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Clinicopathologic profile, immunophenotype, and genotype of CD274 (PD-L1)-positive colorectal carcinomas

Shingo Inaguma^{1,2}, Jerzy Lasota¹, Zengfeng Wang¹, Anna Felisiak-Golabek¹, Hiroshi Ikeda², Markku Miettinen¹

¹Laboratory of Pathology, National Cancer Institute, Bethesda, MD, USA

²Department of Pathology, Aichi Medical University School of Medicine, Nagakute, Japan

Abstract

The CD274 (PD-L1)/PDCD1 (PD-1) pathway is crucial for the modulation of immune responses and self-tolerance. Aberrantly expressed CD274 allows tumor cells to evade host immune system and is considered to be a mechanism of adaptive immune resistance. Inhibition of the CD274/ PDCD1 immune checkpoint offers a promising new therapeutic strategy. Although CD274expressing tumor cells have been identified in different types of tumors including colorectal cancer, clinicopathologic profile of these CD274-positive tumors has not been extensively studied. In this study, 454 primary colorectal carcinomas were analyzed histologically and immunohistochemically for CD274, mismatch repair (MMR) proteins, intestinal differentiation marker (CDX2), and stem cell markers (ALCAM, ALDH1A1, and SALL4). CD274-positive colorectal carcinomas (54/454 (12%)) usually (83%) involved the right or transverse colon with poorly differentiated and solid/medullary histology. On the basis of multivariate logistic regression analysis, CD274 positivity was significantly associated with poorly differentiated histotype (OR: 3.32; 95% CI: 1.46–7.51; P=0.004), MMR deficiency (OR: 10.0; 95% CI: 4.66–21.5; P<0.001), and 'stem-like' immunophenotype defined by the loss or weak expression of CDX2 and ALCAMpositivity (OR: 5.51; 95% CI: 1.66–18.3; P = 0.005). Mutation analysis of 66 arbitrary selected colorectal carcinomas revealed that CD274-positive tumors usually (88%) carried the BRAF V600E mutation. Thus, colorectal carcinomas defined by CD274 positivity displayed features associated with tumors arising via the serrated neoplasia pathway. Moreover, colorectal carcinomas characterized by lack of CDX2 and prominent expression of ALCAM frequently (71%) showed CD274 positivity. This might suggest association of CD274 expression with 'stemlike' phenotype. Further evaluation of a larger cohort or experimental analyses would be needed to confirm this notion.

Disclosure/conflict of interest

Correspondence: Dr S Inaguma, MD, Laboratory of Pathology, National Cancer Institute, Building 10, Room B1B47, Center Drive 10, Bethesda 20892, MD, USA. inaguma@aichi-med-u.ac.jp.

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Introduction

The PD-Ls (programmed cell death ligands)/PDCD1 (programmed cell death 1, PD-1) axis are crucial for the modulation of the immune system to reduce collateral tissue damage from the inflammatory response in peripheral tissues as well as for T-cell responses and the maintenance of self-tolerance to avoid autoimmune diseases.^{1,2}

CD274 (B7-H1, PD-L1) and PDCD1LG2 (B7-DC, CD273, PD-L2), two physiological ligands for PDCD1, have been identified as cell-surface glycoproteins belonging to the B7 family. In peripheral tissues, CD274 is primarily induced by interferon- γ (IFN γ), from T helper 1 (TH1) cells under inflammatory conditions.^{3–6}

PDCD1 is a cell-surface receptor that belongs to the immunoglobulin superfamily and is mainly expressed on activated T cells, whereas other non-T lymphocytes such as B cells and natural killer (NK) cells express PDCD1 only on induction.^{7,8} PDCD1, once engaged by its ligands, inhibits kinases that are involved in T-cell activation through the phosphatase SHP2.⁴ When T cells were exposed to chronic antigen stimulation such as chronic viral infection or cancer, high levels of persistent PDCD1 expression is induced and leads to T-cell exhaustion or anergy.⁹

Variable CD274 expression has been reported in different types of tumors, including esophageal, gastric, and lung cancers, and linked to tumor aggressiveness and a poor prognosis. ^{10–13} In colorectal cancer, CD274 expression was reported to associate positively with mismatch repair (MMR)-deficient phenotype, *BRAF* mutation, or cytotoxic tumor-infiltrating lymphocytes, and inversely with regulatory T lymphocytes.^{13–15} However, the impact of CD274 expression on clinical outcome of colorectal cancer patients is controversial.^{15–17} Furthermore, the biological characteristics, such as stem cell features, of CD274-expressing colorectal cancers have not been fully identified.

A majority of colorectal carcinomas arise from conventional adenomas via a classical adenomacarcinoma pathway leading to microsatellite stable carcinomas. However, a subset of adenocarcinomas arises along the serrated neoplasia pathway from the sessile serrated adenomas/polyps. These tumors are characterized by mutational BRAF activation, CpG island methylator and MMR-deficient phenotypes, and loss of CDX2 (Caudal-type homeobox transcription factor 2), a marker for intestinal differentiation.^{18,19}

Stem cell markers such as ALCAM (activated leukocyte cell adhesion molecule, CD166), ALDH1A1 (aldehyde dehydrogenase 1 family member A1, ALDH1), and SALL4 (spalt like transcription factor 4), are variably expressed in colorectal carcinomas and linked to unfavorable clinical outcome in some studies.^{20–23} More recently, expression of CDX2 was found to be inversely correlated with expression of ALCAM. Furthermore, loss of CDX2 expression was a poor prognostic indicator in early stage (stage II and stage III) colon carcinoma. ²⁴

In this study, comprehensive immunohistochemistry of MMR molecules, intestinal differentiation (CDX2), and stem cell markers (ALCAM, ALDH1A1, and SALL4) and

mutation analyses for *BRAF*, *KRAS*, *NRAS*, *PIK3CA*, and *GNAS*, were performed to characterize CD274-positive colorectal carcinomas.

Material and Methods

Primary 454 colorectal carcinomas and 46 normal colonic and small intestinal mucosa derived from colon carcinoma specimens were assembled to multitumor blocks containing up to 50 rectangular tissue samples as previously described.²⁵ The size of tumor tissue samples was estimated to exceed the size of a single 0.6 mm² core by a factor of 10–15. All tumors selected for this study were extensively characterized histologically. Also, colonic (n = 43) and terminal ileal (n = 3) mucosa adjacent to the tumor was analyzed for expression of the studied markers: CD274, MMR proteins, CDX2, ALCAM, ALDH1A1, and SALL4.

The antibody source, dilution, and staining protocols are summarized in Supplementary Table S1. All immunostaining was performed with the Leica Bond-Max automation and Leica Refine detection kit (Leica Biosystems, Bannockburn, IL, USA). The protocol included in situ deparaffinization and epitope retrieval for 25 min, incubation with primary antibody for 30 min, polymer for 15 min, postpolymer for 15 min, and DAB chromogen for 10 min, followed by 5- min hematoxylin counterstain. For the sequential double staining, second signal was visualized by Fast Red chromogen. Immunohistochemistry of MLH1, MSH2, MSH6, and PMS2 were performed as previously reported.²⁶ The immunostains were independently evaluated by two pathologists (SI and MM). The concordance rates of initial immunohistochemical evaluation are shown in Supplementary Table S2. For the discordant cases, the results were confirmed by the discussion of the two pathologists. Immunoreactivity of CD274 (membrane and/or cytoplasm), ALCAM (membrane), ALDH1A1 (cytoplasm), and SALL4 (nucleus) was evaluated with a detection cut-off of 5%, whereas CDX2 immune reactivity (nucleus) was classified into two categories; stronglypositive (same or stronger than the normal colonic mucosa) and weekly-positive/negative expression (weaker than the normal colonic mucosa or loss of expression). Representative images of immunohistochemistry are shown in Figure 1 and Supplementary Figure S1.

BRAF, KRAS, NRAS, PIK3CA, and *GNAS* mutation analyses were done as previously reported.²⁶ Primer sequences, PCR conditions and size of amplicons are provided in Supplementary Table S3.

Chi-square test or Fisher's exact test was performed with EZR version 1.32. software²⁷ to analyze the statistical correlation between categorical data. Simple Boneferroni correction for multiple hypothesis testing were applied to adjusted two-sided alpha level at 0.0041 (=0.05/12). 'Stem-like' immunophenotype was defined by CDX2-negativ-ity/weakly-positivity and ALCAM-positivity. Multivariate logistic regression analysis was also performed with EZR version 1.32. software to analyze the association of CD274 expression (dependent variable) and other factors (independent variables). Variables with *P*-value < 0.05 on univariate analysis, such as age (<69 *vs* >470 years old), tumor location (cecum and ascending colon vs transverse colon including hepatic and splenic flexures vs descending to sigmoid colon vs rectum), tumor differentiation (well to moderate vs poor including signet ring cell-like feature), solid/medullary histology with a threshold of 50% of area (present vs

absent), MMR status (preserved vs deficient), and 'stem-like' immunophenotype (CDX2weakly-positive/negative and ALCAM-positive vs CDX2-strongly-positive and/or ALCAMnegative), were included in the initial multivariable logistic regression analysis model. A backward elimination with a threshold of P = 0.05 was used to select variables in the final model. Cases with missing information were eliminated from the statistical analysis of that parameter.

Results

CD274 Expression in Colorectal Cancers

Fifty-four (30 on the cell membrane and 24 in the cytoplasm) of 454 colorectal carcinomas showed variable CD274 expression (12% of cases, with 5-100% of positive cells, median 60%). Clinical, pathological, and immunohistochemical features of analyzed tumors have been summarized in Tables 1A, 1B, and Table 2. Representative images of the colon carcinomas with diffuse CD274 expression on the cell membrane are shown in Figure 1. CD274 positivity tended to occur in older patients (mean age: 72.6±13.1 years). CD274expressing tumors were found more frequently in the right or transverse colon with poorly differentiated and/or solid/medullary histology. Weak or negative expression of CDX2 was seen in 54% of CD274-positive colorectal tumors. 'Stem-like' markers, ALCAM, ALDH1A1, and SALL4 were expressed in 48, 33, and 5%, respectively, in CD274-positive tumors. However, there was no significant difference in expression of ALDH1A1 and SALL4 between CD274 positive and negative tumors. Supplementary Table S4 presents comparison of CDX2 expression and all three stem cell markers. Multivariate logistic regression analysis revealed significant association between CD274 expression and poorly differentiated histotype (OR: 3.32; 95% CI: 1.46–7.51; P = 0.004), MMR deficiency (OR: 10.0; 95% CI: 4.66–21.5; P<0.001) and 'stem-like' immunophenotype (OR: 5.51; 95% CI: 1.66–18.3; *P*=0.005).

Mutation Analysis in Colorectal Cancers

The results of pathological, immunohistochemical, and mutation analyses of 66 arbitrary selected colorectal carcinomas from the study group are summarized in Table 3 and Supplementary Table S5. Most CD274-expressing tumors (14/16, (88%)) were *BRAF* mutants with a MMR-deficient phenotype. Only one CD274-positive case (1/16 (6%)) carried *KRAS* c.35G>A (G12D) mutation. In contrast, CD274-negative cases were frequently *KRAS* (26/50 (52%)) and rarely *BRAF* (5/50 (10%)) mutants with or without *PIK3CA* mutation. Statistical analysis showed significant correlation between CD274 expression and *BRAF* mutation (Table 4). *BRAF* and *KRAS* mutations were observed in a mutually exclusive manner. No *GNAS* or *NRAS* mutations were detected in CD274-positive colorectal carcinomas.

CD274 Expression in Normal Intestinal Epithelium

No CD274 expression was detected in 46 normal intestinal mucosal tissues. Also, double staining for CD274 (red) and CDX2 (brown) showed no CD274 expression in CDX2-negative epithelial cells (Figures 2a and b). A case of CDX2-negative and CD274-positive tumor was studied as a control (Figure 2c). Representative images of the ALDH1A1 and

ALCAM staining in normal epithelia are shown in Supplementary Figure S2. No SALL4 expression was detected in the normal intestinal epithelium (data not shown).

Discussion

In this study, 454 well characterized colorectal carcinomas were screened immunohistochemically for CD274, CDX2 (intestinal differentiation marker), and stem cell markers (ALCAM, ALDH1A1, and SALL4) expression. In addition, mutational status of *BRAF, KRAS, NRAS, PIK3CA*, and GNAS was evaluated in arbitrary selected cases.

CD274-positive colorectal carcinomas, represented 12% of analyzed cases, tend to occur in older patients and frequently involve the right or transverse colon. Significant association between CD274 expression and poorly differentiated histology, solid/medullary histology, MMR deficiency, 'stem-like' characteristics, and *BRAF* c.1799T>A (BRAF V600E) mutation was detected.

Approximately 15% of colorectal carcinomas are believed to develop through the serrated neoplasia pathway, which is an alternative pathway to the classical adenoma-carcinoma pathway characterized by mutations in *APC*, *KRAS*, and *TP53*. Colorectal carcinomas of serrated neoplasia pathway frequently show mucinous and/or poorly differentiated histology, CpG island methylator phenotype and MMR deficiency, mutational BRAF activation, and in some cases, CDX2-negativity.¹⁸ These features correspond to the characteristics of the CD274-positive colorectal cancers.

CD274 is variably expressed in subsets of many tumor types and allows tumors to evade host immune system. CD274/PDCD1 immune checkpoint inhibitors were introduced to cancer treatment and, in some cases, showed significant anti-cancer effects. Thus, CD274 immunohistochemistry has been used as a potential biomarker to predict clinical response to these drugs.^{28–31} However, in some cases, CD274/PDCD1 immunotherapy has shown anti-cancer effects in spite of low CD274 expression (1% or less positive cells).²⁹ This has led to the hypothesis that CD274 might be expressed on cancer cells harboring specific characteristics, such as tumor initiating cells or cancer stem cells.

Colorectal cancer stem cells characterized by the expression of markers such as ALCAM, ALDH1A1, and SALL4 display tumor initiating and highly proliferative potentials in tumor xenograft models.^{21,32} Furthermore, high content of colorectal cancer cells with stem cell marker-expression in surgically resected specimens was reported to worsen the clinical outcome.^{20–23} In this study, an inverse correlation between ALCAM and CDX2 expression, which was recently pointed out by gene expression screening,²⁴ was confirmed by immunohistochemistry. Furthermore, it was uncovered that colorectal carcinomas with 'stem-like' immunophenotype frequently (71%) showed CD274 positivity. This observation might suggest association of CD274 expression with 'stem-like' phenotype such as highly proliferative and tumor initiating capability, however, further evaluation by a large cohort or experimental analyses would be necessary for the confirmation. In the present study, prognostic analysis by the expression status of CD274 and other molecules was not done because of the lack of clinical outcome data. Although several recent studies showed the

point.

In the present study, no CD274 expression was detected immunohistochemically in normal intestinal epithelial tissue including CDX2-negative epithelial cells. Thus, similar to other tumors,^{33,34} aberrantly activated oncogenic signaling might be responsible for CD274 expression in colorectal carcinoma cells. Also, loss of CDX2 or activation of other pathways including genes encoding 'stem-like' markers might accelerate CD274 expression. Further studies are needed to better understand the biological mechanism underlying CD274 expression in colorectal carcinomas.

In the present study, according to the past report,¹³ immunohistochemistry using monoclonal anti-CD274 antibody clone E1L3N with a detection cut-off 5% was applied to colorectal carcinomas. However, there are many clinicopathological studies showing variable CD274 positivity (5–89%) using different antibodies and/or cut-off values for the evaluation of CD274.^{14–17} Furthermore, as a 'complementary diagnostics', independent immunohis tochemical staining methods using different antibodies and/or cut-off value were applied to four different anti-PD-Ls/PDCD1 immune checkpoint inhibitors (eg, nivolumab and pembrolizumab against PDCD1 or MPDL3280A and MEDI-4736 against CD274). In the near future, standardized CD274 immunohistochemistry should be established for anti-PD-Ls/PDCD1 immune checkpoint therapy.

In summary, this study characterized colorectal carcinomas expressing CD274. The tumors defined by CD274 positivity were associated with poorly differentiated and solid/medullary histology, MMR deficiency and mutational BRAF activation, features typically seen in adenocarcinomas arising via the serrated neoplasia pathway. Moreover, significant numbers of colorectal carcinomas with 'stem-like' immunophenotype expressed CD274. Further evaluation of a large cohort of cases, or experimental analyses, could confirm the 'stem-like' phenotypes of CD274-positve colorectal cancers.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

Histology and immunophenotypes of colon carcinomas with diffuse CD274 expression. A case of poorly differentiated adenocarcinoma with massive lymphoplasmacytic infiltration showed diffuse CD274 and ALCAM expression on the cell membrane. Nuclear CDX2, MLH1, and PMS2 were expressed under detectable levels in tumor cells.



Figure 2.

CDX2 and CD274 expression in normal intestinal epithelium and colon carcinoma. (**a**–**c**) Sequential immunostaining of CDX2 (brown) and CD274 (red). (**a**,**b**) Normal intestinal epithelium including CDX2-negative epithelial cells of the terminal ileum (**a**) and colon (**b**) showed no CD274 expression. (**c**) A case of poorly differentiated adenocarcinoma of the colon with diffuse CD274 expression on the cell membrane without nuclear CDX2.

Table 1A

Clinicopathological characteristics of 454 colorectal carcinomas with or without CD274 expression

		CD274			
	Total no. 454 (100%) [100%]	Positive 54 (12%) [100%]	Negative 400 (88%) [100%]	P-value	
Sex				0.24 ^{<i>a</i>}	
Male	202 (100%) [46%]	20 (10%) [38%]	182 (90%) [47%]		
Female	235 (100%) [54%]	32 (14%) [62%]	203 (86%) [53%]		
Age, years (mean ± s.d.)	68.1 ± 13.3	72.6 ± 13.1	67.4 ± 13.2	0.0080 ^b	
Tumor size, cm (mean ± s.d.)	5.6 ± 2.6	6.1 ± 2.4	5.5 ± 2.6	0.19 ^b	
Tumor location				< 0.001 ^a	
Cecum and ascending colon	191 (100%) [46%]	33 (17%) [66%]	158 (83%) [44%]		
Transverse colon	60 (100%) [15%]	10 (17%) [20%]	50 (83%) [14%]		
Descending and sigmoid colon	126 (100%) [31%]	6 (5%) [12%]	120 (95%) [33%]		
Rectum	36 (100%) [9%]	1 (3%) [2%]	35 (97%) [10%]		
Tumor differentiation				< 0.001 ^a	
Well to moderate	388 (100%) [85%]	26(7%) [48%]	362 (93%) [91%]		
Poor	66 (100%) [15%]	28 (42%) [52%]	38 (58%) [10%]		
Mucinous histology				0.058 ^a	
0–49%	418 (100%) [92%]	46 (11%) [85%]	372 (89%) [93%]		
50%-	36 (100%) [8%]	8 (22%) [15%]	28 (78%) [7%]		
Solid/medullary histology				< 0.001 ^a	
0–49%	417 (100%) [92%]	34 (8%) [63%]	383 (92%) [96%]		
50%-	37 (100%) [8%]	20 (54%) [37%]	17 (46%) [4%]		

^{*a*}*P*-values were calculated by the χ^2 test for CD274 expression.

b*T*-test was used to compare the means of age and tumor size. The Bonferroni-corrected *P*-value for significance was P = 0.0041 (0.05/12).

Table 1B

Immunohistochemical characteristics of 454 colorectal carcinomas with or without CD274 expression

		CD274 (expression	
	Total No. 454 (100%) [100%]	Positive 54 (12%) [100%]	Negative 400 (88%) [100%]	P-value
MMR status				< 0.001
Deficient	88 (100%) [19%]	40 (45%) [74%]	48 (55%) [12%]	
Preserved	366 (100%) [81%]	14 (4%) [26%]	352 (96%) [88%]	
CDX2				< 0.001
Negative/weakly-positive	53 (100%) [12%]	29 (55%) [54%]	24 (45%) [6%]	
Strongly-positive	401 (100%) [88%]	25 (6%) [46%]	376 (94%) [94%]	
ALCAM				< 0.001
Positive	107 (100%) [24%]	26 (24%) [48%]	81 (76%) [20%]	
Negative	347 (100%) [77%]	28 (8%) [52%]	319 (92%) [80%]	
ALDHIAI				0.52
Positive	132 (100%) [29%]	18 (14%) [33%]	114 (86%) [29%]	
Negative	322 (100%) [71%]	36 (11%) [67%]	286 (89%) [72%]	
SALL4				1
Positive	22 (100%) [5%]	2 (9%) [5%]	20 (91%) [5%]	
Negative	432 (100%) [95%]	52 (12%) [96%]	380 (88%) [95%]	
'Stem-like' immune phenotype				< 0.001
CDX2-negative/-weak and ALCAM-positive	24 (100%) [5%]	17 (71%) [31%]	7 (29%) [2%]	
CDX2-strong and/or ALCAM-negative	428 (100%) [95%]	37 (9%) [69%]	391 (91%) [98%]	

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P-values were calculated by the χ^2 test for CD274 expression. The Bonferroni-corrected *P*-value for significance was P= 0.0041 (0.05/12).

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Multivariate logistic regression analysis to assess association between CD274 expression and other factors

	Odds ratio	Min	Max	P-value
Poorly differentiated histotype	3.32	1.46	7.51	0.004
MMR deficiency	10.0	4.66	21.5	< 0.001
'Stem-like' immune phenotype	5.51	1.66	18.3	0.005

The multivariable logistic regression analysis model initially included age, tumor location, tumor differentiation, solid/medullary histology, MMR status and 'stem-like' immune phenotype. A backward elimination with a threshold of P = 0.05 was used to select variables in the final model.

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Table 3

Characterization of 16 CD274-positive colorectal carcinomas

KRAS	WT	ΨT	WT	WT	ΨT	WT	WT	WT	WT	WТ	WТ	WT	Codon12 GGT>GAT	WT	WT	WT
BRAF	MUT	MUT	MUT	MUT	MUT	MUT	MUT	MUT	MUT	ΤM	MUT	MUT	ΜT	MUT	MUT	MUT
9HSM	I	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
MSH2	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
PMS2	I	I	I	I	I	I	I	I	I	+	I	I	+	I	I	ı
MLH1	I	I	I	I	I	I	I	I	I	+	I	I	+	I	I	I
SALL4	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I
ALDH1A1	I	I	I	I	I	+	I	+	+	I	I	I	+	+	+	+
ALCAM	+	+	+	I	+	I	I	+	+	I	I	I	I	+	I	I
CDX2	I	I	I	I	+	+	+	+	+	+	+	+	+	+	+	+
CD274 (%) Localization	Membrane	Membrane	Membrane	Membrane	Cytoplasm	Cytoplasm	Cytoplasm	Cytoplasm	Cytoplasm	Membrane	Cytoplasm	Cytoplasm	Membrane	Cytoplasm	Cytoplasm	Cytoplasm
	100	100	100	100	100	100	80	80	80	40	40	30	20	20	10	10
Solid/ medullary histology	+	I	+	+	I	I	+	+	I	I	I	I	I	I	I	I
Mucinous histology	I	I	I	I	I	+	I	I	I	I	I	I	I	I	I	I
Tumor differentiation	Poor	Poor	Poor	Poor	Well	Well	Poor	Poor	Moderate	Moderate	Well	Well	Well	Well	Moderate	Moderate
Size (cm)	8		L	5	9	4	9	10	8	6	6.5	4	3.5	5	7	4
Location	HF	Colon NOS	Ŧ	S	Colon NOS	C	A	A	A	C	С	С	C	C	С	A
Sex	ц	ц	М	ц	М	ц	Ц	ц	М	ц	М	Ц	Ц	ц	Ц	ц
Age	99	62	Mod	Pati	hol. Au	98 thor	L2 man	02 Juscr	ipt: #	8 avail	able	in P	MC 20	2 2 7 F	- ebru	arv 2

No mutation was found in NRAS, PIK3CA, and GNAS.

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Table 4

BRAF and KRAS mutants in 66 colorectal carcinomas

		CD274 e		
	Total No. 66 (100%) [100%]	Positive 16 (24%) [100%]	Negative 50 (76%) [100%]	P-value
Gene mutation				P<0.001 ^a
BRAF mutant	19 (100%) [29%]	14 (74%) [88%]	5 (25%) [10%]	
KRAS mutant	27 (100%) [41%]	1 (4%) [6%]	26 (96%) [52%]	
Wild type	20 (100%) [30%]	1 (5%) [6%]	19 (95%) [38%]	

^{*a*}*P*-value was calculated by the Fisher's exact test for CD274 expression. The Bonferroni-corrected *P*-value for significance was P = 0.017(0.05/3). P < 0.001 (*BRAF* mutant *vs* KRAS mutant), P < 0.001 (*BRAF* mutant *vs* wild type).