Prevalence of Brain Microbleeds in Alzheimer Disease: A Systematic Review and Meta-Analysis on the Influence of Neuroimaging Techniques

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ABSTRACT

BACKGROUND AND PURPOSE: The literature on the prevalence of Alzheimer disease—associated cerebral microbleeds assessed with MR imaging shows considerable heterogeneity in terms of imaging techniques and parameters. Our aim was to perform a meta-analysis of the role of imaging techniques, including image acquisition, field strength and scanner type, and clinical and demographic factors on the reported prevalence of microbleeds in Alzheimer disease.

MATERIALS AND METHODS: The prevalence of microbleeds was examined with respect to a priori–selected moderating variables via meta-analytic tools of literature reports.

RESULTS: Fourteen unique studies providing 15 microbleed prevalence rates met the selection criteria for inclusion. The aggregate prevalence of microbleeds was 24% (95% CI, 19%–28%). Scan (SWI = 40%, gradient echo = 18%, EPI = 19%) and field strength (slope = 0.39; standard error = 15, P < .01) influenced the prevalence of microbleeds. The associations between microbleeds and age, sex, and global cognitive status were not significant. After updating the literature, the aggregate prevalence remained in the 95% CI range.

CONCLUSIONS: Imaging technique and field strength are strongly associated with the prevalence of microbleeds over the global aggregate. Standardized imaging protocols for identification of microbleeds are recommended to minimize confounds.

ABBREVIATIONS: AD = Alzheimer disease; ER = event rate; GRE = gradient recalled-echo imaging; MB = microbleed; MCI = mild cognitive impairment; STROBE = STrengthening the Reporting of OBservational studies in Epidemiology

A growing number of studies have suggested that the presence of microbleeds (MBs) and the overall microbleed burden have prognostic significance for Alzheimer disease (AD).^{1,2} In AD, MBs are thought to contribute to the pathophysiology of the illness, demonstrating a link between amyloid pathology and neurovascular change.³ Although still controversial, the impact of MBs on the progression of mild cognitive impairment (MCI) due to incipient AD and subsequent emergence of AD has been suggested.⁴ It is not clear whether MBs are consequences of AD or cerebral amyloid angiopathy, or just a bystander. Current data on the impact of MBs on global cognition have been equivocal, and

most studies showed a minimal effect of MBs.⁵ The lack of effect has been associated with small sample size, insufficient MB counts to cause any cognitive change, or AD severity masking the subtle effect of MBs on neurocognitive functioning.⁶ Heterogeneous classifications and poor validation of both the presence and prevalence of MBs have further obscured potential relationships between neurocognitive functioning and MBs.^{7,8} With respect to subregional neuropathology, few studies have directly examined the effect of MBs on other markers of AD such as CSF-associated amyloid antibodies or hippocampal atrophy.^{8,9}

A number of clinical human studies examining the prevalence of MBs in AD have been published since 2000. The most recent review on the prevalence of MBs in AD included 4 unique studies.³ Those studies reported heterogeneous samples of patients with AD with varying severity of cognitive impairment, age ranges, sex, study design, and other confounds. Reported prevalence rates ranging between 17% and 32% were described, likely due to considerable heterogeneity of imaging acquisition techniques and MB identification approaches.

In MR imaging, paramagnetic hemosiderin in MBs gives rise to local field inhomogeneities, which affect both the magnitude

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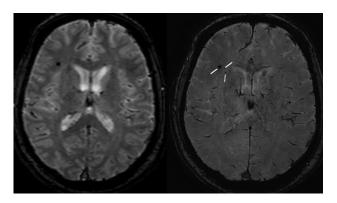


FIG 1. Gradient-echo MR imaging (*left*) and SWI (*right*) acquired in the same subject on a 3T Achieva (Philips Healthcare, Best, the Netherlands) with an 8-channel sensitivity encoding head coil and sensitivity encoding reconstruction of multichannel data. The gradient-echo scan shows 1 microhemorrhage when the more sensitive SWI scan detects 3.

and the frequency of the MR imaging signal. Conventional gradient recalled-echo imaging (GRE) makes use of changes in the magnitude via loss in the T2*-weighted signal. Susceptibilityweighted imaging is a gradient-echo technique with high spatial resolution that generates images with improved sensitivity to MBs by also incorporating the MB effects on the MR signal frequency. 10,11 Because SWI is a 3D sequence, it allows thin sections without intersection gaps. Typical spatial resolution of SWI is $0.5 \times 0.5 \times 2$ mm, whereas GRE sections are up to 5 mm thick with intersection gaps of up to 2 mm. The improved spatial resolution allows SWI to capture small MBs, which may be missed with GRE. Imaging of MBs also benefits from increased magnetic field strength, which leads to a higher signal-to-noise ratio and makes the field inhomogeneities around MBs more pronounced, resulting in increased image contrast. The choices of both field strength and imaging technique have, therefore, a substantial influence on the visibility of small bleeds (Fig 1). With technical developments, the sensitivity of MR imaging to MBs has increased and the prevalence reported on previous reviews may have to be revised.

The purpose of this study was to conduct a meta-analysis of MB prevalence in AD that takes into account imaging, clinical, and demographic parameters.

MATERIALS AND METHODS

Search Strategy

MEDLINE and EMBASE were queried for a priori-defined key words with key terms and subject headings on September 9, 2014. The following were combined to yield our search outcome: SWI OR "susceptibility weighted imaging" OR "gradient echo imaging" OR "gradient echo MR imaging" OR "susceptibility-weighted MR imaging" OR MR imaging OR nuclear MR imaging AND Microbleed* OR microhemorrhage OR "petechial hemorrhage" OR hemosiderin OR "cerebral amyloid angiopathy" OR cerebral hemorrhage OR intracranial hemorrhages AND Alzheimer disease OR dementia OR Alzheimer*. Examining the reference list of review articles relevant to the study substantiated the search. The search of the literature was updated on May 11, 2015.

Selection Criteria

Two reviewing authors (A.A.S. and A.R.) examined all retrieved abstracts independently. Studies were retained when they reported both the diagnosis of AD and the prevalence of MBs. Articles were excluded if they were the following: 1) a case report, review, meta-analysis, letter, editorial, case-control or cross-sectional studies; 2) a treatment study; or 3) reported duplicate data on the following: 4) on postmortem brain; 5) atypical AD (eg, logopenic); 6) explicitly on familial AD type; or 7) included mixed groups (eg, AD with MCI). The same method was applied to studies found by a hand search of reference lists of review articles. When disagreement occurred in study classification, further discussion was undertaken to reach concordance. Additionally, quantitative analysis of between-rater agreement was performed. The selection criteria were formulated to minimize statistical and sample heterogeneity.

A Priori-Selected Moderating Variables

The selected moderating variables were the following: imaging parameters including scanner type, field strength, and scan techniques; MB definition; age of patients with AD (total AD sample); global cognitive scores of the sample; sex; diagnosis of AD (possible or probable); study design; hemorrhage; infarct/lacuna; stroke; and the anatomic distribution of MBs. Due to the limited number of included studies and the large number of variables, exploratory single-variable meta-regressions were performed.

Effect Size and Calculations

Event rates (effect sizes) were generated by using the reported prevalence rate for MBs (>1) in patients with AD for each study. Subsequently, an aggregate measure was computed by using the random-effects model that takes into account the sample size from each study. For categoric and continuous-type moderating variables, meta-regression or categoric analyses were performed. All the analyses and graphs were implemented by using Comprehensive Meta-Analysis (Version 2.0, https://www.meta-analysis.com).¹²

Each study contributed 1 time to the aggregate event rate estimate, unless the authors provided different event rates for different techniques. For the study reporting field strengths of 1T and 1.5T, the lower field strength was used. For studies reporting cognitive scores or mean age for patients with and without MBs, an aggregate mean and SD were calculated by using D-STAT13 and were subsequently used in the overall analyses. Comparison between the prevalence of MBs in patients with AD versus healthy controls and those with subjective cognitive impairment and other dementias was performed by using odd ratios. Examination of the effect of antiplatelet medication, the apolipoprotein E4 allele (presence and percentage reported), hypertension, and immunotherapy when possible was performed by using categoric and meta-regression analyses. For all analyses, the critical level of significance was set to 5%. For all meta-regressions, secondary (aggregate) statistics were used. The reported prevalence (event rate) from each study was transformed to a log scale to allow both continuous and dichotomized moderating variables. For singlevariable meta-regressions, we regressed the moderating variable

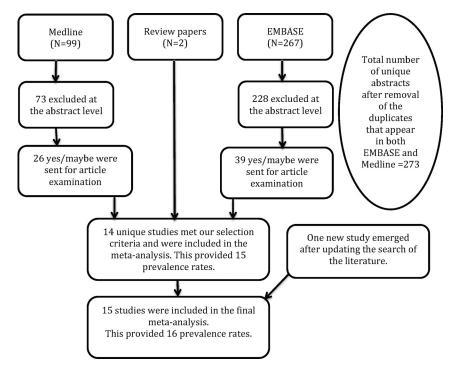


FIG 2. Flow diagram showing the progress of data collection.

on the prevalence rate. The R² analog was used to report the explained magnitude of between-study variance.

Quality Assessment

The quality of the included studies was assessed according to STrengthening the Reporting of OBservational studies in Epidemiology (STROBE) guidelines. For reporting of the meta-analysis data, when applicable, reference has been made to Meta-analysis of Observational Studies in Epidemiology or the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement. 15,16

Assessment of Bias

For examination of publication bias, the funnel plot and the Begg and Mazumdar rank correlation test¹⁷ and the test of the intercept of Egger et al¹⁸ were used. A significant *P* value for both Begg and Mazumdar and Egger test is indicative of possible bias.

Heterogeneity

Statistical heterogeneity was examined for global and subsequent moderating variables by using the Q-statistic and I².¹⁹ To minimize the magnitude of bias resulting from selectively dropping studies to explain heterogeneity and to keep the maximum number of studies in the analyses, we assessed the heterogeneity by using multivariable meta-regressions (incremental or diagonal approach) when applicable. The incremental approach was used when variables could have been added, and the diagonal was used when there was colinearity among variables. The first category consisted of imaging parameters including field strength, scan technique, and scanner type. The second category included demographics and Mini-Mental State Examination score. The third category pertained to clinical variables, including the effect of MB

location and definition, lacunae, stroke, hemorrhage, AD diagnosis, and risk factors. Subsequently, analyses were performed of the following: 1) prevalence for other dementias and control groups, 2) risk factors including *apolipoprotein E4* carriers (heterozygote, homozygotes, or both) and percentage with hypertension, 3) publication date on the prevalence of MBs, and 4) analyses based on updated literature.

RESULTS

Study Selection

The search of electronic literature yielded 273 unique abstracts (99 from MEDLINE and 267 from EMBASE). Also, 2 studies^{20,21} emerged from the search of review articles. From these, 73 studies from MEDLINE and 228 from EMBASE were excluded at abstract screening. The remaining 26 from MEDLINE and 39 from EMBASE were examined for data and selection criteria in more detail. At this stage, the interra-

ter κ agreement was at 0.87. From MEDLINE/EMBASE and emerging studies, 14 published studies met selection criteria and had no overlap with any other included study. One study²² reported results from 2 techniques in the same cohort, resulting in 15 reported prevalence rates for the subsequent analyses (see Online Table 1 for excluded studies, and flow-diagram, Fig 2). Therefore, the final included number of studies was 15 (Table 1 and On-line Table 2).

Demographic Data and Acquisition Platforms of the Included Studies

The sample sizes ranged from 10 to 550. For the studies reporting global cognition scores as assessed by the Mini-Mental State Examination, the values ranged from 17.9 to 26. The mean age range was 67–79.5 years; the percentage of male subjects was between 29% and 51%. AD diagnoses were reported as probable AD, a mix of probable and possible AD as per the 1984 criteria of McKhann et al,²³ and unspecified.

Scanners used were Signa 1.5T and Signa 3T (GE Healthcare, Milwaukee, Wisconsin); Intera 1.5T, Intera 3T, and Achieva 3T (Philips Healthcare, Best, the Netherlands); and 1T Impact, 1.5T Avanto, 1.5T Vision, 1.5T Sonata, and 3T Trio (Siemens, Erlangen, Germany). The field strengths were 1T, 1.5T, and 3T; the techniques used were T2*-weighted gradient echo, echo-planar imaging, and SWI. Most studies reported on diffuse/global distribution of the MBs and explicitly considered MBs as round and ≤10 mm.

Prevalence Aggregate

The global event rate (ER) estimate for at least 1 MB included 583 cases from a sample of 2333 (ER = 0.24, n = 15) and was heterogeneous (I^2 = 85.99). Both graphic (funnel plot) and quantita-

Table 1: Neuroimaging parameters of the included studies

Studies	Scanner/Technique/Field Strength	Gap/Raters
Benedictus et al, 2013 ²⁸	GE Healthcare/EPI/3T	Unknown/1 neuroradiologist
Cordonnier et al, 2006 ⁷	Siemens/GRE/1T	1.5/1 observer, type unknown
Fukui et al, 2013 ^{21,a}	Unknown/GRE/1.5T	Unknown
Goos et al, 2011 ^{22,b}	Siemens/GRE/1.5	1.5/1 rater, type unknown
	Siemens/SWI/1.5T	NA/1 rater, type unknown
Kester et al, 2014 ^{29,a}	GE Healthcare, Siemens/GRE/1T, 1.5T, and 3T	Unknown
Kirsch et al, 2009 ⁴	Siemens/SWI/1.5T	NA/4 readers +1 neuroradiologist
Nagasawa et al, 2014 ²⁰	Unknown/GRE/1.5T	0.5/2 neurologists, 1 neuroradiologist
Nagata et al, 2012 ⁴⁰	Unknown/EPI/1.5T	Unknown/unknown
Nakata-Kudo et al, 2006 ⁴¹	Philips/GRE/1.5T	1/1 neurologist, 1 radiologist
Park et al, 2013 ⁴²	Philips/GRE/3T	2/2 neurologists in consensus
Qiu et al, 2010 ⁴³	GE Healthcare/EPI/1.5T	NA/1 neuroradiologist and subsequent raters
Staekenborg et al, 2009 ⁴⁴	Siemens/GRE/1T	1.5/unknown
Uetani et al, 2013 ²⁴	Siemens/SWI/3T	NA/2 neuroradiologists in consensus
van der Vlies et al, 2012 ⁴⁵	Siemens/GRE/1T or 1.5T	1/unknown
Yates et al, 2014 ⁴⁶	Siemens/SWI/3T	NA/2 neuroradiologists in consensus
Zonnefeld et al, 2014 ⁴⁷	GE Healthcare/SWI/3T	NA/1 of 2 neuroradiologists
Postupdate		· ·
Olazarán et al, 2014 ²⁷	GE Healthcare/EPI/3T	NR/neuroradiologist

Note:—NA indicates not applicable; NR, examined but not reported.

Table 2: Mixed-effects single meta-regressions (method of moment) on the event rate estimate as a function of moderating variables

Variables	Slope	SE	P Value	No.
Quality (STROBE)	0.0545	0.1184	.6455	15
Field strength	0.3924	0.1482	.0081	15
Male (%)	-0.0085	0.0260	.7449	12
Age AD (mean, yr)	0.0484	0.0456	.2881	12
MMSE (mean score)	-0.1919	0.1119	.0864	9
Apoe-ε4 (%)	0.0117	0.0091	.1992	5
No. of image raters	0.1330	0.1598	.4055	12
Postupdate ^a				
Year of publication	0.1099	0.0518	.0340	16

Note:—SE indicates standard error; MMSE, Mini-Mental State Examination; No., number of outcomes included in the analysis; imaging technique: TE and TR. ^a No statistical change was observed for all other variables in the Table after analyzing

tive analyses for publication bias were nonsignificant (P > .05), suggesting a lack of significant bias.

Global/diffuse distribution of the MB event rate was similar to the global effect (ER = 0.24, n = 11); and for cortical, subcortical, or nonspecified MB locations, various prevalence rates were reported. For those that reported explicitly and used a size of ≤ 10 mm to define MBs, the prevalence of MBs was 25 (ER = 0.25, n = 13).

Moderating Factors

with the new study.

Imaging Techniques. With SWI (ER = 0.40, n = 5), the prevalence was more than twice as high as that in those studies conducted with GRE imaging (ER = 0.18, n = 7) or EPI (ER = 0.19, n = 3). For Siemens scanners, the prevalence of MB was 27% (ER = 0.27, n = 8).

Demographics. Age (P = .29), sex (P = .75), and global cognition (P = .09) assessed by single mixed-effects meta-regression did not modify the prevalence of MBs (Table 2). For cigarette smoking, the prevalence of MBs was nearly 25% (ER = 0.246; 95% CI, 0.200–0.298). Details for medication and substance use were insufficient for further analyses.

Diagnostic Characteristics. For studies reporting explicitly on probable AD as per the diagnostic framework of McKhann et al, ²³ the prevalence of MBs (ER = 0.27, n = 7) was slightly higher compared with those studies that did not specify an AD diagnostic criterion (ER = 0.24, n = 6) but was significantly higher than those reporting a combination of probable and possible AD groups (ER = 0.14, n = 2). The associations between MBs and age, sex, and global cognitive status were not significant factors that affected the prevalence of MBs for probable AD (P > .1).

Quality Assessment. The quality of studies as assessed by STROBE guidelines did not appear to affect the overall event rate estimate (slope = 0.055, standard error = 0.118, P = .646, n = 15).

Details on event rates and meta-regression results are listed in Table 2 and On-line Table 3.

Heterogeneity

The heterogeneity was assessed by using multivariable meta-regressions. For the imaging model, independently, the field strength was significant (P < .002) and explained approximately 34% of the between-study variability but was no longer significant when the scanner type and, subsequently, the technique were included in the model. When including the field strength and scanner type, GRE explained 73% and SWI explained 93% of the variability. When all 3 variables were included in the model, only the Philips scanner was significant. This effect was not present when initially the technique and then the scanner type were entered into the model.

None of the other models (demographic, clinical factors, lacunae/stroke/hemorrhage) and clinical AD diagnoses (probable/probable-possible/not specified) and none of the risk factors (apolipoprotein E4 carriers, hypertension), alone or in combination, were statistically significant or resulted in a between-study variability of >3%. Immunotherapy and antiplatelet therapy were not examined due to limited data.

^a Studies are excluded at the moderating factor analyses. Only the van der Vlies et al⁴⁵ 2012 study reported the percentage of medicated patients with AD.

^b Two different techniques were used with the same cohort.

Prevalence of Other Dementias

Within the subset of studies that provided a comparison group, the presence of MBs in vascular dementia was 58% (71 of 122 cases of vascular dementia, 4 studies), 16% for healthy controls or the subjective cognitive impairment group (92 of 553 cases of controls, 7 studies), 28% for mild cognitive impairment (105 of 376 cases of MCI, 7 studies), and 14% for a group containing mixed diagnoses (eg, including AD, vascular dementia, mixed dementia) (50 of 358 cases of mixed diagnosis, 6 studies). Analyses comparing the prevalence of MBs in AD with frontotemporal lobar dementia and Lewy body dementia were not possible, given that only 1 study²⁴ made these comparisons. The ORs for these comparisons are given in On-line Fig 1.

Risk Factors

The MB prevalence rate for the studies reporting the percentage of *apolipoprotein E4* carriers (heterozygote, homozygote, or both) was similar to the global aggregate (On-line Table 3). The effect of the percentage of *apolipoprotein E4* carriers on the prevalence rate via single meta-regression was nonsignificant (Table 2). There was no effect of hypertension on the prevalence of MBs (slope = 0.015, standard error = 0.042, P = .71).

Literature Update

Update of the literature review, based on screening, yielded 3 studies. Two of the 3 studies were excluded, 25,26 and the only study meeting our selection criteria was added to the remaining of studies. To avoid investigator bias, we also performed analyses of the added new article separately. Small changes were observed in the global prevalence of MBs (24.9% versus 24.1%) and other variables, which are listed in Table 2 and On-line Table 3. On-line Fig 2 shows the forest plot for the updated aggregate event rate.

Effect of Publication Year

Single-variable meta-regression analysis to examine the effect of publication year on the MB prevalence rates was significant (P = .034).

DISCUSSION

The aggregate MB prevalence emerging from this meta-analysis for patients with AD was 24%, and 25% after including an additional study that emerged from a search update in May 2015, and was similar to the earlier findings from studies reported by Cordonnier and van der Flier (23%).3 Apparent prevalence is particularly affected by the image-acquisition technique (GRE, EPI, SWI). Those studies applying SWI had twice the prevalence rates for MBs as studies using GRE or EPI. SWI is highly sensitive to susceptibility-related contrast because of combining phase and magnitude images. In studies of neurotrauma, SWI was found to detect up to 5 times as many hemorrhages compared with GRE.²⁸ However, SWI requires appropriate reconstruction of data acquired with multichannel coils. If the data from the individual channels are combined incorrectly, the phase images may exhibit singularities that lead to artifactual MBs in the final SWI.²² These singularities are avoided if complex valued coil sensitivity maps are used for the channel combination (sensitivity encoding reconstruction). 10,22,29 On some scanner platforms, the correct coil

combination is implemented by default, ¹⁰ whereas on other platforms, it must be implemented by the end user. ^{4,29}

Patients with AD have increased prevalence rates of MBs compared with healthy controls and those with subjective cognitive impairment. Our aggregate prevalence of MBs for AD was considerably higher than that reported in the literature for asymptomatic or healthy elderly individuals (11.1 versus 25%),³⁰ and it is consistent with the literature reporting higher prevalence in atypical AD compared with AD.³¹

The prevalence of MBs from studies reporting explicitly on probable AD was higher than that in those reporting a combination of probable and possible AD (14% versus 27%). This is potentially because in the latter group, the balance in the number of patients included between possible and probable AD was not clear and the pathology could have been frontotemporal lobar dementia or Lewy body dementia—like, which are expected to have lower prevalences of MBs.

The current results have implications for disease monitoring and imaging outcomes in drug development. SWI would show more bleeds at baseline and would be more sensitive to detecting new MBs, which may have implications for safety monitoring in phase II trials. Also, a change in the MB number and size could be associated with the severity of primary pathology and secondary symptoms.

Whether an increase in MB detection translates into a clinically meaningful difference remains debatable. MBs contribute to the pathophysiology of the illness, and they potentially indicate a link between amyloid pathology and neurovascular change. Moreover, the presence of MBs and the overall MB burden have prognostic significance for AD and may affect cognition. Better imaging of the MBs in AD may allow better understanding of the pathophysiology of MBs in AD and the role that smallvessel disease plays in AD pathology.

The strength of our study is that we examined multiple moderating variables that to date, no other study has performed, to our knowledge. Scanner type (Siemens and GE Healthcare), use of SWI technique, field strength, and diagnosis of probable AD and MB definition (\leq 10 mm) were factors that increased the prevalence over the global aggregate. We also found that great variability existed as a function of AD diagnosis, but not because of age, sex, or cognitive status.

Our meta-analysis has certain limitations. Given the requirement for multiple single-variable meta-regression analysis, an increased probability of type I error exists. However, the results remain unchanged when examined at a significance level of P=.01. Additionally, multivariable meta-regressions were used to investigate heterogeneity without dropping studies that would have been limitations in other approaches. Nonetheless, the limited number of studies and some missing data, which preclude sufficient analyses of publication bias and multivariable modeling, suggest that some results should be considered with caution.

Most of the studies included in our analyses did not explicitly state that patients with a history of brain injury, stroke, or other sources of hemorrhage were excluded. Because these events can result in localized regions of tissue damage that could be misconstrued as AD-associated MBs instead of injury-associated MBs by raters, there is a risk that inclusion of patients with head injury,

neurovascular pathology, or hemorrhage would have skewed their reported results. Additionally, we were unable to contact the authors for missing data, which would have added power to each component of the analyses, specifically at the meta-regression level.

Because of a paucity of detailed data on patients' medication regimens, we could not determine the effect of immunotherapy on the rate of MBs. Certain types of A β -42-based immunotherapy are hypothesized to aggravate cerebral amyloid angiopathy–related vascular damage or dysfunction. ^{37,38} We found no significant effect of the presence of the *apolipoprotein E4* allele on the prevalence of MBs. This lack of effect could be due to heterogeneity in reporting the status of the *apolipoprotein E4* allele carriers (heterozygote, homozygote, or both). In fact, some studies reported the percentage of the carrier without specifying allele variants, whereas others reported exact rates for homozygotes and or heterozygotes.

Future Directions

Future studies examining the prevalence of MBs in AD should report the number, size, and location of MBs more precisely, which may allow analysis of the relationship between these factors and neurocognitive outcomes. Given the large differences in prevalence among different imaging technologies, future studies should attempt to use technologies that can be standardized and are sensitive, yet widely available. SWI with 3T scanners is now emerging as the standard for identifying MBs. This is consistent with our finding that the year of publication is positively correlated with the prevalence of MBs. In addition, MR imaging scanner manufacturers need to ensure that artifact-free SWI is provided. Field strengths of 7T and above are gaining importance in research and may eventually be used in clinical settings, which may result in a further increase in the prevalence of MBs in patients imaged on such systems.³⁹

CONCLUSIONS

Imaging technique and field strength are strongly associated with the prevalence of microbleeds over the global aggregate. Standardized imaging protocols for identification of microbleeds are recommended to minimize confounds.

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