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## **Factors associated with favorable laser trabeculoplasty response: IRIS Registry Analysis**

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### **Abstract**

**Purpose** ——We examined patients in a large clinical registry to assess factors associated with laser trabeculoplasty (LTP) responses.

**Design –—**Retrospective cohort study.

**Methods –—**Study population: LTP patients in the Intelligent Research in Sight (IRIS®) Registry, 2013–2018.

Observation: IRIS® Registry data were extracted if the eye had a procedural code for LTP and a glaucoma diagnosis. Eyes were excluded if LTP laterality or baseline IOP could not be determined. Following LTP, "nonresponders" were those with < 20% IOP reduction after 8 weeks, while "responders" were those with 20% IOP reduction.

Main Outcome Measures: proportion of responders, odds ratios (OR) of pre-LTP factors associated with being a nonresponder.

**Results –—**A total of 263,480 eyes were included, with mean age 71.4 +/−11.7 years. Mean baseline IOP was  $19.1 +/- 5.0$  mmHg, mean number of pre-LTP medications was  $2.1 +/- 1.5$ . Response rate was 36.9% overall and 68.8% for those with baseline IOP > 24 mmHg. Higher baseline IOP was associated with reduced odds of nonresponse (OR =  $0.60$ , P <  $0.0001$  for a 3 mm Hg increase). Angle recession, uveitis, and aphakia increased the odds of a nonresponse (ORs 2.46, 1.50, (both  $P < 0.0001$ ), and 1.55 ( $P = 0.0259$ ), respectively). In nonresponders with at least one medication at baseline, 76.3% of eyes had fewer medications postoperatively.

**Conclusions –—**Lower baseline IOP, angle recession, uveitis, and aphakia were associated with increased odds of nonresponse. Future studies that analyze LTP responder survival and implementation lag would facilitate resource optimization in glaucoma therapy.

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Conflict of interest: None

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#### **Introduction**

Laser trabeculoplasty (LTP) is one of the most frequently performed ophthalmic interventions. In 2014, it was performed in approximately 150,000 patients and comprised 40% of all glaucoma surgical interventions.<sup>1</sup> From prior studies of modestly-sized cohorts, LTP reduced mean IOP 20–30% from baseline, $2-5$  and the efficacy was maintained in about 80% of patients after 2 years.<sup>5</sup> Reduction of IOP occurred in approximately 80% of patients (responders), with the remaining 20% (nonresponders) having little or no treatment effect.<sup>6</sup> To maximize LTP utility, the ability to offer the procedure selectively to those who are likely to respond would be crucial. Several factors have been examined in modest-sized cohorts to predict LTP outcomes, including baseline IOP, age, and prostaglandin analogue therapy, although the findings were inconsistent.<sup>7–15</sup> As the utilization of LTP is likely to increase, the characterization of its treatment effect is a priority to determine which patients are most likely to benefit from the procedure,<sup>1, 16</sup> and analysis of a larger cohort is needed to assess these potential predictive factors.

The Intelligent Research in Sight (IRIS®) Registry is an electronic health record (EHR) based clinical data registry. As of January 1, 2019, 14,945 physicians (ophthalmologists plus eligible clinicians) from 3,120 practices had signed up for EHR integration, and the IRIS® Registry database contains 31.63 million visits representing 52.97 million unique patients that captured fields including patient demographics, payer types, social history, ocular examination laterality and values, diagnoses, procedures and medications.17 Recently, analyses of the IRIS® Registry have provided "real-world" clinical insight to several important ophthalmologic diagnoses and treatments including: the prevalence and treatment patterns of myopic choroidal neovascularization, the incidence of post-cataract surgery endophthalmitis, and outcomes of age-related macular degeneration treatment, macular hole surgery, and strabismus surgery.<sup>18–24</sup> In this study, we analyzed a large cohort of ophthalmic patients using the IRIS® Registry to assess potential predictive factors of LTP treatment outcomes.

#### **Methods**

This is a retrospective cohort studying using the IRIS® Registry database. The study protocol was reviewed and exempted by the Institutional Review Board of the University of Miami Miller School of Medicine as it did not meet the criteria of research involving human subjects.

#### **Data Source**

The IRIS® Registry data acquisition have been described elsewhere ([https://www.aao.org/](https://www.aao.org/iris-registry/about) [iris-registry/about\)](https://www.aao.org/iris-registry/about).<sup>17</sup> Study eyes met the following inclusion and exclusion criteria – Inclusion: 1) Current Procedural Terminology (CPT) code for LTP (65855); 2) All entries up to August 31, 2018; 3) Eyes with a glaucoma or glaucoma suspect diagnosis (see Supplemental Table 1) not excluded below. Exclusion : 1) Entries without LTP laterality (coded as "unspecified") in a patient with two sighted eyes; 2) LTP eye that had angleclosure International Classification of Diseases codes ( $9<sup>th</sup>$  and  $10<sup>th</sup>$  editions): 365.2X, H40.2X; 3) Eyes with no light perception; 4) Eyes without visual acuity and/or pretreatment

baseline IOP measurements (defined below) prior to LTP; 5) Eyes that have reached an "exclusion event," as defined below. All data referred to below were for the study eyes, except as noted. The number of medications refer to the number of topical or systemic IOPlowering agents, with fixed-dosed combination medications counted based on their constituent agents. Medications recorded in the IRIS® Registry database are not eyespecific, and every glaucoma medication for a patient was attributed to the study eye.

#### **Study Definitions**

**Defining treatment groups.—**Each study eye was classified into one of two groups based on the sequence of LTP procedures. "Treatment" refers to the entirety of the management protocol; "procedure" refers to each individual LTP episode. 1) "Single LTP" was one LTP procedure without an additional LTP within 8 weeks. Treatment Date (TD) was the date of the procedure. 2) "Double LTP" was an initial LTP procedure followed by one or more additional LTP procedures within 8 weeks. Dates of the first and last procedures were recorded, as "early procedure date" (EPD) and "later procedure date" (LPD). LPD was designated as the TD.

**Defining IOP baseline and treatment responses.—**Pretreatment baseline IOP was defined as follows: If two or more IOP measurements were available within the 3 months prior to LTP TD (TD – 3 months), pretreatment baseline IOP was the average of the immediate two (or more if these were all on the same day) measurements prior to LTP (before LTP TD in "Single LTP," or before EPD in "Double LTP"). If only one IOP measurement was available within  $TD - 3$  months, then that single IOP measurement was the pretreatment baseline IOP.

Following LTP treatment, some eyes had "response unknown" if an exclusion event occurred prior to 8 weeks following the LTP TD or if no IOP data were available between 8 weeks and 6 months (inclusive) following LTP TD. All other eyes were classified with a treatment outcome of nonresponder or responder (Figure 1):

Nonresponders – eyes whose first day's mean IOP measurement on or after 8 weeks post treatment was above 80% of the pretreatment baseline IOP;

Responders – eyes whose first day's mean IOP measurement on or after 8 weeks post treatment was at or below 80% of the pretreatment baseline IOP.

Some or many eyes with at least one pretreatment medication may have post-treatment IOP above 80% of the pretreatment IOP but requiring fewer medications. Nevertheless, given that medication is not laterality nor dosage specific, classifying these eyes as nonresponders ensures a conservative assessment of LTP efficacy. The impact of LTP on the number of medications in nonresponders was analyzed.

**Defining an "exclusion event"—**An exclusion event occurs (and excludes an eye from analysis) on the first date following LTP TD when 1) IOP-lowering medication was added and/or 2) An IOP-lowering procedure (CPT 658XX, 661XX, 665XX, 666XX, 667XX) was performed on the study eye (or if procedure laterality was unspecified) and/or 3) Cataract

surgery (CPT 668XX, 6698X) was performed on the study eye (or if the procedure laterality was unspecified) and/or 4) Reaching the end of IRIS® Registry followup.

Given that medication is not laterality specific, to exclude whenever medication was added ensured a conservative assessment of LTP efficacy.

#### **Statistical methods**

Continuous data were summarized as mean +/− standard deviation (SD), while categorical data are summarized with counts and/or percentages. Odds ratios (ORs) were calculated using multivariable logistic regression with the Generalized Estimating Equations method to account for the correlation between two eyes of a patient. All analyses were performed using SAS (Cary, NC) version 9.4. A p-value of 0.050 was considered statistically significant, and an OR  $\,$  1.5 or  $\,$  0.67 was considered clinically significant to avoid unnecessarily emphasizing weak (but statistically significant) associations that are likely to result from a large database.<sup>25, 26</sup>

#### **Results**

#### **Search algorithm results and baseline characteristics**

The initial CPT code search yielded 668,128 eyes. After applying the exclusion criteria, 380,957 eyes were included for analysis (Figure 2). There were 117,477 eyes categorized as "response-unknown." Of the remaining 263,480 eyes, 74.4% aged > 65 years (mean 71.4  $+/$ −11.7 years); 56.0% female; 64.8% white, 11.8% black, 97.1% single LTP. 73.1% of diagnoses were primary open angle glaucoma and 18.6% were glaucoma suspect. Mean baseline pre-LTP IOP was 19.1 +/− 5.0 mmHg, mean number of pre-LTP medications was 2.1 +/− 1.5. Baseline descriptive statistics of the sample may be found in Table 1.

#### **LTP response rate and factors associated with responders vs. nonresponders**

Overall, there were 97,148 (36.9%) responders and 166,332 (63.1%) nonresponders. The main outcome IOP measurement occurred at a mean +/− SD of 104.14 +/− 36.44 days (median 98; minimum 56, maximum 180, interquartile range 70–134 days). Among those with baseline IOP > 24 mmHg (34,271, 13.0%), 68.8% were responders; baseline IOP between 18 and 24 mmHg (123,261, 46.8%), 42.4% were responders; baseline IOP < 18 mmHg (105,948, 40.2%), 20.1% were responders. Angle recession, uveitis, and aphakia significantly and relevantly increased the odds of a nonresponse (ORs 2.46, 1.50, (both  $P \le$ 0.0001), 1.55 ( $P = 0.0259$ ), respectively), while higher baseline IOP reduced the odds of a nonresponse (OR  $= 0.60$  for a 3 mmHg increase), in multivariable analysis. Provider specialty, prior surgeon LTP counts, and single- vs double-LTP were not clinically significant factors. A complete list of the variables that were included in the multivariable model, along with their ORs and p-values may be found in Table 2. Variables included in univariable modeling may be found in Supplemental Table 2.

Although these odds ratios were not within our chosen clinically significant range, the following groups did have increased odds of a nonresponse that might be important to consider when selecting treatment: females compared to males  $OR = 1.22$ , patients aged 18–

39 compared to those aged  $65-79$  OR = 1.29, patients with diabetes compared to those without  $OR = 1.21$ , patients with other glaucoma diagnoses compared to those who were glaucoma suspect  $OR = 1.29$ , and patients who were pseudophakic compared to those who were phakic  $OR = 1.23$ . Also, the following groups had decreased odds of a nonresponse that might be important to consider when selecting treatment: patients with indeterminate compared to those with mild glaucoma severity  $OR = 0.83$ , patients with "Trauma/Other Eye Disorder" glaucoma diagnoses compared to those who were glaucoma suspect  $OR = 0.76$ , and patients with a 10-year increase in age compared to younger patients  $OR = 0.74$ . Of particular note, these results indicate that there is a statistically significant increase in the odds of being a nonresponder for patients who were 18–39 years old compared to patients who were 65–79 years old in addition to (i.e. even when adjusting for) a statistically

significant linear effect of greater age decreasing the odds of being a nonresponder. Uncovering such complex associations are precisely the purpose for which the IRIS® Registry was created.

#### **Changes in the number of medications in nonresponders**

Out of the 380,957 eyes in the full cohort, 74,550 (19.6%) had zero pretreatment medications. In all nonresponders with at least one medication at baseline (139,337 of 166,332 nonresponders, 83.8%), 76.3% of eyes had fewer medications postoperatively (74.3% in baseline IOP > 24 mmHg; 75.9% in baseline IOP between 18 and 24 mmHg, and 76.9% in baseline  $IOP < 18$  mmHg).

#### **Discussion**

The IRIS® Registry represents a real-world sampling of clinical data from across the United States, which provides a unique opportunity to assess medical resource utilization by gauging treatment outcomes and practice patterns in an ophthalmologic registry. In glaucoma treatment, more than 50% of the total cost are attributed to medications,  $27-30$  with combination therapy costing more than monotherapy.27–29, 31 Medication cost is also a major barrier in therapy adherence<sup>32, 33</sup> which in turn is a major factor in progressive glaucomatous damage. $34-36$  Thus, in order to minimize the cost and maximize the treatment outcomes in glaucoma management, finding strategies to decrease medication dependence is key. Specifically, office-based IOP-lowering procedures such as LTP are highly relevant given the rich evidence of safety and efficacy.<sup>3, 5, 6, 37–39</sup> Several high-quality clinical studies have shown LTP to be efficacious in eyes with high pressure, $40$  comparably efficacious to topical medications,  $37, 41-43$  and may be more cost-effective as the initial treatment of primary open angle glaucoma compare to topical medications.<sup>37, 43, 44</sup>

Ideally, an evidence-based, economically optimized treatment algorithm for primary open angle glaucoma would involve offering an initial treatment such as LTP (prior to medications) in eyes with high IOP, to reduce IOP and to reduce or eliminate medication burden. However, the IRIS® Registry data reflect some notable differences in the providers' real-world practice results. The overall LTP response rate of 36.9% in the IRIS® Registry is lower than that reported by prior studies,  $5, 6$  which is likely due to the lower mean baseline IOP of 19.1 +/− 5.0 mmHg. Based on the IRIS® Registry data capture strategy, we cannot

discount the possibility of over-representing responders if IOP-lowering medications were added post-LTP but were not recorded, and thus failed to exclude eyes that were otherwise "response unknown." Only 13% of IRIS® Registry study eyes had baseline  $IOP > 24$ mmHg, while approximately 40% had baseline IOP < 18 mmHg. This suggests that IRIS® Registry providers are more likely to offer LTP when the IOP is not overtly elevated, despite evidence showing high pretreatment IOP correlating with high treatment success.38, 45, 46 The subset of eyes in the IRIS® Registry with baseline IOP > 24 mmHg had a response rate of 68.8%, which is comparable to the response rate (66–82%) of several prior studies with mean baseline IOP ranging between 23.9 and 26.8 mmHg.<sup>47–49</sup> Angle recession, uveitis and aphakia were significant predictors of LTP nonresponse, which is consistent with previous studies.<sup>50–52</sup> Provider status (glaucoma specialist, nonglaucoma anterior segment surgeon, and others) and experience (total number of LTP performed in the 12 months preceding the study date) did not significantly influence outcome, which implies that the technical demands of LTP are modest, and the outcomes are somewhat surgeon-independent, in contrast to traditional glaucoma procedures such as trabeculectomy.<sup>53–55</sup> In the IRIS<sup>®</sup> Registry, 19.6% of study eyes were medication-free at the time treatment, while 80.4% of eyes had at least one IOP-lowering medication at baseline. This suggests that LTP may be relatively underutilized in medication-free patients. LTP has been shown to be safe and efficacious as initial medical therapy,  $39, 56$  while several studies that compared LTP to medication as initial treatment showed comparable efficacies.37, 42, 44 Outcome of the first major randomized clinical trial comparing LTP and topical medication as initial treatment in primary open angle glaucoma, the Glaucoma Laser Trial (GLT), was published in 1995. GLT authors reported eyes initially treated with laser had lower IOP and better visual field and optic disc status than fellow eyes treated initially with topical medication.42 In the two decades following the GLT, LTP utilization increased between 2001 and 2006 (possibly due to the introduction of selective laser trabeculoplasty over the traditional argon LTP), and decreased between 2006 and 2012 (possibly attributed to a decline in allowed Medicare charge for the procedure over the same period),<sup>1, 57</sup> while the procedure's safety and efficacy as initial glaucoma therapy was confirmed in several additional trials. $37,44,56$ 

Nevertheless, the adaptation of LTP as initial treatment in real-world practice remained uncertain despite its clear efficacy.37, 41, 44 There are several potential barriers to the implementation of LTP as initial therapy. At the physician level, compared to LTP, initiating a topical medication has the immediate advantages of treating both eyes at the same time, lowering IOP in a matter of hours to days (rather than weeks), shorter encounter time, and no postoperative visits. In addition, while all providers are able to prescribe medications, not all providers have the training and access to the proper equipment to perform LTP. At the patient level, any short-term aversion to risks associated with the procedure (no matter how remote) may result in them choosing a regiment (e.g. topical medications) that is considered "safer," albeit less effective long-term, when both options are offered by the physician.<sup>58</sup>

Among nonresponders on at least one medication at baseline, despite a lack of robust IOP reduction, approximately 75% of eyes had fewer medications postoperatively. In the IRIS® Registry cohort with baseline IOP < 18 mmHg, the response rate of 20.1% is comparable to that of a cohort of normal tension glaucoma patients (baseline IOP 14.3 +/− 3.4 mmHg, mean  $1.5 +/- 0.8$  medications, post-washout IOP  $16.2 +/- 2.2$  mmHg), which had a response

rate (defined as 20% reduction of IOP without the addition of medications) of 22.0%.<sup>59</sup> In both instances, there were significant reductions in the number of medications despite a modest IOP-lowering effect. While medication is not captured in a laterality-specific fashion, for the IRIS-captured data to reflect a decrease in medication, one of three scenarios must occur: 1. The medication was used only in the LTP eye and is now discontinued; 2. The medication was used in both eyes and is now discontinued in both the LTP and non-LTP eyes; and 3. The medication was used in the non-LTP eye only, and is now discontinued from the non-LTP eye. There are no compelling reason to associate LTP in one eye with discontinuation of medication only in the fellow eye, thus we believe this finding to be valid, and the true effect (e.g. medication discontinue in the LTP eye but continued in the fellow eye) may perhaps be even larger than reflected in our study. This suggests that IRIS® Registry providers may be offering LTP as a means of reducing medication burden, which has potential cost-saving implications. However, offering LTP as a means of reducing medication burden is a distinct approach from offering LTP as an initial therapy, and it was not possible to categorize eyes based on the clinical objective of LTP treatment. Therefore, we cannot determine to what extent these results apply to using LTP as an initial or subsequent glaucoma therapy. Based on these results, future IRIS® Registry research might explore a more complex and nuanced definition of a responder (including both IOP and medication reduction), but such an analysis was beyond the scope of our original proposal. In a Markov model of patients with two medications, offering LTP decreased the 5-year treatment cost by approximately 26% when compared to adding a third medication.<sup>30</sup> Hence, by offering LTP in patients with normal/borderline IOP can potentially achieve further IOP-lowering and decrease medication burden.

This study has several notable limitations. First, we excluded eyes with secondary open angle that were miscoded as angle closure (previously closed angle that had opened following peripheral iridotomy), and the findings do not apply to this subset of diagnoses. Second, the CPT code for LTP does not differentiate between argon versus selective laser trabeculoplasties, though prior studies showed comparable efficacy between the two LTP subtypes.<sup>40</sup> Third, the IRIS<sup>®</sup> Registry data is an observational data source derived from EHR, are not subjected to the same rigorous validation as clinical trial data, and the medication laterality information is not available for detailed analysis. However, the direct extraction of longitudinal clinical information from the EHR at a scale that would not be practical through other means makes IRIS® Registry the best large-scale real-world database for assessing ophthalmology treatment outcomes and practice patterns. Nevertheless, clinicians should recognized the limitations of such registries, as information, selection and confounding biases are possible.<sup>26</sup> Lastly, with a large database such as the IRIS® Registry, many statistically significant associations are weak, and subjective interpretation is required to regard each statistically significant finding as being clinically significant. We have arbitrarily defined OR  $>1.5$  or  $< 0.67$  as clinically significant in order not to emphasize weak associations but have made available the entire output of the uni- and multivariable analyses such that the readers may draw their own conclusions. Factors such as cost-benefit and/or risk-benefit ratios and physician/patient preference could be considered in defining the "clinical significance" of statistically significant findings. With the growing utilization of large database analyses, this interpretation issue is likely to persist. To

In conclusion, this analysis of 380,957 eyes in the IRIS® Registry revealed a modest overall LTP response rate. High baseline IOP is associated with being a responder, while angle recession, uveitis and aphakia increase the odds of nonresponse. In order to optimize LTP utilization, policy should encourage a strategy of offering LTP as initial therapy, to patients with high baseline IOP, and as a means of decreasing medication burden even when further IOP-lowering may not be required. It is possible that there are unidentified barriers in the implementation of evidence-based practices such as financial incentives, time, psychologic/ behavioral economic considerations, or a combination of these factors. Future studies that analyze LTP responder survival and implementation lag and barriers of clinical evidence would facilitate resource optimization in glaucoma therapy.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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### **Highlight**

Overall laser trabeculoplasty (LTP) response rate is 36.9%

In the subgroup with pretreatment IOP > 24 mmHg response rate is 68.8%

Angle recession, uveitis and aphakia increased the risk of nonresponse

Only 19.6% of eyes had zero preoperative medications

LTP reduced number of medications in 76.3% of nonresponders



#### **Figure 1.**

Algorithm for defining treatment response groups. Following laser trabeculoplasty treatment, the treatment outcome will be either nonresponder, responder, or response unknown. Key – TD (treatment date), IOP (intraocular pressure). \*Exclusion events see Defining an "exclusion event" in the text.



#### **Figure 2.**

Applying exclusion criteria to eyes with Intelligent Research In Sight Registry database. Key – CPT (Current Procedural Terminology code), date (month/date/year), IOP (intraocular pressure), LTP (laser trabeculoplasty), n (sample size), NLP (no light perception), VA (visual acuity).

#### **Table 1:**

Baseline descriptive statistics of the IRIS Registry Eyes in the Laser Trabeculoplasty Study





Note:

 $(1)$ <br>Variable is per patient (n = 187,343)

<sup>(2)</sup>Variable is per eye (n = 263,480).

% = percentage; IOP = intra-ocular pressure; IRIS = Intelligent Research in Sight; LogMAR = logarithm of the minimum angle of resolution; LTP = Laser Trabeculoplasty; max = maximum; min = minimum; mm Hg = millimeters of mercury; n = number; NPI = National Provider Identifier; SD  $=$  standard deviation;

#### **Table 2:**

Multivariable Model Results: Odds Ratios for Nonresponse from the IRIS Registry database





Statistically significant p-values are flagged with an asterik

(\*). Possible clinically significant odds ratios are bolded. % = percentage; BL = baseline; cat = categories; CI = confidence interval; Inj. = Injection; IOP = intraocular pressure; IRIS = Intelligent Research in Sight; LogMAR = logarithm of the minimum angle of resolution; LTP = Laser Trabeculoplasty; Meds = medications categories; NPI = National Provider Identifier; OED = Other Eye Disorders; POAG = Primary open angle glaucoma; Surg. = Surgery; VA = visual acuity.