revealed a significant positive correlation (Spearman  $r = 0.5789$ ,  $P = 0.0001$ ; Fig. 2C). Although further analyses including additional samples is undoubtedly required, these data show the presence of specific NABs in 95% (38 of 40) of the recovered and actively

sick patients in our study population, with nonstatistical differences among ID50 within groups (Mann-Whitney *U* test  $P = 0.9307$ ). Thus, the present pilot analysis suggests a high percentage of individuals exposed to SARS-CoV-2 in Chile present anti-spike NABs.

We also used the HIV-1- $\Delta$ 19 pseudotype to characterize the NAB responses in convalescent plasma donors and to determine whether the nature of the sample (plasma or serum) could have an impact in the calculation of the corresponding ID50 value. For this, we performed NAB titration using four plasma samples together with their cognate serum samples obtained from convalescent individuals. Titration curves showed variable ID50 titers of NABs in the samples ranging from 467 to 29,595 (Fig. 2D). While inpatient analyses revealed significant differences in the calculated ID50 in two of four (paired *t* test) samples with higher ID50 values obtained with plasma, no such differences were observed when the whole dataset was analyzed together, indicating that plasma or serum should be used indistinctly for NAB measurements (Fig. 2E). Together, these data support the suitability, specificity, and accuracy of the HIV-1- $\Delta$ 19 pseudotype to perform screening and titration of NAB responses in plasma or serum samples from individuals exposed to SARS-CoV-2.

### Impact of the D614G variant of the spike protein on the susceptibility to NABs

It has been widely reported that the D614G variant of S protein confers increased infectivity when assessed in different pseudotyped viruses (25, 27–30, 42). By using monoclonal antibodies or convalescent plasma, these studies have also reported that this mutation does not alter the susceptibility to NABs, although this last concept was recently challenged (24). Considering that 83% of SARS-CoV-2 sequences obtained from Chile until 24 November 2020 correspond to the D614G variant (Fig. 3A), we wanted to evaluate whether this amino acid change has an impact on the susceptibility to NABs. Consistent with previous reports, comparisons between the two spike variants reveal a fivefold increase in infectivity for the G614 variant (Fig. 3B). Notably, the G614 variant also conferred increased infectivity when compared to the  $\Delta$ 19 variant, which carries an aspartic acid at position 614, indicating that the relief of endoplasmic reticulum retention conferred by the  $\Delta$ 19 deletion is not the major constraint that must be circumvented by the spike protein at least in cell culture (Fig. 3B).

We then used equal amounts of HIV-1- $\Delta$ 19 (D614) and HIV-1-S-G614 pseudotypes (3 pg of p24 determined by ELISA) to perform a side-by-side calculation of the ID50 values using samples from actively sick and recovered individuals (Fig. 3C and Table 2). Individual analyses of the ID50 obtained for each plasma sample revealed changes in the neutralizing activity in 36.8% (14 of 38) of the samples tested. Hence, analysis of the whole dataset indicates significant differences to neutralization between both spike variants ( $P = 0.0024$ ; Fig. 3D). We identified that 31.6% of the samples (12 of 38) presented a significant decrease in their ability to neutralize the pseudotype carrying the D614G variant and 5.3% (2 of 38) of the samples contained NABs targeting more potently the pseudovirus containing this highly prevalent spike variant (Fig. 3E and Table 2).

Although it was not possible to determine which variant of the virus infected these patients, our data indicate that the D614G mutation not only confers increased infectivity to the pseudotype but also is able to affect the susceptibility to NABs present in plasma samples from individuals exposed to SARS-CoV-2.

**Table 1. Neutralizing activity in the study population.** CI, confidence interval; –, not determined; d.p.r, days post recovery; d.p.s., days post symptoms;  $R^2$ , goodness of fit  $R$  square of nonlinear regression; <160: non-neutralizing samples (neutralizing assay cutoff); ID, inhibitory dilution.

ID	Voluntary	COVID-19 diagnosis*	Gender	Age	d.p.r	d.p.s	Severity	IgG (µg/ml)	ID50	95% CI	$R^2$
1	Healthy	Pre-COVID-19 <sup>†</sup>	M	40 ± 5	–	–	–	1.5	<40	–	–
2	Healthy	Pre-COVID-19	M	40 ± 5	–	–	–	3.4	<40	–	–
3	Healthy	Pre-COVID-19	M	40 ± 5	–	–	–	1.5	<40	–	–
4	Healthy	Pre-COVID-19	M	40 ± 5	–	–	–	3.3	<40	–	–
5	Healthy	Pre-COVID-19	M	40 ± 5	–	–	–	2.2	<40	–	–
6	Healthy	Pre-COVID-19	M	40 ± 5	–	–	–	3.6	<40	–	–
7	Healthy	Pre-COVID-19	M	40 ± 5	–	–	–	1.3	<40	–	–
8	Healthy	Pre-COVID-19	M	40 ± 5	–	–	–	4.0	<40	–	–
9	Healthy	Pre-COVID-19	M	59	–	–	–	10.4	<40	–	–
10	Healthy	Pre-COVID-19	M	51	–	–	–	4.0	<40	–	–
11	Healthy	Pre-COVID-19	F	51	–	–	–	11.6	<40	–	–
12	Healthy	Pre-COVID-19	F	22	–	–	–	1.3	<40	–	–
13	Healthy	Pre-COVID-19	F	25	–	–	–	1.9	<40	–	–
14	Healthy	Pre-COVID-19	M	11	–	–	–	3.1	<40	–	–
15	Healthy	Pre-COVID-19	M	71	–	–	–	1.3	<40	–	–
16	Healthy	Pre-COVID-19	F	60	–	–	–	1.6	<40	–	–
17	Healthy	Pre-COVID-19	M	67	–	–	–	1.7	<40	–	–
18	Healthy	Pre-COVID-19	M	50	–	–	–	1.7	<40	–	–
19	Healthy	Pre-COVID-19	F	63	–	–	–	1.1	<40	–	–
20	Healthy	Pre-COVID-19	F	22	–	–	–	1.3	<40	–	–
21	Healthy	Negative	F	41	–	–	–	0.1	<40	–	–
22	Healthy	Negative	M	37	–	–	–	0.2	<40	–	–
23	Healthy	Negative	F	35	–	–	–	3.2	<40	–	–
24	Healthy	Negative	F	32	–	–	–	6.5	<40	–	–
25	Healthy	Negative	F	30	–	–	–	2.3	<40	–	–
26	Healthy	Negative	M	52	–	–	–	0.2	<40	–	–
27	Healthy	Negative	M	36	–	–	–	3.0	<40	–	–
28	Healthy	Negative	F	38	–	–	–	1.0	<40	–	–
29	Healthy	Negative	F	45	–	–	–	1.6	<40	–	–
30	Healthy	Negative	F	30	–	–	–	6.9	<40	–	–
31	Healthy	Negative	F	62	–	–	–	0.8	<40	–	–
32	Healthy	Negative	F	55	–	–	–	2.4	<40	–	–
33	Healthy	Negative	F	25	–	–	–	3.7	<40	–	–
34	Healthy	Negative	F	40	–	–	–	2.5	<40	–	–
35	Healthy	Negative	F	35	–	–	–	1.0	<40	–	–
36	Healthy	Negative	M	40	–	–	–	1.2	<40	–	–
37	Healthy	Negative	F	52	–	–	–	2.0	<40	–	–
38	Healthy	Negative	M	33	–	–	–	1.5	<40	–	–
39	Recovered	Positive	M	45	22	–	Asymptomatic-mild	8.6	1120	712.9–1813	0.981
40	Recovered	Positive	M	54	22	–	Asymptomatic-mild	13.6	3730	3058–4588	0.994
41	Recovered	Positive	F	54	22	–	Asymptomatic-mild	25.3	12653	9217–17,603	0.984
42	Recovered	Positive	M	55	27	–	Asymptomatic-mild	12.1	6585	3587–12,770	0.951

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ID	Voluntary	COVID-19 diagnosis*	Gender	Age	d.p.r	d.p.s	Severity	IgG (μg/ml)	ID50	95% CI	R <sup>2</sup>
43	Recovered	Positive	M	55	26	–	Asymptomatic-mild	32.2	10725	7725–15,102	0.984
44	Recovered	Positive	M	49	28	–	Asymptomatic-mild	26.2	12233	6286–26,823	0.935
45	Recovered	Positive	M	58	28	–	Asymptomatic-mild	6.9	637	248.3–1708	0.893
46	Recovered	Positive	M	60	23	–	Asymptomatic-mild	27.4	29736	25,001–35,629	0.992
47	Recovered	Positive	M	57	28	–	Asymptomatic-mild	4.4	862.9	450.0–1649	0.951
48	Recovered	Positive	M	50	24	–	Asymptomatic-mild	19.9	8440	5642–12,870	0.977
49	Recovered	Positive	F	29	22	–	Asymptomatic-mild	7.7	2301	1243–4248	0.958
50	Recovered	Positive	F	18	22	–	Asymptomatic-mild	10.0	841.4	519.6–1343	0.971
51	Recovered	Positive	M	57	22	–	Asymptomatic-mild	25.2	4083	4082–4084	1.000
52	Recovered	Positive	M	32	27	–	Asymptomatic-mild	15.7	762.9	443.1–1302	0.964
53	Recovered	Positive	M	36	26	–	Asymptomatic-mild	8.2	1655	963.2–2818	0.968
54	Recovered	Positive	M	39	21–28	–	Asymptomatic-mild	6.9	274.6	180.9–596.4	0.968
55	Recovered	Positive	M	23	21–28	–	Asymptomatic-mild	4.7	806.4	332.6–2031	0.910
56	Recovered	Positive	M	23	21–28	–	Asymptomatic-mild	16.5	7284	1849–35,570	0.814
57	Recovered	Positive	M	46	21–28	–	Asymptomatic-mild	7.4	7200	3695–13,732	0.946
58	Recovered	Positive	M	61	21–28	–	Asymptomatic-mild	13.7	10304	8844–12,077	0.995
59	Recovered	Positive	F	33	21–28	–	Critically ill	5.3	1326	906.9–1925	0.983
60	Active sick	Positive	M	59	–	4	Critically ill	1.6	1854	1291 to 2691	0.983
61	Active sick	Positive	M	50	–	3–9	Critically ill	9.4	3472	2639–4585	0.990
62	Active sick	Positive	M	61	–	3–9	Critically ill	0.4	2021	821.4–5240	0.912
63	Active sick	Positive	M	57	–	3–9	Critically ill	39.4	13243	12,300–14,319	0.999
64	Active sick	Positive	M	63	–	3–9	Critically ill	32.1	840.4	335.7–2270	0.900
65	Active sick	Positive	F	66	–	3–9	Critically ill	2.0	11269	10,561–12,012	0.999
66	Active sick	Positive	M	54	–	10	Critically ill	9.9	3699	2159–6204	0.958
67	Active sick	Positive	M	56	–	7	Critically ill	1.0	<40	–	–
68	Active sick	Positive	M	55	–	0	Critically ill	1.2	530.3	276.1–923.7	0.967
69	Active sick	Positive	M	56	–	1	Critically ill	13.7	6941	5448–8889	0.996
70	Active sick	Positive	F	55	–	4	Critically ill	2.1	3338	2185–5084	0.979
71	Active sick	Positive	F	26	–	10–13	Critically ill	2.1	610.1	305.7–1306	0.951
72	Active sick	Positive	F	78	–	10–13	Critically ill	12.3	<40	–	–
73	Active sick	Positive	M	61	–	10–13	Critically ill	5.8	7291	4993–10,609	0.981
74	Active sick	Positive	M	49	–	10–13	Critically ill	23.2	9758	9049–10,572	0.999
75	Active sick	Positive	M	59	–	10–13	Critically ill	2.4	3271	2393–4455	0.987
76	Active sick	Positive	F	60	–	10–13	Critically ill	1.6	3010	2223–4092	0.988
77	Active sick	Positive	M	64	–	10–13	Critically ill	21.1	12439	10,580–14,657	0.996
78	Active sick	Positive	F	86	–	10–13	Critically ill	3.6	214.5	211.9–217.2	1.000

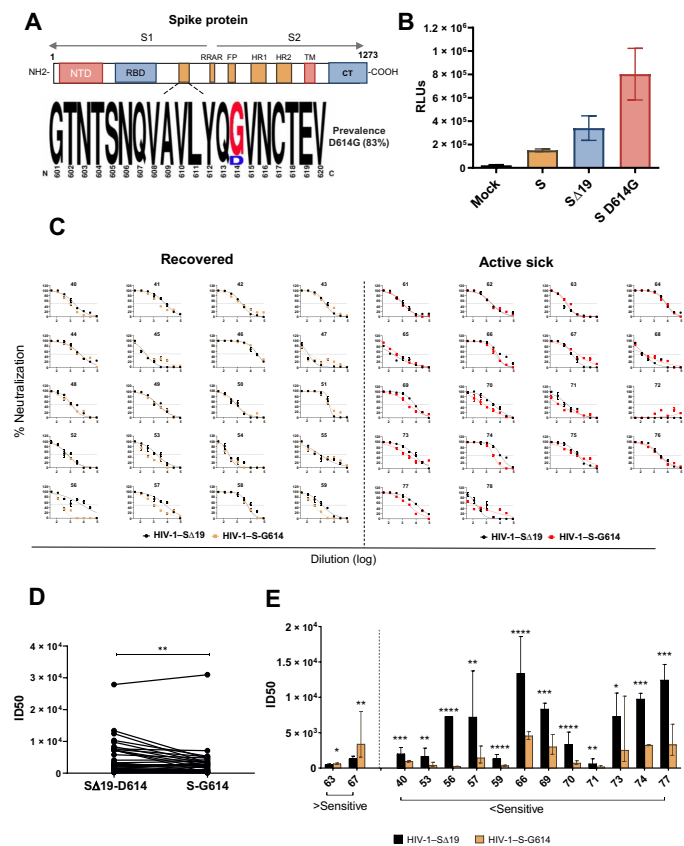
\*Diagnosis by SARS-CoV-2 real-time reverse transcription PCR.

†Plasma samples collected before October 2019 correspond to pre-COVID-19.

### Characterization of NAb responses in patients treated with convalescent plasma

Considering that treatment with convalescent plasma appears as an attractive potential therapeutic option to decrease severity in patients with severe COVID-19 (43), we lastly used the HIV-1- $\Delta$ 19 pseudotype to determine the NAb responses in four patients treated with convalescent plasma in the context of the Fundación Arturo López Pérez (FALP)-COVID clinical trial (44). All these patients were treated no more

than 14 days after diagnosis and presented variable levels of anti-RBD IgG at the moment of treatment (Fig. 4A). Analyses of the titration curves before and after treatment showed very interesting differences among these patients (Fig. 4B). For instance, while patient 3 presented very low levels of NAb before treatment (ID50 = 170), patients 1, 2, and 4 presented high levels of NAb before plasma transfusion (ID50 = 2944, 6493, and 10,720, respectively; Fig. 4C). Moreover, while patient 1 presented a sevenfold increase in the NAb titers upon treatment



**Fig. 3. Impact of the G614 spike variant on neutralizing activity in samples from individuals exposed to SARS-CoV-2 in Chile.** (A) Schematic representation of the SARS-CoV-2 spike protein and frequency plot of D/G variant at the 614 position in 228 SARS-CoV-2 genomes sequenced in Chile. NTD, N-terminal domain; FP, fusion peptide; HR1 and HR2, heptad-repeat region 1 and 2, respectively; TM, transmembrane region; CT, cytoplasmic tail. (B) Infectivity of HIV-1 pseudotyped with full-length S (D614),  $\Delta$ 19 (D614), and full-length S (G614). Results are expressed in relative light units (RLU)  $\pm$  %CV and correspond to a representative assay performed in triplicate. (C) Impact of D614 or G614 mutation in neutralization activity of serum samples of HIV-1-based pseudovirus. Neutralizing curves were performed to calculate ID50 titers. Results are expressed as percent of neutralization  $\pm$  %CV and correspond to a representative assay performed in triplicate. (D) ID50 comparison between samples tested with  $\Delta$ 19-D614 and S-G614 pseudovirus by Welch's *t* test. (E) Serum samples with significant differences in sensitivity to neutralization by both S variants. Error bars indicate asymmetrical confidence intervals (profile likelihood intervals) 95% CIs. Statistical differences were calculated by extra sum-of-squares *F* test. *P* values below 0.05 were considered statistically significant. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, and \*\*\*\**P* < 0.0001.

(from ID50 = 2944 to 20,908; *F* test *P* < 0.0001), no significant changes were observed in patient 4 (Fig. 4C). Besides, while patient 3 presented a 12.3-fold increase in its NAb titer (from ID50 = 170 to 2100; *F* test *P* < 0.0001), this titer remained lower when compared to the other three patients (Fig. 4C). Although these data represent a minimal view of the ongoing clinical trial and the analysis of additional patients are undoubtedly required to perform robust conclusions, these data suggest that despite high levels of NAb before treatment, convalescent plasma transfer can induce an increase in the NAb titers in some patients. Together, these results show the versatility of the HIV-1- $\Delta$ 19 pseudotype to perform studies of NAb responses including inpatient follow-up studies in individuals receiving convalescent plasma treatment.

## DISCUSSION

The COVID-19 pandemic has devastated the economies and public health of many countries, and Chile has not been an exception. With more than 500 thousand reported cases and more than 15,000 reported deaths for a country with a population of 18 million, Chile leads the numbers in terms of cases and deaths per million inhabitants worldwide. Unfortunately, our scientific community faces an important problem related to the scarce availability of environments with a BSL-3 in the country, which are required for the isolation and characterization of viral strains obtained from clinical samples and to perform basic research on this new pathogen. The lack of massive access to such an infrastructure impedes our advancement in understanding the pandemic at the local level, for example, in studies related to NAb responses in individuals exposed to SARS-CoV-2. This prompted us to develop, characterize, and optimize a two-plasmid HIV-1-based pseudotype that recapitulates the most relevant steps of the ACE2-dependent S-mediated cell entry. This pseudotype was robust, accurate, specific, and, more importantly, easy to implement, avoiding the requirement of a BSL-3 facility. By using this pseudotype, we were able to provide the first insights into the NAb responses using clinical samples from individuals exposed to SARS-CoV-2 living in Chile. We also provided data on NAb responses in actively sick patients before and after treatment with convalescent plasma.

During pseudotype optimization and considering that the M and E proteins accompany the spike protein in the SARS-CoV-2 membrane, we included these surface proteins during HIV-1- $\Delta$ 19 preparation. However, we observed a strong inhibition of the infectivity at two different S:M:E molar ratios with M being the most potent inhibitor. Since M and E were shown to participate in the assembly of SARS-CoV particles at the endoplasmic reticulum and the M protein retains S at the ERGIC compartment (40), we strongly believe that the inhibitory effect of SARS-CoV-2 M and, possibly, E are related to the intracellular trafficking of the spike protein as described for SARS-CoV. These observations are consistent with our immunostaining data showing intracellular accumulation of SARS-CoV-2 M and S. Although it is unclear whether SARS-CoV-2 M and E proteins have immunogenicity in humans, a preclinical study of an S:M:E virus-like particle (VLP) mRNA vaccine showed the lack of antibody responses against M and E in mice (45). Despite the fact that it was not possible to assemble an HIV-1 particle pseudotyped with S, M, and E, we showed that the  $\Delta$ 19 protein alone was sufficient to fully drive ACE2-dependent entry through endocytosis and fusion, allowing the use of the HIV-1- $\Delta$ 19 pseudotype in the study of NAb responses in different clinical samples.

Considering the high prevalence of the D614G spike variant in Chile (<https://cov2.cl>), we wanted to know whether antibodies present in clinical samples from actively sick and recovered patients were more potent in neutralizing the pseudotype carrying this mutation. However, while we observed that the highly predominant D614G variant of the spike protein conferred increased infectivity to the pseudotype, we also observed that this occurred, altering the susceptibility to NABs from some plasma samples. Hence, we identified two plasma samples having a significant increase in their neutralizing activity against the pseudotype carrying the D614G variant, but more importantly, we identified 12 of 38 samples having a significant reduction in their neutralization capacity against this predominant variant. These data provide further evidence for the relevance of the D614G mutation in the immune response, raising the possibility for the generation of NABs targeting specific antigenic

**Table 2. Differences in neutralizing activity of D614 or G614 variant pseudotype in COVID-19 volunteers.** –, not applicable.

ID	Voluntary	ID50 D614	95% CI	R <sup>2</sup>	ID50 G614 <sup>†</sup>	95% CI	R <sup>2</sup>	P <sup>‡</sup>
40	Recovered	2014	1409–2915	0.983	961.1	846.3–1091	0.9977	0.0003
41	Recovered	7479	6426–8706	0.997	5179	2786–9610	0.9876	0.0811
42	Recovered	1976	1740–2244	0.998	2421	1547–3846	0.9745	0.2644
43	Recovered	2649	2208–3173	0.995	2643	1808–3891	0.9822	0.9889
44	Recovered	5819	3282–10,975	0.952	4772	2558–9103	0.9528	0.5845
45	Recovered	216.9	113.8–423.6	0.933	357.5	116.7–981.6	0.8744	0.3277
46	Recovered	27,899	25,861–30,029	0.999	30,973	24,058–40,697	0.9818	0.3904
47	Recovered	158.2	118.2–211.8	0.991	76.25	0.1199–360.2	0.6711	0.2845
48	Recovered	1365	965.2–2005	0.992	1045	648.4–1706	0.9699	0.3155
49	Recovered	2301	1243–4248	0.958	1724	1121–2654	0.9786	0.3662
50	Recovered	841.4	519.6–1343	0.971	839.1	564.8–1249	0.9784	0.665
51	Recovered	4083	4082–4084	1	4172	3685–4737	0.9975	0.6567
52	Recovered	762.9	443.1–1302	0.964	521.7	401.6–679.0	0.9901	0.1411
53	Recovered	1655	963.2–2818	0.968	402.5	199.8–822.8	0.9353	0.0022
54	Recovered	274.6	180.9–596.4	0.968	210.5	156.7–284.8	0.9819	0.2327
55	Recovered	806.4	332.6–2031	0.91	1067	344.3–3239	0.8704	0.6335
56	Recovered	7284	1849–35,570	0.814	237.6	209.9–269.9	0.9973	<0.0001
57	Recovered	7200	3695–13,732	0.946	1453	717.1–3119	0.9369	0.0023
58	Recovered	10,304	8844–12,077	0.995	5336	3555–8146	0.999	0.7095
59	Recovered	1326	906.9–1925	0.983	330.8	255.4–427.9	0.9887	<0.0001
61	Active sick	1299	828.9–2061	0.972	935.4	717.4–1222	0.9904	0.147
62	Active sick	2307	1402–4105	0.967	2639	1534–4704	0.9623	0.6693
63	Active sick	497.8	423.0–584.3	0.998	612.2	522.5–721.9	0.9991	0.0366
64	Active sick	7641	6481–9043	0.994	7075	5480–9122	0.9901	0.5425
65	Active sick	189	47.16–521.5	0.859	458.3	269.2–776.9	0.9642	0.075
66	Active sick	13,380	9740–18,574	0.983	4560	4062–5145	0.9989	<0.0001
67	Active sick	1330	1052–1685	0.992	3388	1548–8004	0.9264	0.0094
68	Active sick	131.8	106.7–156.7	0.995	369.9	16.90–1815	0.7161	0.17
69	Active sick	8338	7582–9180	0.998	3011	1949–4749	0.974	0.0002
70	Active sick	3338	2185–5084	0.979	711.3	487.1–1037	0.9821	<0.0001
71	Active sick	610.1	305.7–1306	0.951	170.5	75.69–327.1	0.9279	0.0069
72	Active sick	<40	–	–	<40	–	–	–
73	Active sick	7291	4993–10,609	0.981	2524	946.6–10,169	0.8913	0.0261
74	Active sick	9758	9049–10,572	0.999	3236	3156–3321	0.9999	0.0009
75	Active sick	3271	2393–4455	0.987	3747	1831–7965	0.9405	0.6572
76	Active sick	3010	2223–4092	0.988	2046	1400–3021	0.9808	0.0767
77	Active sick	12,439	10,580–14,657	0.996	3310	1796–6203	0.9542	0.0003
78	Active sick	214.5	211.9–217.2	1	99.92	0.1495–549.8	0.6717	0.2666

\*D614 variant corresponds to HIV-1–SΔ19 pseudotype.

†G614 variant corresponds to HIV-1–S-G614 (full-length spike) pseudotype.

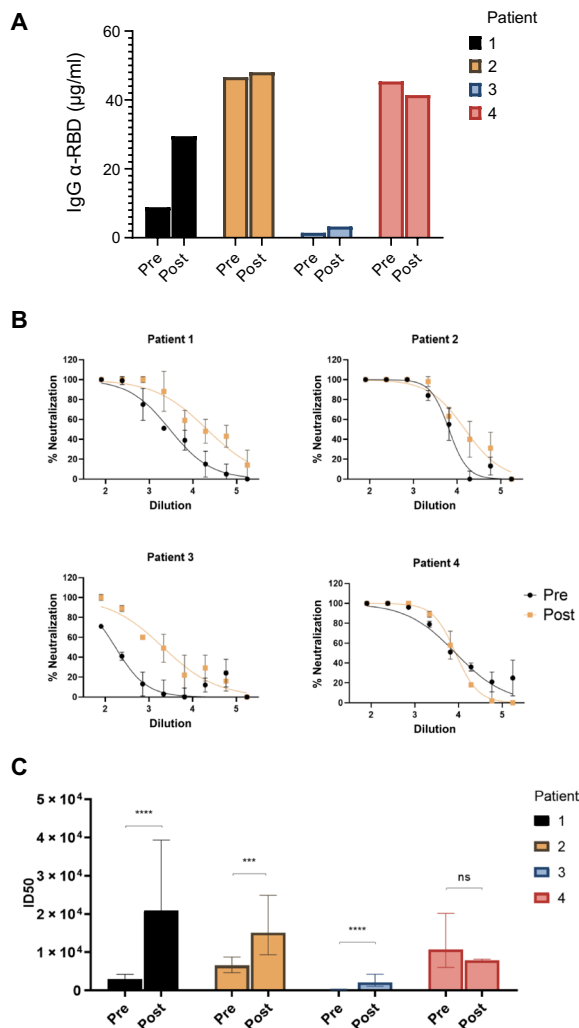
‡Extra sum-of-squares F test.

epitopes of this predominant variant and for an immune escape that requires further investigation.

An interesting observation from our analyses is the good correlation between the levels of total anti-RBD IgG against SARS-CoV-2 measured by ELISA and the NAb titers in samples from the active sick and recovered groups, as previously described (46). Notably,

studies aimed to measure NABs in individuals exposed to SARS-CoV-2 have demonstrated different results depending on the location. For instance, a study performed on 149 samples from convalescent individuals from New York City revealed that 33% of the patients have NABs with ID50 less than 1:50, while 79% presented NABs with ID50 below 1:1000, and only 1% showed titers above





**Fig. 4. Convalescent plasma transfer induces an increase in the NAb titers in some patients.** (A) Absolute quantitation of IgG  $\alpha$ -RBD in SARS-CoV-2 S protein by ELISA. (B) NAb titration curves of serum from patients before (pre) and after (post) transfusion. Results are expressed as percent of neutralization  $\pm$  %CV as described in Materials and Methods and correspond to a representative assay performed in triplicate. (C) ID50 value comparison between serum samples pre- and post-convalescent plasma transfusion. Error bars indicate asymmetrical confidence intervals (profile likelihood intervals) 95% CIs. Statistical differences were calculated by extra sum-of-squares *F* test. *P* values below 0.05 were considered statistically significant. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001. ns, nonsignificant.

1:5000 (43). Another study carried out in Shanghai analyzed 175 plasma samples and reported high variations in the NAb titers with ID50 values ranging from below the limit of detection (ID50 < 40) and ID50 of 21,567. In this study, 17% of the patients presented medium-low titers of NAbs (ID50 = 500 to 999), 39% presented medium-high titers (ID50 = 1000 to 2500), and 14% presented high titers (ID50 > 2500), with only two patients presenting very high NAb titers (15,989 and 21,567) (47). Among the samples analyzed in our study obtained from actively sick and recovered patients, we observed NAb titers with ID50 values between 214.5 and 29,736 in 38 of 40 samples and ID50 < 160 (considered as negative) in the two remaining samples. From the samples containing NAbs, 21.1% had ID50 titers between 500 and 999 (8 of 38), 15.8% had ID50 titers between 1000 and 2500 (6 of 38), and 57.9% had ID50 titers above

2500 (22 of 38). From these, 15 patients (39.5%) presented very high titers with ID50 values above 5000 suggesting a potent NAb response in these individuals. Although we identified some degree of unspecific neutralization in samples from the healthy group that can be attributed to the presence of cross-reactive NAbs against seasonal coronavirus as recently described (48), none of these samples presented a dose-response sigmoidal curve, as observed in the recovered and active sick groups. These data further stress the critical relevance of performing local characterizations of the immune responses in individuals exposed to SARS-CoV-2. This is particularly important, for example, when evaluating the suitability of convalescent plasma treatment or when analyzing the efficacy of vaccine candidates in phase 3 clinical trials. Recent studies revealed the relevance of the early use of convalescent plasma containing high NAb titers as a therapeutic option for patients with COVID-19 (49–52).

Given the robustness, accuracy, and specificity of the HIV-1- $\Delta$ SA19 pseudotype, we are currently supporting two clinical trials carried out in the country (NCT04384588 and NCT04375098), which are intended to evaluate the use of convalescent plasma in patients with active COVID-19. We have also established collaborations with other research laboratories in Chile and South and Central America to share the pseudotype and cell lines. The HIV-1- $\Delta$ SA19 pseudotype will also be helpful to support follow-up studies of immunity in individuals exposed to SARS-CoV-2, which are currently in process in Chile.

Last but not least, Chile has agreements to participate in at least three phase 3 vaccine clinical trials. Therefore, the HIV-1- $\Delta$ SA19 pseudotype characterized here will be useful for the fast and accurate characterization of NAb responses upon vaccination.

## MATERIALS AND METHODS

### Cell culture and plasmids

HEK293T [National Institutes of Health (NIH) AIDS Reagent Program], Vero-E6 (provided by N. Tischler, Fundación Ciencia y Vida, Chile), and Huh7 (provided by M. Ferrés, Pontificia Universidad Católica de Chile, Chile) cells were maintained in Dulbecco's Modified Eagle Medium (DMEM) (Life Technologies) supplemented with 10% fetal bovine serum (HyClone), antibiotics (Sigma-Aldrich), and L-glutamine (Corning) at 37°C and 5% CO<sub>2</sub> atmosphere.

Plasmids used in this study were obtained as follows: psPAX2 from Addgene (plasmid #12260); pCMV14-3X-Flag-SARS-CoV-2-S from Addgene (plasmid #145780); pFUGW-Pol2-*fl*Luc2-eGFP from Addgene (plasmid #71394); pTwist-EF1 $\alpha$ -nCoV-2019-S-2xStrep, pLVX-EF1 $\alpha$ -SARS-CoV-2-M-2xStrep-IRES-Puro, and pLVX-EF1 $\alpha$ -SARS-CoV-2-E-2xStrep-IRES-Puro from N. Krognan [University of California San Francisco (UCSF), USA]; pRRL-SFFV-ACE2-IRES-PURO and pRRL-SFFV-TMPRSS2-IRES-HYGRO from C. Goujon [Institut de Recherche en Infectiologie de Montpellier (IRIM), France]; pCAGGS-SARS2-S-NCflag D614 and pCAGGS-SARS2-S-NCflag G614 from H. Choe (Scripps Research, USA); psPAX2 from Addgene (plasmid #12260); and pCMV-VSV-G from P. Mageot [Center for Research in Infectious Diseases (CIRI), France]. pNL4.3 $\Delta$ Env-F construct was obtained by removing the *Renilla* luciferase-coding region in the Spe I site present in the Gag coding region (1507 to 1512) of the previously described pNL4-3-RF reporter vector (40).

### Cell culture and generation of stable cell lines

To generate stable HEK-ACE2-expressing cells, lentiviral particles were generated by cotransfecting  $5 \times 10^5$  HEK293T cells with 3  $\mu$ g

of psPAX2 packaging construct, 3  $\mu\text{g}$  of pCMV-VSV-G plasmid, and 3  $\mu\text{g}$  of lentiviral vector encoding for human ACE2 (pRRL-SFFV-ACE2-IRES-PURO). Lentiviral vectors were collected 48 hours after transfection, cleared by centrifugation at 3000 rpm for 5 min at room temperature, and used to transduce 293T cells. Briefly,  $2.5 \times 10^5$  HEK293T cells were treated with polybrene (1  $\mu\text{g}/\text{ml}$ ) for 45 min at 37°C, and 50% of medium containing virus was added. HEK-ACE2 cells were subjected to puromycin (1  $\mu\text{g}/\text{ml}$ ; Sigma-Aldrich) selection (until control cells died) at 48 hours after transduction, and ACE2 expression was evaluated by Western blot.

### Western blot

Cells were homogenized in a lysis buffer [50 mM tris-HCl (pH 7.4), 1% Triton X-100, and 20 mM EDTA], vortexed, and stored at  $-20^\circ\text{C}$  overnight. Then, the cell lysate was centrifuged at 5000 rpm for 5 min at  $4^\circ\text{C}$  to remove cell membranes, and proteins in the supernatant were quantified by Bradford assay (Bio-Rad). Proteins (40  $\mu\text{g}$ ) were loaded into a 5 to 15% gradient gel and transferred to a nitrocellulose membrane for 2 hours at 400 mA at  $4^\circ\text{C}$ . The membrane was incubated with the blocking solution (Bio-Rad) for 1 hour at room temperature, and primary antibodies for ACE2 (sc-73668), TMPRSS2 (sc-515727), Flag (F7425), Strep-tag (BL26882), and glyceraldehyde-3-phosphate dehydrogenase (sc-47724) were incubated overnight in 5% blocking solution. Membranes were washed three times with Tris-buffered saline with 0.1% Tween 20 detergent (TBS-T), and secondary horseradish peroxidase (HRP)-conjugated antibodies (Jackson ImmunoResearch) in 0.5% blocking solution were incubated for 1 hour at room temperature. Last, chemiluminescence was detected by using the C-DiGit LI-COR system and the Image Studio Digits software.

### HIV-1-based SARS-CoV-2 pseudotype particles

Pseudotyped viral particles were generated by cotransfection of HIV-1- $\Delta\text{Env}$ -FLuc vector and pCMV14-3X-Flag-SARS-CoV-2 SA19CT (Addgene plasmid #145780) (molar ratio, 1:1) by using linear polyethylenimine (PEI) prepared as described previously (53). HEK293T cells were transfected using a ratio  $\mu\text{g}$  DNA/ $\mu\text{l}$  PEI of 1:15, and 24 hours after transfection, cells were washed and fresh media was added. The virus-containing supernatant was collected 24 hours after, and cellular debris was removed by centrifugation at 3000 rpm for 5 min at room temperature. Viral stocks were diluted 50% in fetal bovine serum (Sigma-Aldrich), aliquoted, and stored at  $-80^\circ\text{C}$  for later use. The levels of viral particles in the cell supernatant were quantified using the HIV-1 Gag p24 Quantikine ELISA Kit (R&D Systems) and titrated by serial dilution transduction of HEK-ACE2 cells in white 96-well plates (SPL Life Sciences). To do this,  $1 \times 10^4$  cells per well were seeded in 100  $\mu\text{l}$  of DMEM, and eight 1:2 serial dilutions of the pseudotype in 100  $\mu\text{l}$  were added in triplicate. Forty-eight hours after transduction, media was removed and 25  $\mu\text{l}$  of  $1 \times$  passive lysis buffer (Promega) was added to each well. Plates were incubated for 10 min at 400 rpm at room temperature using the Synergy HTX Multi-Mode Microplate Reader (BioTek). Luciferase assay reagent (25  $\mu\text{l}$ ; Promega) at room temperature was added, and firefly luciferase activity was measured using the GloMax 96 Microplate Luminometer (Promega) after 5 s of integration and considering a 2-s delay between samples. These data were used to determine the dilution in which the luminescence reaches around 150,000 RLU. In our system, the transduction of 3 to 5 pg of p24 per well allowed us to obtain RLU 100-folds over the background; thus, all the experiments were performed under these conditions.

### Three plasmid-based SARS-CoV-2 pseudotype particles

To generate HIV-1-based lentiviral SARS-CoV-2 particles, three plasmids were used: the reporter vector (pFUGW-Pol2-ffLuc2-eGFP), HIV-1 structural/regulatory proteins (psPAX2), and the S coding plasmid (pCMV14-3X-Flag-SARS-CoV-2-S); these vectors were cotransfected, and lentiviral particles productions were performed as described above.

### Cell-cell fusion assays

For cell-cell fusion assay, HEK293T cells were seeded in six-well plates and transfected with a 1:1 mixture of GFP and VSV-G or spike-coding plasmids. In parallel, HEK293T and HEK-ACE2 cells were seeded on 18-mm coverslips and transfected with an mCherry-coding plasmid. At 48 hours after transfection, GFP and envelope-expressing cells were washed and trypsinized, and an equal number of cells was added and mixed with the mCherry-expressing cells. Five hours later, cells were fixed with 4% paraformaldehyde (PFA) for 20 min at room temperature and incubated with  $1 \times$  DAPI (4',6-diamidino-2-phenylindole) for 3 min. Images were acquired with an IX73 Olympus Microscope.

### Immunofluorescence

HEK293T cells ( $8 \times 10^4$  cells per well) were seeded on 18-mm coverslips and transfected with a 1:0.5 ratio of S:M or S:E vectors using PEI as described above. The pCDNA 3.1 plasmid was used to balance the amount of transfected DNA in each well. At 48 hours after transfection, cells were fixed with 4% PFA for 20 min at room temperature, permeabilized for 5 min with 0.02% Triton X-100, and incubated for 30 min in blocking solution. Primary antibodies, rabbit anti-Flag (F7425), and mouse anti-Strep-tag (BL26882) diluted 1:200 were incubated for 2 hours at room temperature. After two washes in phosphate-buffered saline (PBS), secondary Alexa Fluor-conjugated antibodies (donkey anti-mouse 647 and donkey anti-rabbit 488) diluted 1:500 were incubated for 1 hour at room temperature. Cells were then washed and incubated in  $1 \times$  DAPI for 3 min, and last, images were acquired with an Axio Observer 5 microscope (Zeiss) using the 40 $\times$  objective and analyzed using the ZEN software.

### Endocytosis inhibition

HEK-ACE2 cells were plated in 96-well plates and treated with 20 mM  $\text{NH}_4\text{Cl}$  for 1 hour at 37°C. Then, cells were infected by spinoculation at 1200g for 30 min at room temperature, and firefly activity was evaluated 48 hours later as described above.

### Patient recruitment and sample collection

Regular blood bank donors consented to donate plasma and serum for IgG and NAb analysis. Two groups of healthy samples were considered: plasma from a regular blood donation obtained before October 2019 and a single blood drawn in April 2020 from asymptomatic healthy volunteers with a negative SARS-CoV-2 real-time PCR result.

Sick volunteers were consented at the moment of hospitalization and met the following inclusion criteria: patients over 18 years old, COVID-19 diagnosis at enrolment confirmed with a positive SARS-CoV-2 PCR in nasopharyngeal swab, and candidate for convalescent plasma transfusion (NCT04384588 or NCT04375098). Recovered volunteers and convalescent plasma donors signed a consent letter as part of the clinical trial NCT04384588. All volunteer patients

recovered from COVID-19, were asymptomatic for at least 28 days, and showed a negative result for the SARS-CoV-2 PCR test.

All participants were tested by ELISA for anti-SARS-CoV-2 (S1) IgG titers, as well as for standard infectious diseases (hepatitis B virus, hepatitis C virus, HIV, human T cell leukemia virus I/II, and *Trypanosoma cruzi* and *Treponema pallidum* serologies), and, for the plasma to be transfused, was also tested by nucleic acid amplification test (NAAT) for HIV, hepatitis B, and hepatitis C viruses. For details regarding the criteria for plasma transfusion, please review the information at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) for each trial (NCT04384588 and NCT04375098).

Four groups of healthy samples from Pontificia Universidad Católica de Chile were considered: (i) plasma from a regular blood donation obtained before October 2019; (ii) a single blood drawn in April 2020 from asymptomatic healthy volunteers with a negative SARS-CoV-2 real-time PCR result; (iii) 12 serum samples from 2012, obtained under hantavirus project close household contacts (NIH: no. U01AI055452); and (iv) a single blood drawn in June 2020 from asymptomatic healthy volunteers with negative IgG for SARS-CoV-2 (ELISA S-based assay, Euroimmun).

### Quantitative IgG ELISA anti-RBD S1 SARS-CoV-2

An in-house quantitative and indirect ELISA test was developed and validated. Briefly, microplates 96-well MaxiSorp (439454, Nunc, Thermo Fisher Scientific) covered with 50 ng of S1-RBD protein from SARS-CoV-2 (RB.230-30162-100, RayBiotech) were used for IgG detection. Serum samples were diluted in bovine serum albumin 0.1% in PBS and incubated for 1 hour at room temperature. In parallel, negative and positive serum controls were used. A calibration curve from 0 to 250 ng/ml was included using as a standard material, a commercial chimeric mouse scFv fusion with human IgG1 Fc anti-SARS-CoV-2-S1-RBD (CSB-YP3324GMY1, Cusabio). Human serum IgG was detected using an HRP-conjugated donkey anti-human IgG diluted at 20 ng/ml and incubated for 1 hour at room temperature, and the reaction was developed using 3,3',5,5'-tetramethylbenzidine liquid substrate (T0440, Sigma-Aldrich). The reaction was stopped with 2 M sulfuric acid, and the formed yellow reaction product was measured at 450 nm within the first 30 min in a Cytation5 plate reader (BioTek). The IgG concentration was estimated from the calibration curve. Blank and controls were performed within their respective 5% coefficient of variation (CV).

### Pseudovirus neutralization assay

Serum samples were incubated at 56°C for 30 min and stored at 4°C until use. For neutralization assays, samples were diluted in DMEM and 50 µl of the dilution was added to each well in triplicate. Pseudotype stocks were thawed on ice and diluted to obtain 3 to 5 pg of p24 in 50 µl of DMEM and was added to each well of 96-well plates. The mix (50% sample and 50% virus) was incubated for 1 hour at 37°C. As a positive control, 50 µl of the pseudotype (100% infectivity) was incubated with 50 µl of DMEM. Then,  $1 \times 10^4$  of HEK-ACE2 cells in 100 µl were added to each well, and HEK293T cells transfected with the pseudotype were used as a negative control (0% infectivity). Firefly luciferase activity was measured 48 hours later as indicated above. Neutralizing assays were validated considering the following pass/fail criteria: (i) The average RLU from the pseudovirus control wells is  $\geq 10$  times the average RLU of the negative control wells (HEK293T cells). (ii) The CV between RLU in the pseudotype control wells is  $\leq 30\%$ . (iii) The percent difference

for triplicate wells is  $\leq 30\%$  for sample dilutions that yield at least 40% neutralization. (iv) Positive control neutralization curve crosses the 50% neutralization cutoff 0 to 1 times.

### SARS-CoV-2 neutralization assay

All the procedures handling the SARS-CoV-2 and infected cell cultures were held at the BSL-3 laboratory under protocol approved by Institutional Biosafety Committee from the Pontificia Universidad Católica de Chile. Vero-E6 cells were used for both the isolation of SARS-CoV-2 and the neutralization assays. The cells were cultured in DMEM supplemented with penicillin G (100 U/ml), streptomycin (100 mg/ml), 2 mM L-glutamine, and 10% heat-inactivated fetal bovine serum. All cell cultures were maintained in 5% CO<sub>2</sub> at 37°C.

Neutralization assay were conducted as follows: 96-well plate were seeded with 20,000 cells in 100 µl of DMEM the day before neutralization assay. The next day, SARS-CoV-2 TCDI50  $3.5^{-3}$  was incubated with different serum dilution (1:40 to 1:5120) at 37°C for 1 hour. After, 100 µl was added to Vero-E6 cell and incubated for 5 days (at the second day, the growth media was replaced), fixed with 4% PFA for 30 min, and stained with 5% crystal violet for 10 min, which stain only living cells. The neutralization titer was expressed as reciprocal of the highest dilution at which infections was blocked. The neutralization assay at Instituto de Salud Pública was carried out in the BSL-3 laboratory at the Enfermedades Virales Subdepartment using serial serum dilutions from 1:40 to 1:1280 following an in-house protocol through infection of Vero-E6 cells and a SARS-CoV-2 isolate during 7 days.

### Sequences analysis

Sequences of 228 SARS-CoV-2 genomes available on 24 November 2020 were downloaded from GISAID ([www.gisaid.org](http://www.gisaid.org)). The genomes were aligned to the reference using nucmer, and single-nucleotide polymorphisms (SNPs) were predicted using nucmer tool show-snps. Then, the SNPs were annotated using SnpEff within the SARS-CoV-2 database. Using the SNP annotation, sequences from spike protein were reconstructed using in-house PERL scripts. These sequences were aligned using ClustalW, and the output was uploaded to WebLogo service to generate the amino acid position frequency plot. All the computations were run at the National Laboratory for High-Performance Computing ([www.nlhpc.cl](http://www.nlhpc.cl)).

### Statistical analysis

Comparisons between two clinical groups were performed through an unpaired two-tailed Mann-Whitney test. Sigmoid curves and estimation of the ID50 were obtained using a four-parameter non-linear regression curve fit measured as the percent of neutralization determined by the difference in average relative light units (RLU) between test samples and pseudotyped virus controls. To obtain the ID50 values, the lack of fit test would have a *P* value > 0.1. The top values were constrained to 100, and the bottom values were set to 0. Correlations were analyzed by calculating Spearman's rank correlation coefficients. *P* values of  $\leq 0.05$  indicate statistical significance. All statistical analyses were performed using GraphPad Prism version 8.4.3 software.

### SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/7/7/eabe6855/DC1>

[View/request a protocol for this paper from Bio-protocol.](#)

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