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## Complex neural representation of odor information in the olfactory bulb

Anan Li<sup>1,\*</sup>, Xiaoping Rao<sup>2</sup>, Yang Zhou<sup>1</sup>, Diego Restrepo<sup>3,\*</sup>

<sup>1</sup>Jiangsu Key laboratory of Brain Disease and Bioinformation, Research Center for Biochemistry and Molecular Biology, Xuzhou Medical University, Xuzhou, 221004, China

<sup>2</sup>Center of Brain Science, State Key Laboratory of Magnetic Resonance and Atomic and Molecular Physics, Key Laboratory of Magnetic Resonance in Biological systems, Wuhan institute of Physics and Mathematics, Chinese Academy of Science, Wuhan, 430072, China

<sup>3</sup>Department of Cell and Developmental Biology, University of Colorado Anschutz Medical Campus, Aurora, Colorado, USA

### Abstract

The most important task of the olfactory system is to generate a precise representation of odor information under different brain and behavioral states. As the first processing stage in the olfactory system and a crucial hub, the olfactory bulb plays a key role in the neural representation of odors, encoding odor identity, intensity, and timing. Although the neural circuits and coding strategies used by the olfactory bulb for odor representation were initially identified in anesthetized animals, a large number of recent studies focused on neural representation of odorants in the olfactory bulb in awake behaving animals. In this review, we discuss these recent findings, covering (1) the neural circuits for odor representation both within the olfactory bulb and functional connections between the olfactory bulb and higher order processing centers; (2) how related factors such as sniffing affect and shape the representation; (3) how the representation changes under different states; and (4) recent progress on the processing of temporal aspects of odor presentation in awake, behaving. We highlight discussion of the current views and emerging proposals on the neural representation of odorants in the olfactory bulb.

### Keywords

olfactory bulb; odor representation; physiological states; feedback modulation; temporal information

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Corresponding author: Anan Li, anan.li@xzhmu.edu.cn; Diego Restrepo, diego.restrepo@ucdenver.edu.

Conflict of interests

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## 1. Introduction

Olfactory perception begins when volatile chemical molecules dissolved in the air are inhaled into the nasal cavity and interact with olfactory receptors expressed in the cilia extending from the dendrites of the olfactory sensory neurons (OSN). In rodents there exist more than 1000 odor receptors to enable the efficient reception of more than 1 million types of odors and their combinations in the natural environment, and each OSN expresses only one receptor<sup>1</sup>. The OSNs project their axons to the glomeruli of the olfactory bulb (OB), the first information processing center of the olfactory system. In the glomeruli, OSNs project excitatory synapses to, among others, the mitral and tufted cells (M/Ts), which are the major projection neurons of the OB. After complex neural processing by the circuits in the OB, M/Ts send processed information to higher olfactory centers such as piriform cortex, olfactory tubercle and anterior olfactory nuclei.

In order to yield appropriate response, it is critical for the olfactory system to perceive odor information accurately and precisely in the ever-changing external world. This process is rather complex regarding the need to process parallel input from ~1000 olfactory receptors in rodents in a turbulent odor plume<sup>2</sup>. However, increasing evidence indicates that the OB has the ability to represent most aspects of the odor information, such as odor identity, intensity and timing<sup>3-7</sup>. In general, two strategies have been proposed for odor representation in the OB: spatial coding and temporal coding. A given odor evokes specific activation of a subset of glomeruli, forming a unique spatial odor map that links odor identity / intensity to the pattern of activated glomeruli<sup>8,9</sup>. Spatial coding of odors via these glomerular odor maps is highly conserved across species and has been demonstrated through a variety of imaging techniques, including 2-DG uptake, intrinsic optical imaging, and fMRI<sup>8,9</sup>. Temporal coding, on the other hand, focuses on the timing properties of M/T cell firing in response to odors. The latency to the first spike, the firing pattern and local field potential (LFP) theta oscillations across a short time window such as a sniff, and the overall firing pattern from M/T ensembles are all critical for representation of odor identity and intensity<sup>4,6,10-12</sup>. The temporal coding strategy is supported by evidence from electrophysiological recordings in both anesthetized and awake rodents<sup>13-16</sup>.

Both spatial and temporal coding strategies face the challenge that odor perception is dynamic and varies under different brain states. Wakefulness, attention, experience, metabolism status, and the value of the odor for the subject are important factors that can change the perception of the same odor<sup>17-20</sup>. The underlying mechanisms by which the OB represents odor information precisely under different brain and behavioral states remain elusive, although recent studies have provided relevant data and some hypotheses have been established<sup>6,21</sup>. In this review, we will first discuss the neural circuits for odor representation both within the OB and between the OB and higher centers, and how external factors such as sniffing affect and shape the representation. Then we will focus on the odor representation under different brain and behavioral states, namely anesthetized, awake, learning, active/passive odor sampling, and rewarded. We will also describe recent progress on the coding of information related to odor timing in awake, behaving rodents, such as the duration of odor presentation and the time between two odors.

## 2. Major components and key neural circuits of the OB

The OB is a typical laminar structure containing a diversity of neurons (Fig. 1)<sup>22</sup>. The main output neurons, the M/Ts, receive direct excitatory input from the OSNs at the glomeruli<sup>23</sup>. There are large numbers of GABAergic interneurons in almost all of the layers, as well as dopaminergic interneurons in the glomerular layer, and both are involved in the neural circuits that mediate transmission from the OSNs to the M/Ts and shape the firing properties of M/Ts in response to odors<sup>24,25</sup>. Two important neural circuits for processing olfactory information are located in the glomerular layer and in the external plexiform layer<sup>26</sup>. Interestingly, a recent study has identified a mirror-symmetric excitatory connection between the two bulbs and this inter-bulb circuits could enable odor perceptual unity<sup>27</sup>. Thus, odor information is encoded in the firing of the M/Ts after complex processing by the neural circuits within the OB.

### 2.1 Neural circuits in the glomerular layer

Neurons in the glomerular layer are morphologically heterogeneous and can be classified into three identified types: external tufted cells (glutamatergic), periglomerular cells (GABAergic), and superficial short-axon cells (combined GABAergic and dopaminergic)<sup>25</sup>. Dendrites of external tufted cells project to higher areas of olfactory cortex and also provide extensive feedforward excitation to local glomerular interneurons and M/Ts<sup>28</sup>. The feedback pathway may play a role in augmenting and/or affecting the dynamics of the reaction of M/T cells to OSN input. This is extremely important since direct inputs from OSNs to M/Ts are often too weak to evoke action potentials. Thus, the multi-step excitation mediated by external tufted cells may affect odor-evoked responses of M/Ts as a vital node transferring odorant information to cortical areas<sup>28</sup>.

One-third of periglomerular cells receive direct input from the OSNs and drive presynaptic inhibition of OSNs via presynaptic GABA<sub>B</sub> and D<sub>2</sub> receptors<sup>29</sup>. The remaining periglomerular cells receive indirect excitatory input from external tufted cells, mitral cells (MCs), and tufted cells (TCs), and send inhibitory output to all of them. GABA release from a single periglomerular cells is sufficient to trigger peripheral activation of homotypic periglomerular cells in the same glomerulus through GABAergic periglomerular cells–periglomerular cells synapses<sup>30</sup>. Thus, periglomerular cells contribute to recurrent inhibition of a single activated M/T and odor-evoked suppression of M/T firing<sup>25,26</sup>.

Despite being a small population, superficial short-axon cells powerfully regulate sensory-evoked activity in the OB<sup>25</sup>. The majority (~70%) of superficial short-axon cells receive sensory input indirectly, mediated by the external tufted cells, while the remainder appear to receive sensory input directly from the OSNs. GABAergic and dopaminergic interglomerular projections and gap junctions between superficial short-axon cells and external tufted cells and/or M/Ts drive gain control, contrast enhancement, and possibly lateral inhibition of M/Ts in the glomerular layer<sup>31</sup>. It's likely that centrifugal input to periglomerular cells and superficial short-axon cells modulates their activity across distinct brain states since odors evoke stronger excitation in the awake state than in anesthetized state<sup>30</sup>.

## 2.2 Neural circuits in the external plexiform layer

In the external plexiform layer, the lateral M/T dendrites form elaborate dendrodendritic synapses with at least two types GABAergic interneuron: granule cells (GCs) and parvalbumin-positive (PV+) interneurons<sup>32–34</sup>. The superficial GCs and deep GCs form reciprocal dendrodendritic synapses with the lateral dendrites of TCs and MCs, respectively<sup>35</sup>. The GCs receive abundant inhibitory inputs from deep short-axon cells in the granule cell layer and basal forebrain centrifugal GABAergic projections. The GC dendrodendritic synapses are traditionally considered the basis for recurrent inhibition and lateral inhibition of the M/Ts, and important for the coding of odor identity<sup>34,35</sup>. However, recent studies found that lateral inhibition between heterotypic M/Ts in the external plexiform layer is not predominantly mediated by GCs, but by the external plexiform layer interneurons, which show remarkably high rates of reciprocal synapses with M/Ts (around 50%)<sup>32,33</sup>. Furthermore, the external plexiform layer interneurons also mediate recurrent inhibition of M/Ts and play an important role in driving gamma-frequency (40–100 Hz) synchronization of M/Ts and broad gain control among heterotypic M/Ts<sup>25,32,33</sup>.

## 2.3 Comparison between MCs and TCs

At the output level, MCs and TCs, that process in parallel afferent olfactory sensory information<sup>23</sup>, are two distinct channels of OB output and could encode complementary aspects of olfactory information<sup>35,36</sup>. In terms of morphology MCs are located in the MCL of OB, and the secondary dendrites are distributed in the deep external plexiform layer, while TCs are smaller, located throughout the external plexiform layer, the secondary dendrites distributed in the superficial external plexiform layer<sup>36</sup>. In electrophysiological properties, TCs exhibited more sensitive, broadly tuned, lower responsive threshold, concentration invariant responses, and earlier responsive in the sniff cycle<sup>36–38</sup>. Therefore, TCs are presumably more efficient at distinguishing similar odorants at low concentration<sup>35</sup>. These functional differences between MCs and TCs are likely due to the different inhibitory effects from the glomerular layer and granule cell layer<sup>10,35</sup>. In addition, MCs and TCs project their axons to many non-overlapping regions: axonal projections of TCs are restricted to the rostral structures, while MCs cover entire olfactory cortex uniformly and extend to caudal regions<sup>37</sup>. Thus, the functional difference between MCs and TCs provides important neuronal basis for representation of multiple aspects of olfactory information at the OB and higher brain centers.

## 3. Feedback and centrifugal modulation of the OB

The OB receives dense modulation from higher brain areas, including both feedback and centrifugal inputs. Piriform cortex and the anterior olfactory nuclei are the major source of feedback inputs<sup>39</sup>, and other modulatory centrifugal inputs include cholinergic, noradrenergic, and serotonergic innervation<sup>40</sup>. Specific optogenetic manipulation of these circuits has demonstrated that all of these projections dramatically modulate cell activity and odor information processing and representation in the OB, and also affect olfactory-related behavior.

### 3.1 Piriform cortex

Piriform cortex is the most important cortical region for olfaction and projects directly to the OB. It has the ability to encode information about the identity, intensity, and timing of odors<sup>41–43</sup>. More importantly, piriform cortex plays a major role in odor preference learning, odor pattern separation, olfactory learning, odor fear memory, and the processing of odor objects<sup>44–46</sup>. Odor-evoked activation has been observed directly in pyramidal axons projecting from piriform cortex to the OB<sup>47,48</sup>. The feedback projection from piriform cortex to the OB is ipsilateral and diffuse, and targets mainly the granule cell layer, and the axonal response to odors is sparse and somewhat odor-specific<sup>47–49</sup>. Optogenetic activation of these piriform cortex–OB axons indirectly inhibits MCs by directly exciting the GCs, which in turn inhibit MCs via dendrodendritic connections<sup>49</sup>. Piriform centrifugal innervation can also modulate the activity of short-axon cells, which drive feedforward inhibition of GCs. Activation of piriform cortex–OB axons has only a weak effect on spontaneous activity of the M/Ts, but strongly inhibits odor-evoked responses *in vivo*. In general, although the projection from the piriform cortex to the OB has diverse and complex effects on the OB microcircuits, it has been postulated that the major net effect on the M/Ts is an amplification of odor-evoked inhibition<sup>49</sup>.

### 3.2 Anterior olfactory nucleus

Neurons in the anterior olfactory nucleus send axons to the contralateral and the ipsilateral OB and APC<sup>50</sup>. Functionally, the anterior olfactory nucleus is involved in processing the differences in odor concentration between the two nostrils and is crucial for the localization of odor sources<sup>51</sup>. Odor stimulation elicits strong increases or decreases in activity in axons that project from the anterior olfactory nucleus to the OB, suggesting odor-dependent modulation of OB circuits by the anterior olfactory nucleus<sup>52</sup>. Interestingly, GABA type B receptors on AON-OB / piriform-OB afferents gate excitatory transmission in a target-specific manner and thus shape how the OB integrates sensory inputs and top-down information<sup>53</sup>. Optogenetic activation of the axons from the anterior olfactory nucleus in the OB revealed that this feedback modulation inhibits the activity of the MCs by indirect activation of the GCs or short axon cells, or excites the MCs by direct depolarization<sup>54</sup>. Activation of these feedback axons *in vivo* inhibits both spontaneous and odor evoked M/Ts responses, and a sparse, excitation effect is manifested as accurately timed spikes. Thus, the feedback from the anterior olfactory nucleus plays a role in suppressing background activity and odor-evoked excitation of MCs and also permits precisely timed spikes in a narrow time window during specific periods of behavior<sup>54</sup>.

### 3.3 Cholinergic modulation

The major source of cholinergic input to the OB is the horizontal limb of the diagonal band of Broca (HDB) in the basal forebrain, whose activity is correlated with attention, learning, and memory in almost all sensory systems. A recent study showed that this projection co-expresses markers for GABAergic transmission<sup>55</sup>. Both nicotinic and muscarinic receptors are found in the OB and play crucial and somewhat different roles in neural and behavioral odor discrimination<sup>56–59</sup>. Cell-specific recording of M/T calcium signals showed that acetylcholine (ACh) in the OB increases glomerular sensitivity to odors and decreases the

M/T activation threshold<sup>56</sup>. The majority of presumed M/Ts were excited by electrical stimulation of the HDB, which activates both cholinergic and GABAergic neurons, and muscarinic receptors in the OB were required for this effect<sup>60</sup>. Specific activation of cholinergic cell bodies in the HDB inhibits the spontaneous activity of M/Ts, periglomerular cells, and GCs, sharpens the olfactory tuning curves of most M/Ts, and broadly increases the odor-evoked responses of periglomerular cells and GCs<sup>61</sup>. However, optogenetic activation of the axons of cholinergic neurons that project to the OB increases both spontaneous and odor-evoked spiking in M/Ts. The enhancement of the M/T odor response is strong and broad, indicating that the modulation adds general excitatory effects to M/Ts<sup>62</sup>. Thus, slight differences in the stimulation paradigm (light on cell bodies versus light on axons) result in totally different, contradictory observations, suggesting that cholinergic modulation of OB circuits is complex and precise<sup>61,62</sup>. It is important to note that all of these studies were performed on anesthetized animals<sup>60–62</sup>; how the neurons of the OB are modulated by cholinergic input in awake, behaving animals remains an open question. Interestingly, a recent study has demonstrated that Ach released to the OB during the prolonged odor stimulation modulated habituated odor responses and odor salience, and further caused mice to suddenly investigate a previous ignored odor, indicating the importance of Ach in the process of odor habituation and dishabituation<sup>63</sup>. In addition to cholinergic input from the HDB, some cholinergic interneurons have been found in both the main and accessory olfactory bulbs<sup>64</sup>. It will be interesting to investigate the functions of these intrinsic cholinergic neurons in the future.

### 3.4 Noradrenergic modulation

The OB receives significant noradrenergic inputs from the locus coeruleus, which is known to play an important role in arousal, attention, and emotional state. Norepinephrine dramatically changes the neural activity in a number of different bulbar cell types, including MCs, GCs, and external tufted cells, etc<sup>65–67</sup>. It activates multiple receptor sub-types in the OB in a concentration-dependent manner<sup>68</sup>. Behaviorally, norepinephrine is involved in olfactory associative learning in neonatal animals during sensitive periods, as well as in odor detection and discrimination in mature rodents<sup>69</sup>. Electrical activation of the locus coeruleus under anesthesia causes long-lasting suppression of M/T responses to both food odors and urine. Moreover, specific behavioral effects of this stimulation are observable after recovery from anesthesia, suggesting that locus coeruleus-mediated olfactory neural plasticity is able to store an individual recognition memory<sup>70</sup>. Furthermore, odor-evoked activities in the glomerular layer are persistently weakened after locus coeruleus activation due to suppression of presynaptic input, indicating that noradrenaline released from the locus coeruleus has an effect on odor representation even at the earliest stage in the olfactory system<sup>71</sup>. A recent important study combined direct application of noradrenaline to the OB with electrical stimulation of the locus coeruleus. The authors proposed that noradrenaline enhances odor responses not through direct potentiation of the afferent signal per se, but by reducing the intrinsic noise in the system<sup>66</sup>. Although specific manipulation of noradrenergic neurons by optogenetics is feasible, surprisingly only one study has used this technique to date. This study finds that Optogenetic inhibition of adrenergic fibers alters odorant-induced changes in power of oscillations in the olfactory bulb in mice learning to

discriminate odorants<sup>72</sup>. Information is still lacking about the effects of optogenetic activation of noradrenergic inputs on odor-related spiking in the OB in awake animals.

### 3.5 Serotonergic modulation

Serotonergic neurons in the raphe nuclei have widespread projections in the brain and are thus involved in a variety of brain functions, including regulation of mood and anxiety, the sleep–wake cycle, reward, patience during decision making, and sexual preference<sup>73,74</sup>. Serotonergic projections from the dorsal and medial raphe nucleus innervate the OB densely, where they may modulate the initial representation of olfactory information<sup>22,75</sup>. Although elimination of most of the serotonergic neurons from the forebrain has no detectable effect on the ability of mice to perform a go/no-go olfactory discrimination task<sup>76</sup>, the activity of almost all neuron types in the OB is dramatically modulated by serotonin<sup>75,77,78</sup>. Optogenetic activation of serotonergic neurons increases spontaneous firing in both mitral and tufted cells, and it also bidirectionally modulates odor-evoked firing in mitral cells, resulting in improved pattern separation of odors<sup>78,79</sup>. Since serotonergic neurons are related to reward and odor-evoked elicits significant firing change of serotonergic neurons in the dorsal raphe during a go / no go task where the odorant is associated with a reward<sup>80</sup>, it will be interesting to study how the serotonergic inputs to the OB modulate the response of M/Ts to rewarded / unrewarded odors in behaving animals in the future.

In conclusion, understanding of odor representations in the OB is complex because the neural activity of the M/Ts is dynamically modulated by strong feedback and centrifugal input. In the awake state, the weight of these inputs changes from moment to moment and modulate odor representation dynamically. It's important to study how these inputs affect odor representation in awake behaving animals and how different sources of the inputs (eg. serotonergic input and feedback from piriform cortex) work in a consorted manner in the future.

## 4. Sniffing: active sampling of odor

In mammals, odors are sampled through sniffing / respiration and retronasal air flow into the nasal cavity for dynamic detection by OSNs. Sniffing represents active sampling of the odors with high frequency of respiration (> 4Hz)<sup>81</sup> coordinated with other orofacial motor actions such as movement of whiskers, chewing, licking and lateral displacement of the nostrils<sup>82</sup>. This respiration / sniffing controls the access of odors to the OSNs and plays an important role in olfactory discrimination and perception<sup>81</sup>. Exploratory sniffing is reliably evoked by novel odorant stimuli, and is dominant during rapid odor-source localization in rodents<sup>83</sup>. Interestingly, sniffing is also involved in other behavior-related processes, such as internal action models and during social interactions. For example, it has been reported that, compared with typically developing controls, children with autism spectrum disorder had a profoundly altered sniff response pattern to odors with different values<sup>84</sup>. In rodents, investigation by one rat toward the facial region of a conspecific often elicits a decrease in sniffing frequency in the conspecific, indicating that they use sniffing to communicate information<sup>85</sup>. Furthermore, a recent study has demonstrated that a steady sniffing (4 Hz) is critically involved in conditioned fear-induced freezing behavior of rodents, and the neural

circuits between olfactory pathway and prefrontal cortex have been identified<sup>86</sup>. Finally, the retronasal mode of olfaction that takes place when odorants enter the nose through the mouth during chewing plays an essential role in perception of flavors<sup>87</sup>.

#### 4.1 OSN activation is suppressed during exploratory high-frequency sniffing

In the OB, activity of different types of neurons, as well as the neural circuits are modulated dramatically by sniffing. The OSNs respond to mechanical stimulation suggesting that sniffing can activate the OSNs<sup>88,89</sup>. Thus, in the glomerular layer, the activity of some OSN terminals and glomeruli can be driven by sniffing, even in the absence of an odor<sup>11,89</sup>. During odor stimulation, a greater number of activated glomeruli are locked to sniffing. However, in calcium-imaging studies, this type of odor-evoked, sniffing-locked response pattern changes to a sustained response pattern if the frequency of sniffing is higher than 4 Hz<sup>81</sup>, suggesting that this may be an important mechanism to selectively suppress OSN activation by background odors during exploratory high-frequency sniffing.

#### 4.2 Odor representation by M/Ts is shaped and modulated dramatically by sniffing

One common property of a subset of the M/Ts is that their spontaneous and/or odor-evoked firing is locked to a specific phase of the sniffing or respiration cycle, in both anesthetized and awake animals<sup>36</sup>. This type of phasic M/T firing likely plays an important role in the coding strategy for odor representation in awake animals<sup>6,13</sup>. In awake, head-fixed rats, the firing pattern, rather than firing rate, carries more information about odor identity<sup>6,11,14</sup>. The coherence between M/T firing and theta oscillations, which are highly correlated with sniffing, is also crucial for the representation of odor identity in behaving, free-moving mice<sup>12</sup>. Recent studies found that respiration gates the sensory input response of the M/Ts<sup>11,90</sup>, and sustained odorant sampling at higher frequencies leads to increasing decorrelation of the M/T cell population response pattern over time<sup>3</sup>. Strikingly, data from the *in vivo* whole-cell patch-clamp recording have demonstrated that the plasticity of odor-evoked M/Ts responses during the go / no go task could be contributed to the sniffing strategies developed during the learning<sup>91</sup>. Therefore, at the output level of the OB, the neural representation of an odor can be shaped and modulated dramatically by sniffing.

#### 4.3 Firing pattern of GCs is modulated by sniffing

It is difficult to study how sniffing modulates the interneurons of the OB with *in vivo* electrophysiological recordings because of technical challenges. However, juxtacellular 'loose-patch' method has been used to identify GC firing<sup>92</sup>. Although GC firing is strongly coupled with respiration in anesthetized mice, the firing is desynchronized and independent of respiration in awake mice. Similar results have been found with *in vivo* patch-clamp recordings in awake mice sniffing at different frequencies: synaptic input to GCs is strongly phase modulated during basal respiration, but this subthreshold phase tuning of the membrane potential becomes heterogeneous during higher respiratory frequencies<sup>93</sup>. Therefore, GCs likely shape the response of M/Ts through broad lateral interactions that are relatively independent of sniffing in awake animals. It will be interesting to discover how sniffing modulates the activity of other types of interneurons in the glomerular and external plexiform layers in future studies. Interestingly, oscillations of the local field potential in the OB are locked to lick patterns in animals undergoing fast sniffing in the go/no go task<sup>72</sup>



raising the question whether there is a motor relationship between the theta LFP, sniff and licking in this behavioral state<sup>82</sup>.

## 5. Neural representation of odor under different states: anesthetized, awake, behaving, and reward

### 5.1 Neural representation of odor under anesthetized v.s. awake state

The phenomenon that neural activity in the OB is largely dependent on brain state was initially reported in 1950 by Adrian, who found that the depth of anesthesia dramatically affected both ongoing spontaneous neural activity and odor-evoked responses in the OB<sup>94</sup>. In fact, the OB response to the same odor changes even with different depths of anesthesia<sup>95</sup>, or with transitions between up and down states, indicating that odor representation in the OB are rather sensitive to slight changes in brain state. While odorants do induce strong changes in M/T firing under anesthesia, the firing of these units remains largely constant when the animal is awake<sup>14,96,97</sup>, although one imaging study of glomerular OSN input showed dense representation of natural odors in awake head-fixed mice<sup>98</sup>. Similar to M/Ts, the basal firing rate of inhibitory GABAergic neurons in the OB is higher in the awake state than the anesthetized state. However, unlike M/Ts, odor-evoked changes in firing are much stronger in these cells in the awake state than the anesthetized state<sup>17,92</sup>, indicating that GCs are more actively involved in shaping the properties of odor representation in the OB in the awake state<sup>99</sup>.

The mechanism underlying why the odorant induced firing changes of M/Ts are weak and sparse in the awake animal remains elusive, although several hypotheses have been proposed. It is possible that the activity of M/T cells is being modulated when the animal is focusing on discrimination of odorants to optimize representation of a subset of stimuli. This could be the reason why the centrifugal and feedback modulation from higher brain centers under awake state is more active<sup>97</sup>, resulting in higher activity of the GCs, which is likely the key factor that contributes the firing properties of M/Ts in awake state<sup>17,92,99</sup>. In addition, anesthetics could influence activity of the neurons in the OB directly by depressing the glutamatergic and / or increasing the GABAergic synaptic activities. It is still not clear how the extrinsic and intrinsic effects of the anesthetics on shaping the firing and odor response properties of the M/Ts contribute to their effects on OB circuit activity.

While only weak total firing changes of M/Ts is induced by odor stimulation under the awake state, one important question is how the odor identity is represented. Recent studies showed that many M/Ts changed their spike timing in an odor-specific manner while the total firing rate remained constant<sup>14</sup>. The firing rate showed transit changes within a respiratory cycle other than averaged from all the duration of the odor presentation<sup>15</sup>, and fine temporal structures within a respiration cycle conveys information on odor identity<sup>13</sup>. Thus, temporal coding with the respiratory cycle, or higher oscillatory frequencies, carry odor information in awake animals<sup>6,11,12,81</sup>. Furthermore, odor information could also be represented by the evolving dynamics in an ensemble of neurons. The mitral cell ensemble activity contains information at different timescales that could be separately or complementarily exploited by downstream brain centers to attain odor discrimination<sup>5,100</sup>.

## 5.2 Neural representation of odor during odor discrimination tasks

Interestingly, in behaving animals performing odor discrimination tasks, the firing of M/Ts changes with behavioral events other than odors<sup>96</sup>. Both the number of units responding to odors and the number of units showing divergent response to S+ (rewarded odor) and S- (unrewarded odor) increases, after the animals learned to discriminate odors (Fig.2)<sup>12,101</sup>, suggesting strong plasticity of M/Ts responding to odors during learning<sup>102</sup>. Similar plasticity has been also found for oscillations of local field potentials in behaving rodents<sup>12,72,103</sup>. Furthermore, whether this type of plasticity exists in the olfactory sensory neurons is controversial<sup>104,105</sup>. Finally, in addition to learning, recent studies show that early odor exposure could also dramatically change odor evoked M/Ts responses and elicit incorporation of new GCs through neurogenesis from the subventricular zone<sup>106</sup>.

Importantly, the synchronized firing between two different M/Ts increased when responding to rewarded odor, and decreased in firing when responding to unrewarded odor, regardless of odor identity<sup>107</sup>. Thus, the positive response evoked by odor doesn't reflect its identity, but rather whether that odor is rewarded as opposed to unrewarded. That means the synchronized firing in these M/Ts conveys information on odor value instead of odor identity<sup>107</sup>. The recent study has revealed that the information on odor identity during the go / no go odor discrimination task is likely carried by the coherence between the gamma oscillations of LFP and spikes of the M/Ts since it differentiates between odors irrespective of associated outcome (Fig.2)<sup>12</sup>. Why are there substantial changes in odorant representation in M/T firing or OB circuit oscillations during learning resulting in representation of odorant value in this early sensory circuit? It is possible that behavioral tasks that motivate the animal to focus on particular odorants elicits optimization of the ensemble difference in neural response between the stimuli, focusing the ability to discriminate by excluding other inputs, a process that would be analogous to the cocktail party effect in the auditory system<sup>108</sup>. This possibility needs to be evaluated in future studies.

## 6. Trail-to-trial variability of odor response of M/Ts in awake behaving animals

Neurons in the brain usually change their firing rate from trial to trial when the animals perform a specific behaving task, and the sensory response and behavioral output are dependent on the ongoing firing rate<sup>19</sup>. In the OB, slice recordings have revealed that individual M/Ts switch between states with low and high baseline firing rate and exhibit glomerulus-wide long-lasting depolarizations<sup>109</sup>. A recent *in vivo* study found that 'silent' M/Ts with no or weak baseline firing rate increased the firing rate dramatically responding to odors, while these M/Ts with high baseline firing rate showed weak or decreased responses<sup>110</sup>. However, another explanation of this observation is that for the same neuron, the odor response depends on the baseline firing rate, with increased response under low baseline firing rate and decreased response under high baseline firing rate. This hypothesis is supported by extracellular recordings in awake behaving mice (Fig.3)<sup>111</sup>, and consistent with findings in a subset of the previous studies showing that the responsiveness to odorants differed depending on the behavioral status of the animal<sup>17,107</sup>. More importantly, the baseline firing rate is affected by the behavioral status with larger values in the passive task

compared with the active task where odorant valence plays a role in decision making (Fig.3)<sup>111</sup>. In addition, the trail-to-trial baseline firing rate is different when an animal makes a mistake in the active associative learning task suggesting that it reflects an anticipatory cue<sup>111,112</sup>. Thus, the odor representation in the awake behaving state likely depends on the ongoing baseline firing, which varies from trial-to-trial. In the future it is important to study the neural mechanisms underlying the trial-to-trial changes in the baseline firing rate; it is likely that centrifugal feedback is involved.

## 7. Precise representation of timing

Compared with vision and audition, olfaction is considered a slow sensory system with poor temporal resolution, mainly because the process of odor sampling through sniffing and detection and transduction of odorant detection in OSNs take place in the 10–200 msec time frame<sup>113</sup>. However, the neural processing of the central olfactory system is precise likely because temporally accurate detection is needed to process information in odorant plumes<sup>2</sup>. Rats were able to use internasal time differences as short as 50 milliseconds to locate odor source and this capability is consistent with the firing properties of the M/Ts<sup>114</sup>. Direct optogenetic activation of the OSNs with precise duration has revealed that the mice have the ability to discriminate differences of 10 milliseconds in duration and some M/Ts in the OB of awake animals convey information on stimulus duration by responding tonically (Fig.4)<sup>115</sup>. The mice also have an impressive ability to perceive the timing of olfactory activation relative to the sniff cycle; they can discriminate between light-evoked inputs that are shifted in the sniff cycle by as little as 10 milliseconds, and individual M/Ts encode this timing by the changing the firing properties including firing timing and firing rate (Fig.4)<sup>116</sup>. Furthermore, direct optogenetic stimulation of M/Ts by patterned activation triggered by specific sniffing phases found that virtual odors that differed by as little as 13 milliseconds could be distinguishable by mice, and the imaging studies indicated that the different activation patterns evoked distinct dynamics of the calcium response of the mitral cells<sup>117</sup>. This is consistent with a related study which optogenetically stimulated a specific glomerulus<sup>118</sup>. Interestingly, information on timing of the stimulus response is likely decoded by the downstream piriform cortex<sup>6,119</sup>. Therefore, animals can discriminate olfactory related temporal information precisely, and the neurons, especially M/Ts in the OB, have the ability to represent this information, although the details of the mechanism remain elusive.

## 8. Conclusions and future directions

A key question on olfactory research is how the brain represents odor information in a real environment with turbulent odorant plumes emanating from different sources, the cocktail party effect for smell. The OB is the first relay station of the olfactory system and plays important roles in information processing and representation of the odors. In the past decade, data have been accumulated and hypotheses have been built on how the OB represents odor information in awake animals under different behavioral and brain states. A rather complicated scenario has been revealed and the consensus is that the ability of the OB to represent the odor not only relies on the complex neural circuits within the OB, but that massive centrifugal innervation controlled by brain areas relevant to olfactory behavior such

as sniffing are also involved. Although there has been substantial progress in understanding OB neural processing future studies are necessary to unveil neural mechanisms underlying olfaction in natural settings.

The following are bullet points regarding future developments:

1. Functions of interneurons in the OB. The interneurons in the glomerular layer and granule cell layer have been investigated intensively and most functions of these neurons have been identified. However, the function of most different types of interneurons in the external plexiform layer and their effect on the M/Ts representation of odors are still not clear<sup>25,26</sup>. Although recent studies have revealed the functions of PV-positive interneurons<sup>32,33</sup>, the circuit interaction of other interneurons, such as VIP-positive and CB-positive neurons, need further investigations.
2. Other cortical feedback and potential functions. Besides piriform cortex and anterior olfactory nucleus, other olfactory cortical regions which receive direct input from the OB, such as cortical amygdala<sup>120</sup> and olfactory tubercle<sup>121</sup>, likely play crucial roles in odor representation in the OB. Although no clear input from the olfactory tubercle to the OB is found in a recent study<sup>122</sup>, whole-brain mapping of the inputs and outputs of the medial part of the olfactory tubercle has revealed massive projections<sup>123</sup>, however, the function is still not clear. Furthermore, since the olfactory tubercle is related to the odor valence<sup>121</sup>, it's important to study how this circuit contributes to the representation of odor value in awake behaving animals.
3. Behavioral state-dependent centrifugal modulation. The state-dependent changes in odor representation of M/Ts is likely due to the feedback and centrifugal projections to the OB, but which projections and how they modulate the OB circuit under a specific brain states are not clear. In addition, as discussed above, during the go / no go task, the spiking of M/Ts conveys information on odor value rather than odor identity<sup>12,107</sup>. Since the serotonergic neurons also carry information on the value of the stimulus including rewarded odor<sup>80</sup>, and excitatory effect of the serotonergic input on the M/Ts<sup>22,78</sup>, it is likely that firing properties of the M/Ts responding to rewarded odors is shaped by serotonergic input. However, direct evidence is needed in future studies which should combine the electrophysiological recording and optical calcium recordings of the axons projecting to the OB in behaving animals.
4. Studying odor representation by monitoring multi-site olfactory centers. While the OB is an important olfactory center for odor representation, other olfactory centers are also involved<sup>6,41,124</sup>. Monitoring the neural activity from multiple olfactory centers could provide important information on how the whole system represents odors. Previous studies have successfully recorded LFP from multiple brain areas in awaking behaving rats and information on how olfactory related brain areas cooperated in different situations has been investigated<sup>125</sup>. In future studies, it will be important to record simultaneously the spiking of the neurons from different brain areas to reveal how the odors are represented by the

synchronized activity from distant neuronal populations and to compare to the results obtained within the OB<sup>107</sup>.

5. Comparison of the different odor discrimination tasks and different conditions during the task. The go / no go and two-alternative choice are the tasks that have provided information on odor representation of M/Ts in awake behaving animals<sup>13,107,111</sup>. However, large differences between these two tasks have been found in recent studies, including time needed to learn the tasks, odor sampling strategy, and temporal integration<sup>126</sup>. Although the data of LFP recordings from the OB have revealed correlations between OB oscillations and behavioral states, further studies focusing on comparing the strategies of the odor representation by the M/Ts for mice under different behavioral status are needed. Furthermore, the odor representation is likely dependent on the conditions of the animals during the task, e.g. free-moving *v.s.* head-fixed<sup>12,14,101,111</sup>. Under these two conditions, behavioral performance of animals appears similar<sup>127</sup> but the neural activity likely differs due to differences in behavioral status and the level of stress. Besides, odor sampling is vastly different under the head-fix condition compared to the freely-moving animal. Furthermore, neural processing of odorant search in a turbulent odor plume likely involves different neural processes<sup>2</sup> as well as coordination with oromotor<sup>82</sup> and locomotor neural activity<sup>128</sup>. Therefore, it's important to compare the strategy for neural representation under different well-defined and well-characterized behavioral paradigms to reveal the neural mechanisms underlying how the OB conveys odor information.

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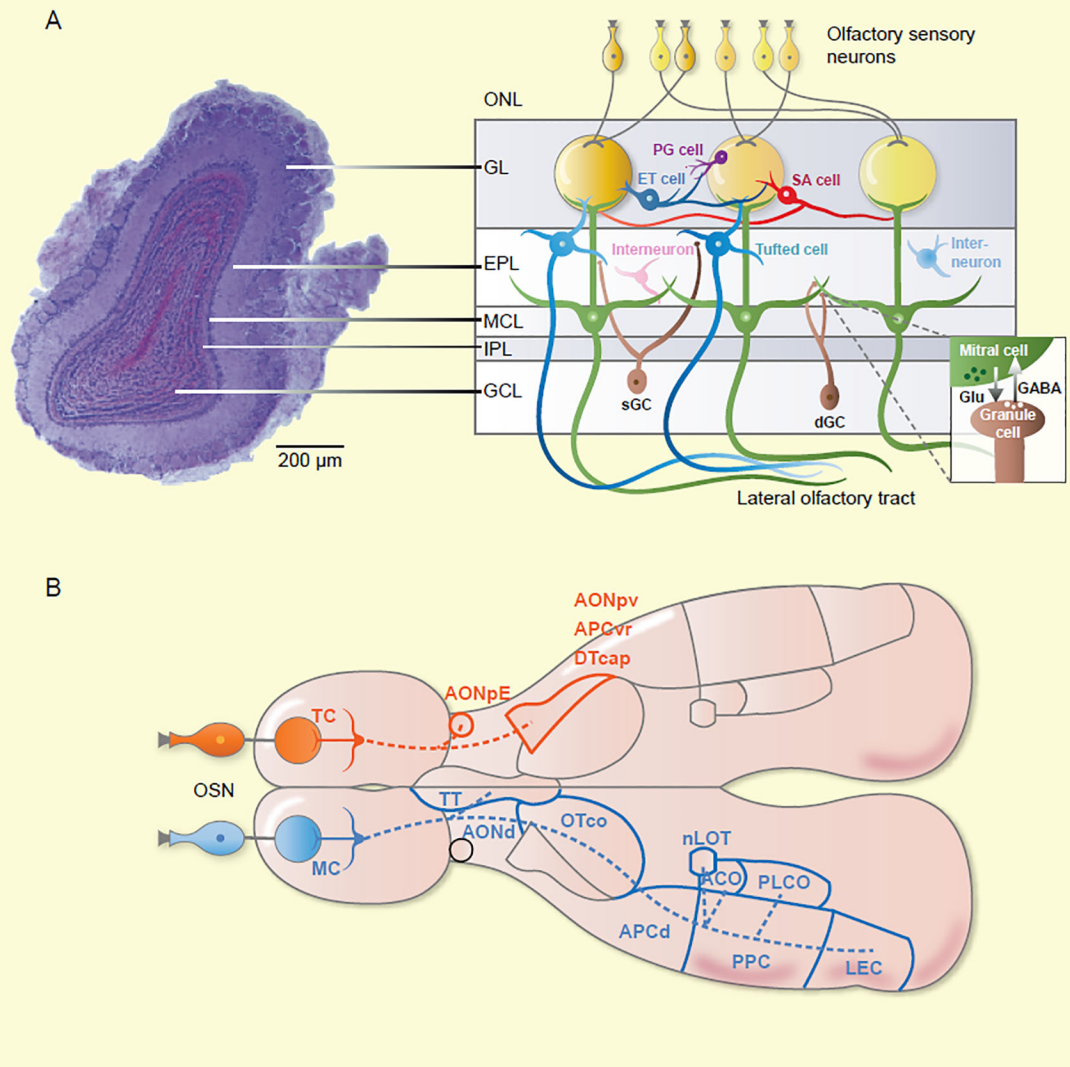
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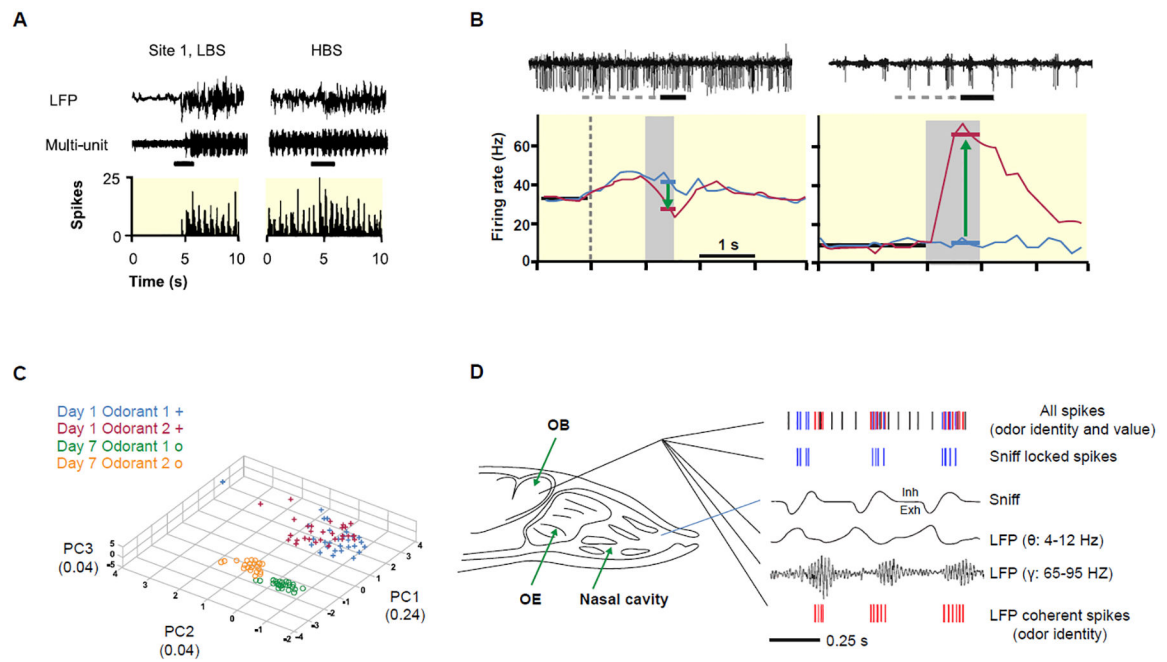
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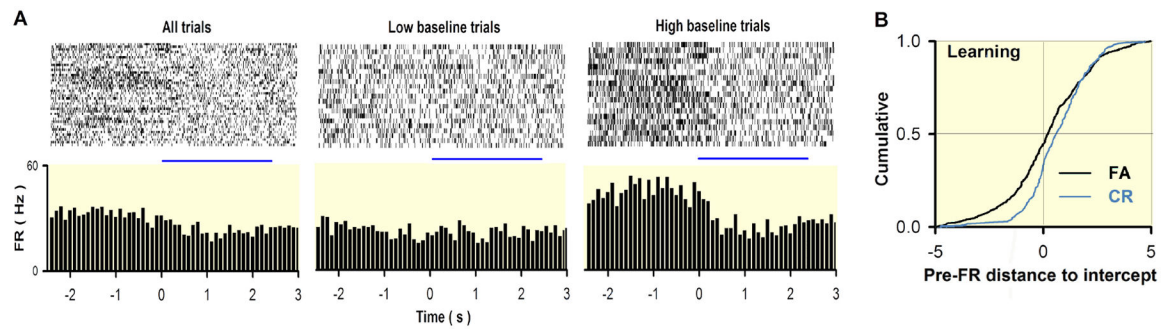
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**Fig 1.** Organization of the OB. A. Left, photomicrograph of a coronal section through the mouse OB. ONL, olfactory nerve layer; GL, glomerular layer; EPL, external plexiform layer; MCL, mitral cell layer; IPL, internal plexiform layer; GCL, granule cell layer. Right, diagram of the OB network. LOT, lateral olfactory tract. Modified from<sup>22</sup>. B. Output projections of MC (orange) and TC (blue). OSN: olfactory sensory neuron, TC: tufted cell, AONpE: AON pars externa, AONpv: posteroventral part of the AON, APCvr: the ventrorostral part of the APC, OTcap: the cap part of the olfactory tubercle, MC: mitral cell, TT: tenia tecta, AONd: the dorsal part of the AON, OTco: cortical part of the olfactory tubercle, APCd: dorsal part of the APC, PPC: posterior piriform cortex, LEC: lateral entorhinal cortex, nLOT: nucleus of the lateral olfactory tract, ACO: anterior cortical amygdaloid nucleus, PLCO: posterolateral cortical amygdaloid nucleus.

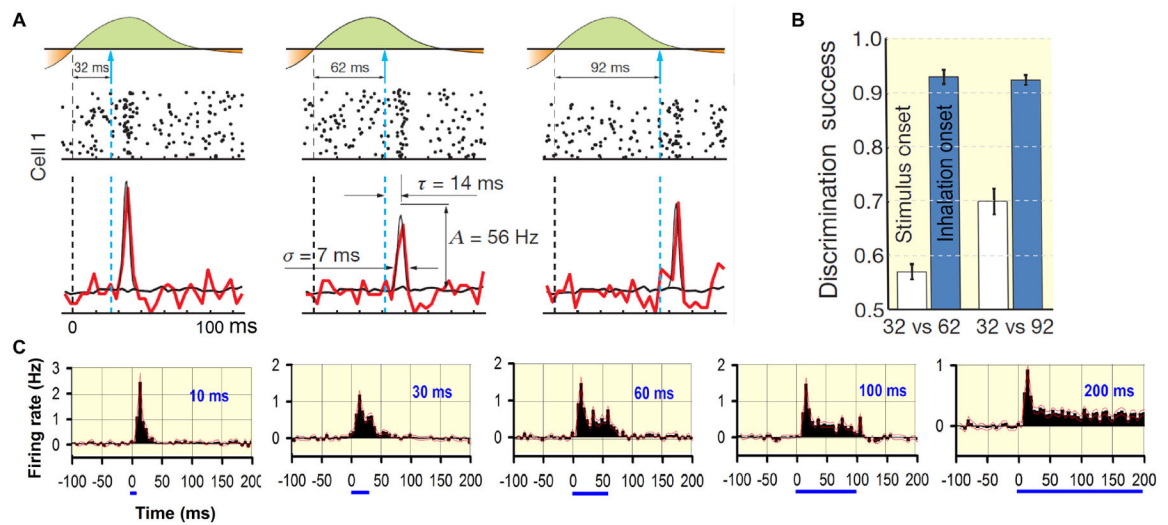
**Fig 2.**

Odor response of M/Ts under different anesthesia levels (brain states). **A.** Odor response of M/Ts under low brain state (LBS) and high brain state (HBS). Raw LFP signals (top), raw multiple-unit (middle) and histogram of spiking (bottom) at one recording site with 2s odor stimulation under LBS and HBS. The black bars represent odor stimulation. Modified from<sup>95</sup> with permission. **B.** Odor response of M/Ts under awake (left) and anesthetized mouse (right). Top, raw traces of spiking recording from the same location in awake behaving and anesthetized mouse. The solid horizontal bars indicate time of odorant exposure (citral). The dashed bars indicate the time of final valve activation that in the behavioral paradigm corresponds to the time the mouse spend in the port before odor delivery. Modified from<sup>97</sup>, copyright 2006 Society for Neuroscience. **C.** Mitral cell population responses pooled across all animals in the difficult discrimination task, plotted for day 1 (animals can't discriminate odors) and day 7 (animals learned to discriminate odors) in the first three principal component axes. Note the increase in separation of odorant 1 and odorant 2 trials with training. Modified from<sup>101</sup> with permission. **D.** The diagram shows a model that the firing of action potentials in the M/Ts carries information for odor reward, and the odor feature that carries information to differentiate between odors regardless of associated outcome, which could be odor identity or intensity, is carried by coherence between spike firing and gamma LFP. OE, olfactory epithelium. Inh, inhalation. Exh, exhalation. Modified from<sup>12</sup> with permission.



**Fig. 3.**

Trial-to-trial variability of odor evoked M/Ts firing rate (FR, A) and the association between baseline firing rate and behavior output (B). A. Example of odorant responses for a single unit. Top, raster plot (bottom to top: first to last trial); bottom, PSTH. Left to right, All, low and high pre-FA trials (high pre-FR = mean pre-FR). B. Cumulative probability for the distance along the pre-FR axis between a point and the intercept between the best-fit line and odor FR = pre-FR. Dividing by the SD of pre-FR normalized this distance. Correct rejection (CR) versus false alarm (FA) for the learning segment (Kolmogorov-Smirnov,  $P < 0.001$ , number of trials: 233 CRs, 667 FAs). Modified from<sup>111</sup> with permission.



**Fig 4.**

Representation of temporal information by M/Ts. **A.** Response of M/Ts to light stimulation with different latencies after inhalation onset. Top, light application. Middle and bottom, raster plots + PSTH for one representative M/T's responses to light at three latencies after inhalation onset. Colored and gray lines are PSTHs for light responses and spontaneous activity, respectively. Thin black lines are Gaussian fits of the difference between PSTHs for stimulated and unstimulated sniffs. The fit parameters yield measures of response width ( $\sigma$ ), latency ( $\tau$ ) and amplitude ( $A$ ). **B.** Classification performance for the neuronal population response discriminating between 32 and 62 ms and between 32 and 92 ms light stimulation latency. Responses are aligned to the stimulus onset (yellow) and inhalation onset (green). **A** and **B** are modified from<sup>116</sup>, reprinted by permission from Springer Nature. **C.** Mean change in firing rate for all units recorded from normalized by dividing by the firing rate before stimulation with light. Top to bottom, light stimulation with different durations. Modified from<sup>115</sup> with permission.