

Apathy Induced by Subthalamic Nucleus Deep Brain Stimulation in Parkinson's Disease: A Meta-Analysis

Thomas J.C. Zoon, MD,^{1*} Geeske van Rooijen, MD, PhD,¹ Georgina M.F.C. Balm,¹ Isidoor O. Bergfeld, PhD,^{1,2} Joost G. Daams,¹ Paul Krack, MD, PhD,³ Damiaan A.J.P. Denys, MD, PhD,¹ and Rob M.A. de Bie, MD, PhD⁴

¹Department of Psychiatry, Amsterdam Neuroscience, Amsterdam University Medical Centers, University of Amsterdam, Amsterdam, the Netherlands

²Amsterdam Brain and Cognition, Amsterdam, the Netherlands

³Division of Movement Disorder, Department of Neurology, Inselspital, University Hospital Bern, Bern, Switzerland

⁴Department of Neurology, Amsterdam University Medical Centers, University of Amsterdam, Amsterdam, the Netherlands

ABSTRACT: Apathy, the loss of motivation, is a common problem in Parkinson's disease (PD) and often observed following deep brain stimulation (DBS) of the subthalamic nucleus (STN). The aim of this meta-analysis was to determine the occurrence of apathy following STN DBS in literature. Relevant articles were searched in PubMed/Medline, SCOPUS, EMBASE, and Web of Sciences electronic databases. Studies were included if they reported apathy scores pre- and post-DBS or the cross-sectional difference between PD patients receiving STN DBS and patients receiving medication only. Thirty-three articles were included in the meta-analyses from 6,658 screened articles by two authors independently. A total of 1,286 patients were included with a mean age (\pm standard deviation [SD]) of 58.4 ± 8.5 years and a disease duration of 11.0 ± 5.8 years. The apathy score measured by means of the Apathy Evaluation Scale (AES), Starkstein Apathy Scale (SAS), and the Lille Apathy Rating Scale (LARS) was significantly higher after

DBS than pre-operatively ($g = 0.34$, 95% confidence interval [CI] = 0.19–0.48, $P < 0.001$). An equal, significant difference in severity of apathy was found between STN DBS and medication only ($g = 0.36$, 95% CI = 0.03–0.65; $P = 0.004$). Statistical heterogeneity was moderately high, but the effects stood strong after multiple analyses and were independent of tapering off dopaminergic medication. The findings of this meta-analysis indicate that apathy is increased after STN DBS compared to the pre-operative state and to medication only (systematic review registration number: PROSPERO CRD42019133932). © 2020 Universiteit van Amsterdam. *Movement Disorders* published by Wiley Periodicals LLC on behalf of International Parkinson and Movement Disorder Society

Key Words: apathy; Parkinson; deep brain stimulation; subthalamic nucleus

Parkinson's disease (PD) is a neurodegenerative disorder characterized by bradykinesia, rigidity, and rest tremor.¹ Of PD patients, 60%–90% will develop non-motor symptoms such as cognitive decline, anxiety, and

depression.² Although dopaminergic drugs treat the motor manifestations effectively, they may be accompanied by side-effects such as response fluctuations, dyskinesias, and impulse control disorders.³ Deep brain stimulation (DBS) of the subthalamic nucleus (STN) and the globus pallidus internus (GPi) are effective treatments for PD.^{4–6} As a result of motor improvement after STN DBS, dopaminergic medication can usually be reduced.⁷

Apathy is an increasingly recognized non-motor manifestation of PD, commonly described as loss of motivation, decreased initiative, interest, and energy, and an emotional indifference with flattened affect.^{2,8} Apathy has received more interest in recent years and validated clinical diagnostic criteria have been published.⁹

This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes.

*Correspondence to: Dr. Thomas J.C. Zoon, Department of Psychiatry, Amsterdam Neuroscience, Amsterdam University Medical Centers, University of Amsterdam, Meibergdreef 9, 1105 AZ, Amsterdam, the Netherlands; E-mail: t.j.zoon@amsterdamumc.nl

Received: 31 July 2020; Revised: 15 October 2020; Accepted: 26 October 2020

Published online 16 December 2020 in Wiley Online Library (wileyonlinelibrary.com). DOI: 10.1002/mds.28390

Furthermore, apathy is frequently measured in studies in PD and has a high impact on quality of life (QoL).^{10,11} Contrary to most non-motor symptoms, apathy may worsen after STN DBS in up to 71% of cases.^{10,12-15} The results of the meta-analysis by Wang et al¹⁶ were among the same lines. However, this meta-analysis had methodological limitations, including the narrow search strategy, the use of the fixed effects model, and the inclusion of studies with overlapping samples.^{17,18}

Possible causes for increased apathy are reduced dopaminergic stimulation after medication reduction following STN DBS or collateral stimulation of adjacent regions to the motor territory of the STN.¹⁹⁻²¹

We performed a systematic review and meta-analysis to test the hypothesis that apathy increases in PD patients treated with STN DBS compared to either a pre-operative state or to a control group by including newer and larger trials.

Methods

The systematic review and meta-analysis were designed according to the PRISMA Guidelines.²² A clinical librarian (J.D.) developed the search strategy for the meta-analysis (Supplementary Appendix S1).

Search

The search included studies that published apathy scores in PD patients with STN DBS in a longitudinal or cross-sectional design, were written in English, reported apathy scores in original data or this information could be reconstructed, and used one of the apathy scales that were recommended by the International Parkinson and Movement Disorders Society (MDS) — ie, Apathy Evaluation Scale (AES), Starkstein Apathy Scale (SAS), Lille Apathy Rating Scale (LARS), and the Apathy Inventory (AI).²³⁻²⁷

Studies were excluded if the results consisted of non-original research, less than six patients were reported, the study was part of an intervention trial for apathy and the last assessment of apathy took place earlier than 2 weeks post-operative. Additionally, studies with a cross-sectional design were excluded if the study had no control group consisting of PD patients treated with medication alone. We chose 2 weeks post-operatively as the lower threshold for assessing apathy. Hereby, we were able to analyze whether STN-DBS has an effect on the apathy scores over time.

Relevant published articles were searched in PubMed/Medline, SCOPUS, EMBASE, and Web of Sciences electronic databases. The electronic databases were searched up to September 4th, 2020 in three separate subsets, one on PD and STN DBS, one on PD and apathy, and the third on PD, STN DBS, and apathy. The titles and abstracts were independently screened by two authors

(T.Z. and G.B.) for inclusion in full-text appraisal. Similarly, these two authors independently appraised the full texts of these studies after excluding duplicate articles. Discrepancies were resolved through discussion and when consensus could not be achieved, a third author (GvR) would have the final decision on the inclusion in the meta-analyses and systematic review.

Data Collection Process

The screening authors extracted the data and discussed accuracy routinely throughout the extraction phase. Authors were contacted when studies lacked sufficient methodological information or to provide additional data. When the screening process revealed multiple publications on the same data set, the study with the largest number of participants was used.

The following variables were collected from the included studies: authors, publication date, study design, total number of participants, population characteristics (ie, age, sex, disease duration), months of follow-up, whether apathy was the primary outcome, apathy scale, apathy scores, depression scores, anxiety scores, QoL scores, levodopa (L-dopa) equivalent daily dosage (LEDD), cognitive tests, unilateral or bilateral stimulation, Unified Parkinson Disease Rating Scale (UPDRS), and the MDS-UPDRS.^{28,29} The quality of articles was assessed using the adapted Newcastle Ottawa Scale (NOS) for observational studies (range 0–8).³⁰ A NOS score of five or less is indicative for a high risk of bias.

Meta-Analysis

We performed three separate meta-analyses using a DerSimonian and Laird random-effects model: one pooling longitudinal data (change in apathy score from before to after STN DBS), one pooling cross-sectional data (difference between a post-operative STN DBS group with a control group), and one pooling cross-sectional longitudinal data (pre-post change scores of a STN DBS group compared with pre-post change scores of a control group).^{29,31-36} Studies with longitudinal and cross-sectional apathy scores were included in all meta-analyses. Case control studies with only longitudinal data were categorized as longitudinal studies. In longitudinal studies with multiple recordings of apathy, the closest measurement to 6 months post-operative was used because the incidence of DBS-related apathy is thought to be highest in the early months after STN DBS.¹⁵ The principal summary measure for each meta-analysis was an effect size expressed as Hedges *g* with a statistical significance level derived from the mean and standard deviation (SD) or *F* scores. If the mean, SDs, and *F* scores were unavailable, the mean and SDs were reconstructed by simple statistics in the case of normally distributed data.³⁷⁻⁴⁰ All statistical analyses were performed using R with packages “meta” and “metafor.”⁴¹

Small study effects or publication bias were assessed using the funnel plot test and Egger’s statistics and a trim-and-fill analysis was performed when the Egger’s test was positive.

The heterogeneity between studies was quantified by the index of heterogeneity (I^2). A P value of <0.05 was considered as evidence of heterogeneity. Meta-regressions were carried out on common variables such as the exclusion of patients suffering from apathy, depression, and/or other neuropsychiatric illnesses apathy based on clinical evaluation or the cut-off of the appropriate scale at baseline. Subgroup analyses were performed on the study design, different scales, UPDRS, LEDD, disease duration, and age as grouping variables for their relation to apathy.

Subsequently, 1,263 studies were excluded because of lack of a validated apathy scale or inappropriate interventions and control groups. Authors were contacted with a high response rate of 82.4% to identify studies with overlapping data sets or to provide additional information, after which 23 additional studies were excluded and 33 remained, 23 with a longitudinal design and 13 with a cross-sectional design. Three studies had both a longitudinal and cross-sectional design, and these studies were also combined in a separate meta-analysis.⁴²⁻⁴⁴

Study Characteristics

A total of 1,286 PD patients were included with a mean age (\pm SD) of 58.4 ± 8.5 years and a mean disease duration of 11.0 ± 5.8 years. Study characteristics of the longitudinal and cross-sectional studies are presented in Tables 1 and 2, respectively. The AES was used in 10 studies, the SAS in 22 studies, and the LARS in three studies.²³ For uniformity, the SAS was prioritized for analyses if studies reported two scales.^{46,47} The mean apathy scores at baseline were: SAS 5.4 to 18.8, AES 27.5 to 39.1, and LARS -32.6 to -24.0 .^{13,56} For

Results

Study Selection

The flow chart of the study selection process is presented in Figure 1. The search yielded a total of 6,658 articles and 1,319 of these were considered eligible.

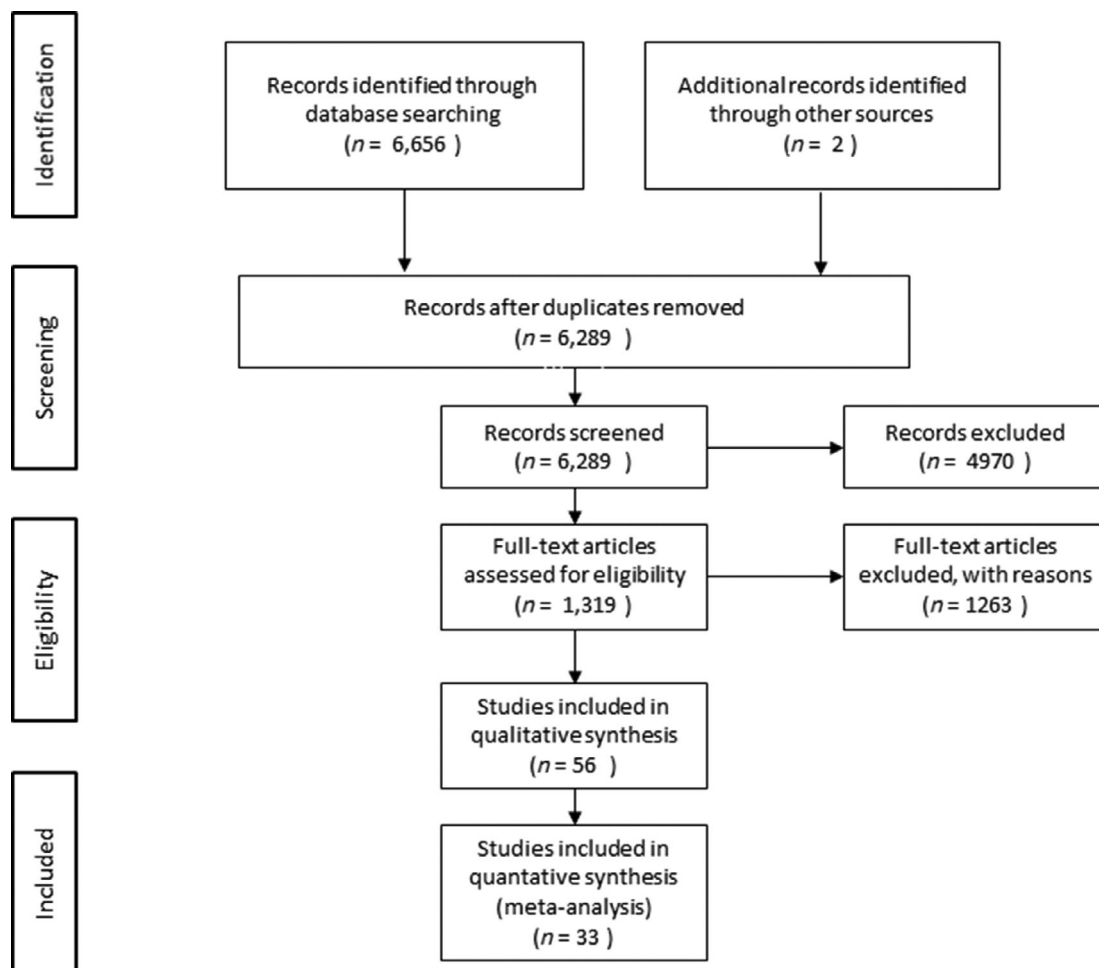


FIG. 1. Flow diagram of study selection.

TABLE 1. Longitudinal studies characteristics

Study	Total sample	Age (y)	Disease duration (y)	Follow-up (mo)	Newcastle-Ottawa score	Apathy scale	Pre-operative score	Post-operative score	Mean change in LEDD (%)
Ardouin et al ⁴⁴	7	54.0 ± 9.0	NR	3	7	SAS	9.5 ± 3.0	9.8 ± 6.3	-73.6
Castelli et al ⁴⁵	19	62.1 ± 4.2	14.7 ± 5	17	7	SAS	11.6 ± 4.1	12.6 ± 5.3	-52.1
Castrìo et al ⁴⁶	36	56.8 ± 8.3	9.3 ± 4.9	12	5	SAS	11.1 ± 4.8	10.4 ± 5.3	-60.3
Chou et al ⁴⁷	10	62.1 ± 6.5	9.1 ± 5.8	6	7	SAS	13.2 ± 8.6	13.6 ± 7.4	-51.2
Dafsari et al ⁴⁸	36	62.8 ± 9.1	9.6 ± 5.3	5	4	AES	28.9 ± 7.1	29.6 ± 6.7	-53.3
Dos Santos et al ⁴⁹	19	60(6.5)	93(3.5)	12	7	SAS	6.9 ± 2.7	9.5 ± 7.7	-39.6
Drapier et al ⁴³	30	59.7 ± 7.6	12.2 ± 2.8	6	7	SAS & AES	13.0 ± 6.5	18.8 ± 9.7	-22.2
Foley et al ⁵⁰	28	57.5 ± 7.3	18.8 ± 6.1	19.5	6	SAS	10.8 ± 6.0	14.0 ± 11.2	NR
Gesquiere-Dando et al ⁵¹	34	62.7 ± 8.1	9.9 ± 4.3	12	6	LARS	-32.6 ± 3.6	-24.4 ± 12.0	-39.4
Higuchi et al ¹³	25	50.4 ± 9.8	12.5 ± 7	1	7	SAS	5.4 ± 3.1	9.6 ± 9.9	-61.1
Langner-Lemercier et al ⁵²	40	56.5 ± 7.8	12.0 ± 4.6	12	5	AES	30.9 ± 6.3	33.0 ± 8.9	-38.9
Le Jeune et al ⁵³	12	57.4 ± 8	11.2 ± 2.4	3	6	AES	30.9 ± 4.1	39.1 ± 6.1	-33.6
Lhomme et al ⁵⁴	73	57.3 ± 7	10.8 ± 2.9	12	7	SAS	6.4 ± 3.3	9.7 ± 4.6	-69.7
Lhomme et al ⁴¹	251	52.5 ± 6.3	7.5 ± 2.9	24	8	SAS	9.9 (0.7)	12.7 (0.5)	-37.6
Lilleeng et al ⁵⁵	16	60.0 ± 8.1	12.9 ± 5.7	4.5	8	SAS	14.7 ± 4.1	16.9 ± 5.2	-22.9
Maier et al ⁵⁶	30	61.2 ± 8.7	12.0 ± 6.79	3	7	AES	34.8 ± 10.9	34.6 ± 9.4	-55.9
Mosley et al ^{57a}	64	62.2 ± 9.5	9.0 ± 5.2	1.5	7	SAS	F-score: 0.838		NR
Nimura et al ⁵⁸	39	62.6 ± 6.7	13.3 ± 9.4	6	5	SAS	12.2 ± 7.7	12.0 ± 7.2	NR
Pham et al ⁵⁹	40	63.4 ± 6.4	12.1 ± 3.8	3	6	AES	30.6 ± 5.9	32.2 ± 6.6	-47.7
Robert et al ¹⁷	44	56.3 ± 7.5	11.4 ± 4.1	3	6	AES	31.4 ± 6.4	31.6 ± 7.1	-30.5
Seifried et al ⁶⁰	11	63.0 ± 7	14.0 ± 4	6	4	SAS	10.8 ± 7.1	12.5 ± 8.6	-51.5
Valdeoriola et al ⁴²	23	57.9 ± 4.8	13.7	6	5	LARS	-24 ± 19.9	-27 ± 21.6	-21.4
Voruz et al ⁶¹	29	56.5 ± 8.0	11.2 ± 4.2	3	6	AES	31.4 ± 6.5	32.9 ± 8.7	-44.0

Follow-up, apathy assessment follow-up in months after the STN DBS operation. All studies used bilateral stimulation. Studies with the variance marked as ± reported standard deviations, studies with parentheses reported the standard error. Abbreviations: LEDD, levodopa equivalent daily dosage; SAS, Starkstein Apathy Scale; AES, Apathy Evaluation Scale; LARS, Lille Apathy Rating Scale; NR, not reported.

^aF statistic was provided only.

uniformity, the SAS was prioritized for analyses in studies that reported two scales.^{44,67} The risk of bias was high (NOS score ≤5) in 8 studies (24.4%) and low (NOS score >5) in 25 studies (75.8%). Two studies had a unique design; one with a L-dopa/carbidopa intestinal gel control group and one investigated effects of unilateral STN-DBS.^{43,72}

Synthesis of Results

The forest plots of the meta-analyses of the longitudinal studies are shown in Figure 2. A significant higher apathy score is found post-operatively than before DBS treatment (g = 0.34, 95% confidence interval [CI] = 0.19–0.48, P < 0.001, I² = 34%). Studies that

TABLE 2. Cross-sectional studies characteristics

Study	Total sample	Age	Disease duration	Months post-operative	Newcastle-Ottawa score	Apathy scale	Score STN DBS group	Score control group	LEDD difference (%)
Crespo-Burillo et al ⁶²	22	65.4 ± 7.7	21.2 ± 13.1	3	6	SAS	11.6 ± 7.1	11.4 ± 5.5	NR
Czernecki et al ⁶³	41	57.8 ± 1.8	13.9 ± 1.6	10	8	SAS	11.2(0.9)	11.0(1.5)	-86.4
Drapier et al ⁴³	30	59.7 ± 7.6	12.2 ± 2.8	6	7	SAS & AES	18.8 ± 9.7	13.0 ± 6.5	-22.2
Enrici et al ⁶⁴	38	60.3 ± 7.6	12.0 ± 6.8	NR	6	SAS	11.9 ± 3.6	12.8 ± 5.6	-29.2
Evens et al ⁶⁵	66	65.5 ± 7.3	11.3 ± 6.2	3	6	SAS	15.5 ± 6.4	8.9 ± 4.7	+6.3
Hindle Fisher et al ⁶⁶	60	66.3 ± 3.1	10.3	6	8	SAS & LARS	13.8 ± 4.7	12.1 ± 6.3	-15.1
Houvenaghel et al ⁶⁷	50	57.8 ± 7.7	12.2 ± 3.3	30	5	AES	30.3 ± 8.8	27.5 ± 6.7	-30.2
Kojovic et al ⁶⁸	20	59.3	9.4 ± 5	NR	5	AES	38.5 ± 2.2	32.2 ± 2.8	-18.6
Leimbach et al ⁶⁹	24	63.6 ± 11.3	NR	3	8	SAS	15.0 ± 5.5	10.5 ± 5.3	NR
Lhomme et al ⁴¹	251	52.5 ± 6.3	7.5 ± 2.9	24	8	SAS	12.7(0.5)	11.4(0.5)	-48.2
Mcdonald et al ⁷⁰	34	57.4 ± 6.5	13.5 ± 5.2	14.5	8	SAS	13.0 ± 11.6	10.3 ± 6.4	-12.6
Okun et al ^{71a}	30	59 ± 8.6	11.8 ± 3.9	6	8	SAS	16.4 ± 9.3	13.1 ± 6.0	-17.2
Valdeoriola et al ⁴²	23	57.9 ± 4.8	13.7	6	5	LARS	-27 ± 21.6	-9 ± 15.8	-21.4

Follow-up, apathy assessment follow-up in months after the STN DBS operation. Studies with the variance marked as ± reported standard deviations, studies with brackets () reported the standard error. Abbreviations: LEDD, levodopa equivalent daily dosage; SAS, Starkstein Apathy Scale; AES, Apathy Evaluation Scale; LARS, Lille Apathy Rating Scale; NR, not reported.

^aOne study used unilateral stimulation, all other studies used bilateral stimulation.

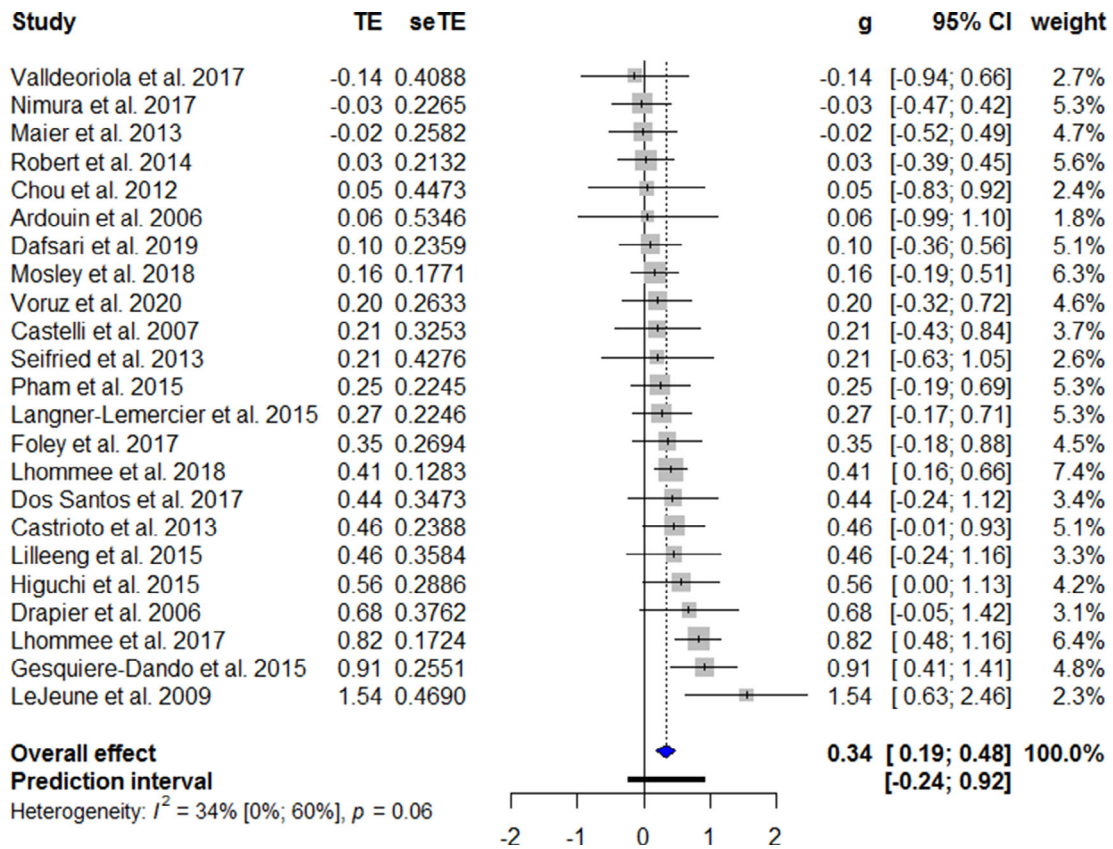


FIG. 2. Forest plot of apathy after STN DBS in longitudinal studies. Treatment effects to the right favors more apathy. TE, treatment effect; seTE, standard error of the treatment effect; g, Hedges' g; CI, confidence interval. [Color figure can be viewed at wileyonlinelibrary.com]

excluded patients with apathy at baseline found greater values of apathy after STN DBS ($g = 0.79, P < 0.001$). Studies that reported apathy as a main outcome also reported a higher mean apathy score following STN DBS ($g = 0.46, P < 0.001$). A higher pre-operative UPDRS III on-medication score ($F = 6.32, P = 0.03$) and a higher pre-operative Beck depression inventory (BDI) score ($F = 7.29, P = 0.04$) are associated with higher apathy scores after STN DBS. The follow-up UPDRS III on-medication score and BDI score were not associated with apathy outcomes. The meta-analysis for cross-sectional studies showed a similar difference in apathy ($g = 0.36, 95\% \text{ CI} = 0.03\text{--}0.65; P = 0.004, I^2 = 58\%$). Please see Figure 3 for the respective forest plot. The heterogeneity could be improved by excluding the two studies with the unique designs ($I^2 = 42.8\%$).^{43,72} If the studies that did not report apathy as the main outcome were analyzed separately, there was no statistically significant effect ($g = 0.31, P = 0.25$). The forest plots of the three studies that had pre- and post-operative apathy assessments in both an STN DBS and a control group are shown in Figure 4. The combined studies did not demonstrate a statistically significant difference in apathy between the two treatment arms ($g = 0.20, 95\% \text{ CI} = -0.27\text{--}0.67, P = 0.40$).

Additional Analyses

The Egger's tests provided no evidence for publication bias and there was no small effects bias (Fig. 5A, B). Using meta-regression, there were no relations between effect size and LEDD reduction ($P = 0.96$ for longitudinal; $P = 0.23$ for the cross-sectional studies), disease duration, and age on the overall effect in all meta-analyses. The mean increase of apathy score after STN-DBS on the SAS and AES were +2.03 for the longitudinal studies and +2.96 for the cross-sectional studies.

Discussion

The main purpose of this systematic review and meta-analysis was to determine whether STN DBS resulted in higher apathy scores in PD patients. The main result of our meta-analysis is that apathy scores are higher after STN DBS for PD compared with the pre-operative state and compared with PD patients on medication alone. This result is relevant for clinical care to allow for careful consideration of the benefits and drawbacks of STN DBS for PD patients. Interestingly, increase in apathy appeared to be present regardless of

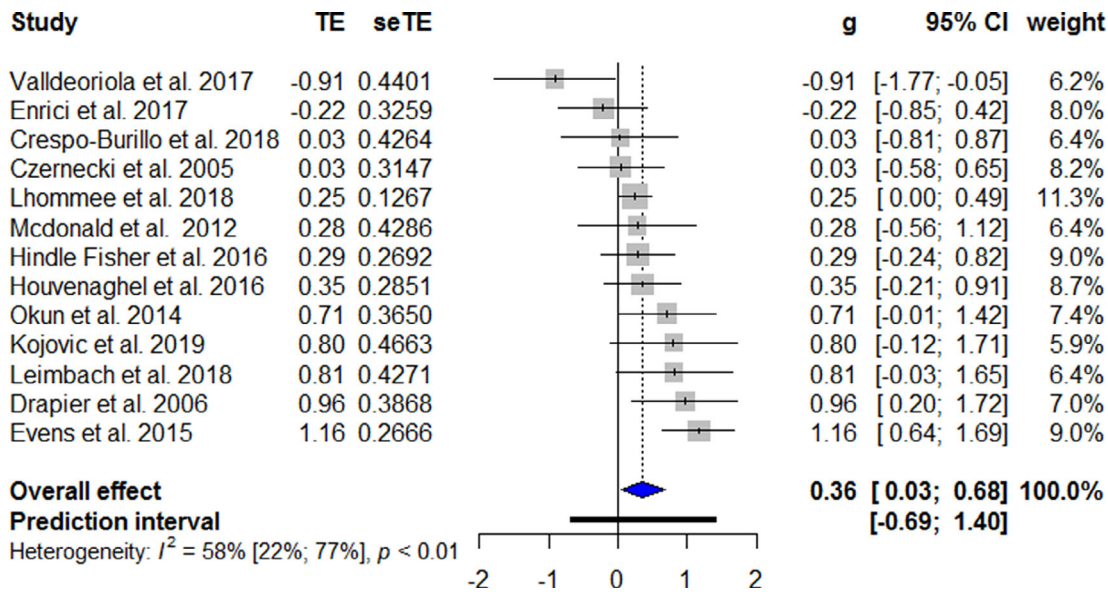


FIG. 3. Forest plot of apathy after STN DBS in cross-sectional studies. Treatment effects to the right favors more apathy. TE, treatment effect; seTE, standard error of the treatment effect; g, Hedges' g; CI, confidence interval. [Color figure can be viewed at wileyonlinelibrary.com]

reduction of dopaminergic medication, disease progression, and other neuropsychiatric symptoms.

The overall effect was roughly the same for longitudinal studies and cross-sectional studies. The smaller sample size in the cross-sectional meta-analysis led to more confounding variables than the longitudinal meta-analysis. We found no evidence that coincidence findings would be more often reported because of the absence of small-study effects. Studies that listed apathy as a main outcome had higher apathy scores than studies that did not primarily focus on non-motor symptoms, a possible explanation could be a more thorough examination of apathy symptoms. Furthermore, studies that excluded apathetic patients at baseline reported a higher difference in apathy scores between the pre- and post-operative assessment. This finding suggests that there may be a ceiling effect where already apathetic patients do not experience the same increase in symptom severity. A possible explanation is that apathy is related to PD severity and the decrease of dopaminergic medication, allowed by the effect of DBS, returns the apathy

severity towards an untreated state. This is supported by the association between apathy and the pre-operative UPDRS on-medication score, a marker for dopaminergic unresponsive symptoms.

The difference in the severity of apathy between the STN DBS and best medical treatment groups was highest in studies with a follow-up shorter than 2 years. With longer follow-up, apathy increased also in the best medical treatment group and the difference in apathy between the groups decreased.¹⁹ The SAS and AES showed some divergence in scores although scales have mostly overlapping questions and are possibly interchangeable in clinical use. Numerous studies also reported the Non-Motor Symptoms Scale and the Non-Motor Symptoms Questionnaire or UPDRS item 4.⁷³⁻⁷⁵ Although these scales showed a correlation with apathy and have sub-scores related to apathy, they lack the specificity of the scales listed by the MDS for the assessment of apathy.²³

The pathophysiology of apathy occurring in patients that are treated with STN-DBS is still under debate.

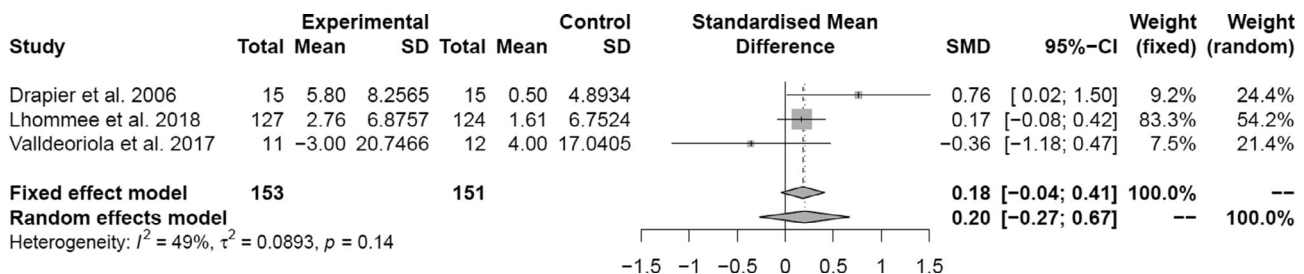


FIG. 4. Forest plot longitudinal and cross-sectional studies. Treatment effects to the right favors more apathy. SMD, standardized mean difference; CI, confidence interval.

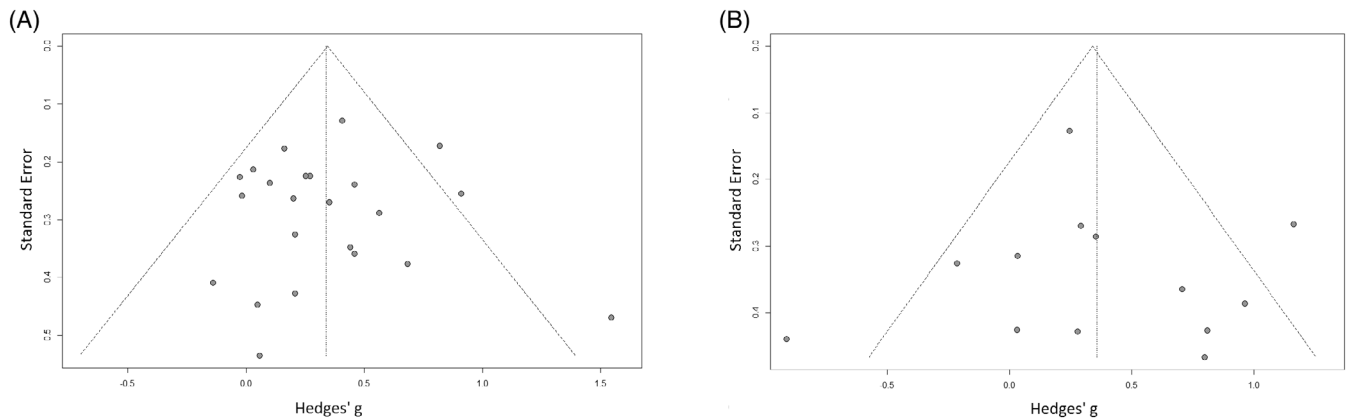


FIG. 5. (A) Funnel plot of the longitudinal studies. (B) Funnel plot of the cross-sectional studies.

The most notable hypotheses are that apathy increases with longer disease duration, reduction of dopaminergic medication, and DBS of areas adjacent to the motor subregions of STN or spillover of current into these areas.^{10,14,19} The literature regarding the direct effects of DBS-current on apathy is inconsistent; some studies found an increase of apathy,^{14,15,54} whereas others found that euphoria increases and apathy is reduced.^{64,76}

Interestingly, the only randomized controlled trial directly assessing apathy—the EARLYSTIM-trial—did not detect a difference in apathy scores between the STN DBS and best medical treatment group. In the EARLYSTIM-trial, both the STN DBS and best medical treatment group had an increase of apathy scores during follow-up.⁴² The dopaminergic medication is generally reduced in the weeks following STN DBS surgery. The reduced availability of mesolimbic and mesocortical dopamine accompanying the postoperative reduction in the use of dopaminergic medication is commonly theorized as the main contributing factor for apathy.^{15,77,78} However, our meta-analysis revealed no effect from dopaminergic medication reduction on apathy scores on a group level. Three articles separated dopamine agonists use from other medications and these studies suggest that higher daily doses of dopamine agonists, which have a higher affinity to the limbic D3 dopamine receptor, are accompanied by lower apathy scores.^{53,55,66,78}

Another factor for the development of non-motor features and apathy could be the severity of PD. Although the UPDRS on-medication score at baseline was associated with the occurrence of apathy, neither disease duration nor UPDRS III off-medication score were associated with the increase in apathy. The effect of DBS on apathy scores and level of statistical significance does not change when correcting for UPDRS on-medication score.

Furthermore, cognitive decline is also prominent in advanced PD because of age related illnesses (eg, Alzheimer’s disease and cerebrovascular disease) and

PD dementia.^{79,80} However, literature is biased as surgical candidates are selected for absence of dementia. Moreover, most studies only used basic cognitive testing with screening instruments at a single point during the trials. As such, no relationship was found between apathy and neurocognitive functioning in our meta-analysis. This meta-analysis was also unable to establish a relationship between apathy and depression, anxiety, quality of life, social support, and other variables as the data on these factors was scarce and most studies did not report subscales.

This meta-analysis succeeded the meta-analysis of Wang et al¹⁶ that concluded that apathy was more prevalent after STN DBS. Our meta-analysis was able to address some of the limitations of the earlier meta-analysis, added extra articles and the random effects demonstrates with a higher degree of confidence that our findings are relevant for the general PD population. Nevertheless, Wang et al¹⁶ found an effect size of the same order as the effect sizes in our meta-analyses.

Our study had some limitations that need to be acknowledged. First, the heterogeneity of the studies was high in the longitudinal and the cross-sectional meta-analysis and the combined meta-analysis showed a divergence of the results. This reflects the different methodological procedures that were followed in the included studies and limits the reliability of our results. Subgroup analysis were performed and studies with a high impact on the heterogeneity were excluded, resulting in a higher overall effect remaining statistically significant. Second, we calculated the apathy scores in some studies by the estimation of a weighted mean and SD, without having access to the original data. Although these variables are less precise, we argue that the inclusion of these studies strengthened our meta-analysis. Third, several studies were at risk of bias based on the NOS. Sensitivity of these studies did not detect any outliers and the influence on the overall effect was not distinct from other studies. Fourth, we

included the closest apathy measurement point to 6 months post-operatively in both meta-analyses. The STN-DBS treatment may not be optimal at that time because of suboptimal electrode settings and medication adjustments. Fifth, an important finding is the lack of relation between reduction of dopaminergic treatment and apathy at group level. It would have been informative to relate LEDD-reduction with patients scoring above the cut-off of the scales, but this information was not available. Finally, we could not distinguish apathy from PD progression or other neuropsychiatric symptoms. Meta-regression found several impacting variables but there was little consistency. For example, only one specific UPDRS score was related to an increase in apathy, but the other UPDRS scores in on- and off-medication, pre- and post-operatively, showed no relationship with apathy scores.

Conclusion

The main result of this meta-analysis is that apathy increases after STN DBS, compared to the pre-operative state or to control groups managed only with medication. This effect was independent of confounding variables, including the reduction of dopaminergic medication. These findings are of clinical relevance to the increasing population of PD patients that will become reliant on STN DBS in the future, and demand further research on the subject. ■

Acknowledgments: We would like to express our gratitude for the rapid and thorough response of many of the authors that were contacted, including but not limited to: Marcelo Merello, Dawn Bowers, Michael Okun, Kelvin Chou, Francisc Valdeoriola, Marjan Jahanshahi, Panagiotis Bargiotas and Franziska Maier.

Funding

There was no funding for this project and the primary support was received from the corresponding authors on a collaborative basis. ■

References

- Kalia LV, Lang AE. Parkinson's disease. *Lancet* 2015;386(9996): 896–912.
- Schapiro AHV, Chaudhuri KR, Jenner P. Non-motor features of Parkinson disease. *Nat Rev Neurosci* 2017;18(8):509.
- Muller T. Drug therapy in patients with Parkinson's disease. *Transl Neurodegener* 2012;1(1):10.
- Hitti FL, Ramayya AG, McShane BJ, Yang AI, Vaughan KA, Baltuch GH. Long-term outcomes following deep brain stimulation for Parkinson's disease. *J Neurosurg* 2019;132:205–210.
- Wong JK, Cauraugh JH, Ho KWD, et al. STN vs. Gpi deep brain stimulation for tremor suppression in Parkinson disease: a systematic review and meta-analysis. *Parkinsonism Relat Disord* 2019;58: 56–62.
- Odekerken VJ, van Laar T, Staal MJ, et al. Subthalamic nucleus versus globus pallidus bilateral deep brain stimulation for advanced Parkinson's disease (NSTAPS study): a randomised controlled trial. *Lancet Neurol* 2013;12(1):37–44.
- Alexoudi A, Shalash A, Knudsen K, et al. The medical treatment of patients with Parkinson's disease receiving subthalamic neurostimulation. *Parkinsonism Relat Disord* 2015;21(6):555–560; discussion.
- Marin RS. Apathy: a neuropsychiatric syndrome. *J Neuropsychiatry Clin Neurosci* 1991;3(3):243–254.
- Robert P, Onyike CU, Leentjens AF, et al. Proposed diagnostic criteria for apathy in Alzheimer's disease and other neuropsychiatric disorders. *Eur Psychiatry* 2009;24(2):98–104.
- Barone P, Antonini A, Colosimo C, et al. The PRIAMO study: a multicenter assessment of nonmotor symptoms and their impact on quality of life in Parkinson's disease. *Mov Disord* 2009;24(11): 1641–1649.
- Martinez-Fernandez R, Pelissier P, Quesada JL, et al. Postoperative apathy can neutralise benefits in quality of life after subthalamic stimulation for Parkinson's disease. *J Neurol Neurosurg Psychiatry* 2016;87(3):311–318.
- Starkstein SE. Apathy in Parkinson's disease: diagnostic and etiological dilemmas. *Mov Disord* 2012;27(2):174–178.
- Higuchi MA, Tsuboi Y, Inoue T, et al. Predictors of the emergence of apathy after bilateral stimulation of the subthalamic nucleus in patients with Parkinson's disease. *Neuromodulation* 2015;18(2): 113–117.
- Ricciardi L, Morgante L, Epifanio A, et al. Stimulation of the subthalamic area modulating movement and behavior. *Parkinsonism Relat Disord* 2014;20(11):1298–1300.
- Thobois S, Ardouin C, Lhomme E, et al. Non-motor dopamine withdrawal syndrome after surgery for Parkinson's disease: predictors and underlying mesolimbic denervation. *Brain* 2010;133(Pt 4): 1111–1127.
- Wang Y, Li Y, Zhang X, Xie A. Apathy following bilateral deep brain stimulation of subthalamic nucleus in Parkinson's disease: a meta-analysis. *Parkinsons Dis* 2018;2018:9756468.
- Houvenaghel JF, Le Jeune F, Dondaine T, et al. Reduced verbal fluency following subthalamic deep brain stimulation: a frontal-related cognitive deficit? *PLoS One* 2015;10(10):e0140083.
- Robert GH, Le Jeune F, Lozachmeur C, et al. Preoperative factors of apathy in subthalamic stimulated Parkinson disease: a PET study. *Neurology* 2014;83(18):1620–1626.
- Pagonabarraga J, Kulisevsky J, Strafella AP, Krack P. Apathy in Parkinson's disease: clinical features, neural substrates, diagnosis, and treatment. *Lancet Neurol* 2015;14(5):518–531.
- Zoon TJ, de Bie RM, Schuurman PR, van den Munckhof P, Denys D, Figue M. Resolution of apathy after dorsal instead of ventral subthalamic deep brain stimulation for Parkinson's disease. *J Neurol* 2019;266(5):1267–1269.
- Castrioto A, Lhomme E, Moro E, Krack P. Mood and behavioural effects of subthalamic stimulation in Parkinson's disease. *Lancet Neurol* 2014;13(3):287–305.
- Shamseer L, Moher D, Clarke M, et al. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. *BMJ* 2015;350:g7647.
- Leentjens AF, Dujardin K, Marsh L, et al. Apathy and anhedonia rating scales in Parkinson's disease: critique and recommendations. *Mov Disord* 2008;23(2014):2004–2014.
- Marin RS, Biedrzycki RC, Firinciogullari S. Reliability and validity of the apathy evaluation scale. *Psychiatry Res* 1991;38(2):143–162.
- Zahodne LB, Young S, Kirsch-Darrow L, et al. Examination of the Lille apathy rating scale in Parkinson disease. *Mov Disord* 2009;24(5):677–683.
- Starkstein SE, Mayberg HS, Preziosi TJ, Andrezejewski P, Leiguarda R, Robinson RG. Reliability, validity, and clinical correlates of apathy in Parkinson's disease. *J Neuropsychiatry Clin Neurosci* 1992;4(2):134–139.
- Robert PH, Claret S, Benoit M, et al. The apathy inventory: assessment of apathy and awareness in Alzheimer's disease, Parkinson's disease and mild cognitive impairment. *Int J Geriatr Psychiatry* 2002;17(12):1099–1105.

28. FS. MUDC. The unified Parkinson's disease rating scale. In: Fahn SMC, Calne DB, Goldstein M, editors. *Recent Developments in Parkinson's Disease*. Vol. 153–163. Florham Park, NJ: Macmillan Healthcare Information; 1987:293–304.
29. Goetz CG, Tilley BC, Shaftman SR, et al. Movement Disorder Society-sponsored revision of the unified Parkinson's disease rating scale (MDS-UPDRS): scale presentation and clinimetric testing results. *Mov Disord* 2008;23(15):2129–2170.
30. Luchini C. Assessing the quality of studies in meta-analyses: advantages and limitations of the Newcastle Ottawa Scale. *World J Meta-Anal* 2017;5(4):80–84.
31. Hamilton M. Rating depressive patients. *J Clin Psychiatry* 1980;41(12 Pt 2):21–24.
32. Beck AT, Steer RA, Ball R, Ranieri W. Comparison of Beck depression inventories -IA and -II in psychiatric outpatients. *J Pers Assess* 1996;67(3):588–597.
33. Montgomery SA, Asberg M. A new depression scale designed to be sensitive to change. *Br J Psychiatry* 1979;134:382–389.
34. Jenkinson C, Dummett S, Kelly L, et al. The development and validation of a quality of life measure for the carers of people with Parkinson's disease (the PDQ-Carer). *Parkinsonism Relat Disord* 2012;18(5):483–487.
35. Tomlinson CL, Stowe R, Patel S, Rick C, Gray R, Clarke CE. Systematic review of levodopa dose equivalency reporting in Parkinson's disease. *Mov Disord* 2010;25(15):2649–2653.
36. Borenstein MHL, Hedges LV, Higgins JPT, Rothstein HR, et al. Chapter 13: Fixed-Effect versus Random-Effects Models. Introduction to meta-analysis. Hoboken, New Jersey: John Wiley & Sons Inc; 2009:77–86.
37. DG A. Statistics with confidence second edition. In: DM, editor. 2000. p. 28–31.
38. SP H. Estimating the mean and variance from the median, range, and the size of a sample. In: BB, editor. *BMC Med Res Methodol* 2005. p. 13.
39. Wan X, Wang WQ, Liu JM, Tong TJ. Estimating the sample mean and standard deviation from the sample size, median, range and/or interquartile range. *BMC Med Res Methodol* 2014;14(135):1–13.
40. Morris SB, DeShon RP. Combining effect size estimates in meta-analysis with repeated measures and independent-groups designs. *Psychological Methods* 2002;7(1):105–125.
41. Rstudio Team RStudio: Integrated Development for R. 250 Northern Ave, Boston, MA: Rstudio; 2013.
42. Lhomme E, Wojtecki L, Czernecki V, Witt K, Maier F, Tonder L, et al. Behavioural outcomes of subthalamic stimulation and medical therapy versus medical therapy alone for Parkinson's disease with early motor complications (EARLYSTIM trial): secondary analysis of an open-label randomised trial. *Lancet Neurol* 2018;17(3):223–131.
43. Valldeoriola F, Santacruz P, Rios J, et al. L-Dopa/carbidopa intestinal gel and subthalamic nucleus stimulation: effects on cognition and behavior. *Brain Behav* 2017;7(11):e00848.
44. Drapier D, Drapier S, Sauleau P, et al. Does subthalamic nucleus stimulation induce apathy in Parkinson's disease? *J Neurol* 2006;253(8):1083–1091.
45. Ardouin C, Voon V, Worbe Y, et al. Pathological gambling in Parkinson's disease improves on chronic subthalamic nucleus stimulation. *Mov Disord* 2006;21(11):1941–1946.
46. Castelli L, Lanotte M, Zibetti M, et al. Apathy and verbal fluency in STN-stimulated PD patients. An observational follow-up study. *J Neurol* 2007;254(9):1238–1243.
47. Castrioto A, Volkmann J, Krack P. Postoperative management of deep brain stimulation in Parkinson's disease. *Handbook of Clinical Neurology*. Vol. 116. Amsterdam, Netherlands: Elsevier; 2013: 129–146.
48. Chou KL, Persad CC, Patil PG. Change in fatigue after bilateral subthalamic nucleus deep brain stimulation for Parkinson's disease. *Parkinsonism Relat Disord* 2012;18(5):510–513.
49. Dafsari HS, Ray-Chaudhuri K, Mahlstedt P, et al. Beneficial effects of bilateral subthalamic stimulation on alexithymia in Parkinson's disease. *Eur J Neurol* 2019;26(2):222–e17.
50. Flores Alves Dos Santos J, Tezenas du Montcel S, Gargiulo M, et al. Tackling psychosocial maladjustment in Parkinson's disease patients following subthalamic deep-brain stimulation: a randomised clinical trial. *PLoS One* 2017;12(4):e0174512.
51. Foley JA, Foltynie T, Zrinzo L, Hyam JA, Limousin P, Cipolotti L. Apathy and reduced speed of processing underlie decline in verbal fluency following DBS. *Behav Neurol* 2017;2017:7348101.
52. Gesquiere-Dando A, Guedj E, Loundou A, et al. A preoperative metabolic marker of parkinsonian apathy following subthalamic nucleus stimulation. *Mov Disord* 2015;30(13):1767–1776.
53. Langner-Lemercier S, Drapier S, Naudet F, et al. Preoperative brain metabolism and quality of life after subthalamic nucleus stimulation in Parkinson's disease. *J Neurol* 2015;262(4):881–889.
54. Le Jeune F, Drapier D, Bourguignon A, et al. Subthalamic nucleus stimulation in Parkinson disease induces apathy: a PET study. *Neurology* 2009;73(21):1746–1751.
55. Lhomme E, Boyer F, Wack M, et al. Personality, dopamine, and Parkinson's disease: insights from subthalamic stimulation. *Mov Disord* 2017;32(8):1191–1200.
56. Lilleeng B, Gjerstad M, Baardsen R, Dalen I, Larsen JP. The long-term development of non-motor problems after STN-DBS. *Acta Neurol Scand* 2015;132(4):251–258.
57. Maier F, Lewis CJ, Horstkoetter N, et al. Patients' expectations of deep brain stimulation, and subjective perceived outcome related to clinical measures in Parkinson's disease: a mixed-method approach. *J Neurol Neurosurg Psychiatry* 2013;84(11):1273–1281.
58. Mosley PE, Breakspear M, Coyne T, Silburn P, Smith D. Caregiver burden and caregiver appraisal of psychiatric symptoms are not modulated by subthalamic deep brain stimulation for Parkinson's disease. *NPJ Parkinsons Dis* 2018;4:12.
59. Nimura T, Nagamatsu KI, Ando T, Matsumoto A, Hisanaga K, Tominaga T. An investigation into the effects and prognostic factors of cognitive decline following subthalamic nucleus stimulation in patients with Parkinson's disease. *J Clin Neurosci* 2017;44:164–168.
60. Pham UH, Andersson S, Toft M, et al. Self-reported executive functioning in everyday life in Parkinson's disease after three months of subthalamic deep brain stimulation. *Parkinsons Dis* 2015;2015:461453.
61. Seifried C, Boehncke S, Heinzmann J, Baudrexel S, Weise L, Gasser T, et al. Diurnal variation of hypothalamic function and chronic subthalamic nucleus stimulation in Parkinson's disease. *Neuroendocrinology* 2013;97(3):283–290.
62. Voruz P, Le Jeune F, Haegelen C, et al. Motor symptom asymmetry in Parkinson's disease predicts emotional outcome following subthalamic nucleus deep brain stimulation. *Neuropsychologia* 2020; 144:1–14.
63. Crespo-Burillo JA, Rivero-Celada D, Saenz-de Cabezon A, Casado-Pellejero J, Alberdi-Vinas J, Alarcia-Alejos R. Deep brain stimulation for patients with Parkinson's disease: effect on caregiver burden. *Neurologia* 2018;33(3):154–159.
64. Czernecki V, Pillon B, Houeto JL, et al. Does bilateral stimulation of the subthalamic nucleus aggravate apathy in Parkinson's disease? *J Neurol Neurosurg Psychiatry* 2005;76(6):775–779.
65. Enrici I, Mitkova A, Castelli L, Lanotte M, Lopiano L, Adenzato M. Deep brain stimulation of the subthalamic nucleus does not negatively affect social cognitive abilities of patients with Parkinson's disease. *Sci Rep* 2017;7(1):9413.
66. Evens R, Stankevich Y, Dshemuchadse M, et al. The impact of Parkinson's disease and subthalamic deep brain stimulation on reward processing. *Neuropsychologia* 2015;75:11–19.
67. Hindle Fisher I, Pall HS, Mitchell RD, Kausar J, Cavanna AE. Apathy in patients with Parkinson's disease following deep brain stimulation of the subthalamic nucleus. *CNS Spectr* 2016;21(3):258–264.
68. Houvenaghel JF, Duprez J, Argaud S, Naudet F, Dondaine T, Robert GH, et al. Influence of subthalamic deep-brain stimulation on cognitive action control in incentive context. *Neuropsychologia* 2016;91:519–530.
69. Kojovic M, Higgins A, Mir P, Jahanshahi M. Enhanced motivational modulation of motor behaviour with subthalamic nucleus deep brain stimulation in Parkinson's disease. *Parkinsons Dis* 2019; 2019:3604372.

70. Leimbach F, Georgiev D, Litvak V, et al. Deep brain stimulation of the subthalamic nucleus does not affect the decrease of decision threshold during the choice process when there is no conflict, time pressure, or reward. *J Cogn Neurosci* 2018;30(6):876–884.
71. McDonald LM, Page D, Wilkinson L, Jahanshahi M. Deep brain stimulation of the subthalamic nucleus improves sense of well-being in Parkinson's disease. *Mov Disord* 2012;27(3):372–378.
72. Okun MS, Wu SS, Fayad S, et al. Acute and chronic mood and apathy outcomes from a randomized study of unilateral STN and GPi DBS. *PLoS One* 2014;9(12):e114140.
73. Chaudhuri KR, Martinez-Martin P, Brown RG, et al. The metric properties of a novel non-motor symptoms scale for Parkinson's disease: results from an international pilot study. *Mov Disord* 2007;22(13):1901–1911.
74. Romenets SR, Wolfson C, Galatas C, et al. Validation of the non-motor symptoms questionnaire (NMS-quest). *Parkinsonism Relat Disord* 2012;18(1):54–58.
75. Kirsch-Darrow L, Zahodne LB, Hass C, et al. How cautious should we be when assessing apathy with the unified Parkinson's disease rating scale? *Mov Disord* 2009;24(5):684–688.
76. Funkiewiez A, Ardouin C, Krack P, et al. Acute psychotropic effects of bilateral subthalamic nucleus stimulation and levodopa in Parkinson's disease. *Mov Disord* 2003;18(5):524–530.
77. Czernecki V, Schupbach M, Yaici S, Levy R, et al. Apathy following subthalamic stimulation in Parkinson disease: a dopamine responsive symptom. *Mov Disord* 2008;23(7):964–969.
78. Thobois S, Lhomme E, Klinger H, et al. Parkinsonian apathy responds to dopaminergic stimulation of D2/D3 receptors with piribedil. *Brain* 2013;136(Pt 5):1568–1577.
79. Ulla M, Thobois S, Lemaire JJ. Manic behaviour induced by deep-brain stimulation in Parkinson's disease: evidence of substantia nigra implication? *J Neurol Neurosurg Psychiatry* 2006;77(12):1363–1366.
80. Poewe W, Gauthier S, Aarsland D, et al. Diagnosis and management of Parkinson's disease dementia. *Int J Clin Pract* 2008;62(10):1581–1587.

Supporting Data

Additional Supporting Information may be found in the online version of this article at the publisher's web-site.