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Neural oscillatory abnormalities during gaze processing in schizophrenia: Evidence of reduced theta phase consistency and inter-areal theta-gamma coupling

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Abstract

Background: Abnormal gaze discrimination in schizophrenia (SZ) is associated with impairment in social functioning, but the neural mechanisms remain unclear. Evidence suggests that local neural oscillations and inter-areal communication through neural synchronization are critical physiological mechanisms supporting basic and complex cognitive processes. The roles of these mechanisms in abnormal gaze processing in SZ have not been investigated. The present study examined local neural oscillations and connectivity between anterior and bilateral posterior brain areas during gaze processing.

Methods: Twenty-eight SZ and 34 healthy control (HC) participants completed a gaze discrimination task during electroencephalography (EEG) recording. Time-frequency decomposition of EEG data was used to examine neural oscillatory power and inter-trial phase consistency at bilateral posterior and midline anterior scalp sites. In addition, connectivity between these anterior and posterior sites, in terms of cross-frequency coupling between theta phase and gamma amplitude, was examined using the Kullback-Leibler Modulation Index (KLMI).

Results: SZ showed reduced total power of theta-band activity relative to HC at all sites examined. This group difference could be accounted for by SZ's reduced inter-trial phase consistency of theta activity, which was related to reduced gaze discrimination accuracy in SZ. In addition, SZ exhibited reduced KLMI indexing both feedforward and feedback connectivity between the posterior and anterior sites.

Conclusions: These findings suggest abnormal theta phase consistency and disconnection between posterior face-processing and anterior areas may underlie gaze processing deficits in SZ.

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Disclosures

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Keywords

schizophrenia; EEG; neural oscillations; social cognition; face processing; cross-frequency coupling

INTRODUCTION

Eye gaze is a ubiquitous social cue that conveys information about the attention and mental states of others. The ability to discriminate others' gaze direction and use it to guide behavior constitutes a critical building block of social cognition (1). Gaze discrimination is altered in schizophrenia (SZ) (2,3) and such abnormalities are associated with poor functional outcomes (4), but the neural mechanisms remain unclear. Studies have demonstrated abnormal visual processing in SZ (5), suggesting deficits in processing basic social information (e.g., gaze direction) may begin with altered visual processing. At the same time, compromised higher-level cognition, particularly self-referential processing that recruits the medial prefrontal cortex (6,7), is prevalent in SZ and likely contributes to altered gaze perception. To gain insight into the neurobiological basis of abnormal gaze perception in SZ, the present study examined neural oscillations and synchrony during a gaze discrimination task; this allowed us to make inference about the roles of local neural activity at posterior face-processing and anterior brain areas, as well as their inter-areal communications.

Neural oscillations refer to rhythmic fluctuations in the excitability of neurons. When the oscillations of large numbers of neurons synchronize, the collective electrical signals are amplified and detectable with electrocorticogram, electroencephalogram (EEG), magnetoencephalogram, and local field potential recordings (8,9). Synchronization of neural oscillations measurable with EEG mainly arises from interactions between glutamatergic pyramidal (excitatory) cells and GABAergic (inhibitory) interneurons, which produce a balanced alternation between excitation and inhibition of neuronal populations (10–12). Neural oscillations occur in different frequency bands simultaneously, and the activity and coordination of different frequency bands are thought to be critical physiological mechanisms underlying basic and complex cognitive processes. For example, while high-frequency activity (e.g., gamma [30–100 Hz]), especially in sensory cortices, is linked to sensory/perceptual processing (13), low-frequency activity (e.g., theta [4–8 Hz]) is linked to higher-level cognitive processes such as learning/memory (14–16) and mentalizing (17,18). Additionally, animal and human studies using intracranial electrode recording show the phase of low-frequency (e.g., theta or alpha [8–12 Hz]) activity at one region modulates the amplitude of high-frequency (e.g., gamma) activity at another (19,20), suggesting phase-amplitude cross-frequency coupling (CFC) is an important mechanism via which distal neuronal populations communicate and coordinate to give rise to complex cognition (21). Furthermore, evidence indicates theta-gamma CFC is the strongest form of CFC in the human cortex among a range of phase-amplitude CFC pairings (22), making it a prime candidate to elucidate inter-areal communications.

Given that altered glutamatergic and GABAergic functions are well-documented in SZ (23–25), it is possible that abnormal neural oscillations may underlie observed difficulties in gaze perception. Event-related potential (ERP) studies, which examine phase-locked neural activity with respect to stimulus onset, have shown that N170 is sensitive to gaze/face processing in healthy individuals [see (26) for review]. Within SZ, reduced N170 is frequently reported during gaze/face processing (27), although increased in specific contexts (28). Because N170 is within the frequency range of theta, reduced N170 implies abnormalities in the phase aspect of theta activity in SZ. Intracranial studies of psychiatrically healthy individuals suggest increased gamma activity during gaze processing (29,30), but no studies thus far have focused on SZ. However, there have been neural oscillation studies of visual and face processing in SZ that have generally reported reduced low-frequency activity (e.g., theta) and high-frequency activity (e.g., gamma) at midline anterior and bilateral posterior scalp sites (31–35), which were linked to social cognitive deficits (31,36) and clinical symptoms in SZ (32,37). These findings inform the neural oscillatory abnormalities that may also be present during gaze processing. Gaze processing network as revealed in neuroimaging studies includes the medial prefrontal cortex/anterior cingulate cortex (mPFC/ACC), posterior superior temporal sulcus, and fusiform gyrus (26,38,39). Consistent with this general brain network of gaze processing, abnormal spectral features of EEG have been observed at midline anterior and bilateral posterior scalp sites in SZ during visual and face processing, although it cannot be concluded that these effects observed at EEG scalp sites are generated by underlying brain areas. Gaze processing also involves other brain regions such as the amygdala and anterior insula (26,38,39), but their activities are harder to detect with scalp EEG. Taken together, these findings suggest that examining the spectral features of neural activity during gaze processing at bilateral posterior (associated with ventral face processing) and midline anterior areas (associated with higher-level social cognition and top-down control) would provide better understanding of the system-level neurophysiological underpinnings of abnormal gaze perception in SZ.

In addition to local cortical dysfunctions, dysconnection between brain regions is widespread in SZ (40). Such functional disintegration, as suggested by neuroimaging findings of reduced functional connectivity between visual areas and the prefrontal cortex in SZ during visual processing (41), likely contributes to gaze perception abnormalities in SZ. In the case of gaze processing, dysfunction in brain regions implicated in early visual and ventral face processing may lead to impaired information flow to higher-level brain regions, compromising the ability to determine the self-referential nature of others' gaze. Similarly, altered activity in regions associated with higher-level social cognition and top-down control (e.g., mPFC/ACC) could result in a failure to properly modulate visual and face-processing areas, affecting gaze discrimination accuracy. Although previous face processing studies have demonstrated abnormal early visual and face-processing ERPs in SZ (e.g., P1, N170) (27,42), it is premature to conclude the source of deficits lies solely in early processes, because even “early” ERPs can be modulated by higher-level cognitive processes such as attention and intention (43,44). Therefore, it remains to be elucidated whether abnormal feedforward or feedback connectivity, or both, underlies altered gaze processing in SZ. Examining CFC of theta phase and gamma amplitude between posterior and anterior areas may offer an avenue to address this question.

This study investigated the neural bases of abnormal gaze processing in SZ by examining neural oscillatory activity over bilateral posterior areas (P7/P8) associated with mid-level ventral face processing and midline anterior area (Fz) associated with higher-level cognition. We conducted time-frequency analyses on EEG data collected during a gaze discrimination task in SZ and a healthy comparison (HC) group. Based on previous SZ findings of oscillatory aberrations during visual and face processing, we hypothesized that SZ, relative to HC, would show reduced theta and gamma activity at these posterior and anterior sites. We also investigated the directions of disrupted information flow during gaze processing in SZ by examining CFC between P7/P8 and Fz. Furthermore, the relationship between these EEG measures and clinical symptoms and behavior in SZ were examined. Finally, we explored whether neural oscillatory activity during gaze processing in SZ is modulated by factors with documented influence on gaze perception (gaze direction, face emotion, and head orientation) (3,4,45–47).

METHODS AND MATERIALS

Participants

Twenty-nine volunteers with SZ and 44 HC completed the study. Participants were recruited from the community and monetarily compensated. SZ met criteria for schizophrenia ($n=22$) or schizoaffective disorder ($n=7$), confirmed with the Structured Clinical Interview for DSM-IV-TR (48). Detailed inclusion/exclusion criteria are provided in the Supplemental Information. For SZ, clinical symptoms were assessed with the Scale for the Assessment of Positive Symptoms (SAPS) (49) and the Scale for the Assessment of Negative Symptoms (SANS) (50). For all participants, cognition was assessed with the Brief Assessment of Cognition in Schizophrenia (BACS) (51). Participant characteristics are displayed in Table 1.

A subset of this study sample also participated in a behavioral gaze perception study (22 SZ, 22 HC) (4) and/or a basic visual perception study (23 SZ, 22 HC) (52). ERP analysis of EEG data of the current gaze task of all SZ and 32 HC from this sample has been reported elsewhere (28).

Procedure

The study was conducted in accordance with the Declaration of Helsinki and approved by the University of Michigan Medical School Institutional Review Board. Written informed consent was obtained from each participant.

Experimental task.—Participants performed a gaze discrimination task. Details of the task are provided in the Supplemental Information (Procedure and Figure S1) and elsewhere (28).

EEG data acquisition and preprocessing.—Details of data acquisition and preprocessing are included in the Supplemental Information. We used custom MATLAB scripts (R2019a; MathWorks) based on functions from EEGLAB 14.1.2 (53) for all preprocessing steps. Participants were excluded if <70% of trials remained after

preprocessing, resulting in the exclusion of 9 HC and 1 SZ. Most of the excluded HC were due to a defective cap that was later replaced during the study.

Time-frequency decomposition.—Time-frequency decomposition was performed on cleaned (i.e., post-ICA and artifact rejection) epochs of $-1,500$ – $2,300$ ms from P7, P8, and Fz sites. Time-frequency decomposition on such long data epochs was to minimize edge artifacts in the time window where the spectral features would be examined (0–750 ms) in subsequent analyses. P7/P8 were selected due to their proximity to posterior regions associated with face (54) and gaze processing (55). Fz was selected due to its proximity to medial frontal regions associated with higher-level cognition (mPFC/ACC) (56,57). We used a Morlet wavelet convolution with 3 cycles at the lowest frequency (3 Hz) and 15 cycles at the highest (50 Hz) (58).

ERSP and ITPC.—Two spectral features were examined from stimulus onset (0 ms) to 750 ms post-stimulus at each site. First, total power of neural activity 3–50 Hz was measured using event-related spectral perturbation (ERSP) (59), which indicates event-related shifts in power; this was standardized in Decibels, normalized using a baseline of -200 to -50 ms. Then, we measured the consistency of phase angle relative to stimulus onset across trials (inter-trial phase consistency; ITPC) (60).

CFC.—Cross-frequency coupling between theta phase and gamma amplitude, illustrated in Supplemental Information Figure S2, was quantified using the Kullback-Leibler Modulation Index (KLMI) (19) implemented with the PACTools plugin for EEGLAB (61). More details of the computation of KLMI are provided in the Supplemental Information. Although KLMI measures a statistical relationship between activity of two different frequency bands (covariance of phase and amplitude for each latency across all trials) (62), intracranial studies show modulation of amplitude of high-frequency activity by phase of low-frequency activity occurs in many species and brain regions (63), providing biological support for the inference of directed CFC made using measures like KLMI. KLMI was calculated for each site pairing indexing feedforward (P7→Fz; P8→Fz) and feedback connectivity (Fz→P7; Fz→P8).

Statistical Analyses

Task performance.—Independent-samples *t*-tests were used to assess group differences in accuracy and reaction time on the gaze task.

ERSP and ITPC.—Mean ERSP values of theta, alpha, beta, and gamma activity, as well as mean ITPC values of theta within specific time-frequency windows were extracted from each of the three sites for each participant. See Supplemental Information (Methods and Figure S3) for details of the time-frequency windows selection method. Note that ITPC of only theta activity was analyzed because ITPC is typically only detectable in low-frequency activity, since slight temporal jitter in stimulus presentation can obliterate higher-frequency ITPC (63). Extracted ERSP and ITPC values were then submitted to subsequent analyses to test for group differences between HC and SZ. Specifically, mixed ANOVA, with site as a within-subject factor and group as a between-subjects factor, was performed for ERSP of

each of the four frequency bands and for ITPC of theta activity. Significant results were followed up with Tukey HSD to assess pairwise site differences or group difference at each site.

Because significant group differences were observed in both theta ERSP and ITPC, we investigated if group differences in theta ERSP could be accounted for by group differences in ITPC. An ANCOVA with ITPC as covariate was conducted on theta ERSP at each site.

We also conducted exploratory analyses to examine the effects of different factors of the faces (gaze direction, facial emotion, head orientation) on ERSP and ITPC and potential differential group differences (see Supplemental Information for details).

All ANOVA and ANCOVA analyses were performed in RStudio (version 1.2.5003), with an FDR correction of $p < 0.05$.

CFC.—Mean KLMI value within a specific time window of interest (where difference between HC and SZ was consecutively at least 0.2) was calculated for each individual for each of the four site pairings. Permutation-based two-samples *t*-tests (10,000 permutations) were used to examine group differences.

Clinical and behavioral correlates of EEG measures.—The ten EEG measures of interest were strongly correlated with one another (Supplemental Information, Table S1). To reduce the number of comparisons, principal Components Analysis (PCA) was used to extract a single component of each measure (theta ERSP, theta ITPC, KLMI) for subsequent correlation analyses with clinical symptoms (SAPS, SANS), cognition (BACS), and task behavior (accuracy, reaction time).

RESULTS

Task performance

SZ were as accurate, but slower to respond, on the gaze task, compared with HC (Table 1).

ERSP and ITPC

ERSP and ITPC results are illustrated in Figures 1 and 2, respectively. Full details of the ANOVA results are summarized in Table 2. Overall, post-stimulus theta ERSP was reduced in SZ, compared to HC; post-hoc analysis showed group difference at each site (P7: $p = 0.007$; P8: $p < 0.001$; Fz: $p = 0.01$). Relative to HC, SZ showed less post-stimulus beta suppression; post-hoc analysis showed group difference at posterior sites only (P7: $p = 0.021$; P8: $p = 0.002$).

ITPC (of theta activity) was significantly reduced in SZ relative to HC. Post-hoc analysis showed a group difference at all sites (P7: $p = 0.005$; P8: $p = 0.002$; Fz: $p = 0.002$).

Results of the ANCOVAs on theta ERSP showed that group differences at all sites were no longer significant after adjusting for ITPC (Table 3; Supplemental Information Figure S4 for scatterplots).

The results of the exploratory analyses overall showed that HC and SZ did not differ in the effects of gaze direction, facial emotion, and head orientation on ERSP and ITPC (shaded results in Supplemental Information, Table S2).

CFC

Group comparisons of CFC as measured with KLMI is illustrated in Figure 3. SZ showed significantly reduced KLMI across all site pairing indicating feedforward (P7→Fz: $t(60)=2.93, p=0.008$; P8→Fz: $t(60)=2.26, p=0.028$) and feedback connectivity (Fz→P7: $t(60)=2.82, p=0.006$; Fz→P8: $t(60)=3.05, p=0.006$).

Clinical and behavioral correlates of EEG measures

Reduced theta ERSP and ITPC were associated with reduced gaze task accuracy in SZ (Table 4). Reduced theta ERSP and KLMI were associated with poorer BACS performance when all participants were considered, although inspection of the correlations in each group suggests that these correlations were largely driven by the SZ group; these correlations reached statistical significance only when the two groups were combined and provided higher statistical power.

DISCUSSION

This study examined neural oscillations and synchrony during a gaze discrimination task to investigate the roles of local neural activity and their inter-areal communications in altered gaze processing in SZ. We observed widespread abnormalities in theta activity in SZ. First, theta power (ERSP) was reduced in SZ at both bilateral posterior (P7/P8) and midline anterior (Fz) sites. This suggests that altered theta power is pervasive in SZ across mid-level and higher-level cognitive processes that support gaze perception. Additionally, theta activity in SZ demonstrated less inter-trial phase consistency (ITPC) compared with HC at these sites and latency. Because ERSP consists of both phase-locked and non-phase-locked activity (8,64), we conducted additional analyses to isolate phase-locked activity from non-phase-locked activity. We found that group differences in theta power disappeared after controlling for theta phase consistency. This indicates that theta power reductions in SZ during gaze/face processing reported here and elsewhere (31) may be driven by reductions in phase consistency rather than reductions in theta amplitude. Because ERP components consist of phase-locked activity, such altered phase consistency may also explain reduced N170, N250, and P300 (ERP components in the frequency band of theta) amplitude previously observed in SZ during face processing (27,65). It is worth noting there are several theories about what gives rise to post-stimulus phase consistency, with some positing event-related ‘phase-resetting’ and others hypothesizing ‘evoked oscillations’ (66). Regardless of the specific process to which it is ascribed, future studies should clarify whether reductions in phase consistency rather than amplitude of theta activity better account for ERP abnormalities frequently reported in SZ.

In addition to its role in local neural processing, theta phase is thought to play a crucial role in inter-areal communication in the brain (67,68). As discussed above, theta-gamma CFC has been shown to be a neurobiological basis for inter-regional communications in the brain,

with theta phase at one region modulating gamma amplitude at another (22). It is possible that reductions in theta phase consistency observed in SZ also disrupt information flow between face-processing areas and higher-level cognitive areas, contributing to gaze perception aberrations in SZ (69). Reduced theta phase consistency was associated with reduced accuracy in gaze perception in the SZ group but not HC, suggesting this relationship may be specific to SZ. Furthermore, theta-gamma CFC between posterior and anterior sites was reduced in SZ, and this occurred in the same time window where reduced theta power and phase consistency was observed. We also observed correlations between CFC and local theta activity in SZ, providing further support for this claim. This finding of disconnection between posterior and anterior brain areas, as indicated by CFC, is in line with neuroimaging findings showing reduced functional connectivity between regions at different levels of the visual processing hierarchy in SZ (e.g., visual cortex; mPFC) (41). Taken together, these findings suggest theta phase may be a potential target that future studies can look to engage to investigate its therapeutic potential. For example, theta burst stimulation (TBS) (70), a transcranial magnetic stimulation (TMS) sequence that delivers pulses mimicking the coupling of theta phase and gamma amplitude, may be utilized to modulate theta phase and improve neural connectivity, thereby improving social cognition.

Considering that neural oscillations and synchrony arise from balanced interactions between glutamatergic pyramidal (excitatory) cells and GABAergic (inhibitory) interneurons (10–12), aberrant oscillatory activity in SZ found in this study and elsewhere (31) is consistent with the excitatory/inhibitory imbalance theory (71). Somatostatin-type and parvalbumin-type GABAergic interneurons have been respectively implicated in the generation of low-frequency (e.g. theta) and high-frequency activity (e.g. beta/gamma), and their dysfunction has been linked to the pathophysiology of SZ (23,72). Future investigation of the precise mechanisms of GABAergic interneuron abnormalities in SZ would offer more insight into the cellular mechanisms of altered social cognition and identify new treatment targets.

Despite cognitive impairments (as indicated by poorer BACS score) in SZ, both groups performed this gaze task with similar accuracy. This finding does not conflict with previous SZ findings of abnormal gaze perception, because our task included only clearly direct and averted gaze, perception of which was found to be equal in SZ and HC in other studies (2,73). Equal accuracy on the current task helps rule out the possible confound that the observed group differences in oscillatory activity arose from decreased engagement and ability to perform the task among SZ. The absence of group differences in post-stimulus alpha suppression, thought to reflect a shift from a state of ‘idling’ to a task-focused state (74), also supports that SZ were comparable to HC in terms of engagement and attention.

Some findings were less central to our hypotheses, but worthy of note. First, we did not observe group differences in gamma power, despite previous findings of reduced gamma power in SZ during visual processing tasks (33). This may be due to the low signal of such high-frequency activity, which requires larger samples for greater statistical power to detect more subtle group differences. However, visual processing studies with small samples (e.g., 25 SZ) have found group differences in gamma power (32,34). This suggests the current lack of group differences in gamma power was likely due to the low difficulty level of our task, since gamma power reductions in SZ are typically seen during more cognitively

demanding tasks (e.g., oddball task) but not necessarily during basic visual/face recognition paradigms (75) like the current one. Second, a general pattern of increased right hemisphere activity was observed across participants, including SZ. This pattern suggests that right hemispheric specialization of face processing (76,77) remains at least partially intact in SZ. Third, post-stimulus beta suppression was reduced in SZ relative to HC. Beta abnormalities may be responsible for SZ's slowing (relative to HC) on our task, as previous studies show impairment in sensory-motor coordination in SZ (78) is associated with reduced beta suppression (79). Fourth, we did not observe correlations between EEG measures and clinical symptoms in SZ. Our patient sample's clinical stability may have limited the range in clinical symptom scores and the ability to detect such relationships reported in previous studies (32,37). Finally, group differences in theta ERSP and ITPC seem to be about the general gaze processing and were not impacted by the features of the faces (such as gaze direction, facial emotion, and head orientation). However, the lack of significant group differences in the effects of these facial features on theta activity may be due to reduced statistical power when the trials were divided into conditions. Further investigation is needed to clarify whether theta activity is sensitive to these face attributes with documented influence on ERP.

The present results should be interpreted in light of several limitations. First, our analysis was limited by the low spatial resolution of scalp EEG. Replicating our findings with magnetoencephalography (MEG), which offers higher spatial resolution (80), would help to localize brain regions where these theta abnormalities may originate. Second, we did not perform volume conduction correction analyses (e.g., surface Laplacian) due to our low-density (32-channel) data acquisition. Although the concern for volume conduction in the current study was significantly reduced by the large distance between the P7, P8, and Fz scalp sites (> 10 cm), future investigations using high-density EEG (64 channels) would allow for accurate volume conduction correction and more accurate results (63). Third, we relied on a statistical approach (KLMI) to infer the directionality of inter-areal communications in the brain. Although the biological plausibility of this approach is supported by previous studies (19,20), more studies using other neuroimaging modalities and analytical techniques (e.g., dynamic causal modeling of fMRI or MEG data) (81,82) are needed to provide convergent evidence of our findings. Fourth, we were unable to rule out medication effects, although we observed no correlations between EEG measures and antipsychotic dose in the current SZ sample. There is also evidence that neural oscillation abnormalities in SZ occur independent of antipsychotic use (83,84). Future studies should address this limitation by comparing medicated and unmedicated samples or early-psychosis samples with limited antipsychotic exposure to clarify medication effects. Fifth, we are unable to rule out 'spurious CFC', or transient activity that produces cortical CFC in the absence of functional interaction between neural sources (85). To mitigate spurious CFC, we used established methods known to reduce this risk, including a robust CFC measure (KLMI) (19) as well as artifact rejection and ICA to remove non-neural artifacts (86). Sixth, our sample size is small, limiting the generalizability of our findings. Secondary analysis pooling together EEG data collected during different social cognitive paradigms would help to generalize our findings to other critical social cognitive processes in addition to gaze processing. Seventhly, our findings could be affected by reduced visual scan paths over the

eyes region documented in SZ, particularly when viewing fearful faces (87). The use of eye tracking in future gaze processing studies would help to address this confound. Finally, although all participants had at least 20/30 vision, we did not record fine-grained information of visual acuity. There may have been group differences in visual acuity driving the results, and this needs to be carefully assessed in future studies.

To conclude, theta abnormalities, including reduced power and inter-trial phase consistency, were observed at posterior as well as anterior scalp sites associated with mid-level face processing and higher-level cognition in SZ during gaze processing. Reduced theta power was likely due to reduced phase consistency. Furthermore, SZ also exhibited reductions in both feedforward and feedback connectivity as suggested by reduced theta-gamma coupling between posterior and anterior sites in both directions. Taken together, these findings support our hypothesis that local theta abnormalities and dysconnection between brain areas underlie gaze processing deficits in SZ.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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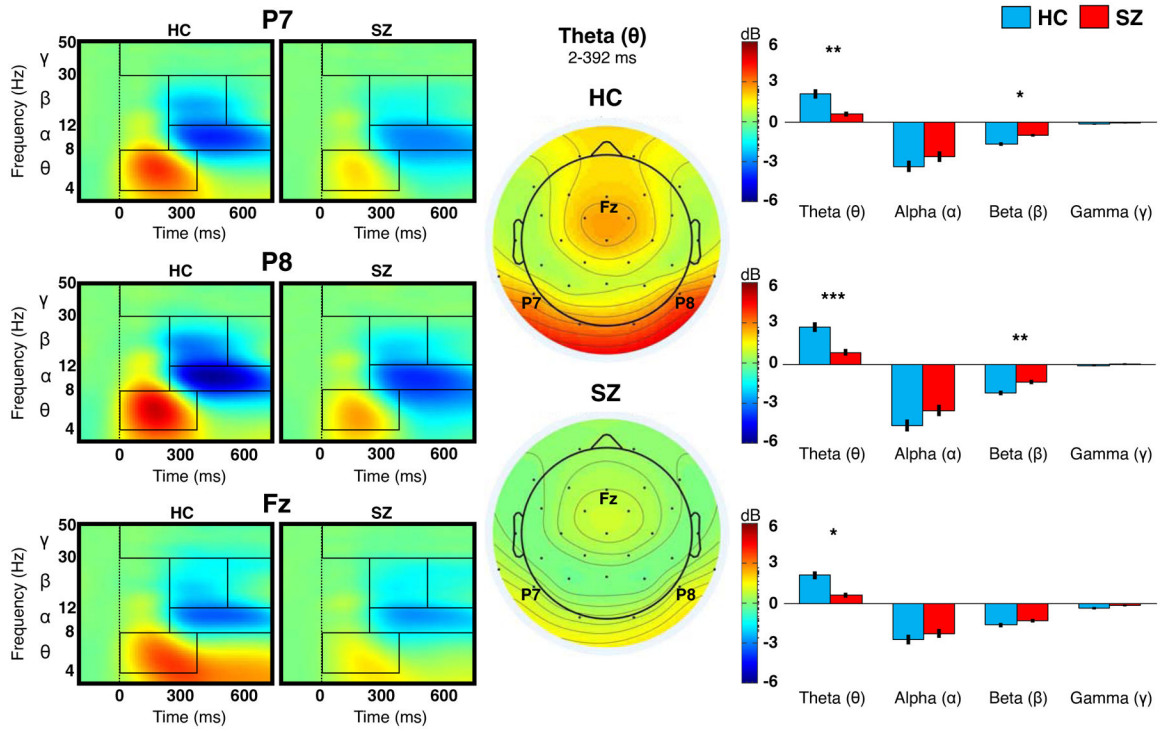


Figure 1. Event-related spectral perturbation (ERSP) at bilateral posterior and midline anterior sites.

The plots on the left show the amplitude of ERSP at frequency 3–50 Hz for healthy controls (HC) and schizophrenia (SZ). Rectangles inside the plots indicate the time-frequency windows used to extract ERSP for statistical comparisons between the two groups (results displayed in the bar graphs). Topographies in the center indicate mean theta ERSP within the indicated time window. The bar graphs to the right show (post-hoc) group differences in each frequency band at each site; vertical lines indicate standard errors. * $p < 0.05$. ** $p < 0.01$. *** $p < 0.001$.

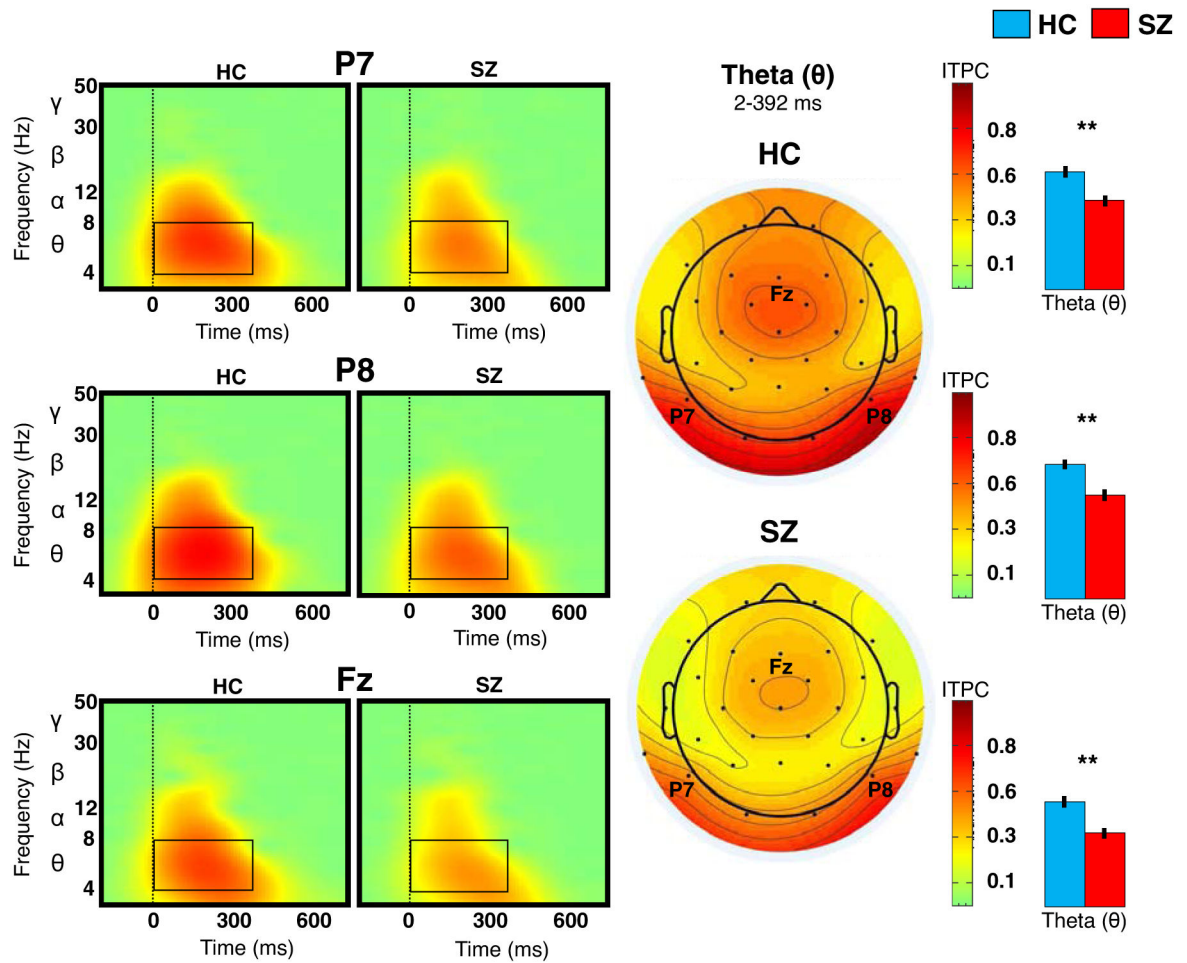


Figure 2. Inter-trial phase consistency (ITPC) at bilateral posterior and midline anterior sites. The plots on the left show the ITPC of theta activity at P7, P8, and Fz for healthy controls (HC) and schizophrenia (SZ). Topographies in the center of the figure indicate mean theta ITPC within the indicated time window. The bar graphs to the right show (post-hoc) group differences in theta ITPC at each site; vertical lines indicate standard errors. ** $p < 0.01$.

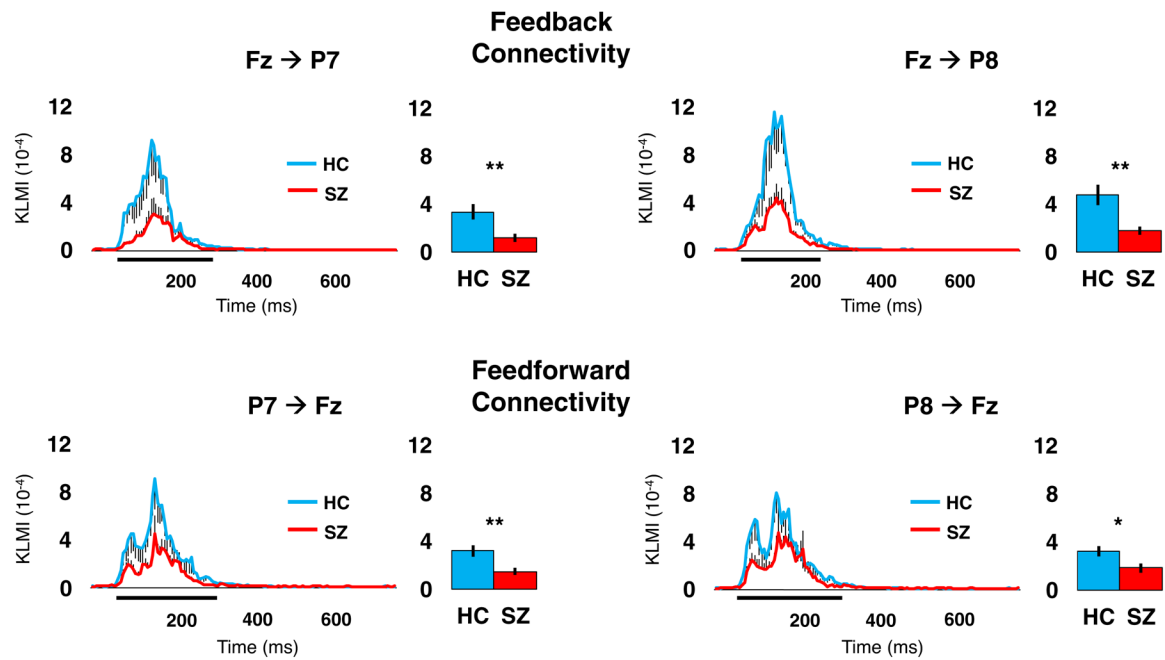


Figure 3. Cross-frequency coupling (CFC) between bilateral posterior (P7/P8) and midline anterior (Fz) sites.

For each site pairing, the line graph illustrates the Kullback-Leibler Modulation Index (KLMI) value at each time point, which indicates the covariance between theta phase at the site of origin and gamma amplitude at the modulated site; black vertical lines indicate standard errors. The thick black horizontal lines directly below the x-axis indicate the time window used to extract KLMI values for group comparisons (results in bar graphs).

* $p < 0.05$. ** $p < 0.01$.

Table 1:
Demographic, clinical, and behavioral characteristics ($N=62$)

	HC ($n=34$) M (SD)	SZ ($n=28$) M (SD)	t / χ^2	p
Age	41.6 (12.9)	41.6 (13.3)	-0.01	0.989
Sex (male/female)	21/13	20/8	0.28	0.596
Education in years	16.5 (2.3)	13.5 (1.9)	5.46	<0.001
Parental education in years	14.6 (2.7)	14.5 (3.7)	0.02	0.985
DSM-IV-TR diagnosis	--	21 SZ, 7 SA	--	--
Duration of illness in years	--	21.1 (13.0)	--	--
SAPS	--	0.8 (0.6)	--	--
SANS	--	1.1 (0.8)	--	--
BACS z-score	0.00 (1.0)	-1.8 (1.4)	5.25	<0.001
Medication				
Antipsychotic	--	25 (92.6%)	--	--
CPZ	--	570.9 (581.0)	--	--
Anxiolytic	--	7 (25.9%)	--	--
Antidepressant	--	9 (33.3%)	--	--
Mood stabilizer	--	7 (25.9%)	--	--
Gaze discrimination task				
Accuracy	81.8% (7.9%)	77.9% (10.5%)	1.62	0.111
Reaction time (ms)	681.9 (102.3)	815.3 (186.5)	-3.39	0.002
Trials retained after rejection *	451.9 (40.9)	454.6 (41.7)	-0.26	0.797

Note. HC = Healthy Control. SZ = Schizophrenia. SA = Schizoaffective disorder. Missing education data for 2 HC and parental education data for 5 HC. SAPS = Scale for the Assessment of Positive Symptoms (0–5 range). SANS = Scale for the Assessment of Negative Symptoms (0–5 range). CPZ = daily chlorpromazine equivalent antipsychotic dose. BACS = Brief Assessment of Cognition in Schizophrenia (missing data for 9 HC). BACS z-scores were calculated from HC mean and standard deviation. Medication information missing for 1 SZ.

*The task included 512 trials in total.

Table 2:

Mixed ANOVA for ERSP of each frequency band and theta ITPC

	df	F	p	η_p^2	Post-hoc
ERSP THETA					
Group (HC, SZ)	1, 60	18.30	<0.001	0.20	HC > SZ
Site (P7, P8, Fz)	2, 120	10.66	<0.001	0.02	P8 > P7/Fz
Group × Site	2, 120	2.10	0.127	0.00	--
ERSP ALPHA suppression					
Group (HC, SZ)	1, 60	1.40	0.242	0.02	--
Site (P7, P8, Fz)	2, 120	60.26	<0.001	0.06	P8 > P7 > Fz
Group × Site	2, 120	1.90	0.231	0.00	--
ERSP BETA suppression					
Group (HC, SZ)	1, 60	12.12	0.001	0.12	HC > SZ
Site (P7, P8, Fz)	2, 120	12.09	<0.001	0.05	P8 > P7/Fz
Group × Site	2, 120	3.29	0.041	0.01	HC > SZ*
ERSP GAMMA suppression					
Group (HC, SZ)	1, 60	4.08	0.072	0.04	--
Site (P7, P8, Fz)	2, 120	11.60	<0.001	0.07	Fz > P7/P8
Group × Site	2, 120	0.77	0.466	0.01	--
ITPC THETA					
Group (HC, SZ)	1, 60	18.55	<0.001	0.18	HC > SZ
Site (P7, P8, Fz)	2, 120	50.02	<0.001	0.12	P8 > P7 > Fz
Group × Site	2, 120	0.08	0.924	0.00	--

Note. Post-hoc tests were conducted using Tukey HSD.

* For beta ERSP, there was a significant Group × Site interaction, where HC showed a pattern of greater beta suppression at P8 relative to P7/Fz, but this pattern was weaker in SZ than HC.

ERSP = event-related spectral perturbation. ITPC = inter-trial phase consistency.

HC = healthy control. SZ = schizophrenia.

False Discovery Rate (FDR) correction ($p < 0.05$) was applied to each ANOVA.

Table 3:

ANCOVA for theta ERSF at each site

	df	P7 THETA ERSF			P8 THETA ERSF			FZ THETA ERSF		
		F	p	η^2	F	p	η^2	F	p	η^2
<u>Covariate</u>										
Site's Theta ITPC ^a	1, 59	131.31	<0.001	0.68	121.45	<0.001	0.67	147.41	<0.001	0.71
<u>Fixed Factor</u>										
Group (HC, SZ)	1, 59	1.94	0.191	0.01	1.65	0.204	0.01	1.97	0.166	0.01

Note.

^aFor each model, theta ITPC site is the same as theta ERSF site.

ERSF = event-related spectral perturbation. ITPC = inter-trial phase consistency.

HC = healthy control. SZ = schizophrenia.

False Discovery Rate (FDR) correction ($p < 0.05$) was applied to each ANCOVA.

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Table 4.Spearman correlations (ρ) between EEG and clinical/behavioral measures

	Theta ERSP	Theta ITPC	KLMI
SZ only			
SAPS	0.00	0.02	0.00
SANS	-0.25	-0.30	-0.28
CPZ	-0.19	-0.30	-0.07
BACS			
All participants	0.37**	0.26	0.36**
HC	-0.25	-0.12	0.04
SZ	0.36	0.14	0.32
Gaze task behavior			
Accuracy			
All participants	0.17	0.21	0.24
HC	-0.04	-0.08	0.00
SZ	0.39*	0.43*	0.29
Reaction time			
All participants	-0.23	-0.12	-0.23
HC	-0.04	0.07	0.05
SZ	-0.03	0.03	-0.28

Note. Principal component analysis (PCA) scores of EEG measures were used in the computation of the correlations.

SZ = schizophrenia. HC = healthy control. ERSP = event-related spectral perturbation. ITPC = inter-trial phase consistency. KLMI = Kullback-Leibler Modulation Index.

SAPS = Scale for the Assessment of Positive Symptoms.

SANS = Scale for the Assessment of Negative Symptoms.

BACS = Brief Assessment of Cognition in Schizophrenia.

CPZ = daily chlorpromazine equivalent antipsychotic dose.

* $p < 0.05$.

** $p < 0.01$.