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## Duality of estrogen receptor $\beta$ action in cancer progression

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### Abstract

The physiological actions of estrogens are primarily mediated by the nuclear hormone receptors estrogen receptor alpha (ER $\alpha$ ) and beta (ER $\beta$ ). Activities of these nuclear steroid hormone receptors in etiology and progression of many hormone-responsive cancers are well-established, yet the specific role of each receptor, and their various expressed isoforms, in estrogen-responsive cancers remains unclear. Recent advances in nuclear receptor profiling, characterization of expressed splice variants, and the availability of new experimental cancer models, has extended the understanding of the complex interplay between the differentially expressed nuclear estrogen receptors. In this review, we discuss proposed roles of ER $\beta$  in several subtypes of cancers that lack significant ER $\alpha$  expression and define current understanding of how different ERs collaborate to regulate cellular processes.

### Introduction

The steroid hormone 17 $\beta$ -estradiol (E2) is the most potent physiological estrogen and is responsible for a myriad of functions, including cell growth and differentiation. Perturbations to estrogen signaling not only disrupt normal function and development, but are also involved in the initiation, progression, and severity of several types of estrogen responsive cancers [1–3]. The physiological actions of estrogens are largely mediated through the activities of nuclear hormone receptors NR3A1 (ER $\alpha$ ) and NR3A2 (ER $\beta$ ), which act in cell, tissue, and temporally specific fashions to regulate complex and dynamic gene-expression networks. ER $\alpha$  and ER $\beta$  share 96% homology in the DNA-binding region and 59% in the ligand-binding region (Figure 1) [4]. Although both full length receptors have comparable binding affinities for endogenous ligands (e.g. E2), they differ significantly in their affinity for various natural and synthetic ligands including phytoestrogens and pharmaceuticals (Table 1) [5]. Alternative mRNA splicing differentially regulates expression of ER $\alpha$  and ER $\beta$  isoforms that produce receptors with distinctive ligand-binding properties, subcellular localization, response to post-translational modification, and ligand-dependent and independent activities (Figure 1). Cell-specific expression patterns of both canonical

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receptor and alternatively spliced receptor isoforms play a role in mediating the diverse responsiveness to ligand binding [3].

## Receptor-specific activities in cancer

ER $\alpha$  influences both tumor development and progression and is largely associated with poor prognosis and malignancy in breast, prostate, ovarian, and endometrial cancer [6]. In ER-positive (ER $^{+}$ ) breast cancer (BC), ER $\alpha$  mediates estrogen actions and promotes tumor cell proliferation and metastasis [6]. Functional studies in ER $\alpha$  knockout mice have shown that ER $\alpha$  is required for the onset of mammary tumor development and prostate cancer progression [7–9]. In advanced stages of prostate cancer (PCa), ER $\alpha$  is up-regulated and can stimulate osteoblastic tumor growth in human PCa cell lines [10–12]. In these ER $^{+}$  cancers, ER $\beta$  expression appears to oppose the growth promoting activities of estrogen, suggesting a dichotomous model in which ER $\alpha$  stimulates and ER $\beta$  suppresses estrogen-responsive tumors [13]. This view of ER $\beta$  as purely suppressive in cancer is inadequate, given the growing body of evidence demonstrating ER $\beta$  activation in ER $\alpha$ -negative cancers is proliferative [14,15].

## Activities of ER $\beta$ in breast cancer

Increased endogenous and exogenous estrogens are a risk factor for the initiation and progression of BC [16–18], but tumor responsiveness to estrogens depends on nuclear receptor expression. Based on expression of ER $\alpha$ , progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2), there are three major classes of BC tumors: Triple negative phenotypic, luminal (A and B), and human epidermal growth factor receptor 2 (HER2)-enriched [19]. ER $\beta$  is tumor suppressive in ER $\alpha$  positive (ER $^{+}$ ) BC [20,21]. Several studies demonstrate that ER $\beta$  activation reduces proliferation [22] and angiogenesis in ER $^{+}$  BC cell lines and tumor formation in mice [23].

Two subtypes of BC tumors are ER $\alpha$  negative (ER $^{-}$ ): (1) HER2-enriched and (2) triple negative breast cancer (TNBC). Both subtypes are also progesterone receptor negative but differ in HER2 expression status [19]. Though TNBC lacks ER $\alpha$ , nuclear and extranuclear localized ER $\beta$  is expressed in TNBC tumor specimens [24,25], and both *in vitro* and *in vivo* studies have shown that ER $\beta$  is proliferative in the absence of ER $\alpha$  [26,27]. Disregarding the potential proliferative activities of ER $\beta$  in TNBC, a recent prospective, open label clinical trial evaluated efficacy of oral E2 (Estrace, 10 mg) in TNBC ([www.clinicaltrials.gov](http://www.clinicaltrials.gov) Identifier: [NCT01083641](https://clinicaltrials.gov/ct2/show/study/NCT01083641)). As anticipated, the trial was unsuccessful and terminated with 65% of patients exhibiting disease progression after the first 28-day cycle of drug treatment [28]. Although death occurred in 88% of patients, and 77% were removed from the study treatment for disease progression, the authors argued that additional ER $\beta$  agonist treatment is recommended in TNBC. That interpretation is inconsistent with the study findings and understanding of current literature on the actions of ER $\beta$  in TNBC. Out-lined below, several studies highlight evidence for a proliferative role of ER $\beta$  in TNBC, and support contra-indication of ER agonists for TNBC pharmacotherapy.

High ER $\beta$ 1 expression correlates with increased expression of the proliferative marker, Ki-67, which was also associated with poor prognosis in TNBC [29,30]. ER $\beta$  activation in ER $^{-}$  breast cancer stem cells (BSCs) increased mammosphere growth, and ER $\beta$  knockdown with shRNA in TNBC xenografts reduced tumor volume by 50%. Further, treatment of TNBC-derived BSCs with an ER $\beta$  selective antagonist (PHTPP) reduced mammosphere formation by 45% [31\*\*] and suppressed TNBC growth *in vitro* [32\*]. Additionally, treatment with the non-selective anti-estrogen Fulvestrant decreased tumor volume and cell proliferation in TNBC explants in two different ER $^{-}$  cell lines [MDA-MB-231 (ER $^{-}$ , high ER $\beta$ ), MDA-MD-468 (ER $^{-}$ , low ER $\beta$ )] [33]. These seminal findings indicate that ER $\beta$  has proliferative activities in ER $^{-}$  BSCs and TNBC.

The differing roles of ER $\beta$  in BC are also dependent on ER $\beta$  splice variant expression; ER $\beta$ 2 and ER $\beta$ 5 do not possess a ligand-binding region but alter estrogen signaling through heterodimerization with ER $\beta$ 1 and ER $\alpha$ . Recent meta-analysis evaluating ER $\beta$ 1, ER $\beta$ 2, and ER $\beta$ 5 expression in BC patients (ER $^{+/-}$ ) showed that ER $\beta$ 1 was positively associated with increased 5-year overall (survival rate from study inclusion until patient death) and disease free (time of survival after primary treatment) survival [34\*]. However, when the analysis was stratified by ER $\alpha$  status (+ or -), the positive association of ER $\beta$ 1 with 5-year overall survival was lost, indicating that overall survival is dependent on the coexpression of ER $\alpha$ . In ER $^{+}$  BC, increased cytoplasmic ER $\beta$ 2 was associated with decreased overall survival [35]. Histologic and experimental data support a proliferative role for ER $\beta$ 2 in TNBC cells [36\*]; however, few studies have analyzed the association between ER $\beta$  variants in ER $^{-}$  BC.

One established mediator of ER $\beta$  actions in TNBC is increased insulin-like growth factor (IGF 1/2) expression and signaling [37]. IGF1/2 modulates expression of ER $\beta$  in TNBC, and inhibitors for both IGF1R (BMS-754807) and HER2 (neratinib) decreased cell proliferation in ER $^{-}$  cell lines, providing two potential therapeutic targets for ER $^{-}$  BC [38\*\*].

## ER $\beta$ actions in prostate cancer

Androgens and the androgen receptor (AR) are crucial to the development and progression of prostate cancer (PCa). The role of estrogens in suppressing androgenic actions (through the hypothalamic–pituitary–gonadal axis and negative luteinizing hormone feedback) in PCa has been noted for over 70 years [39]. The foundational studies of Huggins and Hodges showed that injection of E2 suppressed levels of testosterone in PCa patients and reduced the influence of androgens on PCa advancement [40,41]. Further epidemiological evidence indicates that the ratio of circulating testosterone to E2 is significant to the progression of PCa; low testosterone and/or high E2 was associated with higher risk of PCa. Whereas the importance of AR in PCa is well established, roles for other steroid receptors (ER $\beta$ ) on AR and PCa progression remain controversial, as several studies present contradictory findings.

ER $\beta$  is expressed throughout normal prostate, while ER $\alpha$  expression is differentially localized to stromal cells and the androgen-independent basal cell layer [42]. ER $\beta$  expression is typically reduced in advanced stages of PCa [43,44]. ER $\beta$  activation in the prostate is typically tumor suppressive, with ER $\beta$  agonists displaying anti-proliferative activity in cell and mouse models of prostate cancer [45,46]. ER $\beta$  activation upregulated

tumor repressor genes (PTEN, T-cadherin13, Smad7) and loss of ER $\beta$  in older mice (18 months) increased AR expression in the ventral prostate [47\*]. This suggests that ER $\beta$  is an important potent regulator of AR signaling which acts to suppress tumor formation. Epidemiological evidence also suggests that diets high in phytoestrogens (ER $\beta$  selective partial agonists) may lower the risk of PCa [48,49].

However, a recent large cohort study positively associated expression of ER $\beta$  with reduced biochemical-failure-free survival supporting an adverse role for ER $\beta$  in PCa [50\*]. Treatment with E2 in a xenograft model that only expressed ER $\beta$  (DU-145) elicited divergent effects wherein low doses of E2 increased tumor growth, and high E2 exposure decreased tumor volume [51\*]. These seemingly contradictory results indicate differential responses of ER $\beta$  based on concentration of E2.

As with BC, possible explanations for the contradictory actions of ER $\beta$  in PCa are opposing actions of the ER $\beta$ 2 isoform. ER $\beta$ 2 expression was increased in advanced PCa and metastatic cancer and was associated with reduced overall survival in PCa patients [52]. *In vitro* models have also shown that ER $\beta$ 2 can upregulate several proteins associated with osteolytic metastasis [53]. These findings suggest that ER $\beta$ 2 can promote PCa tumor progression, although further work is necessary to clarify the differential roles of ER $\beta$  and its isoforms in PCa.

## ER $\beta$ action in medulloblastoma

Estrogens play a critical role throughout cerebellar development by regulating gene expression and modulating growth factor related signal transduction pathways. Patterns of E2 binding and expression of both ER $\alpha$  and ER $\beta$  vary temporally and by cell type during cerebellar development in rodent models [54,55]. Wherein ER $\beta$  expression is highest during periods of cellular differentiation, ER $\beta$  is present in the rodent cerebellum from birth and persists in Purkinje cells, granule cells and other interneurons through adulthood. In the first postnatal week of development, expression of ER $\beta$  is localized primarily in post-mitotic granule cell precursors, with ER $\beta$  expression induced in Purkinje cells when migrating granule cells are nearby [55]. Similar patterns of ER $\beta$  expression are observed in the infant human cerebellum [56,57].

Medulloblastoma (MB) are a heterogeneous group of brain tumors that are associated with the posterior fossa or cerebellum and comprise nearly 10% of all childhood tumors [58]. Cerebellar MB arise from ER $\beta$ <sup>+</sup> granule cell precursors (GCPs) which normally differentiate in the mitotically active external germinal layer of the cerebellum, and then migrate to form the internal granular layer [59]. GCPs are estrogen-responsive and express ER $\beta$ , but not ER $\alpha$ , and increased estrogen activation of ER $\beta$  signaling increases GCP mitogenesis, migration and upregulates neuroprotective mechanisms in mature granule cells [60].

MB tumors express ER $\beta$ , and dysregulation of ER signaling during cerebellar development drives MB progression [60]. Estrogen increased the growth of MB tumors in gonadectomized male and female mice with xenografts from a human cell line established from a 6-year old male's tumor, D283Med [61], and in a mouse model of MB. Knockout of

the ER $\beta$  gene (*Esr2*) inhibited MB growth in both sexes (Figure 2) [62\*\*]. ER $\beta$ -mediated tumor development may be driven through a DNA repair mechanism, since ER $\beta$ -mediated nuclear interactions between proteins in the insulin-like growth factor (IGF) pathway inhibited homologous recombination-directed DNA repair in MB [63]. IGF signaling has also been implicated in tumorigenesis, and is key in the progression of MB [64]. The IGF pathway is upregulated in human MB [65], and *Igf2* amplification can increase incidence and is required for progression of MB in mice [66]. IGF1R is a receptor tyrosine kinase that is considered a key target in high-risk metastatic MB [67], and tyrosine kinase inhibitors (TKIs) are an emerging treatment in MB [68\*]. There are several ongoing clinical trials using erlotinib, a receptor TKI, to treat central nervous system tumors including MB ([www.clinicaltrials.gov](http://www.clinicaltrials.gov) Identifiers: [NCT00360854](https://clinicaltrials.gov/ct2/show/study/NCT00360854), [NCT00077454](https://clinicaltrials.gov/ct2/show/study/NCT00077454), [NCT02689336](https://clinicaltrials.gov/ct2/show/study/NCT02689336)).

Blockade of ER signaling can inhibit both the growth and migration of MB through ER $\beta$ -mediated IGF-like signaling mechanisms [61]. Treatment of human MB cell lines with high doses of genistein that block TK activity and cisplatin show synergistic growth inhibition and cytotoxicity [69]. E2 and lower physiological levels of genistein and other dietary soy estrogens could decrease sensitivity of MB to cytotoxic cisplatin treatment. The cytoprotective effects of these estrogens was dependent on ER $\beta$  activity [70\*\*]. Those findings support the therapeutic potential of anti-estrogen drugs and indicate that it may be prudent for patients to avoid environmental estrogen exposure during treatment. An ongoing clinical trial is using Tamoxifen, a selective estrogen receptor modulator, in combination with classical chemotherapy to treat patients with solid tumors including MB ([www.clinicaltrials.gov](http://www.clinicaltrials.gov) Identifier [NCT00002608](https://clinicaltrials.gov/ct2/show/study/NCT00002608)); this study could provide further evidence that anti-estrogen activity improves patient outcomes.

Overall, MB occurs more often in males; however, the gender bias of incidence differs by population and tumor subtypes [71]. Contradicting the proliferative role of ER $\beta$  activation on MB development, tumors from females showed significantly lower growth and fewer proliferative markers in a mouse with D283Med human tumor xenografts [72]. In an ionizing radiation-induced mouse model, an ER $\beta$ -selective agonist inhibited MB development via anti-proliferative and pro-apoptotic pathways in ovariectomized females, while an ER $\alpha$  agonist had no effect [73]. The authors conclude that ER $\beta$  serves a tumor suppressive role, but an alternative explanation is that ER $\beta$  activation was cytoprotective against the ionizing radiation induction of GCPs in this model.

The physiological actions of ER $\beta$  in the cerebellum and on MB are modified by sex, ER splice variation, and neurotrophic and growth factors. Purkinje cells express aromatase and estrogens are synthesized *de novo* in the cerebellum, and this activity could be involved in reported sex differences. Tumors from both sexes expressed similar amounts of the ER $\beta$  isoforms ER $\beta$ 2 and ER $\beta$ 5, whereas ER $\beta$ 1 was absent in tumors from males but persisted in females [72]. ER $\alpha$  and ER $\beta$  have several splice variants that present differentially across MB tumor tissue [72], but the clinical evaluation of specific ER isoforms in MB deserves further exploration. Immunohistochemical analysis of human cerebella revealed ERs in normal tissue and in primary MB tumor samples. In primary tumor samples, ER $\beta$  was detected in each sample, whereas ER $\alpha$  was essentially absent [61]. Additionally, primary tumors with the lowest levels of ER $\beta$  were associated with better clinical prognosis

compared to tumors associated with the highest level of ER $\beta$  expression [74]. An alternative isoform in mouse reduced the ligand-mediated activity of the primary ER $\beta$  isoform, demonstrating that certain isoforms could modulate activity of other isoforms [75].

Secreted neurotrophic factors including brain-derived neurotrophic factor (BDNF) and neurotrophin-3 (NT-3) are highly expressed in granule and Purkinje cells and may be involved in mediating the expression of ER $\beta$  during cerebellar development [55]. BDNF acts on early GCPs in the EGL to promote commitment, followed by NT-3 to promote maturation into granule cells [76]. Growth factors also modify actions of ER $\beta$  activation on MB. As previously mentioned, the IGF pathway is up-regulated in MB, and activation of ER $\beta$  both promoted tumor proliferation and inhibited apoptosis of MB cells via up-regulation of IGF1 receptor expression and signaling activity [62\*\*].

## Conclusions

The general claim that ER $\beta$  is tumor-suppressive and ER $\alpha$  is tumor-promoting in cancer is incomplete, as a multifaceted environment of other coregulators, presence of other steroid receptors, transcriptional regulators, and endogenous and exogenous ligands influence ER actions. The role of ER $\beta$  in carcinogenesis or tumor suppression is highly dependent on the co-expression of ER $\alpha$ . In addition to expression of ER $\alpha$ , ER $\beta$  actions depend on the specific cell types (stem cells, stromal, and so on) and other estrogen modulators (IGF signaling).

Highlighting the importance of cell-type specific activities, in a comparison between BC stem cells (ER $\alpha$ <sup>-</sup>) and PCa stem cells (ER $\alpha$ <sup>+</sup>), treatment with an ER $\beta$  agonist elicited contrasting results. In the ER $\alpha$ -negative model, treatment induced cell proliferation and tumor development in mouse xenografts. Alternatively, in PCa stem cells, treatment with an ER $\beta$  agonist prompted apoptosis. These results highlight that presence or absence of ER $\alpha$  contributes to the duality of ER $\beta$  activities in stem cells.

IGF signaling is also an important modulator of estrogen in the etiology of ER $\beta$  cancers. In TNBC cells, IGF-2 increased expression of ER $\beta$ , and in a MB murine model, ER $\beta$  activation upregulated IGF-1 expression and activity. The extent to which NR/IGF crosstalk mechanisms influence hormone responsive cancers is uncertain and warrants further investigation.

Understanding the role of ER $\beta$  signaling in the development and progression of BC, PCa, and MB is critical to the development of therapeutic interventions. Blockade of ER $\beta$  has demonstrated anti-tumorigenic properties in cell and animal models; however, as demonstrated in this review and others, ER $\beta$ 's influence depends on multiple factors. Compensatory mechanisms of steroid receptors might require treatment of multiple levels of the steroid hormone pathway and other growth factors to reduce the proliferative role of estrogen in cancer.

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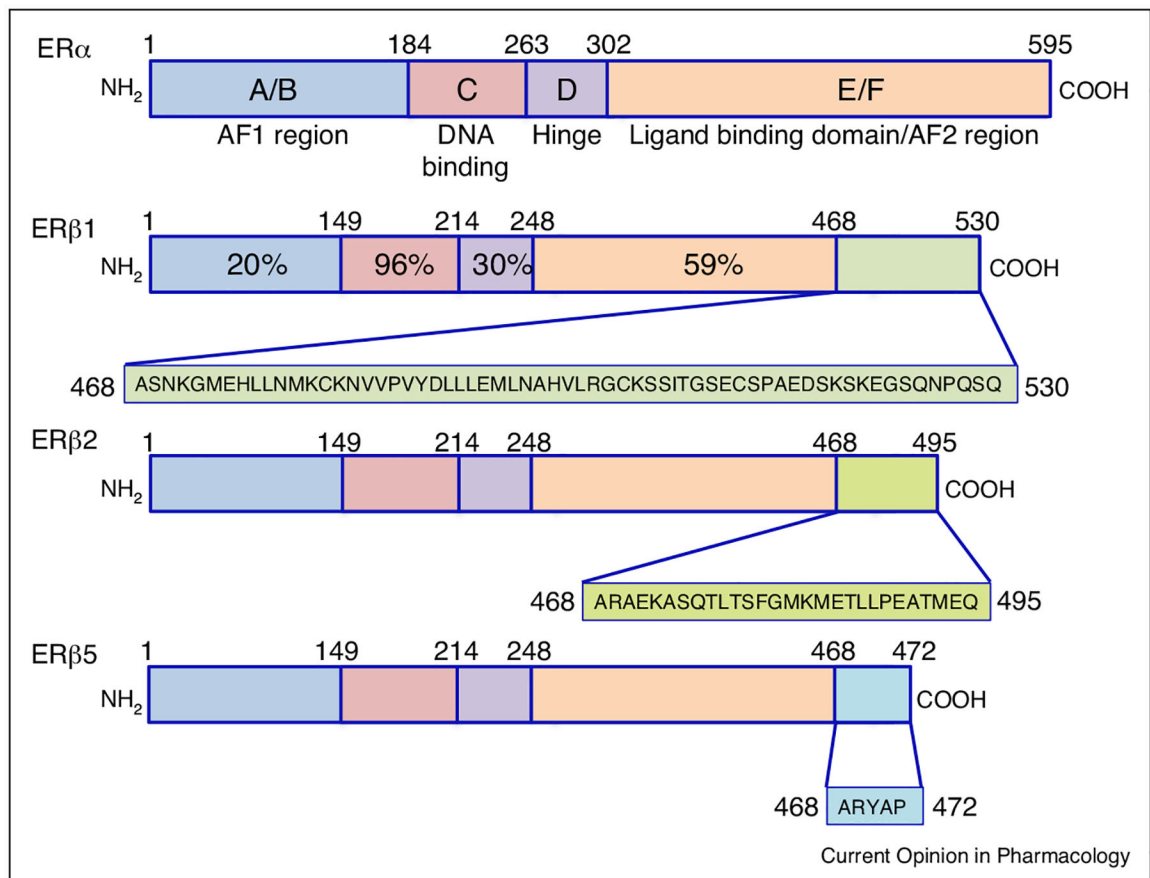


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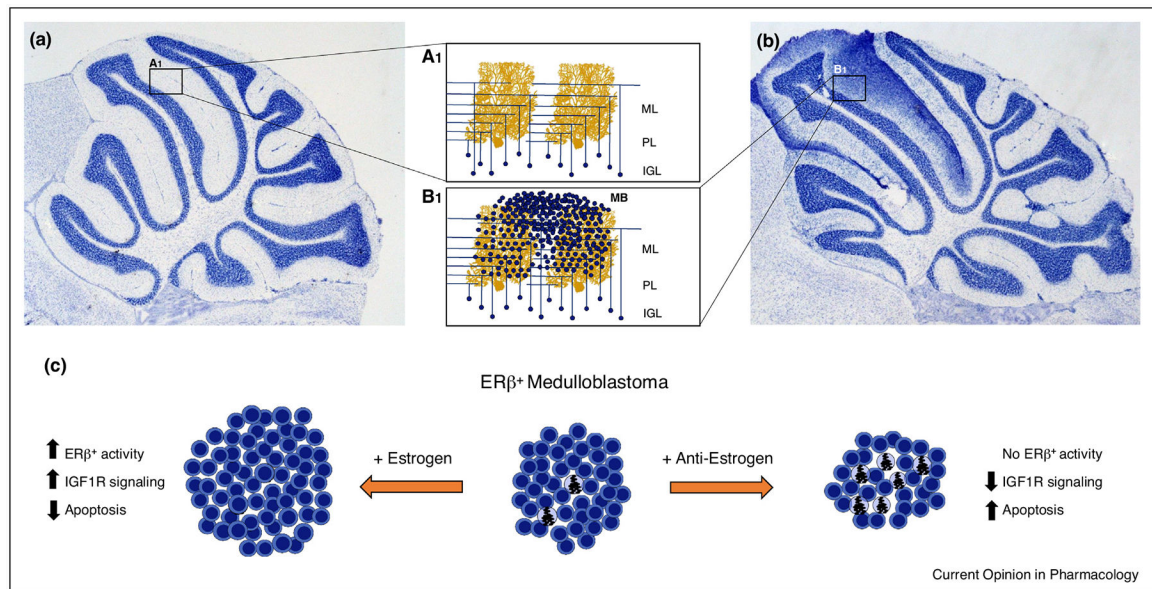
inhibit the effectiveness of medulloblastoma therapeutics and should be considered when designing studies or treating patients.

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**Figure 1.**

Comparative representation of ER $\beta$  isoforms. Each receptor is represented by a colored bar with structural domains conserved across the steroid/thyroid super-family of nuclear receptors indicated. Shading in the carboxyl terminus of each ER $\beta$  isoform is representative of the predicted differential amino acid sequences in each receptor isoform as a result of alternative splicing. Percentage on ER $\beta$ 1 highlights the homology shared between each domain of ER $\alpha$  and ER $\beta$ 1. The amino-terminal A/B regions have a transactivation domain with ligand-independent function and recruits co-activators and co-repressors. The C region contains the DNA-binding domain (DBD), which is needed for binding to specific estrogen response elements (EREs) in estrogen responsive genes. The D region contains several functional domains, including the hinge domain to connect C and E/F. The carboxy-terminal regions E and F contain the ligand-binding domain, which is needed for ligand binding, receptor dimerization, and nuclear translocation. ER $\beta$  variants are identical in the first 468 amino acid (AA) sequences, and divergence of AAs are shown after 468 in different colors and representative boxes underneath the variant protein structure.



**Figure 2.**

ER $\beta$  activation drives progression of medulloblastoma through cytoprotective and anti-apoptotic mechanisms. Granule cells in the IGL of the healthy mouse cerebellum (a) only weakly express ER $\beta$ , leading to a highly structured network of mossy fiber and parallel fiber synapses on Purkinje cell dendrites [A1]. Dysregulation of granule cell mitogenesis results in cerebellar overgrowth, excessive granule cell numbers in the IGL and tumor formation associated with the ML (b). In MB cells (c), ER $\beta$  is highly expressed and estrogen drives tumor formation and progression through cytoprotective mechanisms that decrease apoptosis. Addition of anti-estrogens (+ anti-estrogen) block the protective ER $\beta$  actions increases apoptosis resulting in decreased tumor size. *Abbreviations:* ML, molecular layer; PL, Purkinje cell layer; IGL, internal granular layer. Purkinje cell adapted from Cell Image Library CCDB\_3687.

Table 1

Binding affinities of estrogenic ligands.

Compounds	K <sub>i</sub> ER $\alpha$	EC <sub>50</sub> ER $\alpha$	IC <sub>50</sub> ER $\alpha$	K <sub>i</sub> ER $\beta$	EC <sub>50</sub> ER $\beta$	IC <sub>50</sub> ER $\beta$
<i>Endogenous</i>						
17- $\beta$ -Estradiol	0.04	0.017	0.12	0.11	0.068	0.18
Estrilol	0.35	0.16	-	0.63	0.41	-
Estrone	1.01	0.66	-	3.1	1.6	-
<i>Phytoestrogens</i>						
Genistein	126	38	-	12.8	5.8	-
Coumestrol	80	16	-	27	6.9	-
Daidzein	262	150	-	85.3	57	-
<i>Pharmaceuticals</i>						
PPT	0.4	0.085	-	92.8	Not detected	-
DPN	32.4	27	-	1.7	2.3	-
Fulvestrant (ICI 182 780)	0.42	-	2.2	1.3	-	1.3
4-OH-tamoxifen	2.3	-	2.2	4.8	-	1.1

Endogenous ligands, phytoestrogens, and pharmaceuticals inhibitor constant (K<sub>i</sub>), half maximal effective concentration (EC<sub>50</sub>), and half maximal inhibitory concentration (IC<sub>50</sub>) for ER $\alpha$  and ER $\beta$  [78]. All concentration values are in nM.