

# Clinical and Molecular Characterization of Adult Glioblastomas in Southern Brazil

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## Abstract

We investigated 113 adult Brazilian patients with glioblastoma (GBM) for comparison with patients from distinct geographical areas and evaluation of suitability for novel targeted therapies. Patients were assessed for clinical features and tumor genomic characteristics such as *ROS1* and *NTRK1* rearrangements, *KIT*, *PDGFRA*, and *KDR* amplification, and *RBI* deletion using multi-color fluorescence in situ hybridization. The majority of patients were male (53%), over 40 years (94%), with tumor located in single site (64%), in the right cerebral hemisphere (60%), and underwent partial resection (71%); 14% presented complications after surgery. The main clinical sign at diagnosis was focal abnormality (57%); frontal (31%); and temporal (20%) regions were most commonly affected. Median hospitalization time was 20 days, median survival was 175 days. One tumor was positive for rearrangement in *NTRK1* and another in *ROS1* (0.9% each). *PDGFRA* was amplified in 20% of cases, often co-amplified with *KDR* (>90%) and *KIT* (>60%). *RBI* was deleted in 16% of patients. There was no association between these molecular abnormalities and patient survival. However, older age, complications after surgery, and right-sided tumors were

independent variables associated with patient survival. This study contributes information on the molecular profile of glioblastomas in Latin America possibly supporting new target therapies.

**Key Words:** Fluorescence in situ hybridization, Glioblastoma, *KDR*, *KIT*, *NTRK1*, *PDGFRA*, *ROS1*.

## INTRODUCTION

Malignant central nervous system (CNS) neoplasms are among the most feared human cancers, mainly because patients have a very poor prognosis and the disease directly impacts the quality of life and cognitive function. Glioblastoma (GBM) is a high-grade glioma with predominantly astrocytic differentiation with nuclear atypia, cellular pleomorphism, mitotic activity, microvascular proliferation, and necrosis (1), which despite current therapies usually causes patient's death within 1 year after diagnosis (2). GBM is the most frequent primary CNS tumor, with an annual incidence of 3.2 per 100 000 in the United States (3). The frequency of GBM in Brazil has not been reported, but incidence of CNS tumors in 2012 was 5.7 per 100 000, the highest rate in comparison to other countries in South America and similar to Canada and Australia (4).

Genetic profile of solid tumors may differ according to geographic areas. In non-small cell lung carcinomas, for instance, frequencies of the most common activating *EGFR* mutations are twice as high in patients of Asian origin (>30%) compared to their Caucasian (10%–15%) and African-American (<5%) counterparts (5, 6). Importantly, numerous molecular tumor markers such as *EGFR* mutations are common. These studies aim not only to improve the quality and precision of therapies, but also to guide the implementation of new assays in molecular diagnostic laboratories, and the regulation and approval of new cancer drugs, to determine objective response to specific targeted therapies, and to provide a significantly better overall survival (OS). There is indication that molecular diversity also occurs among GBMs (Supplementary Data Tables S1 and S2), which justifies studies in geographical regions such as Brazil and other Latin America countries.

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Current treatment for GBM combines surgery, radiation therapy, and chemotherapy, but these interventions only provide a small survival benefit. Patients who underwent chemoradiation followed by maintenance treatment with temozolomide and alternating electric fields via transducer arrays applied to the scalp showed significantly longer, although still poor, OS (7). Recently, genomic studies have been performed in GBMs aiming to identify oncogenic pathways that could be potentially inhibited by new targeted therapies. Genomic alterations activating oncogenes (mainly receptor tyrosine kinases, RTKs) and inactivating tumor suppressor genes have been reported in GBMs. RTKs are activated by point mutations, gene amplifications (8), and gene fusions (9). Among the clinically relevant oncogenic pathways in GBM are the ones including the genes *NTRK1* and *ROS1*, for which numerous specific inhibitors are already approved or under development (10). Several molecular fusions involving these genes have been found in GBM, such as *BCAN-NTRK1*, *NFASC-NTRK* (9, 11), *ARHGEF2-NTRK1*, *CHTOP-NTRK1* (12), *GOPC-ROS1* (13), and *CEP85L-ROS1* (9). Amplifications of the *KIT*, *PDGFRA*, and *KDR* genes have also been reported (14), and there are drugs in development for brain tumors with such molecular profiles (15). Loss of tumor suppressor genes may also be therapeutically targeted and there are studies evaluating the response of antitumor drug acting on the *RBI* pathway, thus supporting stratification of patients based on the GBM's *RBI* suppressor gene status (16). Moreover, heterogeneity in genetic abnormalities is an outstanding feature of GBM that may contribute to response to treatment (17).

This study aimed to examine for the first time the frequency and clinical significance of rearrangements in *ROS1* and *NTRK1*, amplification of *KIT*, *PDGFRA*, and *KDR*, and deletion of *RBI* in a Brazilian cohort of adult patients with GBM, using fluorescence in situ hybridization (FISH).

## MATERIALS AND METHODS

### Sample

A total of 113 patients subjected to resection of GBM in 2 Southern Brazilian hospitals (Porto Alegre, RS) from January 2009 to May 2015 were included in the study after approval by the Institutions' Research Review Boards. Formalin-fixed paraffin-embedded (FFPE) tumor sections were centrally revised by a pathologist (V.O.P.), and GBM diagnosis was confirmed according to the 2007 World Health Organization (WHO) Classification of Tumors of the CNS (18). Since these tumors were not tested for *IDH* mutations, according to the 2016 WHO Classification (1) they are designated as GBM NOS. Demographic profile of patients was noted, including data about clinical profile, tumor characteristics, and OS. For survival evaluation, patients were divided based on age distribution as <40, 40–59, and >60 years old (19). For clinical signs and symptoms, groups were created for focal disturbance (i.e. aphasias and motor deficit), increased intracranial pressure (i.e. headache, vomiting, and nausea), behavioral change (i.e. mental confusion and disorientation), seizure and decreased consciousness (i.e. sensory changes and

somnolence) (20, 21). The anatomical site of tumor was defined as frontal, temporal, parietal, or other (i.e. corpus callosum, occipital region, or more than a single site) (19, 22). The extension of the resection was classified as "partial" (10%–90% resected) or "gross-total resection" (more than 90% resected) (22). Cases with a previous low-grade tumor were considered CNS recurrences. Complications after surgery were noted until hospital discharge. The median follow-up of the study was 175 days (minimum of 3 days, maximum of 7 years).

### FISH Assays

FISH assays were performed on 4- $\mu$ m FFPE sections using commercial (*NTRK1*, *KIT*, *CEP4*, *RBI*, and LSI 13q34 from Abbott Molecular, Abbott Park, IL, USA; and *ROS1* from Cytocell Ltd, Cambridge, UK) or laboratory developed DNA probes (*PDGFR* and *KDR*) diluted in tDenHyb-2 hybridization buffer (Insitus Biotechnologies, Albuquerque, NM) as described in Supplementary Data Table S3.

In the FISH break-apart assays, a specimen was considered positive for *NTRK1* and *ROS1* rearrangement when  $\geq 15\%$  of tumor cells showed single 3' or single 5' gene signals, or 5'-3' gene signals split by more than 1 signal diameter (23). In the FISH enumeration assays, gene amplification was accepted when the gene: control ratio was  $\geq 2$ , or there was  $\geq 15$  copies of gene in  $\geq 10\%$  of tumor cells (24). In the *RBI* FISH assay, deletion was considered when ratio gene: control *RBI*:13q34 < 0.8 (25) or there was a single copy of gene signal in >50% of tumor nuclei (16).

### Statistical Analysis

The quantitative variables were described as mean (and standard deviation) or median (and interquartile range). Absolute and relative frequencies were used for categorical variables, as well as Chi-square test with Yates correction and Fisher exact test. To evaluate survival, Kaplan-Meier method was applied and the curves were compared using the log-rank test. The confounding factors were controlled by multivariate Cox proportional hazards model when the variables presented  $p < 0.25$  in the univariate analysis. The effect measure used was the hazard ratio in conjunction with a confidence interval of 95%. The significance level adopted was 5% ( $p < 0.05$ ) and the analyses were performed in the SPSS program version 21.0.

## RESULTS

Clinical findings of the 113 patients are summarized (Table 1) and presented in detail (Supplementary Data Table S4). Approximately half of patients (53.1%) were male, and their age ranged from 18 to 83 years (mean 56.9, SD 12.3; 93.8% were over 40 years). The main clinical sign at diagnosis was focal disturbance (57.1%), and seizure was described in 16.1% of patients. Frontal (31%) and temporal (20.4%) regions were the most affected regions, with the tumors mainly located on the right cerebral hemisphere (59.5%). Most patients had a single site affected (63.7%) and underwent partial tumor resection (70.6%). Cases that presented previously a low-grade

**TABLE 1.** Clinical and Molecular Characteristics of the Patients (n = 113)

| Variables                                     | N (%)      |
|-----------------------------------------------|------------|
| Sex (n = 113)                                 |            |
| Male                                          | 60 (53.1)  |
| Female                                        | 53 (46.9)  |
| Age (n = 113) mean 56.9 years; SD 12.3 years  |            |
| <40 years                                     | 7 (6.2)    |
| 40–59 years                                   | 54 (47.8)  |
| ≥60 years                                     | 52 (46.0)  |
| Clinical feature groups (n = 112)             |            |
| Focal disturbance                             | 64 (57.1)  |
| Increase ICP                                  | 58 (51.8)  |
| Behavioral change                             | 27 (24.1)  |
| Seizure                                       | 18 (16.1)  |
| Decreased consciousness                       | 14 (12.5)  |
| Tumor location (n = 113)                      |            |
| Frontal                                       | 35 (31.0)  |
| Temporal                                      | 23 (20.4)  |
| Parietal                                      | 11 (9.7)   |
| Other                                         | 44 (38.9)  |
| Tumor side (n = 111)                          |            |
| Right                                         | 66 (59.5)  |
| Left                                          | 43 (38.7)  |
| Bilateral                                     | 2 (1.8)    |
| Number of involved regions in brain (n = 113) |            |
| One region                                    | 72 (63.7)  |
| Two or more regions                           | 41 (36.3)  |
| Prior CNS tumor (n = 102)                     |            |
| No                                            | 95 (93.1)  |
| Yes                                           | 7 (6.9)    |
| Resection (n = 109)                           |            |
| Partial                                       | 77 (70.6)  |
| Gross total                                   | 32 (29.4)  |
| Complications after surgery (n = 102)         |            |
| No                                            | 88 (86.3)  |
| Yes                                           | 14 (13.7)  |
| <i>NTRK1</i> rearrangement (n = 113)          |            |
| No                                            | 112 (99.1) |
| Yes                                           | 1 (0.9)    |
| <i>ROS1</i> rearrangement (n = 113)           |            |
| No                                            | 112 (99.1) |
| Yes                                           | 1 (0.9)    |
| <i>KIT</i> amplification (n = 113)            |            |
| No                                            | 99 (87.6)  |
| Yes                                           | 14 (12.4)  |
| <i>PDGFRA</i> amplification (n = 113)         |            |
| No                                            | 91 (80.5)  |
| Yes                                           | 22 (19.5)  |
| <i>KDR</i> amplification (n = 113)            |            |
| No                                            | 93 (82.3)  |
| Yes                                           | 20 (17.7)  |
| <i>RBI</i> deletion (n = 113)                 |            |
| No                                            | 95 (84.1)  |
| Yes                                           | 18 (15.9)  |

N, number of patients; %, percentage; ICP, intracranial pressure; CNS, central nervous system.

tumor are indicated in [Supplementary Data Table S4](#). Fourteen patients (13.7%) presented complications after surgery. The median hospitalization time was 20 days (IQR: 13.5; 28) and the median OS was 175 days (95% CI: 126.1–223.9) for a follow-up of 21–60 months. At the end of the study, only 4 patients (3.5%) were still alive. The observed survival rates were 23% at 1 year and 3.4% at 5 years.

The independent variables associated with patient survival in multivariate analyses were age ( $p = 0.009$ ), tumor side ( $p = 0.022$ ), and complications after surgery ( $p = 0.003$ ) ([Table 2](#)). Patients older than 60 years presented a risk 3.38 times higher for death when compared with those younger than 40 years. Patients with tumor located on the left side had 42% lower chance of death when compared with those with tumor on the right side. Clinical complications after surgery were significantly associated with decreased survival ( $p = 0.003$ ), with a risk 2.69 times higher for death.

The molecular cytogenetic results are summarized ([Table 1](#)) and presented in detail ([Supplementary Data Table S5](#)). Molecular fusions were rare: case 61 was positive for *NTRK1* rearrangement ([Fig. 1A](#)) with a single 5'*NTRK1* signal pattern, and case 31 was positive for *ROS1* gene rearrangement ([Fig. 1B](#)) with a single 3'*ROS1* signal pattern. Neither of these 2 patients had remarkable clinical features. Attempts to identify the fusion partners in these rearrangements were unsuccessful due to inadequate quality of DNA preservation.

In the gene amplification analyses ([Table 1](#)), *PDGFRA* was most commonly amplified (19.5%), followed by *KDR* (17.7%) and *KIT* (12.4%), and most often the 3 genes were co-amplified. Among the 19.5% of cases harboring gene amplification in the 4q12 locus, 63.6% (14 cases) had co-amplification of the 3 genes, 27.3% (6 cases) had co-amplification of *PDGFRA* and *KDR* and 9.1% (2 cases) had only *PDGFRA* amplification. An amplification-positive case for all 3 genes is illustrated in [Figure 2A](#), and examples of co-amplification of *PDGFRA* and *KDR*, and of amplification of *PDGFRA* alone are shown in [Figures 2B](#) and [3](#), respectively. We confirmed that the selected criteria for definition of amplification had not excluded specimens harboring high gene copy numbers by verifying that the few borderline negative specimens had on average less than 5 gene copies per cell. One interesting observation was the intra-tumoral heterogeneity regarding the distribution of the amplification within the tumors. Out of the 22 amplified cases, the amplification was diffusely distributed in 16 cases while it was confined to specific tumor areas (focal distribution) in 6 cases.

In the *RBI* deletion analyses, 18 cases (15.9%) presented loss of the gene and this phenotype had no association with survival ( $p = 0.167$ ). Half of cases met both criteria for deletion, whereas 4 tumors were positive only by the ratio gene: control *RBI*:13q34 < 0.8 ([25](#)) criterion, comprising cases with gene deletion followed by chromosome duplication ([Fig. 1C](#)), and 5 tumors qualified for deletion based only on the criterion of >50% of tumor nuclei with a single copy of gene signal ([16](#)), comprising cases carrying loss of both gene and control likely due to chromosome 13 monosomy ([Fig. 1D](#)).

A subset of this cohort (n = 40) was previously investigated ([26](#)) for the frequency and clinical significance of *EGFR* amplification, aneuploidy of chromosomes 7 (gain) and

**TABLE 2.** Multivariate Analysis (Cox Regression) of Variables Associated With Survival

| Variable                    | Number of Patients | Median Survival Days (95% CI) | 1-Year Survival | 5-Year Survival | HR (95% CI)*     | p Value |
|-----------------------------|--------------------|-------------------------------|-----------------|-----------------|------------------|---------|
| Age                         |                    |                               |                 |                 |                  |         |
| <40 years                   | 7                  | 619 (0–1422)                  | 57.1%           | 14.3%           | 1.00             | —       |
| 40–59 years                 | 54                 | 220 (123–317)                 | 27.8%           | 4.6%            | 1.74 (0.72–4.21) | 0.223   |
| ≥60 years                   | 52                 | 123 (75.9–170)                | 13.5%           | 0               | 3.38 (1.35–8.84) | 0.009   |
| Tumor side                  |                    |                               |                 |                 |                  |         |
| Right                       | 66                 | 164 (130–197)                 | 21.2%           | 0               | 1.00             | —       |
| Left                        | 43                 | 220 (111–329)                 | 25.6%           | 7%              | 0.58 (0.37–0.92) | 0.022   |
| Complications after surgery |                    |                               |                 |                 |                  |         |
| No                          | 88                 | 216 (160–271)                 | 26.1%           | 3.4%            | 1.00             | —       |
| Yes                         | 14                 | 77 (0–161)                    | 0               | 0               | 2.69 (1.40–5.18) | 0.003   |

\*Adjusted by age, increase ICP (intracranial pressure), frontal location, tumor side, number of involved regions, resection, complication. HR, hazard ratio; %, percentage; CI, confidence interval.

10 (loss), and deletions in *PTEN*, *TP53*, and 1p/19q. [Supplementary Data Table S6](#) combines all molecular data for that patient subset and a summary is presented in [Table 3](#). None of these 40 patients carried 1p/19q co-deletion or rearrangements in *ROS1* or *NTRK1* thus these 3 markers were excluded. Overlap of genetic alterations detected in each of the studies was only seen in 11 cases (27.5%). *EGFR* amplification and monosomy of chromosome 10, 2 of the most common abnormalities observed by Koshiyama et al (26), were rarely found in tumors harboring amplification of *KIT*, *PDGFRA*, or *KDR*, the most common abnormalities in this study. However, amplifications in the later genes were found in about 25% of patients with *PTEN* or *TP53* deletions, while these deletions were only rarely concurrent with *RBI* deletions.

## DISCUSSION

Few studies have examined adult onset GBM in Brazil for molecular profile, clinical findings, and survival (26–29). Two studies (26, 29) also applied molecular cytogenetic technology (as in our study) but focused on different genes, which highlights the relevance of this study. The prevalence in the cohort of males (M:F = 1.13:1) and older adults (55–85 years) agrees with data from the United States, Switzerland (1) and Brazil (26–28). Our study was intentionally designed to target adult population and showed that older age seemed to associate with poor prognosis, in agreement with the literature (26, 27, 29–31). The worse prognosis of elderly patients may be explained by their higher comorbidities and lower resistance to medical procedures and treatment (2, 32), but in developing countries the delay in disease diagnosis is also an important negative factor.

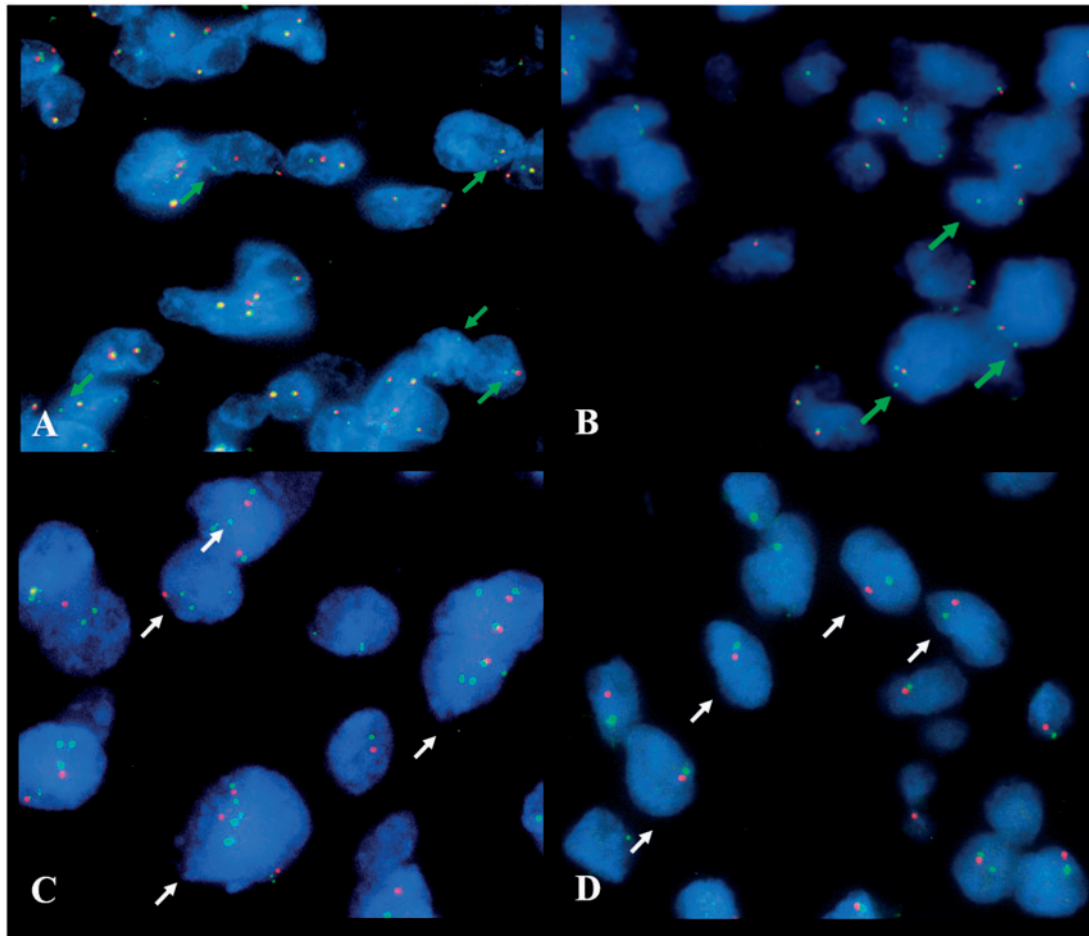
GBMs develop rapidly and the symptoms depend especially on the tumor location and size. Common symptoms include focal neurological signs, seizures, mood, and personality changes, or symptoms of increased intracranial pressure (21). The majority of patients in this cohort presented 1 type of focal disturbance (57.1%) and increased intracranial pressure (51.8%) ([Table 1](#)). Occurrence of seizures has been reported in 20%–76% of patients and we observed it in 16.1%.

Seizure can correlate with a better survival, since it may be linked to reduced tumor aggressiveness and it could be a factor for earlier diagnosis (30).

Few studies have evaluated the impact of the brain side in which the tumor developed. Cannon et al (32) observed more right-side tumors, in agreement with our study (59.5%), while Mariniello et al verified more cases with left-side tumors (33). To the best of our knowledge, there was no previous report of tumor-side association with survival, whereas in our study the patients with left-side tumors presented a lower risk of death. This observation may be due to the location on the left side of the Wernicke and Broca's areas, responsible for speech, comprehension, or understanding of written and spoken language, which generate symptoms leading to earlier diagnosis (31). The majority of cases with 1 type of aphasia (73.7%) had the tumor located on the left side. In this Brazilian cohort, most tumors were located on frontal and temporal lobes and no association was found with survival. However, there are reports that frontal and temporal tumors are typically associated with fewer symptoms or vague effects (i.e. behavioral changes and hearing or speech difficulties), which could be easily confounded with typical aging changes, resulting in late diagnosis, and consequently worse prognosis (32).

The extent of the brain tumor resection is highly dependent on its location, size, and difficulty in accessing. The majority of our cases underwent partial resection (70.6%), in agreement with other studies (19, 31). Cases that underwent diagnosis through biopsy were not included, mainly to ensure enough tissue to perform the molecular analysis, since necrotic areas unsuitable for analysis are common in GBM. There was no association between type of resection (gross total vs partial) and survival, as also found by Korshunov et al (34) in high-grade gliomas. In the present investigation, the occurrence of clinical complications was significantly associated with decreased survival in agreement with a German study (35), and there was no statistically significant difference in the median time of hospitalization between patients with (21 days, CI: 16–45.5) and without complications (19 days, CI: 13–26) ( $p = 0.070$ ).





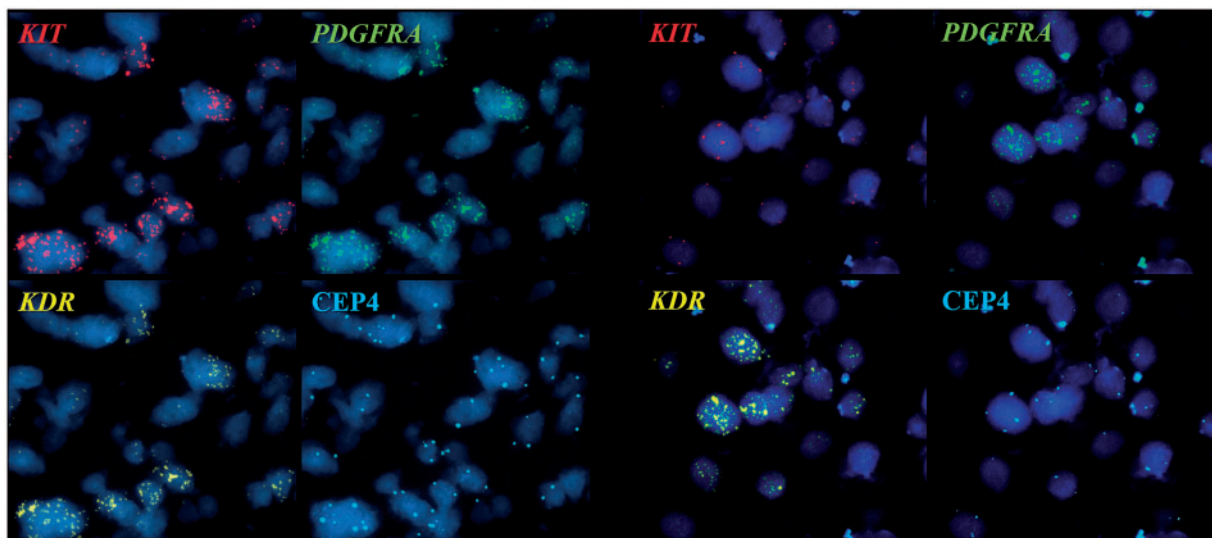
**FIGURE 1.** Microscope fields of tumors showing positive patterns identified by the presence of single green signals (green arrows) for *NTRK1* (**A**) in GBM case 61, and *ROS1* rearrangements (**B**) in GBM case 31. (**C**) Microscope fields showing tumor cells with loss of the *RB1* gene, with unbalanced loss of *RB1* (red signal) in comparison with the control probe 13q34 (green signal) in GBM case 41. (**D**) Case 96 with a pattern suggestive of monosomy 13 in GBM case 96. Representative nuclei are indicated by white arrows.

The overall median survival rate (OS) for these Brazilian GBM patients was 175 days (5.8 months), which is somewhat similar to reports from other regions, such as Australia (OS = 4.7 months in patients over 80 years) (32) and Switzerland (OS = 4.9 months in patients at different ages) (2). Some other European cohorts had higher OS (9.5–12.4 months) (30, 35, 36). The rates were lower for 1-year survival and similar for 5-year survival in our cohort compared to cohorts from other regions (28%–68% and 2.9%–14%, respectively) (2, 30, 36). It is noteworthy that Brazilian investigators have associated low socio-economic level with poor prognosis for tumors such as breast and uterine cancers as consequence of late diagnosis in public health care services (37, 38). Similar rationale could explain why the GBM patients in our sample had a poorer prognosis when compared with the patients from developed regions.

Rearrangements involving neurotrophic tropomyosin receptor kinase type 1 (*NTRK1*) are recurrent in papillary thyroid, lung, and colon cancers (39). The fusions reported seem to contribute to the initiation and maintenance of malignancy

(11) and thus are good targets for new drugs in cancer therapy. In-frame fusions involving *NTRK1* were found in different studies with GBM samples (9, 11). Zheng et al (12) reported an in-frame fusion involving *ARHGEF2* and *NTRK1*, and 2 in-frame fusions involving *CHTOP* and *NTRK1* on a brain tumor set of 115 samples. The ROS proto-oncogene 1 (*ROS1*) belongs to a subfamily of insulin RTK genes and is activated by fusion in a variety of tumors, with at least 26 different partners (40). The first fusion protein discovered in GBM involved *ROS1* and *GOPC* (alias *FIG*), in which an intra-chromosomal deletion of 240 kb led to a constitutively active kinase, suggesting an oncogenic activity (13). In lung cancer, *ROS1* rearrangements define a unique molecular subclass that respond to the tyrosine kinase inhibitor crizotinib (41). This study includes the first report of positive GBM case for each of the *NTRK1* and *ROS1* rearrangements in Brazilian patients, and this low frequency is in accordance with the literature (9, 11, 12).

The gene RB transcriptional corepressor 1 (*RB1*) encodes the retinoblastoma protein (Rb), a component of

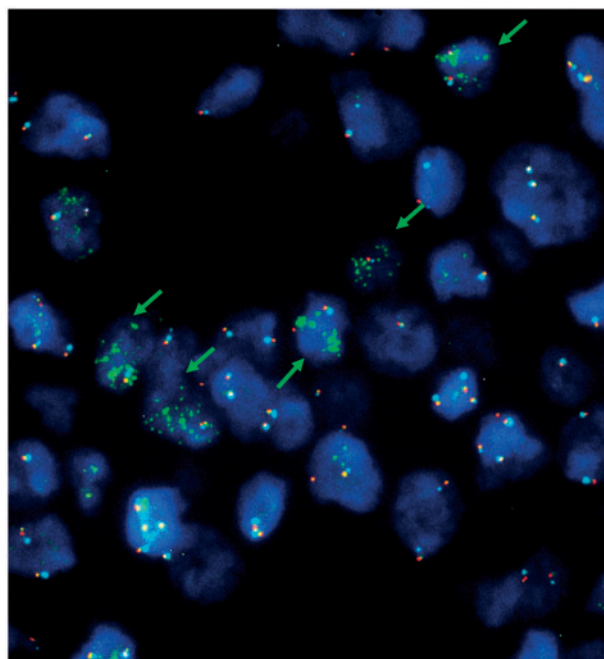


**FIGURE 2.** Segmented images of microscope fields showing co-amplification of genes. *KIT* gene shown in red signal; *PDGFRA* gene shown in green signal, and *KDR* gene shown in yellow signal; CEP4 (aqua signal) corresponded to a control probe recognizing the centromere of chromosome 4. **(A)** GBM case 70 showing co-amplification of *KIT*, *PDGFRA*, and *KDR*. **(B)** GBM case 69 showing co-amplification of the *PDGFRA* and *KDR* genes, and *KIT* gene with similar copy number as the control CEP4 (aqua signal).

regulatory of the cell cycle (16). Brennan et al (8) reported Rb function loss in 7.6% of GBM samples by direct *RBI* deletion/mutation, a lower frequency than in our study (15.9%). However, Rodriguez et al (25) found *RBI* deletion/chromosome 13 monosomy in 25% of GBM samples, and no association between this alteration and survival was detected. Other authors have demonstrated higher proportions of *RBI* deletion in GBM (34%), but they have not identified association between *RBI* status and survival (16). Bäcklund et al (42) also found no association between *RBI* deletion and survival but there was a significantly shorter median survival when alterations in numerous genes on the Rb pathway (*CDKN2A*, *CDKN2B*, *RBI*, and *CDK4*) were grouped.

The 4q12 chromosome region harbors 3 genes encoding potentially drug-targeted RTKs: *KIT* (proto-oncogene RTK), *PDGFRA* (platelet-derived growth factor receptor alpha) and *KDR* (kinase insert domain receptor), of which the main mechanism of activation is amplification, reported in frequencies ranging from 4.4% to 21.6%, 8.5% to 36%, and 3.3% to 36%, respectively (8, 14, 43, 44). In our cohort, we verified that amplification frequencies were within the ranges described in the literature, but no significant correlation was found between the amplification and patients' OS, which is consistent with the reports by Joensu et al (43) and Nobusawa et al (44). However, Burford et al (14) found that amplifications of these genes were individually associated with poor clinical outcome in GBM patients. However, it cannot be ruled out that these discrepant results are due to small sample sizes.

Among the cases classified as positive for amplification in our study, 27% presented heterogeneity in the intra-tumoral distribution of amplifications, similarly to the description by Snuderl et al (17), who also used FISH technology. FISH is an optimal platform to detect tumor subpopulations due to its in



**FIGURE 3.** Microscope field illustrating amplification only for the *PDGFRA* gene (green signals) in case 85 (green arrows).

situ nature. Intra-tumor heterogeneity was also reported in GBM for expression pattern of the *PDGF* genes (28), and has been postulated as partially responsible for the failures in clinical trials using targeted therapies against RTKs in GBM (17).

Despite the variety of genetic alterations that may occur in GBM, there are 3 major cellular pathways involved: *RTK/P13K/PTEN* and the suppressors p53 and Rb1. Most GBMs

**TABLE 3.** Frequency of Concurrent Positive Biomarkers in the Subset of 40 Adult GBM Cases Combining Data From Previous (Koshiyama et al [26]) and Current Study

| Concurrently Positive | Biomarker Positive for Koshiyama et al (26) With <i>KIT</i> Amplification |      | Biomarker Positive for Koshiyama et al (26) With <i>PDGFRA</i> Amplification |      | Biomarker Positive for Koshiyama et al (26) With <i>KDR</i> Amplification |      | Biomarker Positive for Koshiyama et al (26) With <i>RB</i> Deletion |      |
|-----------------------|---------------------------------------------------------------------------|------|------------------------------------------------------------------------------|------|---------------------------------------------------------------------------|------|---------------------------------------------------------------------|------|
|                       | N                                                                         | %    | N                                                                            | %    | N                                                                         | %    | N                                                                   | %    |
| <i>EGFR</i>           | 1                                                                         | 5.9  | 3                                                                            | 17.6 | 1                                                                         | 5.9  | 2                                                                   | 11.8 |
| Polysomy 7            | 2                                                                         | 10.0 | 4                                                                            | 20.0 | 3                                                                         | 15.0 | 2                                                                   | 10.0 |
| Monosomy 10           | 1                                                                         | 4.8  | 3                                                                            | 14.3 | 1                                                                         | 4.8  | 2                                                                   | 9.5  |
| <i>PTEN</i>           | 4                                                                         | 28.6 | 5                                                                            | 35.7 | 4                                                                         | 28.6 | 1                                                                   | 7.1  |
| <i>TP53</i>           | 2                                                                         | 22.2 | 3                                                                            | 33.3 | 3                                                                         | 33.3 | 1                                                                   | 11.1 |

present aberrations in all 3 pathways (45), but in the subset of 40 tumors more extensively analyzed, amplification of the *EGFR* and the *PDGFRA/KIT/KDR* genes were almost non-overlapped. This complex network of changes plays an important role in the tumor evolution and proliferative advantage and must be considered in adaptive response of new therapies in development (17).

Novel therapeutic agents targeting the oncogenic pathways investigated in this study are in progress. A search on the website *ClinicalTrials.gov* (46) on July 2, 2018 identified 4 clinical trials in phases 1 and 2 with different *NTRK1* inhibitors and tumors, including GBM. No studies with GBM and *ROS1* inhibitors were listed, but there were 60 studies in different phases evaluating this gene in other tumors, especially in lung cancer. In trials involving *KIT*, *PDGFRA*, and *KDR* inhibitors, there were respectively 3, 4, and 8 trials in different phases and using distinct drugs, including patients with GBM. Agents targeting the Rb pathway were listed in one phase 1 clinical trial, including GBM.

We did not investigate *IDH* mutation in this sample mainly because the project was approved and all tumors were collected before the release of the 2016 WHO Classification of CNS tumors. In addition, this document identified the mean age at diagnosis of *IDH*-mutant GBM as close to 44 years and the median as 48 years. The mean age of our GBM population was 56.9 years (SD 12.3, median 58 years); 94% of patients were ≥40 years and 62% were ≥55 years old. Therefore, based on this parameter, there is a reduced likelihood of impact of *IDH* mutations in our results.

There are still too few studies providing molecular tumor data in Latin American and specifically in Brazilian patients and this study aims to contribute toward a larger and accurate regional molecular profiling of adult GBM. *NTRK1* and *ROS1* gene fusions are rare in GBMs from Southern Brazil and it is uncertain whether they correlate with clinical or demographic characteristics. Deletion of *RBI* and amplification of the *KIT*, *PDGFRA*, and *KDR* genes are more frequent events and, although these markers did not appear to impact patient’s survival, they comprise targets for new therapeutic regimens. Importantly, amplifications in *PDGFRA/KIT/KDR* and in *EGFR* were largely nonconcurrent. This study has shown similarities to patient populations from other areas

and supports the accrual of Brazilian patients with GBM for clinical trials focusing on drugs targeting amplification/over-expression of *EGFR* and *PDGFRA* but not for *ROS1* and *NTRK1* tyrosine kinase inhibitors. Moreover, it is noteworthy that older age, complications after surgery and right-sided tumors were associated with patient survival and these variables may have been impacted by the suboptimal conditions of health care systems in developing countries that lead to late cancer diagnosis and delayed treatment.

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