



INTERMEDIUM-M encodes an HvAP2L-H5 ortholog and is required for inflorescence indeterminacy and spikelet determinacy in barley

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Inflorescence architecture dictates the number of flowers and, ultimately, seeds. The architectural discrepancies between two related cereals, barley and wheat, are controlled by differences in determinacy of inflorescence and spikelet meristems. Here, we characterize two allelic series of mutations named *intermedium-m* (*int-m*) and *double seed1* (*dub1*) that convert barley indeterminate inflorescences into wheat-like determinate inflorescences bearing a multifloreted terminal spikelet and spikelets with additional florets. *INT-M/DUB1* encodes an APETALA2-like transcription factor (HvAP2L-H5) that suppresses ectopic and precocious spikelet initiation signals and maintains meristem activity. HvAP2L-H5 inhibits the identity shift of an inflorescence meristem (IM) to a terminal spikelet meristem (TSM) in barley. Null mutations in *AP2L-5* lead to fewer spikelets per inflorescence but extra florets per spikelet. In wheat, prolonged and elevated *AP2L-A5* activity in *rAP2L-A5* mutants delays but does not suppress the IM–TSM transition. We hypothesize that the regulation of *AP2L-5* orthologs and downstream genes contributes to the different inflorescence determinacy in barley and wheat. We show that *AP2L-5* proteins are evolutionarily conserved in grasses, promote IM activity, and restrict floret number per spikelet. This study provides insights into the regulation of spikelet and floret number, and hence grain yield in barley and wheat.

barley and wheat | inflorescence determinacy | APETALA2/Q | MAD51 | MAD53/58

Inflorescence architecture controls flower and hence seed production and is largely defined by the fates of inflorescence shoot apical meristems (SAMs) (1–3). Inflorescence meristems (IMs) are either indeterminate if they remain undifferentiated reiteratively forming lateral primordia on their flanks or determinate if they differentiate producing a fixed number of lateral organs. Typically, the IM is determinate if it develops into a floral meristem (FM) and forms a terminal flower (3). Grass IMs produce unique structures called spikelets as basic inflorescence units and become determinate if the IM transitions to a terminal spikelet meristem (TSM) (3, 4). The spikelet meristem (SM) itself, unlike the determinate FM, may be indeterminate or determinate, producing a variable or defined number of flowers (i.e., florets), respectively (3, 5).

In eudicot snapdragon (*Antirrhinum majus*) *centroradialis* (*cen*) and *Arabidopsis* (*Arabidopsis thaliana*) *terminal flower1* (*tf1*) mutants, the inflorescences form a terminal flower (1, 6). In rice (*Oryza sativa*) and barley (*Hordeum vulgare*), *cen/tf1* mutants also show increased IM determinacy and thereby a reduced number of lateral branches/spikelets but not the formation of a terminal spikelet (TS) (7, 8). In snapdragon and *Arabidopsis cen/ tf1* mutants with a terminal flower, *FLORICAULA/LEAFY* (*FLO/LFY*) is ectopically expressed in the apical domes of the inflorescences (1, 6). By contrast, loss-of-function mutations of

rice *LFY* (*RFL*; aka *ABERRANT PANICLE ORGANIZATION2*, *APO2*) convert an indeterminate IM to a TSM (9, 10). *APO1*, the rice ortholog of *Arabidopsis UNUSUAL FLORAL ORGANS*, functions synergistically with *RFL/APO2* to promote IM identity and spiral inflorescence phyllotaxy (10–12). Hence, the genetic control of inflorescence architecture and the formation of a terminal flower/spikelet differs between eudicots and grasses (3, 13).

Spikelet determinacy is controlled by microRNA172 (miR172)-targeted APETALA2-like (AP2L) transcription factors. In maize (*Zea mays*), *INDETERMINATE SPIKELET1* (*IDS1*) and the *Sister of IDS1* (*SID1*) promote SM determinacy. Null mutations in *ids1* or *ids1 sid1* mutants result in the production of additional lateral sterile bracts per spikelet (14–16). Their sister genes *Q* (*rAP2L-A5*, resistance to miR172 cleavage) in tetraploid/hexaploid wheat (*Triticum* spp.) and rice *OsIDS1* and *SUPERNUMERARY BRACT* (*SNB/OsSID1*) are conserved in regulating SM determinacy (17–20). In addition, proteins of *IDS1/SID1* orthologs also influence IM determinacy, and a reduction of their activity results in fewer lateral spikelets in wheat, and decreased primary branches but not the IM–TSM shift in maize and rice (15–21). Similarly, *Arabidopsis* AP2 maintains meristem activity and indeterminacy of the SAM/IM. Indeed, AP2 directly inhibits multiple

Significance

Meristem determinacy/indeterminacy influences flower number and seed production in crops. Two closely related cool-season cereals, barley and wheat, produce variable and defined numbers of spikelets in their inflorescences, respectively. In this study, we identify a series of allelic barley mutants named *intermedium-m* and *double seed1* that develop wheat-like determinate inflorescences producing a terminal spikelet and a reduced number of spikelets. *INT-M/DUB1* is an APETALA2-like transcription factor that promotes an active inflorescence meristem via suppression of spikelet initiation and the maintenance of meristem identity. Our work has identified key regulators that may prolong meristem activities and could be genetically engineered in barley, wheat, and other cereals to improve grain yield.

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flowering time and floral organ identity genes, including *SUPPRESSOR OF OVEREXPRESSION OF CONSTANS1* (*SOC1*), *APETALA1* (*AP1*), *FRUITFUL* (*FUL*), *SEPALLATA3* (*SEP3*), and *AGAMOUS* (*AG*) and indirectly promotes *TFL1* (22–25). Furthermore, *AG* represses *WUSCHEL* (*WUS*) and stem cell maintenance in *Arabidopsis* FM (26). AP2 also likely regulates the stem cell niche in *Arabidopsis* SAM through mechanisms independent of *AG*, but via modifying the *WUS-CLAVATA3* (*WUS-CLV3*) negative feedback circuit (23). However, it remains poorly known whether and how IM and SM determinacy are regulated by meristem identity and maintenance genes in grass inflorescences.

The closely related temperate cereals barley and wheat share unbranched inflorescences (i.e., spikes) but are characterized by different determinacy of spikes and spikelets. Barley has an

indeterminate IM and single-flowered spikelets, whereas wheat develops a determinate IM, but indeterminate multifloreted spikelets (5). It is not known which genes control IM indeterminacy and SM determinacy in barley and differences in IM/SM determinacy between barley and wheat. Here, we describe two allelic barley mutant groups *int-m* and *dub1* that produce a TS and additional florets per spikelet. We determine that *INT-M/DUB1* encodes an AP2L transcription factor (HvAP2L-H5) that is orthologous to maize *IDS1* and wheat *Q*. We show that HvAP2L-H5 promotes IM indeterminacy by suppressing ectopic differentiation cues and maintaining IM activity in barley. Furthermore, we argue that regulation of *AP2L-5* orthologs and floral organ identity genes has contributed to the evolution of differences in IM determinacy between barley and wheat.

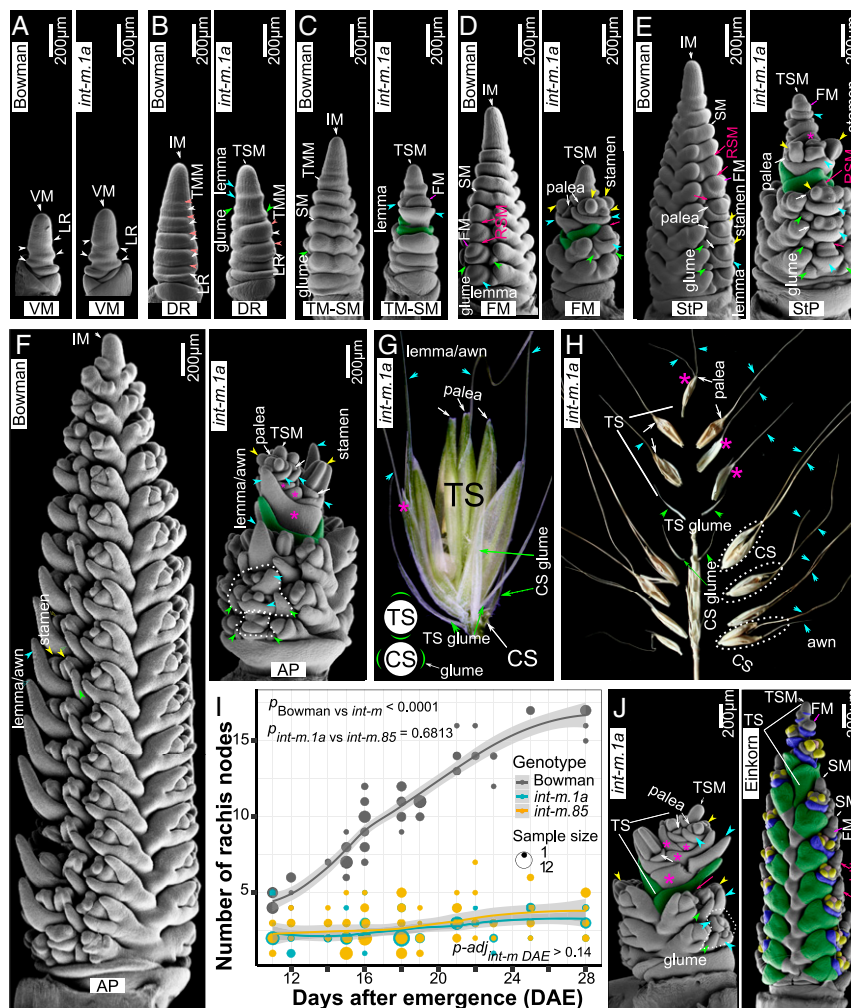


Fig. 1. The *int-m* mutants produce a multifloreted TS and spikelets with extra florets. (A–F) Developing shoot apices of the wild-type Bowman and the NIL mutant *BW430* (*int-m.1a***BC5*). No differences are found between Bowman and *int-m* at the VM stage (A). Sterile leaf ridges (LRs) without subtended spikelet ridges (SPRs, aka TMMs in barley) emerge at the DR stage (B) in *int-m*, marking the initiation of a TSM. The paired sterile bracts (i.e., glumes; green shaded) subtending a TS become prominent at the TM-SM (C), floret meristem (FM) (D), StP (E), and awn primordium (AP) (F) stages. (G) A multifloreted TS (i.e., multiple pairs of lemmas and paleae per spikelet) in *int-m* shows that the plane of TS glumes is perpendicular to that of central spikelets (CS) glumes (depicted in *Inset*). (D–F and H) RSMs are desuppressed in *int-m*, which may lead to the formation of additional florets in some CSs (circled in H). (I) The *int-m* mutants produce fewer and a defined number of spikelets. Analysis of covariance is used to compare the predicted regression lines of rachis nodes vs. days after emergence (DAE) of Bowman, *int-m.1a*, and *int-m.85* ($n = 340$). No significant difference ($P = 0.6813$) is found between *int-m.1a* and *int-m.85* mutants, while the number of rachis nodes in Bowman is significantly higher than that of each *int-m* mutant ($P < 0.0001$). Tukey test finds nonsignificant differences in the number of rachis nodes (adjusted P values for multiple comparisons $p\text{-adj} > 0.14$) between successive time points over development in *int-m* mutants. (J) The *int-m.1a* mutants form a determinate IM and an indeterminate SM. These *int-m* morphologies resemble a determinate IM and multifloreted spikelets in wheat. Floral organs are overlaid with pseudocolors: green glumes, yellow stamens, pink pistils, and blue lemmas. Pink asterisks mark lemma-like sterile bracts forming elongated awns within the TS.

Results and Discussion

***int-m/dub1* Mutants Produce a Multifloreted Terminal Spikelet and Multifloreted Spikelets.** To reveal the genetic basis of meristem determinacy, we characterized two groups of induced barley mutants named *intermedium-m* (*int-m.85* and *int-m.1a*) and *double seed1* (*dub1*) (*SI Appendix, Table S1*). The *int-m* mutants were originally described as spike row-type mutants, forming an intermediate type between a two- and six-rowed spike (27). These *int-m* mutants were backcrossed to the wild-type Bowman to obtain near-isogenic lines (NILs) (*BW429, int-m.85*BC7* and *BW430, int-m.1a*BC5*) (28). The *dub1* mutants produce an additional grain per spikelet and a “fasciated” inflorescence tip (27).

The *int-m* mutants produce two or more peculiar sterile bracts (i.e., without subtended axillary meristems) (Fig. 1 *B–F* and *SI Appendix, Fig. S1*) in the upper part of the inflorescence where multiple “spikelets” were thought to be “fused” (27). However, these abnormal “spikelets” are, in fact, florets, since they are neither flanked by two lateral spikelets, typical for the spikelet triplets (i.e., one central spikelet flanked by two lateral spikelets at each rachis node) in barley, nor subtended by two glumes, a diagnostic feature of grass spikelets (29) (Fig. 1 *C–H* and *SI Appendix, Fig. S1*). In addition, the two basal sterile bracts are morphologically similar to glumes of central spikelets that are less elongated and form very short awns at the distal ends (Fig. 1 *C–H* and *SI Appendix, Fig. S1*). The upper nonbasal sterile bracts expand laterally and produce awns that elongate extensively at the distal tips and are phenotypically lemma-like but lack axillary FM in the axils (Fig. 1 and *SI Appendix, Fig. S1*). The distinct identities of lemmas and glumes may be diagnosed by *MADS1/LEAFY HULL STERILE1 (LHS1)* that is only transcribed in lemmas/paleae but not in glumes in grasses (30, 31). *HvMADS1* is expressed in the emerging bract-like structures in the upper part of the inflorescences except the two basal subtending bracts, indicating their lemma and glume identities, respectively (*SI Appendix, Fig. S1*). Therefore, these features suggest the formation of a TS that is subtended by two glumes and bears multiple florets. The paired TS glumes in *int-m* NIL initiate early upon the vegetative-to-reproductive phase transition (vegetative meristem [VM]–IM) as indicated by the formation of

double ridges (DR) (Fig. 1 *A* and *B*). These TS glumes are oriented in the perpendicular plane to the glumes of central spikelets (Fig. 1 *G* and *SI Appendix, Fig. S1*). As expected, *int-m* inflorescences exhibit characteristic features of apical termination, where inflorescences are shortened (*SI Appendix, Fig. S1*) and the final spikelet number is defined early in development (Fig. 1 *I*).

In the wild-type Bowman, a single floret initiates in the abaxial side of each sessile central spikelet and the SM is suppressed as a residual SM (RSM) (15) in the adaxial region (Fig. 1 *D* and *E* and *SI Appendix, Fig. S1*). The RSM appears to be desuppressed in both *int-m* NILs, leading to the formation of additional fertile or sterile florets in some central spikelets (Fig. 1 *F* and *H* and *SI Appendix, Fig. S1*). Therefore, INT-M promotes SM determinacy and thus restricts the floret number per spikelet.

Like *int-m*, *dub1* mutants produce a TS and extra florets in some spikelets (*SI Appendix, Fig. S2*). We further demonstrate that *dub1* mutants are allelic to *int-m* mutants. Specifically, the F1 hybrids (five plants) and two F2 populations (68 individuals) of the *int-m.85* × *dub1.3* crosses all formed TS (*SI Appendix, Fig. S2*). Therefore, the INT-M/DUB1 locus promotes IM indeterminacy and SM determinacy, leading to a variable spikelet number per inflorescence and a fixed number of florets per spikelet in barley. The *int-m/dub1* inflorescence morphologies resemble those in wheat having a determinate IM, multifloreted spikelets, and opposite orientation between TS and central/lateral spikelets on the rachis (Fig. 1 *J* and *SI Appendix, Fig. S1*).

INT-M/DUB1 Encodes an APETALA2-Like (*HvAP2L-5H*) Transcription Factor.

The *int-m* phenotype is caused by a single recessive locus (32), verified by segregation analysis in an *int-m.85* × Proctor cross (mutant/wild type = 46/162, $\chi^2_{(1,3)}$, *df* = 1 = 0.92, *P* = 0.337). To identify the causal gene, we applied RNA sequencing (RNA-seq) using developing inflorescences of the NILs (*int-m.1a* and *int-m.85*) and wild-type cultivars Bowman and Bonus. We identified a single overlapping introgression region in an interval between 639 and 650 Mb on the chromosome 5H in the allelic *int-m* mutants (Fig. 2 *A* and *SI Appendix, Figs. S3–S5*). Variant comparison between *int-m* and wild types found only one candidate gene (*HORVU5Hr1G112440*) harboring mutant-specific functional mutations (*SI Appendix, Figs.*

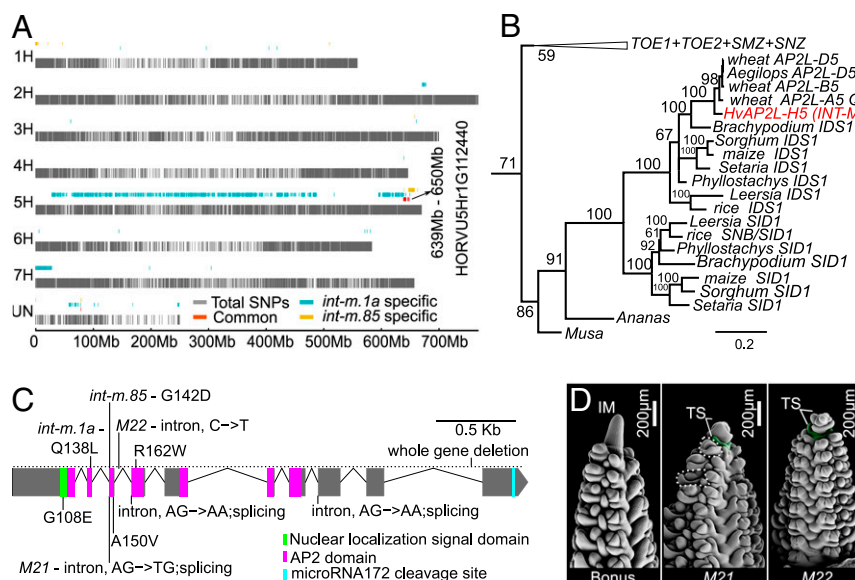


Fig. 2. *INT-M* encodes an APETALA2 transcription factor. (A) RNA-seq revealed a ~10-Mb overlapping introgression region of the allelic *int-m.1a* and *int-m.85*. Functional annotation of variants within this introgression region identified a barley ortholog (*HvAP2L-5H*) of maize *INDETERMINATE SPIKELET1 (IDS1)* and wheat *AP2L-A5* as the candidate gene. (B) Maximum likelihood phylogenetic tree of *INT-M/IDS1* genes; bootstrap values of >50 are shown above branches. (C) *INT-M* gene model with position of mutations in 24 allelic mutants termed *dub1* (*SI Appendix, Table S1*). (D) Two exemplary allelic *dub1* mutants (*M21* and *M22* in Bonus background) produce determinate inflorescences and desuppressed RSMs (circled by dashed lines) in *M21*.

S3–S5 and Dataset S1). The candidate gene (*HvAP2L-H5*) is orthologous to maize *IDS1*, rice *OsIDS1*, and wheat *Q* genes encoding an AP2L transcription factor (Fig. 2B). We confirmed that *HvAP2L-H5* cosegregates with the mutant phenotype in an F3 population (158 plants) derived from an *int-m.85* × Proctor cross (SI Appendix, Fig. S6). In addition, resequencing of *HvAP2L-H5* in 24 independent allelic *dub1* mutants found nine distinct types of mutations primarily in the first AP2 domain, including four non-synonymous mutations, three substitutions in splicing sites, one substitution in the third intron, and a whole-gene deletion identified in one mutant (Fig. 2C and SI Appendix, Table S1). As in *int-m* plants, all *dub1* mutants produce a determinate IM with some central spikelets producing desuppressed RSMs and extra florets in spikelets (27) (Fig. 2D and SI Appendix, Figs. S2 and S7).

HvAP2L-H5 Inhibits the IM–TSM Identity Shift by Repressing Organ Differentiation and Maintaining Meristem Activity in Barley. To unravel how *HvAP2L-H5* regulates IM indeterminacy and SM determinacy, we profiled stage- and mutant-specific transcriptomic changes in developing inflorescences using the two *int-m* NIL mutants and Bowman. We concentrated our analyses on genes that were changed in the same direction in the two NIL mutants, to minimize the effects of background mutations. To identify changes primarily due to early *HvAP2L-H5* activity and putatively linked to TSM formation in *int-m* mutants, we focused our analyses on two early stages of IM and SM formation, the DR stage (Fig. 1B) and the triple-mound SM (TM-SM) stage (Fig. 1C). A total of 312 genes were significantly changed between Bowman and the two *int-m* mutants during the early reproductive stages, with 136 genes at DR and 238 genes at TM-SM (Dataset S2). These misregulated genes are enriched in categories of signal transduction, reproductive structure development, and cell differentiation, including floral homeotic genes (e.g., *MADS1*, *MADS3*, and *MADS58*), meristem maintenance (e.g., *WUS-CLV3*, *KNOTTED1* [*KN1*]), and phytohormone-related genes (e.g., cytokinins [CK], auxins) genes (Fig. 3A and SI Appendix, Fig. S8). To further identify changes that correlate with *int-m* morphologies, we used in situ hybridization to examine the temporal and spatial expression patterns of the misregulated genes involved in organ differentiation, IM identity, and maintenance.

INT-M suppresses precocious and ectopic spikelet specification signals in the IM and promotes IM identity. In Bowman, expression of *AGAMOUS* (*AG*)-like genes (*HvMADS3* and *HvMADS58*, orthologous to rice *OsMADS3* and *OsMADS58*, respectively) and *HvAP2L-H5* are mutually exclusive. *HvMADS3* and *HvMADS58* are localized in stamens and pistils, while *HvAP2L-H5* is restricted to lemmas, paleae, and RSMs (SI Appendix, Fig. S9). *HvMADS3* and *HvMADS58* are barely detectable before the TM-SM stage in Bowman, but significantly elevated in *int-m* (Fig. 3A and B and SI Appendix, Fig. S9). Similar to precocious and ectopic expression of *AG* in *Arabidopsis ap2* null mutants (22), *HvMADS3* and *HvMADS58* in *int-m* mutants are precociously and ectopically transcribed in meristems during VM, VM-DR, and TM-SM stages where TSM and triple-mound meristems (TMMs) subsequently emerge (Fig. 3B and SI Appendix, Fig. S9). *HvMADS1*, a *SEP*-like gene and rice *OsMADS1/LHS1* ortholog, colocalizes with *HvAP2L-H5* in SMs and subsequently lemmas, paleae, and RSMs, marking the SM initiation and lemma/palea identity (Fig. 3B and SI Appendix, Fig. S9). Indeed, *AP2L-5/OsIDS1* and *MADS1* both specify lemma/palea identity, regulating the interconversion of glume and lemma in wheat and rice (17–19, 21, 30, 33). *HvMADS1* transcripts only become detectable in SMs after SM initiation but not in IMs or TMMs, whereas *HvAP2L-H5* is widely transcribed in IMs, TMMs, and SMs before and during the TM-SM stage in Bowman (Fig. 3B and SI Appendix, Fig. S9). In *int-m*, ectopic and precocious *HvMADS1* expression is found in the upper part of

the inflorescence at DR and TM-SM stages, coinciding with the position and timing of TS initiation (Fig. 3B and SI Appendix, Fig. S9). Additionally, in Bowman *HvMADS1* expression becomes detectable in IMs later during the stamen primordium (StP) stage (SI Appendix, Fig. S9), consistent with the IM degeneration (5) and predicted decreased activity of *HvAP2L-H5* in IMs as plants get older (34). Moreover, expression levels of *HvMADS1*, a molecular marker for spikelet initiation, in whole inflorescence tissue of *int-m* mutants are significantly lower than in the wild-type Bowman after the TM-SM stage (Fig. 3A), which correlates with a reduced spikelet number in *int-m* mutants compared to Bowman (Fig. 1I; TM-SM is equivalent to DAE16). These observations collectively indicate that *HvAP2L-H5* represses the precocious and ectopic expression of *HvMADS1* in IM during early inflorescence development, while *HvAP2L-H5* and *HvMADS1* contribute to the SM initiation and lemma/palea identity specification during spikelet differentiation. In *Arabidopsis*, AP2 directly suppresses the expression of *AG* and *SEP3* (25), and *AG* exhibits precocious and ectopic expression in *ap2* null mutants (22–25). In addition, in *Arabidopsis*, *AG* represses *WUS* expression and is required for floral determinacy (26). Likewise, *HvAP2L-H5* may inhibit the ectopic/precocious expression of *HvMADS1* and *HvMADS3/HvMADS58* genes during early spike development, thereby repressing SM initiation in the spike tip and maintaining an undifferentiated IM in barley.

In *int-m* mutants, genes putatively promoting VM–IM transition (i.e., IM specification) and inflorescence differentiation, including *HvLFY* and *HvMADS15/HvFUL2*, and *HvMADS34*, are down-regulated (Fig. 3A and SI Appendix, Fig. S10). Their sister paralogs/cofactors, including *HvAPO1* and two *API/FUL*-like genes (*HvMADS14/HvVRN1* and *HvMADS18/HvFUL3*) that are also critical in IM initiation and differentiation (10, 12, 35, 36), are not significantly altered in *int-m* mutants (Fig. 3A, SI Appendix, Fig. S10A, and Dataset S2). In barley, *HvAPO1* transcripts are restricted to VM/IM/TSM and, subsequently, FM, but are not expressed in TMM or SM (SI Appendix, Fig. S10). In *int-m* mutants, *API/FUL*-like and *HvMADS34* genes exhibit broad expression in VM, IM, TMM, SM, FM, and floral organs over development, supporting their pleiotropic roles in IM initiation and subsequent spikelet and floret development (SI Appendix, Fig. S10). Null mutations in the rice *LFY* ortholog lead to a decreased number of primary branches and the formation of a TS in the inflorescences as observed in *int-m* mutants (Fig. 1I) (9, 10). INT-M might therefore promote IM activity by up-regulating *HvLFY* expression in the IM. Furthermore, the *MADS*-box genes may promote IM determinacy directly via inhibiting IM activity, as *Arabidopsis* *FUL* directly represses *AP2* and hence *WUS* expression to promote meristem arrest (34). However, *LFY*, *MADS34*, and *FUL2* are broadly transcribed in SM, FM, and floral organs (SI Appendix, Fig. S10). Consequently, the reduction in transcript levels observed in transcriptome analyses of the whole inflorescences may reflect the decreased number of rachis nodes and SMs in *int-m* compared to Bowman (Fig. 1I). Taken together, because of their pleiotropic effects on inflorescence development, it remains unclear whether *HvLFY*, *HvAPI/HvFUL*-like, or *HvMADS34* contribute to TS formation in *int-m* mutants.

INT-M also influences genes involved in cell proliferation and differentiation. Particularly, transcript levels of several putative stem cell-promoting factors were dampened in *int-m*, including three *WUS*-related genes (e.g., *WUS*, *WOX2*, and *WOX7*), *KN1*, *KNOX8*, a CK-activating enzyme (*LONELY GUY* [*LOG*]), a type-A response regulator (*ABERRANT PHYLLLOTAXY1*), a gibberellin degradation gene *GA2OX* and genes impacting polarized auxin gradients (*PIN1* and *PINOID*) (37–40) (Fig. 3A, SI Appendix, Fig. S11, and Dataset S2). Consistently, signals promoting cell differentiation were elevated in *int-m* (Fig. 3A), including several *Leucine-Rich Repeat-like Kinases* (*LRR-RLK*,

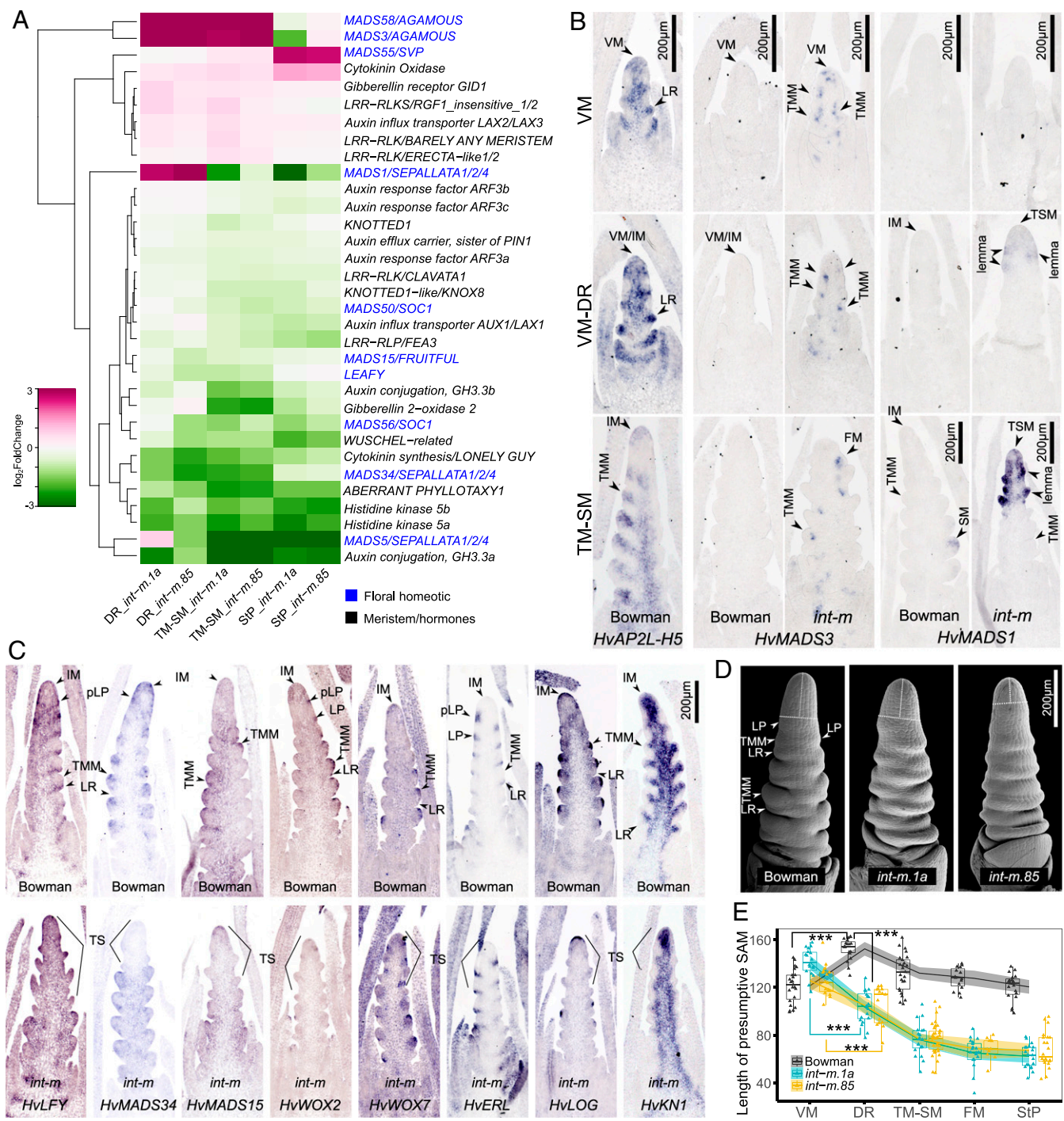


Fig. 3. INT-M suppresses organ differentiation and maintains meristem activity. (A) Genes differentially expressed between *int-m* mutants and Bowman before floral organ differentiation (DR and TM-SM) are enriched in activities associated with floral development, phytohormone, and meristem maintenance. These selected genes are plotted at the DR, TM-SM, and SLP stages. Green and purple indicate genes down- and up-regulated in the mutant relative to the wild-type Bowman. (B) *HvMADS3* and *HvMADS1* are precociously and ectopically expressed in the *int-m* mutant prior to or concurrent with the TS initiation. (C) Expression patterns of putative IM identity and meristem genes. Specifically, *HvLFY*, *HvMADS34*, *HvWOX2*, and *HvWOX7* are localized in incipient lateral primordia (pLP) in IM and TMM. *HvLFY*, *HvERL*, and *HvWOX2* are found in bracts subtending the TMM. *HvMADS15* is expressed in IM, TMM, and SM. *HvLOG* is confined in the L1 epidermal cells of IM and TMM, while *HvKN1* is restricted to non-L1 epidermal IM and TMM cells. However, no obvious temporal or spatial changes in the expression of these genes are found to correlate with TS formation in *int-m* mutants. (D and E) A strong reduction of SAM length in *int-m* coincides with the shift of an IM to a TSM at the DR stage. Significant differences (***) $P < 0.001$ are found between VM and DR stages within each genotype using Tukey test, and between the wild-type Bowman and *int-m* mutants using the Dunnett's test. Each triangle in the plot represents a measurement of a biological replicate.

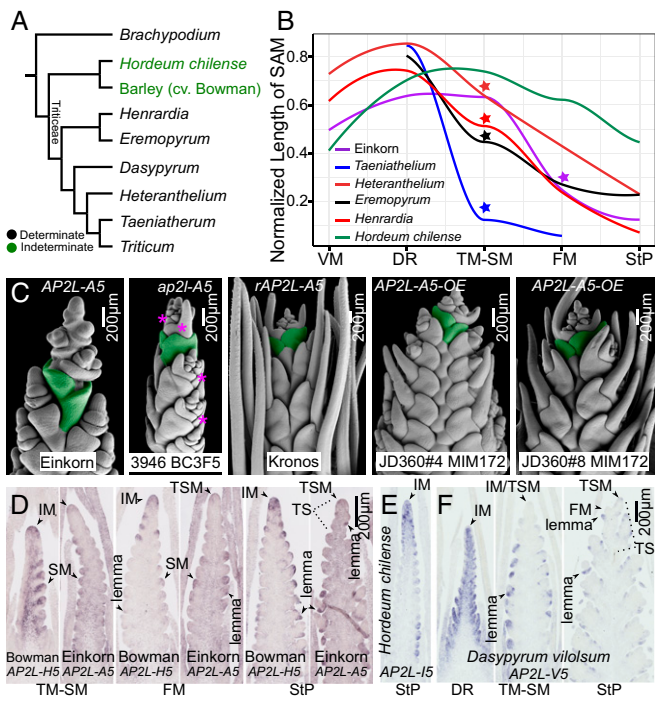


Fig. 4. INT-M likely contributes to divergence of inflorescence indeterminacy/determinacy in barley and wheat. (A) Unlike *Hordeum*, most Triticeae species have determinate IMs. *Brachypodium distachyon* is used as the out-group. (B) SAM length gradually decreases over development in *H. chilense*, but sharply declines in several Triticeae species at TM-SM or FM stages (stars) that coincide with the transitions of an IM to a TSM. The exact timing of this transition varies between species, at TM-SM stage in four examined Triticeae species and at FM stage in Einkorn. The reduction in each species is statistically significant ($P < 0.05$), except in *Heterantherium* using the Tukey test over development in each species; however, only one measurement of TM-SM stage is recorded for *Heterantherium* (out of a total of 30 measurements for all stages). It should be noted that the meristem length is also reduced in *H. chilense* as the apical meristem is consumed to produce lateral organs, but the magnitude/rate is relatively lower (a more flattened curve) (*SI Appendix, Table S4*). Replicate number for each stage of each species is listed in *SI Appendix, Table S4*. (C) The cultivated tetraploid wheat Kronos harboring mutations in miR172 target site (*rAP2L-A5*) and two independent MIM172 transgenic lines have prolonged and elevated expression of *TtAP2L-A5* (19) and form the wild-type determinate inflorescences. The null mutation *ap2l-A5* leads to production of sterile lemmas as *int-m* mutants. (D–F) *AP2L-5* orthologs in selected Triticeae species are localized in IM, SM, and lemma over development. Transcripts of *AP2L-5* orthologs in *Hordeum* species (D and E) persist broadly in IM at FM and/or StP stages, while *TmAP2L-A5* (D) and *DvAP2L-V5* (F) are more localized in the SAM.

BARELY ANY MERISTEM [BAM], *ERECTA-LIKE [ERL]*, *RGF1-insensitive 1*, a gibberellin receptor (*GID1*) and a *Cytokinin Oxidase (CKX)* (40–42). *HvAP2L-H5*, *HvWOX2*, *HvWOX7*, *HvERL*, *HvLOG*, and *HvKN1* are transcribed in different domains of the IM in Bowman (Fig. 3C). Specifically, *HvERL*, *HvWOX2*, and *HvWOX7* are localized in emerging lateral primordia in IM. *HvLOG* is restricted to the L1 epidermal cells of IM, whereas *HvKN1* is found in non-L1 epidermal cells of IM (Fig. 3C). However, we did not find obvious spatial or temporal changes in the expression of these genes in SAM prior to or at the TSM initiation in *int-m* mutants, suggesting that *HvAP2L-H5* only influences their expression levels (Fig. 3 and *SI Appendix, Fig. S11*). In *Arabidopsis*, a suite of distinct LRR-RLK receptors function redundantly to buffer stem cell proliferation (42, 43). For instance, BAM receptors compensate for altered CLV1 activity and restrict stem cell proliferation (42), while elevated ERL receptors repress *WUS* expression (44). Indeed, *Arabidopsis*

AP2 maintains stem cells in the SAM likely via regulation of the *WUS-CLV3* loop (23). We, therefore, examined whether expression changes in the meristem genes correlate with differences in meristem maintenance between Bowman and *int-m*. To determine whether the maintenance of the SAM is altered in *int-m*, we quantified the length and width of the presumptive SAM (i.e., apices above the latest visible lateral primordium) (Fig. 3D) across development. The length of SAM in *int-m* is greatly decreased at DR stage, concurrent with the shift of SAM identity from IM to TSM (Fig. 3E and *SI Appendix, Fig. S11* and Table S2). In contrast, SAM length in Bowman gradually diminishes over development (Fig. 3E). Therefore, *HvAP2L-H5* inhibits the IM–TSM shift likely by suppressing spikelet initiation cues and maintaining an active IM.

AP2L-5 Proteins Have an Ancestral and Conserved Role in Prolonging IM Activity in Grasses. Phylogenetic analysis inferred that indeterminate inflorescences are derived in *Hordeum*, with determinate inflorescences being ancestral in Triticeae (Fig. 4A and *SI Appendix, Fig. S12*). To investigate the similarities and differences in indeterminate and determinate inflorescences, we compared SAM size changes over development within several Triticeae species. As the spikelet number is fixed after the IM–TSM transition, we estimated the stage of IM–TSM transition when the spikelet number became fixed in each species (*SI Appendix, Fig. S12*). As in *int-m* mutants and different from *Hordeum* species, the length of SAM sharply decreases at the IM–TSM transition in each of these Triticeae species (Fig. 4B and *SI Appendix, Fig. S12*). The exact timing of IM–TSM transitions differs among Triticeae species and *int-m/dub1* mutants. In *int-m/dub1* mutants, IM–TSM transition varies in different mutants/conditions/backgrounds, occurring extremely early at DR upon the VM–IM phase change or late after the StP stage (Fig. 1 and *SI Appendix, Figs. S1, S2, and S7*). By contrast, IM–TSM identity shifts occur at TM-SM stage in most examined Triticeae species and at FM stage in Einkorn (*Triticum monococcum*) carrying a wild-type *AP2L-A5* gene. Wheat *ap2l-A5* null mutations lead to early IM–TSM transition and a reduced spikelet number per spike, while prolonged and elevated levels of *AP2L-A5* delay IM–TSM transition and thus increase spikelet number per spike (19–21). Furthermore, maize *ids1 sid1* and rice *osids1 snb* double mutants produce fewer primary branches, whereas increased *AP2L-5* activities in mimicry of miR172 (MIM172) or miR172-resistant mutants result in more primary branches in rice panicles and maize tassels (16, 18, 45). These observations collectively indicate an ancestral and conserved function of *AP2L-5* in grasses in maintaining IM activity. Compared with wheat *AP2L-A5*, barley *HvAP2L-H5* exhibits a stronger effect and completely inhibits the IM–TSM identity shift where *int-m/dub1* null mutations revert the derived indeterminate inflorescences to the ancestral determinate state (Figs. 1 and 4).

The evolution of this stronger effect of *HvAP2L-H5* in IM maintenance in barley may be achieved via *trans* (protein)- and/or *cis*-regulatory changes of *HvAP2L-H5* itself, and/or changes in the *HvAP2L-H5*-mediated genetic regulatory network. We examined protein sequences, expression levels, and domains of *AP2L-5* orthologs and the expression patterns of putative downstream genes of *AP2L-5* inferred from the *int-m* mutant analysis. We identified 21 barley-specific amino acids by comparing protein sequences of *AP2L-5* orthologs from *Triticum urartu* A, *Aegilops tauschii* D, and wheatgrass (*Thinopyrum elongatum*) E genomes. These changes in barley *HvAP2L-H5* are predicted to be functionally neutral, but might still contribute to its enhanced effects on IM activity (*SI Appendix, Table S3*). Furthermore, prolonged and elevated levels of the functional homeolog *AP2L-A5* via a mutation in miR172 target site (*Q*) in cultivated wheat or MIM172 delay IM–TSM transition and

increase spikelet number per spike (19–21), but do not promote the formation of a barley-like indeterminate IM (Fig. 4C). This observation thus suggests that changes in the transcript levels of *AP2L-5* alone are not sufficient for the formation of an indeterminate IM. However, expression domains of *AP2L-5* appear to be different between Triticeae species with determinate inflorescences and *Hordeum* species carrying derived indeterminate inflorescences. Like *HvAP2L-H5*, Einkorn *TmAP2L-A5*, *Dasyphyrum villosum* *DvAP2L-V5*, and *Hordeum chilense* *HcAP2L-I5* show conserved expression in IM, SM, and lemmas (Fig. 4 D–F). However, *AP2L-5* transcripts in Bowman and *H. chilense* are more broadly localized in IM than those in Einkorn (*TmAP2L-A5*) or *D. villosum* (*DvAP2L-V5*) (Fig. 4 D–F and *SI Appendix*, Fig. S13). Furthermore, *HvAP2L-H5* is inversely oriented in the genome compared to its orthologs in species with TS, such as wheat species and wheatgrass (46) (*SI Appendix*, Fig. S14). *HvAP2L-H5* might have distinct regulatory elements compared to the functional *TaAP2L-A5* in hexaploid wheat indicated by putative accessible chromatin regions (47, 48) (*SI Appendix*, Fig. S14). Interestingly, two *AP2L-5* paralogs (*IDS1/SID1*), from a gene duplication early in grass family, are retained and functionally redundant in IM maintenance in maize and rice, while the *SID1* paralogs were lost in Triticeae genomes (Fig. 2B and *SI Appendix*, Fig. S15) (16, 18). Within the Triticeae, barley *HvAP2L-H5* underwent a genomic inversion and evolved an increased effect from its ancestral function in promoting IM activity to causing an indeterminate inflorescence. Therefore, our results indicate that differences in the expression domain of *HvAP2L-H5* might cause its different effects on the barley spike compared to the other Triticeae. However, we cannot rule out that differences in the protein sequences, expression level, and downstream targets or interactors of *HvAP2L-H5* might contribute to the formation of an indeterminate spike in barley.

In line with an enhanced effect of *HvAP2L-H5* on IM activity, putative downstream genes of *HvAP2L-H5* might likewise exhibit distinct expression patterns in barley compared to their orthologs in TS-carrying Triticeae species. We then tested this hypothesis and examined the expression of three most differentially up-regulated genes identified from *int-m* mutant analysis in selected TS-carrying Triticeae species. As expected, distinct from *HvMADS1* in Bowman, Einkorn *TmMADS1* and *D. villosum* *DvMADS1* exhibit similar expression patterns to *HvMADS1* in *int-m* mutants and are transcribed in the upper part of the inflorescence where the TSM emerges and differentiates (*SI Appendix*, Fig. S16). Similar to early expression of *HvMADS3* in *int-m* but not in Bowman, *MADS3* in Einkorn and bread wheat (subgenome A homeolog) is precociously transcribed at DR stage (*SI Appendix*, Fig. S17). *TmMADS3* is localized in spikelet ridges at DR and SMs at SM stage before the TSM initiation (*SI Appendix*, Fig. S17). These observations suggest that the suppression of precocious spikelet initiation/differentiation cues (e.g., *MADS1* and *MADS3*) in the IM is important for the maintenance of indeterminate inflorescences in barley, as observed in *Arabidopsis ap2* or *tfl1* null mutants. Likewise, genes involved in meristem activity (Fig. 3), such as *LFY* (9–12), *LOG* (41), and *WOX*-like genes (44), might also play critical roles for the evolution of inflorescence indeterminacy, which would be interesting to investigate in the future.

AP2L-5 Transcription Factors Repress the Lateral Organ Initiation in Spikelets of Grasses. While *int-m* mutants have a reduced number of rachis nodes in spikes, they produce additional lateral sterile bracts and, occasionally, extra fertile florets in central spikelets (*SI Appendix*, Fig. S1). Similar to *int-m*, wheat *ap2l-A5* null mutants have an increased floret number per spikelet (19–21), and maize *ids1 sid1* and rice *osids1 snb* mutants produce extra sterile bracts per spikelet (15–18).

Regarding the contrasting effects of *AP2L-5* on spike and spikelet determinacy, *AP2L-5* orthologs, including *IDS1* and the sister paralog *SID1* clade, are suggested to inhibit differentiation in both spikes and spikelets (Figs. 1 and 3) (14–21). In spikes, *AP2L-5* orthologs suppress IM differentiation and hence prolong IM activity and promote initiation of additional lateral branches/spikelets. Differently, in spikelets, *AP2L-5* proteins influence the determinacy but not identity of SM per se and suppress the formation of axillary FMs from an SM, thereby restricting the number of lateral florets/bracts (3, 15–21). In fact, the desuppression of *HvMADS1* might contribute to both IM–TSM shift in spikes and extra florets in central spikelets. Specifically, early elevated levels of *MADS1* at the DR stage in *int-m* mutants correlate with the production of a multifloreted TS (Fig. 3). Likewise, *HvMADS1* is predicted to be transcribed in the lemmas/paleae of the extra florets in central spikelets in *int-m* mutants. On the other hand, it could also be that *AP2L-5* proteins target different meristem/floral identity genes in the IM versus SM to regulate the initiation of axillary meristems and IM–TSM identity shift in spikes, and formation of axillary florets and thus SM determinacy in spikelets. In the IM, desuppression of *MADS3/MADS58* expression and regulation of meristem maintenance genes strongly correlate with IM–TSM identity shift and TS formation in *int-m* mutants and Einkorn (Fig. 3). Many floral homeotic *MADS*-box genes are significantly altered in *int-m* mutants during the StP stage (*SI Appendix*, Fig. S18); however, it remains unclear through which targets *AP2L-5* proteins repress the initiation of lateral florets in spikelets.

AP2L-5 Promotes Axillary FM Development and Specifies the Identity of Lemmas. The *ap2l-5* null mutants produce additional florets in spikelets; however, extra florets may be sterile without associated axillary FM initiated in the axis of the lemmas in barley (Fig. 1 F–H and *SI Appendix*, Fig. S1) and wheat (21), suggesting that *AP2L-5* promotes floret development. Disruption of *HvAP2L-H5* causes very early defects in IM patterning and massive alterations in gene expression that might account for later developmental defects. It is therefore difficult to conclude whether differences in floret development and lemma specification are secondary effects or caused by *HvAP2L-H5* itself. However, transcripts of *HvAP2L-H5* and *TmAP2L-A5* were detected in lemmas and paleae (*SI Appendix*, Figs. S9 and S12), suggesting that *AP2L-5* proteins contribute to lemma specification. In addition, a number of floral homeotic *MADS*-box genes, including B-class, C-class, and E-class *SEP*-like, are misregulated in *int-m* mutants (*SI Appendix*, Fig. S18), which might influence floral development and organ specification as shown for wheat and rice (18, 21).

In this study, we identify *HvAP2L-H5* as the causal gene for the *int-m* and *dub1* mutant phenotypes and demonstrate that *HvAP2L-H5* promotes IM indeterminacy by repressing floral differentiation genes and promoting the expression of meristem maintenance genes in barley. We hypothesize that changes in protein sequences, *cis*-regulation and/or downstream genes of *AP2L-5* have contributed to the evolution of inflorescence determinacy/indeterminacy in Triticeae. We show that *AP2L-5* and downstream targets regulate IM and SM determinacy that is central to increasing spikelet and fertile floret number. Our findings thus provide targets for the modification of inflorescence architecture in barley and wheat.

Materials and Methods

Plant Materials, Phenotyping, and Gene Identification. We phenotyped and analyzed two allelic groups of barley *intermedium-m* (*int-m*) and *double seed1* (*dub1*) mutants as described in *SI Appendix*, *Supplementary Text*.

Analysis of Gene Expression. RNA-seq and RNA in situ hybridization were used to uncover how *HvAP2L-H5* influences the indeterminacy of IM, as described in *SI Appendix*, *Supplementary Text*.

Comparative Analysis of Inflorescence Indeterminacy/Determinacy in Barley and Wheat. We tested the hypothesis of whether and how HvAP2L-H5 may contribute to the differences in determinacy/indeterminacy of inflorescences and spikelets between barley and wheat, which is described in detail in *SI Appendix*.

Data Availability. All the RNA-seq data presented in this paper, including the raw data, are deposited in the National Center for Biotechnology Information database with the accession number [PRJNA668924](https://doi.org/10.1093/bioinformatics/btad001).

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