

## The Role of Nutritional Support in Malnourished Patients With Lung Cancer

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**Abstract.** *This review aimed to aggregate and describe the available data about clinical nutrition in lung cancer and the role of the dietitian in multidisciplinary patient care. Scientific literature was searched in order to summarize key aspects related to clinical nutrition in lung cancer. This information can be used to arrange a proper nutritional therapy that can enhance patient treatment responses, prevent side-effects, shorten recovery time, improve prognosis and increase quality of life. An anti-inflammatory diet rich in antioxidants, immunomodulatory compounds, dietary fibre and an appropriate intake of protein can reduce the risk of initiation and progression of lung cancer, support the regeneration of tissues (also after surgery) and improve the nutritional status during the disease and after remission. A correct intake of nutrients is significant prior to disease occurrence and at every stage of treatment and recovery.*

Lung cancer is the most common type of cancer and a leading cause of cancer death (1). The most common causes of this cancer include both active and passive smoking, as well as poisoning with heavy metals, asbestos and other carcinogenic substances (poor air quality) (2). Lung treatment is based on tumour resection, chemotherapy and radiotherapy. The consequences of a systemic treatment may include problems with swallowing, coughing, persistent dyspnoea and recurrent

pneumonia. All these symptoms have a negative impact on the type and amount of food consumed, as well as patient quality of life. Unfortunately, in many cases, malnutrition and cachexia are diagnosed before initiating systemic treatment. Studies show that malnourished patients after surgery (non-small cell lung cancer, NSCLC) have a shorter survival time and greater postoperative complications. Malnutrition weakens intercostal muscles and the immune system. It also contributes to infectious complications, increasing the risk of death. This is why appropriate nutritional therapy is significant before and during systemic treatment, as well as during patient recovery (3).

The aim of this review was to describe the available data about clinical nutrition in patients with lung cancer. A scientific literature review was carried out to summarize key aspects related to clinical nutrition in lung cancer. The following search strategy was applied: Articles were extracted from PubMed using the terms “clinical nutrition”, “nutrition”, and “malnutrition” in combination with “lung cancer”.

We describe the effect of pharmacological treatment on malnutrition in patients, the prevalence of malnutrition in patients with lung cancer, and the role of individual food components in the process of patient therapy.

### Epidemiology

The annual death rate due to lung cancer worldwide is very high at about 1.8 million (1). It is estimated that by 2035, this number will have increased to 3 million (4). Additionally, an increased risk of lung cancer among women is observed every year. The 5-year survival rate is currently 15% (4), and largely depends on the type and clinical stage of the cancer. The highest chance of survival (25%) is observed in patients with early diagnosed cancer, and 5-year survival rates are 1-5% in the case of advanced disease (5).

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## Etiology and the Impact of Diet on Cancer Risk

Lung cancer arises in epithelial cells that line the airways and is caused, among others, by mutations associated with carcinogenic factors. The most significant factor that increases the risk of this disease is active smoking. Furthermore, second-hand smoke and smoking cessation also have an essentially negative influence on the likelihood of the disease (6). Second-hand smoke, exposure to asbestos, heavy metals (cadmium, lead, nickel, arsenic) and other carcinogenic chemical substances, as well as air pollution, can also be risk factors (2). Too low ( $<18.5 \text{ kg/m}^2$ ) and too high ( $>30 \text{ kg/m}^2$ ) a body mass index (BMI), are also considered lung cancer risk factors (7). Nutrition is probably only significant in the context of the influence on the pace and tendency of tumourigenesis, which is reflected in the results of studies on the impact of particular nutrients (antioxidants, polyphenols, dietary fibre) and the entire nutritional model. Vegetables, fruit, white meat and soya products are mentioned as being particularly useful in reducing the risk of tumourigenesis (8, 9). In the pre-diagnosis period, nutrition and the resulting nutritional status (especially rapid weight loss), as well as patient general fitness have a significant impact on the prognosis in those with advanced cancer (10).

The pathophysiological mechanism of lung cancer is similar to chronic obstructive lung disease, and includes the participation of chronic inflammation in the airways, oxidative stress, protease–antiprotease imbalance, and disturbed repair mechanisms. The significant role of inflammatory factors in tumorigenesis is indicated, among others, by the essential role of C-reactive protein as a prognostic marker of lung cancer and its progression (11). Moreover, a lower pre-albumin level in this group, which is also a marker of the nutritional status, combined with oxidative stress and the specific microenvironment within the lung tissue changed by carcinogenic factors, are the probable causes of disorders in the anticancer abilities of the immune system and the progression of cancer (12). The growth of cancer, facilitated by so-called immune tolerance, reduces the efficiency of cytotoxicity or increases the susceptibility of lymphocytes to apoptosis. Due to a heterogenic antigen structure and differences in phenotype of cells of various types of lung cancer, the responses of the immune system are disturbed and oriented to immunosuppressive reactions, which is caused by hypoxia in tissues changed by cancer and an excess of the cytokines, chemokines and metabolites it produces (*e.g.* interleukin 10 and transforming growth factor- $\beta$  increase the growth of forkhead box P3 regulatory T-cells that take part in the development of immune tolerance) (13). Given the above, a reduction in the factors favouring chronic inflammation (stress, unhealed infections, obesity) and the appropriate supply of nutrients (including antioxidants and immune modulators) may have a potential impact on lowering the risk of disease initiation and progression.

## Symptoms and the Quality of Life of Surgical Patients

Lung cancer is divided into non-small cell lung cancer (NSCLC) (85%), including adenocarcinoma (the most frequent subtype in non-smokers), squamous cell carcinoma, small-cell lung cancer, giant-cell carcinoma, and others not specified. Lung cancer metastases are most common in regional lymph nodes, and then in the liver, brain, the other lung, bones, adrenal glands, subcutaneous tissue and bone marrow. Infiltration of mediastinal anatomic structures, diaphragm, pleura and chest walls (10). The most common symptoms of lung cancer include a cough or one that changes in character, dyspnoea, haemoptysis, hoarseness, chest pain, recurrent or chronic pneumonia, problems with swallowing, shoulder pain, superior vena cava syndrome and Horner syndrome. In addition, there are non-specific general symptoms, such as general weakness, weight loss, elevated body temperature, joint pain, exteroceptive sensory abnormalities and symptoms of thrombophlebitis (14). The most common symptoms reported by patients are: weakness (98%), loss of appetite (98%), breathing problems (94%), cough (93%), pain (90%) and haemoptysis (70%) (15), the intensity of which correlates directly with patient quality of life (16). It was also found that the ability to function in daily life, established on the basis of questionnaires assessing quality of life, depends on the type of cancer, comorbidities and chemotherapy that directly determine the intensity of symptoms (16).

Treatment of lung cancer depends strictly on its type. In patients with NSCLC (excluding some stage III cancer), first-line therapy is lung parenchymal resection through lobectomy, more seldom pneumonectomy, with obligatory lymphadenectomy. Radiotherapy is applied when there are some medical contraindications or the patient refuses consent to surgery.

Survival time varies depending on the type of cancer and the time of diagnosis (stage of disease), and prognosis is worse for small-cell lung cancer and metastatic cancer (17). The symptoms that influence the quality of life of surgical patients include insomnia, and more seldom, coughing and haemoptysis (18). The negative prognostic survival factors following treatment are loss of appetite, diarrhoeas, fatigue and depression (19). Even at 2 years after surgery, some patients experience pain, fatigue, dyspnoea and coughing, which can be minimised to some extent by ceasing smoking, better control of health, and improved physical functions that are taken into account for the overall evaluation of the quality of life (20). This evaluation also has a prognostic value during remission. On the basis of the European Organization for Research and Treatment of Cancer Quality of Life Questionnaire – Core 30 and Quality of Life Questionnaire Lung Cancer Module 13 questionnaires dedicated to patients with lung cancer, significant dependence between physical

functioning, insomnia, general sense of vigour, sense of anxiety and patient prognosis can be observed. This dependency can be influenced by proinflammatory factors (proinflammatory tumor necrosis factor  $\alpha$ ) and vascular endothelial growth factor (cytokine) that correlate with the aforementioned symptoms (21) and potentially lower motivation to implement medical recommendations, including those related to changes in lifestyle, which is observed in patients with a low quality of life (22). Non-pharmacological factors that may potentially improve the quality of life of patients with lung cancer include physical activity, devoting time to leisure, reduction of stress and appropriate diet (23).

### Pharmacological Treatment and its Influence on Malnutrition

In patients with NSCLC, the most commonly used chemotherapeutics are cisplatin and vinorelbine, usually administered in 3-4 cycles. In other cases, the basic treatment methods are radiotherapy alone or in combination with chemotherapy, or systemic treatment with the use of cisplatin, etoposide, vinorelbine, docetaxel, paclitaxel, pemetrexed, gemcitabine, carboplatin or other agents in various combinations. In patients with oncogenic epidermal growth factor receptor mutations or anaplastic lymphoma kinase gene rearrangement, the first-line therapy is tyrosine kinase inhibitors (24, 25). A permanent method in NSCLC treatment is also immunotherapy; in this indication, antibodies to programmed death receptor 1, such as pembrolizumab, as well as those against programmed death-ligand 1, nivolumab and atezolizumab, have already been registered. Chemotherapy is associated with numerous adverse effects, where apart from gastrointestinal and hematopoietic system disorders, interactions with consumed nutrients are also of great significance. For example, administration of cisplatin is associated with reduced absorption and increased excretion of magnesium, and administration of pemetrexed is associated with folic acid deficiency (26). Supplementation of low doses of folic acid in pemetrexed therapy is an essential element of premedication. As the above data shows, the disease itself and surgical treatment are factors predisposing to undernourishment, and the applied pharmacological treatment may affect the nutritional status of patients with lung cancer.

### Malnutrition in Patients With Lung Cancer

Malnutrition in lung cancer before treatment is found in 26-40% of cases, depending on the tool used for assessment – the nutritional status assessment questionnaire: Subjective Global Assessment (SGA) (27), Patient Generated-SGA (28) or Mini Nutritional Assessment (29). The status of malnutrition, resulting from an insufficient intake of nutrients or abnormal

absorption, has a negative impact on the survival prognosis, quality of life and side-effects of treatment (27). The prognostic value, correlating with the chance of survival, is also reflected by the serum albumin level and the Karnofsky Performance Status Scale (29). BMI, used to evaluate the nutritional status of healthy people, is not important in oncological patients (30). In a study by Sanchez *et al.* (27), in a group of patients with NSCLC undergoing chemotherapy, the average BMI was 24.8 kg/m<sup>2</sup>, which is a normal body weight. Nevertheless, after evaluating the nutritional status with the SGA questionnaire, malnutrition was indicated in over 60% of the patients, and the average body weight loss was 8.4%. BMI measurement, without an evaluation of malnutrition risk factors, is not accurate due to increased fluid retention in patients undergoing intensive treatment, and lack of evaluation of the possibility of compensation of disturbed metabolic processes. Low nutritional status in patients with lung cancer correlates with the overall assessment of their quality of life, and particularly with loss of appetite, fatigue, dyspnoea and diarrhoeas. The occurrence of the aforementioned symptoms may be both the effect and cause of malnutrition, which indicates that patients showing these symptoms should be placed under special nutritional supervision (27, 31).

An indicator with a potentially broader use is bioelectrical impedance analysis for estimating fat and muscle mass, as well as phase angle, which shows the integrity and function of cellular membranes. The loss of this function is observed *e.g.* in liver cirrhosis, conditions requiring haemodialysis, cancers and human immunodeficiency virus. Despite no standards and norms for this parameter, many studies show the dependency between a higher value of phase angle and better patient health, while a lower value may indicate inflammation or malnutrition that partially determines the survival time and patient prognosis (32).

In a study by Sanchez *et al.*, the average phase angle in cancer patients was 5.8% (27), while in healthy people it is usually 7.32% (33). In a study by Gupta *et al.* conducted among a group of patients with stage III and IV NSCLC, the average phase angle was 4.4%, with the average survival time of the patients with a phase angle  $\leq 5.3^\circ$  was 7.6 months, compared to 12.4 months for the remainder of the group (34). In addition, it was observed that the phase angle in patients with lung cancer correlated with the result of the Karnofsky Performance Status Scale and severity of the disease, including the size of the tumour (35).

On diagnosis of lung cancer, the proportion of patients with low body weight is usually low (3-7.5%), while in the case of patients with excessive body weight, it is about 37-49% (36-38). Body weight loss prior to treatment is observed in about 58% of patients with NSCLC, 59% of patients with small cell lung cancer, and 76% of patients with pleural mesothelioma (39), and usually increases over the course of chemotherapy.

This is caused, among others, by intense metabolic processes and eating fewer meals (loss of appetite, gastrointestinal disorders) resulting from aggressive anticancer treatment. It is possible for some patients to maintain their body weight, yet this does not mean a lack of changes in body composition or the need for nutritional supervision. Nattenmüller *et al.* studied the nutritional status and body composition of a group of 200 newly diagnosed patients with lung cancer (37). Using computed tomography, the body composition of the patients was analysed twice, prior to chemotherapy and following the first-line treatment, and the results compared. Analysis showed a statistically significant increase in fat tissue, both visceral adipose and subcutaneous tissue, in the studied group and a decrease in muscle mass. The body composition parameters that had a negative impact on prognosis included a lower percentage share of muscle tissue and a higher percentage share of visceral adipose tissue measured prior to treatment. The significant parameters following chemotherapy included body weight loss, lower BMI, muscle mass loss and increased content of total fat tissue. It is worth noting that there was only a slight decrease in BMI and no significant differences in body mass were observed, thus indicating the real character of the change in body composition in oncological patients. This not only shows that body weight loss is an unfavourable prognostic factor, but also that muscle tissue loss is a source of protein in the period of increased demand for nutrients (40). Sarcopenia among patients with lung cancer was also noted in the studies carried out by Baracos *et al.* (38) and Prado *et al.* (41), which suggests that all patients should be under the care of a nutritional team and immediate nutritional intervention should be considered from the very beginning of treatment to maintain/increase muscle mass, and preventing an increase in fat tissue. Bioelectrical impedance analysis conducted in hospitals would enable early diagnosis of unfavourable changes in body composition and better adjustment of dietary ingredients to patient needs.

### Nutrients Supporting Anticancer Therapies and Recovery

Surgical treatment of lung cancer is applied in most patients with early-stage NSCLC (10). One of the factors determining surgery is a good general condition of the patient, which also includes their nutritional status. Studies carried out among patients awaiting surgery for lung cancer have shown that malnourished patients had a shorter survival time and a higher risk for postoperative complications than those with normal nutritional status or BMI >18.5 kg/m<sup>2</sup> (42-44). This is probably due to weakened respiratory muscles and immune system response caused by a deficiency in essential nutrients, which would result in *e.g.* an increased infection risk. In a stressful situation, like surgery, and as a result of the destructive effect of cancer, it seems critical to support the immune system by increasing the supply of immuno-

modulatory compounds and antioxidants that are believed to reduce reactive oxygen species (ROS).

### Vitamins and Minerals

Components with a protective effect on immune system cells, which are particularly susceptible to ROS, include  $\beta$ -carotene, vitamins C and E, selenium, and zinc (45). Selenium deficiency weakens the immune system response to bacterial and viral infections, lowers the activity of T-lymphocytes, macrophages and natural killer cells, as well as disturbing the synthesis of prostaglandins and immunoglobulins (46). Zinc deficiency disturbs chemotaxis, natural killer cells and the phagocytic ability of macrophages, as well as lowering the total number of B-lymphocytes (47). Vitamin D, whose receptors are found *e.g.* on macrophages, monocytes, dendritic cells and active T- and B-lymphocytes, has the capacity to modulate the suppression of immune responses (48). Vitamin A plays a crucial role in the process of maturation and differentiation of the immune system cells. One of the functions of vitamin C is its participation in the process of immune responses to tissue damage, which affects the process of mitosis and migration of monocytes to the area of damage, and also the transition of macrophages during the inflammatory phase (49). The influence of vitamins C and E and  $\beta$ -carotene supplementation was evaluated in a study by Pathak *et al.* carried out among a group of patients with stage IIIb and IV NSCLC undergoing chemotherapy with carboplatin and paclitaxel. The intervention resulted in higher but statistically insignificant results related to the average survival time (9 vs. 11 months), total survival time in a year (32.9% vs. 39.1%) and 2 years (11.1% vs. 15.6%), and total percentage of response to treatment (33% vs. 37%) in the group of patients taking supplements, compared to the control group (50). Despite no improvement in the treatment results, this study demonstrated that there is no negative influence of antioxidant supplementation on the anticancer effect of chemotherapeutics. Some studies showed additional benefits of the inclusion of antioxidants before and during radiotherapy and chemotherapy (51). In an article by Prasad, the suggestion for a protocol related to antioxidant supplementation before and during cancer treatment was presented. It includes an increased supply of vitamins A, C, E and D, B-group vitamins,  $\beta$ -carotene and minerals, excluding iron, copper and manganese that generate ROS in an environment rich in antioxidants. The protocol, dedicated to the period following anticancer treatment that is supposed to prevent disease recurrence, includes an additional portion of vitamins C and E and  $\beta$ -carotene. The author also points out the benefits of a low-fat high-fibre diet, avoiding smoking, reducing stress, and moderate physical activity to increase the therapeutic effect of the protocol (51). An interesting phenomenon is the accumulation of increased amounts of vitamin C, mainly in its

dehydrated form docosahexaenoic acid (DHA) in Kirsten rat sarcoma virus-mutant cancer cells (among others, colorectal cancer, lung cancer, pancreatic cancer, lymphoblastic leukaemia, chronic myelomonocytic leukaemia and Hodgkin's paragranuloma) and B-RAF-mutant cancer cells (melanoma) (52), compared to healthy cells. A high concentration of vitamin C in DHA form in cancer cells probably increases ROS production, disrupts the energy homeostasis of cells and leads to cell death (53). Very high doses of vitamin C, also in intravenous infusions, is not recommended due to a lack of adequate studies proving its efficiency, while replenishing a deficiency resulting *e.g.* from intense inflammation, is essential for normal collagen synthesis (54). A comparison of the concentrations of selected microelements in the blood of patients with lung cancer and healthy people in a study by Goodman *et al.* showed significantly lower levels of lutein, zeaxanthin,  $\alpha$ -carotene,  $\beta$ -carotene, retinol and  $\alpha$ -tocopherol in the patients (55). These elements exhibit antioxidant activity, participate in the proper functioning of the eyes, and reduce DNA damage (56). Supplementation of retinoids enhances the phagocytic ability of macrophages and release of transforming growth factor- $\beta$ , which is involved in the induction of angiogenesis (48). The obtained concentrations were significantly lower in the group of smokers than in the non-smokers. The results of this study indicate the benefits of the inclusion of products rich in carotenoids in the daily diet due to their influence on the reduction of the risk of lung cancer (56). A higher intake of vegetable oils and a proper content of dietary fibre and vitamins are also beneficial, which was observed in both smokers and non-smokers (57).

Supplementation with selected particularly beneficial nutrients seems a promising solution to malnutrition in patients with lung cancer. Nevertheless, the potential toxic effect of an excessive amount of retinoids on the liver and skin, disturbing coagulation (vitamin E) and causing diarrhoea (vitamin C), requires caution when consuming amounts that exceed the recommended daily allowance (51).

### Nutritional Bioactive Compounds

Flavonoids also have beneficial antioxidant and anti-inflammatory effects that inhibit arachidonic acid transformation cascades to pro-inflammatory prostaglandins, leukotrienes and thromboxanes (58). These same effects are observed for omega-3 polyunsaturated fatty acids ( $\alpha$ -linolenic acid, DHA, eicosapentaenoic acid) (59). It is also worth mentioning curcumin, whose anticancer effects against lung cancer cells have been described in pre-clinical *in vitro* and *in vivo* studies. The mechanism of action of this substance is associated with its influence on many molecular targets, among others, epidermal growth factor receptor, signal transducer and activator of transcription 3, phosphatidylinositol-4,5-bisphosphate 3-kinase-AKT serine/threonine kinase 1/mechanistic target of

rapamycin kinase pathway and inhibitor of cyclo-oxygenase 2 (60). A synergistic effect between curcumin and some anticancer medications has also been reported (61). Unfortunately, there are no clinical data confirming the effectiveness of curcumin in lung cancer treatment. There are some reports from clinical data in literature that present a beneficial impact of curcumin on the spirometric parameters forced expiratory volume in 1 s (FEV1), FEV1/FEV1 as a percentage of vital capacity (62). It seems that a thorough knowledge of the mechanism of action, numerous pre-clinical studies and clinical experience in other therapeutic areas make this substance a promising candidate for clinical studies among patients with lung cancer.

### Protein and Amino Acids

The appropriate process of activation and functioning of cytotoxic T-cells and memory cells requires a proper protein intake and the presence of nitric oxide, arginine and cysteine (13). These ingredients are also crucial in the process of tissue healing. Cysteine and methionine are associated with connective tissue and collagen synthesis (54, 63). Arginine, in turn, has a positive effect on the activity of the immune system, and its oxidation provides nitric oxide, which has an antiseptic effect and expands capillaries, favouring wound perfusion and thus increasing access to nutrients and oxygen (64). A higher intake of nucleotides, whose effectiveness was proven, among others, in a study conducted among surgical patients with brain, neck and oesophagus cancer, also enhances the functioning of the immune system (65). The precursor in the synthesis of nucleotides, nucleic acids, amino sugars and glutathione is glutamine. Glutamine is also essential to the endogenic synthesis of ornithine and arginine. Glutamine is an important element in the recovery process as it is a preferred source of energy for rapidly dividing cells, such as enterocytes and immune system cells (54). In a study by Kaya *et al.*, supplementation of arginine, omega-3 fat acids and nucleotides prior to surgery in patients with NSCLC and with no traces of malnutrition resulted in a lower level of albumins in the blood (by 14.69%) compared to patients receiving non-supplemented diets (by 25.71%). In addition, postoperative complications were less frequently observed in the patients from the study group (19.4% vs. 44.4%) (66).

### Conclusion

Patients with Lung cancer show different nutritional status depending on the type of cancer and the stage of the disease at the time of diagnosis. The majority of patients – regardless of normal or excessive body weight – show changes in body composition at the time of diagnosis and before treatment which are not always associated with weight loss. With the course of therapy, the loss of muscle mass deepens and the

side-effects of treatment, such as gastrointestinal disorders and loss of appetite as one of the consequences of chemotherapy and radiotherapy, or the process of wound healing after surgery, cause a progressive reduction of the body's resources and an increased likelihood of being unable to take food orally. The fact that the possibility of oral nutrition of patients with cancer declines results in the need to quickly include nutritional intervention in the form of a diet adapted to the current possibilities of food intake by the patient and increased nutritional demand. According to the European Society for Clinical Nutrition and Metabolism, during actively progressing disease, the demand for antioxidant and mineral components which take part in the correct immune response, increases by two- to three-fold in relation to the demand of healthy people. After an analysis of the literature, it seems justified to increase the supply of nutrients such as arginine; glutamine; vitamins A, B, C, D and E;  $\beta$ -carotene, and microelements such as selenium and zinc, because it is these components that play an important role in the correct immune response and regeneration processes. However, it is worth mentioning that it is not recommended to routinely include typical multivitamin preparations, due to their possible interactions with anticancer drugs, and that the use of very high doses of vitamins and minerals may cause side-effects in addition to those already resulting from the anticancer therapy. Patient dietary supplementation should also be carried out during the regeneration period in order to replenish nutrient losses and rebuild body reserves, and its course should be agreed with experts in clinical nutrition.

### Conflicts of Interest

PM is registered pharmacist with the Polish Pharmaceutical Chamber and is bound by the codes of practice and ethics. At the time of preparation of this article PM is employed as CEO of Piktorex sp. z o.o., an advisor to the Management Board of the Polish Pharmaceutical Group S.A, Adjunct Professor at the Cardinal Stefan Wyszyński University, Assistant Professor at the Department of Pharmaceutical Technology, Faculty of Pharmacy, Collegium Medicum in Bydgoszcz, Poland, President of Polish Pharmacy Trade Union (ZZPF) and General Secretary of Employed Pharmacist Europe (EPHEU), and as such, this Author must stress that the publication was written for academic interest only. At the time of preparation of this article, KB is also employed at the pharmaceutical company USP Zdrowie. The content outlined herein represents the individual opinions of the Authors and may not necessarily represent the viewpoints of their employers.

### Authors' Contributions

AK, KB, TIC contributed to all aspects of the study's design. AK and KB wrote the article. UR, PM, KB and JP supervised the article and

prepared the final version of the article. All listed Authors have contributed to the arguments of the article and have read and approved the final submission.

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