








The human natural anti- α Gal antibody targets common pathogens by broad-spectrum polyreactivity

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INTRODUCTION

Human plasma is rich in various naturally occurring antibodies,^{1–3} but their contribution to host protection against bacterial pathogens remains largely speculative. One of the most abundant of these antibodies, anti- α Gal, possesses reactivity for the xenocarbohydrate terminal galactose- α -1,3-galactose (Gal α 3Gal).⁴ The anti- α Gal antibody may have played a critical role in human evolution. Ancestors of the apes and Old World monkeys lost the α 1,3 galactosyl

Summary

Naturally occurring antibodies are abundant in human plasma, but their importance in the defence against bacterial pathogens is unclear. We studied the role of the most abundant of such antibodies, the antibody against terminal galactose- α -1,3-galactose (anti- α Gal), in the protection against pneumococcal infections (*Streptococcus pneumoniae*). All known pneumococcal capsular polysaccharides lack terminal galactose- α -1,3-galactose, yet highly purified human anti- α Gal antibody of the IgG class reacted with 48 of 91 pneumococcal serotypes. Anti- α Gal was found to contain multiple antibody subsets that possess distinct specificities beyond their general reactivity with terminal galactose- α -1,3-galactose. These subsets in concert targeted a wide range of microbial polysaccharides. We found that anti- α Gal constituted up to 40% of the total antibody reactivity to pneumococci in normal human plasma, that anti- α Gal drives phagocytosis of pneumococci by human neutrophils and that the anti- α Gal level was two-fold lower in patients prone to pneumococcal infections compared with controls. Moreover, during a 48-year period in Denmark, the 48 anti- α Gal-reactive serotypes caused fewer invasive pneumococcal infections ($n = 10\,927$) than the 43 non-reactive serotypes ($n = 18\,107$), supporting protection on the population level. Our findings explain the broad-spectrum pathogen reactivity of anti- α Gal and support that these naturally occurring polyreactive antibodies contribute significantly to human protective immunity.

Keywords: antibodies; epitopes; flow cytometry; microbiota.

transferase activity required for formation of terminal Gal α 3Gal because of inactivating mutations in the gene encoding the α 1,3-galactosyl transferase,^{5–7} which abruptly ended immunological tolerance to the terminal Gal α 3Gal structure. This may have provided a strong selective advantage⁸ by allowing for production of the anti- α Gal antibody capable of protecting against enveloped viruses originating from species that express Gal α 3Gal.^{9–11}

It is not clear what drives the production of the anti- α Gal antibody. The prevailing theory is that enteric

Abbreviations: 95%CI, 95% confidence interval; anti- α Gal, Antibody against terminal galactose- α -1,3-galactose; CW, Cell wall; EcO86, *Escherichia coli* O86; EDTA, Ethylenediaminetetraacetic acid; FI, Fluorescent intensity; Gal α 3Gal, Galactose- α -1,3-galactose; HSA, Human serum albumin; IgA, Immunoglobulin of class A; IgG, Immunoglobulin of class G; IgM, Immunoglobulin of class M; MFI, Median fluorescence intensity; MFI_{rel}, Relative median fluorescence intensity; nhIgG, Normal human IgG pool; PBS, Phosphate-buffered saline; ROS, Reactive oxygen species; TBS, Tris-buffered saline; TRIFMA, Time-resolved immunofluorometric assay

bacteria present terminal Gal α 3Gal to our immune system and therefore continuously stimulate the production of the antibody.¹² Many enteric bacteria (~25% of strains) do indeed react with the anti- α Gal antibody;¹³ however, few commensals carry a gene encoding an α 1,3 galactosyl transferase,¹⁴ which suggests that the antibody binds bacteria by polyreactivity. A polyreactive antibody has biologically relevant affinities for at least two distinct epitopes.¹⁵ Indeed, polyreactivity of the anti- α Gal antibody is reported for various structures,^{16,17} albeit not of microbial origin. Polyreactive antibodies could be important in human first-line defence against invading pathogens,^{15,18,19} but direct evidence is lacking.

Anti- α Gal antibody of all immunoglobulin classes is found in human plasma, and concentrations can amount to as much as 1% of total IgG² and even larger percentages of total IgM.²⁰ The average levels are lower, approximating 10 mg/L for anti- α Gal of the IgG class in the plasma of healthy adults,^{21–23} but levels vary more than 400-fold between individuals.²³ Part of the variation relates to the presence or absence of the antigens of the ABO blood group system: Persons carrying the B-antigen have lower concentrations of anti- α Gal antibody,^{23–25} likely because their repertoire of anti- α Gal clones is restricted by the similarity of the B-antigen to terminal Gal α 3Gal.²⁶

The involvement of the anti- α Gal antibody in combating bacterial pathogens in humans is largely unexplored. In general, antibodies of the IgG class are particularly important in humans, and IgG deficiency predominantly manifests as recurrent airway infections.^{27,28} Recurrent lower airway infections are especially devastating because of the resulting structural lung damage, respiratory failure and early death. The most serious lung pathogens are encapsulated bacteria with *Streptococcus pneumoniae* (pneumococci) being a dominating species.^{27,29} In general, pneumococci are major pathogens in humans and a leading cause of pneumonia,³⁰ which is the most deadly communicable disease, causing 3.0 million deaths worldwide in 2016.³¹

The pneumococcal capsule is a pivotal virulence factor that, among other functions, shields the subcapsular antigens from the immune system.³² The capsules themselves are targets for human antibodies, which are crucial components in human protection against pneumococcal disease.^{33,34} Specific antibodies in synergy with complement opsonize pneumococci for killing by phagocytes. However, pneumococcal capsule structures are exceptionally diverse, and 100 different serotypes have been identified.³⁵ As a consequence, broad-spectrum protection against pneumococci requires many different antibody specificities. Anti- α Gal could be a candidate for providing broad-spectrum pneumococcal reactivity, as the antibody reacts with most of approximately 200 different bacterial

pathogens.^{13,36,37} Such broad pathogen reactivity likely involves polyreactivity. We recently demonstrated that anti- α Gal reacts with pneumococci of serotype 9V,³⁸ which does not contain terminal Gal α 3Gal in its capsule polysaccharide.³⁹ Broad-spectrum bacterial reactivity is not unique to the anti- α Gal antibody among the naturally occurring antibodies. As early as 1961, Springer and co-workers reported this phenomenon for the antibodies to ABO antigens.¹ However, the mechanism behind the broad reactivity is an unsolved puzzle.

Another mystery is how anti- α Gal-reactive bacterial pathogens can establish infection in humans who have natural occurrence of the anti- α Gal antibody. Nearly three decades ago, Hamadeh and co-worker proposed that anti- α Gal binding to some bacteria paradoxically promotes infection by preventing a complement attack on the pathogens.¹³ In contrast, we recently documented that anti- α Gal is fully capable of activating complement on both pneumococci of serotype 9V and *Escherichia coli* O86 (EcO86),³⁸ an often used model bacterium for studying anti- α Gal reactivity.^{12,37,40,41} However, the capability of the antibody to opsonize reactive bacteria is undocumented, although opsonization is a fundamental feature of antibodies. Thus, the involvement of anti- α Gal in human defence against bacteria has not been established.

We therefore examined the basis for the broad pathogen reactivity of anti- α Gal and the implications for protective immunity in humans. We found that anti- α Gal displayed broad polyreactivity, which allows reactions with most serotypes of pneumococci. Our results support that this confers important protection to humans.

MATERIALS AND METHODS

Human samples

Blood samples were obtained from healthy blood donors ($n = 60$, mean age = 41 years) or patients ($n = 375$) with a history of increased burden of infection referred for diagnosis of immunodeficiency (Department of Clinical Immunology, Aarhus University Hospital, Denmark). Plasma samples from blood donors, which served as controls for anti- α Gal measurements, were collected from routine plasma portions with an equal representation of the ABO blood types. Plasma samples from patients were obtained between May 2011 and August 2016. Prior to measurements, patient samples were categorized into two main groups: I) patients with idiopathic infections ($n = 289$) and II) patient controls ($n = 86$) as outlined below.

When establishing the idiopathic infections group, we excluded persons with known likely secondary immunodeficiency (treated with steroids, rituximab, etc.). The group was further subdivided according to the

predominant site of infection: I) *lower airways* ($n = 118$), II) *upper airways* ($n = 53$) and III) other sites (*non-airways*) ($n = 118$). Due to compliance of information privacy, detailed data on the frequency and severity of infections for each patient were not available for this study. However, for each patient, the burden of infections was sufficiently serious to invoke a suspicion of immunodeficiency by clinicians experienced in the field. A patient control group, *lung damage* ($n = 34$), were candidates for lung transplantation due to severe structural lung damage. Their lung diseases were I) chronic obstructive pulmonary disease ($n = 16$), II) pulmonary fibrosis ($n = 12$), III) cystic fibrosis ($n = 4$), IV) lymphangiomyomatosis ($n = 1$) and V) unspecified disease ($n = 1$). Human hypogammaglobulinaemia serum was separated from whole blood by centrifugation. The blood was obtained from a person with hypogammaglobulinaemia (low IgG (<0.8 g/L), low IgA (<0.16 g/L) and low IgM (0.10 g/L)) but with normal activity of the complement system.³⁸ Blood cells were prepared from samples of 4 ml heparin-stabilized freshly drawn blood from random blood donors. The cells were washed thrice by centrifugation (200g, 5 min) and twice in phosphate-buffered saline (PBS, pH 7.4) with heparin, 17 IU/ml, followed by a final wash in RPMI-1640 (Thermo Fisher Scientific) with human serum albumin (HSA; CSL Behring), 5 g/L). Then, 2 μ l dihydrorhodamine-123 (Sigma-Aldrich, St. Louis, MO, USA) at 1 g/L in dimethyl sulfoxide was added to the final volume of 4 ml to enable detection of cellular production of ROS. Neutrophil concentrations were geomean 2700/ μ l (95% CI: 2000/ μ l, 3800/ μ l), $n = 4$, by Sysmex measurement.

Pig erythrocytes

Venous blood from anaesthetized pigs undergoing experimental surgery at Institute of Clinical Medicine, Aarhus University, Denmark, was drawn into ethylenediaminetetraacetic acid (EDTA)-containing tubes. Fixation of the pig erythrocytes was done as follows: EDTA-stabilized blood (3 ml) was washed twice by centrifugation (200g, 5 min) in PBS, resuspended in 45 ml PBS with 2% glucose (w/v) and 0.25% glutaraldehyde (v/v) and rotated gently end over end for 1 h at ambient temperature. Residual aldehyde groups were inactivated by ethanolamine (2.5 ml, 1 M, pH 8, rotation for 15 min). The erythrocytes were washed twice by centrifugation (200g, 5 min), first in Tris-buffered saline (TBS) and then in PBS before resuspension in PBS with 0.1% (w/v) HSA and 0.1% sodium azide (w/v).

Microorganisms

For convenience, throughout this paper we use the abbreviations EcO86, Rough1, Rough2, Rough4, and C-mutant

for some bacterial strains as indicated. Strains from American Type Culture Collection, LGC standards, were *Escherichia coli* O86 strain ATCC 12701 ('EcO86'), *Streptococcus pneumoniae* strain ATCC 12213 ('Rough1', unencapsulated, mutated from an original serotype 1) and *Streptococcus pneumoniae* strain ATCC 27336 ('Rough2', unencapsulated, mutated from an original serotype 2). Additional strains of *Streptococcus pneumoniae* were from the Kilian collection, the bacterial culture collection at Department of Biomedicine, Aarhus University, Denmark. These were 91 encapsulated strains of serotypes 1, 2, 3, 4, 5, 6A, 6B, 6C, 7A, 7B, 7C, 8, 9A, 9L, 9N, 9V, 10F, 10A, 10B, 10C, 11F, 11A, 11B, 11C, 11D, 12F, 12A, 12B, 13, 14, 15F, 15A, 15B, 15C, 16F, 16A, 17F, 17A, 18F, 18A, 18B, 18C, 19F, 19A, 19B, 19C, 20, 21, 22F, 22A, 23F, 23A, 23B, 24F, 24A, 24B, 25F, 25A, 27, 28F, 28A, 29, 31, 32F, 32A, 33F, 33A, 33B, 33C, 33D, 34, 35F, 35A, 35B, 35C, 36, 37, 38, 39, 40, 41F, 41A, 42, 43, 44, 45, 46, 47F, 47A and 48. Also, two additional unencapsulated strains were included: CSR SCS-2 clone I ('C-mutant')^{42,43} and strain SK1443 ('Rough4', mutated from an original serotype 4). Bacteria were cultured in 50 ml Todd-Hewitt broth overnight at 35°C in an incubator (5% CO₂). The bacteria were collected by centrifugation (2000g, 30 min) and resuspended in PBS containing 1% formaldehyde (v/v). The next day, the formaldehyde-fixed bacteria were washed twice by centrifugation in 50 ml PBS before resuspension in 10 ml TBS to block residual aldehyde groups. The bacteria were centrifuged, and the supernatant was discarded before resuspension in TBS and storage at 4°C until use.

Affinity-purified anti- α Gal antibody

Highly purified anti- α Gal antibody of the IgG class was prepared as previously described in detail.³⁷ Briefly, a pool of therapeutic-grade normal human IgG (nhIgG) (Beriglobulin, CSL Behring, King of Prussia, PA) diluted to a protein concentration of 30 g/L was passed through a column containing Gal α 3Gal-derivatized beads. The Gal α 3Gal-derivatized beads had been prepared by coupling Gal α 1-3Gal (G203, Dextra Laboratories, Reading, UK) to divinyl sulphone-activated SK75 resin beads (HW75F, Tosoh Bioscience GmbH, Stuttgart, Germany) as previously described in detail.³⁸ After the passage of the nhIgG, the beads were washed extensively. Subsequently, bound antibodies were eluted with glycine buffer (0.1 M, pH 2.5) directly into pH-neutralizing buffer. To remove antibodies reacting with the column matrix without Gal α 3Gal, the eluate was passed over a column containing uncoupled beads. The flow-through was subjected to a second positive selection on Gal α 3Gal-derivatized beads performed as described above to obtain the final preparation of anti- α Gal antibody.

α Galactosidase treatment of cells

Formaldehyde-fixed pig erythrocytes or bacteria were diluted in PBS/HSA (pH adjusted to 6.5), and 5 μ l cell suspension (with approximately 10^6 bacteria or 2×10^5 erythrocytes) was mixed with 5 μ l PBS/HSA, pH 6.5, with or without 0.3 Units α Galactosidase (G8507, Sigma-Aldrich). Reactions were allowed to proceed for 3 h at 25°C before the cells were washed and resuspended in TBS at their original concentration.

Fluorescent labelling of pneumococci

A 100 μ l suspension of formaldehyde-fixed pneumococci of serotype 9V ($10^6/\mu$ l) was washed twice in PBS by centrifugation. The pneumococci were resuspended in 1.5 ml PBS with Cell Proliferation Dye eFluor 670 (Thermo Fisher Scientific) at 150 μ M. After 30 min at 37°C, the reaction was stopped by five wash steps in 37°C warm TBS/HSA (centrifugations at 2000g, 10 min). An estimated 30% of pneumococci were lost during the preparation.

Quantification of anti- α Gal antibody by time-resolved immunofluorometric assay (TRIFMA)

The protocol is described elsewhere.²³ Briefly, to detect binding of antibodies onto relevant antigens, the surfaces in microtitre wells were coated with Gal α (1-3)Gal-HSA or HSA. Antigens were diluted in carbonate buffer (pH 9.4) to 1 mg/L and coated in wells of microtitre plates overnight at 4°C. Unoccupied binding sites were blocked with TBS/Tween/HSA (TBS with 0.1% Tween and HSA at 1 g/L). Each of the subsequent steps was separated by three wash cycles in TBS/Tween. A volume of 100 μ l sample was loaded per well. Sample was plasma-diluted (by default to 1%) in TBS/Tween/HSA with 10 mM EDTA. All samples were analysed in duplicates. Antibody binding took place overnight at 4°C. A total of 100 μ l biotin-labelled anti-human IgG at 1 mg/L in TBS/Tween/HSA was added and reacted for 1 h at room temperature. A volume of 100 μ l europium-labelled streptavidin diluted 1:1000 in TBS/Tween with 25 μ M EDTA was added and reacted for 1 h at room temperature. A volume of 200 μ l enhancement solution was loaded per well, and after shaking of the plates, the signals were read as time-resolved fluorescence. IgG binding to the carbohydrate moieties of glycoconjugate was determined indirectly as the signal from the glycoconjugate-coated surface minus the signal from the HSA-coated surface (background). To quantify antibody reactivity, logarithmically transformed standard curve data were used to approximate formulae (third-degree polynomial fit) for the concentration as function of TRIFMA signal (Microsoft Excel 2016 spreadsheets, Microsoft Corporation, WA,

USA). Samples yielding signals higher or lower than standard curve samples were re-examined at appropriate dilutions. To enable quantification of anti- α Gal in mg/l, we related the signals of the standard sample to those obtained from serial dilution of purified anti- α Gal in known concentration.

Quantification of antibodies to pneumococcal capsular polysaccharides

A commercial bead-based multiplex assay (xMAP[®] Pneumo 14: Pneumococcal Immunity Panel; Luminex Corporation, Austin, TX, USA) was used according to the manufacturer's instructions for estimation of antibodies to 14 different pneumococcal polysaccharides. Read-out was done on a Luminex 100 reader (Luminex Corporation).

Flow cytometry

Antibody reactivity with cells was determined by flow cytometry.³⁷ Target cells were diluted in PBS containing HSA at 1 mg/L (PBS/HSA) to reach acquisition event rates of approximately 100 s⁻¹ for pig erythrocytes or 500 s⁻¹ for bacteria. Ten μ l cell suspension was mixed with 10 μ l PBS/HSA solutions with and without primary antibodies. Unless otherwise stated, concentrations of the primary antibodies were as follows: purified anti- α Gal, 5 mg/L; IgG anti-CD20 (control of unspecific reactivity, rituximab, monoclonal, chimeric mouse/human anti-hCD20, Roche, Switzerland), 10 mg/L; and nhIgG (Subcuvia, Baxter, Deerfield, IL, USA), 500 mg/L. The primary incubation (60 min at 37°C) was terminated by washing the cells in 1 ml PBS/HSA by centrifugation (2000g, 10 min for bacteria or 200g, 10 min for erythrocytes). For experiments containing plasma or serum, an additional wash was performed. Cells were resuspended in 20 μ l PBS/HSA with 1% (v/v) fluorescein isothiocyanate-coupled polyclonal rabbit F(ab')₂ anti-human IgG (F0315, DAKO). This incubation proceeded in the dark at room temperature for 30 min. A total of 200 μ l sterile-filtered (0.45 μ m) flow buffer (BD FACSCFlow, BD Biosciences, San Jose, CA, USA) was added, and samples were analysed by flow cytometry on a standard configuration, 2-laser (488 nm/633 m) BD FACSCanto II Cell analyser (BD Biosciences). The instrument performance was monitored with BD CS&T beads throughout. Before each analysis run, settings were adjusted according to the following strategy: (a) forward-scatter and side-scatter acquisition thresholds were assigned to their minimum set points. (b) Sterile-filtered flow buffer was then sampled at medium flow rate while adjusting the voltage of forward- and side-scatter detectors to obtain an acquisition (background) event rate between 0 and 4 s⁻¹. These settings were maintained for the subsequent analysis of

samples. Signal height was acquired for forward-scatter, side-scatter and fluorescein isothiocyanate fluorescence emission (excitation 488 nm, emission bandpass filter 530/30 nm). Each sample was pre-sampled (5 s) before data were recorded for 20 s. Instrument rinse was done between all samples. To control for bacterial spillover, samples of sterile-filtered flow buffer were run between each bacterial strain (event rate below 1% of that from samples containing bacteria). Observed final event rates for bacteria ranged between 170 s^{-1} and 980 s^{-1} . Event rates correlated with bacterial concentration based on optical density at 600 nm ($P(H_0) < 0.0001$, $R^2 = 0.59$ by linear regression on linear scales of 22 pneumococcal serotypes). One event on average represented 10 bacterial cells, based on the regression analysis, the sample rate of the flow cytometer and the assumption that an OD_{600} of 1 corresponds to 1.8×10^9 bacterial cells/ml. Data were analysed using FlowJo software (version 9.7.6; FlowJo LLC, Ashland, OR, USA) and exported to Microsoft Excel spreadsheets. MFI_{rel} was median fluorescence intensities for a cell incubated with primary antibodies relative to parallel incubation in PBS/HSA only. A positive antibody reaction was defined as a mean of two separately determined MFI_{rel} at least two standard deviations above 1.10. This value (1.10) was the maximal observed MFI_{rel} observed for the IgG anti-CD20 (reactivity of an irrelevant control antibody) and each of the 91 pneumococcal serotypes. Quantification of antibody reactivity by flow cytometry was done for each strain by comparing sample MFI with the similar signals from a concurrent standard curve (twofold serial dilutions). Data handling was done as described for quantification by TRIFMA. Compounds used for inhibition were Gal α 3Gal (Dextra Laboratories), Glc α 2Fru (Merrild Professional ApS, Denmark), melibiose (Gal α 6Glc, Sigma-Aldrich) and pneumococcal capsular polysaccharides of serotypes 3, 6B, 7F, 9V, 10A, 12F, 15B, 17F, 18C, 19A, 22F and 33F (vaccine quality, LCG standards, Teddington, United Kingdom), and cell wall (CW) polysaccharide (Statens Serum Institut, Copenhagen, Denmark). Compounds were incubated with antibody solutions for 60 min at 37°C prior to incubation with cells. For tests of the inhibitory effect of Glc α 2Fru and Gal α 3Gal on nhIgG reactivity with selected serotypes, nhIgG was titrated for each serotype to produce uninhibited MFI_{rel} approximating 4. The nhIgG concentrations used were as follows: 6.3 mg/L for serotypes 3 and 12F, 10 mg/L for serotype 7F, 25 mg/L for serotypes 6B, 9V, 15B, 18C, 19A and 33F, and 50 mg/L for serotype 17F. Serotype 10A was omitted from these experiments because monotonic standard curves of sufficient range for quantification could not be established. For tests of the inhibitory effect of Glc α 2Fru and Gal α 3Gal on plasma IgG anti-7F and IgG anti-9V, plasma was diluted in PBS/HSA to 0.3% and 1%, respectively. To assess pneumococcal reactivity of isolectin B4, biotinylated IB $_4$ lectin from

Bandeiraea simplicifolia (L2140, Sigma-Aldrich), at 3.5 mg/L, in PBS/HSA, or merely PBS/HSA, was incubated with bacteria in volumes of 20 μl for 60 min at 37°C. Bacteria were washed in 1 ml PBS/HSA by centrifugation (2000g, 10 min). Next, 20 μl streptavidin-APC-eFluor 780 (eBioscience, 47-4317) at 0.67 mg/L in PBS/HSA was allowed to react in the dark for 30 min. Finally, 200 μl filtered flow buffer was added prior to analysis.

Phagocytosis

General opsonization of pneumococci was done as follows: bacteria (with or without eFluor 670 label) at approximately 20 000/ μl (based on measurement of optical density at a wavelength of 600 nm) in RPMI-1640 with 10% human hypogammaglobulinaemia serum as complement source and additional HSA (5 g/L) were supplemented with purified anti- α Gal to 20 mg/L and mixed. Suspensions were incubated for 2 h at 37°C. Where indicated, the opsonization was conducted in the presence of inhibitors of complement function. These were single-domain antibodies (nanobodies) against complement factors. Nanobodies were derived from heavy-chain antibodies produced in llamas, selected by phage-display, expressed in bacteria and purified as described previously.⁴⁴ Four nanobodies were used: (i) C1qNb75 binds the globular head of C1q and therefore blocks C1q docking to immunoglobulin Fc domains;⁴⁵ (ii) hC3Nb1 binds C3 and therefore blocks the action of the alternative pathway C3 convertase;⁴⁴ (iii) hC3Nb2 binds C3 and therefore blocks the action of C3 convertases from both classical pathway and alternative pathway;⁴⁶ and (iv) KRA152, used as control, binds an intracellular yeast kinase, that is represents irrelevant reactivity. C1qNb75 concentration was 3.5 mg/L, and hC3Nb1, hC3Nb2 and KRA152 were 12 mg/L. Opsonized bacteria in 30 μl volumes were gently mixed with 50 μl dihydrorhodamine-123-labelled, plasma-depleted blood cells (the ratio of bacteria to neutrophils was approximately 4:1) in round-bottomed polystyrene FACS tubes and placed in the dark at 37°C on an orbital shaker (500 rpm) for 1 h. Phagocytosis was terminated, and erythrocytes were lysed by resuspension in 2 ml, 0°C lysis buffer (155 mM ammonium chloride, 10 mM potassium hydrogen carbonate, 0.1 mM EDTA, pH 7.3). After 15 min at 0°C, cells were pelleted and washed once in 0°C flow buffer with 10 mM EDTA by centrifugation (4°C, 300g, 10 min). Cells were resuspended in 300 μl 0°C flow buffer with 3% formaldehyde (v/v) and left at 0°C for 30 min. Cell suspensions were analysed on a NovoCyte 3000 Flow Cytometer (ACEA Biosciences, CA, USA). Rhodamine-123 was excited at 488 nm and emission detected behind a 530/30-nm bandpass filter. eFluor 670 was excited at 640 nm and emission detected behind a 675/30-nm bandpass filter. MFI_{rel} in phagocytosis experiments was the MFI

measured in an experiment with opsonization in serum and added anti- α Gal relative to the MFI in the same experiment but without added anti- α Gal. To inhibit phagocytosis, neutrophils were fed opsonized bacteria in the presence of 50 μ M cytochalasin D (from *Zygosporium mansonii*, Sigma-Aldrich, product C8273, solubilized in dimethyl sulfoxide), which inhibits the contractility of the cytoskeleton by inhibiting actin polymerization and ultimately phagocytosis.

For detection of bound IgG on bacteria used in the phagocytosis assay, identical primary incubations were done in parallel, except they contained 10 mM EDTA. EDTA was added to avoid masking of bound IgG by deposited C3 fragments.³⁸ Bacteria were washed twice and analysed by flow cytometry as described above but on a NovoCyte 3000 flow cytometer.

Confocal microscopy

The set-up was as described for 'Phagocytosis' above with the following modifications. Prior to formaldehyde fixation, cells were resuspended in 500 μ L, 0°C flow buffer with HSA at 1.0 g/L. Four similar incubations were pooled. Cells were pelleted by centrifugation (4°C, 300g, 10 min) and resuspended in flow buffer/HSA and 5% (v/v) BV421-labelled anti-hCD45 (BD Biosciences, product 563879). After 10 min in the dark at 0°C, the cells were washed in flow buffer by centrifugation (4°C, 300g, 10 min) and fixed in formaldehyde as above. Supernatants were discarded (300g, 10 min) and replaced by mounting medium (DAKO). A 10- μ L cell suspension was placed on slides with coverslip and sealed with nail polish. Confocal microscopy for blue, green, and red fluorescence was performed on a Zeiss LSM780, confocal microscope system (ZEISS, Germany).

Data on invasive pneumococcal disease in Denmark

The data were provided by *Statens Serum Institut*, Copenhagen, Denmark. This institute *serotypes clinical pneumococcal* isolates that are identified locally as the cause of invasive infections at departments of clinical microbiology from all parts of Denmark. Participation in this surveillance protocol is mandatory according to Danish legislation. Data from the period between 1966 and 2014 totalling 29 034 cases were included.

Statistics

Data were generally log₁₀-transformed before analysis. Comparison of plasma anti- α Gal levels between groups and calculation of confidence intervals was done based on bootstrap sampling distributions.⁴⁷ Otherwise, confidence intervals were calculated using the *t*-distribution (continuous variables) or the binomial distribution

(dichotomous variables). To compare the inhibition by homologous and heterologous capsules on the reactivity of anti- α Gal with each serotype, we calculated the probability of observing an inhibition level similar to that of the homologous polysaccharide with a heterologous polysaccharide, based on the observed data set for all heterologous polysaccharides and the particular cell. We made no corrections for multiple comparisons (to limit risk of type II errors), arguing that the single tests were only exploratory in an overall context. We only performed tests we found directly relevant for the context (to limit risk of type I errors). Data analyses were performed in GraphPad PRISM v. 6.07 (GraphPad Software, CA, USA), Estimation Statistics (www.estimationstats.com) and STATA 11 (StataCorp LP, TX, USA). The level of significance was chosen at 0.05.

Ethics

The anonymized blood samples were collected from voluntary blood donors in accordance with the Danish legislation. The studies on excess material from clinical tests of patients referred for laboratory investigations were approved by *The Danish Data Protection Agency* (reference number 1-16-02-40-12/2007-58-0010) and the *Ethics Committee in Central Denmark Region* (reference number 1-10-72-127-12).

Results

Low plasma levels of the anti- α Gal antibody in persons with recurrent lower airway infections

Solid-phase immunoassay was used to measure the levels of the IgG class of anti- α Gal antibody in plasma from patients with increased burdens of idiopathic infections ($n = 289$, Figure S1) and in healthy individuals ($n = 60$). The latter group consisted of 15 persons of each ABO blood group type. The patients suffered from numerous infections to an extent prompting clinical assessment of immunodeficiency. Overall, anti- α Gal ranged between 98 μ g/L and 240 mg/L (i.e. 2000-fold) (Figure 1A). Patients were categorized according to their predominant type of infection into *non-airway infections* ($n = 118$), *upper airway infections* ($n = 53$) or *lower airway infections* ($n = 118$). In healthy persons, the mean anti- α Gal level was 7.5 mg/L (95% confidence interval (95%CI): 5.4–10) (Figure 1A). In comparison with that of healthy persons, the mean anti- α Gal level was 64% (95%CI: 44%–95%) in patients with lower airway infections, 66% (95%CI: 41%–110%) in patients with upper airway infections and 140% (95%CI: 93%–215%) in patients with non-airway infections (Figures 1A and S2). Idiopathic airway infections were thus associated with decreased levels of anti- α Gal.

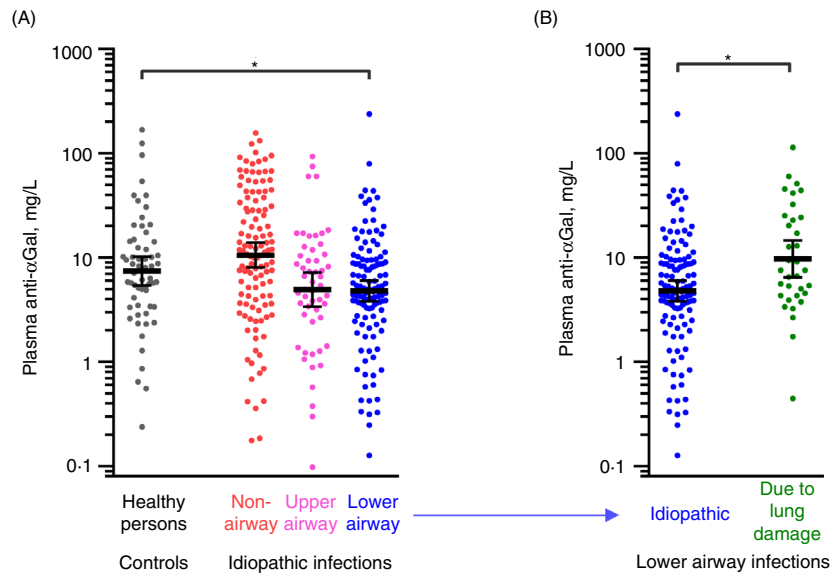


FIGURE 1. Low plasma levels of anti- α Gal antibody in humans with increased burden of lower airway infections. Anti- α Gal antibody was quantified in plasma samples by solid-phase immunoassay. (A) Comparison of anti- α Gal levels in healthy persons (control, $n = 60$) and in patients suffering from recurrent infections ($n = 289$) to a degree prompting experienced medical specialist to suspect causative primary immunodeficiency. Patients were categorized according to their dominating site of infection: (i) non-airways ($n = 118$), (ii) upper airways ($n = 53$) and (iii) lower airways ($n = 118$). The black bars show the geometric means with 95% confidence intervals. The control group was compared with each of the patient subgroups based on bootstrap sampling distributions (Figure S2), and significant group difference ($P < 0.05$) in the anti- α Gal levels is marked by a grey horizontal line and asterisk. (B) As in panel A but for patients with idiopathic lower-airway infections (from panel A) compared with an additional control group comprised of patients with severe lung damage (lung transplantation candidates) and thus highly increased tendency to acquire lower-airway infections ($n = 34$)

The level of anti- α Gal antibody in plasma varies with gender, age and ABO blood group,²³ so group differences in these parameters might bias the comparisons. In our cohort, we found that the anti- α Gal level was associated with both age and B-antigen expression but not gender (Figure S3). A repeated comparison in a regression model with adjustments for age and B-antigen expression showed that mean anti- α Gal level, relative to that of healthy individuals, was 48% (95%CI: 24%–95%) in patients with lower airway infections, 170% (95%CI: 40%–750%) in patients with upper airway infections and 130% (95%CI: 52%–320%) in patients with non-airway infections. The corrections thus obliterated the tendency of lower anti- α Gal antibody level to associate with upper airway infections but strengthened the association between decreased anti- α Gal levels and idiopathic infections in the lower airways.

Decreased anti- α Gal level may dispose to lower airway infections. Alternatively, lower airway infections may lead to reduction in the anti- α Gal level. To clarify the causal direction, we examined a patient control group of lung transplantation candidates. This group suffered from various primary lung diseases with severe structural damage ($n = 34$), which disposes heavily to recurrent lower airway infections. The mean level of anti- α Gal in this group was 200% (95% CI: 130%–310%) of that in patients with

idiopathic lower airway infections (Figures 1B and S4) and 130% (95%CI: 78%–220%) of that in healthy persons. Recurrent lower airway infections *per se* are thus unlikely to cause low anti- α Gal levels, which favours the interpretation that decreased anti- α Gal levels dispose to recurrent lower airway infections.

The anti- α Gal antibody reacts with most serotypes of pneumococci

We investigated anti- α Gal for reactivity with bacterial pathogens that cause lower airway infections. Clinical strains from the individual patients were not available. Instead, we studied reactivity to various serotypes of pneumococci, which is considered the leading cause of community-acquired pneumonia in humans.⁴⁸ Pneumococcal capsules are comprised of capsular polysaccharide, which are long chains of repeating oligosaccharide units. Different oligosaccharide units give rise to 100 known distinct serotypes. Structures of most capsular polysaccharides are reported, and none of these contains terminal Gal α 3Gal.⁴⁸ As antibody source, we used a well-characterized and highly purified preparation of human anti- α Gal antibody of the IgG class^{37,38} and examined its reactivity to 91 serotypes of *S. pneumoniae* by flow cytometry. A positive reaction was defined as more binding signal than

the maximal signal observed with a control antibody of irrelevant specificity (IgG anti-CD20 antibody). Positive reactions were observed with 48 (53%) of the 91 serotypes (Figures 2A–C and S5). Of the 48 reactive serotypes, the capsular polysaccharides of 37 are characterized and known free of terminal Gal α 3Gal, which supports binding by polyreactivity to at least these serotypes. Several of the reactive serotypes displayed higher levels of reactivity than the positive control strain EcO86 (Figure 2C), which carries an unknown epitope for anti- α Gal.¹² Reactivity was also observed with four unencapsulated pneumococcal strains: Rough1, Rough2, Rough4 and C-mutant. The C-mutant lacks a genuine capsule but possesses an unusually thick capsule-like layer of the cell wall (CW) polysaccharide,³² which is also free of terminal Gal α 3Gal.⁴⁹ Thus, the reactivity with the C-mutant strain strongly indicated polyreactivity for the CW polysaccharide. CW polysaccharide is produced by all pneumococci (and some other streptococci),⁴³ but the capsules of encapsulated strains shield the CW polysaccharide and other subcapsular antigens from reactive antibodies.^{32,50} In accordance, the unencapsulated strains Rough1, Rough2 and Rough4 all reacted, whereas their capsulated progenitors (serotypes 1, 2 and 4) displayed poor (serotype 2) or no reactivity (serotypes 1 and 4) (Figure 2C).

Collectively, our results indicate that anti- α Gal possesses polyreactivity for numerous different microbial polysaccharides, including 48 distinct pneumococcal capsular polysaccharides and the CW polysaccharide.

The anti- α Gal antibody is polyreactive and binds multiple distinct microbial polysaccharides

To establish whether anti- α Gal antibody possess polyreactivity for the pneumococcal capsules, we challenged the reactivity between the antibody and each of ten pneumococcal serotypes (yellow highlight in Figure 2C) by soluble capsular polysaccharide of the same serotype (i.e. the homologous polysaccharide). The 10 serotypes were chosen because they constitute important pathogens,⁵¹ their defining polysaccharides are known to be free of terminal Gal α 3Gal (Figure 3A),⁴⁸ and they displayed variable levels of reactivity with the anti- α Gal antibody (Figure 2C). Similar experiments were performed for the C-mutant using the CW polysaccharide as competitor for binding. The influence on pneumococcal reactivity was quantified by flow cytometry by the use of standard curves (Figures S6 and S7). Each of the homologous polysaccharides abolished the reactivity with the associated pneumococcus (Figures 3B,C, and S8). As a control, capsular polysaccharide serotype 3 at similar concentration caused no inhibition for any of the pneumococci (in agreement, anti- α Gal had not reacted with pneumococci of serotype 3). In additional control experiments, soluble Gal α 3Gal also inhibited reactivity, whereas an irrelevant control disaccharide, Glc α 2Fru, at similar concentration did not (Figure 3B). This established that anti- α Gal caused the reactions, ruling out the hypothetical possibility that small amounts of contaminating antibodies in the preparation were responsible. Anti- α Gal antibody thus

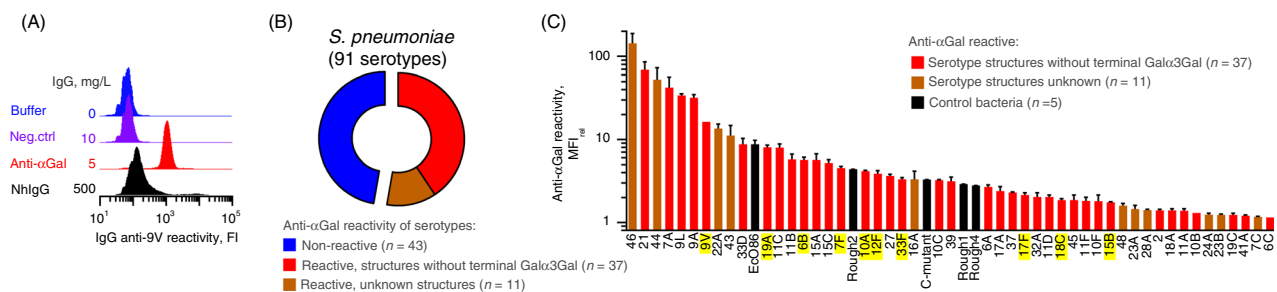


FIGURE 2. The anti- α Gal antibody binds most pneumococcal serotypes. Flow cytometry analyses showing antibody reactivity with various bacteria. (A) Example plot showing antibody reactivity with serotype 9V pneumococci after incubation with buffer only, negative control IgG (IgG anti-CD20, i.e. irrelevant specificity) at 10 mg/L, purified human anti- α Gal at 5 mg/L or normal human IgG (nhIgG) at 500 mg/L. NhlIgG is expected to contain antibodies to pneumococci and served as positive control. IgG on the pneumococci was assayed with fluorescently labelled rabbit F(ab')₂ anti-human IgG. 'FI': Fluorescence intensity. (B) Pie-chart summarizing reactivity of anti- α Gal (5 mg/L) with 91 serotypes of *S. pneumoniae*. (C) Level of reactivity with the reactive pneumococcal serotypes and five control bacterial strains. Control strains were *E. coli* O86 (EcO86) and four unencapsulated pneumococcal strains: C-mutant (covered by a thick layer of cell wall (CW) polysaccharide), Rough1, Rough2 and Rough4. The columns are the mean reactivity (MFI_{rel}) and standard deviation of two separate experiments. MFI_{rel} was the MFI in experiments *with* anti- α Gal relative to the MFI in the same experiment but *without* primary antibody (fluorescently labelled anti-hIgG was present in both experiments). A positive antibody reaction was defined as a mean MFI_{rel} at least two standard deviations above 1.10 (corresponding to the highest reactivity observed in parallel and equal experiments but with the negative control IgG anti-CD20 as primary antibody). The figure shows that anti- α Gal reacts with numerous serotypes although they do not possess terminal Gal α 3Gal in their polysaccharide structure (red columns) (see also Figure 3). Several serotypes demonstrate higher reactivity than the positive control strain, EcO86. The serotypes highlighted in yellow were selected for further experiments

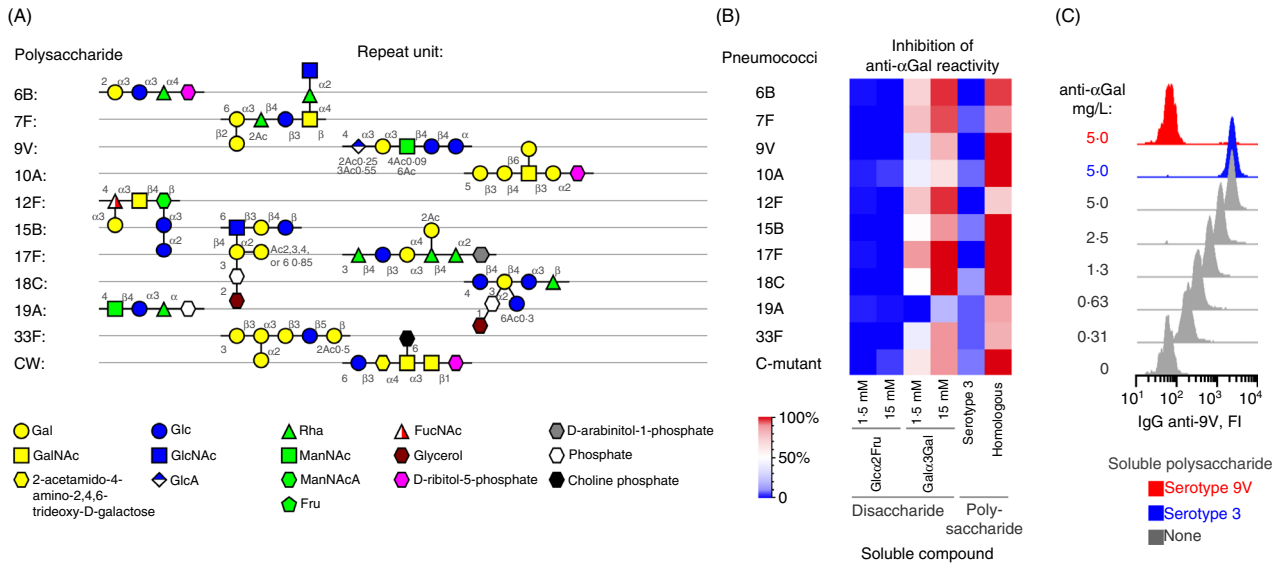


FIGURE 3. The anti- α Gal antibody is polyreactive and binds distinct capsular polysaccharides. (A) Schematic structures of the repeat unit in selected pneumococcal polysaccharides (capsular polysaccharide serotypes and CW polysaccharide). (B) Heatmap showing the inhibitory effect of various soluble compounds on the reaction between anti- α Gal and each of 10 pneumococcal serotypes and the C-mutant strain. The concentration of the used anti- α Gal was 5 mg/L. For each strain, inhibition by the following soluble compounds was tested: Glc α 2Fru (negative control), Gal α 3Gal, capsular polysaccharide of serotype 3 (negative control, intact pneumococci of serotype 3 did not react with anti- α Gal), and the homologous polysaccharide (i.e. capsular polysaccharide of the same serotype as the examined strain and CW polysaccharide for the C-mutant strain). The polysaccharide concentration was 125 mg/L. The level of inhibition was determined by flow cytometry as the mean of two separate experiments. (C) Example plots of raw data sampled for serotype 9V pneumococci for quantification of anti- α Gal reactivity and inhibition by homologous serotype 9V polysaccharide (red) and heterologous serotype 3 polysaccharide (blue). The reactivity was calculated by means of standard curves as exemplified in Figure S7

possesses polyreactivity for multiple distinct microbial polysaccharides.

The capsular polysaccharides of some reactive serotypes are only marginally different from some unreactive serotypes. For instance, the unreactive polysaccharide 33A contains two acetyl groups that are not found in the otherwise identical but reactive polysaccharide 33F⁴⁸ (Figure S9), which suggests that the two acetyl groups block anti- α Gal binding. We exploited observations of dissimilarities in reactivity between only marginally different serotypes to predict critical constituents in various epitopes for anti- α Gal (Figure S9).

Terminal α Galactose is present in some of the reactive polysaccharides (e.g. serotypes 12F, 15B, 17F and 33F; Figure 3A). To explore the involvement of such residues, we repeated the binding experiments with the antibody for the pneumococci with and without pretreatment by α Galactosidase, which can cleave off terminal α Galactose. As a control for enzyme activity, similar experiments were performed with pig erythrocytes, which carry terminal Gal α 3Gal on their surfaces⁵ and binds anti- α Gal.³⁸ As expected, α Galactosidase treatment abrogated reactivity with the pig erythrocytes (Figure S10). However, α Galactosidase treatment did not decrease reactivity with the pneumococci (Figure S10), suggesting that the terminal

α Galactose residues in the polysaccharides were not essential parts of the antibody epitopes.

Previously reported polyreactivity of anti- α Gal with human mucin peptides coincided with the binding of the α Galactosyl-reactive plant lectin Isolectin B4,¹⁶ suggesting that the antibody targets α Galactosyl-mimotopes. We tested the reactivity of the lectin to 23 serotypes of pneumococci, but observed no correlation with anti- α Gal reactivity (Figure S11). Isolectin B4 merely bound pneumococci of serotype 10A, although several other serotypes presented α Galactosyl. We concluded that Isolectin B4 and anti- α Gal display different specificity for bacteria.

The collective results establish that the anti- α Gal antibody possess polyreactivity for a broad range of pneumococcal antigens.

Anti- α Gal antibody constitute significant parts of human anti-pneumococcal antibodies

Each pneumococcal serotype presents a great number of different epitopes, so a single antibody is likely to account for only a small proportion of total human antibody reactivity against a given serotype. For the various serotypes, we estimated the percentage of reactive total human antibodies that was comprised by anti- α Gal

antibody. A pool of normal human IgG (nhIgG) was used as a source of anti-pneumococcal antibody. The reactivity with intact pneumococci was quantified with and without Gal α 3Gal disaccharide as competitor for binding. The decrease in reactivity with Gal α 3Gal addition was regarded as the reactivity caused by anti- α Gal. Reactivity was quantified by flow cytometry for serotypes 3 (negative control, unreactive with purified anti- α Gal), 6B, 7F, 9V, 12F, 15B, 17F, 18C, 19A and 33F. Anti- α Gal antibody comprised measurable percentages of the total IgG reactivity to all of the tested serotypes, except for serotypes 3 and 17F (Figure 4A). The largest percentage was observed for serotype 7F, where anti- α Gal comprised 18% of the anti-7F reactivity in nhIgG. The percentages varied for serotypes 3 and 17F excluded, Kruskal–Wallis test, $P = 0.01$). As control, Glc α 2Fru disaccharide at similar concentration caused no loss of reactivity (Figure 4B). The percentages of inhibited reactivity are estimates of the percentages that anti- α Gal comprise of human anti-pneumococcal antibodies. These estimates are however conservative as the concentration of Gal α 3Gal used (37 mM) did not completely saturate inhibition (Figure S12).

To examine whether individuals vary with respect to the percentage of total anti-pneumococcal antibody that is comprised by anti- α Gal antibody, we performed similar experiments using individual plasma samples as sources of anti-pneumococcal antibodies. Target cells in these experiments were pneumococci of serotypes 7F and 9V. In preliminary tests of 30 plasma samples, we selected 10 samples with comparable levels of IgG anti-7F and of IgG anti-9V (Figure S13). Anti- α Gal antibody explained up to 21% of anti-7F reactivity and up to 40% of anti-9V reactivity (Figure 4C,D). For both serotypes, the contribution of anti- α Gal to the total antibody reactivity varied among individuals (Kruskal–Wallis test, $P < 0.02$). In these plasma samples, the anti- α Gal contribution to the anti-7F reactivity did not correlate with the contribution to the anti-9V reactivity (Figure S14). As control, Glc α 2Fru disaccharide at similar concentration caused no loss of reactivity (Figure S15).

The results collectively show that the anti- α Gal antibody comprise a significant percentage of human anti-pneumococcal antibodies, which varies for different serotypes and between individuals.

The anti- α Gal antibody contains distinct subsets of polyreactive antibodies

We were puzzled by the broad reactivity of the anti- α Gal antibody. To investigate this further, we challenged the reactivity of anti- α Gal with each of ten reactive serotypes by adding soluble capsular polysaccharide from the other heterologous serotypes. Residual reactivity was quantified by flow cytometry. Compared with the effect of the homologous polysaccharide, each of the heterologous

polysaccharides demonstrated markedly less inhibitory potential for each target cell (Figures 5A and S16) (the strain of serotype 12F is an exception, which is addressed below). The antibodies that reacted with one serotype thus possessed little or no reactivity for the heterologous serotypes. Our interpretation is that distinct antibody subsets within anti- α Gal possess specificity for distinct antigens, which allows reaction with distinct serotypes. Thus, in terms of antigen specificity, anti- α Gal is comprised of numerous antibodies of distinct antigen polyreactivity. Below we therefore use the plural anti- α Gal antibodies.

The model requires that each anti- α Gal subset only constitutes minor parts of the total anti- α Gal antibodies. In agreement, the capsular polysaccharides generally failed to inhibit quantifiable reactivity with pig erythrocytes, which carries terminal Gal α 3Gal on their surfaces (Figure 5A). The same was true for EcO86. However, for C-mutant, most capsular polysaccharides inhibited almost all reactivity. As C-mutant presents dense CW polysaccharide, the inhibitory effect of the capsular polysaccharides with this strain likely reflects the presence of contaminating CW polysaccharide in the preparations of capsular polysaccharide.^{52,53} This relates to covalent linkage between the CW polysaccharide and the capsule on most serotypes.⁵⁴ To explore the contribution of individual anti- α Gal subsets to the total anti- α Gal antibodies, we quantified the reactivity of total anti- α Gal to each of 14 different capsular polysaccharides in a bead-based multiplex assay. Six serotypes that reacted in our cell-based assay bound an average 2.0% (95%CI: 1.2%–3.4%) of total anti- α Gal in the bead-based assay (Figure S17). Eight serotypes that did not react in our cell-based assay bound an average 1.0% (95%CI: 0.56%–1.8%) of total anti- α Gal in the bead-based assay. The latter may represent binding to contaminating CW polysaccharide or other unspecific reactivity in the bead-based assay. Regardless, an individual anti- α Gal subset is likely to comprise only a small part of the total anti- α Gal (in the order of 1%).

Indeed, heterologous capsular polysaccharides caused partial inhibition of the reactivity with several serotypes (Figure 5A). For the encapsulated pneumococci, the level of partial inhibition seemed more related to the serotype of the target pneumococci than the serotype of the polysaccharide used for inhibition (the horizontal data are more homogenous than the vertical data in Figure 5A). For each pneumococcal strain, CW polysaccharide inhibited reactivity to a level very similar to that of the heterologous capsular polysaccharides (Figure 5A), supporting the assumption mentioned above that contaminating CW polysaccharide caused the partial inhibitory effect of the heterologous capsular polysaccharides. In agreement, polysaccharide of serotype 22F inhibited reactivity to a level similar to that observed for most

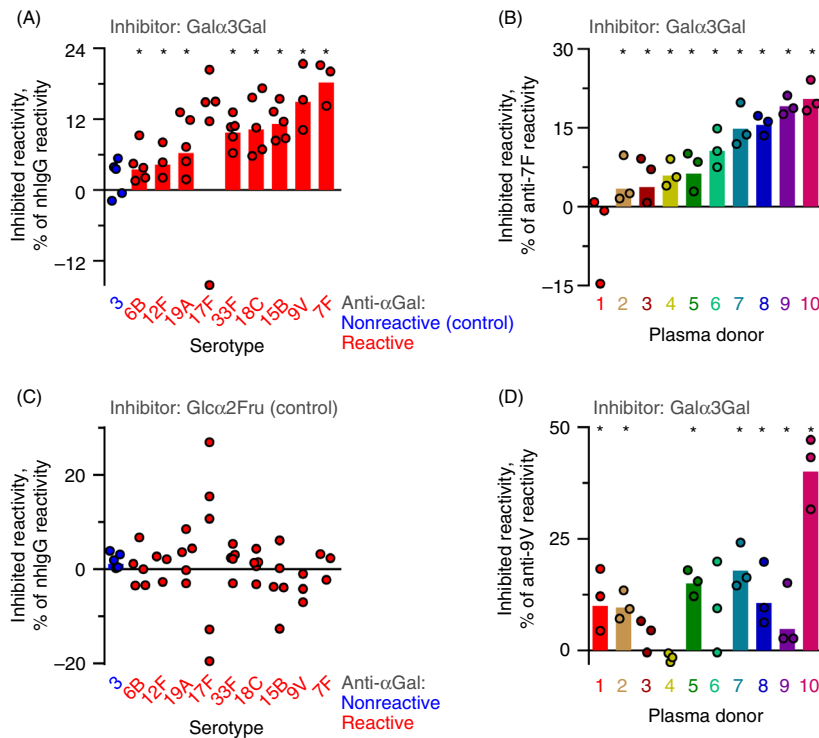


FIGURE 4. The anti- α Gal antibody comprises significant parts of human anti-pneumococcal antibody reactivities. Inhibition of IgG reactivity with different serotypes of pneumococci by the presence of different disaccharides. Reactivity was quantified by flow cytometry. (A) Normal human IgG (nhIgG) was used as source of anti-pneumococcal IgG. The reactivity with each of ten serotypes was challenged by Gal α 3Gal at 37 mM. Circles show the results of separate experiments, and the bars are the geometric mean for reactivity with each serotype (note that geometric means are not defined for datasets including negative values). Results are marked by an asterisk when the 95% confidence interval of the mean does not contain 0 (and therefore is considered significantly different from 0). The inhibited antibodies reacted with the soluble Gal α 3Gal and are therefore anti- α Gal. Thus, the level of lost reactivity represents the percentage of anti- α Gal that comprised the anti-pneumococcal reactivity of nhIgG. (B) As in the previous panel, except that the reactions were challenged by Glc α 2Fru. Glc α 2Fru did not inhibit the reactivity of nhIgG with any serotype. (C) As in panel A, except that the antibody source was plasma samples from different healthy persons tested against serotype 7F pneumococci. (D) As in the previous panel, except serotype 9V pneumococci were used as target pneumococci

heterologous capsular polysaccharides, although the strain of serotype 22F did not react with anti- α Gal. The CW polysaccharide is thus likely shielded by the unreactive serotype 22F capsule polysaccharide on serotype 22F pneumococci yet accessible for antibody binding in a solution of the 22F polysaccharide preparation. As a rarity among pneumococci, the capsular polysaccharide of serotype 3 is not covalent linked to CW polysaccharide,⁵⁴ so capsular polysaccharide preparations of this serotype should be free of contaminating CW polysaccharide. As control, the preparation of capsular polysaccharide serotype 3 failed to inhibit reactivity with the anti- α Gal-reactive cells (Figure 5A).

We compared the inhibition of anti- α Gal reactivity by increasing concentrations of soluble CW polysaccharide and homologous capsular polysaccharide for three serotypes of pneumococci. Serotypes 12F and 15B were selected as they were most sensitive to inhibition by CW polysaccharide, and serotype 9V was included as control

as its reactivity did not seem affected by CW polysaccharide (Figure 5A). For the serotype 9V pneumococci, even very high concentrations of CW polysaccharide (>0.1% w/v) did not show any signs of inhibition, whereas the homologous capsular polysaccharide potentially inhibited reactivity in a concentration-dependent manner (Figure 5B, top). For the serotype 12F pneumococci, CW polysaccharide proved a markedly more efficient inhibitor than the homologous capsular polysaccharide (approximately 100 times more efficient based on half-maximal inhibitory concentrations) (Figure 5B, centre). Interestingly, neither polysaccharide alone was sufficient to completely inhibit reactivity with the serotype 12F pneumococci. Complete inhibition was achieved when the two substances were combined (Figure 5C), demonstrating that the anti- α Gal antibodies that bound this 12F strain is composed of antibodies of different specificity. For the serotype 15B pneumococci, the reactivity was eliminated dose-dependently by the homologous capsular

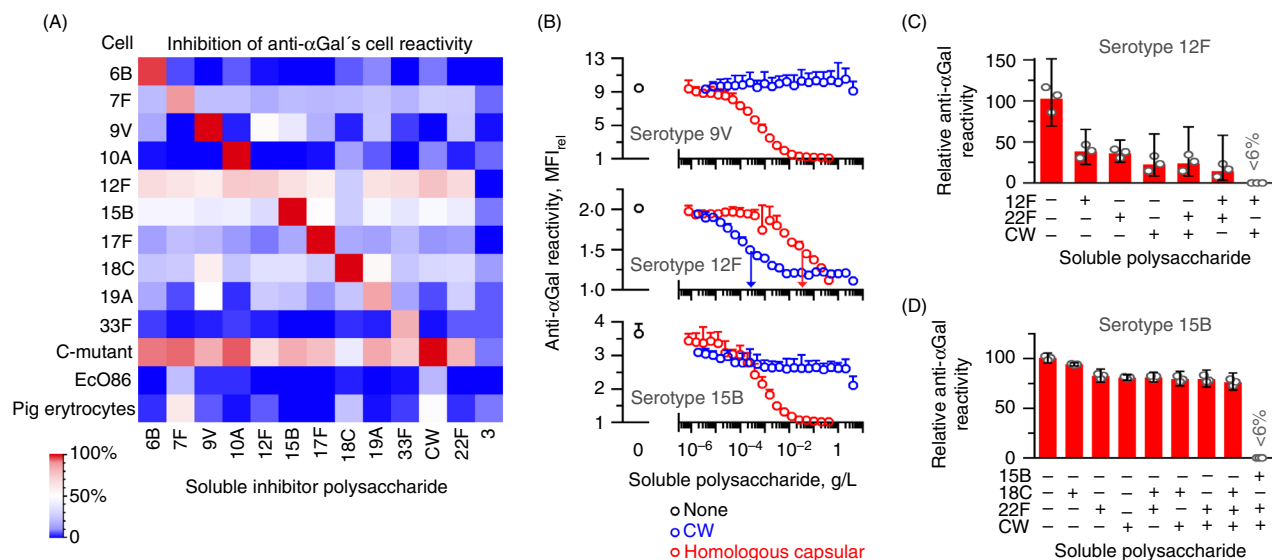


FIGURE 5. The anti- α Gal antibody contains antibody subsets of distinct polyreactivity. Flow cytometry analyses of anti- α Gal reactivity with target cells in the presence of soluble polysaccharides. (A) Heatmap showing results for various cells. Anti- α Gal was added at 5 mg/L and polysaccharides at 125 mg/L. Target cells were ten different serotypes of pneumococci, C-mutant, EcO86 and pig erythrocytes (carries surface terminal Gal α 3Gal). The level of inhibition was determined as the mean of two separate experiments. The left downward diagonal of red squares shows that the homologous polysaccharide inhibited reactivity better than the heterologous polysaccharides. (B) Reactivity of anti- α Gal with each of three encapsulated pneumococcal strains in the presence of increasing concentration of either CW polysaccharide or homologous capsular polysaccharide. Anti- α Gal was added at 2.0 mg/L (serotypes 9V and 12F) or 10 mg/L (serotype 15B). Mean and standard deviation of two separate experiments. Centre (serotype 12F): vertical arrows indicate half-maximal inhibitory concentration of each polysaccharide. The panel shows that CW polysaccharide was a more potent inhibitor than the homologous capsular polysaccharide for this serotype 12F strain. Also, neither substance alone caused complete inhibition. Bottom (serotype 15B): CW polysaccharide inhibited at most half of the reactivity, indicating that only a subset of the anti- α Gal antibodies that reacted with this strain possessed specificity for CW polysaccharide. (C) Residual reactivity of anti- α Gal at 2.0 mg/L with the strain of serotype 12F in the presence of soluble polysaccharides, each added at 125 mg/L. Mean with 95% confidence intervals of three separate experiments. (D) As in the previous panel, but with the strain of serotype 15B as target cells and anti- α Gal at 10 mg/L

polysaccharide (Figure 5B, bottom), whereas CW polysaccharide inhibited less than half of the reactivity (Figure 5B, bottom). Thus, as for the serotype 12F pneumococci, the anti- α Gal antibodies that bind the serotype 15B pneumococci are composed of antibodies of different specificity. No further inhibition was achieved by adding additional heterologous capsular polysaccharides (Figure 5D), so contaminating CW polysaccharide is sufficient to explain the partial inhibition observed with the heterologous capsular polysaccharides for the serotype 15B pneumococci. We made the same experiments for serotype 18C pneumococci as described for the serotype 15B pneumococci and obtained similar results (Figure S18). Together, these results strongly support that contaminating CW polysaccharide explains the partial inhibition observed for preparations of heterologous capsular polysaccharides. Moreover, the anti- α Gal antibodies that display polyreactivity for a given pathogen may also be a mixture of subsets with different specificities.

The collective results in this section demonstrate that anti- α Gal contains numerous distinct polyreactive antibodies and explain the broad pathogen reactivity of the anti- α Gal antibodies.

Polyreactive anti- α Gal antibodies drive phagocytosis

Hamadeh and co-workers claim that anti- α Gal reactivity enables the survival of some pathogens in humans by inhibiting complement attack.¹³ Complement alone is insufficient to kill pneumococci and other Gram-positive bacteria due to their peptidoglycan-rich protective cell walls. Phagocytosis is therefore the principal mechanism for the elimination of such microorganisms. In general, phagocytosis of pneumococci requires opsonization by antibodies and complement fragments, especially fragments of C3. We recently reported that anti- α Gal bound on serotype 9V pneumococci activated the classical pathway of complement.³⁸ Here, we examine the opsonic effect of anti- α Gal bound on this pneumococcal serotype. Serum from a person with hypogammaglobulinaemia was used as a source of complement. Primary human blood leucocytes were used as phagocytes. As a read-out of phagocytosis, we used flow cytometry to detect the production of reactive oxygen species (ROS) in the leucocytes, a hallmark of ongoing phagocytosis.⁵⁵ The suitability of the assay was supported by a strong association between uptake of fluorescently labelled

pneumococci and ROS production in the leucocytes (Figure S19), and by the observation that the phagocytosis inhibitor, cytochalasin D, eliminated both pneumococcal uptake and ROS production in the leucocytes (Figure 6A). The predominant phagocytes were granulocytes (Figure S20).

To examine the contribution of anti- α Gal antibodies to phagocytosis, we varied the assay constituents. Exclusion of anti- α Gal antibodies reduced the phagocytosis signal 30-fold and increased the concentration of non-phagocytosed pneumococci (Figures 6B and S21). The effect of anti- α Gal required the presence of serum as exclusion essentially abrogated phagocytosis. The serum conveyed some background phagocytosis (Figure 6B), likely initiated by its small amounts of complement-activating endogenous anti-9V antibodies.³⁸

To determine the involvement of complement for the phagocytic effect of anti- α Gal, we included various selective complement blockers in the opsonization process. The blockers were nanobodies generated against specific complement proteins. Their points of action are shown in Figure 6C. Blockade of C1q docking to immunoglobulins with C1qNb75 (Figure 6C) decreased the phagocytosis signal by 94% (Figure 6D), establishing that the classical pathway of complement was essential. Inhibition of C3 cleavage by both classical and alternative pathway C3 convertases with hC3Nb2 (Figure 6C) decreased the phagocytosis signal by 99% (Figure 6D), demonstrating a pivotal role of C3 fragments. Interestingly, specific inhibition of C3 cleavage by the alternative pathway C3 convertase with hC3Nb1 (Figure 6C) decreased the phagocytosis signal with equal efficiency (Figure 6D). This indicates

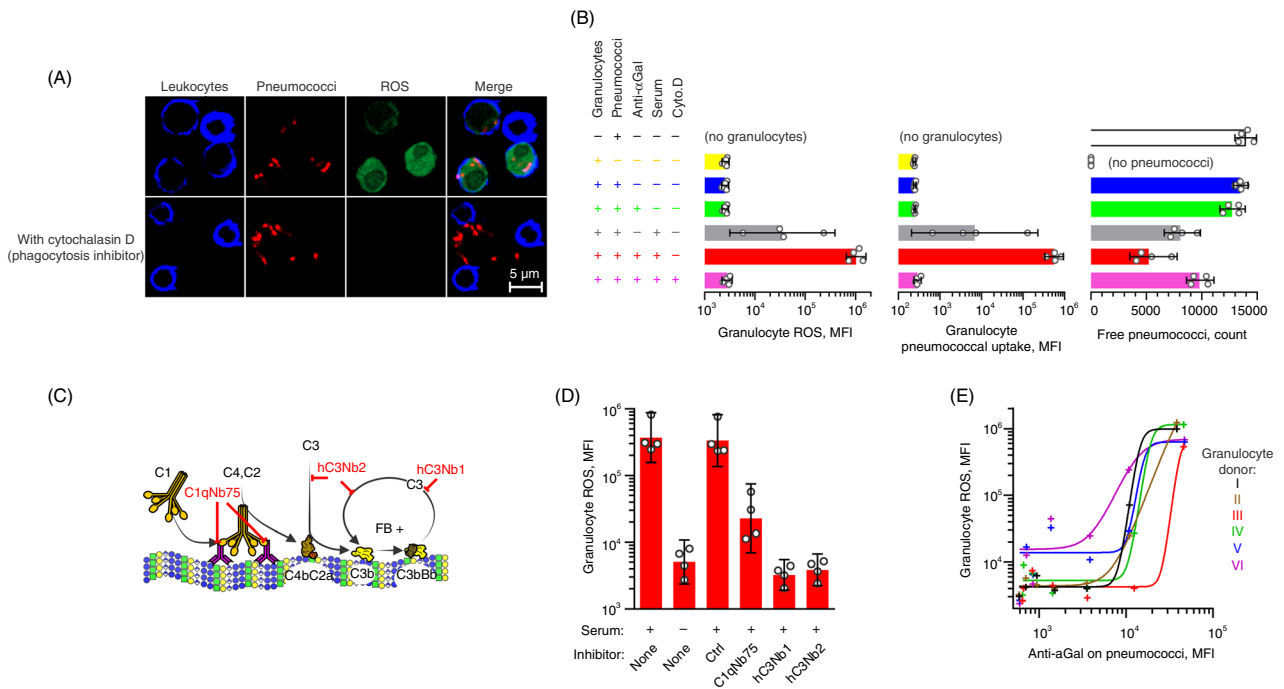


FIGURE 6. Polyreactive anti- α Gal antibodies drive phagocytosis of serotype 9V pneumococci in a complement-dependent manner. Fluorescently labelled serotype 9V pneumococci were opsonized in 10% human hypogammaglobulinaemia serum ('serum') supplemented with anti- α Gal at 20 mg/L (unless otherwise is stated) before feeding to plasma-depleted human blood cells labelled with dihydrorhodamine-123. Dihydrorhodamine-123 (non-fluorescent) is oxidized to rhodamine-123 (fluorescent) by intra-phagosomal reactive oxygen species (ROS) produced in phagocytosing granulocytes. Confocal microscopy in A and flow cytometry data in B, D and E. (A) Experiments *with* and *without* the phagocytosis inhibitor cytochalasin D. The panel shows that pneumococci and ROS were inside the leucocytes when the phagocytosis inhibitor was omitted, whereas no ROS or pneumococci were inside the leucocytes when the phagocytosis inhibitor was present. (B) Effect of constituents in the experiments on granulocyte ROS (left), pneumococcal uptake (centre) and clearance of free pneumococci from the supernatant (right). Bars represent mean with 95% confidence intervals for different leucocyte donors ($n = 4$). (C) Illustration of complement activation by IgG antibodies bound on antigen and the points of action for the specific complement inhibitors used in the experiments shown in the following panel. 'C1qNb75', blocks C1q binding to immunoglobulin; 'hC3Nb1', blocks C3 cleavage by alternative pathway; and 'hC3Nb2', blocks C3 cleavage by classical and alternative pathways. (D) Granulocyte ROS induced by pneumococci, opsonized with anti- α Gal at 5 mg/L in the presence of inhibitors of selective complement factors. 'Ctrl', nanobody of irrelevant specificity. Mean with 95% confidence intervals for different leucocyte donors ($n = 4$). (E) Granulocyte ROS as function of the density of anti- α Gal on opsonized pneumococci. For each of six leucocyte donors, experiments were performed with the following concentrations of anti- α Gal: 0, 0.020, 0.078, 0.31, 1.3, 5 and 20 mg/L. Curves were fitted in sigmoid models ($R^2 \geq 0.92$)

that the alternative pathway delivers crucial amplification of the classical pathway activation by anti- α Gal on the pneumococci in agreement with our previous findings.³⁸ A control nanobody of irrelevant specificity, applied in a concentration equivalent to the highest used concentration of the functional nanobodies, did not affect the phagocytosis (Figure 6D). Together, these results show that opsonization of serotype 9V pneumococci by anti- α Gal is complement-dependent, and the initiation is via the classical pathway of the complement system.

To assess the relationship between anti- α Gal density on the pneumococci and phagocytosis of the pneumococci, we performed phagocytosis experiments with leucocytes from six different donors and increasing concentrations of anti- α Gal during the opsonization. The density of anti- α Gal on the pneumococci was measured in parallel experiments in the presence of EDTA to quench complement activation and therefore prevent masking of bacterial-bound antibodies by deposited C3 fragments.³⁸ Phagocytosis was not induced before the anti- α Gal density exceeded a threshold occurring at an anti- α Gal concentration between 1.3 mg/L and 5 mg/L (Figure 6E). Thereafter, phagocytosis increased with the density of the anti- α Gal antibodies.

The results collectively demonstrate that the subsets of anti- α Gal that possesses polyreactivity for serotype 9V promote phagocytosis of this serotype in synergy with complement.

Anti- α Gal antibodies opsonize reactive pneumococcal serotypes in general

To enable a more general conclusion on the role anti- α Gal antibodies play in the opsonization of pneumococci, we studied ten additional serotypes: 3 (negative control), 6B, 7F, 10A, 12F, 15B, 17F, 18C, 19A and 33F. IgG antibody binding on the pneumococci and pneumococcal phagocytosis were examined by flow cytometry. Experiments were performed with each serotype in i) buffer, ii) human hypogammaglobulinaemia serum and iii) human hypogammaglobulinaemia serum with anti- α Gal antibodies added to 20 mg/L. Although the endogenous level of total immunoglobulin in the serum was low, it contained IgG antibodies against all the serotypes (Figure S22). Addition of anti- α Gal increased IgG binding on the pneumococci compared with incubation in serum alone, except for serotypes 3, 19A and 33F (Figure S22). For each of the other serotypes, addition of anti- α Gal increased the point estimates of phagocytosis (Figure 7A). To clarify the general effect, the phagocytosis signal was compared with the antibody binding signal for each of the pneumococci. A clear positive association was observed (Figure 7B), supporting that the general effect of anti- α Gal binding on pneumococci is initiation of phagocytosis.

Our data thus support that the polyreactive subsets within anti- α Gal enable phagocytosis of reactive pneumococci.

Protective effects of the anti- α Gal antibodies in the human population

To assess the net contribution of anti- α Gal to anti-pneumococcal defences, we compared the prevalence of pneumococcal serotypes as invasive pathogens in humans to the level of anti- α Gal reactivity each of these serotypes displayed. To this end, we obtained data on the number of occasions each serotype has been diagnosed as the cause of invasive pneumococcal infections in Denmark between 1966 and 2014 ($n = 29\,034$) (Table S1). An inverse relationship was observed (Figure 8A); that is, the most frequent pathogenic serotypes reacted poorly with anti- α Gal (Figure 8A,B) and the most anti- α Gal-reactive serotypes rarely (or never) caused invasive pneumococcal disease (Figure 8A,C). In a simple statistical model where each serotype was weighted according to the number of times it had been isolated, the frequency of the serotypes correlated negatively with their anti- α Gal reactivity ($\rho = -0.33$, $P < 0.0001$).

These results are consistent with the notion that the polyreactivity of anti- α Gal provides natural protection of humans against invasive pneumococcal infections.

DISCUSSION

We studied the cause of the broad-spectrum pathogen reactivity of anti- α Gal,^{13,36,37} naturally occurring human antibodies and their possible role in human immunity. Naturally occurring antibodies have long been speculated to form a valuable first-line defence against invading pathogens, but this assumption has not been firmly established previously in humans. Overall, our results confirm the broad-spectrum pathogen reactivity of the anti- α Gal antibodies, establish that the reactivity is indeed caused by polyreactivity and support a significant contribution of these antibodies to the human defence against bacterial pathogens. We propose that the anti- α Gal antibodies act in synergy with the many other molecules and cells involved in the shaping of human immune defences.

To investigate the reported broad-spectrum pathogen reactivity of anti- α Gal, we applied a well-characterized preparation of human anti- α Gal of the IgG class^{37,38} on a large collection of encapsulated pneumococci and observed reactivity with most serotypes (Figure 2). Because the anti- α Gal preparation originated from the IgG of thousands of individuals, the reactivity of the preparation reflects a general human potential for generation of anti- α Gal antibodies. We also confirmed that anti- α Gal can comprise a large part of the anti-pneumococcal antibodies on the level of individuals (Figure 4).

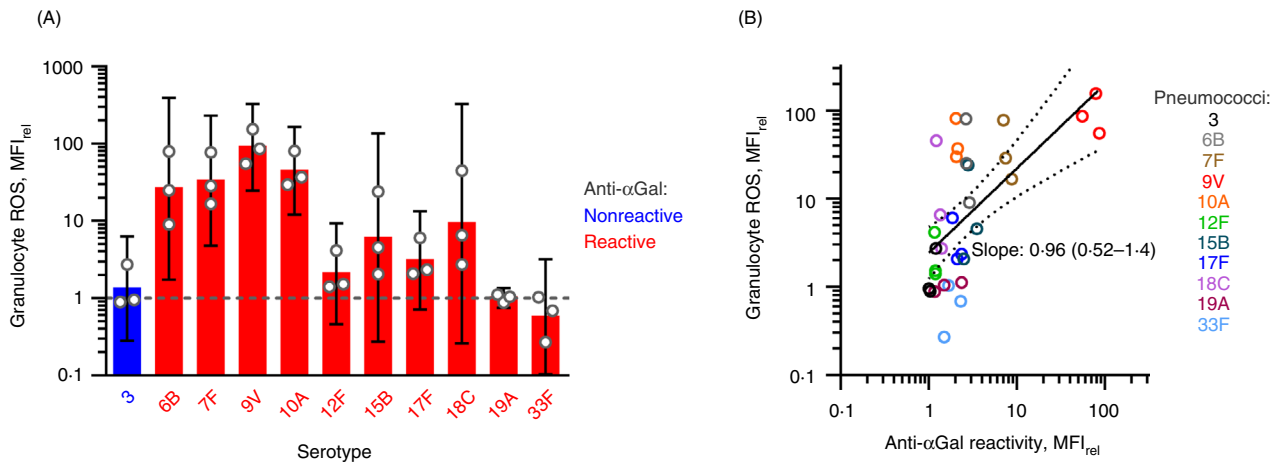


FIGURE 7. Binding of polyreactive anti- α Gal antibodies in general conveys phagocytosis of pneumococcal serotypes. (A) The opsonic effect of anti- α Gal for each 11 different pneumococcal serotypes. Flow cytometry data (MFI_{rel}) for opsonization with hypogammaglobulinaemia serum ('serum') with added anti- α Gal compared with opsonization with hypogammaglobulinaemia serum only. Serum concentration was 10%. Concentration of added anti- α Gal was 20 mg/L. Mean with 95% confidence intervals for three leucocyte donors. (B) The general opsonic effect of anti- α Gal for reactive pneumococci. Granulocyte ROS (from previous panel, on y-axis) as function of antibody binding on pneumococci after opsonization with anti- α Gal and hypogammaglobulinaemia serum relative to hypogammaglobulinaemia serum alone. The regression curve with 95% confidence interval, determined on log₁₀-log₁₀ scale, is shown together with the point estimate of the curve's slope (with 95% confidence interval). For these serotypes, the data show a positive correlation between the level of anti- α Gal reactivity and the phagocytosis signal

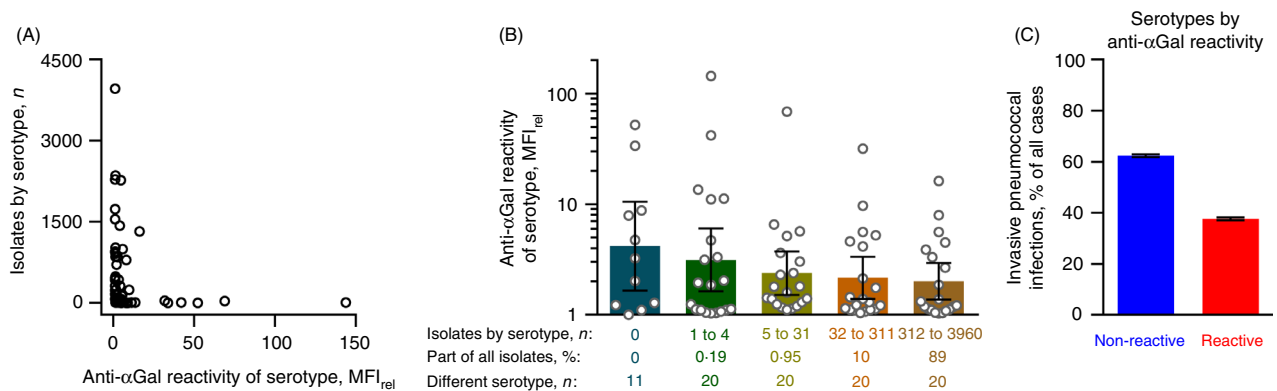


FIGURE 8. Relationship between anti- α Gal reactivity of pneumococcal serotypes and their occurrence among invasive infections in humans. All recorded cases of invasive infections due to encapsulated pneumococci in Denmark between 1966 and 2014 ($n = 29\ 034$) were included. (A) The number of isolates of each serotype is shown as a function of the anti- α Gal reactivity of that serotype (as determined in the present study). (B) Anti- α Gal reactivity for serotypes grouped according to the number of recorded isolates. For each group, the accumulated contribution to invasive pneumococcal infections and number of different serotypes included in the group are shown. Geometric mean with 95% confidence intervals. Anti- α Gal reactivity tended to be lower for the more prevalent serotypes. (C) The percentage of invasive pneumococcal infections caused by serotypes grouped according to their anti- α Gal reactivity. Error bars are 95% confidence interval. The non-reactive serotypes were more prevalent than the reactive serotypes

We used encapsulated pneumococci as model pathogens for three main reasons. First, pneumococci are major pathogens in humans, that is a leading cause of pneumonia,³⁰ which is the most deadly communicable disease, causing 3.0 million deaths worldwide in 2016.³¹ Second, specific antibodies are key players in human protection against pneumococcal disease.^{33,34} Third, pneumococcal

capsules are very diverse, with 100 unique serotypes,³⁵ and all characterized serotypes lack terminal Gal α 3Gal,⁴⁸ which allows studies of reactivity to defined polysaccharides that are biologically relevant.

We found that anti- α Gal antibodies reacted with 48 out of 91 serotypes including 37 serotypes that are known to be free of terminal Gal α 3Gal in their capsular

structures (Figure 2). By selective inhibition with soluble capsular polysaccharides, we determined that the reactions were caused by polyreactivity of anti- α Gal (Figure 3). Moreover, our results support that different antibodies within anti- α Gal are responsible for the reactivity (Figure 5). We therefore propose that human anti- α Gal is not a single antibody in terms of specificity but instead contains multiple antibody subsets, each with one or more additional reactivities beyond their general reactivity with terminal Gal α 3Gal. Collectively, the distinct polyreactive subsets can bind a plethora of distinct structures, including numerous microbial polysaccharides. Based on our data, each of the subsets may comprise in the order of 1% of total anti- α Gal (Figure S17), but some may account for a considerably larger proportion. For example, we discovered that CW polysaccharide inhibited nearly half the reactivity with the terminal Gal α 3Gal on pig erythrocytes (Figure S16), suggesting that a significant proportion of anti- α Gal reacts with this antigen. CW polysaccharide also partly inhibited reactivity to several capsulated pneumococci, unravelling an additional layer of complexity in the pathogen reactivity of anti- α Gal. Not only do distinct anti- α Gal subsets target different pathogens, but also a given pathogen-reactive subset may in fact contain several further subsets that bind distinct antigens on the pathogen by polyreactivity. The polyreactivity with CW polysaccharide may be explained by triple specificity of some anti- α Gal clones allowing binding to (i) terminal Gal α 3Gal (reactant used to purify), (ii) the capsular polysaccharide on the pneumococci and (iii) the CW polysaccharide used as competitor for pneumococcal binding. An alternative explanation is that some of the capsulated strains presented 'naked' CW polysaccharide for direct antibody binding. Along the same line of thinking, we cannot rule out that direct binding to CW polysaccharide contributes a part of the reactivity observed for some of the 48 encapsulated strains. But in general, the capsule is the primary target on reactive pneumococci, based on our findings for the ten strains that we examined in details (Figure 5).

We eluted our affinity-purified anti- α Gal preparation from Gal α 3Gal-derivatized beads by brief exposure to low pH. It has been proposed that such treatment can induce polyreactivity.⁵⁶ We find it unlikely that low pH caused the polyreactivity we observed as the anti- α Gal present in the nhlGg preparation, which had not been exposed to low pH, also were polyreactive for pneumococcal polysaccharides (Figure 4). The same applied to the anti- α Gal in human plasma samples (Figure 4). Affinity-purified anti- α Gal antibodies eluted by 0.5 M melibiose (instead of low pH) also displayed broad-spectrum bacterial reactivity¹³ to a degree that strongly supports natural polyreactivity.

It will be interesting to learn whether broad reactivity is unique to anti- α Gal or, as we envision, a general

phenomenon for human antibodies. We speculate the same mechanism can explain the reported broad bacterial reactivity of naturally occurring antibodies to ABO antigens.¹

Four independent lines of evidence supported a positive and significant contribution of anti- α Gal antibodies to human protective immunity against bacterial pathogens. First, we found a reduced plasma anti- α Gal level in humans with recurrent pneumonia (Figure 1). Second, anti- α Gal constituted significant percentages of human IgG antibodies to pneumococcal capsules (Figure 4) and such antibodies are essential for protection against pneumococcal infections.^{33,34} Third, anti- α Gal in synergy with complement opsonized pneumococci for phagocytosis by neutrophils in a dose-dependent manner (Figures 6 and 7), which is the primary way to eradicate invading pneumococci. Fourth, the level of IgG anti- α Gal reactivity with various pneumococcal serotypes correlated inversely with their prevalence in pneumococcal invasive diseases in an entire nation (Figure 8), which supports protection on the population level.

A major strength in our study is the strict application of human source material, including antibodies, primary phagocytic cells and serum. Humans are the natural host of pneumococci, and spontaneous infection in other animals is rare. Furthermore, typical animal models do not express Fc γ RIIA,⁵⁷ the main phagocyte receptor for human IgG2,⁵⁸ which is the predominant subclass of IgG anti- α Gal.^{21,37} Animal models in this setting therefore require considerable genetic manipulation and non-physiological pathogen dosages with uncertain additional consequences and uncertain relevance to humans. Future studies of the anti-bacterial effect of anti- α Gal antibodies in animal models must account for such challenges to provide knowledge relevant to human immunology. Here, we applied nation-wide data on pneumococcal infections, which also incorporates the influence of heterogeneities in host factors and environmental factors in a habitat relevant to contemporary man. Our data support an unequivocal and measurable protective effect, in stark contrast to earlier claims.¹³

Our results introduce additional, novel perspectives on anti- α Gal antibodies. Our ancestral loss of the α 1,3-galactosyltransferase may be more important than merely blocking transmission of pathogens that carry terminal Gal α 3Gal.^{9–11} We propose that the lost tolerance for terminal Gal α 3Gal unleashed a multitude of polyreactive antibodies with a combined reactivity far beyond this structure. If we still produced terminal Gal α 3Gal, all these antibody clones would be deleted according to the tolerance theory. Moreover, our observations may challenge the notion that enteric bacteria deliver the antigenic stimulation for anti- α Gal generation.¹² The essential immunogen for generation of a polyreactive anti- α Gal antibody clone may be any antigen carrying an epitope of that

clone. Thus, the CW polysaccharide on commensal and pathogenic Streptococci may be the antigenic source for the anti- α Gal clones that bind this polysaccharide. On the other hand, terminal Gal α 3Gal delivered by bacteria or dietary mammal meat or milk could also be an antigenic source for generation of human antibodies against the CW polysaccharide. Future studies may resolve this, but we speculate that a person's repertoire of anti- α Gal antibodies is the consequence of stimulation by many different immunogens. Finally, we propose an explanation for the long-standing puzzle of how anti- α Gal-reactive pathogens can pose infectious threats to humans with naturally occurring anti- α Gal. The present study highlights four reasons. First, the concentration of anti- α Gal in plasma, approximately 10 mg/L (present study and Refs. 21–23), is markedly lower than the originally reported level.² Second, levels of anti- α Gal antibodies vary more than 2000-fold between humans, and individuals with concentrations far below 10 mg/L are common (Figure 1A). Third, only a small proportion of anti- α Gal (~1%) may possess polyreactivity for a reactive pathogen, thereby reducing the level of actual protective antibodies in the order of 100-fold. Fourth, the proportion of anti- α Gal with reactivity for a given pathogen is likely to vary for different pathogens and between persons (Figure 4). The four reasons in combination likely explain why the concentration of anti- α Gal that target a given pathogen through polyreactivity can be low and insufficient for protection in some individuals.

It may be of interest to explore polyreactivity of anti- α Gal antibodies with other types of pathogens. For instance, some parasites are targeted by anti- α Gal antibodies (review 59) and low anti- α Gal levels may be associated with some parasitic infections.⁶⁰ In the light of the present study, it may be that similar polyreactivity is involved in the binding of anti- α Gal antibodies to parasites, which may be addressed in future studies.

The increasing microbial resistance to conventional antibiotics leads to failing treatment of infections and rising mortality,⁶¹ and calls for novel approaches. In this light, the broad-spectrum pathogen reactivity of naturally occurring anti- α Gal and the data supporting a significant contribution of these polyreactive antibodies to human protective immunity are of interest. Whether these antibodies can be harnessed for novel antimicrobial therapeutics must be explored in future studies.

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CONFLICT OF INTEREST

The authors declare no competing financial and commercial interests in relation to the manuscript.

AUTHOR CONTRIBUTION

JMBJ conceptualized the study, designed experiments, conducted experiments, analysed data and drafted the manuscript. SS conducted experiments and analysed data. MSM advised on flow cytometry and statistical analyses. UBSS, JCJ and ST contributed reagents and analysed data. SH collected and contributed data. All authors contributed to the writing of the manuscript and reviewed and approved the final version of the manuscript.

DATA AVAILABILITY STATEMENT

Data relating to the manuscript will be available upon reasonable request to the corresponding author.

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Supporting Information

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Supplementary Material