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Unravelling the early warning capability of wastewater surveillance for COVID-19: A temporal study on SARS-CoV-2 RNA detection and need for the escalation

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ABSTRACT

Wastewater-based Epidemiological (WBE) surveillance offers a promising approach to assess the pandemic situation covering pre-symptomatic and asymptomatic cases in highly populated area under limited clinical tests. In the present study, we analyzed SARS-CoV-2 RNA in the influent wastewater samples ($n = 43$) from four wastewater treatment plants (WWTPs) in Gandhinagar, India, during August 7th to September 30th, 2020. A total of 40 samples out of 43 were found positive i.e. having at least two genes of SARS-CoV-2. The average Ct values for S, N, and ORF 1 ab genes were 32.66, 33.03, and 33.95, respectively. Monthly variation depicted a substantial rise in the average copies of N (\sim 120%) and ORF 1 ab (\sim 38%) genes in the month of September as compared to August, while S-gene copies declined by 58% in September 2020. The SARS-CoV-2 genome concentration was higher in the month of September (\sim 924.5 copies/L) than August (\sim 897.5 copies/L), corresponding to a \sim 2.2-fold rise in the number of confirmed cases during the study period. Further, the percentage change in genome concentration level on a particular date was found in the lead of 1–2 weeks of time with respect to the official confirmed cases registered based on clinical tests on a temporal scale. The results profoundly unravel the potential of WBE surveillance to predict the fluctuation of COVID-19 cases to provide an early warning. Our study explicitly suggests that it is the need of hour that the wastewater surveillance must be included as an integral part of COVID-19 pandemic monitoring which can not only help the water authorities to identify the hotspots within a city but can provide up to 2 weeks of time lead for better tuning the management interventions.

1. Introduction

The global pandemic caused by severe acute respiratory syndrome 2 (SARS-CoV-2) disease has led to more than 40 million confirmed cases and *>*1 million deaths worldwide, covering 216 countries, as of December 10th, 2020 [\(WHO, 2020](#page-7-0)). The high prevalence of asymptomatic infectious persons is a matter of concern that raises doubt on the available data of active cases based on a clinical survey ([Rimoldi et al.,](#page-7-0) [2020; Medema et al., 2020](#page-7-0)). Therefore, alternative approaches such as wastewater-based epidemiology (WBE) are gaining recognition, and surveillance of SARS-CoV-2 transmission and real-time trend monitoring is being endorsed to trigger pandemic responses by scientific communities ([Medema et al., 2020](#page-7-0); [Randazzo et al., 2020](#page-7-0)). The SARS-CoV-2

virus replicates in epithelial cells of alveoli and enterocytes of the intestinal lining in human beings due to the expression of ACE2 receptor resulting in respiratory illness and gastro-intestinal symptoms such as vomiting and diarrhoea ([Ni et al., 2020; Kumar et al., 2020](#page-7-0); [Gupta et al.,](#page-7-0) [2020;](#page-7-0) [Zhang et al., 2020](#page-7-0); [Xiao et al., 2020\)](#page-7-0). The clinical symptoms of SARS-CoV-2 infection include cough, breathing problems, diarrhoea, and fever. Different studies suggest that 48–67% of deceased persons exhibited SARS-CoV-2 RNA in the stool [\(Chan et al., 2020](#page-7-0); [Cheung et al.,](#page-7-0) [2020; Parasa et al., 2020; Wong et al., 2020\)](#page-7-0).

Due to the presence and extended excretion of SARS-CoV-2 RNA in the faecal matter of pre-symptomatic and deceased persons, WBE is gaining attention worldwide to monitor COVID-19, particularly in the developing economies with poor health infrastructure. An earlier

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investigation on COVID-19 patients revealed the prevalence of SARS-CoV-2 RNA in the stool of a larger population (48.1%) than patients with gastro-intestinal symptoms (17%) [\(Cheung et al., 2020](#page-7-0)). The latter study suggested that asymptomatic persons together with symptomatic persons, discharge viral particles in the excreta finding their way to sewage treatment plants. Interestingly, 18–45% of patients lack symptoms in the case of COVID-19 infection but are capable of transmitting the disease and can adversely affect the actual containment of COVID-19 ([Lavezzo et al., 2020](#page-7-0); [Yang et al., 2020](#page-7-0); [Mizumoto et al., 2020](#page-7-0); [Nishiura](#page-7-0) [et al., 2020\)](#page-7-0). [Haver and co-workers \(2020\)](#page-7-0) reported 6 to 24 times higher infection among asymptomatic and mild symptomatic individuals than confirmed cases at ten different sites in the United States based on surveillance of antibodies to SARS-CoV-2.

The wastewater encompasses SARS-CoV-2 RNA from both asymptomatic and symptomatic patients; therefore, WBE may prove its worthiness for COVID-19 surveillance to forecast the overall pandemic situation. WBE may help in identifying the hotspots and tuning the public health initiatives that will give preparatory time to the regulatory bodies to handle the adverse situation. Further, WBE could provide an early warning of possible re-outbreaks and seasonal outbreaks in the future. The occurrence of SARS-CoV-2 RNA in wastewater has widely been reported from all corners of the world, including Spain, France, Italy, China, Netherlands, Australia, India, and Japan ([Randazzo et al.](#page-7-0) [2020; Wurtzer et al., 2020; Zhang et al., 2020](#page-7-0); [Medema et al., 2020; La](#page-7-0) [Rosa et al., 2020;](#page-7-0) [Ahmed et al., 2020;](#page-7-0) [Hata et al., 2020; Kumar et al.,](#page-7-0) [2020, 2021\)](#page-7-0). Although the sensitivity of WBE is comparatively less than clinical trials and largely depends on the viral load in the patient's faecal matter, earlier clues and wide acceptability of WBE suggest that this approach could be superior to clinical surveillance for the early prediction of COVID-19 status for highly populated places [\(Medema et al.,](#page-7-0) [2020; Randazzo et al., 2020; La Rosa et al., 2020](#page-7-0)). Therefore, to evaluate WBE's potential as an early prediction tool for COVID-19 pandemic, it is essential to explore the correlation between the SARS-CoV-2 genetic load in wastewater and the number of cases at the district level in each country.

In view of this, the objective of this study was to verify the WBE approach for COVID-19 by comparing the detected concentration of SARS-CoV-2 in wastewater with the COVID- 19 cases reported by the clinical surveillance. The detected concentrations of SARS-CoV-2 RNA in wastewater would reflect the true prevalence of COVID-19 infection in the sewer catchment, including clinically undiagnosed patients, while the number of clinically reported cases covers only diagnosed patients and also depends on the number of PCR diagnosis. In the present study, we analyzed SARS-CoV-2 RNA in the influent wastewater samples $(n =$ 43) from four wastewater treatment plants (WWTPs) in Gandhinagar,

India, from August 7th to September 30th, 2020, with the following objectives: a) detection and quantification of SARS-CoV-2-RNA in the influent wastewater samples of Gandhinagar to understand the pandemic situation over 2 months b) biweekly and weekly resolution of the data for two months in genetic material loadings; and c) explicating the potential of WBE for COVID-19 surveillance as a potential tool for identifying hotspots and public health monitoring at the community level.

2. Material and methods

2.1. Sampling approach

Influent wastewater samples were collected from four different treatment plants *viz.* Basan, Jaspur, and Sargasan wards, and an academic institution present in the municipal territory of Gandhinagar (Fig. 1). The capacity of treatment plants was 2, 10, 10, and 2.36 MLD, respectively. The details of the WWTPs, including their geospatial position, capacity, treatment process, etc., are shown in Table S1. The influent wastewater samples were collected from each WWTP first biweekly, followed by weekly for two months, during August and September 2020. A total of forty-three influent wastewater samples collected from four different treatment plants were analyzed for two months. All the samples were collected by grab hand sampling using 250 mL sterile bottles (Tarsons, PP Autoclavable, Wide Mouth Bottle, Cat No. 582240, India). Simultaneously, blanks in the same type of bottle were examined to know any contamination during the transport. *In-situ* water quality parameters (pH, Electrical Conductivity, Dissolved Oxygen, Oxidation-Reduction Potential, and Total Dissolved Solids, Salinity) were examined prior to the sample collection using YSI multiparameter probe and summarized in Table S2. The samples were kept cool in an ice-box until analysis.

2.2. SARS-CoV-2 gene detection

2.2.1. Precipitation of viral particle

30 mL samples were centrifuged at 4000×*g* (Model: Sorvall ST 40 R, Thermo Scientific) for 40 min in a 50 mL falcon tube followed by filtration of supernatant using 0.22-μm syringe filter (Mixed cellulose esters syringe filter, Himedia). After filtrating 25 mL of the supernatant, it was treated with PEG 9000 (80 g/L), and NaCl (17.5 g/L), and this was incubated at 17 ◦C, 100 rpm overnight (Model: Incu-Shaker™ 10LR, Benchmark). Next day, the mixture was centrifuged at 14,000×*g* (Model: Kubota 6500, Kubota Corporation) for about 90 min. The supernatant was discarded after centrifugation, and the pellet was

Fig. 1. Geospatial map of the sampling points in Gandhinagar, Gujarat.

resuspended in 300 μL RNase free water. The concentrated sample was kept in 1.5 mL eppendorf at − 40 ◦C, and this was further used as a sample for RNA isolation.

2.2.2. RNA isolation, RT-PCR and gene copy estimation

RNA isolation from the pellet with the concentrated virus was performed using NucleoSpin® RNA Virus (Macherey-Nagel GmbH & Co. KG, Germany) isolation kit. MS2 phage was used as an internal control provided by TaqPathTM Covid-19 RT-PCR Kit. Some other specifics are, a) the nucleic acid was extracted by NucleoSpin@ RNA Virus isolation Kit (Applied Biosystems), and Qubit 4 Fluorometer (Invitrogen) was used for RNA concentrations estimation, b) molecular process inhibition control (MPC) was evaluated through MS2 phage for the QC/QA analyses of nucleic acid extraction and PCR inhibition [\(Haramoto et al.,](#page-7-0) [2018\)](#page-7-0). We have described methodology elsewhere [\(Kumar et al., 2021](#page-7-0) and [2020a](#page-7-0)). Briefly, steps were carried out as per the guideline provided with the product manual of Macherey-Nagel GmbH & Co. KG, and RNAs were detected using real-time PCR (RT-PCR).

SARS-CoV-2 gene was detected with Applied Biosystems 7500 Fast Dx Real-Time PCR Instrument (version 2.19 software) and for each run a template of 7 μL of extracted RNA was used with TaqPath™ 1 Step Multiplex Master Mix (Thermofischer Scientific, USA). Final reaction mixture (20 μmL) contained nuclease-free water 9 (10.50 μL), Master Mix (6.25 μL), and COVID-19 Real-Time PCR Assay Multiplex (1.25 μL). Positive control (TaqPath™ COVID 19 Control), negative control (from extraction run spiked with MS2), and no template control (NTC) were run with each batch. 40 cycles of amplification were set and results were interpreted based on the Ct values for three target genes i.e., ORF1ab, N Protein, and S Protein of SARS-CoV-2 along with that of MS2 used as an internal control.

Results were considered inconclusive if less than two genes are detected in the samples. Effective genome concentration was calculated semi-qualitatively using the equivalence of 500 copies of SARS-CoV-2 genes as 26 Ct-value (provided with the kit), and multiplying the RNA amount used as a template and the enrichment factor of waste water samples during the experimentation. The OriginPro 2019b was used for data plotting and analysis.

Test of significance and multivariate analyses (MVA) was performed with help of Statistical Package for the Social Sciences (SPSS 21) to evaluate the relatedness among various quality parameters analyzed and to delineate the principle components (PCs) governing variables in the produced data-set through orthogonal transformation that explains the captured variance in the dataset. Principle component analyses (PCA) not only reduces the dimensionality of datasets but also provides the influences of each dimensions on each other. Determining the Eigen values and Eigen vectors were the key steps in the process of PCA, following the square matrix during the formation of factor loading matrix.

3. Results and discussions

We detected and quantified variation in SARS-CoV-2 RNA from influent wastewater samples for two months (August and September) to understand the pandemic situation in Gandhinagar, Gujarat, India. Among 43 samples analyzed in the study, 40 were found positive, comprising two out of three targetted genes (Table 1). The distribution analysis of Ct values for different genes using boxplot is represented in [Fig. 2](#page-4-0)a. The average Ct values for S, N, and ORF 1 ab genes were 32.66, 33.03, and 33.95, respectively. The Ct values of internal control (MS2 bacteriophage) ranged between 25.15 and 28.01. Also, no SARS-CoV-2 genes were detected in the negative control samples. The average gene copies were found to be maximum for S-gene (-1223 copies/L) , followed by N-gene (\sim 1022 copies/L) and ORF 1 ab-gene (\sim 485 copies/ L) [\(Fig. 2](#page-4-0)b).

3.1. The monthly variations

The monthly variation depicted a substantial rise in the average copies of N (\sim 120%) and ORF 1 ab (\sim 38%) genes in the month of September as compared to August, while S-gene copies declined by 58%

Table 1

#ND: Not detected; INC: Inconclusive; and NA: Not analysed

Fig. 2. Distribution of SARS-CoV-2 viral gene a) Ct values, and b) target gene copies during entire study period in Gandhinagar.

in September 2020 (Fig. 3a). The SARS-CoV-2 genome concentration was higher in the month of September (\sim 925 copies/L) than August $(\sim 898 \text{ copies/L})$, corresponding to a ~ 2.2 -fold rise in the number of confirmed cases during the study period (Fig. 3b). Temporal variations in average SARS-CoV-2 gene copies were analyzed from different WWTPs in Gandhinagar are displayed in [Fig. 4a](#page-5-0)–d. One-way ANOVA and Duncan post hoc test ($p < 0.05$) was performed to see the significance level in gene copy variation among different sampling dates. The results showed significant differences in N-gene (ANOVA, *F* = 2.68, p *<* 0.05) and S-gene copies (ANOVA, $F = 2.20$, $p < 0.05$) on the temporal scale (sampling dates). Conversely, differences were non-significant in case of ORF-1ab genes (ANOVA, $F = 1.13$, $p > 0.05$) and genome concentration (ANOVA, *F* = 1.63, p *>* 0.05).

There are some studies available around the globe on early detection of SARS-CoV-2 RNA in wastewater, even before the first report of clinical diagnosis. For example, [Madema et al. \(2020\)](#page-7-0) reported the presence of SARS-CoV-2 genetic material in wastewater in February, even before the official declaration of the first case in the Netherlands. Likewise, [La](#page-7-0) [Rosa et al. \(2020\)](#page-7-0) reported SARS-CoV-2 genetic material in wastewater samples before the first official documented report from two different cities in Italy. Similarly, [Randazzo et al. \(2020\)](#page-7-0) detected SARS-CoV-2 RNA in wastewater samples from Spain. Since then, many researchers detected and reported the occurrence of SARS-CoV-2 RNA in wastewater samples and pondered its applicability for WBE surveillance ([Ahmed](#page-7-0) [et al., 2020](#page-7-0), [Kumar et al., 2020](#page-7-0) [a,b](#page-7-0)). However, a few studies available focused on assessing its potential on the temporal scale in relation to the changes in COVID cases.

3.2. The early warning capability

The present research work followed our first proof of the concept, where we detected SARS-CoV-2 genetic material in wastewater and proposed its wide applicability for COVID surveillance in the community ([Kumar et al., 2020a\)](#page-7-0). Examining the potential of WBE for COVID-19 surveillance as a potential tool showed that the percentage change in genome concentration level on a particular date was in conjunction with the confirmed cases registered 1–2 weeks later on a temporal scale by the regulatory authority based on clinical tests [\(Fig. 5\)](#page-5-0). For example, on August 21st, 2020, a sharp decline of $~51\%$ was noticed in the percentage change in the average genome concentration which was followed by ~0.76% decline in the percentage change in confirmed COVID cases on August 28th, 2020. Likewise, on August 25th, 2020, a steep hike of \sim 75% in the percentage change in the average genome concentration was noticed, which was followed by \sim 11% increment in the percentage change in confirmed COVID cases on September 7th, 2020. Therefore, we can predict the severity of the pandemic situation 1–2 weeks prior to the official reports by the regulatory body based on clinical tests. The results unravel the potential of WBE surveillance of COVID-19 as an early warning tool displayed by the adequate presence of SARS-CoV-2 genetic material in wastewater samples though limited cases were documented and based on the immediate future trends. These findings were in agreement with those of [Ahmed et al. \(2020b\)](#page-7-0), who noticed a longitudinal decline in the presence of SARS-CoV-2 RNA with the tapering of the first epidemic wave; however, there was no concrete relationship between virus RNA and daily cases numbers.

Fig. 3. Distribution of SARS-CoV-2 gene copies on a temporal scale (monthly variation), a) Target gene copies, b) Genome concentration.

Fig. 4. Temporal variations in average SARS-CoV-2 gene copies collected from different STPs in Gandhinagar, a) N-Gene, b) ORF1 ab Gene, c) S-Gene, and d) Genome concentration. Alphabetical letters in graphs represent a statistically significant difference of **p < 0.05** by Duncan post hoc test.

Fig. 5. Potential and evidence of wastewater-based epidemiology surveillance of Covid-19 pandemic as an early warning tool in Gandhinagar.

3.3. Relatedness with COVID-19 cases and water quality through multivariate analyses

Finally, MVA was performed to know the relation among influent wastewater physico-chemical characteristics, SARS-CoV genetic material, and pandemic status (i.e., confirmed, active, recovered, and deceased cases) through principal component analysis depicted by PCs loading in a 3-D domain during the entire two months of the monitoring

period ([Fig. 6](#page-6-0)a and b). A summary description of in-situ parameters (Table S3), variation explained, eigenvalue variations, and principal component loadings for influent wastewater (Table S4, Fig S1) have been provided as supplementary items.

Principal component analyses showed a comprehensive picture of the overall interaction among SARS-CoV-2 genetic material and influent wastewater characteristics. The entire dataset obtained for August and September were subjected to PCA and projected in the 3-D domain of

Fig. 6. Principal component analysis (PCA) to show relation/interaction among physico-chemical parameters of untreated wastewater and targeted SARS-CoV-2 gene copies, a) August; b) September 2020.

three main PCs. In the month of August, four PCs were identified that explains 76.9% of the total variance in the dataset. The first PCs explained 26.9% of the total variance with significant loading for COVID cases forming a cluster (confirmed, recovered, and active cases) with moderate loading (-0.5) of influent wastewater parameters (ORP and DO) and weak loading for ORF gene (Fig. 6a and Supplementary Table S3 and S4). On the other hand, nearly the same $(\sim 23.8\%)$ variation of data sets is explained by SARS-CoV-2 genes, and genome concentrations form a cluster upper left domain with significant loadings for effective genome concentrations (0.98) followed by ORF-1ab, N-genes, and S-genes as PC2. Interestingly in August, the ORF 1 ab genes illustrated positive loadings in both PC1 and PC2. The PC3 and PC4 exhibited almost similar contribution $(-13%)$ of the total variance.

In September, the complexion changed significantly with the overall reductions of PCs to three, explaining cumulative variations of 84% in the dataset. The trends were almost similar to the month of August. However, SARS-CoV-2 genes exhibited higher loadings. Order of loadings among SARS-CoV-2 genes and genome remains same i.e., effective genome concentration *>* ORF-1ab *>* N-genes *>* S-genes. The confirmed and active COVID cases showed a positive relationship with SARS-CoV-2 genes (ORF 1 ab, N-gene, and genome concentration), though the relationship was not strong due to weak correlation coefficients (*<*0.1). Though the results of MVA suggested a weak relationship between the SARS-CoV-2 genome concentration and confirmed cases, yet the percentage change in genome concentration showed a positive relation to the percentage change in the confirmed cases. This might be because of the effect of change in SARS-CoV-2 genome concentration was reflected in 1–2 weeks later data of the confirmed cases. This could also partly be ascribed to the number of confirmed cases not necessarily reflect the actual prevalence of the disease [\(Hata et al., 2020\)](#page-7-0).

4. Conclusion

A temporal variation of SARS-CoV-2 RNA presence in influent wastewater was studied for a period of two months in Gandhinagar, India. Out of 43 samples, 40 samples were found positive, while RT-PCR showed greater sensitivity for S-gene, followed by N-gene and ORF 1 ab gene. A comparison of monthly variation demonstrated higher SARS-CoV-2 genome concentration in September (~925 copies/L) than August (\sim 898 copies/L) in line with the \sim 2.2-fold rise in the number of confirmed cases during the study period. The results profoundly unravel the potential of WBE surveillance to predict the fluctuation of COVID-19 cases to provide an early warning. Our study explicitly suggests that it is the need of hour that the wastewater surveillance must be included as an integral part of COVID-19 pandemic monitoring which can not only help the authorities to identify the hotspots within a city but can provide up to 2 weeks of time lead for better tuning the management interventions. However, the capability of WBE for early warning of COVID-19 needs to be further substantiated through long-term dataset, as cross correlation of temporal patterns between SARS-CoV-2 RNA and confirmed cases is not easy to interpret with short-term data set. Second, biasness in the interpretation may arise based on the extent of effort and capacity of COVID-19 cases diagnosis.

Future outlook of WBE can have several research and application directions such as: i) continuum data on SARS-CoV-2 RNA should be obtained that can be key for future, ii) not only wastewater but treated effluents and ambient waters should also be monitored; ii) temporal variability in WBE data along with epidemiological information of the community should be made available for future comparison and predictions; iii) removal efficacy of WWTPs should not be taken for granted and virus RNA decay or accumulation perspective should be taken into account; iv) infectivity through viable virus estimation in the ambient and reclaimed waters is imperative; and iv) WBE can help to understand the efficacy of COVID-19 vaccine.

Notes

The authors declare no competing financial interest.

Credit author statement

Manish Kumar: Conceptualization, Visualization, Writing – original draft, Data curation, Interpretation, Revision & Response, Editing and Supervision, Madhvi Joshi: Data curation, Interpretation, Editing and Supervision. Arbind K Patel: Sampling, Analyses, Data curation, Chaitanya G Joshi: Guidance, and Supervision.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at [https://doi.](https://doi.org/10.1016/j.envres.2021.110946) [org/10.1016/j.envres.2021.110946.](https://doi.org/10.1016/j.envres.2021.110946)

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