

# Butterfly Mimicry Polymorphisms Highlight Phylogenetic Limits of Gene Reuse in the Evolution of Diverse Adaptations

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Associate editor: Patricia Wittkopp

## Abstract

Some genes have repeatedly been found to control diverse adaptations in a wide variety of organisms. Such gene reuse reveals not only the diversity of phenotypes these unique genes control but also the composition of developmental gene networks and the genetic routes available to and taken by organisms during adaptation. However, the causes of gene reuse remain unclear. A small number of large-effect Mendelian loci control a huge diversity of mimetic butterfly wing color patterns, but reasons for their reuse are difficult to identify because the genetic basis of mimicry has primarily been studied in two systems with correlated factors: female-limited Batesian mimicry in *Papilio* swallowtails (Papilionidae) and non-sex-limited Müllerian mimicry in *Heliconius* longwings (Nymphalidae). Here, we break the correlation between phylogenetic relationship and sex-limited mimicry by identifying loci controlling female-limited mimicry polymorphism *Hypolimnas misippus* (Nymphalidae) and non-sex-limited mimicry polymorphism in *Papilio clytia* (Papilionidae). The *Papilio clytia* polymorphism is controlled by the genome region containing the gene *cortex*, the classic *P* supergene in *Heliconius numata*, and loci controlling color pattern variation across Lepidoptera. In contrast, female-limited mimicry polymorphism in *Hypolimnas misippus* is associated with a locus not previously implicated in color patterning. Thus, although many species repeatedly converged on *cortex* and its neighboring genes over 120 My of evolution of diverse color patterns, female-limited mimicry polymorphisms each evolved using a different gene. Our results support conclusions that gene reuse occurs mainly within ~10 My and highlight the puzzling diversity of genes controlling seemingly complex female-limited mimicry polymorphisms.

**Key words:** adaptation, genetic constraint, convergent evolution, Lepidoptera, mimicry, genome-wide association.

## Introduction

Convergent evolution occurs when populations evolve similar phenotypes in the face of similar environmental pressures, strongly suggesting that natural selection produces predictable phenotypic outcomes (Endler 1986; Losos 2011). Cases of phenotypic convergence have been critical model systems for understanding the genetic basis of adaptations and whether natural selection also produces predictable genetic outcomes (Gompel and Prud'homme 2009; Elmer and Meyer 2011). Genetic mapping, quantitative trait locus, and genome-wide association (GWA) studies have all been effectively used to show that convergent phenotypes are indeed often controlled by mutations in orthologous genes in the convergent lineages (reviewed in Martin and Orgogozo [2013] and Stern [2013]). Such gene reuse may occur through mutations that arise independently in the convergent lineages, or mutations that arise once and are shared between the convergent lineages due to incomplete lineage sorting or introgression (genetic convergence and parallelism, respectively; Colosimo et al. 2005; Reed et al. 2011; Song et al. 2011; Davies et al. 2012; Jones et al. 2012; Gallant et al. 2014; Van Belleghem et al. 2018).

However, candidate gene and association studies of a wide variety of adaptive phenotypes in diverse and distantly related

organisms suggest that gene reuse also frequently occurs in the absence of obvious phenotypic convergence (Martin and Orgogozo 2013). For instance, sexually selected plumage color in birds, adaptive coat color in mice, adaptive skin color in lizards and frogs, and several other vertebrate color patterns have all been associated with genetic variation in *Melanocortin 1 receptor* (reviewed in Mundy [2005], Manceau et al. [2010], and Kronforst et al. [2012]). Thus, these studies identify the genes and genetic networks that control adaptive phenotypes, but they also reveal the variety of phenotypes those genes control and the conditions under which gene reuse occurs (Martin and Orgogozo 2013; Stern 2013).

Butterfly wing color patterns provide especially useful models for understanding how and when gene reuse occurs during adaptation. A rich body of natural historical and theoretical work has clearly described the adaptive values and the wide variety of roles that color patterns serve, from predator avoidance to signaling potential mates (Bates 1862; Müller 1878; Fisher 1958; Mallet and Joron 1999; Beldade and Brakefield 2002; McMillan et al. 2002; Ruxton et al. 2004). In particular, many species have evolved color patterns resembling those of toxic species to gain protection from visual predators, that is, mimicry (Bates 1862; Müller 1878; Mallet and Barton 1989; Mallet et al. 1990). The genetics of

mimicry has been studied in two main systems: phenotypic convergence between toxic species (Müllerian mimicry) of *Heliconius* longwings (Nymphalidae:Heliconiinae) and Batesian mimicry polymorphisms in palatable *Papilio* swallowtails (Papilionidae:Papilioninae).

*Heliconius* species have provided key insight into the genetic bases of phenotypic convergence on short time scales. This genus comprises two major clades that diverged ~12 Mya (Kozak et al. 2015). Many species pairs, usually one from each clade, have converged on the same mimetic color patterns through the reuse of just four major-effect Mendelian loci and a number of small-effect modifier loci (Sheppard et al. 1985; Mallet 1989; Joron et al. 2006; Kronforst et al. 2006; Reed et al. 2011; Martin et al. 2012; Martin and Reed 2014; Kronforst and Papa 2015; Nadeau et al. 2016; Westerman et al. 2018). Major-effect loci containing *WntA* and *cortex* establish broad scale melanic patterns or act as melanic shutters, while *optix* and *aristalless 1* determine scale color (Gilbert 2003). Convergent evolution is also mediated by sharing of alleles of these color patterning loci via hybridization and introgression between species in the same clade (Kronforst 2008; Dasmahapatra et al. 2012; Pardo-Diaz et al. 2012). These results suggest that gene reuse in *Heliconius* is due in large part to their close relationships. Recent work, however, has shown that *WntA* and *cortex* also control melanic variation across Nymphalidae and Lepidoptera, respectively, although in different ways and in different wing regions in various species (Gallant et al. 2014; Ito et al. 2016; Nadeau et al. 2016; van't Hof et al. 2016; Mazo-Vargas et al. 2017).

In contrast to *Heliconius*, many *Papilio* species have evolved female-limited mimicry in which males develop a single, nonmimetic color pattern, whereas females develop one or more mimetic color patterns (Wallace 1865; Clarke et al. 1968; Clarke and Sheppard 1972; Kunte 2009a). Each of the four female-limited mimicry polymorphisms studied to date is controlled by a single, different switch locus. Female-limited mimicry polymorphism in *Papilio polytes*, *P. memnon* and their close relatives is controlled by alleles of the autosomal transcription factor gene *doublesex* (Kunte et al. 2014; Nishikawa et al. 2015; Iijima et al. 2018; D. H. Palmer and M. R. Kronforst, unpublished data). The switch in *Papilio dardanus* maps to the autosomal transcription factor genes *engrailed* and *invected* (Timmermans et al. 2014). Finally, the *Papilio glaucus* switch maps to an unknown sex-linked locus (Scriber et al. 1996; Koch et al. 2000). It is not clear if *dsx* reuse in the *P. polytes* group is due to genetic convergence or parallelism, but these species diverged only within the last ~10 My (Wu et al. 2015). Thus, *Papilio dsx*, similar to *Heliconius optix* and *aristalless 1*, appears to be reused only within 10–15 My. *Heliconius numata* is one of the only species in its genus that displays mimicry polymorphism, but the polymorphism is not sex-limited and is controlled by the *P* supergene locus, which contains *cortex* but is not homologous to any known *Papilio* switch (Joron et al. 2006; Joron et al. 2011; Jay et al. 2018). Thus, despite the complexity of phenotype switching, a variety of loci are used to produce distinct mimetic color patterns.

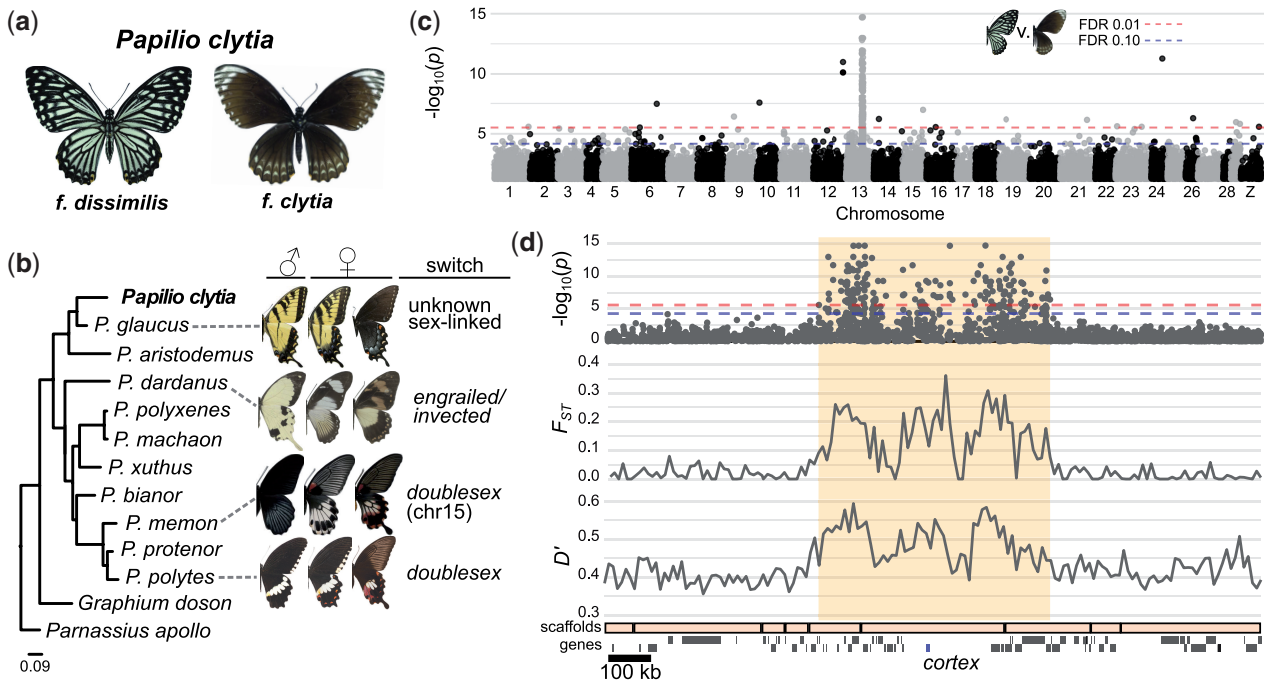
Reuse of *Heliconius optix* and *aristalless 1* and *P. polytes dsx* therefore suggest that gene reuse occurs over short time scales (within 10–15 My). However, the two sets of results suggest different patterns of gene reuse over longer time scales: *WntA* and *cortex* have been repeatedly used across 80–120 My of lepidopteran evolution, whereas no *Papilio* switch locus has been reused outside 10 My. However, these patterns are confounded by the fact that all studied *Heliconius* are sexually monomorphic Müllerian mimics, while all studied *Papilio* are sexually dimorphic Batesian mimics. Here, we break this correlation between mimicry mode and phylogeny by studying the genetic basis of mimicry polymorphisms in two unique species: *Hypolimnas misippus* (Nymphalidae) and *Papilio clytia* (Papilionidae). *Hypolimnas misippus* evolved female-limited mimicry polymorphism like many *Papilio* but is in the same family as *Heliconius*. In contrast, *P. clytia* evolved non-sex-limited mimicry polymorphism like *He. numata*, but is ~25 My diverged from *P. polytes*. We use GWA, synteny, phylogenetic, and population genetic analyses to identify the mimicry switch loci in *H. misippus* and *P. clytia* and compare our results to other known Lepidopteran mimicry and color patterning loci.

## Results

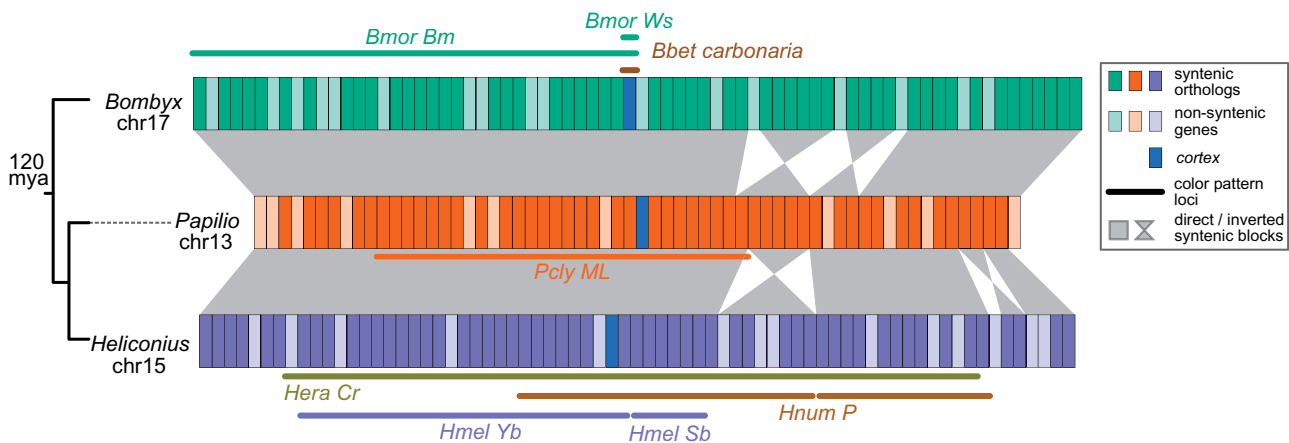
### *Papilio clytia* Mimicry Polymorphism Is Associated with the Genome Region Containing *cortex*

Similar to *He. numata*, *P. clytia* has evolved a mimicry polymorphism in which both males and females can develop alternate mimetic color patterns (fig. 1a). The *papilio clytia clytia* color pattern consists of a uniform field of brown pigmented scales with white submarginal crescents and a dark brown body mimicking the toxic common crow, *Euploea core* (Nymphalidae:Danaine). In contrast, the *dissimilis* color pattern is characterized by white pigmented and black melanic scales and white and black striped body that mimic the toxic tigers in the genera *Tirumala* and *Parantica* (Nymphalidae:Danainae).

We found a single region on chromosome 13 associated with the switch between forms *clytia* and *dissimilis* using GWA with 10 *clytia* and 17 *dissimilis* individuals (fig. 1 and supplementary figs. S1 and S2 and supplementary table S1, Supplementary Material online). This *P. clytia* mimicry locus (*PclyML*) is a ~500-kb region containing three distinct peaks of association,  $F_{ST}$ , and linkage disequilibrium and 31 protein-coding genes (fig. 1 and supplementary fig. S3, Supplementary Material online). Although *PclyML* is not homologous to any known *Papilio* switch loci, it overlaps the *He. numata* supergene *P* and loci associated with color pattern variation in at least seven additional lepidopteran species (fig. 2 and supplementary table S2, Supplementary Material online; Beldade et al. 2009; Ferguson et al. 2010; Counterman et al. 2010; Ito et al. 2016; Nadeau et al. 2016; van't Hof et al. 2016). *PclyML* and many of these other loci contain *cortex*, the gene that controls industrial melanism in the peppered moth, acts as a melanic shutter in *Heliconius*, and underlies melanic *Bombyx mori* mutants (Ito et al. 2016; Nadeau et al. 2016;



**FIG. 1.** The *Papilio clytia* mimicry switch is associated with the *cortex* region. (a) *Papilio clytia* males and females develop one of two main wing color patterns. (b) Phylogenetic relationships between *P. clytia* and other mimetic *Papilio* species (Materials and Methods, [supplementary table S3, Supplementary Material](#) online). Male morphs, female morphs, and the identities of the switch loci are shown to the right. *Papilio dardanus* and *P. polytes* females may develop several additional color patterns. (c) GWA for the switch between *P. clytia* forms *dissimilis* and *clytia*. Significance thresholds were calculated using FDR (Benjamini and Hochberg 1995). GWA results for all scaffolds are shown in [supplementary figure S1, Supplementary Material](#) online. (d) *Papilio clytia* GWA results,  $F_{ST}$  between *dissimilis* and *clytia*, and linkage disequilibrium ( $D'$ ) around the peak of association. *PclyML* is highlighted. Mean  $F_{ST}$  and  $D'$  were calculated in 10-kb nonoverlapping windows. *Papilio glaucus* scaffolds and gene models are shown on the x axis. Full information is in [supplementary table S2, Supplementary Material](#) online. Images: *P. glaucus*: M. McCarty, CC BY-SA 3.0; *P. dardanus*: London National History Museum, CC BY-NC-SA 3.0; *Papilio memnon*: Accassidy, CC BY-SA 4.0.

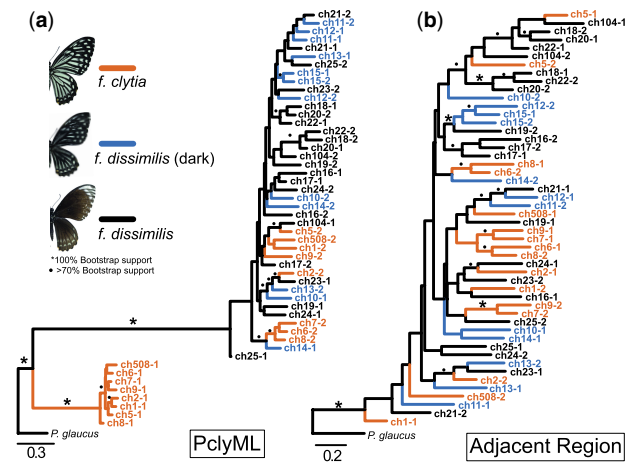


**FIG. 2.** The *cortex* region controls wing color pattern variation across Lepidoptera. Syntenic relationships near *PclyML* in *Bombyx mori* (Bombycidae) and *Heliconius melpomene* (Nymphalidae). Color patterning loci, derived from fine mapping experiments or GWA, are underlined in representative reference genomes. Divergence times taken from Wahlberg et al. (2013) are shown to the left. Gene information is found in [supplementary table S2, Supplementary Material](#) online. *Bbet carbonaria*: *Biston betularia* industrial melanization (van't Hof et al. 2016); *Bmor Ws* and *Bm*: *B. mori* Wing Spot and Black Moth mutations (Ito et al. 2016); *PclyML*: *Papilio clytia* mimicry locus (here); *Hnum P*: *Heliconius numata* supergene P, showing P<sub>1</sub> and P<sub>2</sub> inversions (Joron et al. 2011); *Hmel Yb* and *Sb*: *H. melpomene* melanic shutters (Ferguson et al. 2010); *Hera Cr*: *Heliconius erato* Yb and Sb (Counterman et al. 2010).

van't Hof et al. 2016). Our finding that the *cortex* locus controls the switch between *P. clytia dissimilis* and *clytia* color patterns is therefore consistent with the known roles of *cortex* in *He. numata* and other lepidopterans but provides the first

evidence that this region controls any color pattern variation in Papilionidae (figs. 1 and 2).

We next examined the evolutionary history of *PclyML* haplotypes. The 22 single-nucleotide polymorphism (SNPs)



**FIG. 3.** Relationships between phased *Papilio clytia* haplotypes within and outside the mimicry locus. (a) Relationships between haplotypes in the 500-kb *PclyML* region. Branches are colored according to the individual's color pattern. Leaves indicate the individual and haplotype, for example, ch8-1 and ch8-2 are the haplotypes from individual ch8 (supplementary table S1, Supplementary Material online). (b) Relationships between haplotypes in the 500 kb immediately adjacent to *PclyML*.

most significantly associated with the *P. clytia* mimicry switch were homozygous for one allele in all *dissimilis* individuals but heterozygous in all *clytia* individuals, suggesting that the *clytia* allele is completely dominant to the *dissimilis* allele. Consistent with this observation, maximum likelihood reconstructions using phased *PclyML* haplotypes revealed two well-supported groups: 1) a group containing one haplotype from each *clytia* individual and 2) a group containing all haplotypes from *dissimilis* individuals and one haplotype from each *clytia* individual (fig. 3a). Each haplotype group is subtended by a long internal branch, suggesting *PclyML* alleles have been maintained by balancing selection for some period of time. This pattern was not found using haplotypes immediately flanking *PclyML* suggesting a sharp distinction between *PclyML* and the surrounding sequence (fig. 3b). Last, *dissimilis* individuals varied in the width of their melanic stripes, creating both light (thin stripes) and dark (thick stripes) *dissimilis* (supplementary table S1, Supplementary Material online). We did not observe structure among *dissimilis* haplotypes that distinguished dark and light *dissimilis*, suggesting this quantitative variation is caused either by small differences between *dissimilis* alleles or by an unknown modifier locus (fig. 3b).

Altogether, our results show that the *P. clytia* mimicry polymorphism is controlled by alternate haplotypes in the genome region containing *cortex*.

### *Hypolimnna misippus* Female-Limited Mimicry Polymorphism Is Associated with a Novel Color Patterning Locus

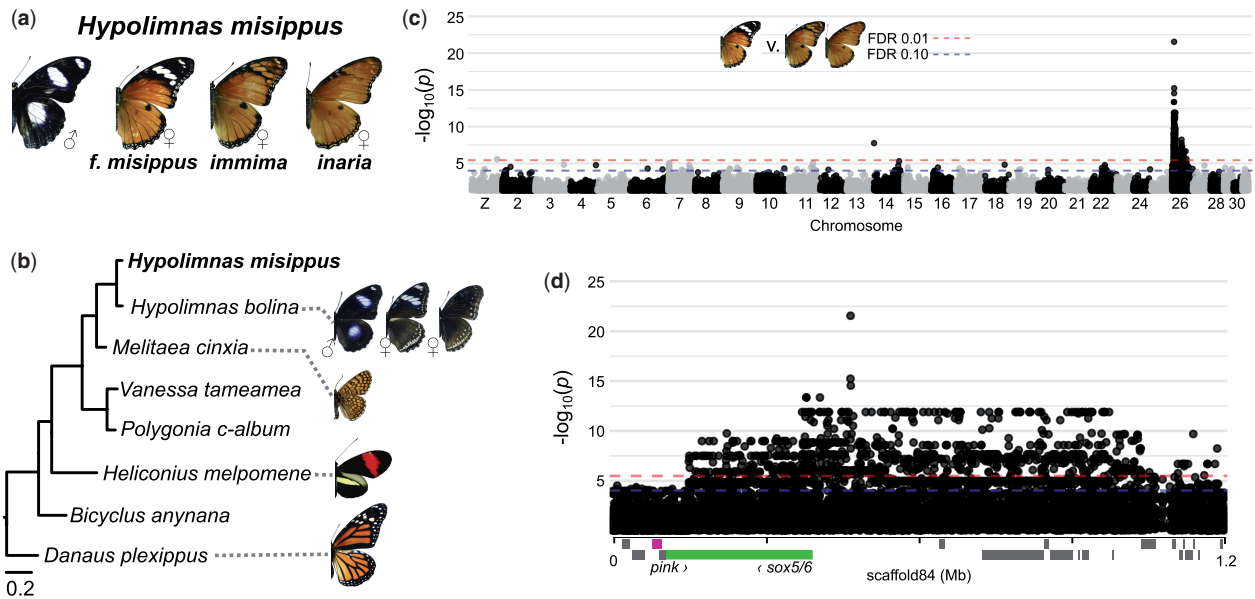
Like many *Papilio* species, *H. misippus* evolved female-limited mimicry polymorphism in which males develop a single non-mimetic color pattern while females develop several mimetic color patterns (fig. 4; Smith 1976; Smith and Gordon 1987; Gordon et al. 2010). Female forms are primarily distinguished

by the presence or absence of a melanic patch and white bar on the forewing apex that mimic morphs of the toxic African queen, *Danaus chrysippus* (Nymphalidae:Danainae; fig. 4a). The switch between black/white and orange apices is controlled by alleles at a single autosomal locus, *M*, that we sought to identify using GWA (Gordon and Smith 1989).

We first generated a high-quality *H. misippus* genome assembly. The final assembly comprised 1,580 scaffolds, with an  $N_{50}$  of 1.01 Mb and total length of 408.8 Mb (table 1 and supplementary table S4, Supplementary Material online). This genome size is consistent with the 435 Mb predicted for its sister species *Hypolimnna bolina* (Hanrahan and Johnston 2011). The *H. misippus* genome's completeness, measured using BUSCO, is similar to or higher than most other Nymphalidae (table 1; Waterhouse et al. 2018). Furthermore, we predicted 14,525 *H. misippus* gene models using publicly available data and MAKER, similar to other nymphalids like *Danaus plexippus* (15,130), *Heliconius erato* (14,613), and *Melitaea cinxia* (16,571; Holt and Yandell 2011; Zhan et al. 2011; Ahola et al. 2014; Campbell et al. 2014; Lewis et al. 2016). Finally, we assigned *H. misippus* scaffolds to *M. cinxia* chromosomes using BLAT; *M. cinxia* represents the ancestral nymphalid chromosome configuration (Kent 2002; Ahola et al. 2014).

We identified a large region on chromosome 26 associated with *H. misippus* female-limited mimicry polymorphism using GWA with 14 *misippus*, 5 *immima*, and 15 *inaria* females (fig. 4 and supplementary figs. S4 and S5 and supplementary table S1, Supplementary Material online). The low resolution and stratified *P* values are probably a result of high relatedness between individuals, which were derived from four families (supplementary table S1, Supplementary Material online). However, the genomic inflation factor ( $\lambda$ ) was only 0.87, suggesting these association test *P* values were not inflated and that we effectively accounted for this family structure in our linear mixed models, which included relatedness and the first three principal components as covariates (Materials and Methods; supplementary fig. S5, Supplementary Material online).

Despite this family structure, a clear peak of association was apparent on *H. misippus* scaffold 84 (443,878–453,307 bp) and this strongly differentiated 10-kb region likely corresponds to the *M* locus for four reasons (supplementary fig. S4, Supplementary Material online; Gordon and Smith 1989). First, the 102 most significantly associated SNPs, including 10 of the 51 total SNPs in the *M* locus, were all located on scaffold 84. All of these 102 SNPs were homozygous in all *inaria* and *immima* individuals, but heterozygous in all *misippus* individuals, consistent with the results from Gordon and Smith's experimental crosses. Second, we used polymerase chain reaction (PCR) and Sanger sequencing in a lab-reared cross to confirm that alleles in *M* segregated according to female wing color pattern (supplementary fig. S6, Supplementary Material online). Third, the *M* locus exhibits high  $F_{ST}$  between *misippus* and *inaria* or *misippus* and *immima*, but low  $F_{ST}$  between *inaria* and *immima* (supplementary fig. S7, Supplementary Material online). Fourth, the phylogenetic relationships between phased haplotypes in the



**FIG. 4.** The *Hypolimnas misippus* mimicry switch is associated with a single genome region on chromosome 26. (a) *Hypolimnas misippus* male and female color patterns. (b) Phylogenetic relationships between *H. misippus* and other nymphalids (Materials and Methods; [supplementary table S3, Supplementary Material](#) online). Additional *Hypolimnas bolina* forms exist but are not shown here. (c) GWA for the switch between the female forms *misippus* and *immima/inaria*. GWA results for all scaffolds are shown in [supplementary figure S4, Supplementary Material](#) online. The top SNPs are located on *H. misippus* scaffold 84 ([supplementary fig. S4, Supplementary Material](#) online). (d) Scaffold 84 GWA results. *Hypolimnas misippus* gene models are shown on the x axis, with *Sox5/6* and *pink* marked in green and pink, respectively. Gene information is in [supplementary table S5, Supplementary Material](#) online. *Melitaea cinxia*: D. Descouens CC BY-SA 4.0.

**Table 1.** Assembly Statistics for the *Hypolimnas misippus* and Other Publicly Available Nymphalidae Reference Genomes.

Species <sup>a</sup>	No. Scaffolds	N <sub>50</sub> (Mb) <sup>b</sup>	BUSCO Results (%) <sup>c</sup>		
			Complete (Dup.)	Frag.	Missing
<i>Bicyclus anynana</i>	10,800	0.6	89.6 (0.7)	3.9	6.5
<i>Danaus plexippus</i>	5,395	0.7	96.6 (1.9)	2.3	1.1
<i>Heliconius erato</i>	196	10.7	85.5 (0.7)	4.5	10.0
<i>Heliconius melpomene</i>	332	14.3	86.2 (0.4)	4.1	9.7
<i>Hypolimnas misippus</i>	1,580	1.0	88.9 (0.3)	3.8	7.3
<i>Junonia coenia</i>	1,136	1.6	96.9 (14.7)	1.4	1.7
<i>Melitaea cinxia</i>	8,261	0.1	57.1 (0.2)	11.8	31.1
<i>Vanessa tameamea</i>	1,558	3.0	96.3 (0.4)	2.2	1.5

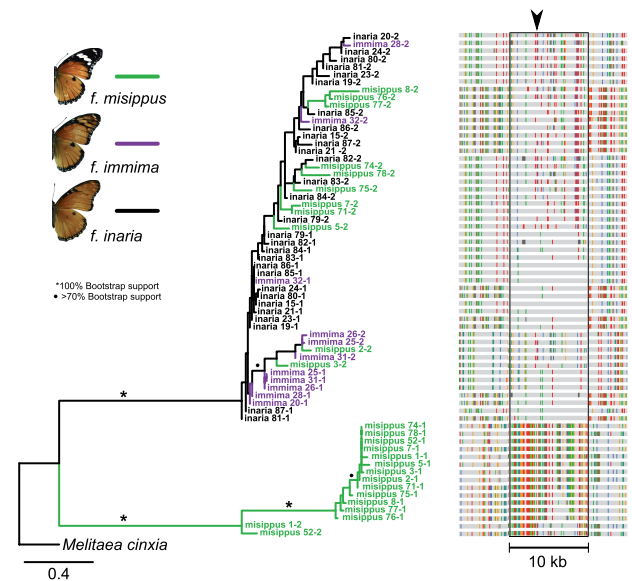
<sup>a</sup>See Materials and Methods for assembly identifiers

<sup>b</sup>Half of the assembly is contained in scaffolds at least this long.

<sup>c</sup>Statistics calculated using BUSCO v3.01.01-beta and endopterygota gene set from OrthoDBv9 (2,442 single copy orthologs [SCOs]). Dup. and Frag. - duplicated and fragmented SCOs, respectively ([Waterhouse et al. 2018; Zdobnov et al. 2017](#)).

M locus reflect female forewing phenotype, rather than the relationships between individuals, except for *misippus* individuals *misippus* 1 and *misippus* 52 ([fig. 5b](#) and [supplementary fig. S5](#) and [supplementary table S1, Supplementary Material](#) online). Individuals *misippus* 1 and *misippus* 52 harbored recombinant haplotypes that were homozygous for the *misippus* haplotype along most of 10-kb region ([fig. 5b](#)).

Importantly, the *H. misippus* M locus is located near no known lepidopteran color patterning loci. Instead, M is located in an intergenic region 48-kb upstream of the nearest gene, *Hmis009060* (*Sox5/6*), suggesting that the causative



**FIG. 5.** Relationships between phased *Hypolimnas misippus* M locus haplotypes. Relationships between phased haplotypes in the 10-kb region that is strongly differentiated between forms *misippus* and *immima/inaria* females (the M locus). Branches are colored according to the individual's color pattern, and leaves indicate the individual and haplotype, for example, individual *misippus* 1 haplotypes 1 and 2 ([supplementary table S1, Supplementary Material](#) online). Corresponding haplotypes are shown to the right, the M locus is boxed. The most significantly associated SNP is indicated by an arrow. Only variant sites are shown and gray nucleotides match the majority consensus sequence.

mutation(s) may reside in a *cis*-regulatory element of *Sox5/6* or another nearby gene such as *Hmis009058* (*pink*; supplementary table S5, Supplementary Material online).

## Discussion

Identifying the genetic bases of adaptive phenotypes in a variety of organisms provides deep insight into the composition of developmental gene networks, how those networks evolve over time, and the conditions in which genes are reused during adaptation. Mimetic butterfly color pattern variation is controlled by a diverse but small set of large-effect Mendelian loci that have been repeatedly modified throughout the evolution of Lepidoptera. Here, we identified Mendelian switch loci controlling mimicry polymorphisms in *P. clytia* and *H. misippus* to assess the influence of phylogenetic relationship and mimicry mode on gene reuse during the evolution of diverse adaptive wing color patterns.

Unlike other swallowtails studied to date, we find that the *P. clytia* mimicry polymorphism is controlled by alternate alleles of a ~500-kb genome region containing *cortex*. This region, and particularly the gene *cortex*, is well known to control color pattern variation across Lepidoptera. *cortex* expression levels, expression patterns, and splicing are correlated with melanic wing color patterns: Melanism in the peppered moth is caused by general upregulation of *cortex* in larval wing discs and melanic regions of *Heliconius* hindwings are prefigured by *cortex* expression patterns in larval wing discs (Nadeau et al. 2016; van't Hof et al. 2016; Saenko et al. 2019). It therefore seems plausible that the switch between melanic/white scales and brown scales in *P. clytia* forms is controlled at least in part by expression pattern variation in *cortex* between the two forms (fig. 1a). This hypothesis is supported by the large amount of genetic differentiation between *PclyML* alleles, especially high  $F_{ST}$  and top switch GWA variants being located just upstream of *cortex* (fig. 1d). Precisely how *cortex*, a cell division cycle regulator, affects color patterns is still unclear, but there is a strong link between the rate of scale development and final scale color; one hypothesis is that *cortex* alters color patterns by altering scale maturation rates (Gilbert et al. 1988; Koch et al. 2000; Nadeau et al. 2016; van't Hof et al. 2016).

A second, nonmutually exclusive possibility is that the *P. clytia* mimicry polymorphism is controlled by multiple linked genes in the *cortex* region (i.e., a supergene), similar to the *He. numata* mimicry polymorphism. It is clear that the *cortex* region does contain multiple color patterning genes because, for example, genetic variation in the *He. numata*  $P_2$  inversion, which does not contain *cortex*, produces a large amount of wing pattern diversity in this species (fig. 2; Ferguson et al. 2010; Joron et al. 2011; Ito et al. 2016; Nadeau et al. 2016). A recent analysis also found at least five other genes in this region are significantly differentially expressed between *He. numata* morphs and may contribute to this polymorphism (Saenko et al. 2019). Supergene alleles may be maintained by a variety of mechanisms, including selection and inversions, that effectively repress recombination between them (reviewed by Schwander et al. [2014]). The three peaks of

association,  $D'$ , and  $F_{ST}$  in *PclyML* could indicate strong selection for beneficial combinations of alleles in the different peaks or that an inversion distinguishes *PclyML* alleles (fig. 1d). The elevated  $F_{ST}$ ,  $D'$ , and nucleotide diversity in the outermost *PclyML* peaks closely resemble the patterns expected and observed near the breakpoints of old polymorphic inversions, suggesting that *PclyML* alleles may also be distinguished by an inversion (fig. 1d and supplementary fig. S3, Supplementary Material online; Navarro et al. 2000; Andolfatto et al. 2001; Corbett-Detig and Hartl 2012; Guerrero et al. 2012). Interestingly, the *cortex* region in particular has gained at least three independent inversions in *Heliconius* (fig. 2; Joron et al. 2011; Jay et al. 2018; Edelman NB, Frandsen PB, Miyagi M, Clavijo B, Davey J, Dikow R, Garcia-Accinelli G, Van Belleghem S, Patterson N, Neafsey DE, Challis R, Kumar S, Moreira S, Salazar C, Chouteau M, Counterman B, Papa R, Blaxter M, Reed RD, Dasmahapatra K, Kronforst MR, Joron M, Jiggins CD, McMillan WO, Di Palma F, Blumberg AJ, Wakeley J, Jaffe D, Mallet J, unpublished data). However, we did not find sequencing reads or de novo scaffolds physically supporting the presence of an inversion in *P. clytia* (Materials and Methods). Furthermore,  $F_{ST}$ ,  $D'$ , and nucleotide diversity drop to background levels just outside *cortex* and its flanking intergenic regions, suggesting that the three peak regions are roughly in linkage equilibrium. A proper *P. clytia* genome assembly is required to determine the presence of an inversion and if species outside *Heliconius* have converged on similar genome structures during the evolution of diverse mimicry polymorphisms. The strength of selection for Batesian mimicry depends on a number factors, including the relative abundance of the model (Charlesworth and Charlesworth 1975), and we know little about *P. clytia* natural history, so it is difficult to estimate the origins of or strength of selection for mimicry in this species.

Thus, the *cortex* region has been repeatedly involved in the evolution of diverse nonmimetic and mimetic melanic color patterns across Lepidoptera. In particular, *P. clytia* and *He. numata* converged on similar genetic architectures for their genetic switches despite diverging ~120 Ma and evolving distinct color patterns that mediate Batesian or Müllerian mimicry. One commonality between all of this color pattern variation, beyond melanism, is that it is all sexually monomorphic. These observations may suggest that the *cortex* region cannot control sexually dimorphic color pattern variation. However, wing color patterns are shaped by a complex interplay between natural selection, sexual selection, and demographic processes that make it difficult to precisely identify the causes of gene reuse. Species that help separate some of these confounding factors, like *P. clytia*, will obviously be critical for identifying these causes.

In contrast to non-sex-limited mimicry polymorphism in *P. clytia*, we found that female-limited mimicry polymorphism in *H. misippus* is associated with a novel color patterning locus (fig. 4). Thus, the only known case of gene reuse in the evolution of female-limited mimicry occurs with *dsx* in *P. polytes* and its close relatives (Kunte et al. 2014; Nishikawa et al. 2015; Zhang et al. 2017; Iijima et al. 2018; D. H. Palmer and M. R. Kronforst, unpublished data). It is not clear if *dsx*

reuse is caused by genetic convergence or parallelism—the inversions that distinguish *dsx* alleles in different species appear to be independently derived (Iijima et al. 2018; Palmer and Kronforst, unpublished data). In any case, these results and those from *Heliconius* reinforce the general conclusion that the probability of gene reuse is high within ~10–15 My (Conte et al. 2012; Dasmahapatra et al. 2012; Pardo-Diaz et al. 2012; Van Belleghem et al. 2018). In contrast, we have no evidence that the probability of gene reuse is affected by the type of mimicry (Batesian/Müllerian, sex-limited or not).

Despite the seeming complexity of female-limited mimicry polymorphisms, each polymorphism that has been studied, in both Papilionidae and our work here in Nymphalidae, is controlled by a different locus. It is important to note that female-limited mimicry itself is diverse: in some species, such as *P. polytes*, females develop a male-like form in addition to one or more mimetic forms, whereas in others, like *H. misippus* or *Papilio polyxenes*, females never resemble males and develop one or more mimetic forms (Kunte 2009a). However, any locus controlling a female-limited mimicry polymorphism must carry multiple alleles that produce distinct adaptive color patterns and also limit their effects to one sex. All of the known genes are transcription factors that control diverse developmental programs (Kunte et al. 2014; Timmermans et al. 2014). Although we can at least envision how *dsx*, a master regulator of somatic cell sex differentiation, could limit its effects to one sex, it is still not clear how it switches between developmental programs that produce multiple distinct color patterns (Kunte et al. 2014; Nishikawa et al. 2015; Deshmukh et al. 2018; Iijima et al. 2018). Identification of the mimicry switch in *H. misippus*' sister species *H. bolina* will be important for elucidating the time scales over which genetic convergence and parallelism occur in the evolution of female-limited mimicry polymorphisms. The diverse genetic bases of female-limited mimicry polymorphisms are therefore likely a result of the diversity of sex-limited mimicry and the natural selection, sexual selection, and demographic forces that drive their evolution (Kunte 2009b).

We suggest *Sox5/6* and *pink* as reasonable candidates for the *H. misippus* mimicry switch. *Sox5/6* is member of the *SoxD* transcription factor family and its *Drosophila melanogaster* ortholog *Sox102F* is required for proper sensory neuron development (Pevny and Lovell-Badge 1997; Stolt et al. 2006; Lefebvre 2010). *SoxD* contains the primary vertebrate sex-determination genes, whereas butterfly wing scales are modified sensory bristles (Galant et al. 1998). Other *Sox* genes have also been implicated in color pattern development in fish, frogs, and mice, including *Sox10* (Dutton et al. 2001; Aoki et al. 2003; Harris et al. 2010). Similarly, mutations in *pink*, which control melanosome development in *D. melanogaster*, are associated with albinism in mice, fish, and *Bombyx*, consistent with the presence/absence of the melanic forewing patch in *H. misippus* (fig. 4 and supplementary table S4, Supplementary Material online; Zhang et al. 2003; Falcón-Pérez et al. 2007; Fujii et al. 2012; Daly et al. 2013). It will therefore be critical to experimentally test the functions of *Sox5/6*, *pink*, and other genes near the *M* locus in future work to understand how these adaptive phenotypes are produced.

## Materials and Methods

### Butterfly Care

*Hypolimnas misippus* and *P. clytia* pupae were obtained through LPS imports (www.lpsimports.com; last accessed August 30, 2019). *Papilio clytia* and *H. misippus* adults were kept in 2-m<sup>3</sup> cages in a greenhouse with 65% humidity, constant 27 °C, light:dark cycle of 16 h:8 h and fed Birds Choice Butterfly Nectar. *Hypolimnas misippus* larvae were raised on *Portulaca oleracea*.

### Sequencing, Assembly, and Annotation of the *H. misippus* Genome

DNA was isolated from thorax of a single *H. misippus* f. *misippus* female using phenol–chloroform extraction. We constructed Illumina paired-end (PE) libraries with insert sizes 250 and 500 bp using the KAPA Hyper Prep Kit (KR0961 – v1.14) and 1 μg genomic DNA. We constructed mate pair (MP) libraries with insert sizes of 2, 6, and 15 kb using the Nextera Mate Pair Library Prep kit (FC-132-1001) and 4 μg genomic DNA. We pooled libraries in a ratio of 50:18:10:17:4 and sequenced them 2 × 150 bp on a single lane of Illumina HiSeq 4000. We performed additional 2 × 100-bp HiSeq 4000 sequencing of the PE libraries. We trimmed low-quality regions and remaining adapters from raw PE reads using Trimmomatic v0.36 (Bolger et al. 2014) and from MP libraries using the *platanus\_internal\_trim* tool from *Platanus* v1.2.4 (Kajitani et al. 2014). Trimmed libraries were assembled using *Platanus* v1.2.4 (default settings) and the assembly polished using *Redundans* v0.13a (default settings; Pryszyk and Gabaldón 2016). We removed scaffolds <5 kb from the final assembly. Finally, we generated species-specific repeat libraries and masked repeats using *RepeatScout* 1.0.5 and *RepeatMasker* 4.0.8, respectively (Price et al. 2005; Smit et al. 2015).

We annotated the reference using *MAKER* v3.01.02 (Holt and Yandell 2011; Campbell et al. 2014). We used predicted transcripts from *Junonia coenia* (NCBI BioProject PRJNA237755; Daniels et al. 2014), *Vanessa tameamea* (GCF\_002938995.1), and *V. cardui* (Zhang et al. 2017) as evidence for transcription. We used protein sequences from the UniProt/SwissProt protein database (UniProt Consortium 2016), and RefSeq protein models for *Danaus plexippus*, *Papilio xuthus*, *Bombyx mori*, *Vanessa tameamea*, *Pieris rapae*, and *D. melanogaster* as evidence for protein-coding regions. We trained SNAP using this evidence, then used SNAP, Augustus v3.2 with *Heliconius melpomene* parameters, and GeneMark-ES 4 with *MAKER* to generate the final gene models (Korf 2004; Haussler et al. 2008; Ter-Hovhannisyan et al. 2008). We functionally annotated predicted proteins using BlastP against the UniProt/SwissProt database and combined that information using scripts included in *MAKER*.

We used data from the following assemblies for the annotation and BUSCO pipelines: Bany v1.2 (GenBank GCA\_900239965.1), Dple v3 (GenBank GCA\_000235995.2), Hera demophon v1 (LepBase v4), Hmel v2.5 (LepBase v4), Jcoe v1.0 (LepBase v4), Mcin (GenBank GCA\_000716385.1), and Vtam (RefSeq GCF\_002938995.1).

### Whole-Genome Resequencing

We isolated DNA from thorax of 34 *H. misippus* and 27 adult *P. clytia* butterflies using chloroform extractions (supplementary table S1, Supplementary Material online). Illumina PE libraries were constructed using a KAPA Hyper Prep Kit and sequenced using 2× 100-bp Illumina HiSeq 2500. Low-quality regions and adapters were trimmed from raw reads using Trimmomatic v0.36 before mapping.

### *Papilio clytia* GWA

Trimmed *P. clytia* reads were mapped to the *P. glaucus* genome assembly (all scaffolds >5 kb from GenBank Accession GCA\_000931545.1; Cong et al. 2015) using Stampy v 1.0.31 (Lunter and Goodson 2011). We assigned 1,252/2,796 (271 Mb/325 Mb, 83.4%) *P. glaucus* scaffolds to the chromosome-level *P. xuthus* reference genome assembly (Li et al. 2015) using a custom BLAT-based pipeline (available at <https://github.com/nwvankuren/scripts/>). PCR duplicates were marked with Picard 2.18 (<http://broadinstitute.github.io/picard>). We realigned reads around putative indels using the Genome Analysis ToolKit's (GATK 3.8) RealignerTargetCreator and IndelRealigner, then called SNPs using the UnifiedGenotyper with default settings except the heterozygosity prior was set to 0.02 and minimum allowable base quality scores was set to 30 (McKenna et al. 2010). We removed genotypes with read depth below 3, sites with more than 4/27 missing genotypes, and sites with minor allele frequency below 0.1. We removed sites in high linkage disequilibrium using Plink's indep-pairwise function (–indep-pairwise 10 2 0.2), then performed a principal components analysis (PCA) to identify covariates (Chang et al. 2015). Association tests were performed using a linear mixed model in gemma v0.94b and Wald's test *P* values (Zhou and Stephens 2012). We included gemma's genetic relatedness matrix and all PCs that explained >1.5% of the variance as covariates. False discovery rates (FDRs) were determined using Benjamini–Hochberg correction (Benjamini and Hochberg 1995). GWA results using all *P. glaucus* scaffolds, rather than just those assigned to chromosomes, are shown in supplementary figure S1, Supplementary Material online. We used gene models for *P. glaucus* and applied *P. xuthus* RefSeq gene models (GCF\_000836235.1; supplementary table S2, Supplementary Material online) to the *P. glaucus* assembly using BlastP because RefSeq is the most comprehensive annotation set available. We calculated average  $D'$  and  $F_{ST}$  in nonoverlapping 10-kb windows using VCFtools 0.1.13 (Danecek et al. 2011).  $D'$  was calculated using all samples.  $F_{ST}$  was calculated between all *dissimilis* and all *clytia*. The average number of differences within and between *dissimilis* and *clytia* shown in supplementary figure S3, Supplementary Material online, was calculated using VCFtools 0.1.13.

### PclyML Synteny across Lepidoptera

We identified syntenic regions between *P. xuthus*, *P. glaucus*, *Heliconius melpomene* v2.5, and the *Bombyx mori* chromosome-level assembly using BlastP (supplementary table S2, Supplementary Material online). Coordinates of color

patterning loci and genes were taken from previous studies (Counterman et al. 2010; Ferguson et al. 2010; Joron et al. 2011; Ito et al. 2016; van't Hof et al. 2016).

### *Papilio clytia* Phylogenetic Analyses

We placed *P. clytia* within the broader context of its family by aligning whole-genome sequencing reads from other Papilionidae to the *P. glaucus* genome and calling and filtering SNPs following the above pipeline (supplementary table S3, Supplementary Material online). We used consensus sequences for each sample, including ambiguities, as input to maximum likelihood reconstructions using RAxML 8.2.11 under the GTRCAT model (Stamatakis 2014). Of the papilionids with publicly available sequencing data, *P. clytia* is most closely related to the female-limited mimic *P. glaucus*, consistent with single- to three-gene phylogenies (fig. 1 and supplementary table S3, Supplementary Material online; Tsao and Yeh 2008; Wu et al. 2015). We then phased *P. clytia* SNPs using SHAPEIT2 supported by read-backed phasing (Delaneau et al. 2013) and used these haplotypes and the *P. glaucus* consensus as input to RAxML reconstructions for smaller regions. We excluded *P. clytia* samples ch3 and ch4 because phasing was poor from low coverage. Phylogenetic support was determined using 1,000 bootstraps.

### *Hypolimnas misippus* GWA

*Hypolimnas misippus* data were processed identically to the *P. clytia* data, except they were mapped to the new *H. misippus* assembly. We assigned *H. misippus* scaffolds to the *M. cinxia* chromosome-level assembly (Ahola et al. 2014) using our BLAT pipeline. This pipeline ordered 531/1,580 (87.0% of the total length) *H. misippus* scaffolds, including all scaffolds with sites associated with the mimicry polymorphism at FDR < 0.01. GWA results using all 1,580 scaffolds are shown in supplementary figure S4, Supplementary Material online. The GWA was performed similarly to the *P. clytia* GWA, including linkage disequilibrium-based pruning and principal components analysis. All PCs explaining >3% of the variance (1 and 2) were included as covariates in the GWA.

### *Hypolimnas misippus* Genotyping

We performed PCR and Sanger sequencing of a ~700-bp region containing two of the top 100 GWA SNPs in one of our families, a cross between a male (C507) and a *f. inaria* female (C302). This cross yielded 98 offspring, including 36 females (21 *f. misippus*, 8 *f. immima*, and 7 *f. inaria*). We extracted DNA from 1/2 of each female's thorax using a phenol–chloroform protocol and used this in PCR with forward primer 5'-TCTTCTGGACGGCACAACCTC-3' and reverse primer 5'-CGTCAGCGGTTTA-GAATGCG-3', 6.5 μl GoTaq Colorless Master Mix, 6.4 μl water, 0.3 μl 10 μmol each primer, and 0.5 μl DNA per reaction. Reactions were run on a Bio-Rad C1000 Touch Thermal Cycler using an initial denaturation at 95°C for 1 min, followed by 35 cycles of 95°C for 30s, 58°C for 30s, and 72°C for 2 min. Products were purified with Applied Biosystems ExoSAP-IT and sequenced by the University of Chicago Comprehensive Cancer Center DNA Sequencing & Genotyping Facility on an Applied Biosystems 3730XL 96-



capillary sequencer. Sequences were aligned to *H. misippus* scaffold84 using Geneious v9.1.3.

### *Hypolimnas misippus* Genomic Analyses

We generated genome-wide phylogenies for *H. misippus* using sequencing data for seven additional nymphalid species following the protocols outlined for *P. clytia*, including phasing for focal scaffolds 84 and 25 (supplementary table S3, Supplementary Material online). We excluded hmis72 from this analysis due to high missingness (~0.8), which interfered with phasing.

## Supplementary Material

Supplementary data are available at *Molecular Biology and Evolution* online.

## Acknowledgments

We thank A. Kopp and an anonymous reviewer for their helpful comments on the manuscript. We thank Márcio Z. Cardoso for help constructing sequencing libraries, Iris Mire for help with *H. misippus* genotyping, the University of Chicago greenhouse staff and Carlos Sahagun for help with butterfly husbandry, and the University of Chicago Functional Genomics Facility for their help generating sequencing data. This work was supported by funding from the National Science Foundation (grant IOS-1452648), the National Institutes of Health (grant GM108626), and the Pew Biomedical Scholars program (to M.R.K.).

## Author Contributions

N.W.V. analyzed the data and wrote the manuscript; D.M. collected samples, performed sequencing, analyzed data, and wrote the manuscript; S.N. performed sequencing and data analysis; M.R.K. conceived the project and wrote the manuscript.

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