






ORIGINAL RESEARCH

Optical Coherence Tomography Predictors for a Favorable Vascular Response to Statin Therapy

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BACKGROUND: Specific plaque phenotypes that predict a favorable response to statin therapy have not been systematically studied. This study aimed to identify optical coherence tomography predictors for a favorable vascular response to statin therapy.

METHODS AND RESULTS: Patients who had serial optical coherence tomography imaging at baseline and at 6 months were included. Thin-cap area (defined as an area with fibrous cap thickness $<200\ \mu\text{m}$) was measured using a 3-dimensional computer-aided algorithm, and changes in the thin-cap area at 6 months were calculated. A favorable vascular response was defined as the highest tertile in the degree of reduction of the thin-cap area. Macrophage index was defined as the product of the average macrophage arc and length of the lesion with macrophage infiltration. Layered plaque was defined as a plaque with 1 or more layers of different optical density. In 84 patients, 140 nonculprit lipid plaques were identified. In *multivariable* analysis, baseline thin-cap area (odds ratio [OR] 1.442; 95% CI, 1.024–2.031, $P=0.036$), macrophage index (OR, 1.031; 95% CI, 1.002–1.061, $P=0.036$), and layered plaque (OR, 2.767; 95% CI, 1.024–7.479, $P=0.045$) were identified as the significant predictors for a favorable vascular response. Favorable vascular response was associated with a decrease in the macrophage index.

CONCLUSIONS: Three optical coherence tomography predictors for a favorable vascular response to statin therapy have been identified: large thin-cap area, high macrophage index, and layered plaque. Favorable vascular response to statin was correlated with signs of decreased inflammation.

REGISTRATION: URL: <https://www.clinicaltrials.gov>; Unique identifier: NCT01110538.

Key Words: layered plaque ■ macrophage ■ optical coherence tomography ■ statin ■ thin-cap area

Acute coronary syndrome (ACS) is the leading cause of morbidity and mortality in the world.¹ The most common mechanism of ACS is rupture of a lipid plaque.² Optical coherence tomography (OCT) studies have revealed that fibrous cap thickness (FCT) is one of the most important determinants of plaque vulnerability.^{3,4} A previous study reported that 95% of ruptured plaques in patients had FCT

$<188\ \mu\text{m}$.⁵ Recent studies have demonstrated that statin therapy stabilizes high-risk plaques by increasing FCT and reducing thin-cap area.^{6,7} Recent studies have also shown that layered plaque is a signature of previous plaque destabilization, followed by healing, and is associated with panvascular inflammation and vulnerability.^{8,9} Thus, the presence of a layered phenotype may be associated with a vascular response

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CLINICAL PERSPECTIVE

What Is New?

- Plaques with features of vulnerability (large thin-cap area, high macrophage index, and layered plaque) respond more favorably to statin therapy.
- Significant correlations are observed between the change in the macrophage index and the change in thin-cap area.
- The prevalence of layered (vulnerable) plaques significantly increases only in plaques with increased macrophage index.

What Are the Clinical Implications?

- Statin therapy should be started immediately in patients who have optical coherence tomography predictors for a favorable response (large thin-cap area, high macrophage index, and layered plaque).
- For patients who have evidence of persistent active inflammation, additional therapies such as anti-inflammatory agents may need to be considered.

Nonstandard Abbreviations and Acronyms

FC	fibrous cap
FCT	fibrous cap thickness
TCFA	thin-cap fibroatheroma

to statin therapy because statins are thought to be more effective in patients with ACS and a high baseline inflammatory status.^{7,10,11} However, specific plaque phenotypes that predict a favorable response to statin therapy have not been systematically studied. In this study, we investigated OCT predictors for a favorable vascular response to statin therapy in patients who had serial OCT imaging.

METHODS

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Study Population

We identified 84 patients with 140 lipid plaques from the Massachusetts General Hospital OCT registry (ClinicalTrials.gov: NCT01110538) who had undergone serial OCT imaging at baseline and at 6 months. This study was approved by the institutional review

board at each participating site. Baseline demographic and clinical data were collected, and baseline and follow-up laboratory data and OCT images were assessed. The intensity of statin therapy was categorized as high, moderate, or low based on the published guidelines.¹² Statin naïve patients were defined as patients who were not receiving statin therapy for more than 3 months before enrollment.⁷ The Massachusetts General Hospital OCT registry was approved by the institutional review board at each participating site, and all patients provided written informed consent before enrollment.

OCT Image Acquisition and Analysis

OCT imaging was performed using a frequency-domain (C7/C8, OCT Intravascular Imaging System, St. Jude Medical, St. Paul, MN) or time-domain (M2/M3 Cardiology Imaging Systems; Light Lab Imaging Inc) OCT system after intracoronary administration of 100 to 200 µg of nitroglycerin. All OCT images were submitted to the core laboratory at Massachusetts General Hospital for offline analysis. Analysis was performed by 2 independent investigators who were blinded to the clinical, angiographic, and laboratory data. An offline review work station (Illumien Optis, St. Jude Medical) was used. Previously stented coronary segments and coronary segments that were going to be treated during the index procedure were excluded. Several landmarks, including stent edges, anatomical landmarks such as side branches, pericardium, plaque position and configuration, lumen shape, and/or positional or directional relationships among all these landmarks were used to identify target nonculprit plaque.⁷

All OCT plaque morphologies were analyzed using previously validated criteria.^{13–15} Nonculprit lipid plaque was identified as a plaque with more than 50% stenosis as compared with reference area, maximum lipid arc >90°, and without association with the index event or symptoms, as assessed by the treating physician.⁷ A distance of at least 5 mm on the longitudinal view was required to be considered as 2 separate plaques. Lipid was identified as a low-signal region with diffuse border.¹⁴ The degree of lipid arc was measured. Lipid length was measured on the longitudinal view, and lipid index was obtained as the product of mean lipid arc and lipid length.¹⁶ Minimal FCT was measured at its thinnest point 3 times and the average value was calculated. Thin-cap fibroatheroma (TCFA) was defined as a plaque with a maximal lipid arc >90° and thinnest FCT 65 µm or less.^{3,17} Layered plaque was defined as plaque consisting of 1 or more layers with different optical densities and a clear demarcation from underlying components in 3 or more consecutive frames.^{8,9,18} Macrophages were identified as signal-rich, distinct,

or confluent punctate regions that exceed the intensity of background speckle noise.¹³ Given the lack of established criteria for the quantification of macrophages, the angular extension and length of macrophages were measured to obtain a “macrophage arc” and a “macrophage length” to determine the extent of macrophage infiltration, as was done in previous studies.^{19,20} The macrophage index was defined as the product of the average macrophage arc and macrophage length.^{19,20} Microvessel was identified as the presence of signal-poor structures with vesicular or tubular shapes.^{13,16} Cholesterol crystals were identified as thin and linear regions of high signal intensity with high backscattering within a plaque.^{13,16} Good intraobserver and interobserver agreement was noted in the OCT identification of lipid plaque (κ , 0.930 and 0.927, respectively), macrophage (κ , 0.933 and 0.867), and layered plaque (κ , 0.933 and 0.867).

Three-Dimensional Thin-Cap Area Measurement

The 3-dimensional fibrous cap (FC) was volumetrically evaluated using a previously validated computer algorithm (Figure 1).^{7,21} The FC was semiautomatically segmented by the algorithm in all frames along the entire plaque. The algorithm quantified the thickness at each point of its luminal boundary with the fully segmented

FC. The FC area was calculated as the product of the frame interval and the arc length of the FC summed over all the involved frames.²²

The thin-cap area was defined as FC area with cap thickness $<200\ \mu\text{m}$ as described in previous studies.^{5,7} The change in the thin-cap area was subsequently calculated. Nonculprit lipid plaques were divided into 3 groups based on the tertile of absolute change in the thin-cap area (median thin-cap area change: -4.624 [-7.368 to -3.184] mm^2 in the first tertile, -0.943 [-1.566 to -0.370] mm^2 in the second tertile, 0.645 [0.121 – 1.481] mm^2 in the third tertile). We defined the first tertile as the favorable FC response group and the remaining 2 as the less-favorable FC response groups.

Statistical Analysis

Categorical data are presented as counts and percentages, and they were compared using the chi-square test or Fisher exact test, as appropriate. Continuous data are presented as mean \pm SD or median (25th–75th percentile), as appropriate, depending on the normality of the distribution tested by the Kolmogorov-Smirnov test. Between-group comparisons were performed using independent-sample *t* tests, either Mann-Whitney *U* tests or Kruskal-Wallis tests, as appropriate. Tests for the within-group longitudinal changes were performed using paired-sample *t* tests, Wilcoxon

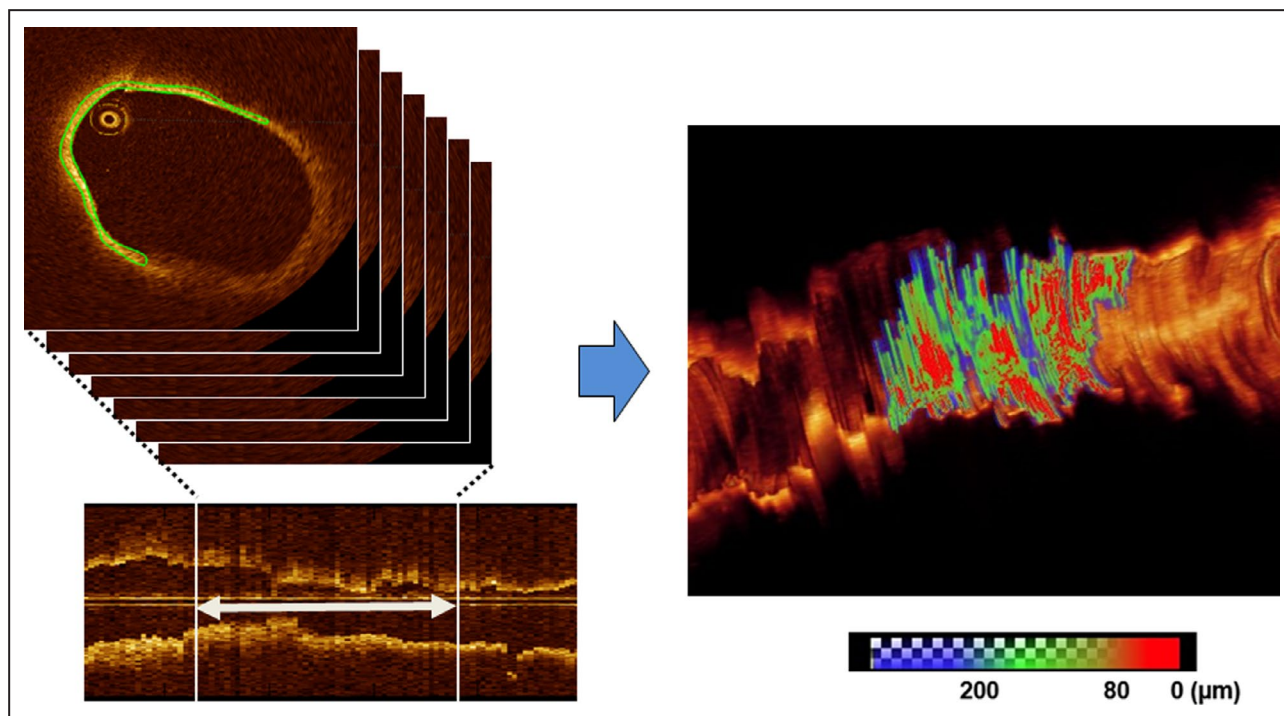


Figure 1. Three-dimensional thin-cap area measurement.

The fibrous cap (FC) was semiautomatically segmented by the algorithm in all frames along the entire plaque. The algorithm quantified the thickness at each point of its luminal boundary with the fully segmented FC. The FC area was calculated as the product of the frame interval and the arc length of the FC summed over all the involved frames. The area with FC thickness $<200\ \mu\text{m}$ was considered thin-cap area (combined green and red areas in the right panel).

signed rank tests, or McNemar tests, as appropriate. Comparison of nonculprit plaque characteristics among different groups was carried out using generalized estimating equations to take into account the potential cluster effects of multiple nonculprit lipid plaques in a single patient. Multivariable logistic regression analysis was applied to identify the predictors for a favorable response to statin. Variables with a $P < 0.10$ in the univariate test were entered into the multivariable modeling. Receiver operating characteristics with area under the curve were used to determine the best cutoff values for the baseline thin-cap area and macrophage index. All analyses were performed using SPSS (version 25 for Windows; SPSS, Inc., Chicago, IL).

RESULTS

Baseline Characteristics

Baseline characteristics are shown in Table 1. The median follow-up duration was 6.3 months. Patients with a favorable response more frequently presented with

ST-segment–elevation myocardial infarction. The prevalence of dyslipidemia tended to be higher in patients with a favorable response. Statins were used in 100% of patients at discharge. The intensity of statin and the rate of statin naïve patients were not significantly different between the 2 groups.

Laboratory Data

Baseline and follow-up laboratory data are shown in Table 2. Low-density lipoprotein (LDL) cholesterol significantly decreased over time in both groups. The levels of LDL at baseline and follow-up were not significantly different between the 2 groups. The baseline LDL level was 100.4 (74.9–130.0) mg/dL in ST-segment–elevation myocardial infarction, 95.4 (67.9–122.3) mg/dL in non-ST-segment–elevation acute coronary syndrome, and 80.3 (68.7–95.0) mg/dL in stable angina; $P = 0.104$. At follow-up, the LDL level was 69.5 (43.8–97.9) mg/dL, 74.5 (61.6–84.5) mg/dL, 72.2 (65.0–92.0) mg/dL; $P = 0.821$. In patients with a favorable response, triglyceride and

Table 1. Baseline Characteristics

	Patients With Favorable Response (n=39)	Patients With Less Favorable Response (n=45)	P Value
Follow-up duration, mo	6.4 (6.1–12.4)	6.3 (5.9–12.0)	0.169
Age, y	58.2±10.9	59.3±8.8	0.320
Male, n (%)	32 (82.1)	33 (73.3)	0.341
Clinical presentation, n (%)			0.049
ST-segment–elevation myocardial infarction, n (%)	7 (17.9)	2 (4.4)	
Non-ST-segment–elevation acute coronary syndrome, n (%)	21 (53.8)	21 (46.7)	
Stable angina, n (%)	11 (28.2)	22 (48.9)	
Hypertension, n (%)	26 (66.7)	27 (60.0)	0.528
Dyslipidemia, n (%)	35 (89.7)	33 (73.3)	0.056
Diabetes mellitus, n (%)	13 (33.3)	16 (35.6)	0.831
Chronic kidney disease, n (%)	2 (5.1)	5 (11.1)	0.280
Smoking status, n (%)			0.476
Current smoker	12 (30.8)	14 (31.1)	
Former smoker	12 (30.8)	9 (20.0)	
Never smoker	15 (38.5)	22 (44.9)	
Family history of coronary artery disease, n (%)	2 (5.1)	2 (4.4)	0.636
Discharge medication			
Dual antiplatelet therapy, n (%)	39 (100.0)	44 (97.8)	0.536
Statin, n (%)	39 (100.0)	45 (100.0)	1.000
Intensity of statin therapy			0.771
High-intensity, n (%)	1 (2.6)	1 (2.2)	
Moderate-intensity, n (%)	34 (87.2)	37 (82.2)	
Low-intensity, n (%)	4 (10.2)	7 (15.6)	
Statin naïve, n (%)	16 (41.0)	17 (37.8)	0.761

Values are mean±SD, n (%), or median (interquartile range). Significance was calculated by independent-sample *t* tests, or by Mann-Whitney *U* tests, as appropriate, depending on the normality of the distribution. Sample size: 84 patients.

Table 2. Laboratory Data at Baseline and Follow-Up

	Patients With Favorable Response (n=39)	Patients With Less Favorable Response (n=45)	P Value
LDL cholesterol (baseline), mg/dL	78.4 (60.0 to 104.6)	91.5 (73.1 to 116.6)	0.063
LDL cholesterol (follow-up), mg/dL	68.1 (53.8 to 78.6)	76.1 (67.5 to 90.8)	0.068
LDL cholesterol change, mg/dL	-14.5 (-31.8 to 6.7)	-14.6 (-47.3 to 0.0)	0.512
P value	0.025	0.001	
HDL cholesterol (baseline), mg/dL	42.1 (36.1 to 53.3)	42.0 (38.5 to 53.2)	0.931
HDL cholesterol (follow-up), mg/dL	40.0 (35.9 to 46.9)	43.1 (36.2 to 51.3)	0.898
HDL cholesterol change, mg/dL	0.8 (-6.2 to 5.3)	-1.5 (-6.3 to 5.9)	0.806
P value	0.538	0.803	
Triglyceride (baseline), mg/dL	167.0 (108.2 to 207.3)	150.6 (98.5 to 196.9)	0.350
Triglyceride (follow-up), mg/dL	125.3 (95.7 to 160.6)	128.9 (88.6 to 174.6)	0.898
Triglyceride change, mg/dL	-23.5 (-86.1 to 10.6)	0.0 (-47.7 to 21.0)	0.174
P value	0.007	0.304	
hs-CRP (baseline), mg/dL	2.0 (1.0 to 4.0)	1.0 (0.0 to 2.0)	0.099
hs-CRP (follow-up), mg/dL	1.0 (0.5 to 1.8)	1.0 (0.0 to 2.0)	0.416
hs-CRP change, mg/dL	-1.0 (-2.8 to 0.0)	0.0 (-1.0 to 0.0)	0.221
P value	0.007	0.272	

Values are median (interquartile range). Significance was calculated by Mann-Whitney *U* tests and Wilcoxon signed rank tests. Sample size: 84 patients. HDL indicates high-density lipoprotein; hs-CRP, high-sensitivity C-reactive protein; and LDL, low-density lipoprotein.

high-sensitivity C-reactive protein levels significantly improved over time.

Serial OCT Findings

The results of serial OCT analysis are shown in Table 3. In all nonculprit lipid plaques, thin-cap area was measured at baseline and at follow-up. The favorable response group had a significantly larger thin-cap area, thinner fibrous cap, a higher lipid index, higher macrophage index, and higher prevalence of TCFA and layered phenotype at baseline. Thin-cap area, FCT, lipid index, macrophage index, and TCFA significantly improved over time.

Predictors for a Favorable Response

Table 4 shows the results of the *univariable* and *multivariable* analyses. In the *multivariable* analysis, the baseline thin-cap area, macrophage index, and layered plaque were found to be the significant predictors for a favorable vascular response to statin therapy. Using the receiver operating characteristics curves, the best cutoff values of each parameter to predict a favorable response were calculated as 3.144 mm² for the baseline thin-cap area and 173.1 for the baseline macrophage index. The relationship between individual or a combination of these parameters, and the probability of a favorable response is shown in Figure 2. When all 3 predictors (large thin-cap area, high macrophage index, and layered plaque) are present, the probability of a favorable response increased to 78.3% (95% CI, 56.3–92.5), whereas the probability of a favorable response

is 2.3% (95% CI, 0.1–12.0) when no predictors are present.

In addition, we performed a sensitivity analysis which analyzes 1 randomly selected plaque from each patient. Those results are shown in Table S1.

Change of Macrophage Index and Plaque Response

The significant correlations between the change in the macrophage index, and the change in thin-cap area and thinnest FCT are shown in Figure 3. Lower macrophage index was associated with a greater reduction in thin cap area and increase in FCT.

The plaques were divided into 2 groups based on changes in the macrophage index. Macrophage index increased in 46 (32.9%) plaques. Plaques in which the macrophage index did not increase had a greater improvement of the thin-cap area (Figure 4) and a decreased prevalence of TCFA (Figure 5) at follow-up. The prevalence of layered plaque significantly increased only in the group in which the macrophage index increased at follow-up, indicating persistent vascular inflammation was associated with the development of new layered plaques (Figure 5).

Predictors for a Favorable Vascular Response to Statin Therapy in Statin Naïve Patients

We evaluated if these 3 predictors (the baseline thin-cap area, macrophage index, and layered plaque) apply to statin naïve patients (Tables S2 and S3).

Table 3. Serial OCT Findings

	Favorable Response (n=47)	Less Favorable Response (n=93)	P Value
3-dimensional OCT quantitative assessment			
Thin-cap area (baseline), mm ²	7.137 (4.050 to 10.691)	1.414 (0.498 to 2.983)	<0.001
Thin-cap area (follow-up), mm ²	1.343 (0.373 to 3.439)	0.982 (0.175 to 2.949)	0.295
Thin-cap area change, mm ²	-4.624 (-7.368 to -3.184)	-0.119 (-0.943 to -0.645)	<0.001
P value	<0.001	0.281	
2-dimensional OCT assessment			
Thinnest FCT (baseline), μm	80.0 (50.0 to 110.0)	120.0 (90.0 to 175.0)	<0.001
Thinnest FCT (follow-up), μm	150.0 (70.0 to 190.0)	150.0 (95.0 to 190.0)	0.988
Thinnest FCT change, μm	50.0 (20.0 to 90.0)	0.0 (-30.0 to 50.0)	<0.001
P value	<0.001	0.108	
Lipid index (baseline)	1542.5 (1151.1 to 2266.4)	1071.6 (616.0 to 1460.1)	<0.001
Lipid index (follow-up)	1221.0 (669.1 to 2033.4)	863.4 (370.0 to 1583.8)	0.019
Lipid index change	-286.2 (-523.2 to -62.9)	-107.1 (-427.6 to 229.5)	0.047
P value	0.001	0.075	
Minimal lumen area (baseline), mm ²	3.08 (2.39 to 4.02)	2.84 (2.27 to 3.87)	0.394
Minimal lumen area (follow-up), mm ²	3.30 (1.73 to 4.30)	2.83 (1.97 to 3.87)	0.514
Minimal lumen area change, mm ²	-0.20 (-1.00 to 0.74)	-0.07 (-0.53 to 0.38)	0.445
P value	0.346	0.569	
Macrophage index (baseline)	275.0 (140.6 to 372.3)	83.6 (11.4 to 169.5)	<0.001
Macrophage index (follow-up)	100.8 (0.0 to 202.1)	92.4 (13.3 to 192.3)	0.674
Macrophage index change	-134.7 (-227.9 to -70.0)	0.0 (-43.0 to 47.1)	<0.001
P value	<0.001	0.661	
Microvessel (baseline), n (%)	13 (27.6)	29 (31.2)	0.667
Microvessel (follow-up), n (%)	12 (25.5)	30 (32.3)	0.412
P value	1.000	1.000	
Cholesterol crystal (baseline), n (%)	5 (10.6)	19 (20.4)	0.147
Cholesterol crystal (follow-up), n (%)	3 (6.4)	13 (14.0)	0.182
P value	0.500	0.109	
Calcification (baseline), n (%)	25 (53.2)	49 (52.7)	0.955
Calcification (follow-up), n (%)	23 (48.9)	50 (53.8)	0.589
P value	0.687	1.000	
TCFA (baseline), n (%)	20 (42.6)	15 (16.1)	0.001
TCFA (follow-up), n (%)	7 (14.9)	16 (17.2)	0.728
P value	0.001	1.000	
Layered plaque (baseline), n (%)	26 (55.3)	25 (26.9)	0.001
Layered plaque (follow-up), n (%)	28 (59.6)	33 (35.4)	0.007
P value	0.625	0.057	

Values are n (%), or median (interquartile range). Significance was calculated by Mann-Whitney *U* tests, Wilcoxon signed rank tests, and McNemar tests. Sample size: 140 plaques. FCT indicates fibrous-cap thickness; OCT, optical coherence tomography; and TCFA, thin-cap fibroatheroma.

Fifty-four nonculprit lipid plaques from 33 statin naïve patients were divided into 3 groups based on the absolute change in the thin-cap area (median thin-cap area change: -5.063 [-10.640 to -3.716] mm² in the first tertile, -1.907 [-2.361 to -0.936] mm² in the second tertile, 0.623 [-0.170 to 0.698] mm² in the third tertile). *Univariate* analysis showed that baseline thin-cap area and macrophage index were associated with a favorable FC response. The prevalence of layered

plaque was higher in the favorable FC response group than that in the less-favorable FC response groups, although it was not statistically significant (50.0% versus 30.6%, *P*=0.163).

DISCUSSION

In this study, we demonstrated that plaques with features of vulnerability (large thin-cap area, high

Table 4. Univariable and Multivariable Analysis of Favorable Vascular Response to Statins

	Univariable		Multivariable	
	Odds Ratio [95% CI]	P Value	Odds Ratio [95% CI]	P Value
Baseline thin-cap area, mm ²	1.533 [1.199–1.960]	0.001	1.442 [1.024–2.031]	0.036
Thin-cap fibroatheroma (<65 μm)	3.852 [1.765–8.404]	0.001	1.217 [0.402–3.680]	0.728
Macrophage	1.308 [0.587–2.916]	0.511		
Macrophage index (per 10 increase)	1.006 [1.004–1.009]	<0.001	1.031 [1.002–1.061]	0.036
Microvessel	0.844 [0.415–1.716]	0.639		
Layered plaque	2.840 [1.197–6.736]	0.018	2.767 [1.024–7.479]	0.045
Cholesterol crystal	0.464 [0.161–1.377]	0.155		
Spotty calcium	1.270 [0.641–2.513]	0.493		
Baseline lipid index (per 10 increase)	0.999 [0.999–1.000]	<0.001	0.999 [0.989–1.008]	0.777
Minimum lumen area, mm ²	1.168 [0.886–1.540]	0.270		

Multivariable logistic regression analysis was applied to identify the predictors for a favorable response to statin. Variables with a $P < 0.10$ in the univariate test were entered into the multivariable modeling. To take into account the potential cluster effects of multiple nonculprit lipid plaques in a single patient, general estimating equations were applied. Sample size: 140 plaques.

macrophage index, and layered plaque) responded more favorably to statin therapy. Moreover, significant correlations were observed between the change in the macrophage index and the changes in thin-cap area. Finally, the prevalence of layered (vulnerable) plaques significantly increased only in plaques with increased macrophage index at follow-up, underscoring the importance of effective control of inflammation.

There have been several studies evaluating response to statin therapy. However, the focus of those studies was different: one study studied changes in plaque composition,¹¹ other reports were for specific subgroups such as diabetes mellitus, sex, or age.^{23–25} Still other studies investigated only specific plaque components such as neovascularization or spotty calcium.^{26,27} In contrast to those previously published studies, we performed comprehensive comparisons including patient demographics, laboratory parameters, and both qualitative and quantitative OCT parameters between those with and without favorable response to statin therapy. In addition, a novel 3-dimensional fibrous cap measurement algorithm was used to minimize errors due to sampling 1 small area of a fibrous cap.

Statin Therapy and Vulnerable Plaques

Previous pathology studies of sudden cardiac death victims have reported that 60% to 70% of cases of coronary thrombosis were caused by plaque rupture.^{3,4} Patients with ACS caused by plaque rupture had worse clinical outcomes than those with plaque erosion.^{28,29} It is believed that plaque rupture occurs in vulnerable plaques that are characterized by a thin FC, large necrotic core, and local vascular inflammation, as evidenced by macrophage infiltration.³⁰

Previous studies have shown that statins stabilize vulnerable plaques not only by reducing LDL cholesterol and lipid content but also by thickening FCT and suppressing vascular inflammation.^{31–33} Inflammation stimulates a local immune reaction and activates macrophages, mast cells, and T cells to release cytokines that inhibit collagen synthesis and proteases such as matrix metalloproteinase that digest fibrous components.³⁴ In particular, interferon- γ has a powerful impact on the FC through inhibition of smooth muscle cell differentiation, procollagen-I gene expression, and the collagen cross-linking enzyme.³⁴ Statins are known to reduce these inflammatory reactions, cytokines, and degenerative enzymes. Moreover, statins promote collagen synthesis and increase smooth muscle cell content, which stabilize the FC.³³ A recent OCT study showed that statins reduced the serum high-sensitivity C-reactive protein and matrix metalloproteinase-9, and that these changes were associated with an increase in FCT.⁶ In our study, baseline vulnerable and inflammatory features (large thin-cap area and high macrophage index) were significant predictors of a favorable vascular response (thickening of FC). The effect of statins on plaque stabilization appears to be greater in plaques that are more vulnerable and inflamed at baseline, although the reduction of thin-cap area was not different by the degree of LDL cholesterol reduction (Figures S1 through S3). This is consistent with the previous studies that have shown that statins are more effective in patients with a high baseline inflammatory status compared with those with a low inflammatory status.^{35–37} Furthermore, these results are supported by reports that have shown statins to be more effective for stabilization of plaque vulnerability in patients with ACS than in patients with stable angina.^{10,38}

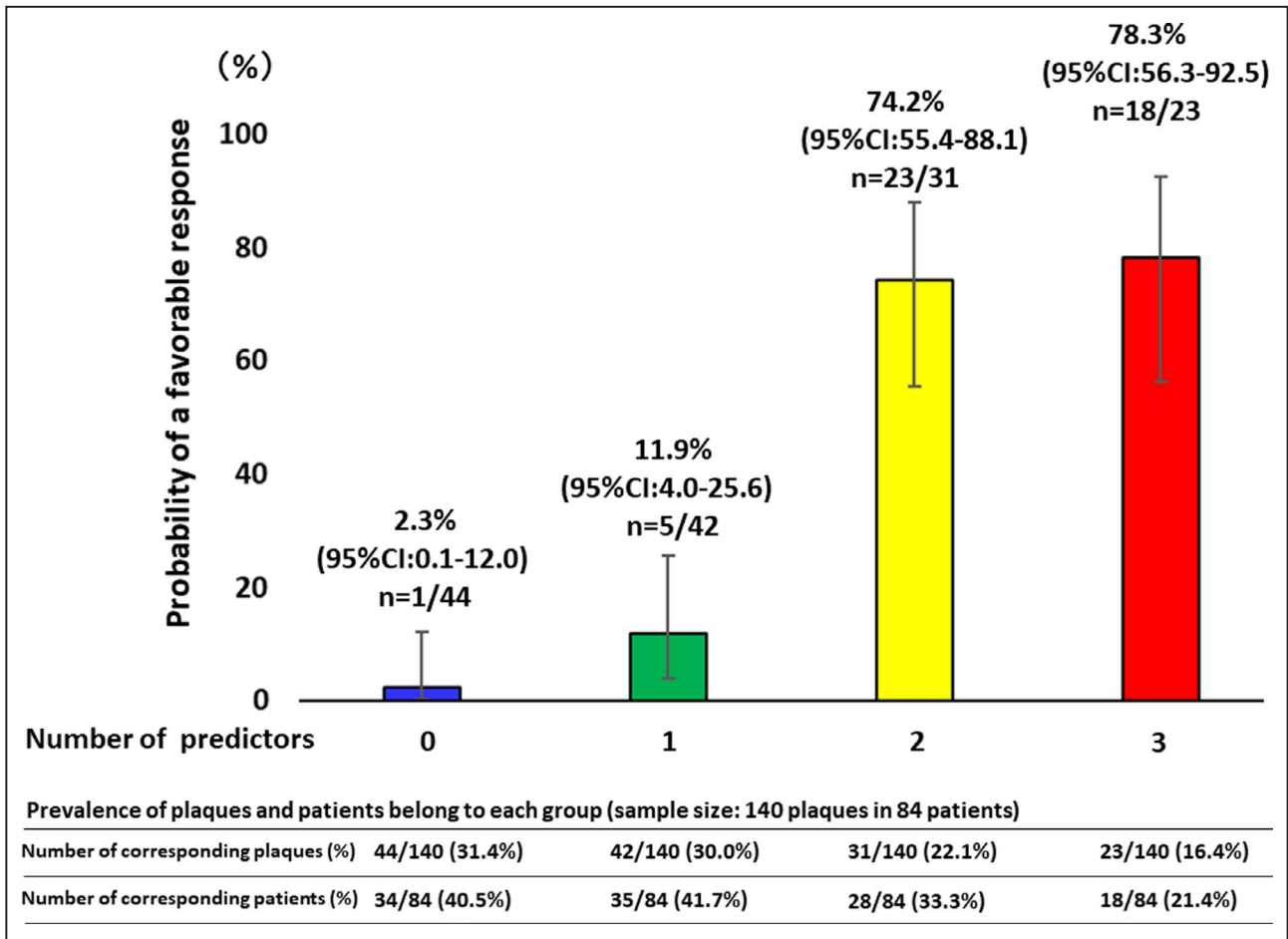


Figure 2. The number of predictors and the probability of a favorable response. When all 3 predictors are present, the probability of a favorable response increased to 78.3%, whereas the probability of a favorable response is 2.3% when no predictors are present. Out of 140 plaques, 44 (31.4%) plaques had no, 42 (30.0%) had 1, 31 (22.1%) had 2, and 23 (16.4%) had 3 predictors. Out of 84 patients, 34 (40.5%) patients had plaque with no predictors, 35 (41.7%) had plaques with 1 predictor, 28 (33.3%) had plaques with 2 predictors, and 18 (21.4%) had plaques with 3 predictors. Clopper–Pearson Exact method was applied to calculate the 95% CI of the proportions. Sample size: 140 plaques in 84 patients.

Macrophage Index Change and Plaque Vulnerability

Plaques with an increased macrophage index at follow-up showed a less favorable vascular response and a higher prevalence of layered plaque despite statin therapy (Figures 4 and 5). These results indicate that persistent active inflammation despite statin therapy may prevent plaques from having a favorable response and keep them vulnerable, as evidenced by the development of new layered plaques. More aggressive cholesterol lowering therapy or the addition of anti-inflammatory agents may be necessary for this group of patients.

Layered Plaque and Vascular Response to Statin

Layered plaques are thought to be the consequence of previous silent plaque rupture or erosion.³ In a histology validation report, OCT proved to have a high sensitivity and

specificity for the detection of healed/layered plaques.¹⁸ A previous OCT study revealed that patients with layered plaques at culprit lesions had elevated biomarkers of systemic inflammation, a higher prevalence of vulnerable features (plaque rupture, TCFA, and macrophage infiltration) and a higher rate of rehospitalization than those without layered phenotype.⁸ Moreover, a recent study reported that layered plaques at nonculprit lesions also had more vulnerable features (larger lipid burden, higher rates of TCFA and macrophage infiltration), compared with nonlayered plaques.⁹ These results indicate that a layered plaque may be a signature of panvascular inflammation and that statins may have a greater stabilization effect on their vulnerable features and high levels of inflammation than on nonlayered plaques. However, when inflammation is not under control (as evidenced by increased macrophage index at follow-up in Figures 4 and 5), the prevalence of layered plaque increases, indicating persistent plaque vulnerability.

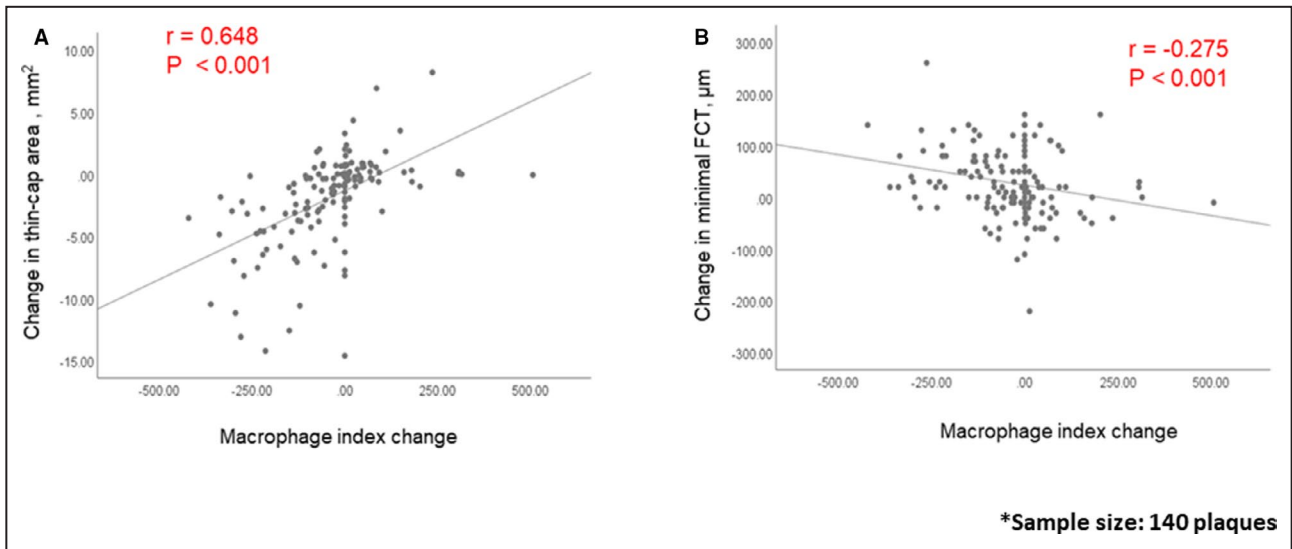


Figure 3. Correlation between changes in macrophage index and changes in fibrous cap. Significant correlations between the change in macrophage index and the change in thin-cap area (A), or fibrous cap thickness (FCT) (B) were observed. Sample size: 140 plaques.

Internal Consistency of Plaque Responses Within Individual Patients

We analyzed the internal consistency of plaque outcomes within individual patients. Among 84 patients, 30 patients had more than 2 nonculprit plaques. Of those, 11 patients had only plaques with a less-favorable response, 2 patients had only plaques with a favorable response, and 17 patients had both plaques with favorable and less-favorable responses (Figure S4). Considering this result that more than half of the patients had both plaques with mixed (favorable and less-favorable) response, each plaque may not behave consistently within each patient but may behave independently. These data indicate that both the level of vascular inflammation of each individual plaque and the level of systemic inflammation are important for predicting the response to statin therapy.

Clinical Implications

Our study suggests that the magnitude of baseline plaque abnormalities, especially inflammatory and vulnerable features, are the important indicators of favorable plaque response to statin therapy. Statins have not only a cholesterol lowering effect but also an anti-inflammatory effect. Thus, highly inflamed vessels evidenced by high macrophage index, layered plaque, and large thin-cap area appear to respond more favorably than those with a lower level of inflammation. Statin therapy should be started immediately in patients who have OCT predictors for a favorable response (large thin-cap area, high macrophage index, and layered plaque). For those who do not have these predictors or who have evidence of persistent active inflammation, additional therapies such as anti-inflammatory agents may need to be considered.

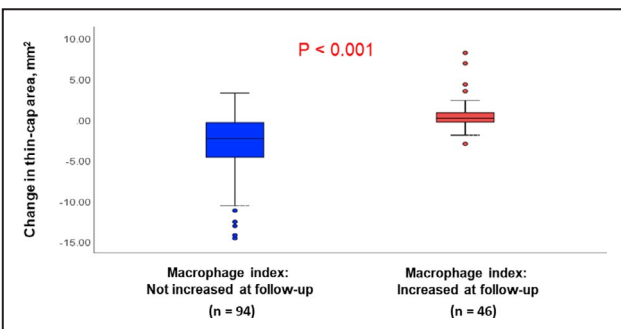


Figure 4. Macrophage index and changes in thin-cap area. Plaques in which the macrophage index did not increase (n=94) had a greater improvement in the thin-cap area than the plaques in which the macrophage index increased (n=46).

Study Limitations

This study has several limitations. First, we retrospectively selected patients who had serial OCT imaging from the registry database; therefore, selection bias cannot be excluded. Second, although we had data on the intensity of statin treatment, we did not have detailed information on the duration of statin treatment. In addition, the selection and dose of statin were at the discretion of the treating physician. All patients in the current study were from East Asia, where LDL level is low in the general population. Third, the number of patients was small. Thus, we could not analyze the predictors for a favorable response in each statin intensity group and could not

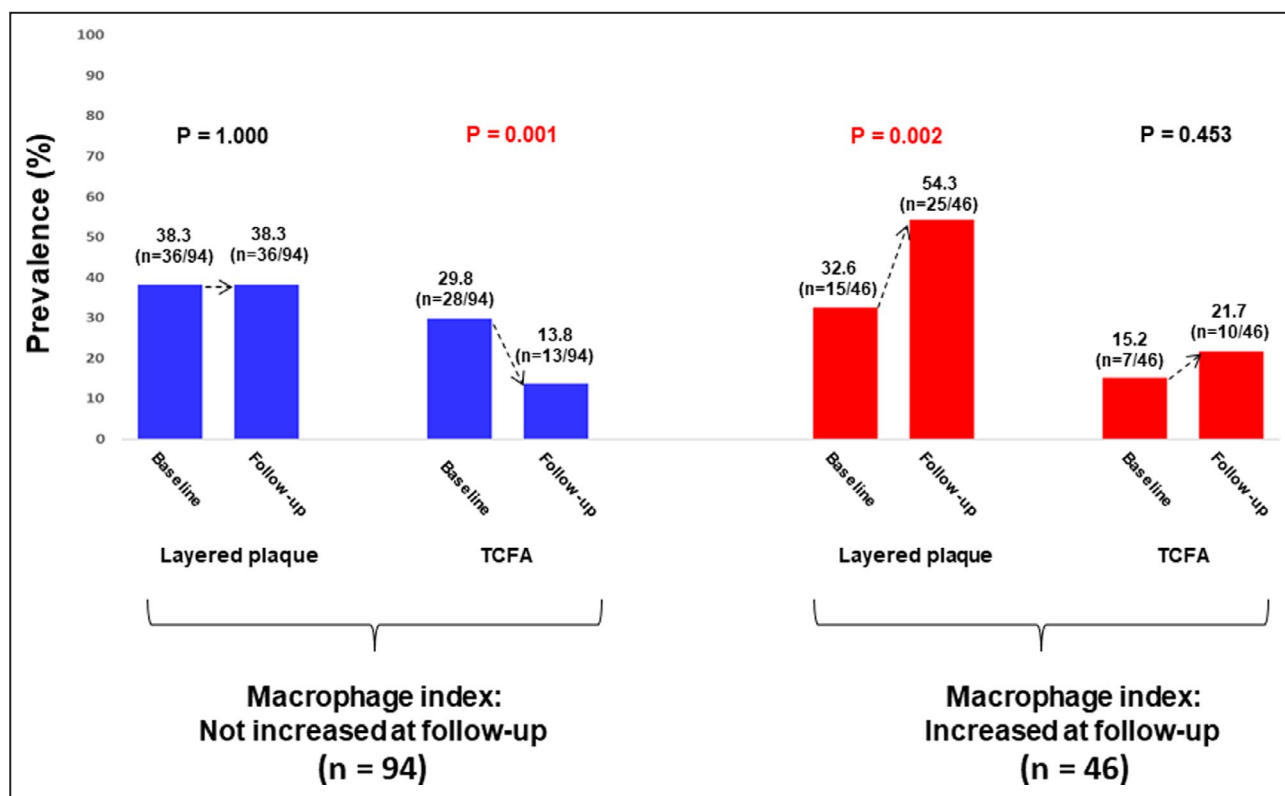


Figure 5. Macrophage index and changes in plaque phenotype.

The prevalence of TCFA significantly decreased in the group in which macrophage index did not increase, whereas the prevalence of layered plaque significantly increased in the group in which macrophage index increased. These findings indicate that control of inflammation is associated with stabilization of lipid plaques. TCFA indicates thin-cap fibroatheroma.

perform *multivariable* analysis in the statin naïve cohort. Fourth, so far, there are no validated OCT criteria for macrophage quantification. Therefore, we took a semiquantitative approach using the macrophage index, as described in previous studies.^{19,20} Fifth, although there was good agreement between histology and OCT in identifying layered plaques, the accuracy of layered plaque identification by OCT is not fully established. Sixth, we could not obtain the data for smoking duration and the details of family history of premature coronary disease, although they might affect the vascular response to statin therapy. Finally, this study was conducted with only baseline patient data and relatively short-term follow-up (6 months); thus the impact of FC response on clinical outcomes remains unknown.

CONCLUSIONS

Three significant predictors for a favorable vascular response to statin therapy were identified: large thin-cap area, high macrophage index, and layered plaque. Favorable vascular response to statin was correlated with signs of decreased inflammation.

ARTICLE INFORMATION

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Supplementary Material

Tables S1–S3
Figures S1–S4

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SUPPLEMENTAL MATERIAL

Table S1. Univariable and multivariable analyses which analyze one randomly selected plaque from each patient (sensitivity analysis).

	Univariable		Multivariable	
	Odds ratio [95%CI]	P value	Odds ratio [95%CI]	P value
Baseline thin-cap area	1.498 [1.025, 2.189]	0.037	1.293 [0.798, 2.096]	0.296
TCFA (< 65µm)	3.333 [1.137, 9.776]	0.028	1.496 [0.340, 6.580]	0.594
Macrophage	1.883 [0.653, 5.428]	0.241		
Macrophage index (per 10 increase)	1.066 [1.031, 1.103]	< 0.001	1.049 [1.014, 1.085]	0.005
Microvessel	1.000 [0.366, 2.730]	1.000		
Layered plaque	5.667 [2.103, 15.270]	0.001	4.631 [1.442, 14.869]	0.010
Cholesterol crystal	0.440 [0.113, 1.709]	0.236		
Spotty calcium	1.000 [0.400, 2.501]	1.000		
Baseline lipid index (per 10 increase)	1.008 [1.001, 1.014]	0.015	1.003 [0.991, 1.014]	0.666
MLA	0.977 [0.673, 1.418]	0.903		

CI = confidence interval; FCT = fibrous-cap thickness; MLA = minimum lumen area; TCFA = thin-cap fibroatheroma.

Table S2. Predictors for a favorable vascular response to statin therapy in statin naïve patients.

	Favorable response (n = 18)	Less favorable response (n = 36)	P value
Intensity of Statin therapy			0.483
High-intensity, n (%)	1 (5.6)	4 (11.1)	
Moderate-intensity, n (%)	16 (88.9)	27 (75.0)	
Low-intensity, n (%)	1 (5.6)	5 (13.9)	
Thin-cap area (Baseline), mm ²	7.308 (4.044 – 22.371)	1.664 (0.482 – 4.068)	< 0.001
Macrophage index (Baseline)	317.6 (105.9 – 416.0)	139.3 (5.0 – 255.2)	0.010
Layered plaque (Baseline), n (%)	9 (50.0)	11 (30.6)	0.163

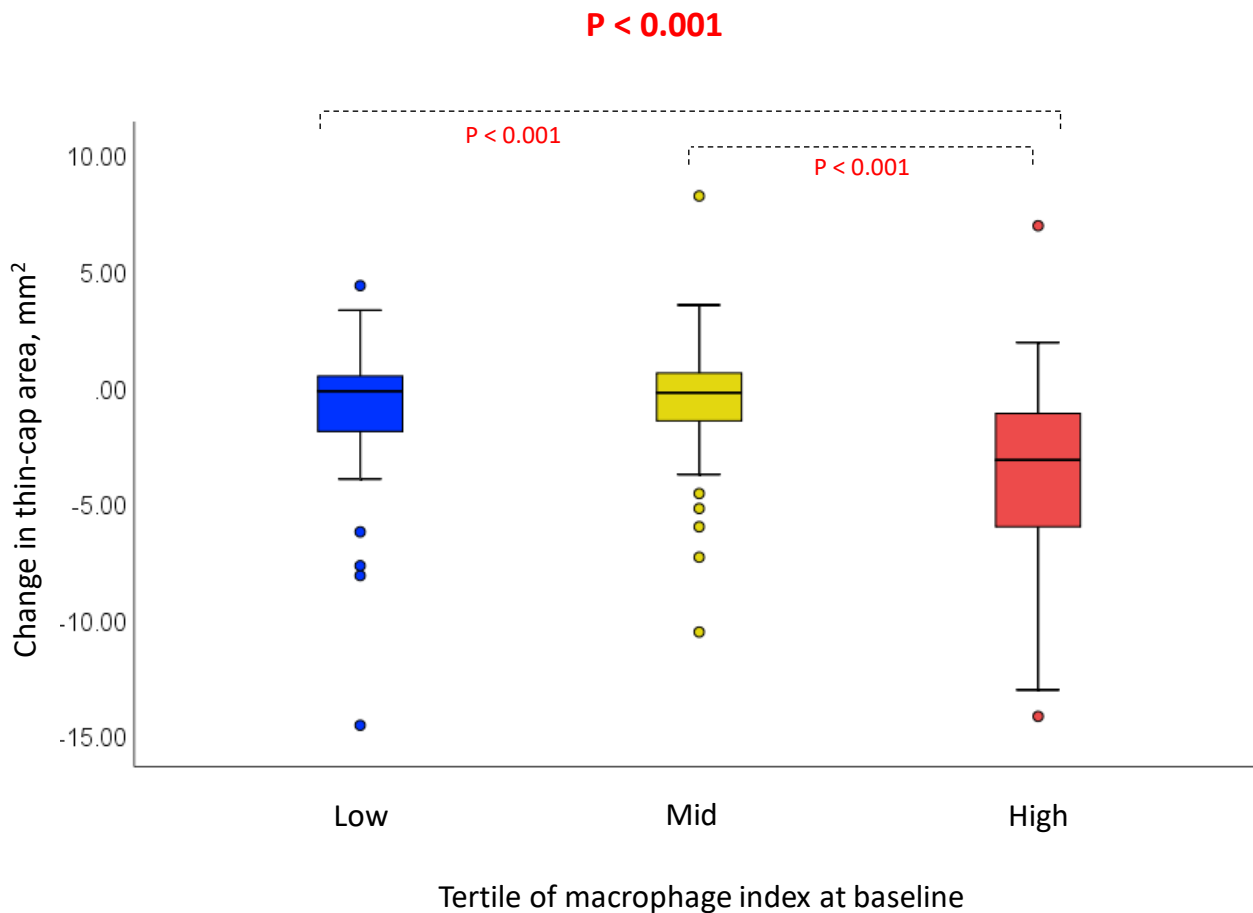
Values are n (%), or median (interquartile range).

Table S3. Univariable analysis of a favorable vascular response to statins in statin naïve patients.

	Univariable	
	Odds ratio [95%CI]	P value
Baseline thin-cap area	1.417 [1.006, 1.883]	0.016
Macrophage index (per 10 increase)	1.052 [1.017, 1.087]	0.003
Layered plaque	2.273 [0.680, 7.592]	0.182

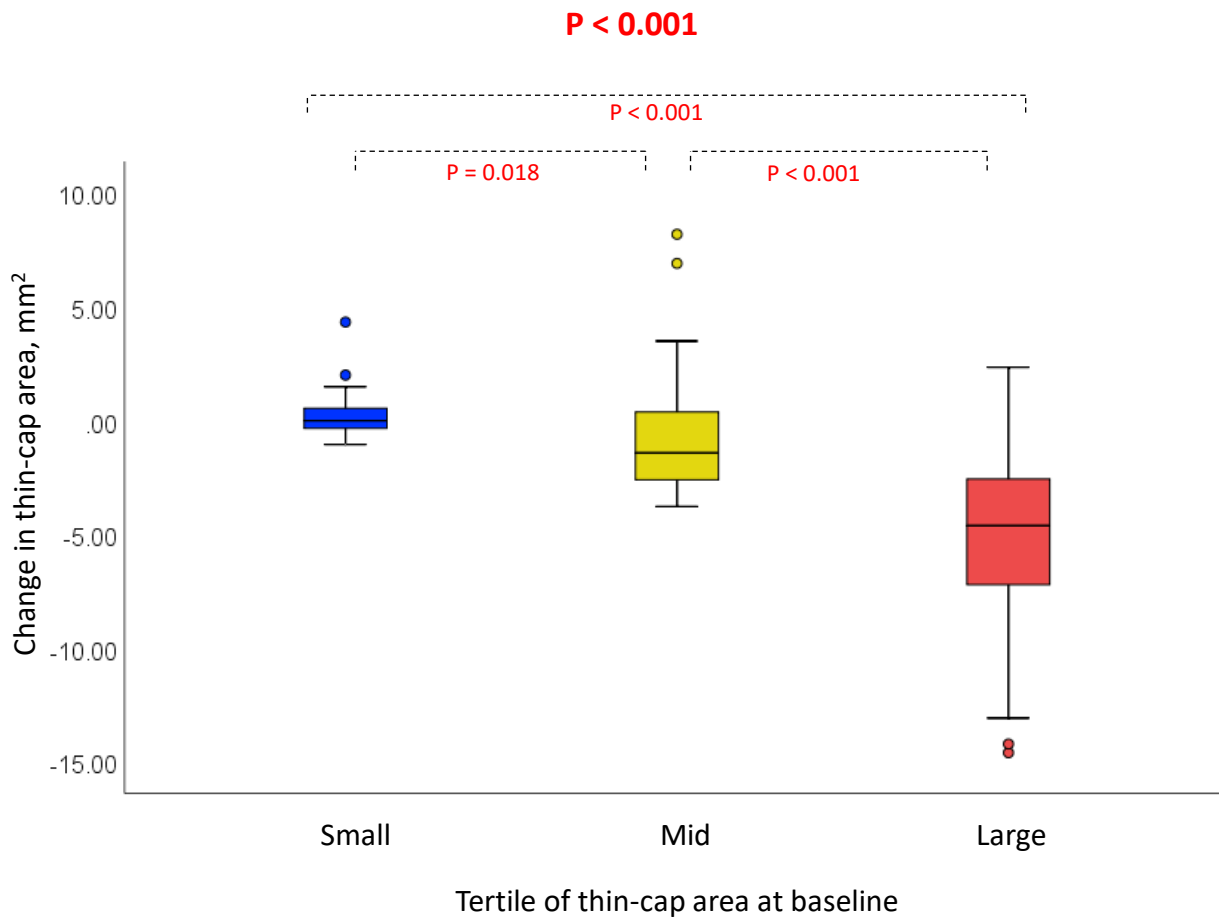
CI = confidence interval.

Figure S1. Degree of thin-cap area change among the three groups based on the macrophage index at baseline.



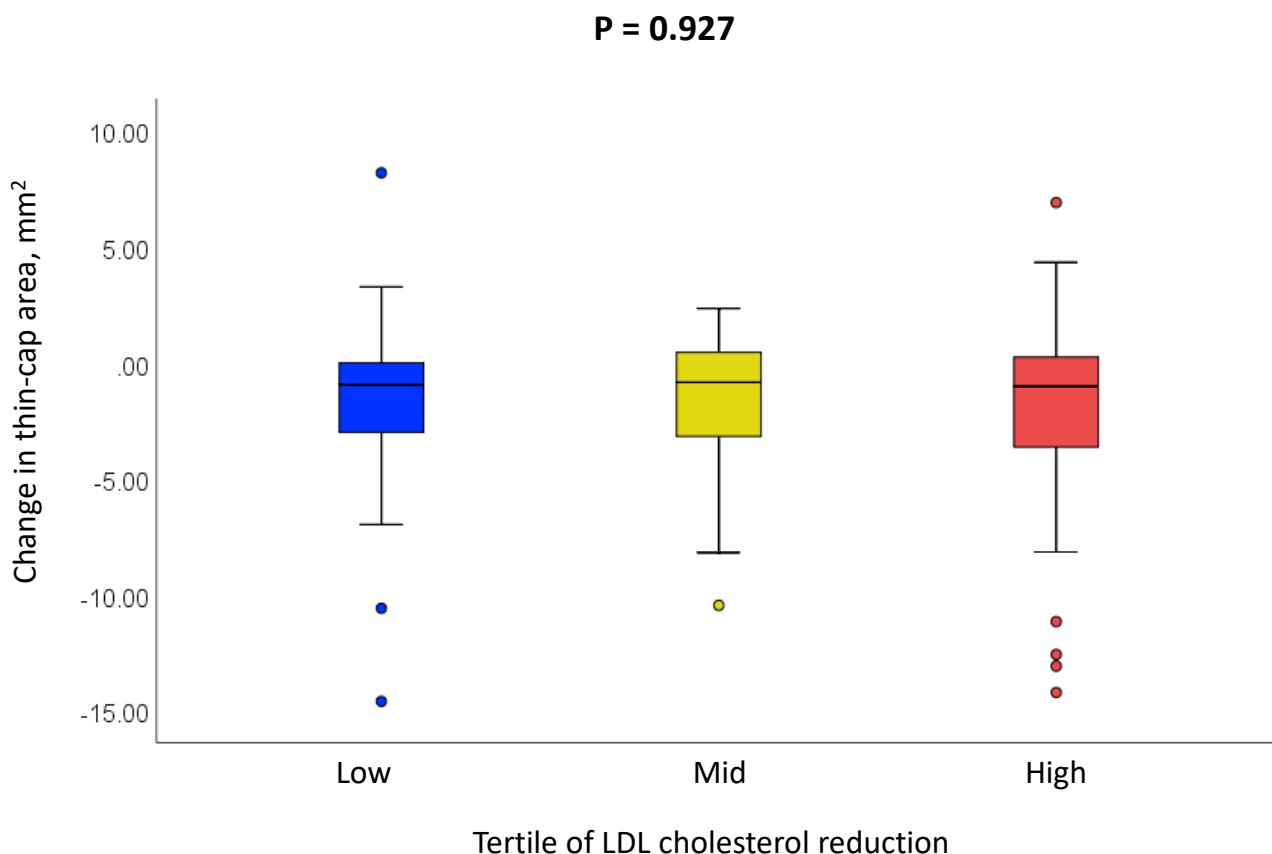
Non-culprit lipid plaques were divided into three groups based on the macrophage index at baseline (macrophage index at baseline: 0.0 [25th-75th percentile 0.0 to 35.0] in the low tertile, 136.5 [25th-75th percentile 85.8 to 169.0] in the mid tertile, 322.4 [25th-75th percentile 272.0 to 421.2] in the third tertile). The high tertile of macrophage index at baseline showed significantly greater reduction of thin-cap area than the low and mid tertiles (low tertile vs. mid tertile vs. high tertile: -0.220 [25th-75th percentile -1.961 to 0.553] vs. -0.287 [25th-75th percentile -1.530 to 0.580] vs. -3.177 [25th-75th percentile -6.300 to -1.015]; $p < 0.001$).

Figure S2. Degree of thin-cap area change between the three groups based on the tertile of thin-cap area at baseline.



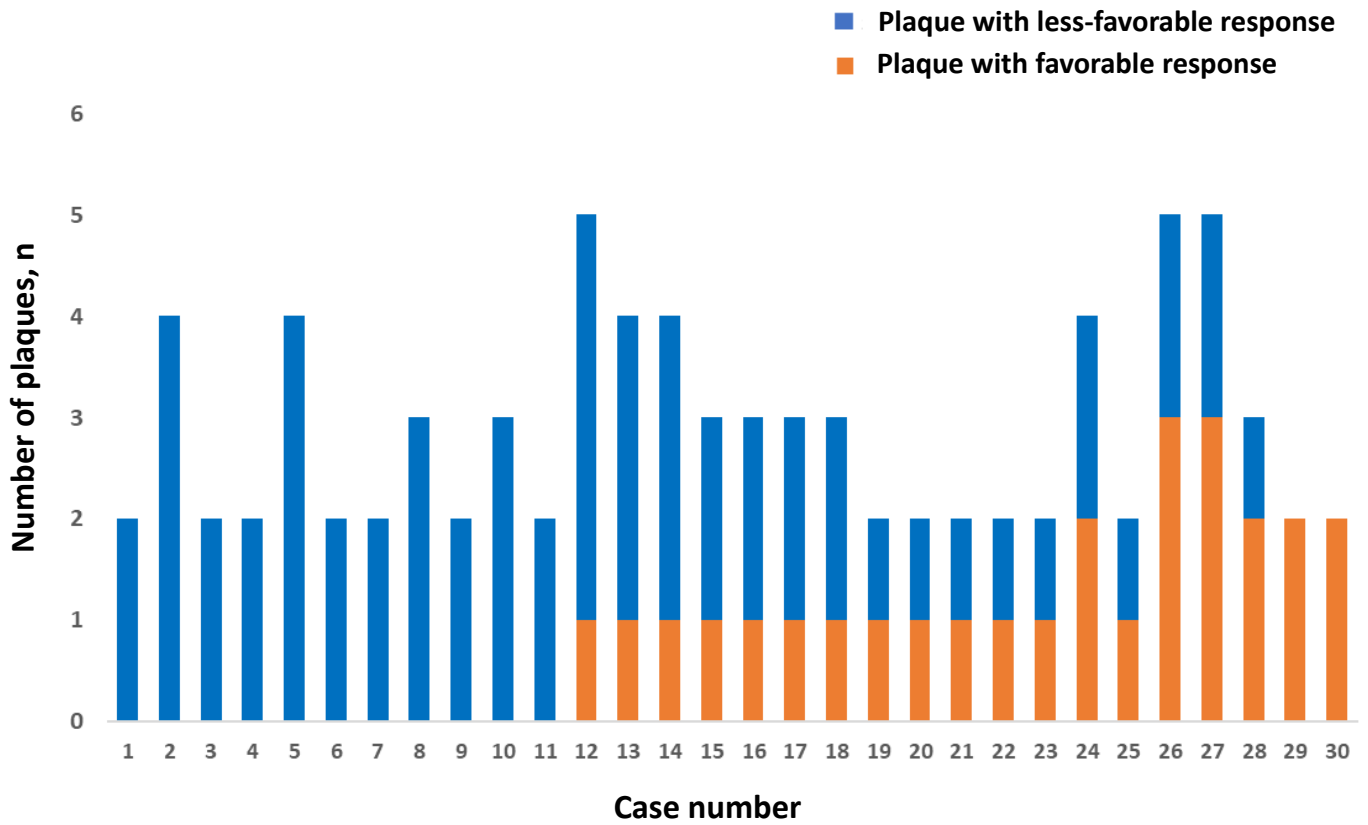
Non-culprit lipid plaques were divided into three groups based on the tertile of thin-cap area at baseline (thin-cap area at baseline: 0.505 [25th-75th percentile 0.195 to 1.066] in the small tertile, 2.852 [25th-75th percentile 2.153 to 3.698] in the mid tertile, 8.419 [25th-75th percentile 6.034 to 10.715] mm² in the large tertile). The reduction of thin-cap area was greatest in the large tertile of baseline thin-cap area, followed by the mid tertile, and small tertile (small tertile vs. mid tertile vs. large tertile: -0.010 [25th-75th percentile -0.355 to 0.553] vs. -1.427 [25th-75th percentile -2.620 to 0.440] vs. -4.624 [25th-75th percentile -7.368 to -2.440]; p < 0.001).

Figure S3. Degree of thin-cap area change between the three groups based on the tertile of low-density lipoprotein cholesterol reduction.



Non-culprit lipid plaques were divided into three groups based on the tertile of low-density lipoprotein (LDL) cholesterol reduction (LDL cholesterol reduction: 11.5 [25th-75th percentile 9.0 to 17.0] mg/dl in the low tertile, -11.8 [25th-75th percentile -18.1 to -6.3] mg/dl in the mid tertile, -53.8 [25th-75th percentile -62.2 to -43.0] mg/dl in the high tertile). The reduction of thin-cap area was not significantly different among the three tertiles (low tertile vs. mid tertile vs. high tertile: -0.942 [25th-75th percentile -3.103 to -0.008] vs. -0.804 [25th-75th percentile -3.183 to 0.480] vs. -1.026 [25th-75th percentile -3.682 to 0.277]; p = 0.927).

Figure S4. Internal consistency of plaque responses within individual patients.



Among 84 patients, 30 patients had more than 2 non-culprit plaques. Of those, 11 patients had only plaques with a less-favorable response, 2 patients had only plaques with a favorable response, and 17 patients had both plaques with favorable and less-favorable responses.