



Published in final edited form as:

Transplant Cell Ther. 2021 January ; 27(1): 55.e1–55.e7. doi:10.1016/j.bbmt.2020.09.004.

Outcomes of autologous hematopoietic cell transplantation in diffuse large B-cell lymphoma refractory to first line chemoimmunotherapy

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Abstract

Outcomes of patients with primary refractory diffuse large B cell lymphoma (DLBCL) are dismal. The role of autologous hematopoietic cell transplant (autoHCT) in this population is not well defined in the modern era. Most datasets combine these patients with those with relapsed disease. We report the outcomes of autoHCT in patients with primary refractory DLBCL that subsequently demonstrated chemosensitive disease with salvage therapies, using the Center for International Blood and Marrow Transplant Research (CIBMTR) registry. Between 2003 and 2018, 169 patients met the inclusion criteria. The median age of the cohort was 54 years, 64% were male. The patients had advanced stage disease (73%) at diagnosis, 27% patients had stable disease and 73% had progressive disease after frontline chemoimmunotherapy. Following salvage therapy, 36% patients were in complete remission (CR) and 64% in partial remission (PR). Non-relapse mortality (NRM), progression/relapse, progression-free survival (PFS) and overall survival (OS) of this cohort at 4-years was 10.8% (95%CI 6–13), 47.8% (95%CI 41–52), 41.4% (95%CI 38–50) and 49.6% (95 CI 44–56), respectively. On univariate analysis, patients with progressive disease after frontline chemoimmunotherapy did just as well as those with stable disease. Patients

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Author contributions:

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Financial support: CIBMTR

Collection and assembly of data: Carlos Litovich and Mehdi Hamadani.

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Interpretation: All authors.

Manuscript writing: First draft prepared by Susan Bal, Luciano Costa and Mehdi Hamadani. All authors helped revised the manuscript.

All others do not/have not reported any conflicts.

achieving CR with salvage therapy had a lower cumulative incidence of progression/relapse at one year (30% vs 46.9%; $p=0.02$) and experienced superior one-year PFS compared to patients in PR (63.2% vs 46.7%; $p=0.03$). AutoHCT provides durable disease control and should remain the standard-of-care in primary refractory DLBCL patients who respond to salvage therapies.

Keywords

diffuse large B-cell lymphoma; autologous transplantation; primary refractory

Introduction

Diffuse large B-cell lymphoma (DLBCL) is the most common histologic subtype of non-Hodgkin lymphoma (NHL). Anthracycline and rituximab-based combination frontline chemoimmunotherapy is highly active and will cure 50–60% of patients.^{1,2} Relapsed/refractory disease is treated with salvage chemotherapy followed by autologous hematopoietic cell transplantation (autoHCT) in patients with chemosensitive disease, and chimeric antigen receptor (CAR) modified T-cells in patients with refractory disease.^{3,4}

Similar to other hematologic malignancies, response to therapy is prognostic in DLBCL. Patients achieving a complete response (CR) to frontline chemoimmunotherapy by clinical and radiologic criteria experience improved long-term survival.⁵ Patients with less than a CR, evidence of frank disease progression after completion of frontline therapy, or those who experience early disease relapse with short period of CR have been defined as early treatment/rituximab failure and have poor outcomes.⁶

Several studies to date have attempted to describe the outcomes of this group. In the pre-rituximab era, using data from the Center for International Blood and Marrow Transplant Research (CIBMTR), Vose et al. showed that autoHCT can salvage patients with primary refractory disease.⁷ A subsequent CIBMTR analysis showed that autoHCT can salvage patients with early chemoimmunotherapy failure.⁸ However, there are no studies specifically evaluating the outcomes of patients with DLBCL who experience stable disease (SD) or progressive disease (PD) (called primary refractory disease for the purpose of this study) in response to rituximab containing frontline chemoimmunotherapy. The long-term survival of this subset of patients is particularly dismal.^{9,10} While many investigators also consider partial response (PR) to frontline therapy as primary refractory disease,^{11,12} we aimed to focus on the most refractory cases to critically appraise the value of autoHCT in this challenging population. Additionally, the limited efficacy of available post relapse salvage therapies renders a large proportion of patients with primary refractory disease ineligible for autoHCT.

The objective of our study is to report the outcomes of patients with primary refractory DLBCL herein defined as patients experiencing SD or PD in response to frontline chemoimmunotherapy, who subsequently respond to salvage therapy and undergo an autoHCT.

Methods

Data source

The CIBMTR is a collaborative research program managed by Medical College of Wisconsin (MCW) and The National Marrow Donor Program (NMDP) that collects data from more than 500-transplant centers worldwide. Participating sites are required to report detailed data on both autologous and allogeneic HCT with frequent updates gathered during the longitudinal follow-up of transplant patients and the compliance is monitored by on-site audits. Computerized checks for discrepancies, physicians' review of submitted data, and on-site audits of participating centers ensure data quality. Observational studies conducted by the CIBMTR are performed in compliance with all applicable federal regulations pertaining to the protection of human research participants. The MCW and NMDP institutional review boards approved this study.

Patients

Primary refractory DLBCL patients (aged ≥ 18 years), who received an auto-HCT between 2003 and 2018 and reported to CIBMTR were included in this analysis. Primary refractory disease was defined as either SD or PD as best response to rituximab and anthracycline-containing frontline chemoimmunotherapy. All patients in our study showed evidence of subsequent response to salvage chemotherapy (CR or PR) prior to auto-HCT. Patients who received a bone marrow graft, those with chemorefractory disease after salvage therapy, and those patients with active central nervous system involvement prior to auto-HCT were excluded.

Definitions and Endpoints:

Chemosensitive disease is defined as achieving either a CR or PR to salvage treatment prior to transplant. Response to frontline chemoimmunotherapy and disease status prior to auto-HCT were determined using the International Working Group criteria^{13,14}.

Primary endpoint is overall survival (OS). The OS is defined as the interval from the date of transplantation to the date of death or last follow-up. Death from any cause was considered an event and surviving patients were censored at last follow-up. Non-relapse mortality (NRM) is defined as death without evidence of lymphoma progression/relapse; relapse is considered a competing risk. Progression/relapse is defined as progressive lymphoma after autoHCT or lymphoma recurrence after a CR; NRM is considered a competing risk. For progression-free survival (PFS), a patient is considered a treatment failure at the time of progression/relapse or death from any cause. For relapse, NRM, and PFS patients alive without evidence of disease relapse or progression are censored at last follow-up. Neutrophil recovery is defined as the first of 3 successive days with absolute neutrophil count (ANC) $500/\mu\text{L}$ after post-transplantation nadir. Platelet recovery is considered to have occurred on the first of three consecutive days with platelet count $20,000/\mu\text{L}$ or higher, in the absence of platelet transfusion for 7 consecutive days. For neutrophil and platelet recovery, death without the event is considered a competing risk. All outcomes are calculated relative to the transplant date.

Statistical Analysis:

The distribution of OS and PFS are estimated using the Kaplan-Meier method. Cumulative incidence method is used to estimate hematopoietic recovery, NRM, relapse/progression while accounting for competing events. Results are reported as 95% confidence interval (CI) and p-value, with p value <0.05 considered statistically significant. Due to the small sample size of the study, only univariate analysis was conducted and regression modeling (e.g. proportional hazards model) was not performed. All statistical analyses are performed using SAS version 9.4 (SAS Institute, Cary, NC).

Results:

One hundred and sixty nine adult patients with primary refractory DLBCL met the inclusion criteria for the study (baseline characteristics, Table 1). The median age of the cohort is 54 years (20–77 years) with 64% (n=109) males. Most patients were White (77%; n=130) and African Americans constituted 12% (n=21) of the cohort. Over half of the patients had excellent performance status (Karnofsky Performance Score, KPS ≥ 90, 51%). 123 (73%) patients had advanced stage (stage III-IV) disease at diagnosis. Among patients with baseline lactate dehydrogenase (LDH) available, one-thirds (33%) had elevated LDH. The median lines of therapy prior to autoHCT was 2 (range 1–5). The median time from diagnosis to autoHCT was 10.9 months (2.7–138.2 months). The majority of the patients had PD (n=124, 73%) and the remaining (n=45, 27%) had SD after frontline chemoimmunotherapy. Following salvage therapy, 35% (n=60) patients achieved CR and 65% (n=109) PR at the time of autoHCT. Conditioning regimens included BEAM (BCNU, etoposide, melphalan, and cytarabine, 85%; BEAM 77%, rituximab-BEAM 23%), busulfan/cyclophosphamide (Bu/Cy) (10%), and CBV (cyclophosphamide, BCNU, and etoposide, 5%). Positron emission tomography–computed tomography (PET/CT) scan prior to conditioning was positive in 53% (n=90), negative in 23% (n=39), and not performed/not reported 24% (n=40) patients. In our present series, 41% autoHCT procedures were recorded after 2012.

Transplantation outcomes

The cumulative incidence of neutrophil recovery at day 30 was 98.2% (95%CI=95.3–99.7%) and platelet recovery at day 100 was 97% (95%CI=93.5–99.1%) (Table 2). The 1-year cumulative incidence of NRM was 6.5% (95%CI=3.3–10.8%) (Table 2, Figure 1A). The 4-year cumulative incidence of relapse/progression was 47.8% (95%CI= 40.1–55.5%) (Table 2). The 4-year PFS was 41.4% (95%CI=33.8–49.2%) (Table 2). The 4-year OS was 49.6% (95%CI=41.9–57.4%) (Table 2).

Impact of response to frontline chemoimmunotherapy

Among the 169 patients included in our study, the majority had PD (N=124; 73%) and the remaining had SD (N=45; 27%) after completion of frontline chemoimmunotherapy. A subgroup univariate analysis of patients by response status did not show any differences in the NRM (1-year NRM, SD 6.7% vs PD 6.5%, p=0.96), progression/relapse (1-year SD 37.8% vs. PD 42%, p=0.6; 4-year SD 45.8% vs. PD 48.5%, p=0.7), PFS or OS did not differ significantly at any time points between the two groups (Table 3).

Impact of Remission Status prior to autoHCT

A higher proportion of patients were noted to be in a PR at the time of auto-HCT compared to CR (PR N=109, 65% vs CR N=60, 35%, Table 4). A subgroup univariate analysis of patients by response status did not show any differences in the 1-year cumulative incidence of NRM in the CR 6.7% versus PR groups 6.4% groups ($p=0.94$; Table 4). At 1-year, there was a lower incidence of relapse/progression in the CR group (30%) compared to the PR group (47%) ($p=0.029$) which translated into a higher PFS at 1-year in the CR group 63% compared to 47% in PR group ($p=0.03$). However, this difference was not noted beyond the first year. The 4-year PFS was 39% for the CR group versus 43% in the PR group ($p=0.69$)

The OS was numerically higher in the CR group at one year 72% vs 60% in the PR group, although it did not reach statistical significance ($p=0.1$). At 4 years, OS is comparable at 50% in the CR vs 49% in the PR groups respectively ($P=0.8$, Table 4). The small number of patients again precludes multivariate analysis.

Discussion

Optimal management of patients with primary refractory DLBCL patients in the rituximab era is unclear. To date, published prospective randomized studies exclusively evaluating the outcomes of this population are lacking. Most retrospective reports have significant inconsistencies in the definition of refractory disease, and often include patients with relapsed disease introducing heterogeneity. Our study suggests that patients with both SD and PD after frontline chemoimmunotherapy can experience durable disease control with autoHCT if they are eligible and respond to salvage therapy. While the depth of response prior to autoHCT remains predictive of relapse in the first year after transplant, a sizeable proportion of patients achieving at least a PR can experience durable remission with high dose therapy. At the onset, it also important to acknowledge the limitation that our analysis is applicable to only a very select cohort of primary refractory DLBCL patients, who were able to achieve chemosensitivity following salvage therapies.

Response to frontline chemoimmunotherapy is an established prognostic marker for both PFS and OS in DLBCL. Vardhana et al. reported outcomes of primary refractory DLBCL from a single institution.⁹ Fifty four patients with primary progressive disease (PP, minimal or no response to initial therapy) were included in the analysis. Twenty-seven (50%) patients showed sensitivity to salvage therapy [CR=8 (15%); PR=19 (35%)]. Sixteen (30%) patients with PP disease underwent autoHCT [CR=3 (19); PR=11 (69%)]. Unfortunately, the outcomes of the PP cohort undergoing transplant was not reported separately likely due to small numbers and remains a data-free zone. In the multicenter retrospective REFINE study, Costa et al. examined the primary progressive cohort (PP, N=144)¹⁰. Forty nine of the 144 patients proceeded with autoHCT. Two-year PFS and OS for auto-HCT patients were 38.4% (95% C.I. 29.6%–47.2%) and 54.9% (95% C.I. 44.9%–64.9%), respectively. Presence of two out of three factors (primary progressive disease, MYC status and intermediate-high/high risk national comprehensive cancer network/international prognostic index NCCN/IPI score) as ultra-high risk features predictive of inferior survival following autoHCT. In their study, disease response at time of transplant did not reach statistical significance as a

predictor of OS. Our cohort of 169 patients examines the outcomes of this specific subset of patients and will serve a benchmark for reference for future studies.

Current salvage therapy options in patients with relapsed/refractory DLBCL leave a lot to be desired. Responses rates are generally low (ORR<50%) among patients who experience early rituximab failure.^{4,15} Conversely, several studies have identified response to salvage therapy as the single most important prognostic factor for long-term survival among relapsed/refractory DLBCL.^{16,17} However, among studies limited to PP/refractory disease have conflicting results.^{9,10} In our present analysis, patients achieving CR with salvage therapy had a lower incidence of progression/relapse at one year (CR 30% vs. PR 46.9%; p=0.02) and improved one year PFS compared to patients in PR (CR 63.2% vs. PR 46.7%; p=0.03). Consistent with a previous report from CIBMTR, there were few progression events beyond the first year post autoHCT.⁸ Moving forward, the subset of patients in PR following salvage therapy could be considered for potential peri-autoHCT interventions to lower their risk of relapse.

We recognize several limitations of our registry-based study including its retrospective nature, lack of several pertinent variables including IPI score, c-myc gene status, cell of origin, exact number for frontline therapy cycles administered (before declaring a patient refractory), and a relatively smaller number of patients, all of which preclude multivariate analysis. Additionally, while the median time from diagnosis to transplant is 10.9 months, a proportion of patients underwent transplant >12 months from diagnosis. This captures patients with inherently good disease biology who are not only fit, but also survive long enough to receive multiple lines of therapy prior to autoHCT and proceed with autoHCT without interim disease progression.

Most importantly, as already acknowledged, this study does not evaluate outcomes of all primary refractory patients. The objective response rate to salvage therapy in the SCHOLAR-1 study for patients with primary refractory disease was 20% (CR 3%) and outcomes of patients who either do not respond or are not candidates for autoHCT remain dismal.¹⁸ Our study findings are generalizable to only a small subset of patients with primary refractory disease who subsequently demonstrate chemosensitivity to salvage therapy and are candidates for consolidation with autoHCT.

In recent years, chimeric antigen receptor T (CART) cells have emerged as a potentially curative option for relapsed/refractory DLBCL.^{19,20} Two products (axicabtagene ciloleucel, tisagenlecleucel) are currently FDA approved for use in patients refractory to two or more lines of therapy or progression after prior autoHCT. Several ongoing randomized studies (ZUMA-7, [NCT03391466](#); TRANSCEND, [NCT02631044](#); BELINDA, [NCT03570892](#)) are comparing CART cells to autoHCT in patients with relapsed disease prior to establishment of chemosensitivity to evaluate the best consolidative approach in this setting and may have important implications in the management of refractory patients in the near future.

In this CIBMTR study in patients with primary refractory DLBCL after modern frontline chemoimmunotherapy, autoHCT results in durable response and disease control in a

proportion of patients who demonstrate subsequent chemosensitivity to salvage therapy. Based on our results, autoHCT should remain the standard-of-care in this population.

CIBMTR Support List

The CIBMTR is supported primarily by Public Health Service grant/cooperative agreement U24CA076518 with the National Cancer Institute (NCI), the National Heart, Lung and Blood Institute (NHLBI) and the National Institute of Allergy and Infectious Diseases (NIAID); grant/cooperative agreement U24HL138660 with NHLBI and NCI; grant U24CA233032 from the NCI; grants OT3HL147741, R21HL140314 and U01HL128568 from the NHLBI; contract HSSH25020170006C with Health Resources and Services Administration (HRSA); grants N00014-18-1-2888 and N00014-17-1-2850 from the Office of Naval Research; subaward from prime contract award SC1MC31881-01-00 with HRSA; subawards from prime grant awards R01HL131731 and R01HL126589 from NHLBI; subawards from prime grant awards 5P01CA111412, 5R01HL129472, R01CA152108, 1R01HL131731, 1U01AI126612 and 1R01CA231141 from the NIH; and commercial funds from Actinium Pharmaceuticals, Inc.; Adaptive Biotechnologies; Allovir, Inc.; Amgen, Inc.; Anonymous donation to the Medical College of Wisconsin; Anthem, Inc.; Astellas Pharma US; Atara Biotherapeutics, Inc.; BARDA; Be the Match Foundation; bluebird bio, Inc.; Boston Children's Hospital; Bristol Myers Squibb Co.; Celgene Corp.; Children's Hospital of Los Angeles; Chimerix, Inc.; City of Hope Medical Center; CSL Behring; CytoSen Therapeutics, Inc.; Daiichi Sankyo Co., Ltd.; Dana Farber Cancer Institute; Enterprise Science and Computing, Inc.; Fred Hutchinson Cancer Research Center; Gamida-Cell, Ltd.; Genzyme; Gilead Sciences, Inc.; GlaxoSmithKline (GSK); HistoGenetics, Inc.; Immucor; Incyte Corporation; Janssen Biotech, Inc.; Janssen Pharmaceuticals, Inc.; Janssen Research & Development, LLC; Janssen Scientific Affairs, LLC; Japan Hematopoietic Cell Transplantation Data Center; Jazz Pharmaceuticals, Inc.; Karius, Inc.; Karyopharm Therapeutics, Inc.; Kite, a Gilead Company; Kyowa Kirin; Magenta Therapeutics; Mayo Clinic and Foundation Rochester; Medac GmbH; Medware; Memorial Sloan Kettering Cancer Center; Merck & Company, Inc.; Mesoblast; MesoScale Diagnostics, Inc.; Millennium, the Takeda Oncology Co.; Miltenyi Biotec, Inc.; Mundipharma EDO; National Marrow Donor Program; Novartis Oncology; Novartis Pharmaceuticals Corporation; Omeros Corporation; Oncoimmune, Inc.; OptumHealth; Orca Biosystems, Inc.; PCORI; Pfizer, Inc.; Pharmacyclics, LLC; PIRCHE AG; Regeneron Pharmaceuticals, Inc.; REGIMMUNE Corp.; Sanofi Genzyme; Seattle Genetics; Shire; Sobi, Inc.; Spectrum Pharmaceuticals, Inc.; St. Baldrick's Foundation; Swedish Orphan Biovitrum, Inc.; Takeda Oncology; The Medical College of Wisconsin; University of Minnesota; University of Pittsburgh; University of Texas-MD Anderson; University of Wisconsin - Madison; Viracor Eurofins and Xenikos BV. The views expressed in this article do not reflect the official policy or position of the National Institute of Health, the Department of the Navy, the Department of Defense, Health Resources and Services Administration (HRSA) or any other agency of the U.S. Government.

Luciano Costa reports Research Support/Funding: Amgen; Celgene; Janssen. Consultancy: Amgen; GSK; Celgene; Karyopharm; Sanofi; Abbvie. Honoraria: Amgen; GSK; Celgene; Sanofi. Speaker's Bureau: Amgen; Sanofi; Janssen. Advisor: Fujimoto Pharmaceutical Corporation Japan

Craig Sauter reports Research Support/Funding: Juno Therapeutics/Celgene/BMS, Precision Biosciences and Sanofi-Genzyme. Consultancy/Advisory board: Juno Therapeutics/Celgene/BMS, Sanofi-Genzyme, Spectrum Pharmaceuticals, Novartis, Precision Biosciences, Kite—a Gilead Company and GSK. Research funds for investigator-initiated trials from: Juno Therapeutics and Sanofi-Genzyme.

Mehdi Hamadani reports Research Support/Funding: Spectrum Pharmaceuticals; Astellas Pharma. Consultancy: MedImmune LLC; Janssen R & D; Incyte Corporation; ADC Therapeutics; Celgene Corporation; Pharmacyclics, Verastem. Speaker's Bureau: Sanofi Genzyme, AstraZeneca.

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Highlights

- Patients with primary refractory diffuse large B cell lymphoma (DLBCL) who subsequently respond to salvage therapy can experience durable disease control with autologous stem cell transplantation (AutoHCT).
- Benefit of autoHCT is seen in both patients who experience stable disease and progressive disease after frontline chemoimmunotherapy.

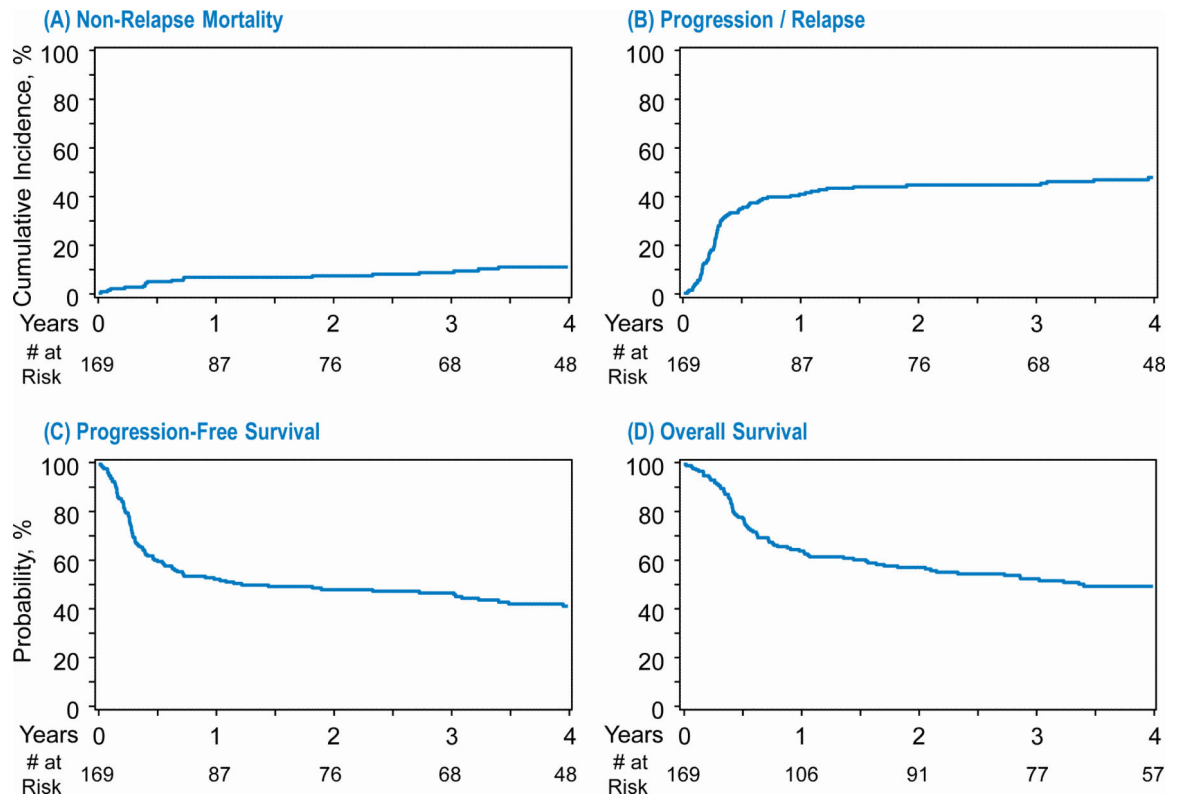
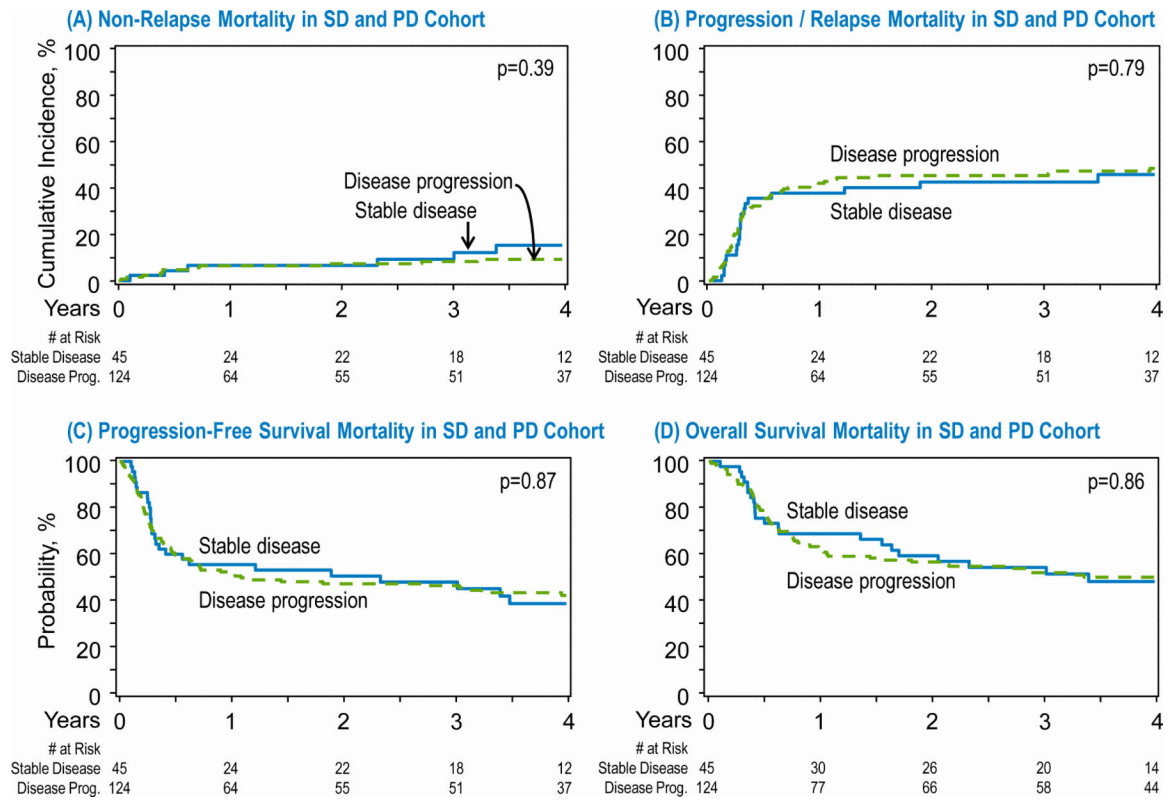
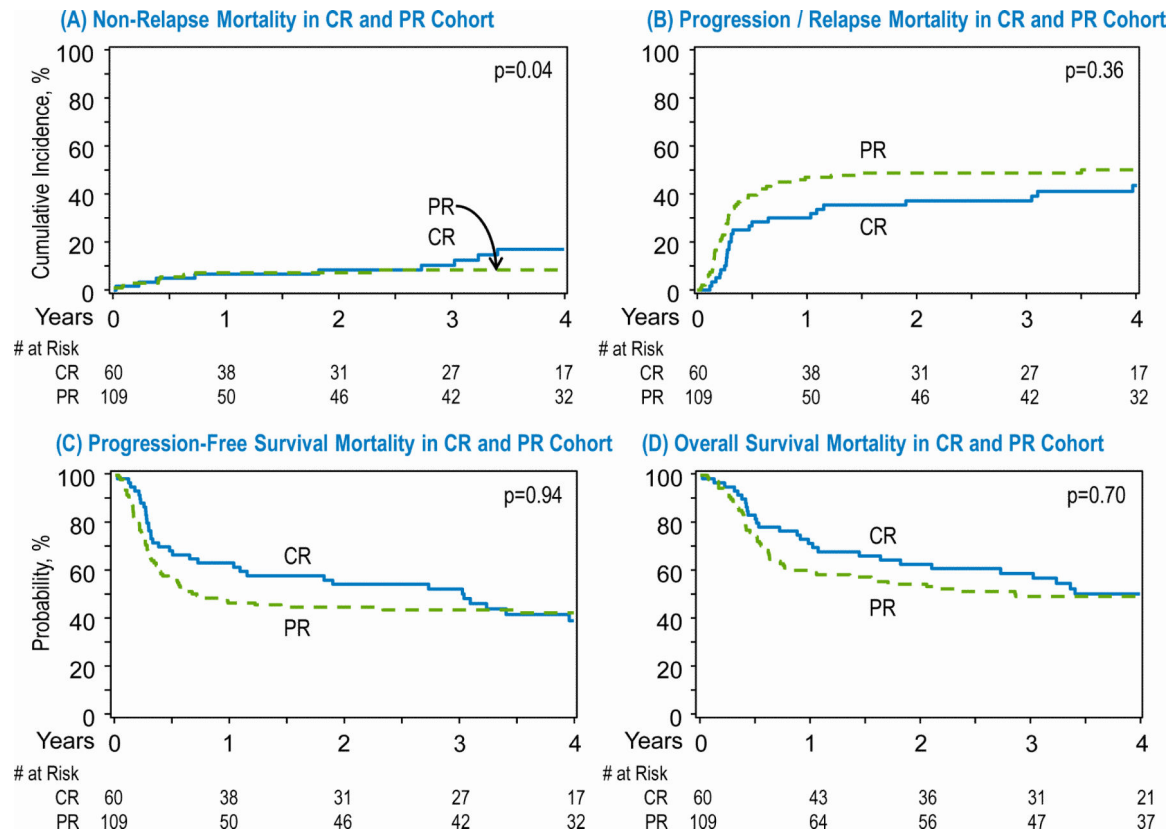


Figure 1: Transplant outcomes for primary refractory DLBCL. **(A)** Non relapse mortality **(B)** Progression/relapse **(C)** Progression free survival **(D)** Overall survival

**Figure 2:**

Transplant outcomes in patients with stable disease (SD) and progressive disease (PD) after frontline chemoimmunotherapy. **(A)** Non relapse mortality in SD and PD cohort. **(B)** Progression/relapse in the SD and PD cohort. **(C)** Progression free survival in the SD and PD cohort. **(D)** Overall survival in the in the SD and PD cohort.

**Figure 3:**

Transplant outcomes in patients with complete response (CR) and partial response (PR) after salvage therapy. **(A)** Non relapse mortality in CR and PR cohort. **(B)** Progression/relapse in the CR and PR cohort. **(C)** Progression free survival in the CR and PR cohort. **(D)** Overall survival in the in the CR and PR cohort.

Table 1.

Baseline characteristics of patients with primary refractory DLBCL patients undergoing autologous HCT between 2003 and 2018.

Variable	N=169 (%)
Patient age, years, median (range)	54 (20–77)
65 years, n (%)	40 (24)
Male Gender, n (%)	109 (64)
Race, n (%)	
Caucasian	130 (77)
African American	21 (12)
Others	9 (5)
Missing	9 (5)
Karnofsky performance score 90, n (%)	87 (51)
Missing	3 (2)
Stage III-IV at diagnosis, n (%)	123 (73)
Missing	10 (6)
LDH elevated at diagnosis, n (%)	22 (13)
Missing	104 (62)
No. of lines of therapy prior to HCT, median (range)	2 (1-5)
No bone marrow involvement at diagnosis, n (%)	131 (78)
Missing	11 (7)
Extranodal involvement at diagnosis, n (%)	90 (53)
Missing	11 (7)
Time from diagnosis to HCT, mo, median (range)	10.9 (2.7–138.2)
<3 months	1 (1)
3 to <6 months	14 (8)
6 to <12 months	77 (45)
12 to <24 months	54 (32)
24 months	23 (14)
Remission status at autoHCT, n (%)	
Complete remission	60 (36)
Partial remission	109 (64)
Conditioning Regimen – No (%)	143 (85)
BEAM	17 (10)
Bu/Cy	9 (5)
CBV	
PET CT scan result at last evaluation – No. (%)	
Positive	90 (53)
Negative	39 (23)
Not done/Not Reported	40 (24)
Year of HCT – no. (%)	
2004–2011	99 (59)

Variable	N=169 (%)
2012–2018	70 (41)

Abbreviations: BEAM indicates BCNU, etoposide, cytarabine, and melphalan; CNS, central nervous system; DLBCL, diffuse large B cell lymphoma; autoHCT, autologous hematopoietic cell transplantation; LDH, lactate dehydrogenase;

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Table 2.

Univariate outcomes for primary refractory DLBCL patients undergoing autologous HCT.

(N = 169)		
Outcomes	N	Prob (95% CI)
Neutrophil engraftment	165	
28-day		98.2 (95.3–99.7)%
Platelet recovery	164	
100-day		97 (93.5–99.1)%
NRM	169	
1-year		6.5 (3.3–10.8)%
2-year		7.1 (3.7–11.5)%
3-year		8.5 (4.7–13.3)%
4-year		10.8 (6.4–16.2)%
Progression/relapse	169	
1-year		40.9 (33.6–48.4)%
2-year		44.6 (37.2–52.2)%
3-year		44.6 (37.2–52.2)%
4-year		47.8 (40.1–55.5)%
Progression-free survival	169	
1-year		52.6 (45–60)%
2-year		48.2 (40.7–55.8)%
3-year		46.8 (39.3–54.5)%
4-year		41.4 (33.8–49.2)%
Overall survival	169	
1-year		64.2 (56.8–71.3)%
2-year		57.4 (49.8–64.8)%
3-year		52.7 (45–60.3)%
4-year		49.6 (41.9–57.4)%

Table 3.

Univariate outcomes for primary refractory DLBCL patients undergoing autologous HCT, comparing stable disease vs. progressive disease in response to first line of therapy

Outcomes	Stable disease (N = 45)		Progressive disease (N = 124)		P Value
	N	Prob (95% CI)	N	Prob (95% CI)	
NRM	45		124		0.387
1-year		6.7 (1.3–15.9)%		6.5 (2.8–11.5)%	0.965
2-year		6.7 (1.3–15.9)%		7.3 (3.4–12.6)%	0.886
3-year		9.3 (2.4–20.0)%		8.2 (4.0–13.8)%	0.832
4-year		15.4 (5.7–28.8)%		9.3 (4.7–15.2)%	0.352
Progression/relapse	45		124		0.789
1-year		37.8 (24.1–52.5)%		42.0 (33.4–50.9)%	0.621
2-year		42.6 (28.4–57.5)%		45.3 (36.6–54.2)%	0.756
3-year		42.6 (28.4–57.5)%		45.3 (36.6–54.2)%	0.756
4-year		45.8 (30.9–61.2)%		48.5 (39.5–57.5)%	0.774
Progression-free survival	45		124		0.875
1-year		55.6 (41.0–69.6)%		51.5 (42.7–60.2)%	0.640
2-year		50.7 (36.2–65.2)%		47.3 (38.6–56.2)%	0.700
3-year		48.1 (33.5–62.8)%		46.4 (37.7–55.3)%	0.853
4-year		38.8 (24.3–54.3)%		42.3 (33.5–51.3)%	0.697
Overall survival	45		124		0.857
1-year		68.9 (54.8–81.4)%		62.5 (53.8–70.8)%	0.433
2-year		59.4 (44.7–73.3)%		56.7 (47.8–65.3)%	0.752
3-year		54.3 (39.5–68.8)%		52.1 (43.2–61.0)%	0.803
4-year		48.2 (33.1–63.5)%		50.0 (41.1–59.0)%	0.844

Table 4.

Univariate outcomes for primary refractory DLBCL patients undergoing autologous HCT based on complete response (CR) or partial response (PR) to salvage therapy.

Outcomes	CR (N = 60)		PR (N = 109)		P Value
	N	Prob (95% CI)	N	Prob (95% CI)	
Neutrophil engraftment	59		106		0.690
28-day		98.3 (90.5–100)%		98.1 (94.1–99.9)%	0.946
Platelet recovery	58		106		0.371
100-day		98.3 (90.4–100)%		96.2 (91.4–99.1)%	0.516
NRM	60		109		0.038
1-year		6.7 (1.8–14.5)%		6.4 (2.6–11.8)%	0.943
2-year		8.5 (2.7–17.0)%		6.4 (2.6–11.8)%	0.639
3-year		10.5 (3.9–19.8)%		7.5 (3.2–13.2)%	0.534
4-year		17.1 (8.0–28.8)%		7.5 (3.2–13.2)%	0.103
Progression/relapse	60		109		0.357
1-year		30.0 (19.1–42.3)%		46.9 (37.5–56.3)%	0.029
2-year		37.1 (25.2–49.8)%		48.8 (39.4–58.2)%	0.143
3-year		37.1 (25.2–49.8)%		48.8 (39.4–58.2)%	0.143
4-year		43.6 (30.7–56.9)%		50.0 (40.5–59.5)%	0.439
Progression-free survival	60		109		0.942
1-year		63.2 (50.7–74.9)%		46.7 (37.5–56.1)%	0.035
2-year		54.5 (41.7–66.9)%		44.8 (35.6–54.2)%	0.231
3-year		52.4 (39.7–65.1)%		43.8 (34.6–53.2)%	0.285
4-year		39.3 (26.5–52.8)%		42.5 (33.3–52.0)%	0.699
Overall survival	60		109		0.697
1-year		71.5 (59.4–82.1)%		60.3 (50.9–69.2)%	0.136
2-year		62.7 (50.1–74.6)%		54.5 (45.1–63.8)%	0.299
3-year		58.9 (46.1–71.2)%		49.3 (39.8–58.8)%	0.235
4-year		50.4 (37.2–63.6)%		49.3 (39.8–58.8)%	0.896